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# CSEP 590A

## Computational Biology

### Summer 2006

Lecture 3:

BLAST

Alignment score significance

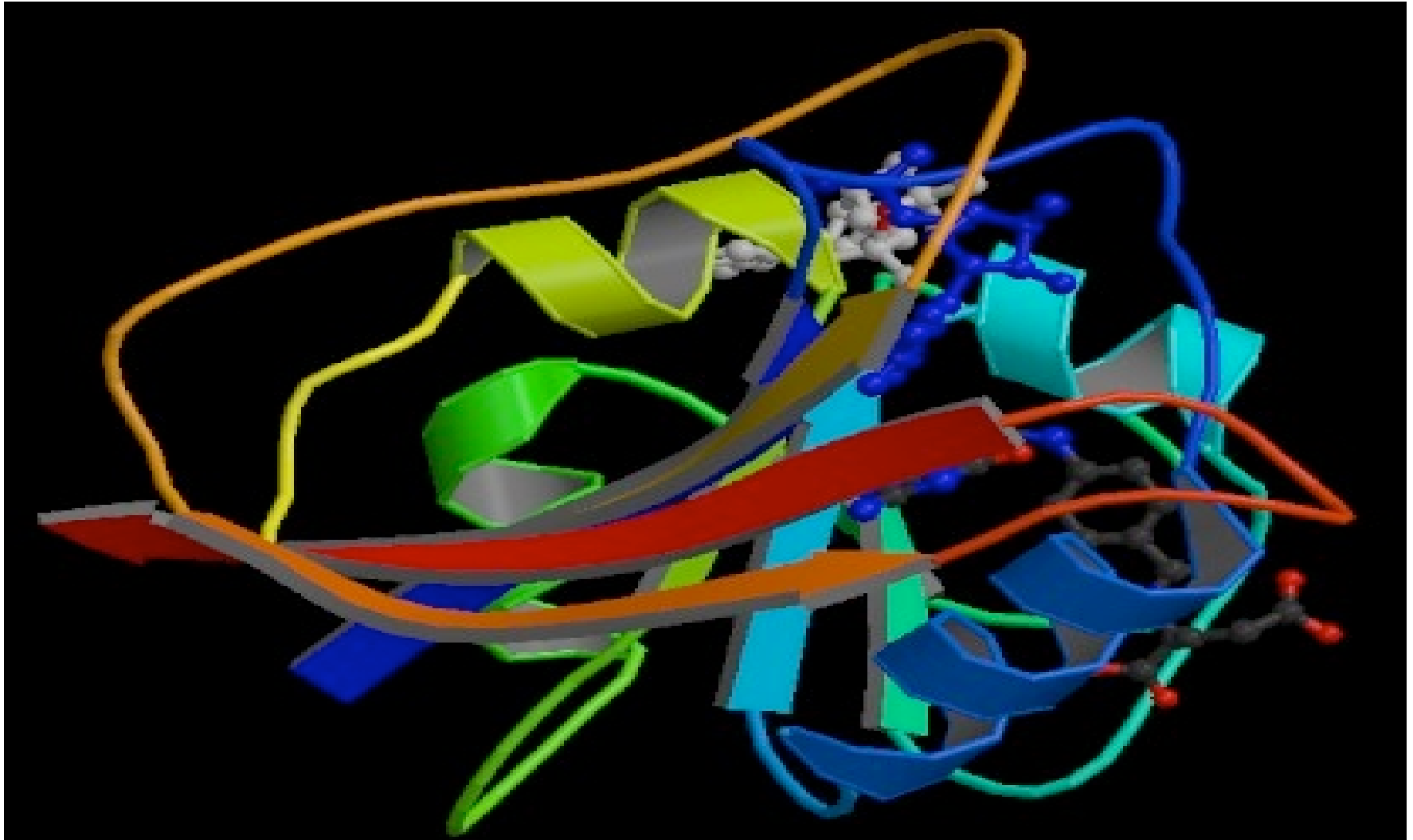
PCR and DNA sequencing

# Tonight's plan

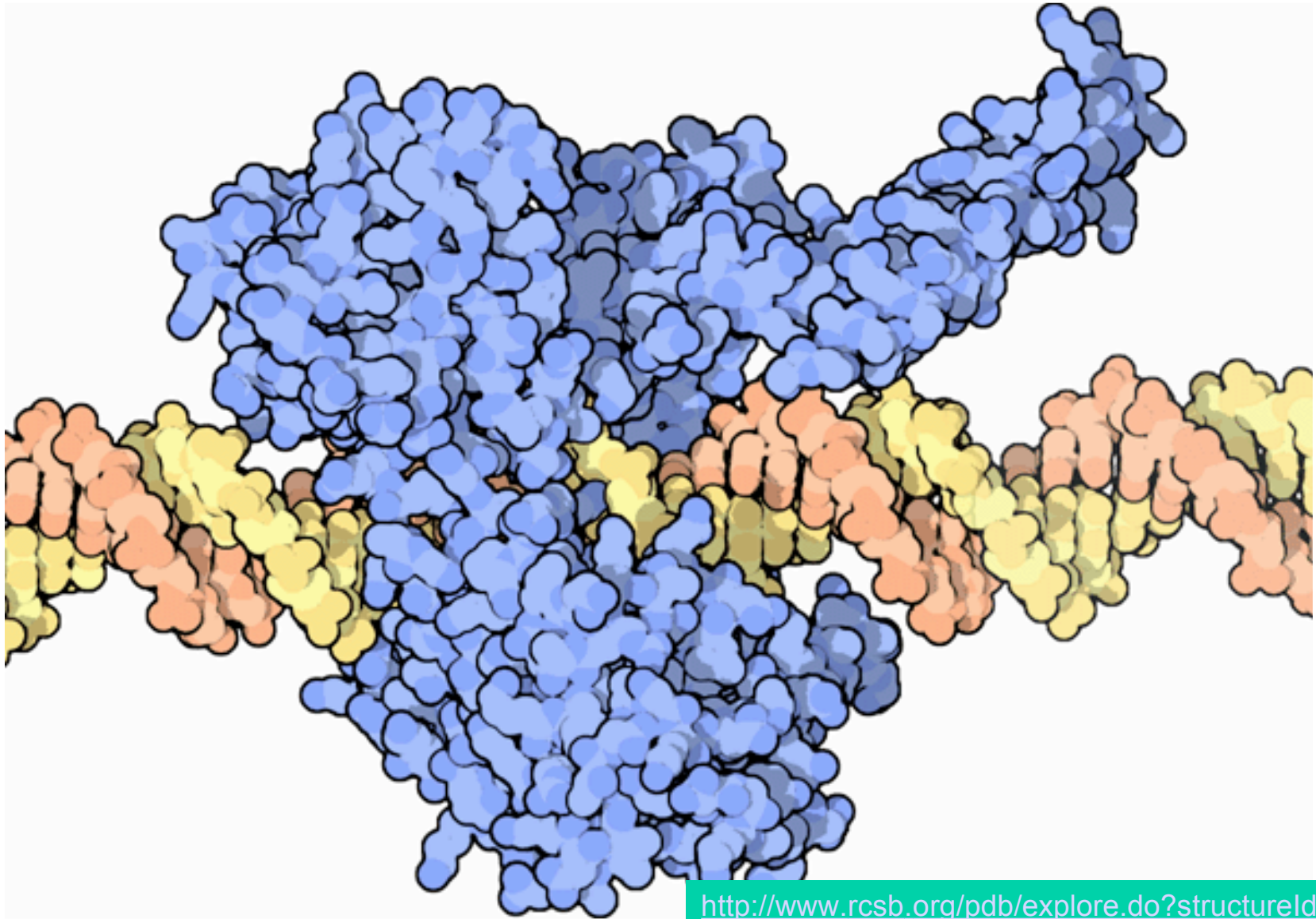
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- BLAST
- Scoring
- Weekly Bio Interlude: PCR & Sequencing

# A Protein Structure



# Topoisomerase I



<http://www.rcsb.org/pdb/explore.do?structureId=1a36>

# Sequence Evolution

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## ***Nothing in Biology Makes Sense Except in the Light of Evolution***

- Theodosius Dobzhansky, 1973
- Changes happen at random
- Deleterious/neutral/advantageous changes unlikely/possibly/likely spread widely in a population
- Changes are less likely to be tolerated in positions involved in many/close interactions, e.g.
  - enzyme binding pocket
  - protein/protein interaction surface
  - ...

# BLAST:

## Basic Local Alignment Search Tool

Altschul, Gish, Miller, Myers, Lipman, J Mol Biol 1990

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- *The* most widely used comp bio tool
- Which is better: long mediocre match or a few nearby, short, strong matches with the same total score?
  - score-wise, exactly equivalent
  - biologically, later may be more interesting, & is common
- BLAST is a heuristic emphasizing the later
  - speed/sensitivity tradeoff: BLAST may miss former, but gains greatly in speed

# BLAST: What

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- Input:
  - a query sequence (say, 300 residues)
  - a data base to search for other sequences similar to the query (say,  $10^6$  -  $10^9$  residues)
  - a score matrix  $\sigma(r,s)$ , giving cost of substituting r for s (& perhaps gap costs)
  - various score thresholds & tuning parameters
- Output:
  - “all” matches in data base above threshold
  - “E-value” of each

