#### **Computer Networks**

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#### **Protocols and Layers**

- <u>Protocols</u> and <u>layering</u> is the main structuring method used to divide up network functionality
  - Each instance of a protocol talks virtually to its <u>peer</u> using the protocol
  - Each instance of a protocol uses only the services of the lower layer

## Protocols and Layers (3)

Protocols are horizontal, layers are vertical



## Protocols and Layers (4)

Set of protocols in use is called a protocol stack



## Protocols and Layers (6)

- Protocols you've probably heard of:
  - TCP, IP, 802.11, Ethernet, HTTP, SSL,
    DNS, ... and many more
- An example protocol stack
  - Used by a web browser on a host that is wirelessly connected to the Internet

(	Browser
	HTTP
	ТСР
	IP
	802.11

#### Encapsulation

- <u>Encapsulation</u> is the mechanism used to effect protocol layering
  - Lower layer wraps higher layer content, adding its own information to make a new message for delivery
  - Like sending a letter in an envelope; postal service doesn't look inside

## Encapsulation (3)

- Message "on the wire" begins to look like an onion
  - Lower layers are outermost



#### **Encapsulation (4)**



## Advantage of Layering

Information hiding and reuse



## Advantage of Layering (2)

Information hiding and reuse



# Advantage of Layering (3)

• Using information hiding to connect different systems



# Advantage of Layering (4)

• Using information hiding to connect different systems



## Disadvantage of Layering





#### **Internet Reference Model**

- A four layer model based on experience; omits some OSI layers and uses IP as the network layer.
  - 4 Application3 Transport
  - 2 Internet
  - 1 Link

- Programs that use network service
  - Provides end-to-end data delivery
  - Send packets over multiple networks
  - Send frames over a link

## Internet Reference Model (3)

- IP is the "narrow waist" of the Internet
  - Supports many different links below and apps above



## Layer-based Names (2)

• For devices in the network:



## Layer-based Names (3)

• For devices in the network:



But they all look like this!



## Scope of the Physical Layer

- Concerns how signals are used to transfer message bits over a link
  - Wires etc. carry analog signals
  - We want to send digital bits



## Simple Link Model

- We'll end with an abstraction of a physical channel
  - <u>Rate</u> (or bandwidth, capacity, speed) in bits/second
  - Delay in seconds, related to length



- Other important properties:
  - Whether the channel is broadcast, and its error rate

#### **Message Latency**

- Latency is the delay to send a message over a link
  - <u>Transmission delay</u>: time to put M-bit message "on the wire"

- <u>Propagation delay</u>: time for bits to propagate across the wire

Combining the two terms we have:

## Message Latency (2)

- <u>Latency</u> is the delay to send a message over a link
  - Transmission delay: time to put M-bit message "on the wire"

T-delay = M (bits) / Rate (bits/sec) = M/R seconds

- <u>Propagation delay</u>: time for bits to propagate across the wire

P-delay = Length / speed of signals = Length / <sup>2</sup>/<sub>3</sub>c = D seconds

- Combining the two terms we have: L = M/R + D

#### **Metric Units**

• The main prefixes we use:

Prefix	Exp.	prefix	exp.
K(ilo)	10 <sup>3</sup>	m(illi)	10 <sup>-3</sup>
M(ega)	10 <sup>6</sup>	µ(micro)	10 <sup>-6</sup>
G(iga)	10 <sup>9</sup>	n(ano)	10 <sup>-9</sup>

- Use powers of 10 for rates, 2 for storage
  - 1 Mbps = 1,000,000 bps, 1 KB = 2<sup>10</sup> bytes
- "B" is for bytes, "b" is for bits



## Latency Examples (2)

• "Dialup" with a telephone modem:

D = 5 ms, R = 56 kbps, M = 1250 bytes

- $L = 5 \text{ ms} + (1250 \text{ x8})/(56 \text{ x} 10^3) \text{ sec} = 184 \text{ ms}!$
- Broadband cross-country link:

D = 50 ms, R = 10 Mbps, M = 1250 bytes

 $L = 50 \text{ ms} + (1250 \text{ x8}) / (10 \text{ x} 10^6) \text{ sec} = 51 \text{ ms}$ 

- A long link or a slow rate means high latency
  - Often, one delay component dominates

## **Bandwidth-Delay Product**

• Messages take space on the wire!

