Virtual Machines

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Multiprocessor Recap

- Cache coherence requires extensive bookkeeping and hw messages to manage shared data
- Contention for shared data can slow CPUs
- How do we reduce contention?
 - Per-processor data structures (Barrelfish)
 - Optimize coherence communication (MCS, Barrelfish)
 - Lock free data structures (MCS, RCU, ...)

Adve Implementation Rule

Let's assume (wlog) that each process specifies that its own operations happen in some order

- E.g., read A, write B, append C, ...
- If concurrent, system can choose the order

Serializable/sequentially consistent if

- 1. Operations applied in processor order, and
- 2. all operations to same memory location are serialized (as if to a single copy)

Test (and Test) and Set Performance



MCS Lock

- Maintain a list of threads waiting for the lock
 - Thread at front of list holds the lock
 - MCSLock::tail is last thread in list
 - Add to tail using CompareAndSwap
- Lock handoff: set next->needToWait = FALSE
 - Next thread spins: while needToWait is TRUE

MCS Lock Implementation

```
MCSLock::acquire() {
  myTCB->next = NULL;
  myTCB->needToWait = FALSE;
  oldTail = tail;
  while (!compareAndSwap(&tail,
           oldTail, &myTCB)) {
    oldTail = tail;
  if (oldTail != NULL) {
    myTCB->needToWait = TRUE;
    oldTail->next = myTCB;
    memory_barrier();
    while (myTCB->needToWait)
       ,
```

```
TCB {
	TCB *next; // next in line
	bool needToWait;
}
MCSLock {
	Queue *tail = NULL; // end of line
}
```

MCS In Operation



TAIL....

C:

NIL

TRUE

In Practice

- Spin adaptively
 - No delay if few waiting
 - Longer delay if many waiting
 - Guess number of waiters by how long you wait

Read-Copy-Update (RCU) Locks

- Goal: very fast reads to shared data
 - Reads proceed without first acquiring a lock
 - OK if write is (very) slow
- Restricted update
 - Writer computes new version of data structure
 - Publishes new version with a single atomic instruction
- Multiple concurrent versions
 - Readers in progress may see old or new version
 - New readers see new version
- Integration with thread scheduler
 - Readers in progress at previous update must complete within grace period
 - Then ok to garbage collect old version



Read-Copy-Update Implementation

- Readers disable interrupts on entry
 - Guarantees they complete critical section in a timely fashion
 - No read or write lock
- Writer
 - Acquire write lock
 - Compute new data structure
 - Publish new version with atomic instruction
 - Release write lock
 - Wait for time slice on each CPU
 - Only then, garbage collect old version of data structure

Lock-free Data Structures

- No lock for either read or write
 - No lock contention!
 - No deadlock!
- General method using compareAndSwap
 - Create copy of data structure
 - Modify copy
 - Swap in new version iff no one else has
 - Garbage collect old version (RCU style)
 - Restart if pointer has changed

RCU Balanced Tree

- Readers can always walk tree
- Writers construct a new version of tree, use compare and swap to atomically replace

New readers see new version of tree

- Rebalancing on addition/deletion is a local operation
 - Compare and swap to atomically replace subtree

RCU Memory Management

- Operations
 - Adding/removing a memory region: mmap, munmap
 - Adjusting memory bounds: sbrk, mmap
 - Lazy allocation of page tables
 - Lazy allocation of pages
 - Lazy page table entry update (e.g., copy on write)
- For multithreaded user programs

Concurrent page faults

 Both machine-independent and machine-dependent data structures

Barrelfish: The OS as Distributed System

- 2007-today, ETH Zurich
- OS for "multicore" systems
- Goals: Scalability, agility, heterogeneit,
 OS can be reconfigured for each new machine
- No shared state
- Message passing
- Software consistency mechanisms

Barrelfish Observations

- Heterogeneous cores, cache coherence protocols
 - Optimizations for one architecture may be counterproductive for another
- Complex core-core interconnect topologies
- Message passing can be faster than locking
 Cache locality inside the critical section

Barrelfish

- Explicit inter-core communication
 - Message pipelining vs. synchronous locking
- Hardware neutral OS structure
 - Cache coherence costs vary dramatically
- Replicated state, not shared state



