

# CSE 417: Algorithms and Computational Complexity

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## Divide & Conquer

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1

## Algorithm Design Techniques

- **Divide & Conquer**
  - Reduce problem to one or more sub-problems of the same type
  - Typically, each sub-problem is **at most a constant fraction** of the size of the original problem
    - e.g. Mergesort, Binary Search, Strassen's Algorithm, Quicksort (kind of)

2

## Fast exponentiation

- **Power(a,n)**
  - **Input:** integer  $n$  and number  $a$
  - **Output:**  $a^n$
- Obvious algorithm
  - $n-1$  multiplications
- Observation:
  - if  $n$  is even,  $n=2m$ , then  $a^n = a^m \cdot a^m$

3

## Divide & Conquer Algorithm

- **Power(a,n)**

```

if n=0 then return(1)
else if n=1 then return(a)
else
  x ← Power(a,⌊n/2⌋)
  if n is even then
    return(x•x)
  else
    return(a•x•x)

```

4

## Analysis

- Worst-case recurrence
  - $T(n) = T(\lfloor n/2 \rfloor) + 2$  for  $n > 1$
  - $T(1) = 0$
- Time
  - $T(n) = T(\lfloor n/2 \rfloor) + 2 = T(\lfloor n/4 \rfloor) + 2 + 2 = \dots$   
 $= T(1) + \underbrace{2 + \dots + 2}_{\log_2 n \text{ copies}} = 2 \log_2 n$
- More precise analysis:
  - $T(n) = \lceil \log_2 n \rceil + \# \text{ of } 1\text{'s in } n\text{'s binary representation}$

5

## A Practical Application- RSA

- Instead of  $a^n$  want  $a^n \bmod N$ 
  - $a^{i+j} \bmod N = ((a^i \bmod N) \cdot (a^j \bmod N)) \bmod N$
  - same algorithm applies with each  $x \cdot y$  replaced by  $((x \bmod N) \cdot (y \bmod N)) \bmod N$
- In RSA cryptosystem (widely used for security)
  - need  $a^n \bmod N$  where  $a, n, N$  each typically have 1024 bits
  - Power: at most 2048 multiplies of 1024 bit numbers
    - relatively easy for modern machines
  - Naive algorithm:  $2^{1024}$  multiplies

6

### Binary search for roots (bisection method)

- Given:
  - continuous function  $f$  and two points  $a < b$  with  $f(a) = 0$  and  $f(b) > 0$
- Find:
  - approximation to  $c$  s.t.  $f(c) = 0$  and  $a < c < b$

7

### Bisection method

```

Bisection(a, b, e)
  if (a-b) < e then
    return(a)
  else
    c ← -(a+b)/2
    if f(c) = 0 then
      return(Bisection(c, b, e))
    else
      return(Bisection(a, c, e))
  
```

8

### Time Analysis

- At each step we halved the size of the interval
- It started at size  $b-a$
- It ended at size  $\epsilon$
- # of calls to  $f$  is  $\log_2((b-a)/\epsilon)$

9

### Mergesort (review)

Mergesort: (recursively) sort 2 half-lists, then merge results.

- $T(n) = 2T(n/2) + cn, n \geq 2$
- $T(1) = 0$
- Solution:  $\Theta(n \log n)$

10

### Why Balanced Subdivision?

- Alternative "divide & conquer" algorithm:
  - Sort first  $n-1$
  - Sort last  $1$
  - Merge them
- Recurrence
  - $T(n) = T(n-1) + T(1) + 3n$  for  $n \geq 2$
  - $T(1) = 0$
- Solution:
  - $3n + 3(n-1) + 3(n-2) \dots = \Theta(n^2)$

11

### Another D&C Approach

- Suppose we've already invented DumbSort, taking time  $n^2$
- Try *Just One Level* of divide & conquer:
  - DumbSort(first  $n/2$  elements)
  - DumbSort(last  $n/2$  elements)
  - Merge results
- Time:
  - $(n/2)^2 + (n/2)^2 + n = n^2/2 + n$
  - Almost twice as fast!

