## Subdivision curves and surfaces

## Reading

Recommended:

- Stollnitz, DeRose, and Salesin. Wavelets for Computer Graphics: Theory and
Applications, 1996, section 6.1-6.3, 10.2, A. 5 .

Note: there is an error in Stollnitz, et al., section A.5. Equation A. 3 should read:

$$
\mathbf{M V}=\mathbf{V} \Lambda
$$

## Subdivision curves

Idea:

- repeatedly refine the control polygon

$$
P^{1} \rightarrow P^{2} \rightarrow P^{3} \rightarrow \cdots
$$

- curve is the limit of an infinite process

$$
Q=\lim _{i \rightarrow \infty} P^{i}
$$






## Chaikin's algorithm

Chakin introduced the following "corner-cutting" scheme in 1974:

- Start with a piecewise linear curve
- Insert new vertices at the midpoints (the splitting step)
- Average each vertex with the "next" (clockwise) neighbor (the averaging step)
- Go to the splitting step



## Averaging masks

The limit curve is a quadratic B-spline!
Instead of averaging with the next neighbor, we can generalize by applying an averaging mask during the averaging step:

$$
r=\left(\ldots, r_{-1}, r_{0}, r_{1}, \ldots\right)
$$

In the case of Chaikin's algorithm:

$$
r=
$$

## Subdivide ad infinitum?

After each split-average step, we are closer to the limit curve.

How many steps until we reach the final (limit) position?

Can we push a vertex to its limit position without infinite subdivision? Yes!

## Lane-Riesenfeld algorithm (1980)

Use averaging masks from Pascal's triangle:

$$
r=\frac{1}{2^{n}}\left(\binom{n}{0},\binom{n}{1}, \cdots,\binom{n}{n}\right)
$$

Gives B-splines of degree $n+1$.
$\mathrm{n}=0$ :
$\mathrm{n}=1$ :
$\mathrm{n}=2$ :

## Local subdivision matrix

Consider the cubic B-spline subdivision mask:

$$
\frac{1}{4}\left(\begin{array}{lll}
1 & 2 & 1
\end{array}\right)
$$

Now consider what happens during splitting and averaging:


We can write equations that relate points at one subdivision level to points at the previous:
$Q_{L}^{1^{\star}}=\frac{1}{2}\left(Q_{L}^{0}+Q_{C}^{0}\right)$
$Q_{R}^{1^{\star}}=\frac{1}{2}\left(Q_{C}^{0}+Q_{R}^{0}\right)$
$Q_{L}^{1}=\frac{1}{4}\left(Q_{L}^{0}+2 Q_{L}^{1^{*}}+Q_{C}^{0^{*}}\right)=\frac{1}{4}\left(2 Q_{L}^{0}+2 Q_{C}^{0}\right)=\frac{1}{8}\left(4 Q_{L}^{0}+4 Q_{C}^{0}\right)$
$Q_{C}^{1}=\frac{1}{4}\left(Q_{L}^{1^{*}}+2 Q_{C}^{0}+Q_{R}^{1^{*}}\right)=\frac{1}{8}\left(Q_{L}^{0}+6 Q_{C}^{0}+Q_{R}^{0}\right)$
$Q_{R}^{1}=\frac{1}{4}\left(Q_{C}^{0}+2 Q_{R}^{\star^{\star}}+Q_{R}^{0}\right)=\frac{1}{4}\left(2 Q_{C}^{0}+2 Q_{R}^{0}\right)=\frac{1}{8}\left(4 Q_{C}^{0}+4 Q_{R}^{0}\right)$

## Local subdivision matrix

We can write this as a recurrence relation in matrix form:

$$
\begin{aligned}
\left(\begin{array}{l}
Q_{L}^{j} \\
Q_{C}^{j} \\
Q_{R}^{j}
\end{array}\right) & =\frac{1}{8}\left(\begin{array}{lll}
4 & 4 & 0 \\
1 & 6 & 1 \\
0 & 4 & 4
\end{array}\right)\left(\begin{array}{l}
Q_{L}^{j-1} \\
Q_{C}^{j-1} \\
Q_{R}^{j-1}
\end{array}\right) \\
Q^{j} & =S Q^{j-1}
\end{aligned}
$$

Where the $Q$ 's are (for convenience) row vectors and $S$ is the local subdivision matrix.

Expanding this relation we get

$$
Q^{j}=S Q^{j-1}=S S Q^{j-2}=S S S Q^{j-3}=\cdots=S^{j} Q^{0}
$$

and so the limit position for $Q^{0}$ is

$$
Q^{\infty}=\lim _{j \rightarrow \infty} S^{j} Q^{0}
$$

## Recipe for subdivision curves

Each subdivision scheme has its own evaluation mask, determined by eigenanalysis of the subdivision and averaging rules.