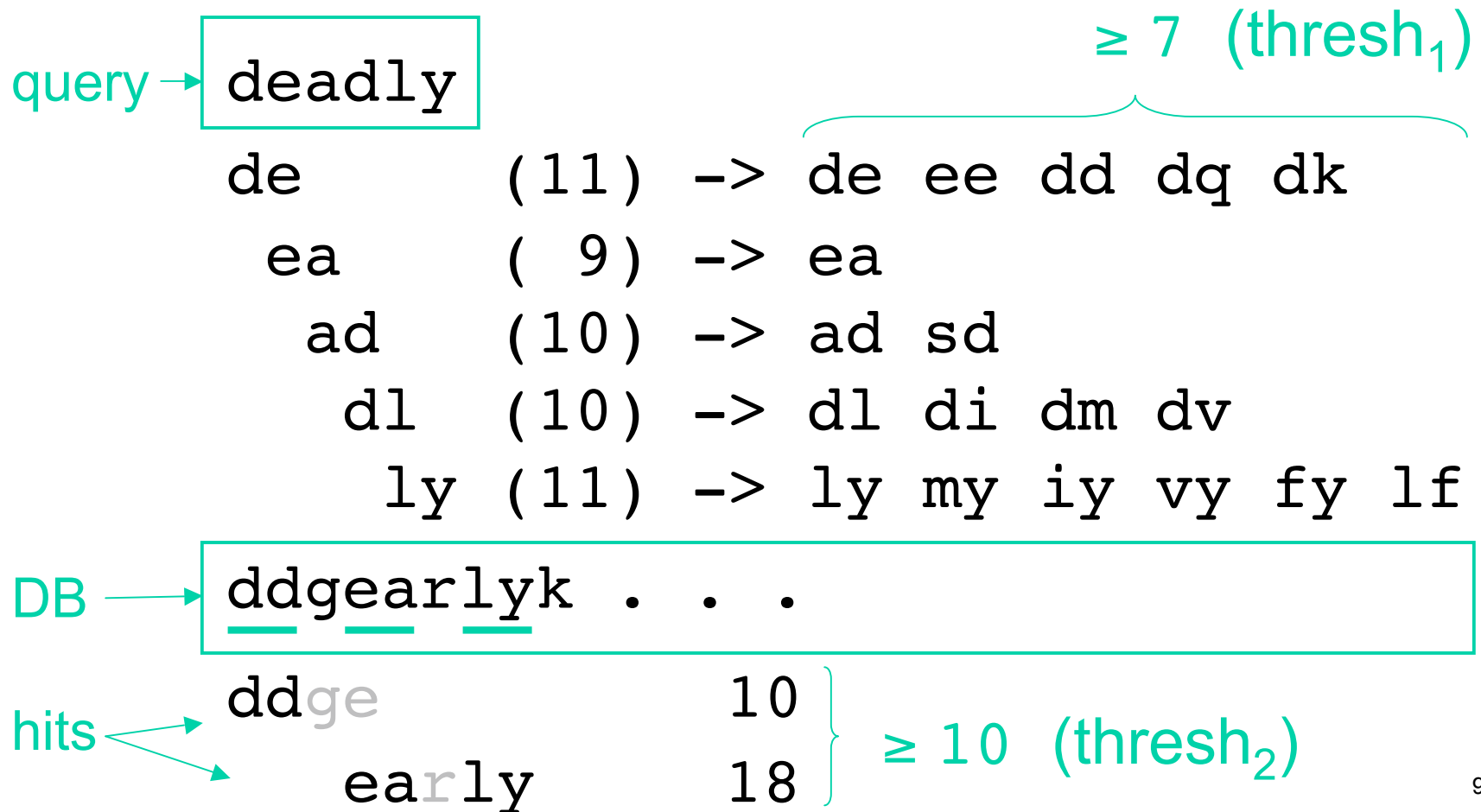
# BLAST: How

*Idea: only parts of data base worth examining are those near a good match to some short subword of the query*

- Break query into overlapping words  $w_i$  of small fixed length (e.g. 3 aa or 11 nt)
- For each  $w_i$ , find (empirically,  $\sim 50$ ) “neighboring” words  $v_{ij}$  with score  $\sigma(w_i, v_{ij}) > \text{thresh}_1$
- Look up each  $v_{ij}$  in database (via prebuilt index) -- i.e., exact match to short, high-scoring word
- Extend each such “seed match” (bidirectional)
- Report those scoring  $> \text{thresh}_2$ , calculate E-values