- Finer-grained locking of shared memory
- Replication as an optimization of shared memory

Barrelfish Architecture



User-space producerconsumer queue



Address space 1

Address space 2

Barrelfish CC-UMP (x86) Interconnect Driver

- Cache-coherent shared memory

 inspired by URPC
- Ring buffer of cache-line sized messages
 64 bytes or 32 bytes
 - 1 word for bookkeeping; last one written
- Credit-based flow control out of band
- One channel per IPC binding (not shared)



















Virtual Machine

"A VM is an efficient, isolated duplicate of a real machine"

[Popek & Goldberg, 1974]

- **Duplicate:** VM behaves identically to real machine
 - Programs can't tell the difference
 - Caveats: resources, timing differences
- Isolated: several VMs execute without interference
- Efficient: speed close to that of real hardware
 - Requires that most instructions are executed directly by hardware

Hypervisor aka *virtual-machine monitor* (VMM): software implementing the VM

Types of Virtualisation



(Main topic of this lecture)

VMM History

- Appeared concurrently with timesharing, late 60's
 - Multics and IBM's competitor (TSS) were both late
 - IBM hacked together a system known as CP/CMS
 - CP = control program (virtual machine monitor)
 - CMS was an existing single-user OS
 - Precursor of IBM mainframe VMMs
- Confined mostly to mainframes for decades
 PC hardware was not efficiently virtualisable

Disco

Running commodity OSes on scalable multiprocessors [Bugnion et al., 1997]

- Context: ca. 1995, large ccNUMA multiprocessors appearing
- Problem: scaling OSes to run efficiently on these was hard
 - Extensive modification of OS required
 - Complexity of OS makes this expensive
 - Availability of software and OSes trailing hardware
- Idea: implement a scalable VMM, run multiple OS instances
- VMM has most of the features of a scalable OS, e.g.:
 - NUMA-aware allocator
 - Page replication, remapping, etc.
- VMM substantially simpler/cheaper to implement
- Run multiple (smaller) OS images, for different applications

Disco architecture



[Bugnion et al., 1997]

VMM History

- Disco authors went on to found VMware
 Instead of pursuing scalability research
- Shipped v1 in 1999, showed it was practical on x86
 - Big challenge because x86 was not virtualizable
 - Research version on MIPS
- Hugely important today
 - OS security concerns
 - Cloud
Why Virtual Machines?

- Historically, used to share mainframes
 - Run several (even different) guest OSes
 - Each gets a **static** subset of physical resources
- Recent renaissance; many reasons:
 - Strong isolation (e.g. in the cloud)
 - Security (thinner interface)
 - Complete encapsulation of app/OS
 - Decouples OS from hardware
 - Migration/consolidation
 - Checkpointing, debugging
 - Run multiple OSes concurrently
- A band-aid for OS limitations?



VMs in the cloud

- Utility computing is an old idea, but VMs made it practical
- Infrastructure as a Service (IaaS)
 - Rent VMs from cloud provider
 - Provider increases utilisation by sharing hardware
 - On-demand provisioning
 - Dynamic load balancing / live migration

Native vs. Hosted VMM

Native/Classic/ Bare-metal/Type-I



Hosted/Type-II



- Hosted VMM beside native apps
 - Sandbox untrusted apps
 - Convenient for running alternative OS on desktop
 - Leverage host drivers
- Less efficient
 - Double mode switches
 - Double context switches
 - Host not optimised for exception forwarding

Virtualisation mechanics

- Trap and emulate
- Binary translation
- Paravirtualisation
- Hardware assistance

Trap and emulate virtualisation

- Traditional approach
 - Run guest OS and applications in unprivileged mode
 - Guest attempts to access physical resource
 - Hardware raises exception (trap), invokes hypervisor
 - Hypervisor emulates instruction
 - e.g. updates virtual CPU state
- Most instructions don't trap
 - Prerequisite for efficient virtualisation

