

# Perceptual Audio Coding

Henrique Malvar

Managing Director, Redmond Lab



UW Lecture - December 6, 2007

## Contents

- Motivation
- “Source coding”: good for speech
- “Sink coding”: Auditory Masking
- Block & Lapped Transforms
- Audio compression
- Examples

## Contents

---

- Motivation
  - “Source coding”: good for speech
  - “Sink coding”: Auditory Masking
  - Block & Lapped Transforms
  - Audio compression
  - Examples

## Many applications need digital audio

---

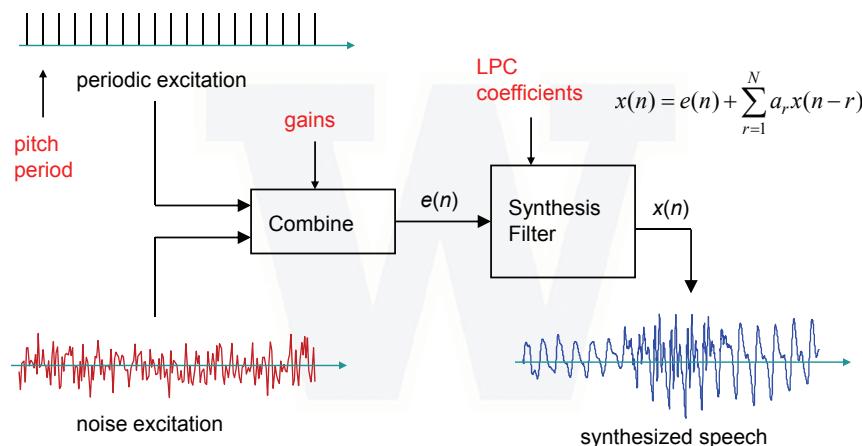
- Communication
  - Digital TV, Telephony (VoIP) & teleconferencing
  - Voice mail, voice annotations on e-mail, voice recording
- Business
  - Internet call centers
  - Multimedia presentations
- Entertainment
  - 150 songs on standard CD
  - thousands of songs on portable music players
  - Internet / Satellite radio, HD Radio
  - Games, DVD Movies



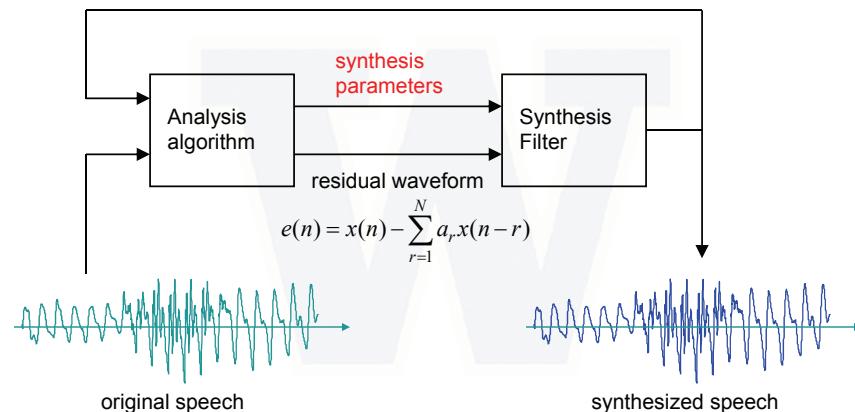
## Contents

- Motivation
- “Source coding”: good for speech
- “Sink coding”: Auditory Masking
- Block & Lapped Transforms
- Audio compression
- Examples

