



# CSE 332: Data Abstractions Lecture 14: Introduction to Graphs

Ruth Anderson Spring 2013

#### Announcements

- **Project 2** Phase A due TONIGHT at 11pm
- Midterm Monday May 6<sup>th</sup> during lecture, info about midterm has been posted
  - Ruth has extra office hours Mon May 6<sup>th,</sup> 12:00pm-2pm
- Homework 4 due Friday May 10<sup>th</sup> at the BEGINNING of lecture

### Today

- Sorting
  - Beyond comparison sorting
- Graphs
  - Intro & Definitions

#### Where We Are

We have learned about the essential ADTs and data structures:

- Regular and Circular Arrays (dynamic sizing)
- Linked Lists
- Stacks, Queues
- Priority Queues, Heaps
- Unbalanced and Balanced Search Trees, B-Trees
- Hash Tables

We have also learned important algorithms

- Tree traversals
- Floyd's buildheap Method
- Sorting algorithms

### Where We Are Going

More on algorithms and related problems that require constructing data structures to make the solutions efficient

Topics will include:

- Graphs
- Parallelism
- Concurrency

### Graphs

- A graph is a formalism for representing relationships among items
  Very general definition because very general concept
- A graph is a pair

G = (V, E)

A set of vertices, also known as nodes

$$\mathbf{V} = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$$

- A set of edges

$$E = \{e_1, e_2, ..., e_m\}$$

- Each edge e<sub>i</sub> is a pair of vertices
  (v<sub>j</sub>, v<sub>k</sub>)
- An edge "connects" the vertices
- Graphs can be directed or undirected

Han Luke Leia

V = {<mark>Han</mark>,Leia,Luke}

$$E = \{ (Luke, Leia), \}$$

(Han, Leia),

(Leia, Han) }

### An ADT?

- Can think of graphs as an ADT with operations like  $isEdge((v_j, v_k))$
- But it is unclear what the "standard operations" are
- Instead we tend to develop algorithms over graphs and then use data structures that are efficient for those algorithms
- Many important problems can be solved by:
  - 1. Formulating them in terms of graphs
  - 2. Applying a standard graph algorithm
- To make the formulation easy and standard, we have a lot of standard terminology about graphs

# Some graphs

For each, what are the vertices and what are the edges?

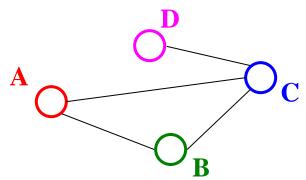
- Web pages with links
- Facebook friends
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
- Road maps (e.g., Google maps)
- Airline routes
- Family trees
- Course pre-requisites
- ...

Wow: Using the same algorithms for problems across so many domains sounds like "core computer science and engineering"

5/01/2013

### **Undirected Graphs**

- In undirected graphs, edges have no specific direction
  - Edges are always "two-way"

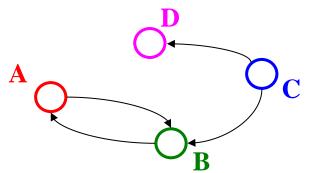


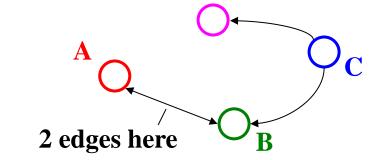
- Thus,  $(u, v) \in E$  implies  $(v, u) \in E$ .
  - Only one of these edges needs to be in the set; the other is implicit
- Degree of a vertex: number of edges containing that vertex
  - Put another way: the number of adjacent vertices

### **Directed Graphs**

In directed graphs (sometimes called digraphs), edges have a direction

or





- Thus,  $(u, v) \in E$  does not imply  $(v, u) \in E$ .
  - Let  $(u, v) \in E$  mean  $u \rightarrow v$
  - Call  $\mathbf{u}$  the source and  $\mathbf{v}$  the destination
- In-Degree of a vertex: number of in-bound edges,
  i.e., edges where the vertex is the destination
- Out-Degree of a vertex: number of out-bound edges i.e., edges where the vertex is the source