• The amount of data in flight is the bandwidth-delay (BD) product

 $BD = R \times D$ 

- Measure in bits, or in messages
- Small for LANs, big for "long fat" pipes

## Bandwidth-Delay Example (2)

- Fiber at home, cross-country R=40 Mbps, D=50 ms BD =  $40 \times 10^6 \times 50 \times 10^{-3}$  bits = 2000 Kbit = 250 KB
- That's quite a lot of data "in the network"!

#### **Frequency Representation**

 A signal over time can be represented by its frequency components (called Fourier analysis)



#### Effect of Less Bandwidth

• Fewer frequencies (=less bandwidth) degrades signal



## Signals over a Wire (2)

• Example:

2: Attenuation:

#### Sent signal

• 3: Bandwidth:

4: Noise:

## Signals over Wireless

- Signals transmitted on a carrier frequency, like fiber
- Travel at speed of light, spread out and attenuate faster than 1/dist<sup>2</sup>
- Multiple signals on the same frequency interfere at a receiver

## Signals over Wireless (5)

- Various other effects too!
  - Wireless propagation is complex, depends on environment
- Some key effects are highly frequency dependent,
  - E.g., <u>multipath</u> at microwave frequencies



## Wireless Multipath

- Signals bounce off objects and take multiple paths
  - Some frequencies attenuated at receiver, varies with location
  - Messes up signal; handled with sophisticated methods



#### Wireless

- Sender radiates signal over a region
  - In many directions, unlike a wire, to potentially many receivers
  - Nearby signals (same freq.) <u>interfere</u> at a receiver; need to coordinate use



#### UNITED

#### STATES FREQUENCY ALLOCATIONS THE RADIO SPECTRUM



ACTIVITY CODE



NON-BOVERNMENT EXCLUSIVE











## Wireless (2)

 Microwave, e.g., 3G, and unlicensed (ISM) frequencies, e.g., WiFi, are widely used for computer networking



#### Topic

- We've talked about signals representing bits. How, exactly?
  - This is the topic of modulation



## A Simple Modulation

- Let a high voltage (+V) represent a 1, and low voltage (-V) represent a 0
  - This is called NRZ (Non-Return to Zero)
## A Simple Modulation (2)

- Let a high voltage (+V) represent a 1, and low voltage (-V) represent a 0
  - This is called NRZ (Non-Return to Zero)



#### Modulation



# **Key Channel Properties**

- The bandwidth (B), signal strength (S), and noise strength (N)
  - B limits the rate of transitions
  - S and N limit how many signal levels we can distinguish

## Claude Shannon (1916-2001)

- Father of information theory
  - "A Mathematical Theory of Communication", 1948
- Fundamental contributions to digital computers, security, and communications

Electromechanical mouse that "solves" mazes!



Credit: Courtesy MIT Museum

## Shannon Capacity

- How many levels we can distinguish depends on S/N
  - Or SNR, the Signal-to-Noise Ratio
  - Note noise is random, hence some errors
- SNR given on a log-scale in deciBels:

$$-SNR_{dB} = 10log_{10}(S/N)$$



# Shannon Capacity (2)

 Shannon limit is for capacity (C), the maximum information carrying rate of the channel:

 $C = B \log_2(1 + S/(BN)) bits/sec$ 

## Wired/Wireless Perspective

- Wires, and Fiber
  - − Engineer link to have requisite SNR and B
     →Can fix data rate
- Wireless
  - Given B, but SNR varies greatly, e.g., up to 60 dB!
    →Can't design for worst case, must adapt data rate

# Wired/Wireless Perspective (2)

- Wires, and Fiber Engineer SNR for data rate
  - − Engineer link to have requisite SNR and B
     →Can fix data rate
- Wireless
   Adapt data rate to SNR

Given B, but SNR varies greatly, e.g., up to 60 dB!
 →Can't design for worst case, must adapt data rate

# Putting it all together – DSL

- DSL (Digital Subscriber Line) is widely used for broadband; many variants offer 10s of Mbps
  - Reuses twisted pair telephone line to the home; it has up to ~2 MHz of bandwidth but uses only the lowest ~4 kHz







# DSL (2)

- DSL uses passband modulation (called OFDM)
  - Separate bands for upstream and downstream (larger)
  - Modulation varies both amplitude and phase (called QAM)
  - High SNR, up to 15 bits/symbol, low SNR only 1 bit/symbol



## Topic

- Some bits will be received in error due to noise. What can we do?
  - Detect errors with codes »
  - Correct errors with codes »
  - Retransmit lost frames Later
- Reliability is a concern that cuts across the layers – we'll see it again

#### Problem – Noise may flip received bits



## Approach – Add Redundancy

- Error detection codes
  - Add <u>check bits</u> to the message bits to let some errors be detected
- Error correction codes
  - Add more <u>check bits</u> to let some errors be corrected
- Key issue is now to structure the code to detect many errors with few check bits and modest computation

## **Motivating Example**

- A simple code to handle errors:
  - Send two copies! Error if different.

