

# CSE 417: Algorithms and Computational Complexity

## Dealing with NP-completeness

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### What to do if the problem you want to solve is NP-hard

- You might have phrased your problem too generally
  - e.g., in practice, the graphs that actually arise are far from arbitrary
    - maybe they have some special characteristic that allows you to solve the problem in your special case
      - for example the Clique problem is easy on "interval graphs".
    - search the literature to see if special cases already solved

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### What to do if the problem you want to solve is NP-hard

- Try to find an approximation algorithm
  - Maybe you can't get the size of the best Vertex Cover but you can find one within a factor of 2 of the best
    - Given graph  $G=(V,E)$ , start with an empty cover
    - While there are still edges in  $E$  left
      - Choose an edge  $e=\{u,v\}$  in  $E$  and add both  $u$  and  $v$  to the cover
      - Remove all edges from  $E$  that touch either  $u$  or  $v$ .
    - Edges chosen don't share any vertices so optimal cover size must be at least # of edges chosen

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### What to do if the problem you want to solve is NP-hard

- Try to find an approximation algorithm
  - Recent research has classified problems based on what kinds of approximations are possible if  $P \neq NP$ 
    - Best:  $(1+\epsilon)$  factor for any  $\epsilon > 0$ .
      - packing and some scheduling problems, TSP in plane
    - Some fixed constant factor  $> 1$ , e.g. 2, 3/2, 100
      - Vertex Cover, TSP in space, other scheduling problems
    - $O(\log n)$  factor
      - Set Cover, Graph Partitioning problems
    - Worst:  $\Omega(n^{1-\epsilon})$  factor for any  $\epsilon > 0$ 
      - Clique, Independent-Set, Coloring

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### What to do if the problem you want to solve is NP-hard

- Try an algorithm that is provably fast "on average".
  - To even try this one needs a model of what a typical instance is.
  - Typically, people consider "random graphs"
    - e.g. all graphs with a given # of edges are equally likely
  - Problems:
    - real data doesn't look like the random graphs
    - distributions of real data aren't analyzable

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### What to do if the problem you want to solve is NP-hard

- Try to search the space of possible hints in a more efficient way and hope it is quick enough
  - e.g. back-tracking search
    - For Satisfiability there are  $2^n$  possible truth assignments
    - If we set the truth values one-by-one we might be able to figure out whole parts of the space to avoid.
      - e.g. After setting  $x_1=1, x_2=0$  we don't even need to set  $x_3$  or  $x_4$  to know that it won't satisfy  $(\exists x_1 \cup x_2) \cup (\exists x_2 \cup x_3) \cup (x_4 \cup \exists x_3) \cup (x_1 \cup \exists x_4)$
    - For Satisfiability this seems to run in times like  $2^{n/20}$  on typical hard instances.
    - Related technique: branch-and-bound

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### What to do if the problem you want to solve is NP-hard

- Use heuristic algorithms and hope they give good answers
  - No guarantees of quality
  - Many different types of heuristic algorithms
- Many different options, especially for optimization problems, such as TSP, where we want the best solution.
  - We'll mention several on following slides

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### Heuristic algorithms for NP-hard problems

- local search** for optimization problems
  - need a notion of two solutions being neighbors
  - Start at an arbitrary solution **S**
  - While there is a neighbor **T** of **S** that is better than **S**
    - $S \leftarrow T$
- Usually fast but often gets stuck in a local optimum and misses the global optimum
  - With some notions of neighbor can take a long time in the worst case

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### e.g., Neighboring solutions for TSP

Two solutions are neighbors iff there is a pair of edges you can swap to transform one to the other

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### Heuristic algorithms for NP-hard problems

- randomized local search**
  - start local search several times from random starting points and take the best answer found from each point
    - more expensive than plain local search but usually much better answers
- simulated annealing**
  - like local search but at each step sometimes move to a worse neighbor with some probability
    - probability of going to a worse neighbor is set to decrease with time as, presumably, solution is closer to optimal
    - helps avoid getting stuck in a local optimum but often slow to converge (much more expensive than randomized local search)
    - analogy with slow cooling to get to lowest energy state in a crystal (or in forging a metal)

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### Heuristic algorithms for NP-hard problems

- genetic algorithms**
  - view each solution as a string (analogy with DNA)
  - maintain a population of good solutions
  - allow random mutations of single characters of individual solutions
  - combine two solutions by taking part of one and part of another (analogy with crossover in sexual reproduction)
  - get rid of solutions that have the worst values and make multiple copies of solutions that have the best values (analogy with natural selection -- survival of the fittest).
- little evidence that they work well and they are usually very slow
  - as much religion as science

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### Heuristic algorithms

- artificial neural networks**
  - based on very elementary model of human neurons
  - Set up a circuit of artificial neurons
    - each artificial neuron is an analog circuit gate whose computation depends on a set of connection strengths
  - Train the circuit
    - Adjust the connection strengths of the neurons by giving many positive & negative training examples and seeing if it behaves correctly
  - The network is now ready to use
- useful for ill-defined classification problems such as optical character recognition but not typical cut & dried problems

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## Other fun directions

- DNA computing
  - Each possible hint for an NP problem is represented as a string of DNA
    - fill a test tube with all possible hints
  - View verification algorithm as a series of tests
    - e.g. checking each clause is satisfied in case of Satisfiability
  - For each test in turn
    - use lab operations to filter out all DNA strings that fail the test (works in parallel on all strings; uses PCR)
  - If any string remains the answer is a YES.
  - Relies on fact that Avogadro's #  $6 \times 10^{23}$  is large to get enough strings to fit in a test-tube.
  - Error-prone & so far only problem sizes less than 15!

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## Other fun directions

- Quantum computing
  - Use physical processes at the quantum level to implement weird kinds of circuit gates
    - unitary transformations
  - Quantum objects can be in a superposition of many pure states at once
    - can have  $n$  objects together in a superposition of  $2^n$  states
  - Each quantum circuit gate operates on the whole superposition of states at once
    - inherent parallelism
  - Need totally new kinds of algorithms to work well. Theoretically able to factor efficiently but huge practical problems: errors, decoherence.

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