# BLAST: Example



# BLOSUM 62

	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	<b>4</b>	-1	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-2	-1	1	0	-3	-2	0	
R	-1	<b>5</b>	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	0	<b>6</b>	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	-2	1	<b>6</b>	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	-3	<b>9</b>	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	1	0	0	-3	<b>5</b>	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	0	2	-4	2	<b>5</b>	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	-2	0	-1	-3	-2	-2	<b>6</b>	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	0	1	-1	-3	0	0	-2	<b>8</b>	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-3	-1	-3	-3	-4	-3	<b>4</b>	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-2	-3	-4	-1	-2	-3	-4	-3	2	<b>4</b>	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	2	0	-1	-3	1	1	-2	-1	-3	-2	<b>5</b>	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	<b>5</b>	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	<b>6</b>	-4	-2	-2	1	3	-1
P	-1	-2	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	<b>7</b>	-1	-1	-4	-3	-2
S	1	-1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	<b>4</b>	1	-3	-2	-2
T	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	<b>5</b>	-2	-2	0
W	-3	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	<b>11</b>	2	-3
Y	-2	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	<b>7</b>	-1
V	0	-3	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	<b>4</b>

# Significance of Alignments

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- Is “42” a good score?
- *Compared to what?*
- Usual approach: compared to a specific “null model”, such as “random sequences”

# A Likelihood Ratio

- Defn: two proteins are *homologous* if they are alike because of shared ancestry; similarity by descent
- suppose among proteins overall, residue x occurs with frequency  $p_x$
- then in a random alignment of 2 random proteins, you would expect to find x aligned to y with prob  $p_x p_y$
- suppose among *homologs*, x & y align with prob  $p_{xy}$
- are seqs X & Y homologous? Which is more likely, that the alignment reflects chance or homology? Use a *likelihood ratio test*.

$$\sum_i \log \frac{p_{x_i y_i}}{p_{x_i} p_{y_i}}$$

# Non-*ad hoc* Alignment Scores

- Take alignments of homologs and look at frequency of x-y alignments vs freq of x, y overall
- Issues
  - biased samples
  - evolutionary distance
- BLOSUM approach
  - large collection of trusted alignments (the BLOCKS DB)
  - subsetted by similarity, e.g. BLOSUM62 => 62% identity

$$\frac{1}{\lambda} \log_2 \frac{P_{x y}}{p_x p_y}$$

# *ad hoc* Alignment Scores?

- Make up any scoring matrix you like
- Somewhat surprisingly, under pretty general assumptions\*\*, it is *equivalent* to the scores constructed as above from some set of probabilities  $p_{xy}$ , so you might as well understand what they are

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\*\* e.g., average scores should be negative, but you probably want that anyway, otherwise local alignments turn into global ones, and some score must be  $> 0$ , else best match is empty

# BLOSUM 62

	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	<b>4</b>	-1	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-2	-1	1	0	-3	-2	0	
R	-1	<b>5</b>	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	0	<b>6</b>	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	-2	1	<b>6</b>	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	-3	<b>9</b>	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	1	0	0	-3	<b>5</b>	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	0	2	-4	2	<b>5</b>	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	-2	0	-1	-3	-2	-2	<b>6</b>	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
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L	-1	-2	-3	-4	-1	-2	-3	-4	-3	2	<b>4</b>	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	2	0	-1	-3	1	1	-2	-1	-3	-2	<b>5</b>	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	<b>5</b>	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	<b>6</b>	-4	-2	-2	1	3	-1
P	-1	-2	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	<b>7</b>	-1	-1	-4	-3	-2
S	1	-1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	<b>4</b>	1	-3	-2	-2
T	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	<b>5</b>	-2	-2	0
W	-3	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	<b>11</b>	2	-3
Y	-2	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	<b>7</b>	-1
V	0	-3	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	<b>4</b>

# Overall Alignment Significance, I

## A Theoretical Approach: EVD

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- If  $X_i$  is a random variable drawn from, say, a normal distribution with mean 0 and std. dev. 1, what can you say about distribution of  $y = \max\{ X_i \mid 1 \leq i \leq N \}$ ?
- Answer: it's approximately an *Extreme Value Distribution (EVD)*

$$P(y \leq z) \cong \exp(-KNe^{-\lambda z}) \quad (*)$$

- For ungapped local alignment of seqs  $x, y$ ,  $N \sim |x|^*|y|$   
 $\lambda, K$  depend on scores, etc., or can be estimated by curve-fitting random scores to (\*). (cf. reading)



# EVD Problems

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- It's only approximate
- parameter estimation
- theory may not apply. E.g., it is NOT known to hold for gapped alignments (although empirically it seems to work pretty well).