- How good is this code?
  - How many errors can it detect/correct?
  - How many errors will make it fail?



# Motivating Example (2)

- We want to handle more errors with less overhead
  - Will look at better codes; they are applied mathematics
  - But, they can't handle all errors
  - And they focus on accidental errors (will look at secure hashes later)

# **Using Error Codes**

• Codeword consists of D data plus R check bits (=systematic block code)



- Sender:
  - Compute R check bits based on the D data bits; send the codeword of D+R bits

# Using Error Codes (2)

- Receiver:
  - Receive D+R bits with unknown errors
  - Recompute R check bits based on the D data bits; error if R doesn't match R'



### **Intuition for Error Codes**

• For D data bits, R check bits:



 Randomly chosen codeword is unlikely to be correct; overhead is low

## R.W. Hamming (1915-1998)

- Much early work on codes:
  - "Error Detecting and Error Correcting Codes", BSTJ, 1950
- See also:
  - "You and Your Research", 1986



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## Hamming Distance

 Distance is the number of bit flips needed to change D<sub>1</sub> to D<sub>2</sub>

 <u>Hamming distance</u> of a code is the minimum distance between any pair of codewords

# Hamming Distance (2)

- Error detection:
  - For a code of distance d+1, up to d errors will always be detected

# Hamming Distance (3)

- Error correction:
  - For a code of distance 2d+1, up to d errors can always be corrected by mapping to the closest codeword

## Topic

- Some bits may be received in error due to noise. How do we detect this?
  - Parity »
  - Checksums »
  - CRCs »
- Detection will let us fix the error, for example, by retransmission (later).

# Simple Error Detection – Parity Bit

- Take D data bits, add 1 check bit that is the sum of the D bits
  - Sum is modulo 2 or XOR

# Parity Bit (2)

- How well does parity work?
  - What is the distance of the code?

 How many errors will it detect/ correct?

• What about larger errors?



### Checksums

- Idea: sum up data in N-bit words
  - Widely used in, e.g., TCP/IP/UDP

1500 bytes	16 bits
------------	---------

• Stronger protection than parity

### Internet Checksum

- Sum is defined in 1s complement arithmetic (must add back carries)
  - And it's the negative sum
- "The checksum field is the 16 bit one's complement of the one's complement sum of all 16 bit words ..." RFC 791



## Internet Checksum (2)

Sending:

- 1. Arrange data in 16-bit words
- 2. Put zero in checksum position, add
- 3. Add any carryover back to get 16 bits

#### 4. Negate (complement) to get sum

0001 f203 f4f5 f6f7

### Internet Checksum (3)

Sending:

Arrange data in 16-bit words
 Put zero in checksum position, add

3. Add any carryover back to get 16 bits

4. Negate (complement) to get sum



#### Internet Checksum (4)

**Receiving**:

Arrange data in 16-bit words
 Checksum will be non-zero, add

0001 f203 f4f5 f6f7 + 220d

3. Add any carryover back to get 16 bits

#### 4. Negate the result and check it is 0

#### **Internet Checksum (5)**

**Receiving:** 

Arrange data in 16-bit words
 Checksum will be non-zero, add

3. Add any carryover back to get 16 bits

4. Negate the result and check it is 0

+	0ff ff 2	02 4 6 2	0 0 f f 0	1 3 5 7 d
		—	—	-
2	f	f	f	d
+	f	f	f	d 2
			-	-
	f	f	f	f
	0	0	0	0

## Internet Checksum (6)

- How well does the checksum work?
  - What is the distance of the code?
  - How many errors will it detect/ correct?

• What about larger errors?

