

## Assignment 2 - Solution

1.  $w_0[x,y,z]$   $c_0$   $r_1[x]$   $r_2[y]$   $w_2[y]$   $r_3[z]$   $w_3[z]$   $r_2[z]$   $w_2[y]$   $w_1[z]$   $w_1[y]$   $c_1$   $c_2$   $c_3$

a. An equivalent serial history must preserve the order of conflicting operations. So, which operations conflict? We'll use  $\Rightarrow$  to mean "precedes and conflicts with".

$w_0[x,y,z] \Rightarrow$  all other reads and writes

$r_2[y]$  and both  $w_2[y]$ 's  $\Rightarrow w_1[y]$

$w_3[z] \Rightarrow r_2[z]$

$r_3[x]$  and  $w_3[z] \Rightarrow w_1[z]$

So, the only equivalent serial history has transactions in the order 0-3-2-1

b. Since  $w_3[z] \Rightarrow r_2[z]$  and  $c_2 \Rightarrow c_3$  the history is not recoverable. Hence, it doesn't avoid cascading aborts and isn't strict. There are two other violations of strictness:  $w_3[z] < w_1[z] < c_3$  and  $w_2[y] < w_1[y] < c_2$ .

2.  $w_0[x,y,z]$   $c_0$   $r_1[x]$   $r_2[y]$   $w_2[y]$   $r_3[z]$        $r_2[z]$   $w_2[y]$   $w_1[z]$   $w_1[y]$   $c_1$   $c_2$   $c_3$

(same as (1), except delete  $w_3[z]$  )

- a. We no longer have  $w_3[z] \Rightarrow r_2[z]$ . So the order of  $T_3$  relative to  $T_2$  is unconstrained. Therefore, the history is now equivalent to a serial history with transactions in the order 0-3-2-1 or 0-2-3-1.
  
- b. The history is now recoverable and avoids cascading aborts. But it still isn't strict because  $w_3[z] < w_1[z] < c_3$  and  $w_2[y] \Rightarrow w_1[y] \Rightarrow c$

3.  $w_0[x,y,z]$   $c_0$   $r_1[x]$   $r_2[y]$   $w_2[y]$   $r_3[z]$   $w_3[z]$   $r_2[z]$   $w_2[y]$   $w_1[z]$   $w_1[y]$   $c_1$   **$c_3$**   **$c_2$**

(same as (1), except that  $c_2$  is moved after  $c_3$ )

a. This has no effect on serializability, so the answer is the same as 1a.

b. This also makes the history recoverable, since  $w_3[z] \Rightarrow r_2[z]$  and  $c_3 \Rightarrow c_2$ . But it still doesn't avoid cascading aborts, because of the same conflict:  $T_2$  reads uncommitted data ( $z$ ) from  $T_3$ . Obviously, it is not strict.

4.  $w_0[x,y,z]$   $c_0$   $r_1[x]$   $r_2[y]$   **$w_2[x]$**   $r_3[z]$   $w_3[z]$   $r_2[z]$   $w_2[y]$   $w_1[z]$   $w_1[y]$   $c_1$   $c_2$   $c_3$

(same as (1), except the first  $w_2[y]$  becomes  $w_2[x]$ )

a. Now we have  $r_1[x] \Rightarrow w_2[x]$  and  $w_2[y] \Rightarrow w_1[y]$  forming a cycle, so there is no equivalent serial history.

b.  $w_3[z] \Rightarrow r_2[z]$  and  $c_2 \Rightarrow c_3$  is unchanged from (1), so the history is not recoverable since  $T_2$  reads uncommitted data.

5.  $w_0[x,y,z] c_0 r_1[x] r_2[y] w_2[y] r_3[z] w_3[z] r_2[z] w_2[y] \mathbf{c}_2 w_1[z] w_1[y] c_1 c_3$   
(same as (1), except  $\mathbf{c}_2$  is moved before  $w_1[z]$ )

a. This has no effect on serializability

b. It is tempting to think that this helps strictness, since we now have  $w_3[z] < \mathbf{c}_3 < w_1[z]$  and  $w_2[y] < \mathbf{c}_2 < w_1[y]$ . But strictness implies avoidance of cascading aborts, which implies recoverability. And we still have the same old violation of recoverability:  $T_2$  still reads uncommitted data ( $z$ ) from  $T_3$ . So the execution isn't strict.