

CSE544

Data Management

Lecture 5

Query Execution

Announcements

- HW3 is posted, due on Sunday, 2/23
 - Some Java programming involved
- Project proposal due on Sunday:
 - Submit on gitlab, under /project
 - Only one team member needs to submit
 - See instructions on the Website

Where We Are

- SQL, Relational Model
- Storage manager, buffer pool, indexes
- Today and next week: the query engine

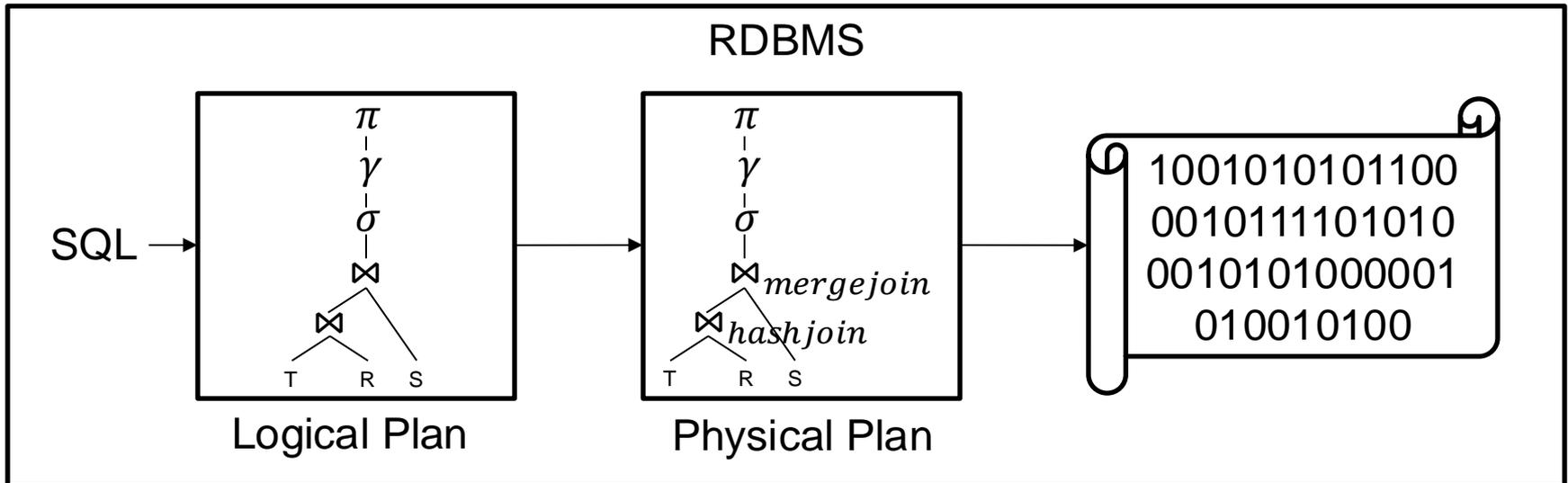
Outline

- Query engine overview
- Relational Algebra
- Physical Operators
- Iterator Model

Query Engine Overview

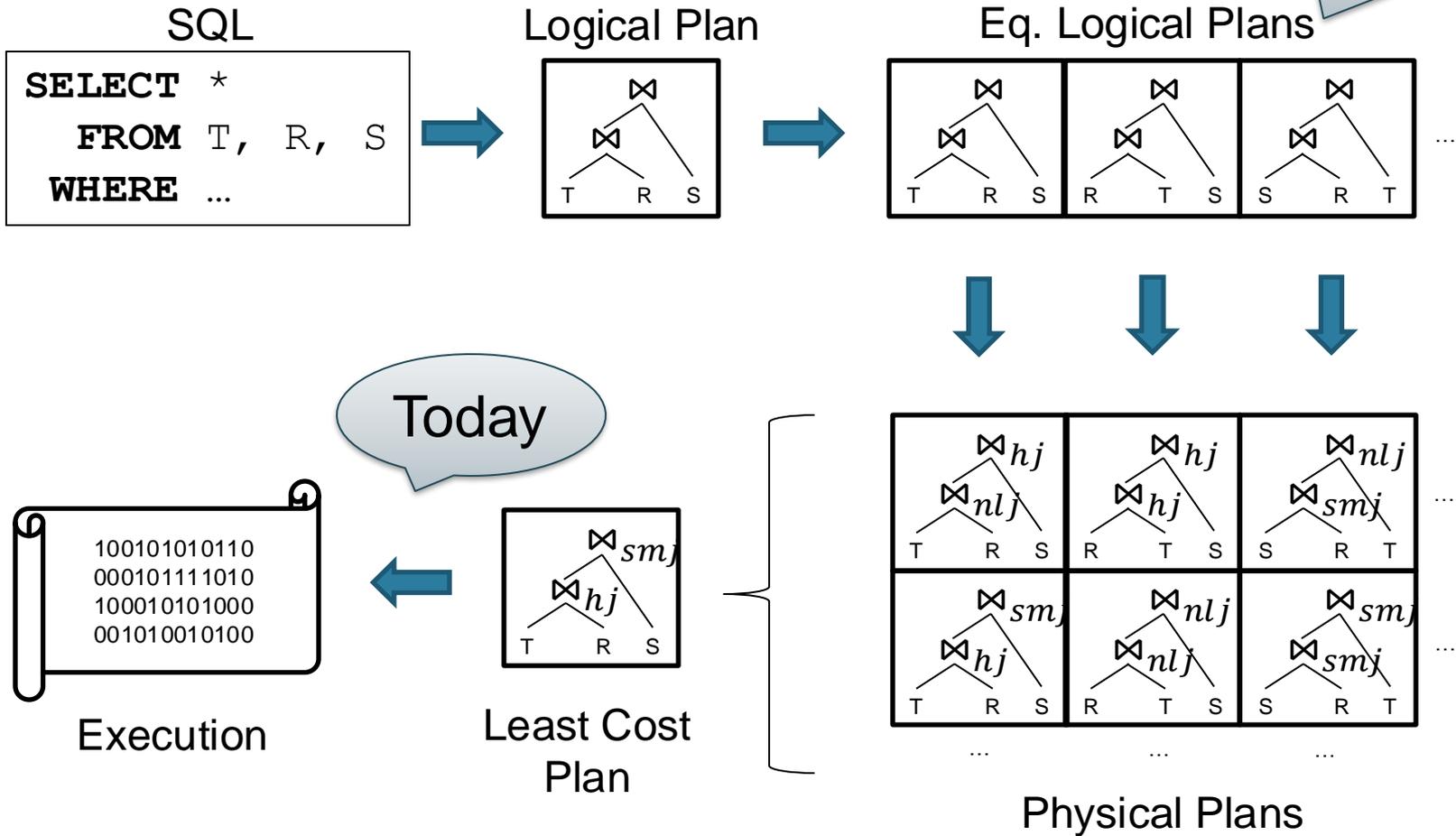
- SQL query is parsed, analyzed
- Converted to Relational Algebra:
“Logical Query Plan”
- Query plan is optimized, converted to
“Physical Query Plan”
- Physical query plan is executed

Query Engine Overview



Query Engine Overview

Next week



Relational Algebra

Relational Algebra

- SQL declarative: we say **what** we want
- RA: says **how** to get it

RA: Five Basic Operators

1. Selection $\sigma_{\text{condition}}(S)$
2. Projection $\Pi_{\text{attrs}}(S)$
3. Join $R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$
4. Union \cup
5. Set difference $-$

$\sigma_{\text{condition}}(T)$ 1. Selection

Returns those tuples in T
that satisfy the condition:

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SELECT *  
FROM T  
WHERE condition;
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Part

pno	pname	psize
0005	Sneaker	8
0032	Sandal	7
0555	Boot	12
0621	Sandal	5

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Discussion

Two semantics for Relational Algebra:

- Bag semantics: what engines implement
- Set semantics: what Codd initially proposed, and what allows us to study equivalence with First Order Logic