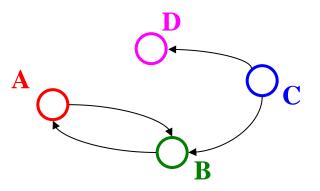
#### Self-edges, connectedness

- A self-edge a.k.a. a loop is an edge of the form (u,u)
  - Depending on the use/algorithm, a graph may have:
    - No self edges
    - Some self edges
    - All self edges (often therefore implicit, but we will be explicit)
- A node can have a degree / in-degree / out-degree of zero
- A graph does not have to be connected (In an undirected graph, this means we can follow edges from any node to every other node), even if every node has non-zero degree

#### More notation

For a graph G = (V, E):

- $|\mathbf{v}|$  is the number of vertices
- **|E|** is the number of edges
  - Minimum?
  - Maximum for undirected?
  - Maximum for directed?
- If  $(u, v) \in E$ 
  - Then  $\mathbf{v}$  is a neighbor of  $\mathbf{u}$ , i.e.,  $\mathbf{v}$  is adjacent to  $\mathbf{u}$
  - Order matters for directed edges
    - u is not adjacent to v unless  $(v, u) \in E$



 $V = \{A, B, C, D\}$  $E = \{(C, B), (A, B), (B, A), (B, A), (C, D)\}$ 

#### More notation

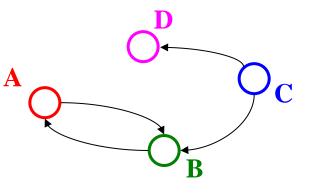
For a graph G = (V, E):

- |V| is the number of vertices
- **|E|** is the number of edges
  - Minimum?

- 0
- Maximum for undirected?  $|V| |V+1|/2 \in O(|V|^2)$
- Maximum for directed?  $|V|^2 \in O(|V|^2)$

(assuming self-edges allowed, else subtract |V|)

- If  $(u, v) \in E$ 
  - Then  $\mathbf{v}$  is a neighbor of  $\mathbf{u}$ , i.e.,  $\mathbf{v}$  is adjacent to  $\mathbf{u}$
  - Order matters for directed edges
    - u is not adjacent to v unless  $(v, u) \in E$



### Examples again

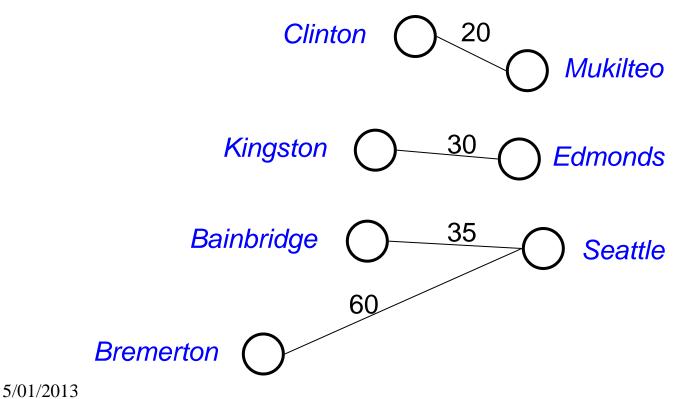
Which would use directed edges? Which would have self-edges? Which could have 0-degree nodes?

- Web pages with links
- Facebook friends
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
- Road maps (e.g., Google maps)
- Airline routes
- Family trees
- Course pre-requisites

• ...

# Weighted graphs

- In a weighed graph, each edge has a weight a.k.a. cost
  - Typically numeric (most examples will use ints)
  - Orthogonal to whether graph is directed
  - Some graphs allow *negative weights*; many don't



#### Examples

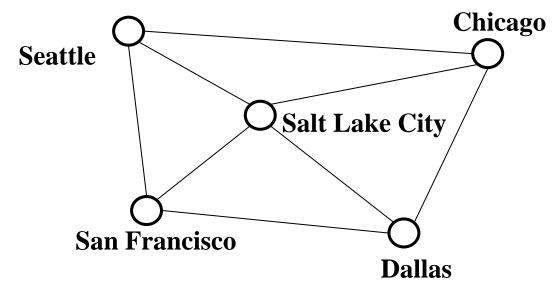
What, if anything, might weights represent for each of these? Do negative weights make sense?