# Overall Alignment Significance, II

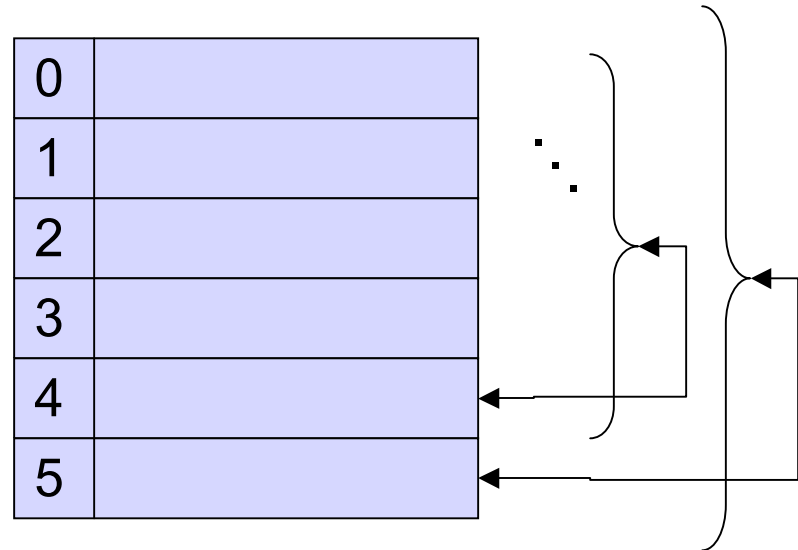
## Empirical (via randomization)

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- generate N random sequences (say  $N = 10^3 - 10^6$ )
- align x to each & score
- if k of them have better score than alignment of x to y, then the (empirical) probability of a chance alignment as good as observed x:y alignment is  $k/N$
  
- How to generate “random” sequences?
  - Alignment scores often sensitive to sequence composition
  - so uniform 1/20 or 1/4 is a bad idea
  - even background  $p_i$  can be dangerous
  - Better idea: *permute* y N times

# Generating Random Permutations

```
for (i= n-1; i>0; i--){  
    j = random(0..i);  
    swap X[i]<-> X[j];  
}
```



# Permutation Problems

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- Can be inaccurate if your method of generating random sequences is unrepresentative
  - E.g., probably better to preserve di-, tri-residue statistics and/or other higher-order characteristics, but increasingly hard to know exactly what to model & how
- Slow
- Especially if you want to assess low-probability p-values

# E-values

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- Above give “p-values”: probability of a score more extreme than observed if the target sequence were random
- E.g., suppose p-value for x:y match is  $10^{-3}$  , then you’d expect to see a score that good only one time in a thousand among non-homologous sequences
- Sounds good
- What if you *found* y by picking best match among  $10^4$  proteins?
- Sounds not so good
- E-value: expected number of matches that good in a data base of the given size

# Issues

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- What if the model is wrong?
- E.g., are adjacent positions really independent?

# Summary

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- BLAST is a highly successful search/alignment heuristic. It looks for alignments anchored by short, strong, ungapped “seed” alignments
- Assessing statistical significance of alignment scores is crucial to practical applications
  - score matrices derived from “likelihood ratio” test of trusted alignments vs random “null” model
  - for gapless alignments, Extreme Value Distribution (EVD) is theoretically justified for overall significance of alignment scores; empirically seems ok for gapped alignments, too
  - permutation tests are a simple (but brute force) alternative

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# Weekly Bio(tech) Interlude