3. Join

$S \bowtie_{\theta} T$

Join S and T using condition θ

```
SELECT *  
FROM S, T  
WHERE  $\theta$ ;
```

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$$R \bowtie_{B=C} S$$

R	A	B
	2	10
	5	10
	5	20

S	C	D
	10	a
	10	b
	20	b
	30	a

3. Join

$$S \bowtie_{\theta} T$$

A	B	C	D
2	10	10	a
2	10	10	b
5	10	10	a
5	10	10	b
5	20	20	b

Join S and T using condition θ

```
SELECT *  
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```

$$R \bowtie_{B=C} S$$

R

A	B
2	10
5	10
5	20

S

C	D
10	a
10	b
20	b
30	a



Variants of Join

- Eq-join: $R \bowtie_{A=B} S$
- Theta-join: $R \bowtie_{A \leq B} S$
- Cartesian product: $R \times S$
- Natural Join: $R \bowtie S$

Natural Join

$S \bowtie T$

Join S, T on
common attributes,
retain only one copy
of those attributes

$S \bowtie T$

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Natural Join

Only one
copy of sno

sno	sname	scity	pno
...	

Supplier \bowtie Supply

sno	sname	scity
...

sno	pno
...	...

Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$

- $R(A, B) \bowtie S(C, D)$

- $R(A, B) \bowtie S(A, B)$

Natural Join

R	A	B	S	B	C
	1	10		10	8
	2	10		10	9
	2	20		20	8
				50	7

What do these natural joins output?

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What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$
eqjoin on attribute B (5 tuples)
- $R(A, B) \bowtie S(C, D)$
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R	A	B	S	B	C
	1	10		10	8
	2	10		10	9
	2	20		20	8
				50	7

R	A	B	S	C	D
	1	10		8	u
	2	10		9	v
	2	20		8	v
				7	w

Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$
eqjoin on attribute B (5 tuples)
- $R(A, B) \bowtie S(C, D)$
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R	A	B	S	B	C
	1	10		10	8
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R	A	B	S	C	D
	1	10		8	u
	2	10		9	v
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				7	w

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R	A	B	S	B	C
	1	10		10	8
	2	10		10	9
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				50	7

R	A	B	S	C	D
	1	10		8	u
	2	10		9	v
	2	20		8	v
				7	w

R	A	B	S	A	B
	1	10		1	10
	2	10		2	20
	2	20			

Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$
eqjoin on attribute B (5 tuples)
- $R(A, B) \bowtie S(C, D)$
cross product (12 tuples)
- $R(A, B) \bowtie S(A, B)$
intersection (2 tuples)

R	A	B	S	B	C
	1	10		10	8
	2	10		10	9
	2	20		20	8
				50	7

R	A	B	S	C	D
	1	10		8	u
	2	10		9	v
	2	20		8	v
				7	w

R	A	B	S	A	B
	1	10		1	10
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	1	10		8	u
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				7	w

R	A	B	S	A	B
	1	10		1	10
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	2	20			

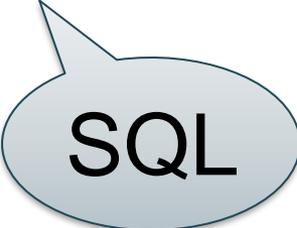
Intersection is a special case of join!

4-5. Union and Difference

$S \cup T$

Union of S and T

```
S UNION T;
```



SQL

4-5. Union and Difference

$S \cup T$

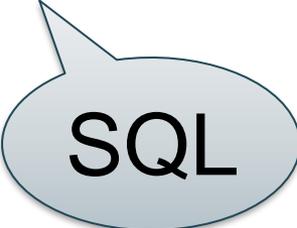
$S - T$

Union of S and T

Set difference of S and T

```
S UNION T;
```

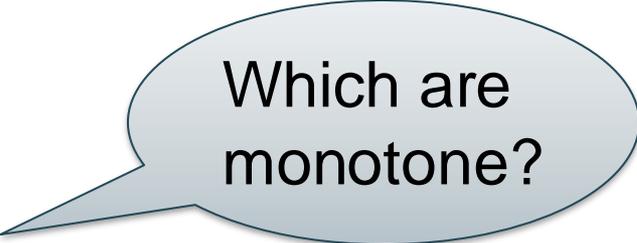
```
S EXCEPT T;
```



SQL

The Five Basic Relational Operators

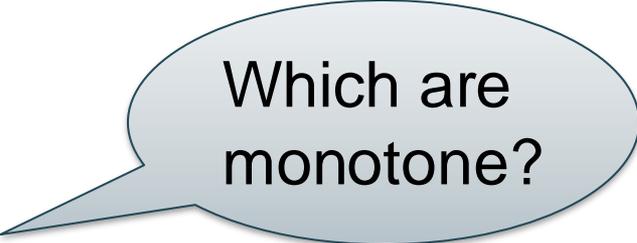
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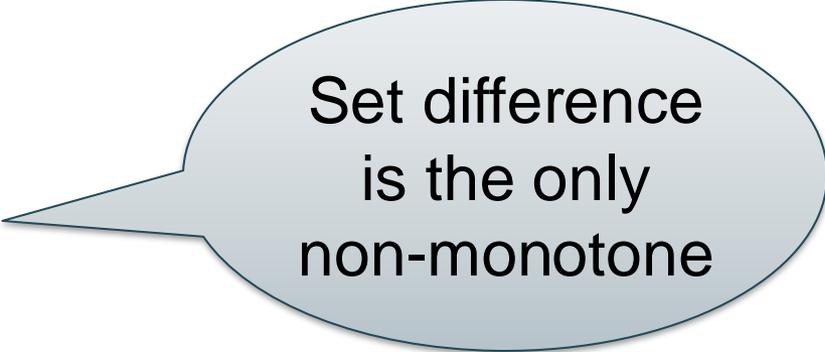
Which are monotone?

The Five Basic Relational Operators

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Which are monotone?



Set difference is the only non-monotone

Extended Operators of Relational Algebra

- Duplicate elimination $\delta(R)$
- Group-by/aggregate $\gamma_{A,B,sum(C)}(R)$
- Sort operator $\tau(R)$

Query Plans

Query Plans

- SQL is translated into an RA expression
- The expression is usually shown as a tree, and called a **query plan***

*aka **Query Execution Plan**

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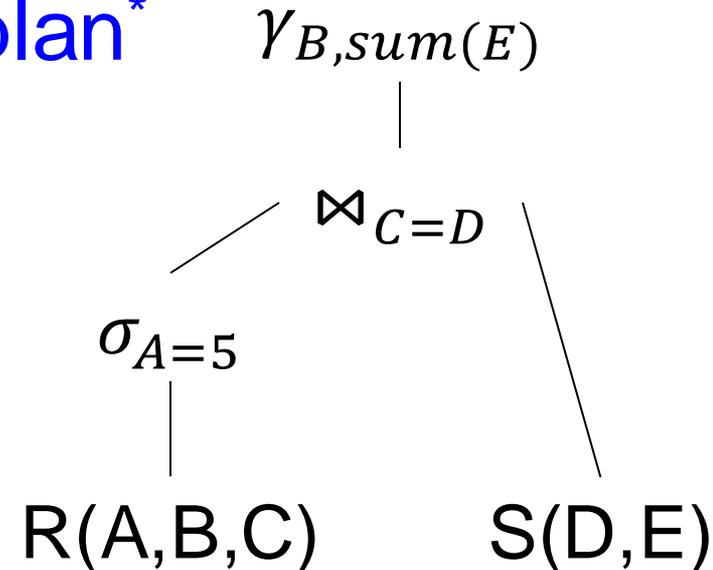
$$\gamma_{B, \text{sum}(E)}(\sigma_{A=5}(R) \bowtie_{C=D} S)$$

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Query Plans

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$$\gamma_{B, \text{sum}(E)}(\sigma_{A=5}(R) \bowtie_{C=D} S)$$



*aka **Query Execution Plan**

Supplier (sno, sname, scity)

Supply (sno, pno)

Part (pno, pname, psize, pcolor)

Query Plan Example

```
SELECT scity, avg(psize) as a
FROM Supplier x,
      Supply y,
      Part z
WHERE x.sno=y.sno
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      and z.pcolor='red'
GROUP BY scity
HAVING count(*) >=100
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Supplier x

