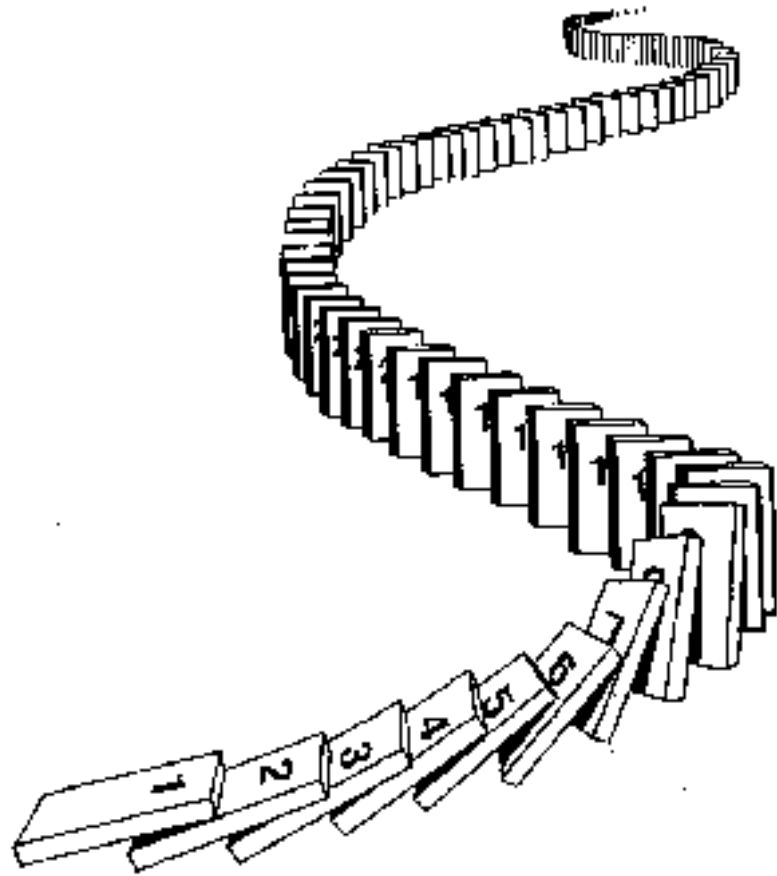


CSE 311: Foundations of Computing

Lecture 18: Recursive definitions



Recap: *Strong* Inductive Proofs

1. “Let $P(n)$ be... . We will show that $P(n)$ is true for all integers $n \geq b$ by *strong* induction.”
2. “Base Case:” Prove $P(b), \dots, P(c)$
3. “Inductive Hypothesis:
Assume that for some arbitrary integer $k \geq c$,
 $P(j)$ is true for every integer j from b to k ”
4. “Inductive Step:” Prove that $P(k + 1)$ is true:
Use the goal to figure out what you need.
You may apply the I.H. ($P(b), \dots, P(k)$ are true) anywhere.
Point out where you are using it.
(Don't assume $P(k + 1)$!!)
5. “Conclusion: $P(n)$ is true for all integers $n \geq b$ ”

Recursive Definition of Sets

Recursive Definition

- **Basis Step:** $0 \in S$
- **Recursive Step:** If $x \in S$, then $x + 2 \in S$
- **Exclusion Rule:** Every element in S follows from basis steps and a finite number of recursive steps.

Recursive Definitions of Sets

Natural numbers

Basis: $0 \in S$

Recursive: If $x \in S$, then $x+1 \in S$

Even numbers

Basis: $0 \in S$

Recursive: If $x \in S$, then $x+2 \in S$

Powers of 3:

Basis: $1 \in S$

Recursive: If $x \in S$, then $3x \in S$.

Basis: $[0, 0] \in S, [1, 1] \in S$

Recursive: If $[n-1, x] \in S$ and $[n, y] \in S$,
then $[n+1, x + y] \in S$.

?

Recursive Definitions of Sets

Natural numbers

Basis: $0 \in S$

Recursive: If $x \in S$, then $x+1 \in S$

Even numbers

Basis: $0 \in S$

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Powers of 3:

Basis: $1 \in S$

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Basis: $[0, 0] \in S, [1, 1] \in S$

Recursive: If $[n-1, x] \in S$ and $[n, y] \in S$, then $[n+1, x + y] \in S$.

