



CSE332: Data Abstractions

Lecture 8: Memory Hierarchy & B Trees

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Announcements

- **Project 2** – posted!
Partner selection due by 11pm Tues 1/25 *at the latest*.
- **Homework 2** – due NOW!
- **Homework 3** – due Friday Jan 28th posted later today

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Today

- Dictionaries
 - AVL Trees (finish up)
- The Memory Hierarchy and you
- Dictionaries
 - B-Trees

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Why do we need to know about the memory hierarchy?

- One of the assumptions that Big-Oh makes is that all operations take the same amount of time.
- Is that really true?

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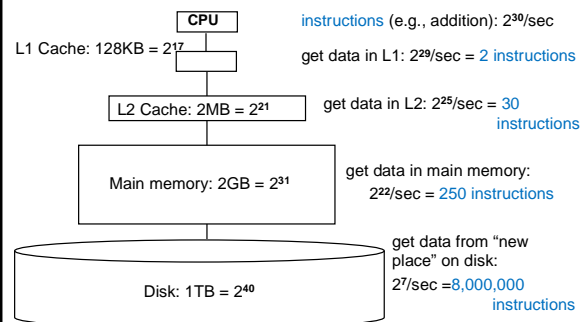
Now what?

- We have a data structure for the dictionary ADT (AVL tree) that has worst-case $O(\log n)$ behavior
 - One of several interesting/fantastic balanced-tree approaches
- We are about to learn another balanced-tree approach: B Trees
- First, to motivate why B trees are better for really large dictionaries (say, over $1\text{GB} = 2^{30}$ bytes), need to understand some **memory-hierarchy basics**
 - Don't always assume "every memory access has an unimportant $O(1)$ cost"
 - Learn more in CSE351/333/471 (and CSE378), focus here on relevance to data structures and efficiency

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A typical hierarchy



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Morals

It is much faster to do: Than:

5 million arithmetic ops	1 disk access
2500 L2 cache accesses	1 disk access
400 main memory accesses	1 disk access

Why are computers built this way?

- Physical realities (speed of light, closeness to CPU)
- Cost (price per byte of different technologies)
- Disks get much bigger not much faster
 - Spinning at 7200 RPM accounts for much of the slowness and unlikely to spin faster in the future
- Speedup at higher levels (e.g. a faster processor) makes lower levels *relatively slower*
- Later in the course: more than 1 CPU!

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"Fuggedaboutit", usually

The hardware automatically moves data into the caches from main memory for you

- Replacing items already there
- So algorithms much faster if "data fits in cache" (often does)

Disk accesses are done by software (e.g., ask operating system to open a file or database to access some data)

So most code "just runs" but sometimes it's worth designing algorithms / data structures with knowledge of memory hierarchy

- And when you do, you often need to know one more thing...

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How does data move up the hierarchy?

- Moving data up the memory hierarchy is slow because of *latency* (think distance-to-travel)
 - Since we're making the trip anyway, may as well carpool
 - Get a block of data in the same time it would take to get a byte
 - Sends *nearby memory* because:
 - It's easy
 - And likely to be asked for soon (think fields/arrays)
- Side note: Once a value is in cache, may as well keep it around for awhile; accessed once, a value is more likely to be accessed again in the near future (more likely than some random other value)

Spatial Locality

Temporal locality

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Locality

Temporal Locality (locality in *time*) – If an item is referenced, it will tend to be referenced again soon.

Spatial Locality (locality in *space*) – If an item is referenced, items whose addresses are close by will tend to be referenced soon.

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Block/line size

- The amount of data moved from *disk* into *memory* is called the "**block**" size or the "**page**" size
 - Not under program control
- The amount of data moved from *memory* into *cache* is called the cache "**line**" size
 - Not under program control

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Connection to data structures

- An *array* benefits more than a *linked list* from block moves
 - Language (e.g., Java) implementation can put the list nodes anywhere, whereas array is typically contiguous memory (Note: "array" doesn't necessarily mean "good")
 - Binary heaps "make big jumps" to percolate (different block)

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BSTs?

- Since looking things up in balanced binary search trees is $O(\log n)$, even for $n = 2^{39}$ (512GB) we don't have to worry about minutes or hours
- Still, number of disk accesses matters
 - AVL tree could have height of, say, 55
 - Which, based on our proof, is a lot of nodes
 - Most of the nodes will be on disk: the tree is shallow, but it is still many gigabytes big so the *tree* cannot fit in memory
 - Even if memory holds the first 25 nodes on our path, we still need 30 disk accesses

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Note about numbers; moral

- All the numbers in this lecture are “ballpark” “back of the envelope” figures
- Even if they are off by, say, a factor of 5, the moral is the same: If your data structure is mostly on disk, you want to minimize disk accesses
- A better data structure in this setting would exploit the block size and relatively fast memory access to **avoid disk accesses**...

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Trees as Dictionaries

(N= 10 million)

In worst case, each node access is a disk access, number of accesses:

Disk accesses

- BST
- AVL
- B Tree

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Our goal

- **Problem:** A dictionary with so much data most of it is on disk
- **Desire:** A balanced tree (logarithmic height) that is even shallower than AVL trees so that we can minimize disk accesses and exploit disk-block size
- **A key idea:** Increase the branching factor of our tree

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