CSE373: Data Structures & Algorithms

Lecture 5: Dictionary ADTs; Binary Trees

Catie Baker

Spring 2015

Today's Outline

Announcements

- Homework 1 due TODAY at 11:59pm ☺
- Homework 2 out
  - Due online Wednesday April 15th at the START of class

Today's Topics

- Finish Asymptotic Analysis
- Dictionary ADT (a.k.a. Map): associate keys with values
  - Extremely common
- Binary Trees

Summary of Asymptotic Analysis

Analysis can be about:

- The problem or the algorithm (usually algorithm)
- Time or space (usually time)
  – Or power or dollars or …
- Best-, worst-, or average-case (usually worst)
- Upper-, lower-, or tight-bound (usually upper)

- The most common thing we will do is give an O upper bound to the worst-case running time of an algorithm.

Big-Oh Caveats

- Asymptotic complexity focuses on behavior for large n and is independent of any computer / coding trick
- But you can “abuse” it to be misled about trade-offs
- Example: $n^{1/10}$ vs. **`log`** n
  - Asymptotically $n^{1/10}$ grows more quickly
  - But the “cross-over” point is around $5 * 10^{17}$
  - So if you have input size less than 2^{58}, prefer $n^{1/10}$
- For *small n*, an algorithm with worse asymptotic complexity might be faster
  - If you care about performance for small n then the constant factors can matter

Addendum: Timing vs. Big-Oh Summary

- Big-oh is an essential part of computer science's mathematical foundation
  - Examine the algorithm itself, not the implementation
  - Reason about (even prove) performance as a function of *n*

- Timing also has its place
  - Compare implementations
  - Focus on data sets you care about (versus worst case)
  - Determine what the constant factors "really are"

Let's take a breath

- So far we've covered
  - Some simple ADTs: stacks, queues, lists
  - Some math (proof by induction)
  - How to analyze algorithms
  - Asymptotic notation (Big-Oh)

- Coming up….
  - Many more ADTs
    - Starting with dictionaries

The Dictionary (a.k.a. Map) ADT

- Data:
  - set of (key, value) pairs
  - keys must be comparable
- Operations:
  - **`insert(key,value)`**
  - **`find(key)`**
  - **`delete(key)`**
  - …

insert(catie, ….)

find(rama)

Rama Gokhale, …

- **catie**
  Catie Baker
  OH: Wed 11.00-12.00
  …
- **rama**
  Rama Gokhale
  OH: Fri 3.30-4.30
  …
- **conrad**
  Conrad Nied
  OH: Wed 4:00-5:00
  …

Will tend to emphasize the keys;
don't forget about the stored values

A Modest Few Uses

Any time you want to store information according to some key and be able to retrieve it efficiently

- Lots of programs do that!

• Search:	inverted indexes, phone directories, …
• Networks:	router tables
• Operating systems:	page tables
• Compilers:	symbol tables
• Databases:	dictionaries with other nice properties
• Biology:	genome maps
• …	

Possibly the most widely used ADT

Simple implementations

For dictionary with *n* key/value pairs

	`insert`	`find`	`delete`
• Unsorted linked-list	$O(1)$*	$O(n)$	$O(n)$
• Unsorted array	$O(1)$*	$O(n)$	$O(n)$
• Sorted linked list	$O(n)$	$O(n)$	$O(n)$
• Sorted array	$O(n)$	$O(\log n)$	$O(n)$

* Unless we need to check for duplicates

We'll see a Binary Search Tree (BST) probably does better

but not in the worst case (unless we keep it balanced)

Lazy Deletion

10	12	24	30	41	42	44	45	50
✓	✗	✓	✓	✓	✓	✗	✓	✓

A *general technique* for making **`delete`** as fast as **`find`**:

- Instead of actually removing the item just mark it deleted

Plusses:

- Simpler
- Can do removals later in batches
- If re-added soon thereafter, just unmark the deletion

Minuses:

- Extra *space* for the “is-it-deleted” flag
- Data structure full of deleted nodes wastes *space*
- May complicate other operations

Better dictionary data structures

There are many good data structures for (large) dictionaries

1. Binary trees
2. AVL trees
   – Binary search trees with *guaranteed balancing*

3. B-Trees
   – Also always balanced, but different and shallower
   – B-Trees are not the same as Binary Trees
     • B-Trees generally have large branching factor

4. Hashtables
   – Not tree-like at all

Skipping: Other balanced trees (e.g., red-black, splay)

Tree terms (review?)