Fibonacci numbers

Recursive Definitions of Sets: General Form

Recursive definition

- *Basis step*: Some specific elements are in S
- *Recursive step*: Given some existing named elements in S some new objects constructed from these named elements are also in S .
- *Exclusion rule*: Every element in S follows from basis steps and a finite number of recursive steps

Strings

- An *alphabet* Σ is any finite set of characters
- The set Σ^* of *strings* over the alphabet Σ is defined by
 - **Basis:** $\varepsilon \in \Sigma$ (ε is the empty string)
 - **Recursive:** if $w \in \Sigma^*$, $a \in \Sigma$, then $wa \in \Sigma^*$

Palindromes

Palindromes are strings that are the same backwards and forwards

Basis:

ε is a palindrome and any $a \in \Sigma$ is a palindrome

Recursive step:

If p is a palindrome then apa is a palindrome for every $a \in \Sigma$

All Binary Strings with no 1's before 0's

All Binary Strings with no 1's before 0's

Basis:

$\epsilon \in S$

Recursive:

If $x \in S$, then $0x \in S$

If $x \in S$, then $x1 \in S$

Function Definitions on Recursively Defined Sets

Length:

$$\text{len}(\varepsilon) = 0$$

$$\text{len}(wa) = 1 + \text{len}(w) \text{ for } w \in \Sigma^*, a \in \Sigma$$

Reversal:

$$\varepsilon^R = \varepsilon$$

$$(wa)^R = aw^R \text{ for } w \in \Sigma^*, a \in \Sigma$$

Concatenation:

$$x \bullet \varepsilon = x \text{ for } x \in \Sigma^*$$

$$x \bullet wa = (x \bullet w)a \text{ for } x \in \Sigma^*, a \in \Sigma$$

Lecture 18 Activity

- You will be assigned to **breakout rooms**. Please:
- Introduce yourself
- Choose someone to share screen, showing this PDF
- Consider the set S that is **recursively defined** by

Basis: $6 \in S, 15 \in S$

Recursive: If $x, y \in S$ then $x + y \in S$

- List explicitly the elements of S

Fill out a poll everywhere for **Activity Credit!**
Go to pollev.com/thomas311 and login
with your UW identity

Recall: Fundamental Theorem of Arithmetic

Every integer > 1 has a unique prime factorization

$$48 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 3$$

$$591 = 3 \cdot 197$$

$$45,523 = 45,523$$

$$321,950 = 2 \cdot 5 \cdot 5 \cdot 47 \cdot 137$$

$$1,234,567,890 = 2 \cdot 3 \cdot 3 \cdot 5 \cdot 3,607 \cdot 3,803$$

We use strong induction to prove that a factorization into primes exists, but not that it is unique.

Every integer ≥ 2 is a product of primes.

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1. Let $P(n)$ be “ n is a product of primes”. We will show that $P(n)$ is true for all integers $n \geq 2$ by strong induction.

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2. Base Case ($n=2$): 2 is prime, so it is a product of primes.
Therefore $P(2)$ is true.

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Goal: Show $P(k+1)$; i.e. $k+1$ is a product of primes

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Case: $k+1$ is prime: Then by definition $k+1$ is a product of primes

Every integer ≥ 2 is a product of primes.

1. Let $P(n)$ be “ n is a product of primes”. We will show that $P(n)$ is true for all integers $n \geq 2$ by strong induction.
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Therefore $P(2)$ is true.
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Goal: Show $P(k+1)$; i.e. $k+1$ is a product of primes

Case: $k+1$ is prime: Then by definition $k+1$ is a product of primes
Case: $k+1$ is composite: Then $k+1=ab$ for some integers a and b where $2 \leq a, b \leq k$.

Every integer ≥ 2 is a product of primes.