12

### Some Divide & Conquer morals

- Moral 1:**
  - Two problems of half size are *better* than one full-size problem, even given the  $O(n)$  overhead of recombining, since the base algorithm has *super-linear* complexity.
- Moral 2:**
  - If a little's good, then more's better
    - 2 levels of D&C would be almost 4 times faster, 3 levels almost 8, etc., even though overhead is growing.
    - Best is usually full recursion down to some small constant size (balancing "work" vs "overhead").

13

### Divide & Conquer morals

- Moral 3: unbalanced division less good:**
  - $(.1n)^2 + (.9n)^2 + n = .82n^2/2 + n$ 
    - The 18% savings compounds significantly if you carry recursion to more levels, actually giving  $O(n \log n)$ , but with a bigger constant.
    - worth doing if you can't get 50-50 split, but balanced is better if you can.
    - This is intuitively why Quicksort with random splitter is good – badly unbalanced splits are rare, and not instantly fatal.
  - $(1)^2 + (n-1)^2 + n = n^2 - 2n + 2 + n$ 
    - Little improvement here.

14

### Sometimes two sub-problems aren't enough

- More general divide and conquer
  - You've broken the problem into  $a$  different sub-problems
  - Each has size at most  $n/b$
  - The cost of the break-up and recombining the sub-problem solutions is  $O(n^k)$
- Recurrence
  - $T(n) = aT(n/b) + cn^k$

15

### Master Divide and Conquer Recurrence

- If  $T(n) = aT(n/b) + cn^k$  for  $n > b$  then
  - if  $a > b^k$  then  $T(n)$  is  $Q(n^{log_b a})$
  - if  $a < b^k$  then  $T(n)$  is  $Q(n^k)$
  - if  $a = b^k$  then  $T(n)$  is  $Q(n^k \log n)$
- Works even if it is  $\lceil n/b \rceil$  instead of  $n/b$ .

16

### Proving Master recurrence

Problem size  $T(n) = aT(n/b) + cn^k$  # probs

17

### Proving Master recurrence

Problem size  $T(n) = aT(n/b) + cn^k$  # probs

18

### Proving Master recurrence

$T(n) = aT(n/b) + c \cdot n^k$  # probs      cost

Problem size	# probs	cost
$n$	1	$cn^k$
$n/b$	$a$	$c \cdot a \cdot n^k / b^k$
$n/b^2$	$a^2$	$c \cdot a^2 \cdot n^k / b^{2k}$ $= c \cdot n^k (a/b^k)^2$
$b$	$a^d$	$c \cdot n^k (a/b^k)^d$ $= c \cdot a^d$
1	$a^d$	$c \cdot a^d$

$d = \log_b n$   
 $T(1) = c$

19

### Geometric Series

- $S = t + tr + tr^2 + \dots + tr^{n-1}$
- $rS = tr + tr^2 + \dots + tr^{n-1} + tr^n$
- $(r-1)S = tr^n - t$
- so  $S = t(r^n - 1)/(r - 1)$  if  $r \neq 1$ .
- Simple rule
  - if  $r > 1$  then  $S$  is a constant times largest term in series

20

### Total Cost

- Geometric series
  - ratio  $a/b^k$
  - $d+1 = \log_b n + 1$  terms
  - first term  $cn^k$ , last term  $ca^d$
- If  $a/b^k = 1$ 
  - all terms are equal  $T(n)$  is  $\Theta(n^k \log n)$
- If  $a/b^k < 1$ 
  - first term is largest  $T(n)$  is  $\Theta(n^k)$
- If  $a/b^k > 1$ 
  - last term is largest  $T(n)$  is  $\Theta(a^d) = \Theta(a^{\log_b n}) = \Theta(n^{\log_b a})$   
 (To see this take  $\log_b$  of both sides)

21