Root **(tree)**

Leaves **(tree)**

Children **(node)**

Parent **(node)**

Siblings **(node)**

Ancestors **(node)**

Descendents **(node)**

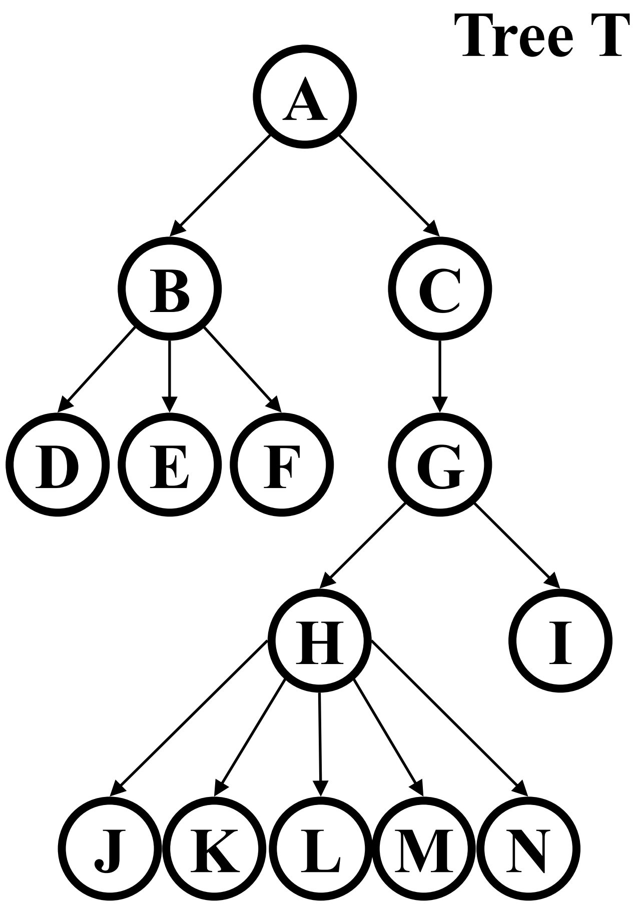
Subtree **(node)**

Depth **(node)**

Height **(tree)**

Degree **(node)**

Branching factor **(tree)**



More tree terms

- There are many kinds of trees
  - Every binary tree is a tree
  - Every list is kind of a tree (think of “next” as the one child)

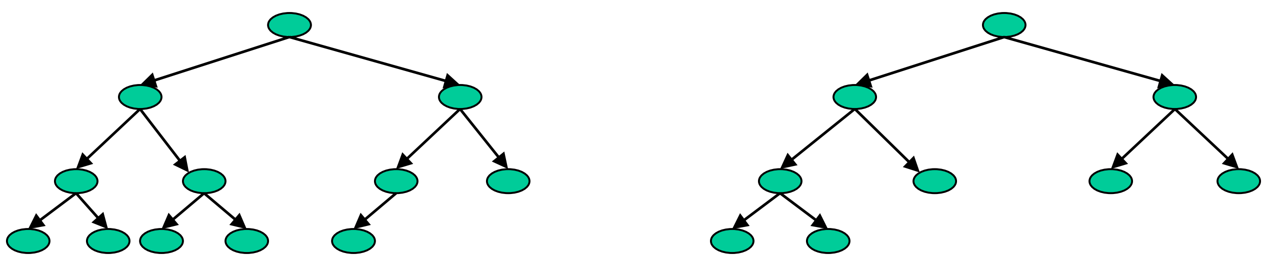
- There are many kinds of binary trees
  - Every binary search tree is a binary tree
  - Later: A binary heap is a different kind of binary tree

- A tree can be balanced or not
  - A balanced tree with n nodes has a height of $O(\texttt{log}\ n)$
  - Different tree data structures have different “balance conditions” to achieve this

Kinds of trees

Certain terms define trees with specific structure

- Binary tree: Each node has at most 2 children (branching factor 2)
- *n*-ary tree: Each node has at most *n* children (branching factor *n*)
- Perfect tree: Each row completely full
- Complete tree: Each row completely full except maybe the bottom row, which is filled from left to right



What is the height of a perfect binary tree with n nodes?