Trap and emulate virtualisation

- Formalised by Popek & Goldberg (1974)
- Definitions:
 - Assume HW user/system mode (e.g. x86 ring 0)
 - Privileged state determines resource allocation
 - Privilege mode, address space, etc.
 - *Privileged instructions* trap in user but not system mode (e.g. cli, sti)
 - Sensitive instructions change or expose privileged state (e.g. mov to CR3, int, iret)
- Theorem:
 - Can construct an effective VMM if sensitive instructions are a subset of privileged instructions
 - Can also perform recursive virtualisation (run VMM in a VM)

Trap and emulate example: Virtual interrupts and CLI/STI

- Virtual machine monitor:
 - Controls hardware interrupt flag (IF)
 - Enabled during guest execution
 - Maintains virtual IF
 - Uses virtual IF to decide when to interrupt guest
- When guest executes CLI or STI
 - Protection violation trap, since guest is in user mode
 - VMM looks at virtual privilege level
 - If 0 (kernel mode), changes virtual IF
 - Else emulates virtual protection violation trap to guest kernel
- VMM must only expose virtual IF to guest

Trap and emulate limitations

- Problem 1: not all architectures are T&E virtualisable
 - e.g.: x86 CS exposes privilege level
 - pushf reveals real (host) interrupt flag
 - popf modifies flags, but silently ignores some in user mode
- Problem 2: high overhead/frequency of traps
- Solutions
 - 1. Rewrite problem instructions before they execute
 - Binary translation
 - (Classic) VMware
 - 2. Change guest OSes
 - "Paravirtualisation"
 - Xen, Denali, ...
 - 3. Change the architecture
 - x86 virtualisation extensions, first shipped in 2005

Binary translation

- Basic idea:
 - Translate all instructions before they are executed
 - Most innocuous instructions are identical
 - Replace sensitive instructions (with traps, or other code)
- Very complex, especially for x86!
 - Code and data are intermingled
 - Code might be 64-, 32- or 16-bit
 - Introspection needs to show original code
 - Variable-length instructions
 - What happens if you branch to the "middle" of an instruction?
 - Self-modifying code
- Can also think of this as a JIT-compiling emulator
 - Can sometimes improve performance vs. native!

Binary translation example

Program: int isPrime(int a) { for (int i = 2; i < a; i++) { if (a % i == 0) return 0; } return 1; }

We're executing isPrime(49)...

Compiles to: isPrime: mov %ecx, %edi ; secx = %edi (a) mov %esi, \$2 ; *i* = 2 cmp %esi, %ecx ; is i >= a? jge prime ; jump if yes nexti: mov %eax, %ecx ; set %eax = a ; sign-extend cdq idiv %esi ; a % i test %edx, %edx ; zero remainder? jz notPrime ; jump if yes inc %esi ; i++ cmp %esi, %ecx ; is i >= a? jl nexti ; jump if no mov %eax, \$1 ; return in prime: %eax ret notPrime: xor %eax, %eax ; %eax = 0 ret

[Adams and Agesen, 2006]

"Basic block"

Binary translation example



- Translate and execute first basic block
 - Branch targets point back into translator
- Translate next (reached) basic block, ...
- Chain translations
 - Update jump targets
 - Elide fall-through jumps

Binary translation example

isPrime': mov %ecx, %edi mov %esi, \$2 cmp %esi, %ecx jge [takenAddr] ; fall-thru into next CCF mov %eax, %ecx nexti': cdq idiv %esi test %edx, %edx jz notPrime' : fall-thru into next CCF inc %esi cmp %esi, %ecx jl nexti' jmp [fallthrAddr3] notPrime': xor %eax, %eax pop %r11 ; RET mov %gs:0xff39eb8(%rip), %rcx ; spill rcx movzx %ecx, %r11b jmp %gs:0xfc7dde0(8*%rcx) ; (restores rcx)

- Untaken branches never translated
- I-cache locality good
- GS refers to VMM state
- CALL/RET are complex
 - Stack contains native addresses
- 64-bit registers handy for a 32-bit guest

Paravirtualisation

- Denali [Whitaker et al, OSDI'02] and Xen [Barham et al, SOSP'03]
- Basic idea: "enlighten" guest OS to run in VM
 - Augment processor ISA with explicit hypercalls
 - Remove sensitive instructions
 - Reduce number of traps
- Generally outperforms trap and emulate, binary translation
- Requires source modifications for each guest / host