# Cyclic Redundancy Check (CRC)

- Even stronger protection
  - Given n data bits, generate k check
     bits such that the n+k bits are evenly
     divisible by a generator C
- Example with numbers:
  - n = 302, k = one digit, C = 3

# **CRCs (2)**

- The catch:
  - It's based on mathematics of finite fields, in which "numbers" represent polynomials

- e.g, 10011010 is 
$$x^7 + x^4 + x^3 + x^1$$

- What this means:
  - We work with binary values and operate using modulo 2 arithmetic

# **CRCs (3)**

- Send Procedure:
- 1. Extend the n data bits with k zeros
- 2. Divide by the generator value C
- 3. Keep remainder, ignore quotient
- 4. Adjust k check bits by remainder
- Receive Procedure:
- 1. Divide and check for zero remainder

## **CRCs (4)**

Check bits:  $C(x)=x^{4}+x^{1}+1$  C = 10011k = 4


# **CRCs (6)**

- Protection depend on generator
  - Standard CRC-32 is 10000010
    01100000 10001110 110110111
- Properties:
  - HD=4, detects up to triple bit errors
  - Also odd number of errors
  - And bursts of up to k bits in error
  - Not vulnerable to systematic errors like checksums

#### **Error Detection in Practice**

- CRCs are widely used on links
  - Ethernet, 802.11, ADSL, Cable ...
- Checksum used in Internet
   IP, TCP, UDP ... but it is weak
- Parity

#### Is little used

#### Topic

- Some bits may be received in error due to noise. How do we fix them?
  - Hamming code »
  - Other codes »
- And why should we use detection when we can use correction?

#### Why Error Correction is Hard

- If we had reliable check bits we could use them to narrow down the position of the error
  - Then correction would be easy
- But error could be in the check bits as well as the data bits!
  - Data might even be correct

#### Intuition for Error Correcting Code

- Suppose we construct a code with a Hamming distance of at least 3
  - Need ≥3 bit errors to change one valid codeword into another
  - Single bit errors will be closest to a unique valid codeword
- If we assume errors are only 1 bit, we can correct them by mapping an error to the closest valid codeword
  - Works for d errors if  $HD \ge 2d + 1$



## Intuition (2)

• Visualization of code:



## Intuition (3)

• Visualization of code:



#### Hamming Code

- Gives a method for constructing a code with a distance of 3
  - Uses  $n = 2^{k} k 1$ , e.g., n=4, k=3
  - Put check bits in positions p that are powers of 2, starting with position 1
  - Check bit in position p is parity of positions with a p term in their values
- Plus an easy way to correct [soon]

## Hamming Code (2)

- Example: data=0101, 3 check bits
  - 7 bit code, check bit positions 1, 2, 4
  - Check 1 covers positions 1, 3, 5, 7
  - Check 2 covers positions 2, 3, 6, 7
  - Check 4 covers positions 4, 5, 6, 7

## Hamming Code (3)

- Example: data=0101, 3 check bits
  - 7 bit code, check bit positions 1, 2, 4
  - Check 1 covers positions 1, 3, 5, 7
  - Check 2 covers positions 2, 3, 6, 7
  - Check 4 covers positions 4, 5, 6, 7

## Hamming Code (4)

- To decode:
  - Recompute check bits (with parity sum including the check bit)
  - Arrange as a binary number
  - Value (syndrome) tells error position
  - Value of zero means no error
  - Otherwise, flip bit to correct

## Hamming Code (5)

• Example, continued

$$\xrightarrow{\phantom{0}} \underline{0} \ \underline{1} \ 2 \ 3 \ \underline{0} \ \underline{1} \ 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1 \ 0 \ 7$$

Syndrome = Data =



## Hamming Code (6)

• Example, continued

 $\xrightarrow{\phantom{a}} \underbrace{\begin{array}{c} 0 \\ 1 \end{array}}_{1 \end{array} \underbrace{\begin{array}{c} 1 \\ 2 \end{array}}_{2 } \underbrace{\begin{array}{c} 0 \\ 4 \end{array}}_{4 } \underbrace{\begin{array}{c} 0 \\ 5 \end{array}}_{5 } \underbrace{\begin{array}{c} 0 \\ 6 \end{array}}_{7 } \underbrace{\begin{array}{c} 1 \\ 7 \end{array}}_{7 }$ 

$$p_1 = 0 + 0 + 1 + 1 = 0$$
,  $p_2 = 1 + 0 + 0 + 1 = 0$ ,  
 $p_4 = 0 + 1 + 0 + 1 = 0$ 