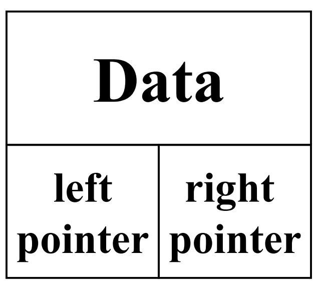
A complete binary tree?

Binary Trees

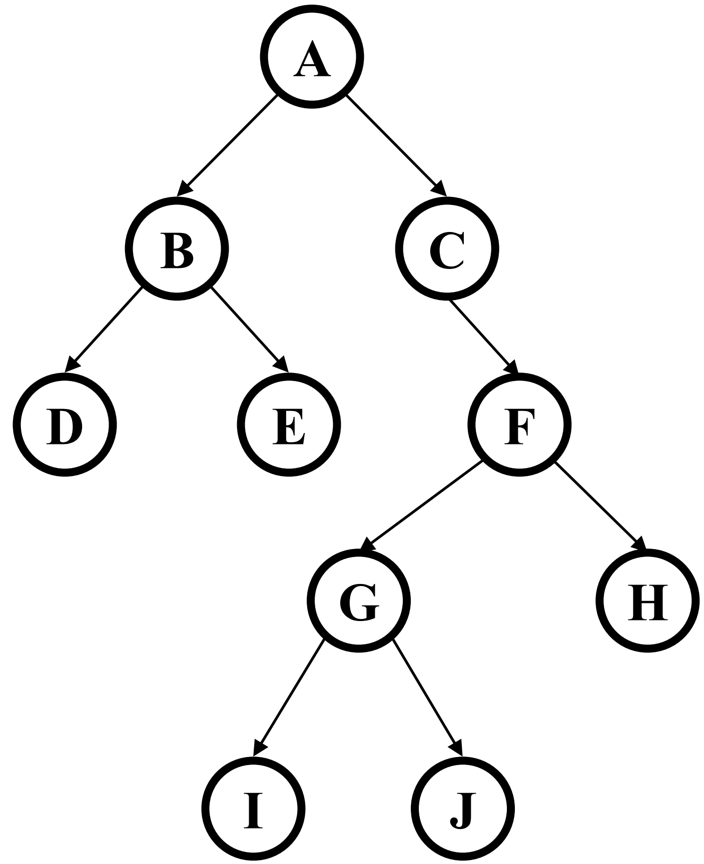
- Binary tree: Each node has at most 2 children (branching factor 2)

- Binary tree is
  - A root *(with data)*
  - A left subtree *(may be empty)*
  - A right subtree *(may be empty)*