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Part z

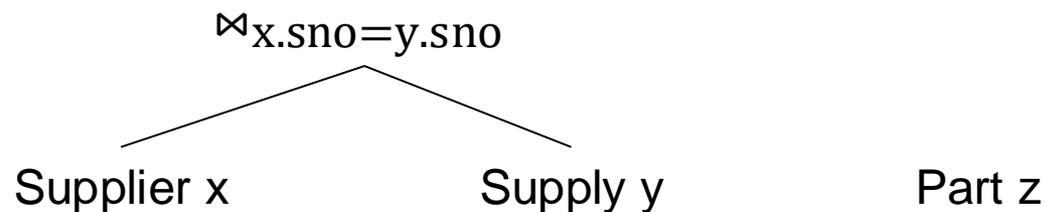
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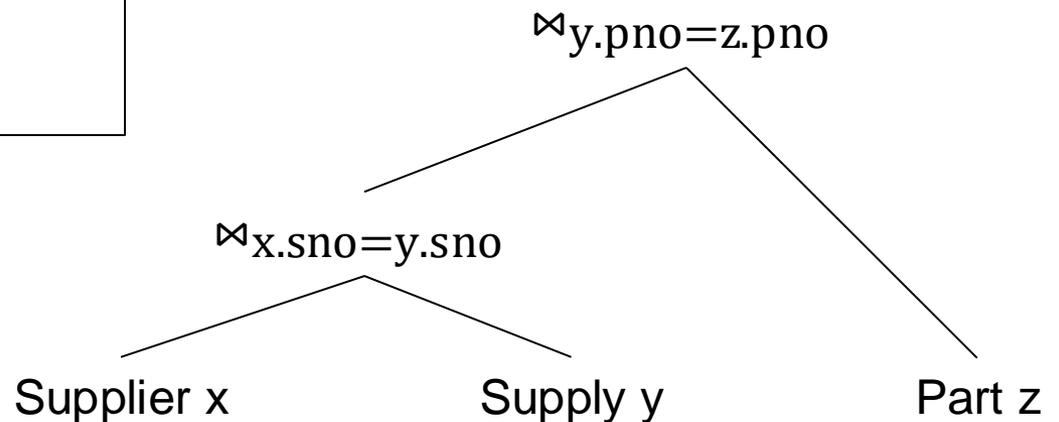
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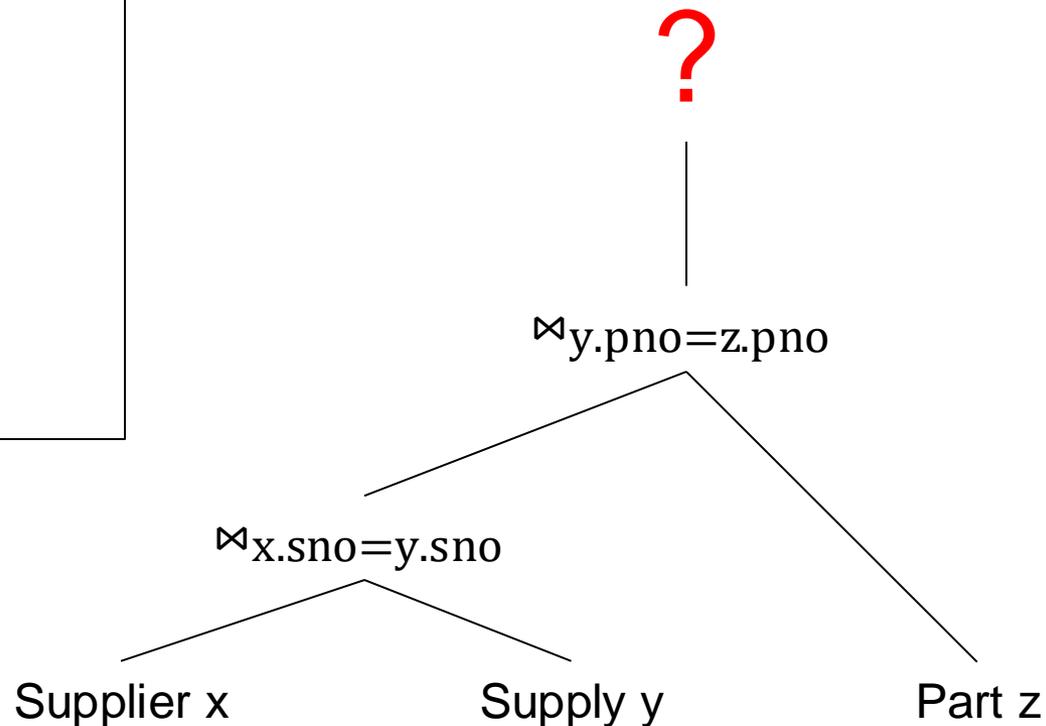
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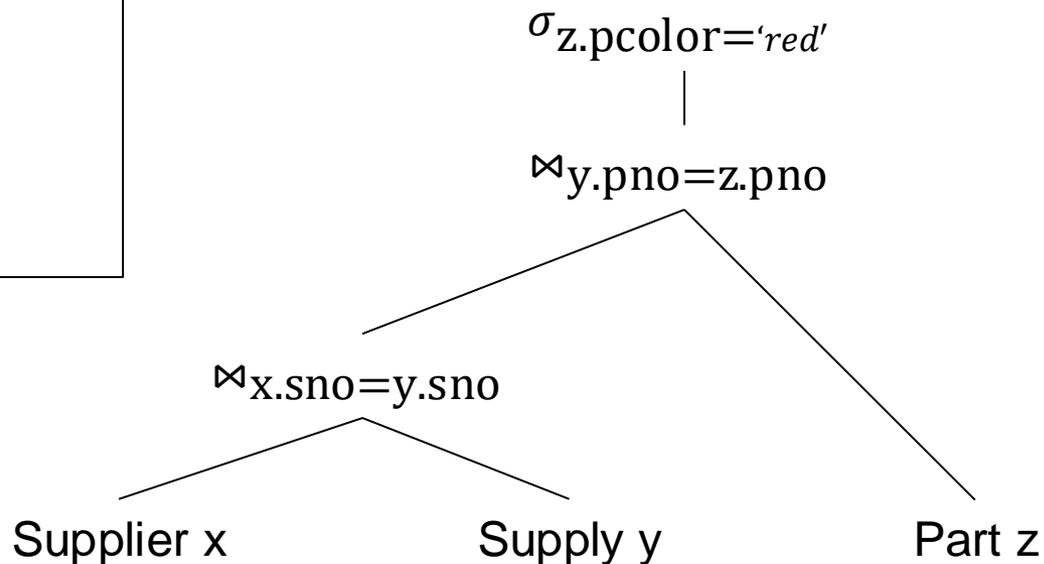