- Web pages with links
- Facebook friends
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
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#### Paths and Cycles

- A path is a list of vertices [v<sub>0</sub>, v<sub>1</sub>, ..., v<sub>n</sub>] such that (v<sub>i</sub>, v<sub>i+1</sub>) ∈ E for all 0 ≤ i < n. Say "a path from v<sub>0</sub> to v<sub>n</sub>"
- A cycle is a path that begins and ends at the same node  $(\mathbf{v}_0 = = \mathbf{v}_n)$



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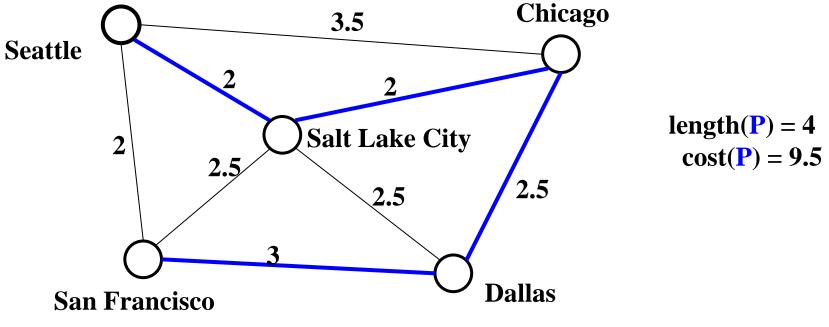
Example path (that also happens to be a cycle): [Seattle, Salt Lake City, Chicago, Dallas, San Francisco, Seattle] 5/01/2013

#### Path Length and Cost

- Path length: Number of *edges* in a path (also called "unweighted cost")
- Path cost: Sum of the weights of each edge

Example where:

P= [Seattle, Salt Lake City, Chicago, Dallas, San Francisco]

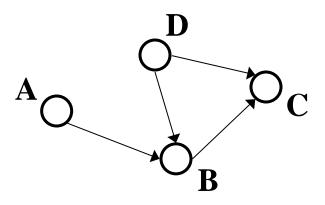


#### Simple paths and cycles

- A simple path repeats no vertices, (except the first might be the last): [Seattle, Salt Lake City, San Francisco, Dallas]
   [Seattle, Salt Lake City, San Francisco, Dallas, Seattle]
- Recall, a cycle is a path that ends where it begins: [Seattle, Salt Lake City, San Francisco, Dallas, Seattle]
   [Seattle, Salt Lake City, Seattle, Dallas, Seattle]
- A simple cycle is a cycle and a simple path: [Seattle, Salt Lake City, San Francisco, Dallas, Seattle]

#### Paths/cycles in directed graphs

Example:

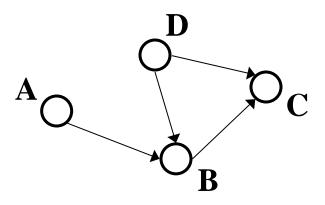


Is there a path from A to D?

Does the graph contain any cycles?

#### Paths/cycles in directed graphs

Example:

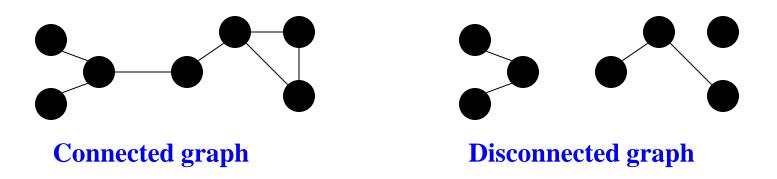


Is there a path from A to D? No

Does the graph contain any cycles? No

### <u>Undirected</u> graph connectivity

 An undirected graph is connected if for all pairs of vertices u, v, there exists a path from u to v

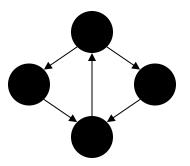


An undirected graph is complete, a.k.a. fully connected if for all pairs of vertices u, v, there exists an <u>edge</u> from u to v

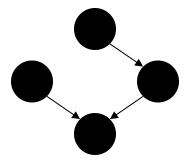
(plus self edges)

# Directed graph connectivity

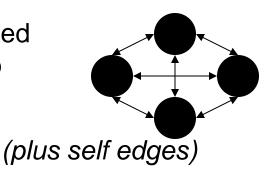
• A directed graph is strongly connected if there is a path from every vertex to every other vertex



• A directed graph is weakly connected if there is a path from every vertex to every other vertex *ignoring direction of edges* 



• A complete a.k.a. fully connected directed graph has an edge from every vertex to every other vertex





For <u>undirected</u> graphs: connected?