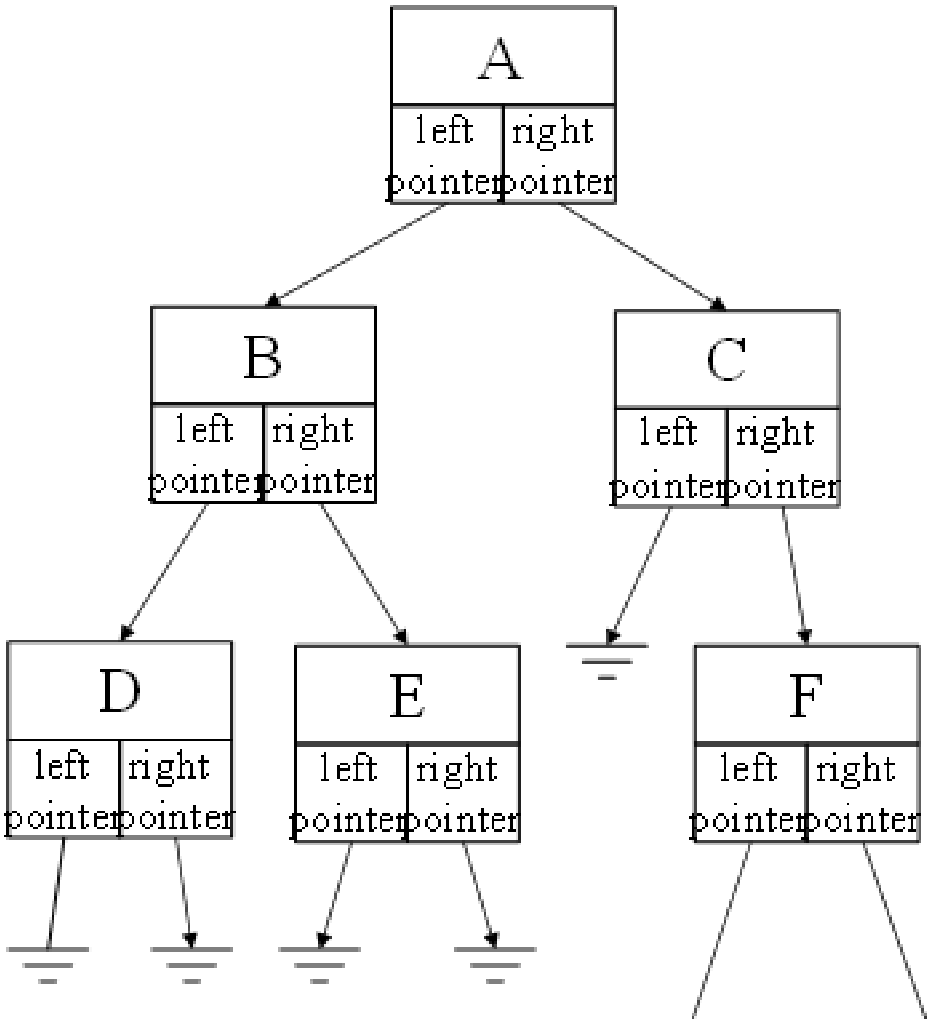
- Representation:

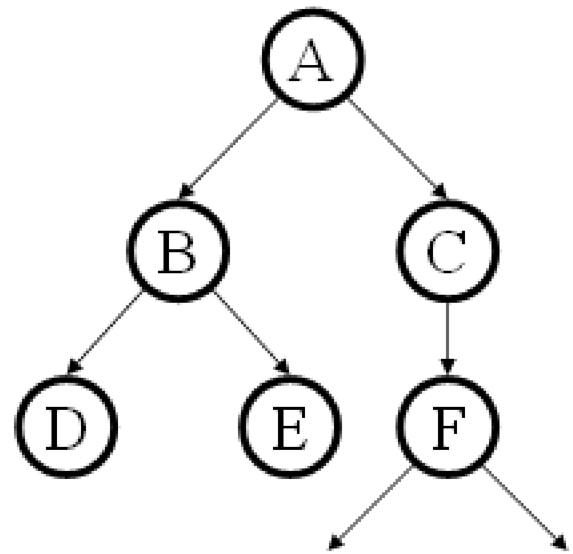


- For a dictionary, data will include a key and a value



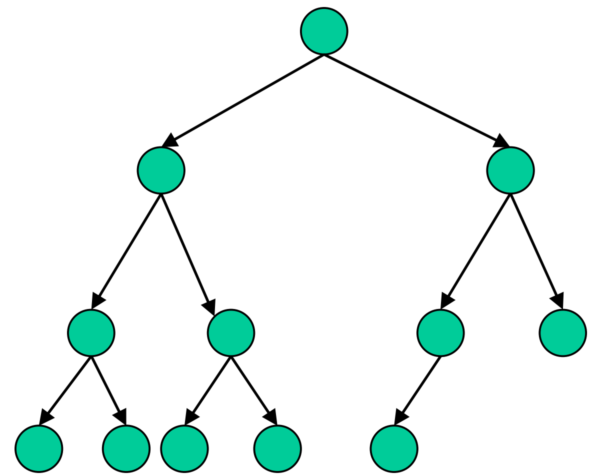
Binary Tree Representation





Binary Trees: Some Numbers

Recall: height of a tree = longest path from root to leaf (count edges)



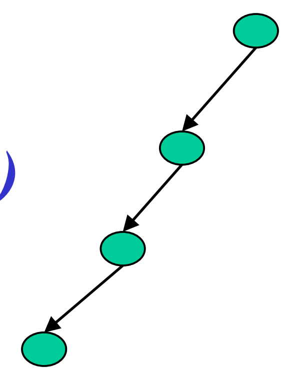
For binary tree of height *h*:

