

**Instructions:** You are allowed to collaborate with fellow students taking the class in solving problem sets. If you do so, please indicate for each problem the people you worked with on that problem. Note that you must write down solutions on your own and collaboration must be restricted to a discussion of solution ideas. You are expected to refrain from looking up solutions or solution ideas from websites or other literature.

1. Which of the following problems about Turing machines are decidable and which are not? Briefly justify your answers. (20 points)

- To determine, given a Turing machine  $M$ , whether  $M$  has the property that it accepts a string  $w \in \{0,1\}^*$  if and only if it accepts the string  $\bar{w}$  (here  $\bar{w}$  denotes the bitwise complement of  $w$ ; eg.  $\overline{100110} = 011001$ ).
- To determine, given a Turing machine  $M$  and a string  $w$ , whether  $M$  ever moves its head to the left when it is run on input  $w$ .
- To determine, given a Turing machine  $M$  and a string  $w$ , whether  $M$  on input  $w$  ever tries to move its head left when its head is on the left-most tape cell.
- To determine, given a Turing machine  $M$ , whether the tape ever contains four consecutive 1's during the course of  $M$ 's computation when it is run on input 01.

2. (a) Problem 5.19, Sipser's book (Ambiguity of CFGs is undecidable)  
 (b) Use the approach used in part (a) above to give a proof different from the one given in class of the undecidability of  $COMMON_{CFG}$  defined as:

$$COMMON_{CFG} = \{ \langle G_1, G_2 \rangle \mid G_1, G_2 \text{ are context-free grammars and } L(G_1) \cap L(G_2) = \emptyset \}.$$

3. Prove that a language  $L$  is Turing recognizable if and only if  $L$  is mapping reducible to  $A_{TM}$ .  
 4. Problem 5.20, Sipser's book (Acceptance and emptiness problems for two headed finite automata)

- Suggestion: Reading Theorems 5.8 and 5.9 on linear bounded automata will help.

5. Define the language

$$REGULAR_{CFG} = \{ \langle G \rangle \mid G \text{ is a context-free grammar and } L(G) \text{ is regular} \}$$

that consists of grammars which generate regular languages. Prove that  $REGULAR_{CFG}$  is undecidable. (Suggestion: Use an approach based on computation histories of Turing machines similar to the proof that  $ALL_{CFG}$  is undecidable.)

6. For a pushdown automaton (PDA) or a nondeterministic Turing machine (NTM), we say that it has a useless state if there exists a state in its finite control which is *never* reached, on any input or any non-deterministic branch. Define the languages  $USELESS_{\mathcal{C}} = \{ \langle M \rangle \mid M \in \mathcal{C} \text{ and } M \text{ has a useless state} \}$ , where  $\mathcal{C} = \text{PDA, NTM}$ .

- (a) Prove that  $USELESS_{\text{PDA}}$  is decidable.
- (b) Prove that  $USELESS_{\text{NTM}}$  is not Turing-recognizable.

7. \* **(Optional Problem)** We have seen in class that the languages

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a Turing machine and } L(M) = \emptyset\}$$

as well as

$$ALL_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a Turing machine and } L(M) = \Sigma^*\}$$

are both undecidable. There is, however, a sense in which  $ALL_{\text{TM}}$  is actually harder than  $E_{\text{TM}}$ . Specifically, even if we were miraculously given access to a decider for  $E_{\text{TM}}$ , it is still not clear how one could use that to decide  $ALL_{\text{TM}}$  (contrast this with the fact that it is easy to decide  $A_{\text{TM}}$  using a miracle box that can decide  $E_{\text{TM}}$ ). On the other hand, one can construct a decider for  $E_{\text{TM}}$  if there were a miracle black box that could decide  $ALL_{\text{TM}}$  (think about how).

Of course  $E_{\text{TM}}$  is provably undecidable, so by assuming that it is decidable, we are assuming a false statement and can then logically deduce anything. One must therefore be careful in trying to formalize a statement like “ $ALL_{\text{TM}}$  is harder than  $E_{\text{TM}}$ ”. This exercise defines the *arithmetical hierarchy* which gives us such a formalism and asks you to prove some basic facts concerning the hierarchy.

Define a relation  $R \subseteq (\Sigma^*)^k$  to be decidable if the language

$$L_R = \{\langle x_1, x_2, \dots, x_k \rangle \mid (x_1, x_2, \dots, x_k) \in R\}$$

is decidable. Define  $\Sigma_k$ , for  $k \geq 0$ , to be the class of all languages  $L$  for which there is a decidable  $(k+1)$ -ary relation  $R$  such that

$$L = \{x \mid \exists x_1 \forall x_2 \dots Q_k x_k R(x_1, x_2, \dots, x_k, x)\},$$

where the quantifier  $Q_k$  is  $\exists$  if  $k$  is odd and  $\forall$  if  $k$  is even. We define  $\Pi_k = \text{co}\Sigma_k$ , i.e.  $\Pi_k$  is the set of all complements of languages in  $\Sigma_k$ .

In this notation, clearly  $\Sigma_0$  and  $\Pi_0$  equal the set of decidable languages, and in Problem 6 of Problem set 1, you showed that  $\Sigma_1$  equals the class of Turing-recognizable languages.

Now to your exercises:

- (a) Show that, for  $k \geq 0$ ,  $\Pi_k$  is the class of all languages  $L$  such that there is a decidable relation  $R$  for which

$$L = \{x \mid \forall x_1 \exists x_2 \dots Q_k x_k R(x_1, x_2, \dots, x_k, x)\},$$

where the quantifier  $Q_k$  is  $\forall$  if  $k$  is odd and  $\exists$  if  $k$  is even.

- (b) Show that for all  $k \geq 0$ ,  $\Sigma_k \subseteq \Sigma_{k+1}$ , and  $\Pi_k \subseteq \Sigma_{k+1}$ .
- (c) Show that  $\Sigma_2$  is the class of languages that can be recognized (**not** decided) by Turing machines that are equipped with the following extra power: At any point the machine may write any string  $z$  onto a special tape and enter a special state  $q_?$ , and the next state will be one of two dedicated states  $q_Y$  or  $q_N$  depending on whether or not  $z \in A_{\text{TM}}$  (do not confuse  $q_Y$  and  $q_N$  with the accept and reject states of the Turing machine, these states are just used to find out the answer to the question “Does  $z \in A_{\text{TM}}$ ?”).

- (d) Prove that for all  $k \geq 0$ ,  $\Sigma_{k+1} \neq \Sigma_k$ . (Hint: Generalize the proof of the case  $k = 0$ .)
- (e) Prove that  $ALL_{TM} \in \Pi_2 \setminus (\Sigma_1 \cup \Pi_1)$ . (Hint: Use parts (c) and (d) above.)  
(**Comment**: Note that  $E_{TM}$  is co-Turing-recognizable and thus  $E_{TM} \in \Pi_1$ , so  $ALL_{TM}$  is harder than  $E_{TM}$  in terms of the lowest level of the arithmetical hierarchy in which it lies.)
- (f) Place as low in the arithmetical hierarchy as possible the language:

$$INFINITE_{TM} = \{\langle M \rangle \mid M \text{ is a Turing machine and } L(M) \text{ is infinite}\} .$$