## CSE 521: Design and Analysis of Algorithms

## Problem Set 1

Deadline: Oct 17 (at 11:59 PM) in gradescope

## Instructions

- You should think about each problem by yourself for at least an hour before choosing to collaborate with others.
- You are allowed to collaborate with fellow students taking the class in solving the problems (in groups of at most 2 people for each problem). But you **must** write your solution on your own.
- You are not allowed to search for answers or hints on the web. You are encouraged to contact the instructor or the TAs for a possible hint.
- You cannot collaborate on Extra credit problems
- Solutions typeset in LATEX are preferred.
- Feel free to use the Discussion Board or email the instructor or the TA if you have any questions or would like any clarifications about the problems.
- Please upload your solutions to Canvas. The solution to each problem **must** be uploaded separately.

In solving these assignments and any future assignment, feel free to use these approximations:

$$1 - x \approx e^{-x}, \qquad n! \approx (n/e)^n, \qquad \left(\frac{n}{k}\right)^k \le \left(\frac{n}{k}\right)^k \le \left(\frac{en}{k}\right)^k$$

Also, recall Cauchy-Schwartz Inequality: For real numbers  $a_1, \ldots, a_n, b_1, \ldots, b_n$  we have

$$\sum_{i=1}^{n} a_i b_i \le \sqrt{\sum_{i=1}^{n} a_i^2} \cdot \sqrt{\sum_{i=1}^{n} b_i^2}$$

1) Let Y be a non-negative integer valued random variable. Prove the following inequalities:

$$\frac{\mathbb{E}\left[Y\right]^{2}}{\mathbb{E}\left[Y^{2}\right]} \leq \mathbb{P}\left[Y \neq 0\right] \leq \mathbb{E}\left[Y\right]$$

- 2) a) Show how to construct a biased coin, which is 1 with probability p and 0 otherwise, using O(1) random bits in expectation. [Hint: First show how to construct a biased coin using an arbitrary number of random bits. Then show that the expected number of bits examined is small.]
  - b) Given  $p_1, \ldots, p_n$  where  $\sum_i p_i = 1$ , show how to sample from  $\{1, \ldots, n\}$  where *i* must be chosen with probability  $p_i$ , using  $O(\log n)$  random bits in expectation.
  - c) Show that the "in expectation" caveat is necessary: for example, one cannot sample uniformly over  $\{1, 2, 3\}$  using O(1) bits in the worst case.
- 3) Let  $S = \{1, ..., n\}$  and  $T = \{n + 1, ..., 2n\}$ . Choose a random set R where each number 1, ..., 2n is in R, independently, with probability p.
  - a) Show that for p = 1/n with a constant probability (independent of n),  $R \cap S = \emptyset$  and  $R \cap T \neq \emptyset$ .

Fall 2021

- b) Now assume that we choose elements of R only with a pairwise independent hash function, while still every element is chosen with probability p. Choose a specific value of p (as a function of n) such that still with a constant probability (independent of n),  $R \cap S = \emptyset, R \cap T \neq \emptyset$ .
- 4) Consider an *n*-dimension hypercube as a network of parallel processors. The network has  $N = 2^n$  processors where each processor is represented by an *n* bit string  $x_0x_1...x_{n-1}$  and two processors are connected by a wire if their bit representations differ in exactly one bit. We consider the permutation routing problem on such a network. Each processor *x* initially contains one packet  $p_x$  destined for some processor d(x) in the network such that each processor is the destination of exactly one packet, i.e., d(.) is a permutation. All communication between processors proceeds in a sequence of synchronous steps. At each time step each wire can transmit a single packet in each direction. So, in each step, a processor can send at most one packet to each of its neighbors.

We want to design an algorithm to specify a route for each packet, i.e., a sequence of edges from the source to the destination. Note that a packet may have to wait for several steps at an intermediate node y because multiple packets may want to leave y through the same wire. The goal is to design an algorithm to route all packets in a small number of steps.

(a) Consider the following simple strategy called *bit-fixing*. To send a packet p<sub>x</sub> from node x to the node d(x), scan the bits of d(x) from left to right, and compare them with the address of the current location of p<sub>x</sub>, send p<sub>x</sub> out of the current node along the edge corresponding to the left-most bit in which the current position and d(x) differ. For example, in going from 1011 to 0000 in a 4-dimensional hypercube, the packet would go through the pass 1011 → 0011 → 0001 → 0000. Construct a permutation d(.) and prove that for such a permutation the bit-fixing strategy takes (at least) Ω(√N/n) steps.

**Hint:** One way to prove such a lower bound is to find a node that at least  $\sqrt{N}$  packets will pass through it.

Now, consider the following 2-phase simple strategy. Pick a uniformly random intermediate destination  $\sigma(x)$  for each packet  $p_x$ . In the first phase use bit-fixing to send  $p_x$  to  $\sigma(x)$ . In the second phase send  $p_x$  from  $\sigma(x)$  to d(x). We prove that this routing strategy takes only  $O(n^2)$  steps<sup>1</sup>.

- (b) Show that for each node y the expected number of packets that pass through y in the first phase is O(n).
- (c) Use the Bernstein's inequality to show that for each node y the number of packets that pass through y in the first phase is O(n) with probability at least  $1 1/N^2$ .

**Theorem 1.1** (Bernstein's inequality). Let  $X_1, \ldots, X_n$  be independent Bernoulli random variables. Then

$$\mathbb{P}\left[\sum_{i=1}^{n} X_i - \mathbb{E}\sum_{i=1}^{n} X_i > \epsilon\right] \le \exp\left(\frac{-\frac{1}{2}\epsilon^2}{\sum \operatorname{Var}(X_i) + \epsilon/3}\right)$$

- (d) Prove that the 2-phase strategy takes only  $O(n^2)$  steps w.h.p..
- 5) In this problem you are supposed to implement min-cut Algorithm-1 and output **the probability** that the it returns a min-cut of the given graph (note that in class we proved a lower bound of  $1/\binom{n}{2}$  but the probability can be significantly larger) within 0.01 error.

I will uploaded three input files to the course website. Each file contains the list of edge of a graph; note that the graphs may also have parallel edges. The label of each node is an integer. For example, given the following input you should output 0.50. This graph has 4 edges and nodes have labels 1, 3, 4, 6. It

<sup>&</sup>lt;sup>1</sup>We remark that it is also possible to prove that the 2-phase strategy takes only O(n) steps but here we prove a weaker bound.

| 1 | <b>3</b> |
|---|----------|
| 3 | 4        |
| 4 | 6        |
| 6 | 3        |

has a unique minimum cut which is the degree cut of vertex 1 and the probability that Algorithm 1 finds this cut is 0.50.

For each input file you should output the size of the mincut together with probability that algorithm-1 returns a mincut. Please upload your code to Gradesocope and its output output of your program for each input in the designated "text box".

6) Extra Credit: Say we have a plane with n seats and we have a sequence of n passengers  $1, 2, \ldots, n$  who are going to board the plane in this order and suppose passenger i is supposed to sit at seat i. Say when 1 comes he chooses to sit at some arbitrary seat different from his own sit, 1. From now on, when passenger i boards, if her seat i is available she sits at i, otherwise she chooses sits at a uniformly random seat that is still available. What is the probability that passenger n sits at her seat n?