1. Let $P(n)$ be “ n is a product of primes”. We will show that $P(n)$ is true for all integers $n \geq 2$ by strong induction.
2. **Base Case ($n=2$):** 2 is prime, so it is a product of primes.
Therefore $P(2)$ is true.
3. **Inductive Hyp:** Suppose that for some arbitrary integer $k \geq 2$, $P(j)$ is true for every integer j between 2 and k

4. Inductive Step:

Goal: Show $P(k+1)$; i.e. $k+1$ is a product of primes

Case: $k+1$ is prime: Then by definition $k+1$ is a product of primes

Case: $k+1$ is composite: Then $k+1=ab$ for some integers a and b where $2 \leq a, b \leq k$. By our IH, $P(a)$ and $P(b)$ are true so we have

$$a = p_1 p_2 \cdots p_r \text{ and } b = q_1 q_2 \cdots q_s$$

for some primes $p_1, p_2, \dots, p_r, q_1, q_2, \dots, q_s$.

Thus, $k+1 = ab = p_1 p_2 \cdots p_r q_1 q_2 \cdots q_s$ which is a product of primes.

Since $k \geq 2$, one of these cases must happen and so $P(k+1)$ is true.

Every integer ≥ 2 is a product of primes.

1. Let $P(n)$ be “ n is a product of primes”. We will show that $P(n)$ is true for all integers $n \geq 2$ by strong induction.
2. **Base Case ($n=2$):** 2 is prime, so it is a product of primes.
Therefore $P(2)$ is true.
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Case: $k+1$ is prime: Then by definition $k+1$ is a product of primes
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$$a = p_1 p_2 \cdots p_r \text{ and } b = q_1 q_2 \cdots q_s$$

for some primes $p_1, p_2, \dots, p_r, q_1, q_2, \dots, q_s$.
Thus, $k+1 = ab = p_1 p_2 \cdots p_r q_1 q_2 \cdots q_s$ which is a product of primes.
Since $k \geq 2$, one of these cases must happen and so $P(k+1)$ is true.
5. Thus $P(n)$ is true for all integers $n \geq 2$, by strong induction.

Strong Induction is particularly useful when...

...we need to analyze methods that on input k make a recursive call for an input different from $k - 1$.

e.g.: Recursive Modular Exponentiation:

- For exponent $k > 0$ it made a recursive call with exponent $j = k/2$ when k was even or $j = k - 1$ when k was odd.**

We won't analyze this particular method by strong induction, but we could.

However, we will use strong induction to analyze other functions with recursive definitions.

Recursive definitions of functions

- $F(0) = 0$; $F(n + 1) = F(n) + 1$ for all $n \geq 0$.
- $G(0) = 1$; $G(n + 1) = 2 \cdot G(n)$ for all $n \geq 0$.
- $0! = 1$; $(n + 1)! = (n + 1) \cdot n!$ for all $n \geq 0$.
- $H(0) = 1$; $H(n + 1) = 2^{H(n)}$ for all $n \geq 0$.

More Recursive Definitions

Suppose that $h: \mathbb{N} \rightarrow \mathbb{R}$.

Then we have familiar summation notation:

$$\sum_{i=0}^0 h(i) = h(0)$$

$$\sum_{i=0}^{n+1} h(i) = h(n+1) + \sum_{i=0}^n h(i) \text{ for } n \geq 0$$

There is also product notation:

$$\prod_{i=0}^0 h(i) = h(0)$$

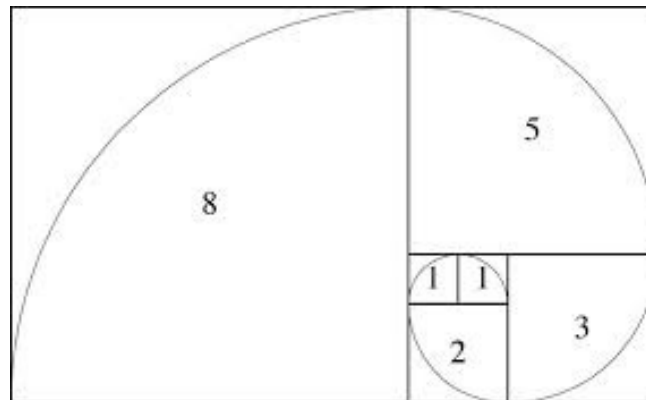
$$\prod_{i=0}^{n+1} h(i) = h(n+1) \cdot \prod_{i=0}^n h(i) \text{ for } n \geq 0$$