After subdividing and averaging a few times to get a fine enough mesh, we can push each vertex in the mesh to its limit position by applying the evaluation mask.

For Lane-Riesenfeld cubic B-spline subdivision, the evaluation mask is:

$$
\frac{1}{6}\left(\begin{array}{lll}
1 & 4 & 1
\end{array}\right)
$$

Now we can cook up a simple procedure for creating subdivision curves:

- Subdivide (split+average) the control polygon a few times. Use the averaging mask.
- Push the resulting points to the limit positions. Use the evaluation mask.


## Building complex models

We can extend the idea of subdivision from curves to surfaces...

For DLG (Dyn-Levin-Gregory), the averaging mask is:

$$
r=\frac{1}{16}(-2,5,10,5,-2)
$$



Since we are only changing the midpoints, the points after the averaging step do not move.

## Subdivision surfaces

Chaikin's use of subdivision for curves inspired similar techniques for subdivision surfaces.

Iteratively refine a control polyhedron (or control mesh) to produce the limit surface

$$
\sigma=\lim _{j \rightarrow \infty} M^{j}
$$

using splitting and averaging steps.


## Triangular subdivision

There are a variety of ways to subdivide a poylgon mesh.

A common choice for triangle meshes is $4: 1$ subdivision - each triangular face is split into four subfaces:


Original


After splitting

## Loop's subdivision scheme

Once again we can use masks for the averaging step:


Veriex neighorhood


Averaging mask (before affine normalization)

$$
\mathbf{Q} \leftarrow \frac{\alpha(n) \mathbf{Q}+\mathbf{Q}_{1}+\cdots+\mathbf{Q}_{n}}{\alpha(n)+n}
$$

where

$$
\alpha(n)=\frac{n(1-\beta(n))}{\beta(n)} \quad \beta(n)=\frac{5}{4}-\frac{(3+2 \cos (2 \pi / n))^{2}}{32}
$$

These values, due to Charles Loop, are carefully chosen to ensure smoothness - namely, tangent plane or normal continuity.

Note: tangent plane continuity is also know as $\mathrm{G}^{1}$ continuity for surfaces.

## Loop's evaluation and tangent masks

As with subdivision curves, we can split and average a number of times and then push the points to their limit positions.


Evaluation mask (besore affine nomnalization)

$$
\begin{aligned}
& \mathbf{Q}^{\infty}=\frac{\varepsilon(n) \mathbf{Q}+\mathbf{Q}_{1}+\cdots+\mathbf{Q}_{n}}{\varepsilon(n)+n} \\
& \mathbf{T}_{1}^{\infty}=\tau_{1}(n) \mathbf{Q}_{1}+\tau_{2}(n) \mathbf{Q}_{2}+\cdots+\tau_{n}(n) \mathbf{Q}_{n} \\
& \mathbf{T}_{2}^{\infty}=\tau_{n}(n) \mathbf{Q}_{1}+\tau_{1}(n) \mathbf{Q}_{2}+\cdots+\tau_{n-1}(n) \mathbf{Q}_{n}
\end{aligned}
$$

where

$$
\varepsilon(n)=\frac{3 n}{\beta(n)} \quad \tau_{i}(n)=\cos (2 \pi i / n)
$$

How do we compute the normal? Why would we want to?

## Recipe for subdivision surfaces

As with subdivision curves, we can now describe a recipe for creating and rendering subdivision surfaces:

- Subdivide (split+average) the control polyhedron a few times to get a reasonably fine mesh. Use the averaging mask.
- Compute two tangent vectors using the tangent masks.
- Compute the normal from the tangent vectors.
- Push the points to their limit positions. Use the evaluation mask.
- Render!


## Adding creases

In some cases, we want a particular feature such as a crease to be preserved.

For subdivision surfaces, we can just modify the subdivision mask:


This gives rise to $\mathrm{G}^{0}$ continuous surfaces (i.e., having positional but not tangent plane continuity)


## Catmull-Clark subdivision

4:1 subdivision of triangles is sometimes called a face scheme for subdivision, as each face begets more faces.

An alternative face scheme starts with arbitrary polygon meshes and inserts vertices along edges and at face centroids:


Catmull-Clark subdivision:


Note: after the first subdivision, all polygons are quadilaterals in this scheme.

## Creases

Here's an example using Catmull-Clark surfaces (based on subdividing quadrilateral meshes):


## Summary

What to take home:

- The meanings of all the boldfaced terms.
- How to perform the splitting and averaging steps on subdivision curves.
- How to perform mesh splitting steps for subdivision surfaces, especially Loop.
- How to construct and render subdivision surfaces from their averaging masks, evaluation masks, and tangent masks.