For <u>directed</u> graphs: strongly connected? weakly connected?

- Web pages with links
- Facebook friends
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
- Road maps (e.g., Google maps)
- Airline routes
- Family trees
- Course pre-requisites

• ...

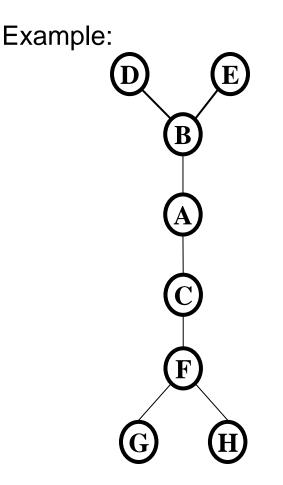
### Trees as graphs

When talking about graphs, we say a tree is a graph that is:

- undirected
- acyclic
- connected

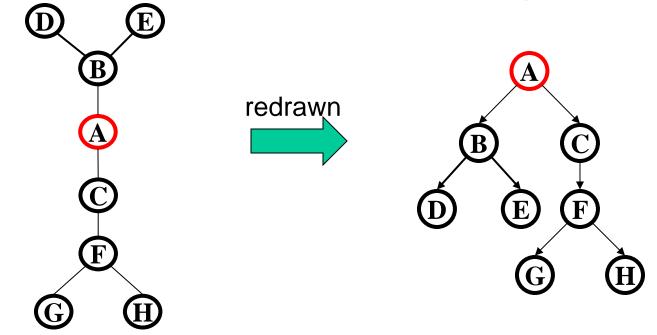
So all trees are graphs, but not all graphs are trees

How does this relate to the trees we know and love?...



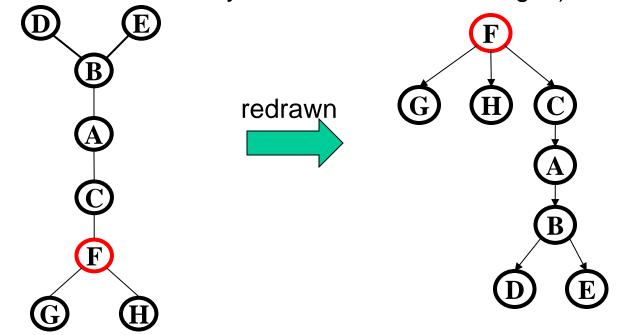
#### **Rooted Trees**

- We are more accustomed to rooted trees where:
  - We identify a unique ("special") root
  - We think of edges as **directed**: parent to children
- Given a tree, once you pick a root, you have a unique rooted tree (just drawn differently and with undirected edges)



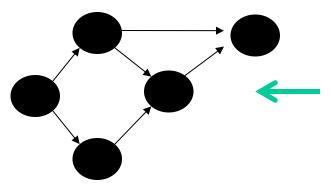
### Rooted Trees (Another example)

- We are more accustomed to rooted trees where:
  - We identify a unique ("special") root
  - We think of edges as **directed**: parent to children
- Given a tree, once you pick a root, you have a unique rooted tree (just drawn differently and with undirected edges)



# Directed acyclic graphs (DAGs)

- A DAG is a directed graph with no (directed) cycles
  - Every rooted directed tree is a DAG
    - But not every DAG is a rooted directed tree:



Not a rooted directed tree, Has a cycle (in the undirected sense)

- Every DAG is a directed graph
  - But not every directed graph is a DAG:

#### Examples

Which of our directed-graph examples do you expect to be a DAG?

- Web pages with links
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
- Airline routes
- Family trees
- Course pre-requisites
- ...