Syndrome = 000, no error Data = 0 1 0 1

## Hamming Code (7)

• Example, continued

$$\longrightarrow \underbrace{0}_{1} \underbrace{1}_{2} \underbrace{0}_{3} \underbrace{0}_{4} \underbrace{1}_{5} \underbrace{1}_{6} \underbrace{1}_{7}$$

Syndrome = Data =



## Hamming Code (8)

• Example, continued

 $\xrightarrow{\phantom{a}} \underbrace{\begin{array}{c} 0 \\ 1 \end{array}}_{1 \end{array} \underbrace{\begin{array}{c} 1 \\ 2 \end{array}}_{2 } \underbrace{\begin{array}{c} 0 \\ 4 \end{array}}_{4 } \underbrace{\begin{array}{c} 0 \\ 5 \end{array}}_{5 } \underbrace{\begin{array}{c} 1 \\ 1 \end{array}}_{7 } \underbrace{\begin{array}{c} 1 \end{array}}_{7 } \underbrace{\begin{array}{c} 1 \\ 1 \end{array}}_{7 } \underbrace{\begin{array}{c} 1 \\ 1 \end{array}}_{7 } \underbrace{\begin{array}{c} 1 \end{array}}_{7 } \underbrace{\end{array}\\}_{7 } \underbrace{\begin{array}{c} 1 \end{array}}_{7 } \underbrace{\end{array}}_{7 } \underbrace{\end{array}\\}_{7 } \underbrace{\end{array}\\}_{7 } \underbrace{\end{array}\\}_{7 } \underbrace{\end{array}\\}_{7 } \underbrace{\begin{array}{c} 1 \end{array}}_{7 } \underbrace{\end{array}\\}_{7 } \underbrace$ 

$$p_1 = 0 + 0 + 1 + 1 = 0$$
,  $p_2 = 1 + 0 + 1 + 1 = 1$ ,  
 $p_4 = 0 + 1 + 1 + 1 = 1$ 

Syndrome = 1 1 0, flip position 6 Data = 0 1 0 1 (correct after flip!)



#### **Other Error Correction Codes**

- Codes used in practice are much more involved than Hamming
- Convolutional codes (§3.2.3)
  - Take a stream of data and output a mix of the recent input bits
  - Makes each output bit less fragile
  - Decode using Viterbi algorithm (which can use bit confidence values)



## Other Codes (2) – LDPC

- Low Density Parity Check (§3.2.3)
  - LDPC based on sparse matrices
  - Decoded iteratively using a belief propagation algorithm
  - State of the art today
- Invented by Robert Gallager in 1963 as part of his PhD thesis
  - Promptly forgotten until 1996 ...



Source: IEEE GHN, © 2009 IEEE

#### **Detection vs. Correction**

- Which is better will depend on the pattern of errors. For example:
  - 1000 bit messages with a <u>bit error rate</u> (<u>BER</u>) of 1 in 10000
- Which has less overhead?

#### **Detection vs. Correction**

- Which is better will depend on the pattern of errors. For example:
  - 1000 bit messages with a <u>bit error rate</u> (<u>BER</u>) of 1 in 10000
- Which has less overhead?
  - It still depends! We need to know more about the errors

#### Detection vs. Correction (2)

- 1. Assume bit errors are random
  - Messages have 0 or maybe 1 error
- Error correction:
  - Need ~10 check bits per message
  - Overhead:
- Error detection:
  - Need ~1 check bits per message plus 1000 bit retransmission 1/10 of the time
  - Overhead:

#### Detection vs. Correction (3)

- 2. Assume errors come in bursts of 100
  - Only 1 or 2 messages in 1000 have errors
- Error correction:
  - Need >>100 check bits per message
  - Overhead:
- Error detection:
  - Need 32? check bits per message plus 1000 bit resend 2/1000 of the time
  - Overhead:

#### Detection vs. Correction (4)

- Error correction:
  - Needed when errors are expected
  - Or when no time for retransmission
- Error detection:
  - More efficient when errors are not expected
  - And when errors are large when they do occur

#### **Error Correction in Practice**

- Heavily used in physical layer
  - LDPC is the future, used for demanding links like 802.11, DVB, WiMAX, LTE, power-line, ...
  - Convolutional codes widely used in practice
- Error detection (w/ retransmission) is used in the link layer and above for residual errors
- Correction also used in the application layer
  - Called Forward Error Correction (FEC)
  - Normally with an erasure error model
  - E.g., Reed-Solomon (CDs, DVDs, etc.)