## Linear Predictive Coding (LPC)



## LPC basics - analysis/synthesis

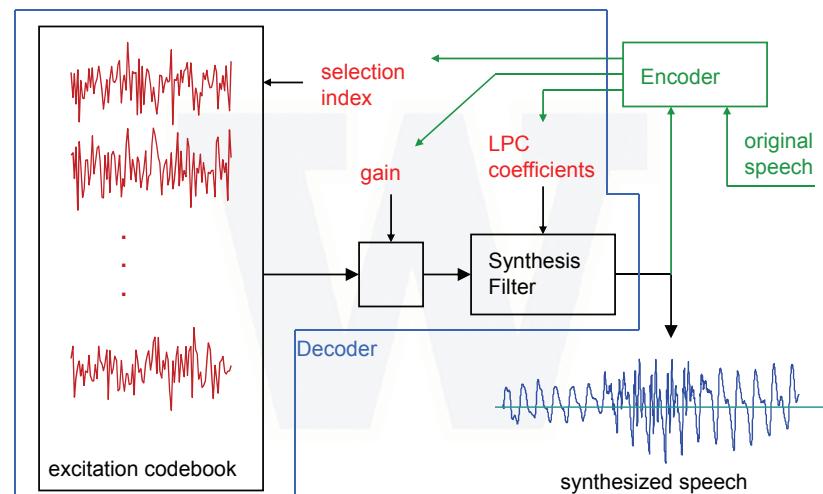


Microsoft  
Research

7

UNIVERSITY OF  
WASHINGTON

## LPC variant - CELP

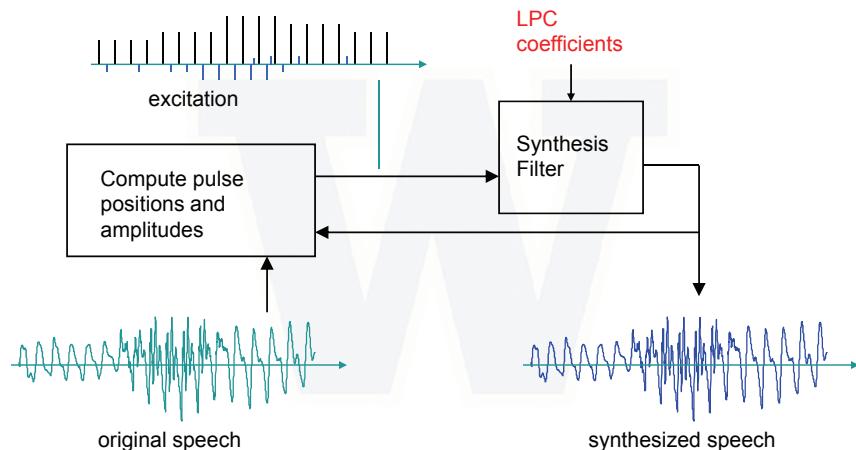


Microsoft  
Research

8

UNIVERSITY OF  
WASHINGTON

## LPC variant - multipulse

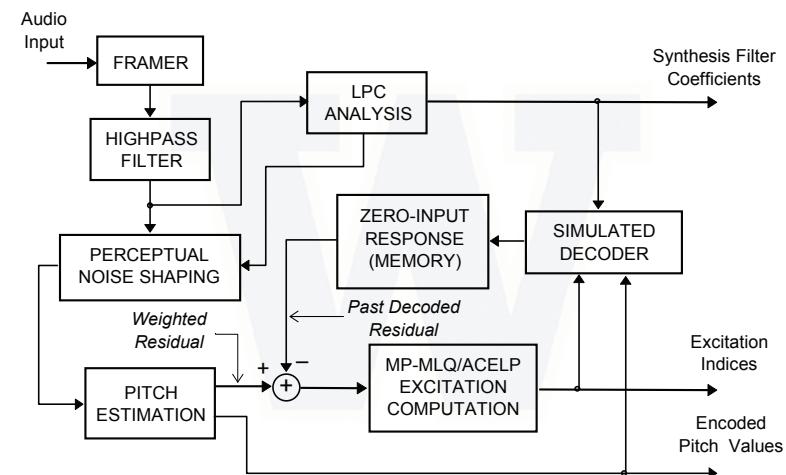


Microsoft  
Research

9

UNIVERSITY OF  
WASHINGTON

## G.723.1 architecture



Microsoft  
Research

10

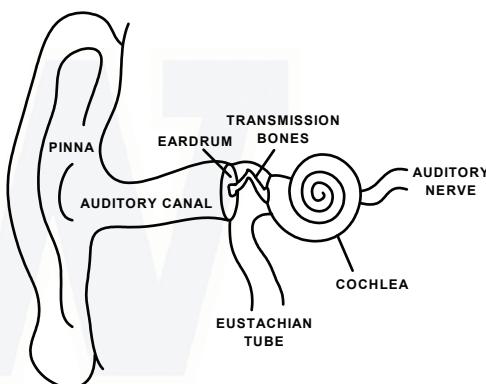
UNIVERSITY OF  
WASHINGTON

## Contents

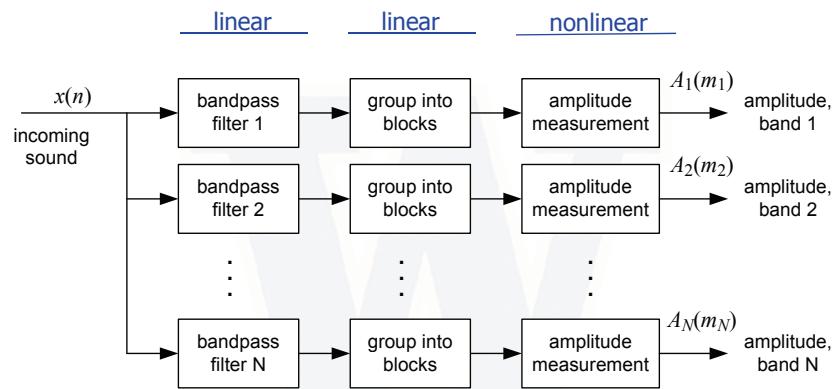
- Motivation
- “Source coding”: good for speech
- “Sink coding”: Auditory Masking
- Block & Lapped Transforms
- Audio compression
- Examples

## Physiology of the ear

- Automatic gain control
  - muscles around transmission bones
- Directivity
  - pinna
- Boost of middle frequencies
  - auditory canal
- Nonlinear processing
  - auditory nerve
- **Filter bank separation**
  - cochlea
- Thousands of “microphones”
  - hair cells in cochlea