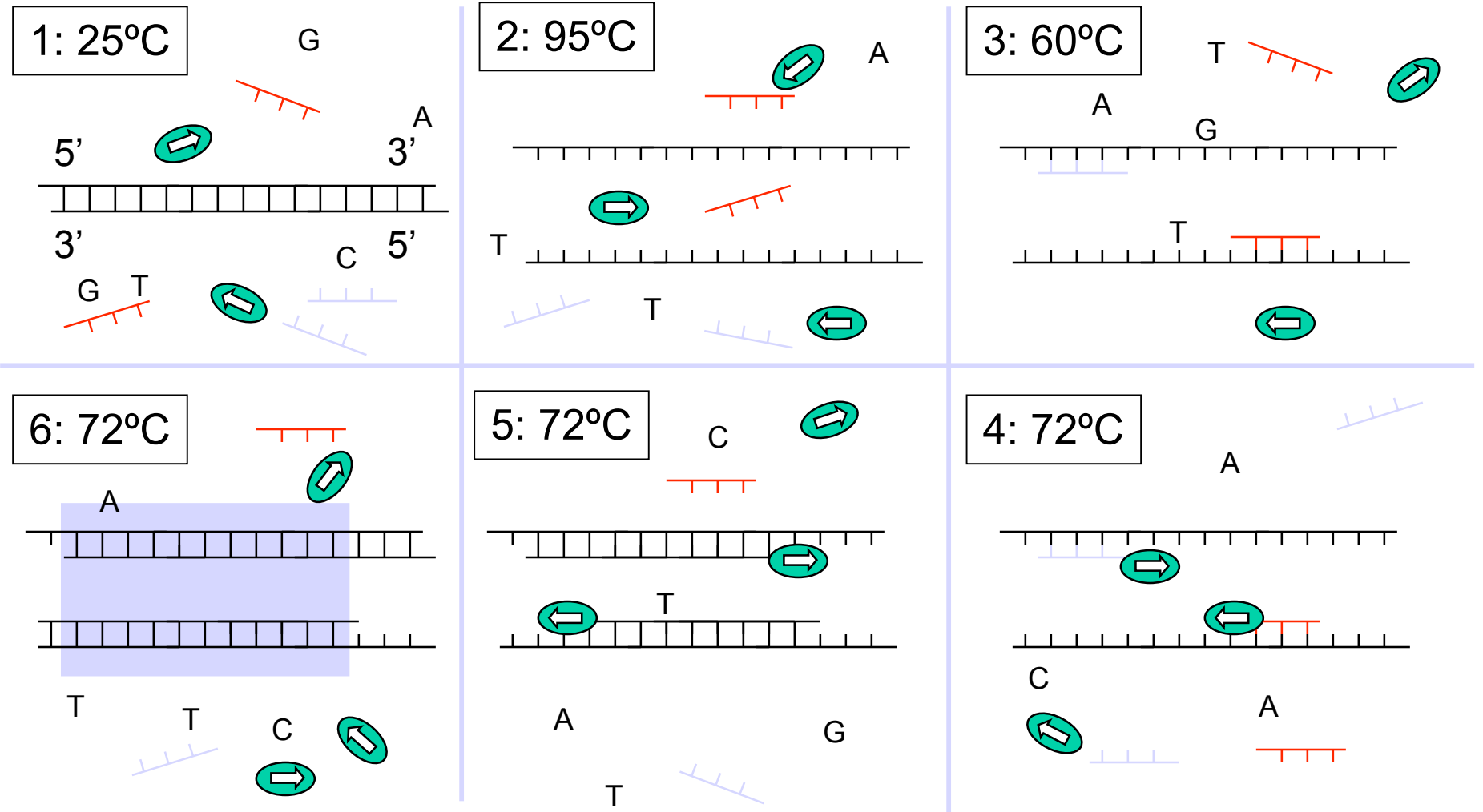
2 Nobel Prizes:

PCR: Kary Mullis, 1993

DNA Sequencing: Frederick Sanger, 1980



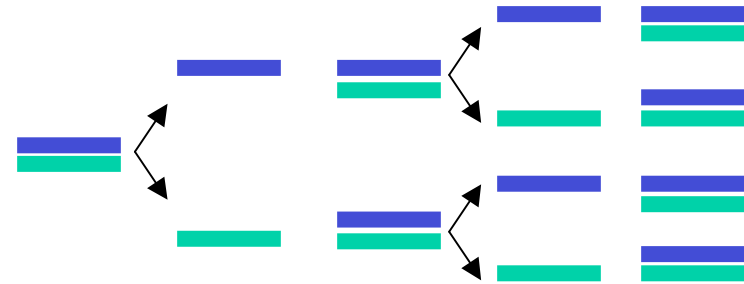
# PCR





Hot spring, near Great Fountain  
Geyser, Yellowstone National Park

# PCR



- Ingredients:
  - many copies of deoxy nucleotide triphosphates
  - many copies of two primer sequences (~20 nt each)
    - readily synthesized
  - many copies of Taq polymerase (*Thermus aquaticus*),
    - readily available commercialy
  - as little as 1 strand of template DNA
  - a programmable “thermal cycler”
- Amplification: million to billion fold
- Range: up to 2k bp routinely; 50k with other enzymes & care
- *Very widely used*; forensics, archeology, cloning, sequencing, ...