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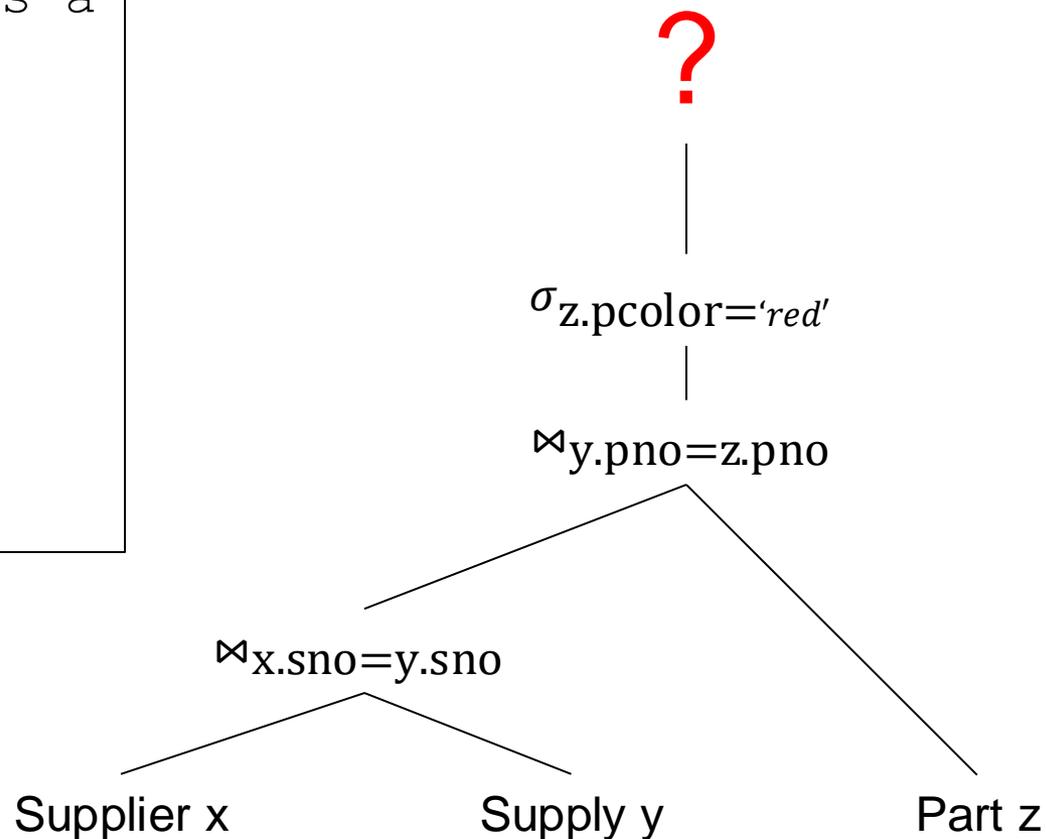
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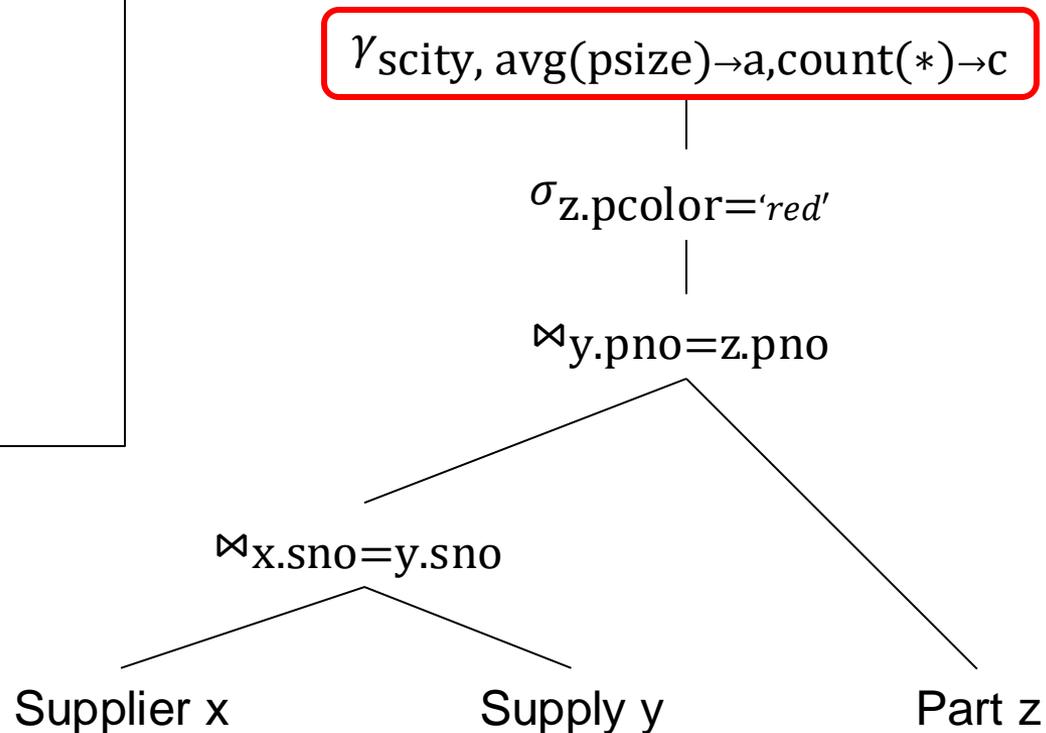
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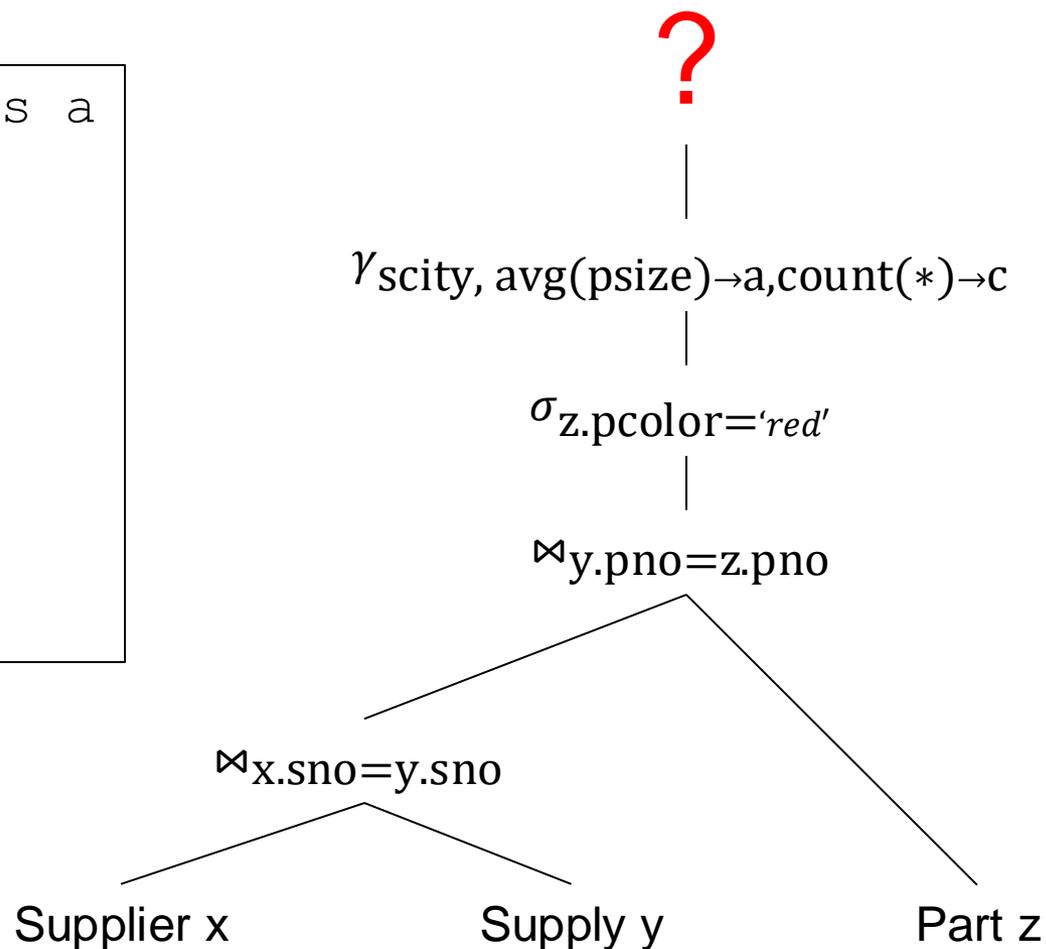