Fibonacci Numbers

$$f_0 = 0$$

$$f_1 = 1$$

$$f_n = f_{n-1} + f_{n-2} \text{ for all } n \geq 2$$



Bounding Fibonacci I: $f_n < 2^n$ for all $n \geq 0$

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \text{ for all } n \geq 2$$

Bounding Fibonacci I: $f_n < 2^n$ for all $n \geq 0$

1. Let $P(n)$ be " $f_n < 2^n$ ". We prove that $P(n)$ is true for all integers $n \geq 0$ by strong induction.

$$f_0 = 0 \quad f_1 = 1$$
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1. Let $P(n)$ be " $f_n < 2^n$ ". We prove that $P(n)$ is true for all integers $n \geq 0$ by strong induction.
2. Base Case: $f_0=0 < 1=2^0$ so $P(0)$ is true.

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \text{ for all } n \geq 2$$

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2. Base Case: $f_0=0 < 1=2^0$ so $P(0)$ is true.
3. Inductive Hypothesis: Assume that for some arbitrary integer $k \geq 0$, we have $f_j < 2^j$ for every integer j from 0 to k .

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \quad \text{for all } n \geq 2$$

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4. Inductive Step: Goal: Show $P(k+1)$; that is, $f_{k+1} < 2^{k+1}$

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} & \text{for all } n &\geq 2 \end{aligned}$$

Bounding Fibonacci I: $f_n < 2^n$ for all $n \geq 0$

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4. Inductive Step: Goal: Show $P(k+1)$; that is, $f_{k+1} < 2^{k+1}$
 - Case $k+1 = 1$:
 - Case $k+1 \geq 2$:

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \quad \text{for all } n \geq 2$$

Bounding Fibonacci I: $f_n < 2^n$ for all $n \geq 0$

1. Let $P(n)$ be " $f_n < 2^n$ ". We prove that $P(n)$ is true for all integers $n \geq 0$ by strong induction.
2. Base Case: $f_0=0 < 1=2^0$ so $P(0)$ is true.
3. Inductive Hypothesis: Assume that for some arbitrary integer $k \geq 0$, we have $f_j < 2^j$ for every integer j from 0 to k .
4. Inductive Step: **Goal: Show $P(k+1)$; that is, $f_{k+1} < 2^{k+1}$**
 - Case $k+1 = 1$: Then $f_1 = 1 < 2 = 2^1$ so $P(k+1)$ is true here.
 - Case $k+1 \geq 2$:

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \quad \text{for all } n \geq 2$$

Bounding Fibonacci I: $f_n < 2^n$ for all $n \geq 0$

1. Let $P(n)$ be " $f_n < 2^n$ ". We prove that $P(n)$ is true for all integers $n \geq 0$ by strong induction.
2. Base Case: $f_0=0 < 1=2^0$ so $P(0)$ is true.
3. Inductive Hypothesis: Assume that for some arbitrary integer $k \geq 0$, we have $f_j < 2^j$ for every integer j from 0 to k .
4. Inductive Step: **Goal: Show $P(k+1)$; that is, $f_{k+1} < 2^{k+1}$**

Case $k+1 = 1$: Then $f_1 = 1 < 2 = 2^1$ so $P(k+1)$ is true here.

Case $k+1 \geq 2$: Then $f_{k+1} = f_k + f_{k-1}$ by definition
 $< 2^k + 2^{k-1}$ by the IH since $k-1 \geq 0$
 $< 2^k + 2^k = 2 \cdot 2^k = 2^{k+1}$

so $P(k+1)$ is true in this case.

These are the only cases so $P(k+1)$ follows.

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \text{ for all } n \geq 2$$

Bounding Fibonacci I: $f_n < 2^n$ for all $n \geq 0$

1. Let $P(n)$ be " $f_n < 2^n$ ". We prove that $P(n)$ is true for all integers $n \geq 0$ by strong induction.
2. Base Case: $f_0=0 < 1=2^0$ so $P(0)$ is true.
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4. Inductive Step: **Goal: Show $P(k+1)$; that is, $f_{k+1} < 2^{k+1}$**

Case $k+1 = 1$: Then $f_1 = 1 < 2 = 2^1$ so $P(k+1)$ is true here.