# Gel Electrophoresis

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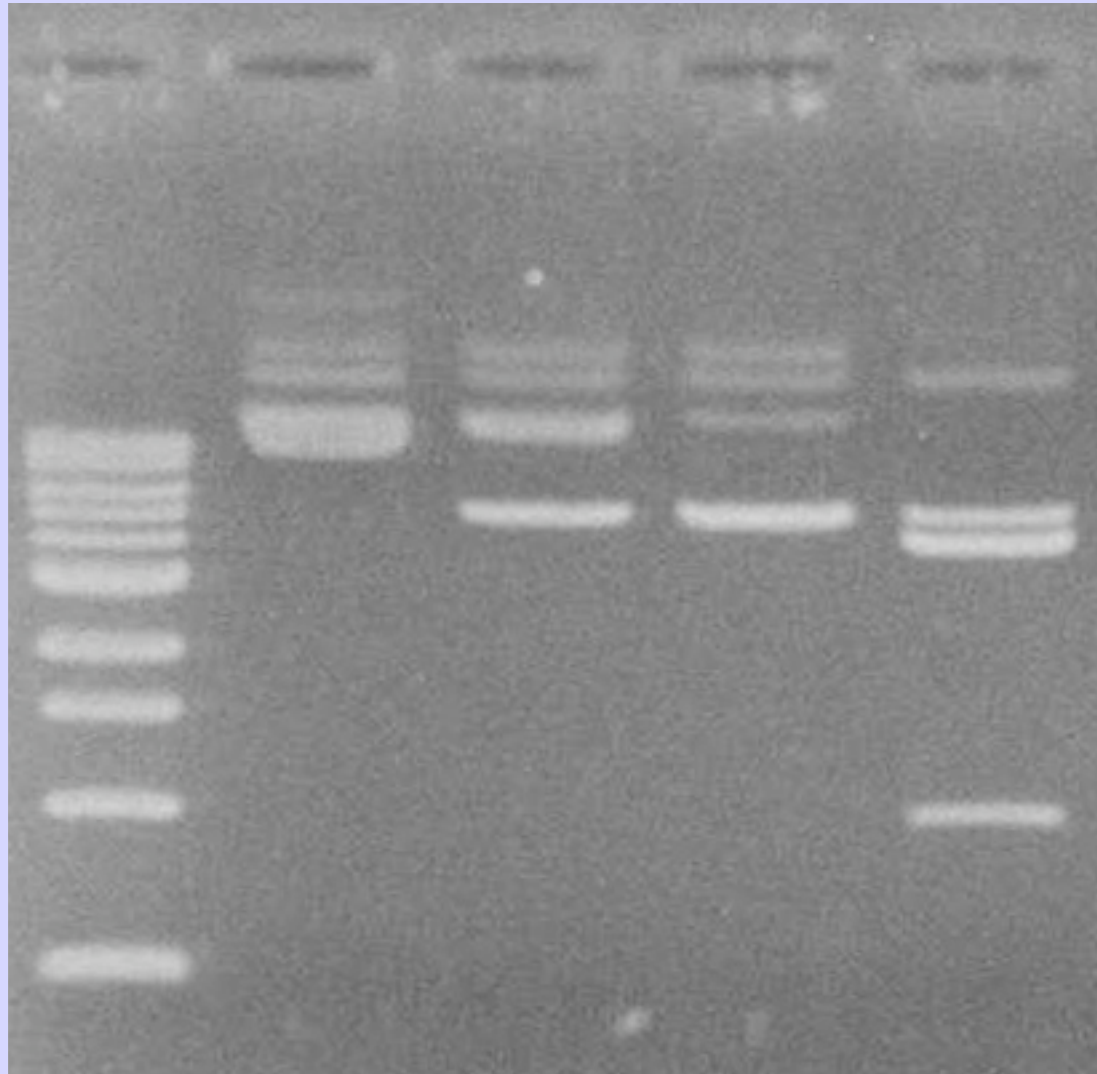
- DNA/RNA backbone is negatively charged
- Molecules move slowly in gels under an electric field
  - agarose gels for large molecules
  - polyacrylamide gels for smaller ones
- Smaller molecules move faster
- So, you can *separate DNAs & RNAs by size*