## Filter bank model



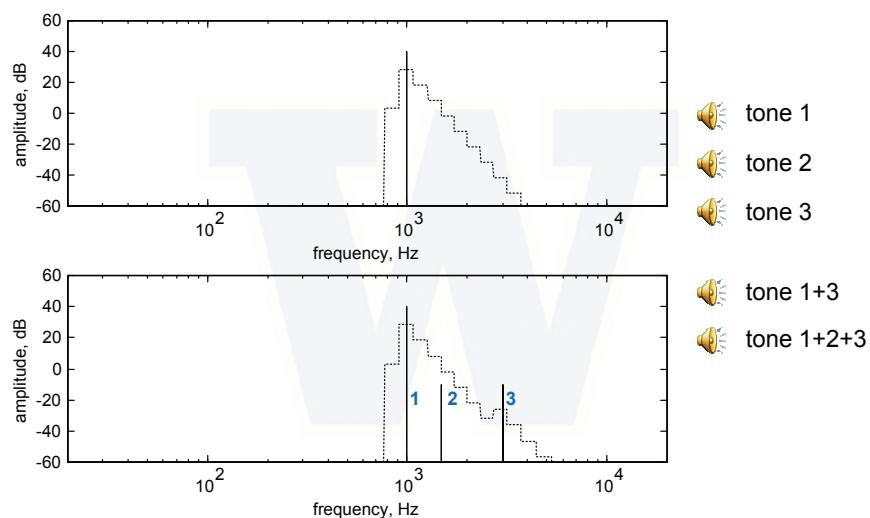
- Explains frequency-domain masking

Microsoft  
Research



13

## Frequency-domain masking



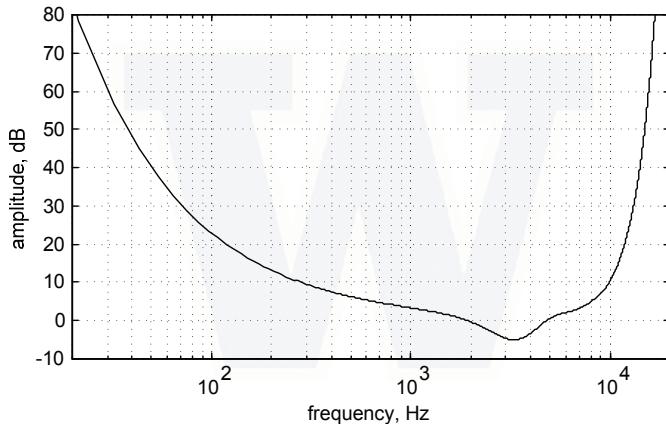
Microsoft  
Research



14

## Absolute threshold of hearing

- Fletcher-Munson curves



- Basis for loudness correction in audio amplifiers

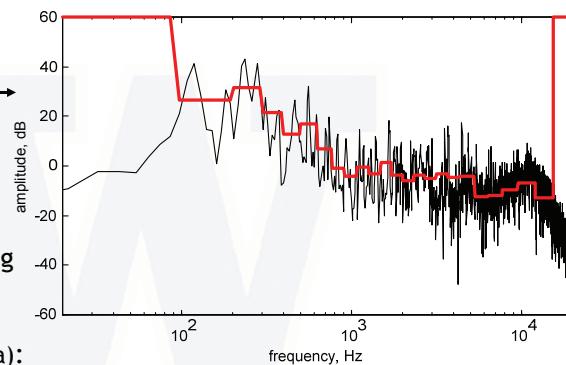
Microsoft  
Research

15

UNIVERSITY OF  
WASHINGTON

## Example of masking

- Typical spectrum & masking threshold
- Original sound:
- Sound after removing components below the threshold (1/3 to 1/2 of the data):



Microsoft  
Research

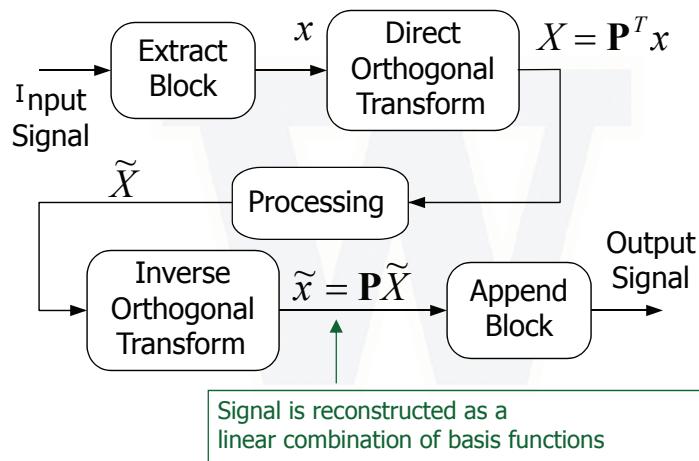
16

UNIVERSITY OF  
WASHINGTON

## Contents

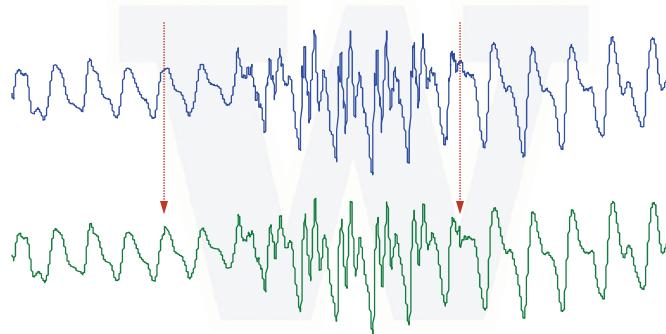
- Motivation
- “Source coding”: good for speech
- “Sink coding”: Auditory Masking
- Block & Lapped Transforms
- Audio compression
- Examples

## Block signal processing



## Block processing: good and bad

- Pro: allows adaptability



- Con: blocking artifacts

## Why transforms?

- More efficient signal representation
  - Frequency domain
  - Basis functions ~ “typical” signal components
- Faster processing
  - Filtering, compression
- Orthogonality
  - Energy preservation
  - Robustness to quantization

## Compactness of representation

- Maximum energy concentration in as few coefficients as possible
- For stationary random signals, the optimal basis is the Karhunen-Loève transform:

$$\lambda_i p_i = R_{xx} p_i, \quad \mathbf{P}^T \mathbf{P} = \mathbf{I}$$

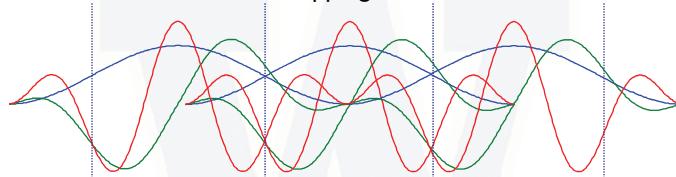
- Basis functions are the columns of  $\mathbf{P}$
- Minimum geometric mean of transform coefficient variances