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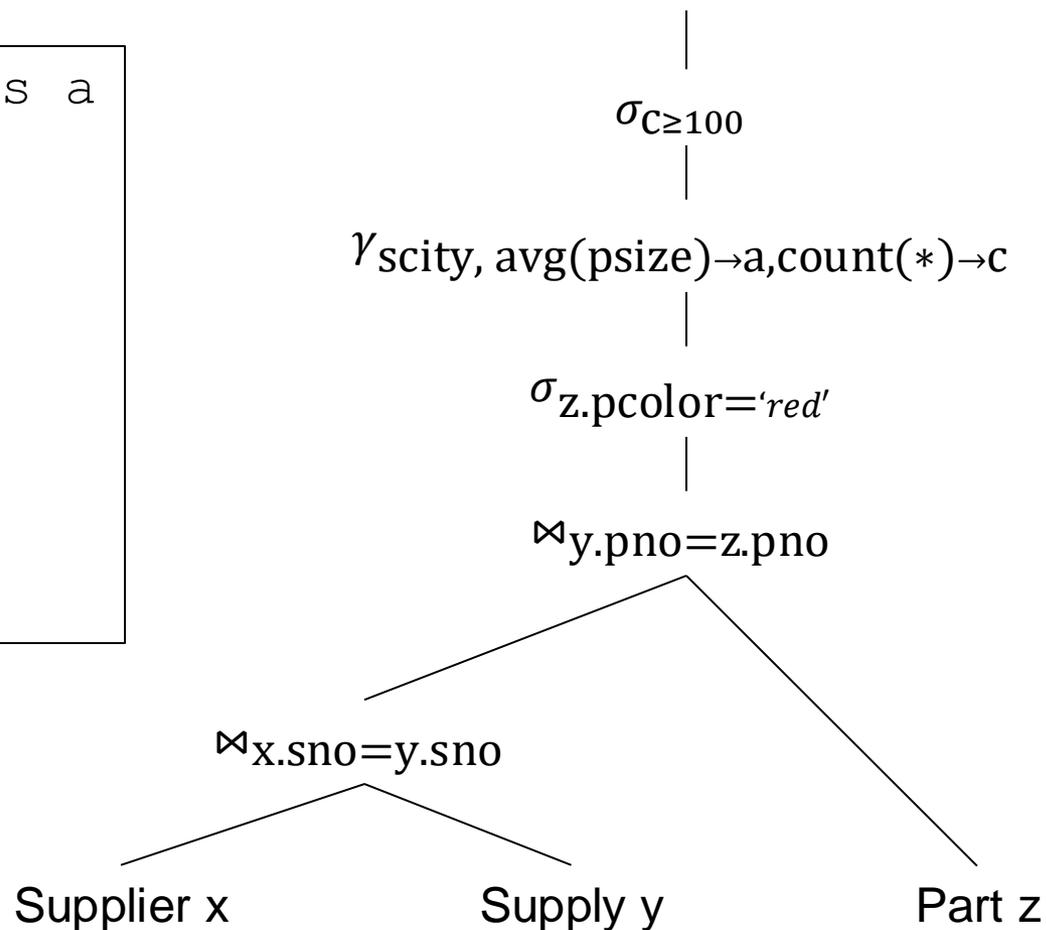
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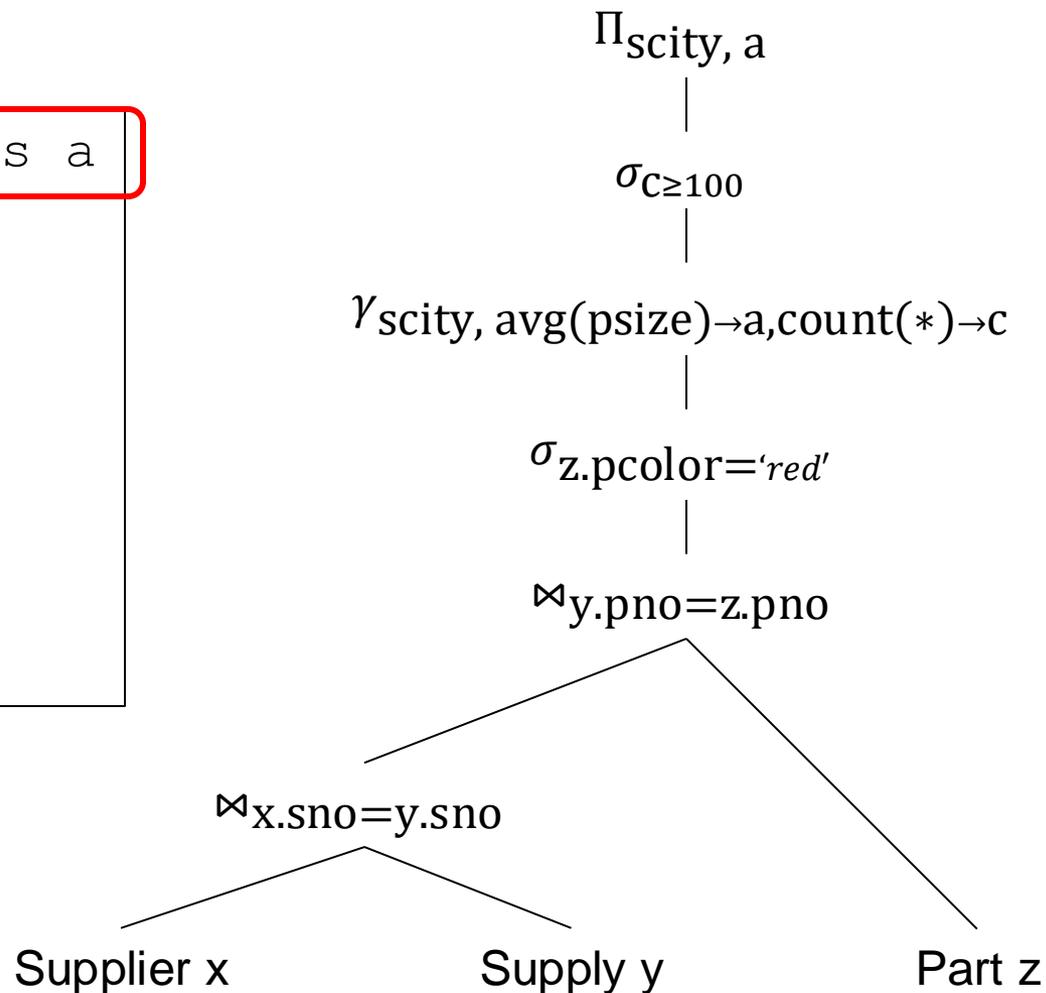
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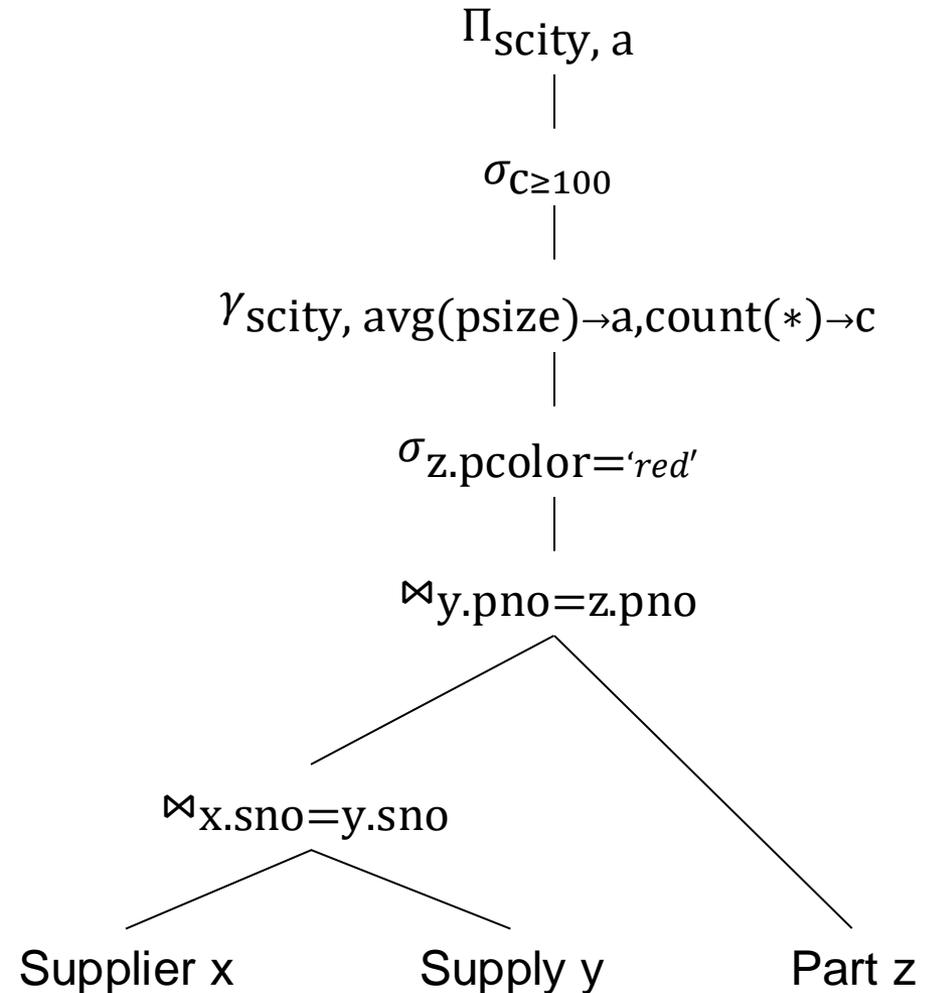
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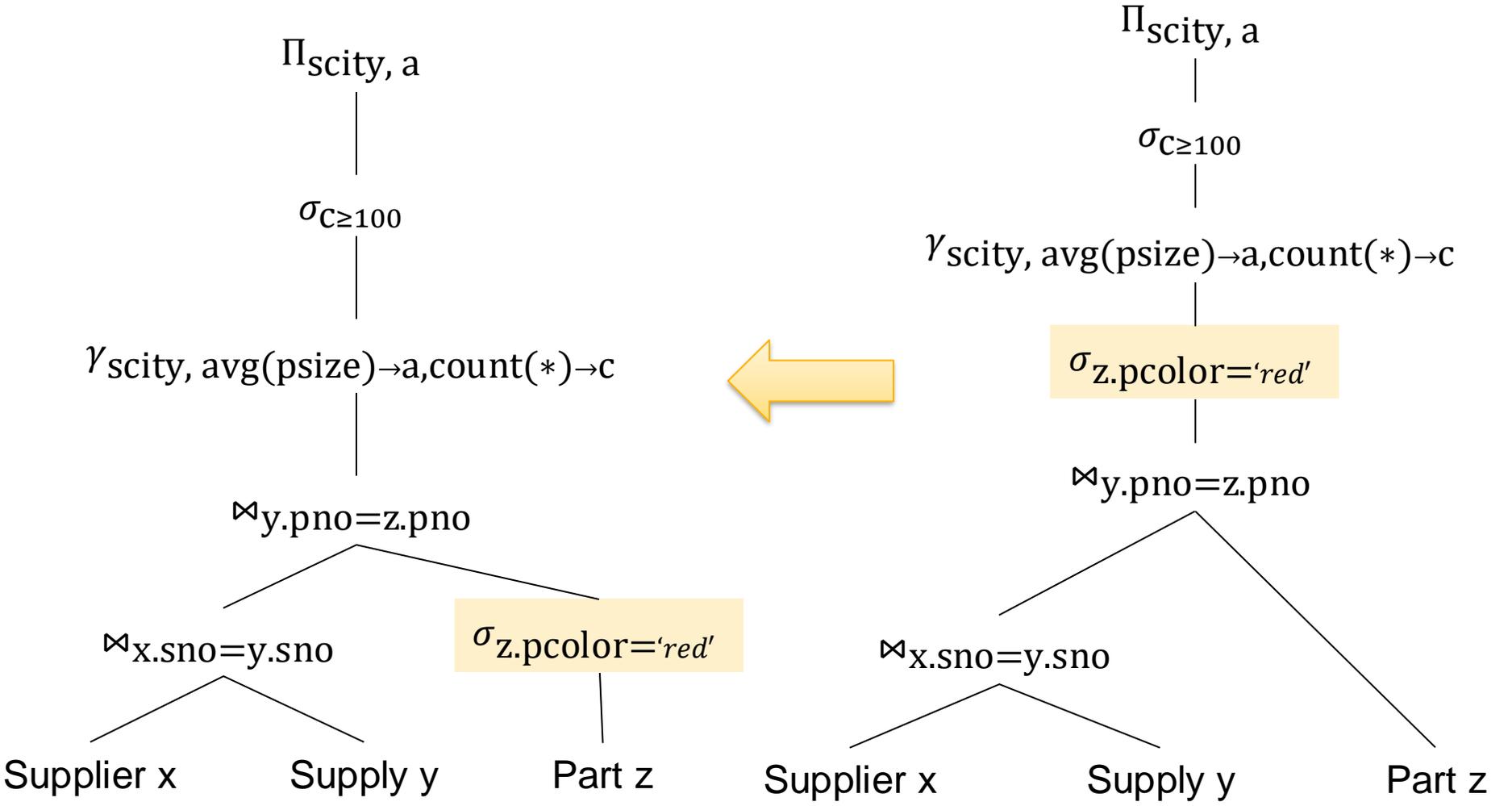
Logical Optimization