Hardware extensions

- Intel VT / AMD SVM (circa 2006)
- Changed architecture to enable virtualisation
 - New privilege mode (guest mode / "root" mode)
 - New instructions (vmrun, vmexit) a bit like iret / syscall
 - New data structure: VM control block (for guest state)



Virtualisation overheads

- VMM must maintain virtualised privileged machine state
 - processor status
 - addressing context
 - device state
- VMM needs to emulate privileged instructions
 - translate between virtual and real privileged state
 - e.g. guest \leftrightarrow real page tables
- Virtualisation traps are expensive
 - >1000 cycles on some Intel processors!
 - But improving (Haswell round-trip < 500 cycles)
- Some OS operations involve frequent traps
 - STI/CLI for mutual exclusion
 - frequent page table updates during fork()

Memory Virtualization

VM address translation



Must implement with single MMU translation!

Real Guest PT



- On guest PT access must translate (virtualise) PTEs
 - store: translate guest "PTE" to real PTE
 - load: translate real PTE to guest "PTE"
- Each guest PT access traps!
 - including reads
 - high overhead

Shadow Page Table



Shadow Page Table



Segment Table		Pa	Page Table A Page Table A		age Table B
0	Page Table A	0	0002	0	0001
1	Page Table B	1	0006	1	0004
x	(rest invalid)	2	0000	2	0003
		3	0005	x	(rest invalid)
		x	(rest invalid)		

S	egment Table	Page Table K		
0	Page Table K	0	BEEF	
x	(rest invalid)	1	F000	
		2	CAFE	
		3	3333	
		4	(invalid)	
		5	BA11	
		6	DEAD	
		7	5555	
		x	(rest invalid)	

Lazy Shadow Update



Lazy Shadow Update



Paravirtualization

Impure virtualisation methods (aka paravirtualization) enable new optimisations

avoid traps through ability to control the ISA

- changed contract between guest and hypervisor

• Example: para-virtualised guest page table

Paravirtual Guest PT



Problems with shadow paging

- Significant overhead for PT updates
 - Workload dependent performance impact
- Lots of complexity in the hypervisor
- Solution: more hardware!
 - Intel / AMD introduced nested paging circa 2008
- Hardware walks both guest and host page table
 - A bit scary: for x64 (4-level PT), a single memory access might require 16 page table fetches!
 - In practice, they are cached in the TLB

Device Virtualization

VMM Device Models



Emulated Device



Split Driver (Xen speak)



Driver OS (Xen Dom0)



- Leverage Driver-OS native drivers
 - no driver porting
 - must trust complete Driver OS!
 - huge TCB!

Pass-Through Driver



- Only secure with hardware support
 - I/O MMU and VMsafe devices



Hybrid Hypervisor OSes

- Idea: turn standard OS into hypervisor
 - ... by running in VT-x root mode
 - eg: KVM ("kernel-based virtual machine")
- Can re-use Linux drivers etc
- Huge trusted computing base!
- Often falsely called a Type-2 hypervisor



Multilevel Memory Allocation

- Guest OS has fixed memory size, set at boot
- Host VMM multiplexes memory between VM's
 - Host VMM evicts an unused page
 - Guest OS flushes unused dirty page to virtual disk
 - Host VMM brings back unused page to write to virtual disk

Multilevel Memory Allocation

- Balloon driver: load a dummy I/O device driver into the guest OS to allocate/deallocate frames
- Paravirtualization: modify guest OS to handle dynamic resource allocation
- What about multiplexing guest OS processors?
 - Balloon driver?
 - Scheduler activations?