## Sub-optimal transforms

- KLT problems:
  - Signal dependency
  - $\mathbf{P}$  not factorable into sparse components
- Sinusoidal transforms:
  - Asymptotically optimal for large blocks
  - Frequency component interpretation
  - Sparse factors - e.g. FFT

## Lapped transforms

- Basis functions have tails beyond block boundaries
  - Linear combinations of overlapping functions such as



- generate smooth signals, without blocking artifacts



Microsoft  
Research

23

UNIVERSITY OF  
WASHINGTON

## Modulated lapped transforms

- Basis functions = cosines modulating the same low-pass (window) prototype  $h(n)$ :

$$p_k(n) = h(n) \sqrt{\frac{2}{M}} \cos \left[ \left( n + \frac{M+1}{2} \right) \left( k + \frac{1}{2} \right) \frac{\pi}{M} \right]$$

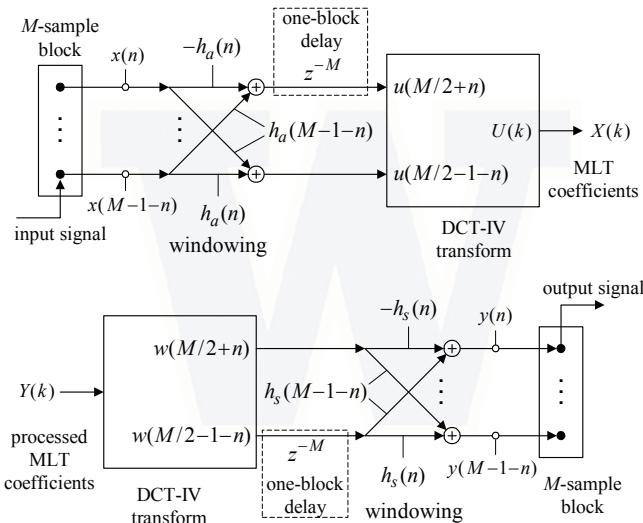
- Can be computed from the DCT or FFT
- Projection  $X = \mathbf{P}^T x$  can be computed in  $O(\log_2 M)$  operations per input point

Microsoft  
Research

24

UNIVERSITY OF  
WASHINGTON

## Fast MLT computation



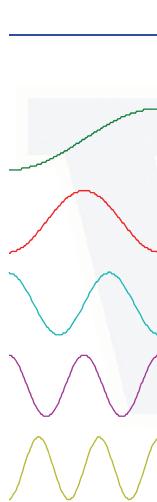
Microsoft  
Research

25

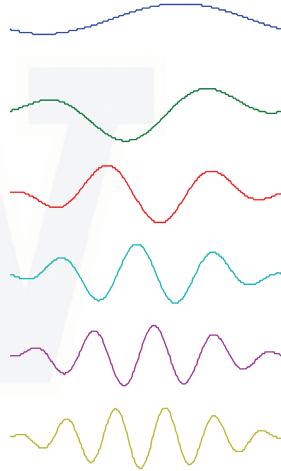
UNIVERSITY OF  
WASHINGTON

## Basis functions

DCT:



MLT:



Microsoft  
Research

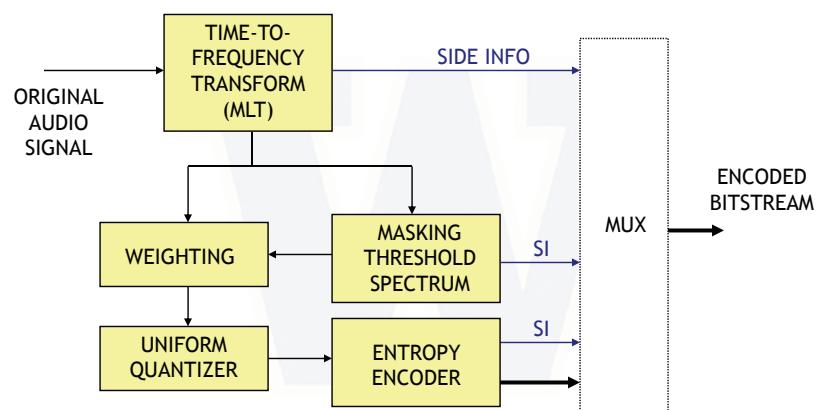
26

UNIVERSITY OF  
WASHINGTON

## Contents

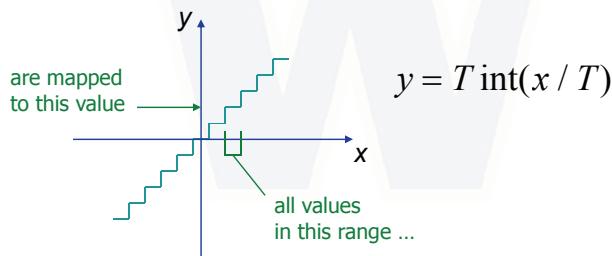
- Motivation
- “Source coding”: good for speech
- “Sink coding”: Auditory Masking
- Block & Lapped Transforms
- Audio compression
- Examples

## Basic architecture



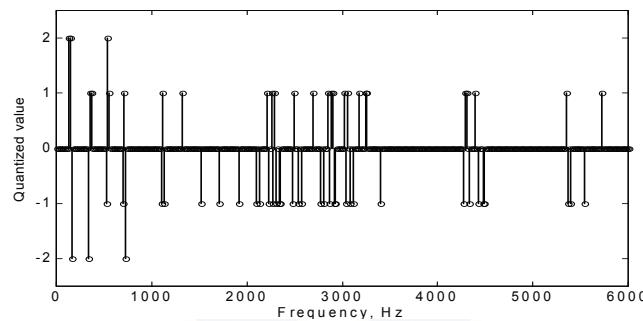
## Quantization of transform coefficients

- Quantization = rounding to nearest integer.
- Small range of integer values = fewer bits needed to represent data
- Step size T controls range of integer values



## Encoding of quantized coefficients

- Typical plot of quantized transform coefficients



- Run-length + entropy coding

## Basic entropy coding

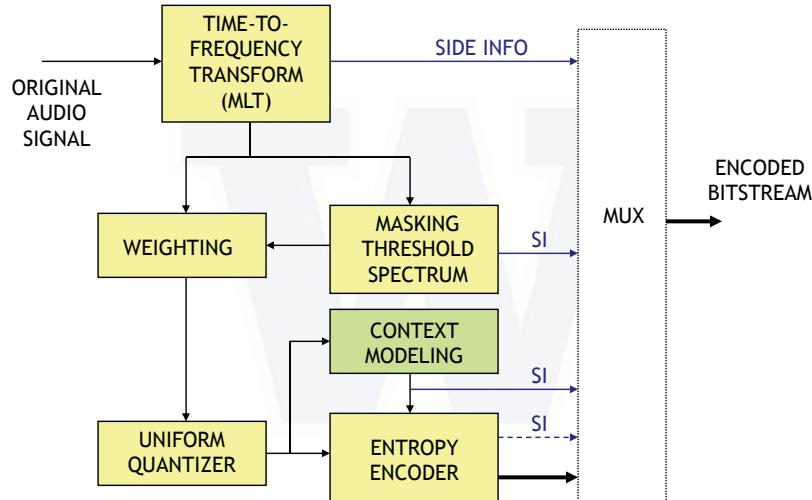
- Huffman coding: less frequent values have longer codewords
- More efficient if groups of values are assembled in a vector before coding

Value	Codeword
-7	'1010101010001'
-6	'10101010101'
-5	'101010100'
-4	'10101011'
-3	'101011'
-2	'1011'
-1	'01'
0	'11'
+1	'00'
+2	'100'
+3	'10100'
+4	'1010100'
+5	'1010101011'
+6	'101010101001'
+7	'1010101010000'

## Side information & more about EC

- Side info: model of frequency spectrum
  - e.g. averages over subbands
- Quantized spectral model determines weighting
  - masking level used to scale coefficients
- Backward adaptation reduces need for SI
- Run-length + Vector Huffman works
  - Context-based AC can be better
  - Room for better context models via machine learning?