### Density / sparsity

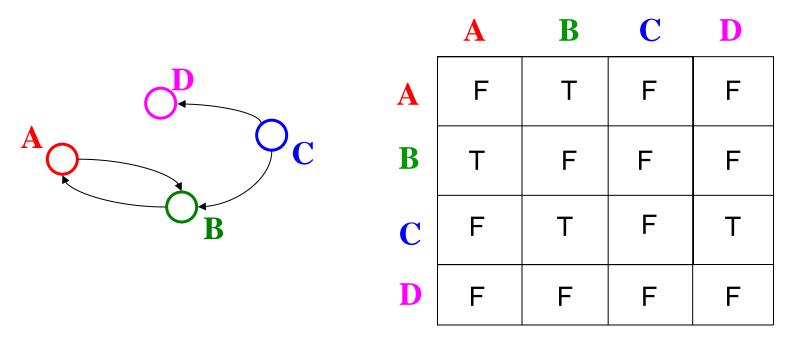
- Recall: In an undirected graph,  $0 \le |E| < |V|^2$
- Recall: In a directed graph:  $0 \le |E| \le |V|^2$
- So for any graph, |E| is  $O(|V|^2)$
- One more fact: If an undirected graph is *connected*, then  $|E| \ge |V|-1$
- Because |E| is often much smaller than its maximum size, we do not always approximate as |E| as  $O(|V|^2)$ 
  - This is a correct bound, it just is often not tight
  - If it is tight, i.e., |E| is  $\Theta(|V|^2)$  we say the graph is dense
    - More sloppily, dense means "lots of edges"
  - If |E| is O(|V|) we say the graph is sparse
    - More sloppily, sparse means "most (possible) edges missing"

#### What is the Data Structure?

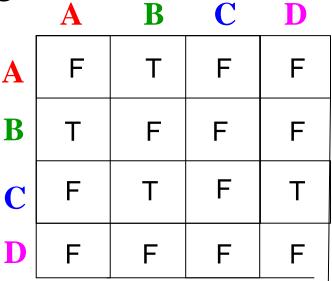
- So graphs are really useful for lots of data and questions
   For example, "what's the lowest-cost path from x to y"
- But we need a data structure that represents graphs
- The "best one" can depend on:
  - Properties of the graph (e.g., dense versus sparse)
  - The common queries (e.g., "is (u,v) an edge?" versus
    "what are the neighbors of node u?")
- So we'll discuss the two standard graph representations
  - Adjacency Matrix and Adjacency List
  - Different trade-offs, particularly time versus space

#### Adjacency matrix

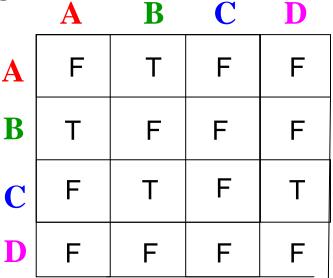
- Assign each node a number from 0 to |v|-1
- A |V| x |V| matrix (i.e., 2-D array) of Booleans (or 1 vs. 0)
  - If M is the matrix, then M[u][v] == true means there is an edge from u to v



- Running time to:
  - Get a vertex's out-edges:
  - Get a vertex's in-edges:
  - Decide if some edge exists:
  - Insert an edge:
  - Delete an edge:
- Space requirements:
- Best for sparse or dense graphs?

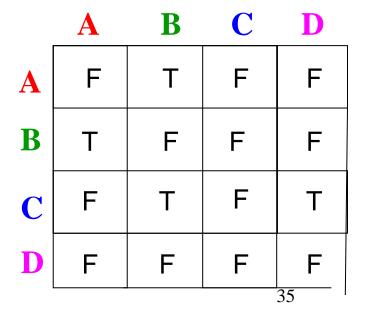


- Running time to:
  - Get a vertex's out-edges: O(|V|)
  - Get a vertex's in-edges: O(|V|)
  - Decide if some edge exists: O(1)
  - Insert an edge: O(1)
  - Delete an edge: O(1)
- Space requirements:
  - $|V|^2$  bits
- Best for sparse or dense graphs?
  - Best for dense graphs

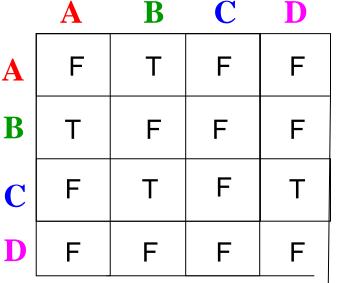


• How will the adjacency matrix vary for an *undirected graph*?

• How can we adapt the representation for *weighted graphs*?