Case $k+1 \geq 2$: Then $f_{k+1} = f_k + f_{k-1}$ by definition
 $< 2^k + 2^{k-1}$ by the IH since $k-1 \geq 0$
 $< 2^k + 2^k = 2 \cdot 2^k = 2^{k+1}$

so $P(k+1)$ is true in this case.

These are the only cases so $P(k+1)$ follows.

5. Therefore by strong induction,
 $f_n < 2^n$ for all integers $n \geq 0$.

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} & \text{for all } n &\geq 2 \end{aligned}$$

Bounding Fibonacci II: $f_n \geq 2^{n/2} - 1$ for all $n \geq 2$

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \text{ for all } n \geq 2$$

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1. Let $P(n)$ be " $f_n \geq 2^{n/2} - 1$ ". We prove that $P(n)$ is true for all integers $n \geq 2$ by strong induction.
2. **Base Case:** $f_2 = f_1 + f_0 = 1$ and $2^{2/2} - 1 = 2^0 = 1$ so $P(2)$ is true.

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3. **Inductive Hypothesis:** Assume that for some arbitrary integer $k \geq 2$, $P(j)$ is true for every integer j from 2 to k .

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \quad \text{for all } n \geq 2$$

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4. **Inductive Step:** Goal: Show $P(k+1)$; that is, $f_{k+1} \geq 2^{(k+1)/2} - 1$

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} & \text{for all } n &\geq 2 \end{aligned}$$

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No need for cases for the definition here:

$$f_{k+1} = f_k + f_{k-1} \text{ since } k+1 \geq 2$$

Now just want to apply the IH to get $P(k)$ and $P(k-1)$

Problem: Though we can get $P(k)$ since $k \geq 2$,

$k-1$ may only be 1 so we can't conclude $P(k-1)$

Solution: Separate cases for when $k-1=1$ (or $k+1=3$).

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} & \text{for all } n &\geq 2 \end{aligned}$$

Bounding Fibonacci II: $f_n \geq 2^{n/2} - 1$ for all $n \geq 2$

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Case $k = 2$:
Case $k \geq 3$:

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \quad \text{for all } n \geq 2$$

Bounding Fibonacci II: $f_n \geq 2^{n/2} - 1$ for all $n \geq 2$

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4. **Inductive Step:** Goal: Show $P(k+1)$; that is, $f_{k+1} \geq 2^{(k+1)/2} - 1$
Case $k = 2$: Then $f_{k+1} = f_3 = f_2 + f_1 = 2 \geq 2^{1/2} = 2^{3/2-1} = 2^{(k+1)/2} - 1$
Case $k \geq 3$:

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \quad \text{for all } n \geq 2$$

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 4. **Inductive Step:** Goal: Show $P(k+1)$; that is, $f_{k+1} \geq 2^{(k+1)/2} - 1$
 - Case $k = 2$: Then $f_{k+1} = f_3 = f_2 + f_1 = 2 \geq 2^{1/2} = 2^{3/2-1} = 2^{(k+1)/2} - 1$
 - Case $k \geq 3$: $f_{k+1} = f_k + f_{k-1}$ by definition
 - $\geq 2^{k/2-1} + 2^{(k-1)/2-1}$ by the IH since $k-1 \geq 2$
 - $\geq 2^{(k-1)/2-1} + 2^{(k-1)/2-1} = 2^{(k-1)/2} = 2^{(k+1)/2} - 1$
- So $P(k+1)$ is true in both cases.
5. Therefore by strong induction, $f_n \geq 2^{n/2} - 1$ for all integers $n \geq 0$.

$$f_0 = 0 \quad f_1 = 1$$
$$f_n = f_{n-1} + f_{n-2} \quad \text{for all } n \geq 2$$

Running time of Euclid's algorithm

Theorem: Suppose that Euclid's Algorithm takes n steps for $\gcd(a, b)$ with $a \geq b > 0$. Then, $a \geq f_{n+1}$.