Find a better plan!



Supplier (sno, sname, scity)
 Supply (sno, pno)
 Part (pno, pname, psize, pcolor)

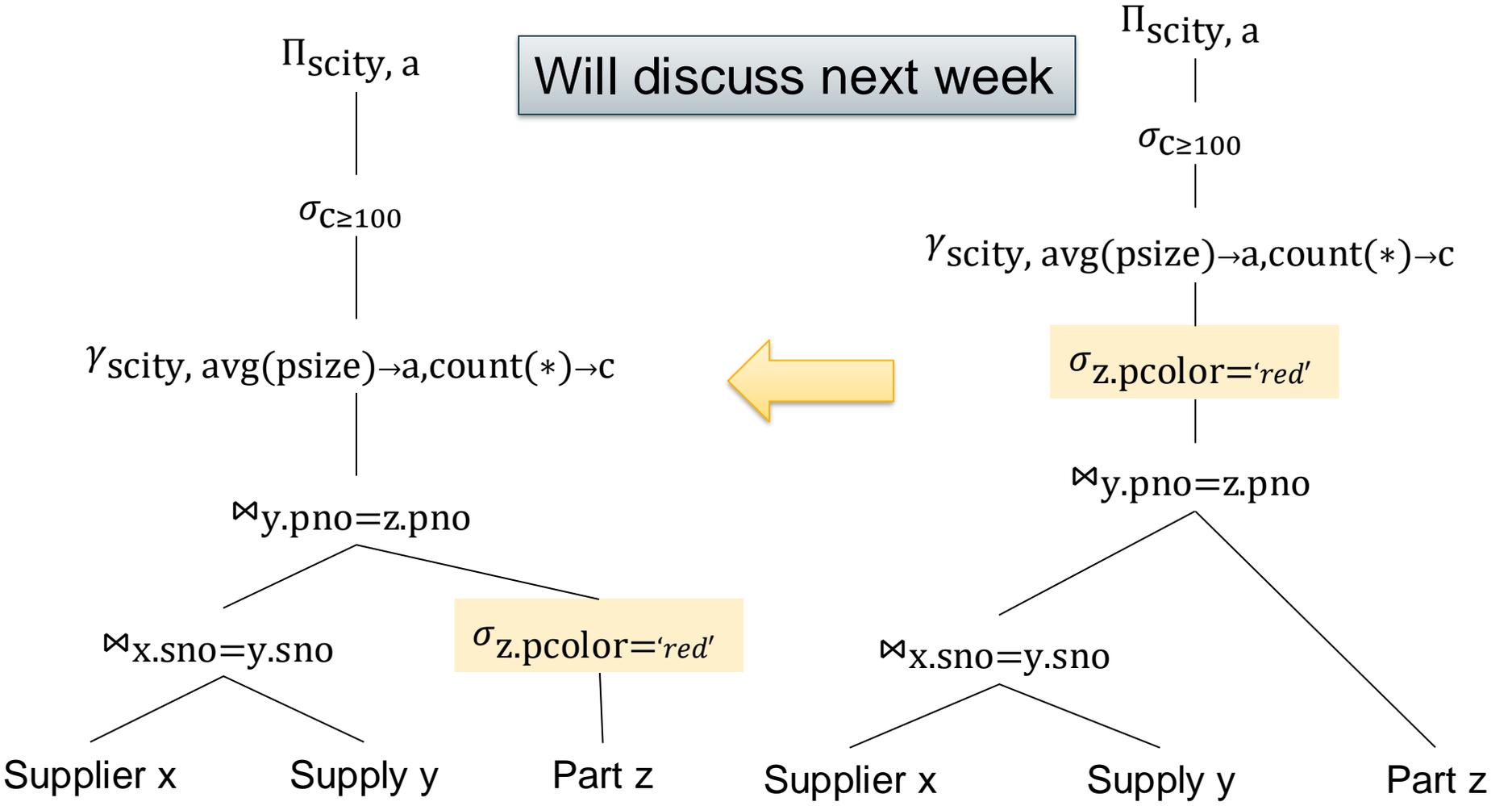
Logical Optimization



Supplier (sno, sname, scity)
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Logical Optimization

Will discuss next week

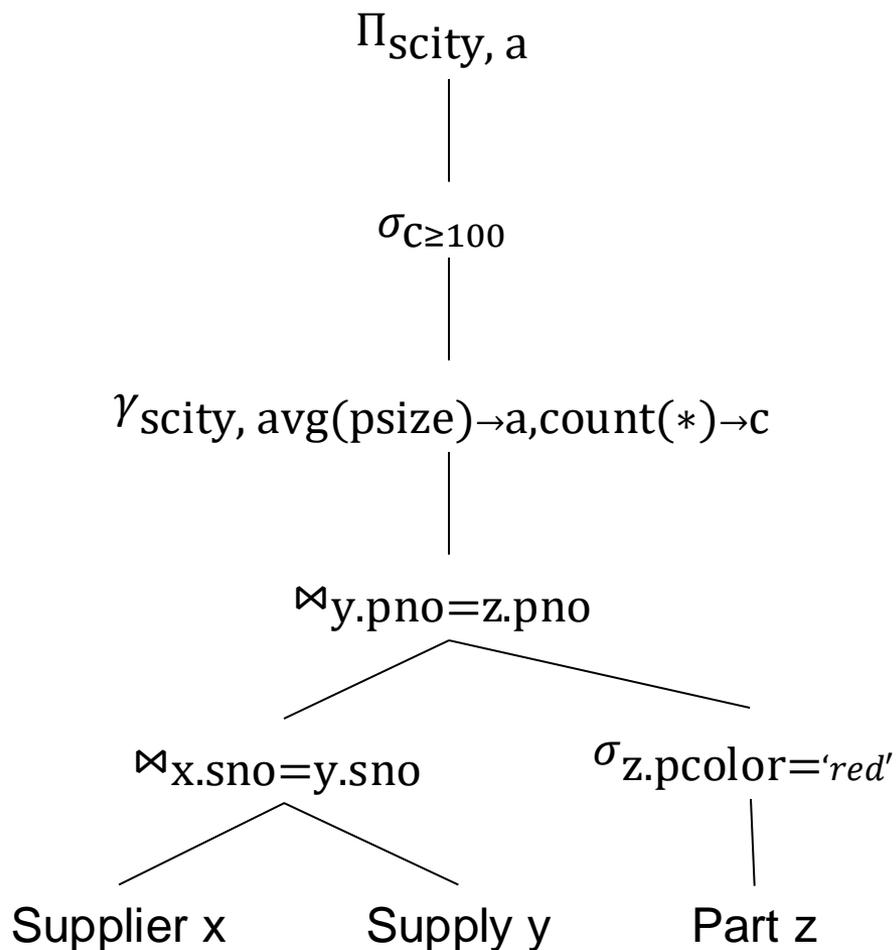


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Physical Operators

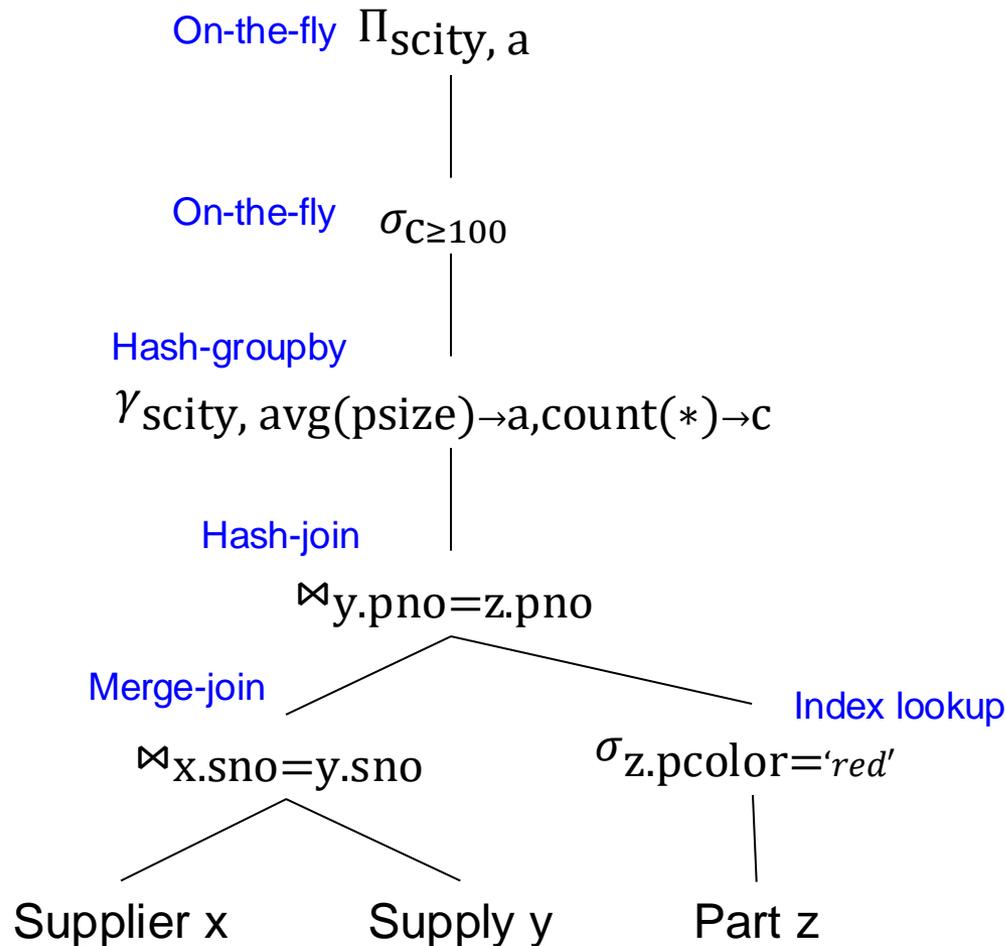


The plan tells us the order of ops

Need to decide on what algorithm to use for each op

Supplier (sno, sname, scity)
 Supply (sno, pno)
 Part (pno, pname, psize, pcolor)