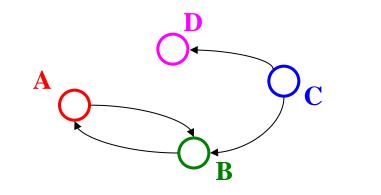


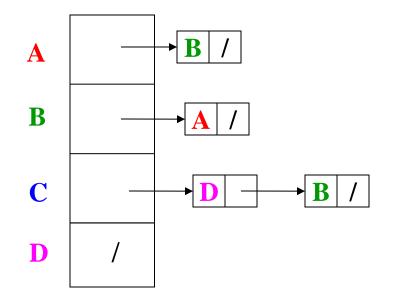
- How will the adjacency matrix vary for an *undirected graph*?
  Undirected will be symmetric about diagonal axis
- How can we adapt the representation for *weighted graphs*?
  - Instead of a Boolean, store a number in each cell
  - Need some value to represent 'not an edge'
    - In some situations, 0 or -1 works



### Adjacency List

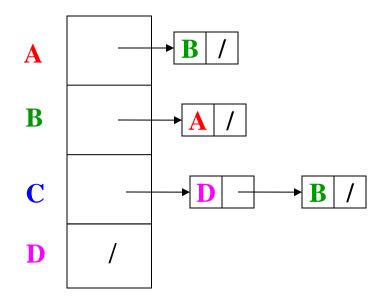
- Assign each node a number from 0 to |V|-1
- An array of length |v| in which each entry stores a list of all adjacent vertices (e.g., linked list)





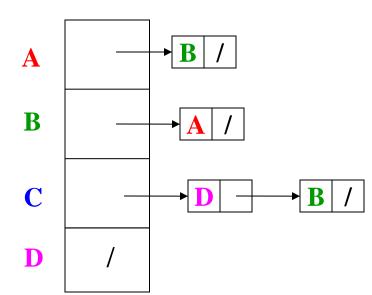
# Adjacency List Properties

- Running time to:
  - Get all of a vertex's out-edges:
  - Get all of a vertex's in-edges:
  - Decide if some edge exists:
  - Insert an edge:
  - Delete an edge:
- Space requirements:
- Best for dense or sparse graphs?



# Adjacency List Properties

- Running time to:
  - Get all of a vertex's out-edges:
    O(d) where d is out-degree of vertex
  - Get all of a vertex's in-edges:



- O(|E|) (but could keep a second adjacency list for this!)
- Decide if some edge exists:

O(d) where d is out-degree of source

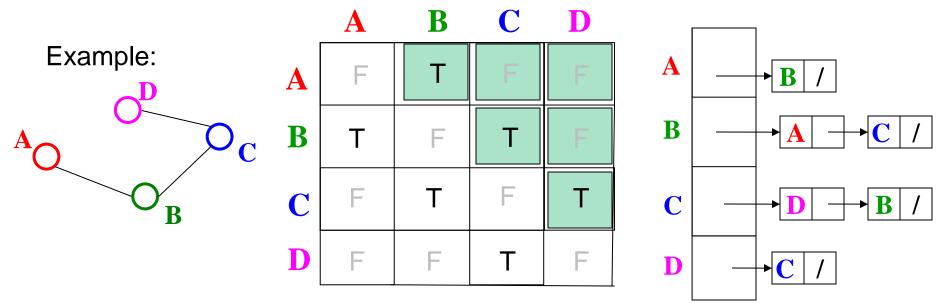
- Insert an edge: O(1) (unless you need to check if it's there)
- Delete an edge: O(d) where d is out-degree of source
- Space requirements:
  - O(|V|+|E|)
- Best for dense or sparse graphs?

- Best for sparse graphs, so usually just stick with linked lists

### **Undirected** Graphs

Adjacency matrices & adjacency lists both do fine for undirected graphs

- Matrix: Can save roughly 1/2 the space
  - But may slow down operations in languages with "proper" 2D arrays (not Java, which has only arrays of arrays)
  - How would you "get all neighbors"?
- Lists: Each edge in two lists to support efficient "get all neighbors"



#### Which is better?

Graphs are often sparse:

- Streets form grids
  - every corner is not connected to every other corner
- Airlines rarely fly to all possible cities
  - or if they do it is to/from a hub rather than directly to/from all small cities to other small cities

Adjacency lists should generally be your default choice

• Slower performance compensated by greater space savings

Next

Okay, we can represent graphs

Now let's implement some useful and non-trivial algorithms

- Topological sort: Given a DAG, order all the vertices so that every vertex comes before all of its neighbors
- Shortest paths: Find the shortest or lowest-cost path from x to y
  - Related: Determine if there even is such a path