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An informal way to get the idea: Consider an n step gcd calculation starting with $r_{n+1}=a$ and $r_n=b$:

$$r_{n+1} = q_n r_n + r_{n-1}$$

$$r_n = q_{n-1} r_{n-1} + r_{n-2}$$

...

$$r_3 = q_2 r_2 + r_1$$

$$r_2 = q_1 r_1$$

For all $k \geq 2$, $r_{k-1} = r_{k+1} \bmod r_k$

Now $r_1 \geq 1$ and each q_k must be ≥ 1 . If we replace all the q_k 's by 1 and replace r_1 by 1, we can only reduce the r_k 's. After that reduction, $r_k = f_k$ for every k .

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We go by strong induction on n .

Let $P(n)$ be “ $\gcd(a, b)$ with $a \geq b > 0$ takes n steps $\rightarrow a \geq f_{n+1}$ ” for all $n \geq 1$.

Base Case: $n=1$ Suppose Euclid's Algorithm with $a \geq b > 0$ takes 1 step.

By assumption, $a \geq b \geq 1 = f_2$ so $P(1)$ holds.

Induction Hypothesis: Suppose that for some integer $k \geq 1$, $P(j)$ is true for all integers j s.t. $1 \leq j \leq k$

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Induction Hypothesis: Suppose that for some integer $k \geq 1$, $P(j)$ is true for all integers j s.t. $1 \leq j \leq k$

Inductive Step: We want to show: if $\gcd(a, b)$ with $a \geq b > 0$ takes $k+1$ steps, then $a \geq f_{k+2}$.

Running time of Euclid's algorithm

Induction Hypothesis: Suppose that for some integer $k \geq 1$, $P(j)$ is true for all integers j s.t. $1 \leq j \leq k$

Inductive Step: Goal: if $\gcd(a,b)$ with $a \geq b > 0$ takes $k+1$ steps, then $a \geq f_{k+2}$.

Now if $k+1=2$, then Euclid's algorithm on a and b can be written as

$$a = q_2 b + r_1$$

$$b = q_1 r_1$$

and $r_1 > 0$.

Also, since $a \geq b > 0$ we must have $q_2 \geq 1$ and $b \geq 1$.

So $a = q_2 b + r_1 \geq b + r_1 \geq 1 + 1 = 2 = f_3 = f_{k+2}$ as required.

Running time of Euclid's algorithm

Induction Hypothesis: Suppose that for some integer $k \geq 1$, $P(j)$ is true for all integers j s.t. $1 \leq j \leq k$

Inductive Step: Goal: if $\gcd(a,b)$ with $a \geq b > 0$ takes $k+1$ steps, then $a \geq f_{k+2}$.

Next suppose that $k+1 \geq 3$ so for the first 3 steps of Euclid's algorithm on a and b we have

$$a = q_{k+1}b + r_k$$

$$b = q_k r_k + r_{k-1}$$

$$r_k = q_{k-1}r_{k-1} + r_{k-2}$$

and there are $k-2$ more steps after this.

Running time of Euclid's algorithm

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and there are $k-2$ more steps after this. Note that this means that the $\text{gcd}(b, r_k)$ takes k steps and $\text{gcd}(r_k, r_{k-1})$ takes $k-1$ steps.

So since $k, k-1 \geq 1$ by the IH we have $b \geq f_{k+1}$ and $r_k \geq f_k$.

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So since $k, k-1 \geq 1$ by the IH we have $b \geq f_{k+1}$ and $r_k \geq f_k$.

Also, since $a \geq b$ we must have $q_{k+1} \geq 1$.

So $a = q_{k+1}b + r_k \geq b + r_k \geq f_{k+1} + f_k = f_{k+2}$ as required. ■

Running time of Euclid's algorithm

Theorem: Suppose that Euclid's Algorithm takes n steps for $\gcd(a, b)$ with $a \geq b > 0$. Then, $a \geq f_{n+1}$.

Why does this help us bound the running time of Euclid's Algorithm?

We already proved that $f_n \geq 2^{n/2 - 1}$ so $f_{n+1} \geq 2^{(n-1)/2}$

Therefore: if Euclid's Algorithm takes n steps for $\gcd(a, b)$ with $a \geq b > 0$ then $a \geq 2^{(n-1)/2}$

so $(n - 1)/2 \leq \log_2 a$ or $n \leq 1 + 2\log_2 a$
i.e., # of steps $\leq 1 +$ twice the # of bits in a .