– max # of leaves: 2^h

– max # of nodes: $2^{(h+1)} - 1$

– min # of leaves: 1

– min # of nodes: $h + 1$



For n nodes, we cannot do better than O(`log` n) height and we want to avoid O(n) height

Calculating height

What is the height of a tree with root **`root`**?

```
int treeHeight(Node root) {

            ???


}
```

Calculating height

What is the height of a tree with root **`root`**?

```
int treeHeight(Node root) {
  if(root == null)
    return -1;
  return 1 + max(treeHeight(root.left),
                 treeHeight(root.right));
}
```

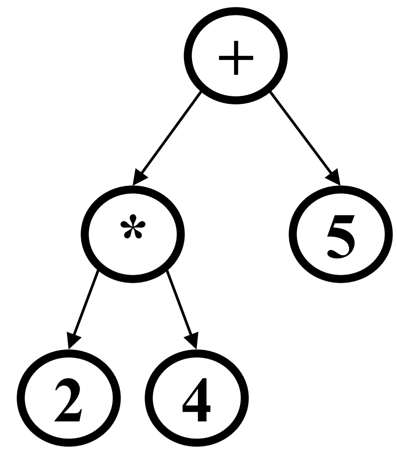
Running time for tree with *n* nodes: *O*(*n*) – single pass over tree

Note: non-recursive is painful – need your own stack of pending nodes; much easier to use recursion's call stack

Tree Traversals

A *traversal* is an order for visiting all the nodes of a tree

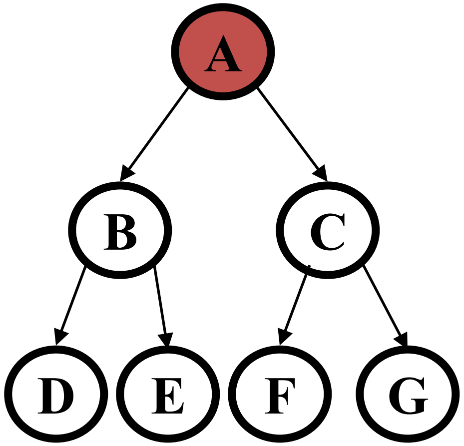
- *Pre-order*: root, left subtree, right subtree
- *In-order*: left subtree, root, right subtree
- *Post-order*: left subtree, right subtree, root



(an expression tree)

More on traversals

```
void inOrderTraversal(Node t){
  if(t != null) {
    inOrderTraversal(t.left);
    process(t.element);
    inOrderTraversal(t.right);
  }
}
```



= current node

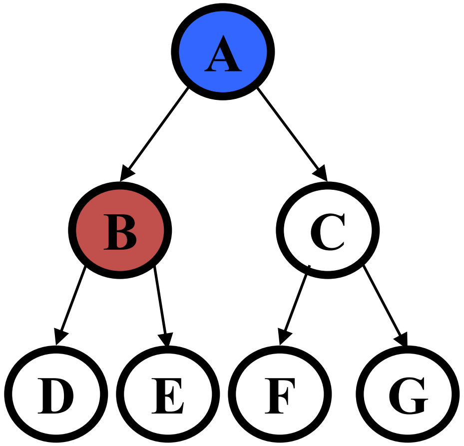
= processing (on the call stack)

= completed node

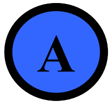
✓ = element has been processed

More on traversals

```
void inOrderTraversal(Node t){
  if(t != null) {
    inOrderTraversal(t.left);
    process(t.element);
    inOrderTraversal(t.right);
  }
}
```



= current node



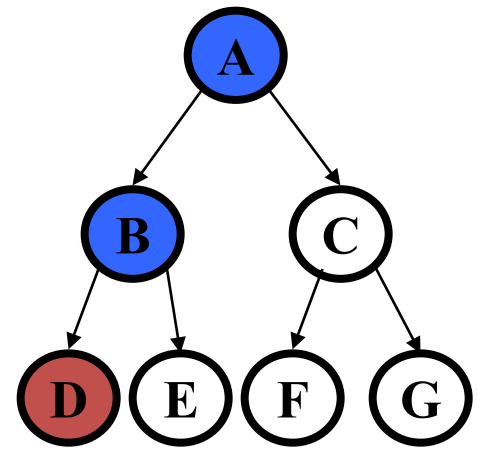
= processing (on the call stack)