Physical Operators



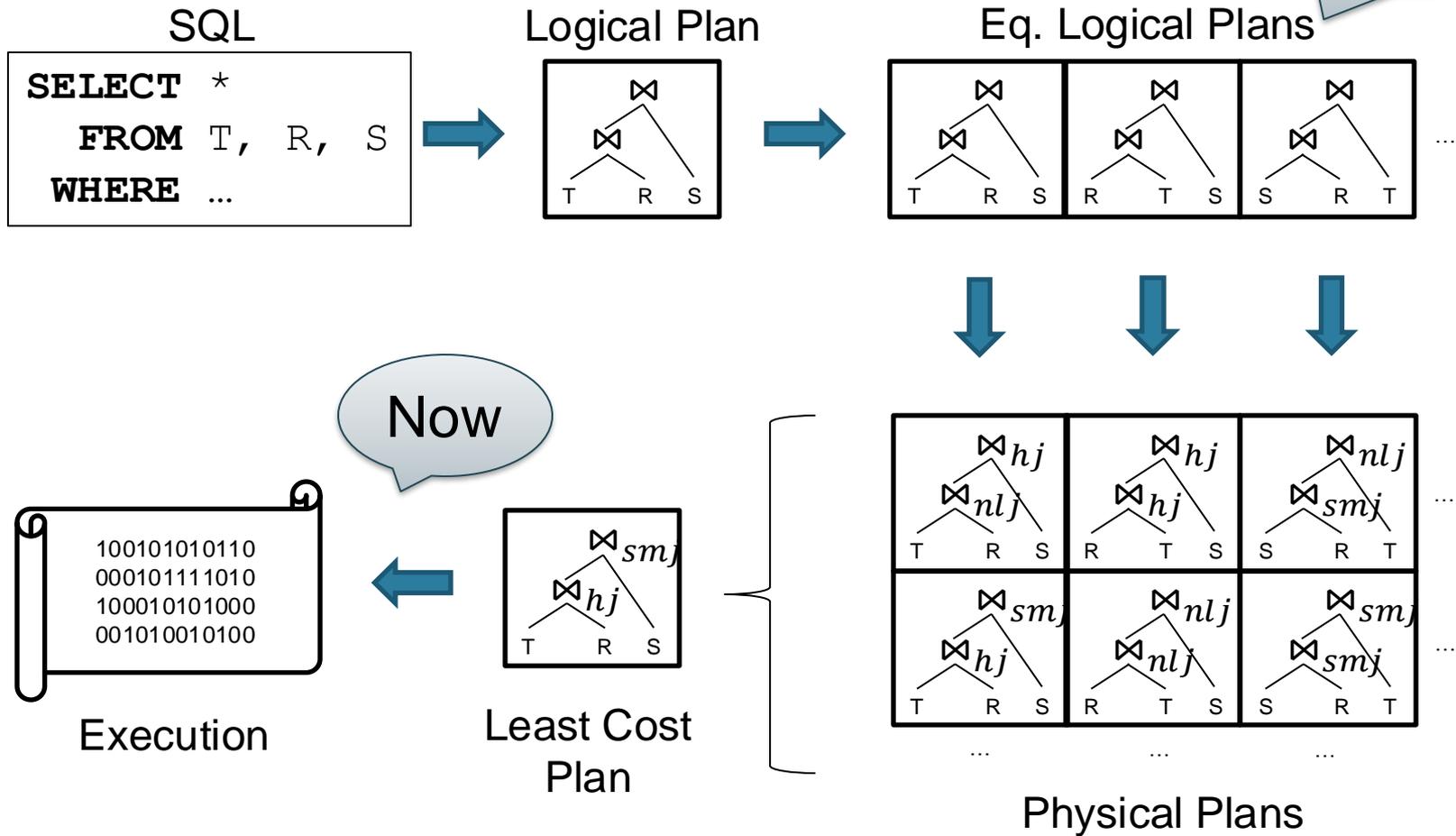
The plan tells us the order of ops

Need to decide on what algorithm to use for each op

Physical Operators:
next

Query Engine Overview

Next week



Now

Execution

Least Cost Plan

Physical Plans

Physical Operators

Physical Operators

- For each operator, several algorithms
- Main memory or external memory

Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

Join Algorithms

Logical operator:

Supplier $\bowtie_{sno=sno}$ Supply

Three algorithms:

1. Nested Loops
2. Hash-join
3. Merge-join

Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

1. Nested Loop Join

Logical operator:

Supplier $\bowtie_{sno=sno}$ Supply

Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

1. Nested Loop Join

Logical operator:

Supplier $\bowtie_{\text{sno}=\text{sno}}$ Supply

```
for x in Supplier do
  for y in Supply do
    if x.sno = y.sno
      then output(x,y)
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Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

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```

If $|R|=|S|=n$,
what is the runtime?

$O(n^2)$

1. Nested Loop Join

When the data is on disk, the main cost is due to the number of I/Os (block read)

- Block nested loop join
- Index join

$B(R)$:= number of disk blocks used by R

Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

1a. Block Nested Loop Join

Nested Loop is very bad:

```
for x in Supplier do
  Read(x)
  for y in Supply do
    Read(y)
    if x.sno = y.sno
      then output(x,y)
```

Number of I/Os:

$B(\text{Supplier}) + |\text{Supplier}| * B(\text{Supply})$

Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

1a. Block Nested Loop Join

M frames are available in the buffer pool

for each (M-2) blocks of Supplier **do**

Read M-2 blocks: Supplier_{mem}

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Read 1 block: Supply₁

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Output Supplier_{mem} ⋈ Supply₁

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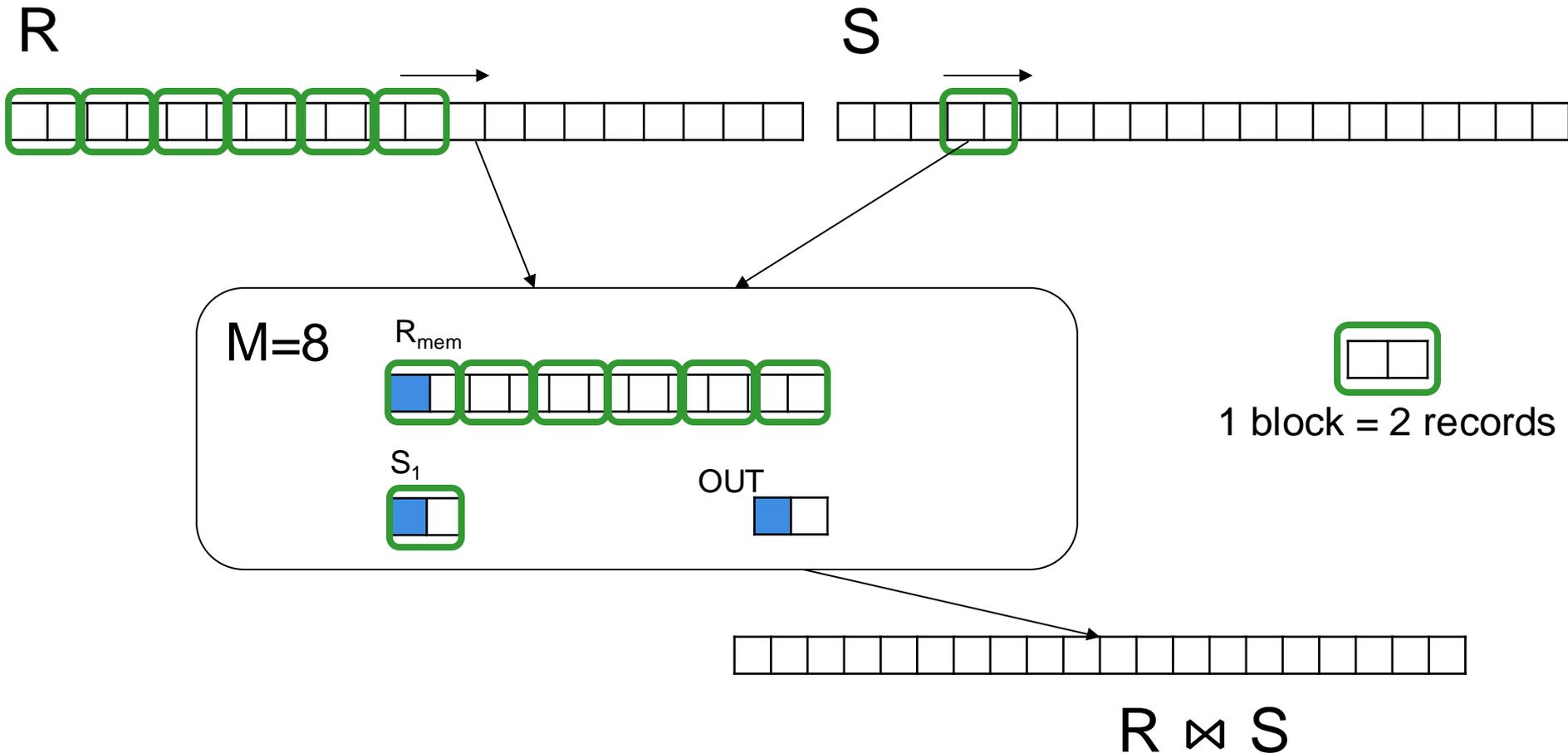
for each block of Supply **do**

Read 1 block: Supply₁

Output Supplier_{mem} ⋈ Supply₁

$$\#I/O = B(\text{Supplier}) + B(\text{Supplier}) * B(\text{Supply}) / (M-2)$$

1a. Block Nested Loop Join



$$\#I/O = B(R) + B(R) * B(S) / (M - 2)$$

Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

1b. Index Join

Logical operator:

Supplier $\bowtie_{sno=sno}$ Supply

```
for x in Supplier do
  for y in SupplyIndex(x.sno) do
    output(x,y)
```

How many
blocks do
we read*?

*assume $O(1)$ index lookup (why??)

Supplier(sno, sname, scity, sstate)

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1b. Index Join

Logical operator:

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```

How many
blocks do
we read*?

$O(|\text{Supplier}|)$

*assume $O(1)$ index lookup (why??)

Discussion

- While $R \bowtie S = S \bowtie R$,
an algorithm for $R \bowtie S$ may be quite different from one for $S \bowtie R$
- Terminology for $R \bowtie S$:
 - R = the outer table
 - S = the inner table
- We want the outer table to be small

Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

2. Hash Join

Logical operator:

Supplier $\bowtie_{sno=sno}$ Supply