lane 1    lane 2    lane 3    lane 4    lane 5

10,000 bp →

3,000 bp →

500 bp →



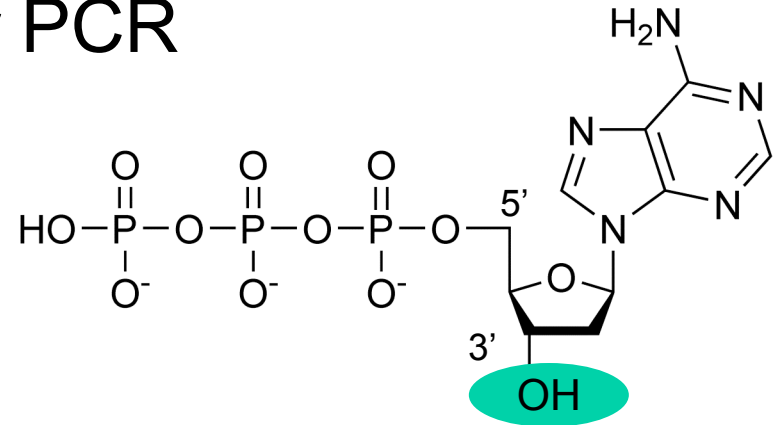
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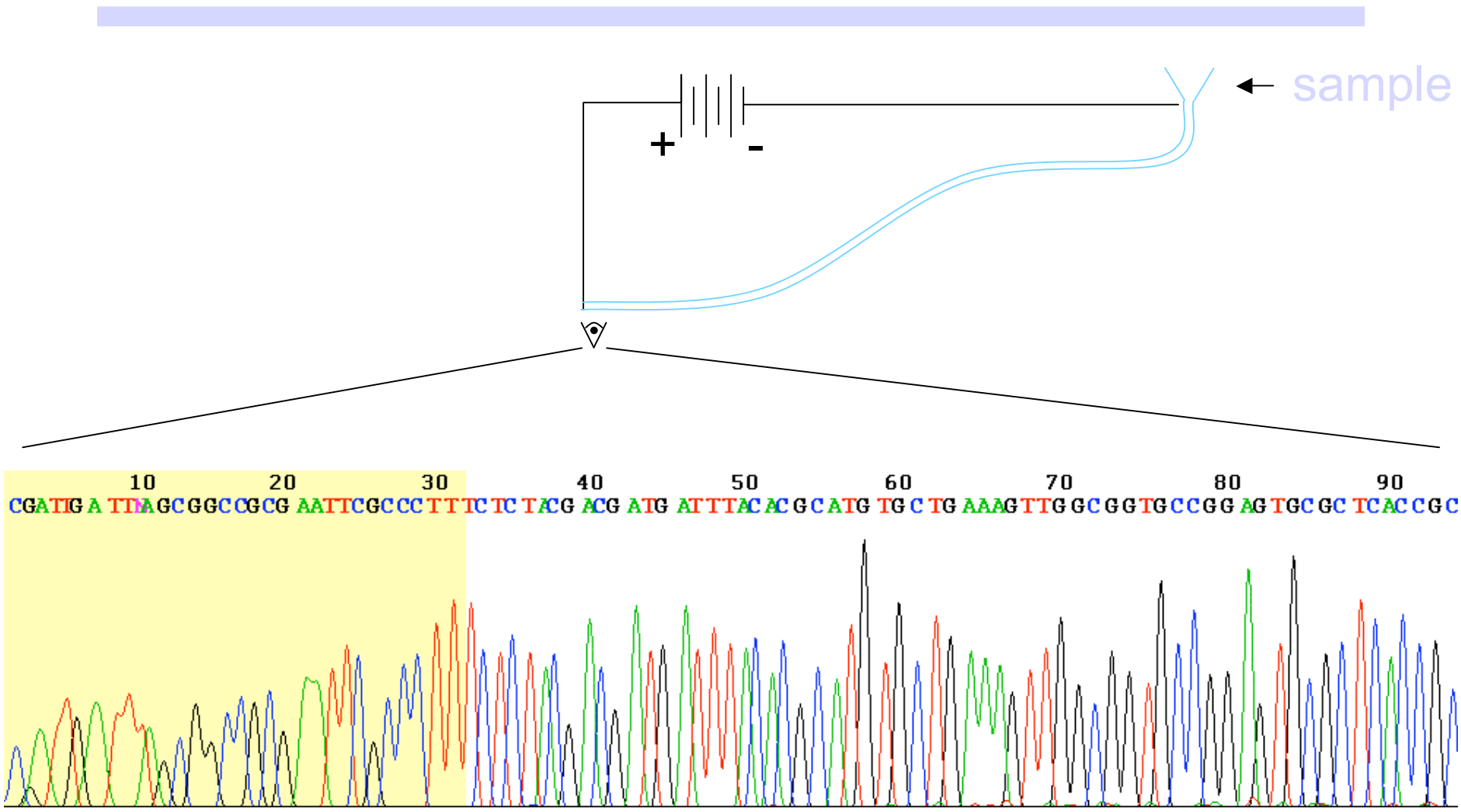
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# DNA Sequencing

- Like one-cycle, one-primer PCR
- Suppose 0.1% of A's:
  - are *di*-deoxy adenosine's; backbone can't extend
  - carry a green florescent dye
- Separate by capillary gel electrophoresis
- If frags of length 42, 49, 50, 55 ... glow green, those positions are A's
- Ditto C's (blue), G's (yellow), T's (red)



# DNA Sequencing



# DNA Sequencing

- Highly automated
- Typically can “read” about 600 nt in one run
- “Whole Genome Shotgun” approach:
  - cut genome randomly into  $\sim G / 600 \times 10$  fragments
  - sequence each
  - reassemble by computer



- Complications: repeated region, missed regions, sequencing errors, chimeric DNA fragments, ...
- But overall accuracy  $\sim 10^{-4}$ , if careful



# Summary

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- PCR allows simple *in vitro* amplification of minute quantities of DNA (having pre-specified boundaries)
- Sanger sequencing uses
  - a PCR-like setup with modified chemistry to generate varying length prefixes of a DNA template with the last nucleotide of each color-coded
  - gel electrophoresis to separate DNA by size, giving sequence
- Sequencing random overlapping fragments allows genome sequencing