= completed node

✓ = element has been processed

More on traversals

```
void inOrderTraversal(Node t){
  if(t != null) {
    inOrderTraversal(t.left);
    process(t.element);
    inOrderTraversal(t.right);
  }
}
```

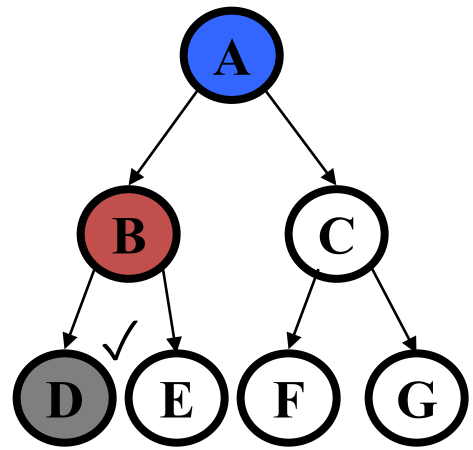


A = current node A = processing (on the call stack)

A = completed node ✓ = element has been processed

More on traversals

```
void inOrderTraversal(Node t){
  if(t != null) {
    inOrderTraversal(t.left);
    process(t.element);
    inOrderTraversal(t.right);
  }
}
```



= current node

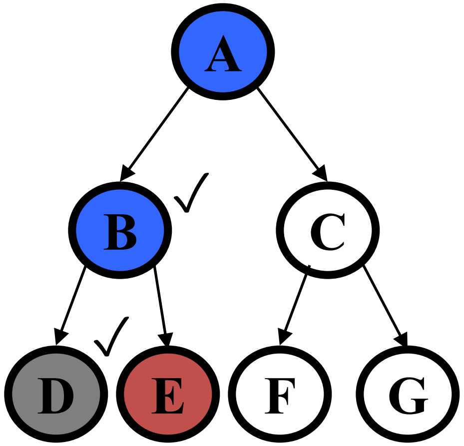
= processing (on the call stack)

= completed node

✓ = element has been processed

More on traversals

```
void inOrderTraversal(Node t){
  if(t != null) {
    inOrderTraversal(t.left);
    process(t.element);
    inOrderTraversal(t.right);
  }
}
```

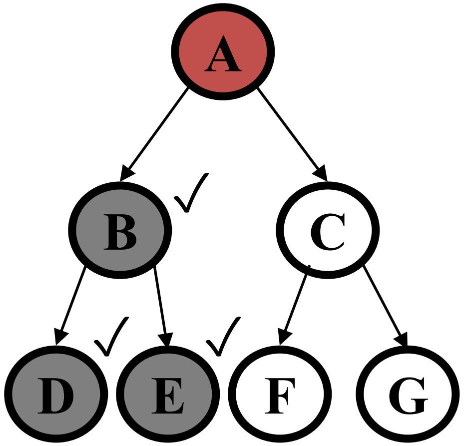


A = current node   A = processing (on the call stack)

A = completed node   ✓ = element has been processed

More on traversals

```
void inOrderTraversal(Node t){
  if(t != null) {
    inOrderTraversal(t.left);
    process(t.element);
    inOrderTraversal(t.right);
  }
}
```



= current node

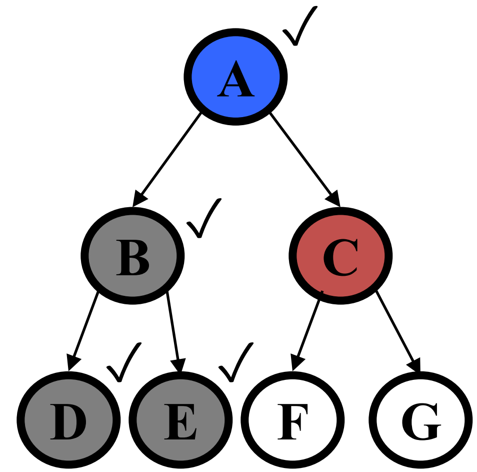
= processing (on the call stack)

= completed node

✓ = element has been processed

More on traversals

```
void inOrderTraversal(Node t){
  if(t != null) {
    inOrderTraversal(t.left);
    process(t.element);
    inOrderTraversal(t.right);
  }
}
```