Memory Compression

- Guest OS's are likely to contain copies of the same page
 - code pages if running same linux version
 - App pages if running the same applications
- ESX solution:
 - Keep hash of recent version of every page
 - Update randomly
 - If match, remap to same page, copy-on-write
VMM memory compression



Fun and Games with VMMs

- Time-travelling virtual machines [King '05]
 debug backwards by replay VM from checkpoint, log state changes
- SecVisor: kernel integrity by virtualisation [Seshadri '07]
 controls modifications to kernel (guest) memory
- Overshadow: protect apps from OS [Chen '08]
 - make user memory opaque to OS by transparently encrypting
- Turtles: Recursive virtualisation [Ben-Yehuda '10]
 virtualise VT-x to run hypervisor in VM
- CloudVisor: mini-hypervisor underneath Xen [Zhang '11]
 - isolates co-hosted VMs belonging to different users
 - leverages remote attestation (TPM) and Turtles ideas

... and many more!

Motivation: overhead of isolation

- VMs are great for isolation, but have significant overheads
 - resource overheads: disk (GBs) and memory (512 MB+) per VM
 - runtime overheads: CPU virtualization, I/O virtualization, etc.
 - administrative overheads: one new OS to manage per VM
 - *ingress/egress* overheads: moving large VHDs to/from the cloud
- ...but they offer great benefits!
 - Securely isolate guest from host
 - Support live migration
 - Only (?) isolation mechanism strong enough to enable the cloud
- Can we retain their benefits with less overhead?
 - Most apps don't need to see virtualized hardware
 - Most apps don't require their own OS + drivers

OS Containers

- OS kernel modified to virtualise at syscall interface
 - Files
 - Networking
 - PIDs
 - IPC
 - User & group IDs



- Additional controls on resource allocation

 Not just best effort
- e.g. Docker, Solaris Zones, ...

Container Example: UNIX stat

stat structure, which contains the /* ID of device containing file */ /* inode number */ /* protection */ /* number of hard links */ /* user ID of owner */ /* group ID of owner */ /* device ID (if special file) */ /* total size, in bytes */ /* blocksize for filesystem I/O */ /* number of 512B blocks allocated */

Linux Containers History

- Chroot
 - Change the root of file system
 - Originally to develop new software releases
- Jail
 - Execute process with restricted set of system calls
 - Ex: postscript viewer in web browser
- Namespaces/cgroups
 - Restrict process visibility and resource usage
 - Per-container network address translation

Containers pros/cons

- Much lower overhead
 - Only one copy of the OS kernel
 - Single level of address translation
 - Drivers not an issue trusted in the host OS
- Tight(er) coupling between guest/host
 - Can't run different guest OS
 - Harder to encapsulate and migrate state
- ... but are they secure?
 - Full OS kernel and drivers in TCB of all containers
 - Syscall interface more complex than VM interface

Threat models for isolation

- Traditional enterprise ("friendly multi-tenant") threat model: *employees run code of their choosing on your system*
- Cloud (multi-tenant) threat model: *anonymous hackers with unlimited access run any code of their choosing on your systems, alongside your most valued customers*
 - Do you trust an OS kernel to isolate them?
 - Do you even trust a hypervisor to isolate them?
 - (More on this later...)

What's the Drawbridge approach?

- Key design philosophy:
 - Start with a tight, secure isolation boundary
 - Add app compatibility *inside* isolation container
 - *Not* plugging holes in a leaky but compatible interface
- Key components:
 - The *picoprocess*, an isolation mechanism
 - The *library OS*, a compatibility mechanism

D. E. Porter, S. Boyd-Wickizer, J. Howell, R. Olinsky, G. C. Hunt, Rethinking the library OS from the top down, Proc. ASPLOS'11

Picoprocesses and library OSes

- Picoprocess: concept introduced by MSR's Xax project (Douceur et al., 2008)
 - Isolated address space with a very small, fixed interface with its host
 - Lightweight, secure isolation container





- *Library OS*: concept championed in CS community in the '90s (Engler et al., 1995)
 - Minimal, shared kernel runs in supervisor mode
 - Multiplexes and abstracts hardware resources
 - Enforces cross-application protection
 - Per-app library OS runs in user mode
 - Constitutes OS "personality"
 - Provides application services and APIs to application
 - Runs in application's address space (user mode)
 - Each app can choose its own library OS

Drawbridge picoprocess on NT

- NT process with modified service handler
 - All 1200+ system calls blocked from user-mode (NTOS and win32k)
 - **45 new system calls added** to process (*Drawbridge system calls*)