## Improved architecture



Microsoft  
Research

33

UNIVERSITY OF  
WASHINGTON

## Examples of context modeling

- For strongly voiced segments, spectral energies may be well predicted by a “Linear Prediction” model, similar to those used in VoIP coders.
- For strongly periodic components, spectral energies may be predicted by a pitch model.
- For noisy segments, a noise-only model may allow for very coarse quantization → lower data rate.

Microsoft  
Research

34

UNIVERSITY OF  
WASHINGTON

## Other aspects & directions

- Stereo coding
  - $(L+R)/2$  & L-R coding, expandable to multichannel
  - Intensity + balance coding
  - Mode switching - extra work for encoder only
- Lossless coding
  - Easily achievable via integer transforms
  - exactly reversible via integer arithmetic
  - example: lifting-based MLT (see Refs)
- Using complex subband decompositions (MCLT)
  - Potential for more sophisticated auditory models
  - Efficient encoding is an open problem

## Audio coding standards

ISO/IEC	MPEG-1 Layer III (MP3) • MPEG-1 Layer II • MPEG-1 Layer I • AAC • HE-AAC • HE-AAC v2
ITU-T	G.711 • G.722 • G.722.1 • G.722.2 • G.723 • G.723.1 • G.726 • G.728 • G.729 • G.729.1 • G.729 <sup>a</sup>
Others	AC3 • AMR • Apple Lossless • ATRAC • FLAC • iLBC • Monkey's Audio • $\mu$ -law • Musepack • Nellymoser • OptimFROG • RealAudio • RTAudio • SHN • Speex • Vorbis • WavPack • WMA • TAK

From [http://en.wikipedia.org/wiki/Advanced\\_Audio\\_Coding](http://en.wikipedia.org/wiki/Advanced_Audio_Coding)

## Contents

---

- Motivation
- “Source coding”: good for speech
- “Sink coding”: Auditory Masking
- Block & Lapped Transforms
- Audio compression
- Examples

## WMA examples:

---

- Original clip  
(~1,400 kbps)      64 kbps (MP3)      64 kbps (WMA)



- Original clip

WMA @ 32 kbps  
(Internet radio)



- More examples at

[http://www.microsoft.com/windows/windowsmedia/demos/audio\\_quality\\_demos.aspx](http://www.microsoft.com/windows/windowsmedia/demos/audio_quality_demos.aspx)

## References

- S. Shlien, "The modulated lapped transform, its time-varying forms, and its applications to audio coding standards," *IEEE Trans. Speech and Audio Processing*, vol. 5, pp. 359-366, July 1997.
- H. S. Malvar, "Fast Algorithms for Orthogonal and Biorthogonal Modulated Lapped Transforms," *IEEE Symposium Advances Digital Filtering and Signal Processing*, Victoria, Canada, pp. 159-163, June 1998.
- H. S. Malvar, "Enhancing the performance of subband audio coders for speech signals," *IEEE International Symposium on Circuits and Systems*, Monterey, CA, vol.5, pp. 98-101, June 1998.
- H. S. Malvar, "A modulated complex lapped transform and its applications to audio processing," *IEEE International Conference on Acoustics, Speech, and Signal Processing*, Phoenix, AZ, pp. 1421-1424, March 1999.
- T. Painter and A. Spanias, "Perceptual coding of digital audio," *Proc. IEEE*, vol. 88, pp. 451-513, Apr. 2000. Available at <http://www.eas.asu.edu/~spanias/papers.html>
- H. S. Malvar, "Auditory Masking in Audio Compression," chapter in *Audio Anecdotes*, K. Greenebaum, Ed., A. K. Peters Ltd., 2004.
- J. Li, "Reversible FFT and MDCT via matrix lifting," *IEEE International Conference on Acoustics, Speech, and Signal Processing*, Montreal, Canada, pp. IV-173-176, May 2004.
- H. S. Malvar, "Adaptive run-length/Golomb-Rice encoding of quantized generalized Gaussian sources with unknown statistics," *IEEE Data Compression Conference*, Snowbird, UT, March 2006.
- [http://en.wikipedia.org/wiki/Advanced\\_Audio\\_Coding](http://en.wikipedia.org/wiki/Advanced_Audio_Coding)