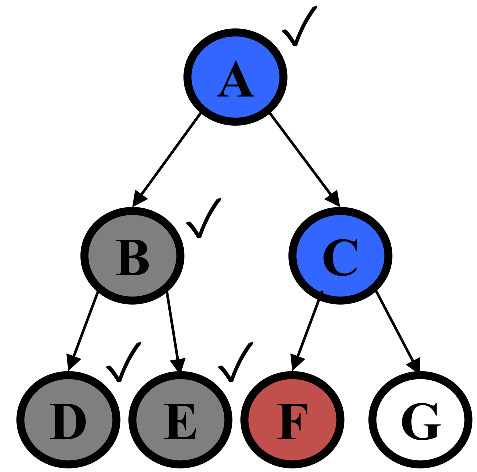


A = current node A = processing (on the call stack)

A = completed node ✓ = element has been processed

More on traversals

```
void inOrderTraversal(Node t){
  if(t != null) {
    inOrderTraversal(t.left);
    process(t.element);
    inOrderTraversal(t.right);
  }
}
```



= current node

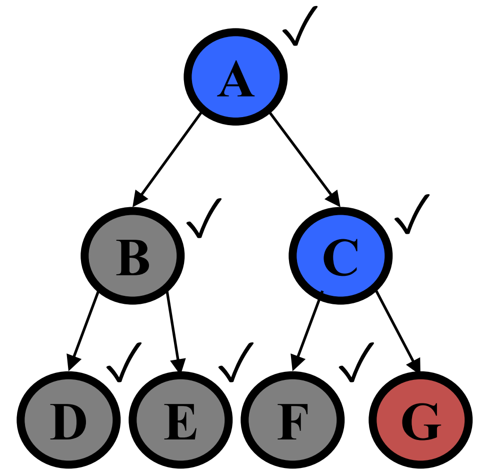
= processing (on the call stack)

= completed node

✓ = element has been processed

More on traversals

```
void inOrderTraversal(Node t){
   if(t != null) {
      inOrderTraversal(t.left);
      process(t.element);
      inOrderTraversal(t.right);
   }
}
```

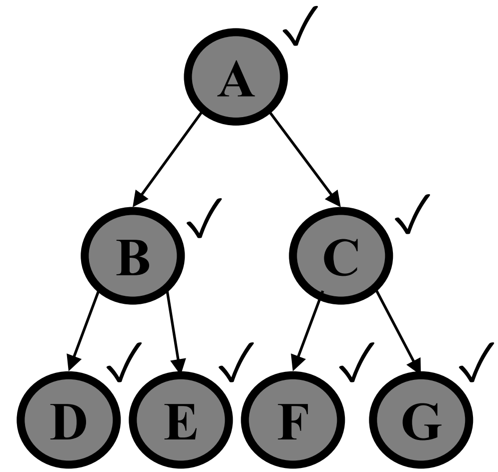


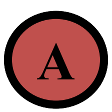
A = current node   A = processing (on the call stack)

A = completed node   √ = element has been processed

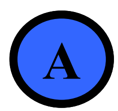
More on traversals

```
void inOrderTraversal(Node t){
   if(t != null) {
      inOrderTraversal(t.left);
      process(t.element);
      inOrderTraversal(t.right);
   }
}
```

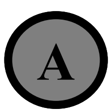




= current node



= processing (on the call stack)



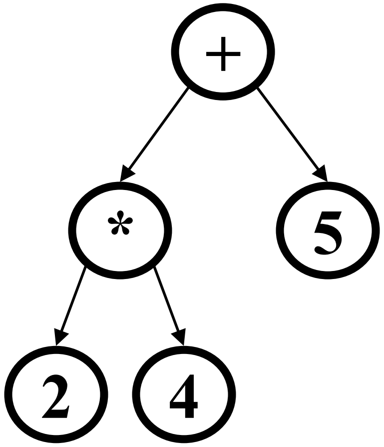
= completed node

✓ = element has been processed

Tree Traversals

A *traversal* is an order for visiting all the nodes of a tree

- *Pre-order*: root, left subtree, right subtree
  + * 2 4 5
- *In-order*: left subtree, root, right subtree
  2 * 4 + 5
- *Post-order*: left subtree, right subtree, root
  2 4 * 5 +



(an expression tree)