The Drawbridge AB

- **Drawbridge ABI**: interface between a Drawbridge picoprocess and its host
 - 45 downcalls, 3 upcalls everything else is off-limits
 - Designed from scratch, but heavily inspired by NT
 - APIs have fixed, closed semantics (no IOCTLs)
- Analogous to VM host/guest interface, but with higherlevel abstractions
 - threads (not virtual CPUs)
 - virtual memory (not physical memory)
 - I/O streams (not virtual device hardware)
- Design benefits:
 - *security* interface is small enough to undergo manual review / inspection
 - *portability* Windows apps run unmodified on any system that implements 45 functions
 - *flexibility* interface allows app's state to live (almost) entirely in process

Drawbridge ABI (excerpt)

Threading

DkThreadCreate DkSemaphoreCreate DkSemaphorePeek DkSemaphoreRelease DkObjectsWaitAny

Memory management DkVirtualMemoryAllocate DkVirtualMemoryFree DkVirtualMemoryProtect

I/O streams

DkStreamOpen DkStreamRead DkStreamWrite DkStreamMap DkStreamFlush

. . .

Upcalls LibOsInitialize LibOsThreadStart LibOsExceptionDispatch

The Windows library OS

- Based on Windows OS
 - Same binaries (where possible)
 - Same architecture
- Windows *enlightened* to run in a picoprocess with the app
 - lifted into user mode
 - most changes in user-mode kernel
- Example library OS: Win7 SP1
 - **100MB on disk** (~150 DLLs)
 - 16MB of working set + app
 - 5.5+ MLoC for 15,000+ Win32 APIs
- Each picoprocess runs its own library OS
 - app chooses its library OS
 - version need not match across picoprocesses or host



The Drawbridge-on-Windows host

- Drawbridge host implements 45-function ABI atop Windows
- Analogous to Hyper-V's hypervisor + virtualization stack
- Split between kernelmode driver and usermode worker
 - Driver implements ABI
 - Driver consults security monitor for policy decisions



The Drawbridge security monitor

- Security monitor user-mode half of Drawbridge host
 - launches app in picoprocess
 - makes access policy decisions
 - "normal" NT process
- Policy decisions based on *manifests*
 - All external resources are blocked by default
 - Resources can be white-listed back in by admin
 - Access specified via virtual to physical namespace mappings



Sample Policy

<pre>[Namespace.Writable] pipe.server://RDP=pipe.server://RDP_Drawbridge tcp.server://localhost:3000=tcp.server://localhost:3000 tcp.client:=tcp.client:</pre>	; expose 'RDP' named pipe server out of ; process as 'RDP_Drawbridge' ; allow app to listen (only) on port 3000 ; allow use of any TCP client socket	
<pre>[Namespace] file:///users/jdoe/documents=file:///documents</pre>	; allow R/O access to Documents folder	

Drawbridge package

- Drawbridge package self-contained, self-describing unit of deployment
- A package contains:
 - Manifest
 - Identity (name, version, options)
 - Dependencies on other packages
 - Access control policy requirements
 - Relative paths to important contained files (e.g. app EXE)
 - Files
 - Registry data (.reg format)
 - Debug resources (e.g. symbols, etc.)
- Everything's a package: app, library, library OS, suspended app
- Security monitor resolves transitive closure of packages and dependencies
 - File content from packages is unioned into virtual FS
 - Registry content from packages is unioned into virtual registry
 - Packages are read-only, mapped copy-on-write

Sample Manifest

[**Package**] ManifestVersion=1 PackageRevision=4

[Identity] Name=IISWorker MajorVersion=7 MinorVersion=5 BuildNumber=7601 Architecture=x64

[**Dependency.**Win7] Name=Windows MajorVersion=6 MinorVersion=1

[Dependency.CLR4] Name=MicrosoftNET MajorVersion=4 MinorVersion=0

[Windows.Application] Exe=package:///windows/ system32/inetsrv/w3wp.exe

[Windows.Registry] File:///w3wp.exe.dbreg

Committed Memory by Apps



Time to Start Application Package