```
for x in Supplier do
  insert(x.sno, x)
```

Supplier(sno, sname, scity, sstate)

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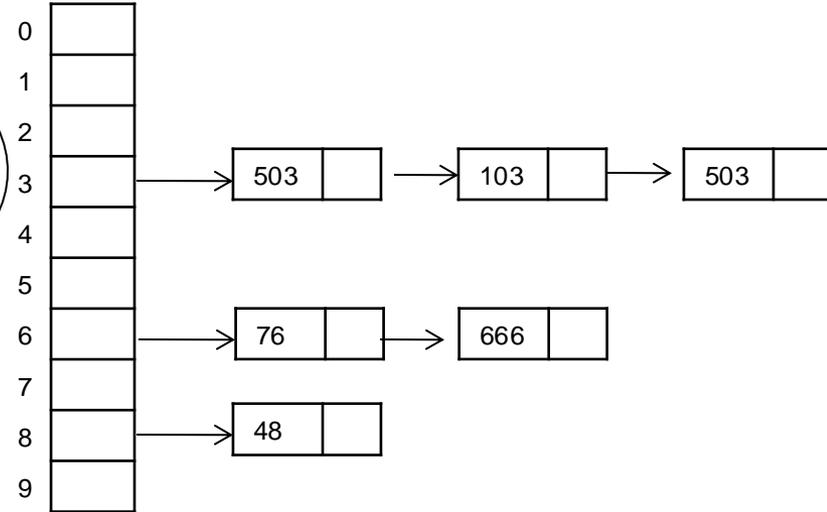
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Build phase

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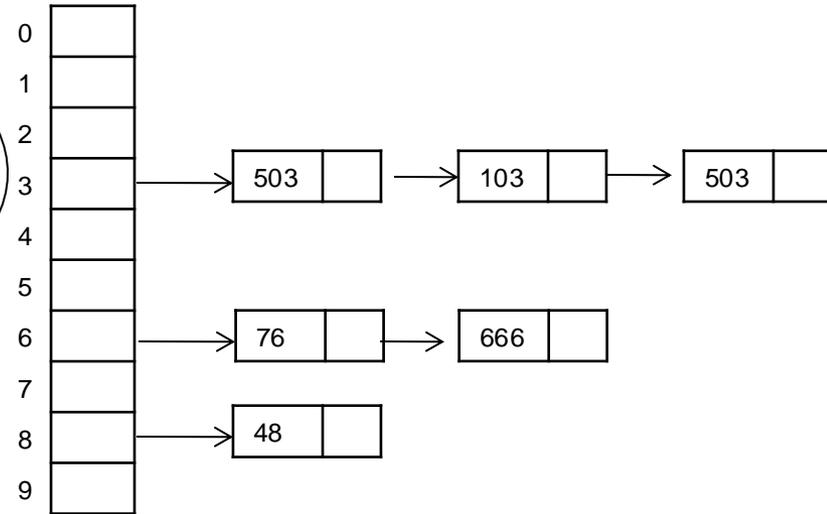
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```
for x in Supplier do
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```
for y in Supply do
  x = find(y.sno);
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Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

2. Hash Join

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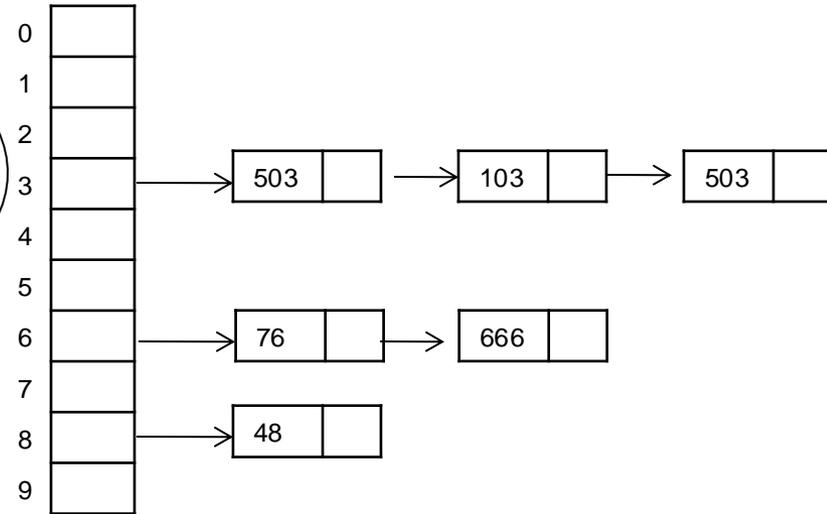
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Probe phase



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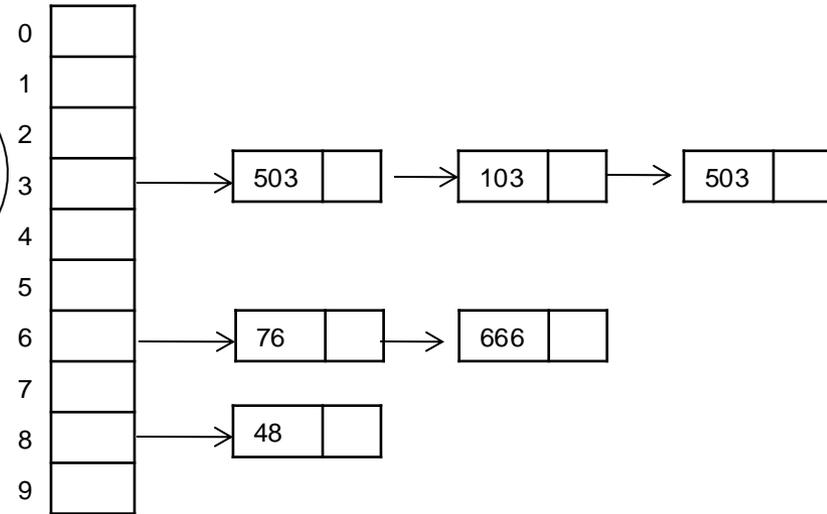
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what is the runtime?

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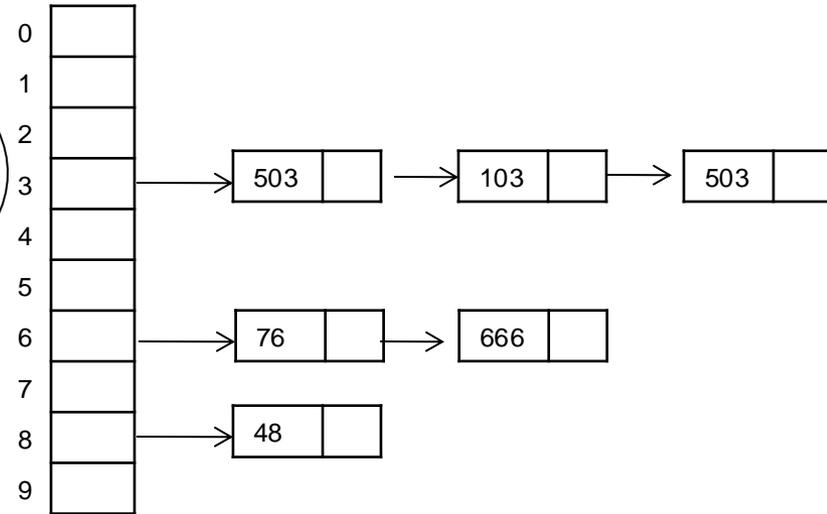
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$O(n)$

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Logical operator:



Changed
join order

Supply $\bowtie_{sno=sno}$ Supplier

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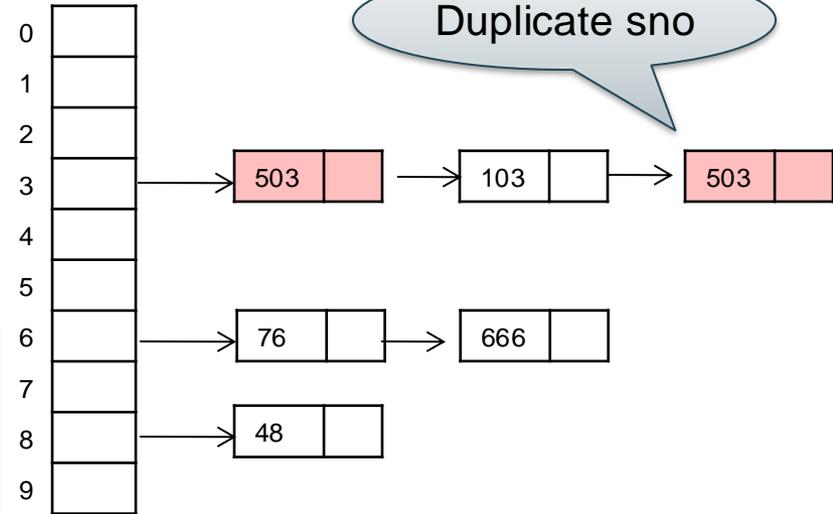
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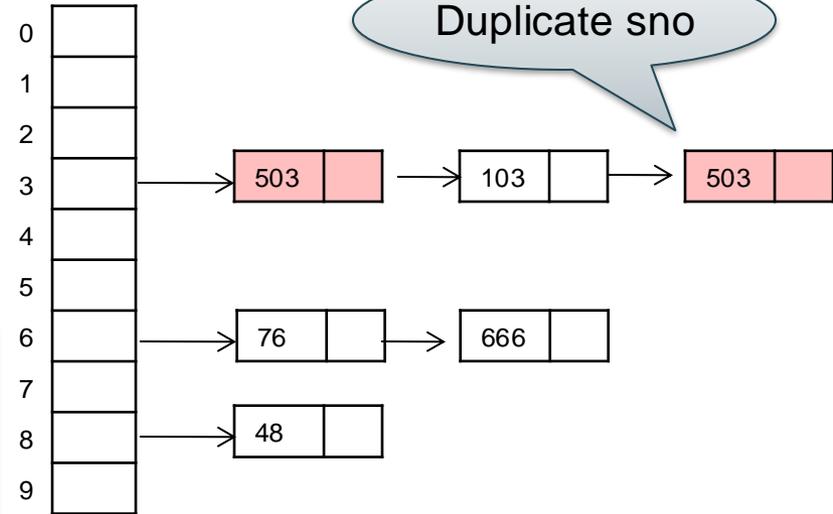
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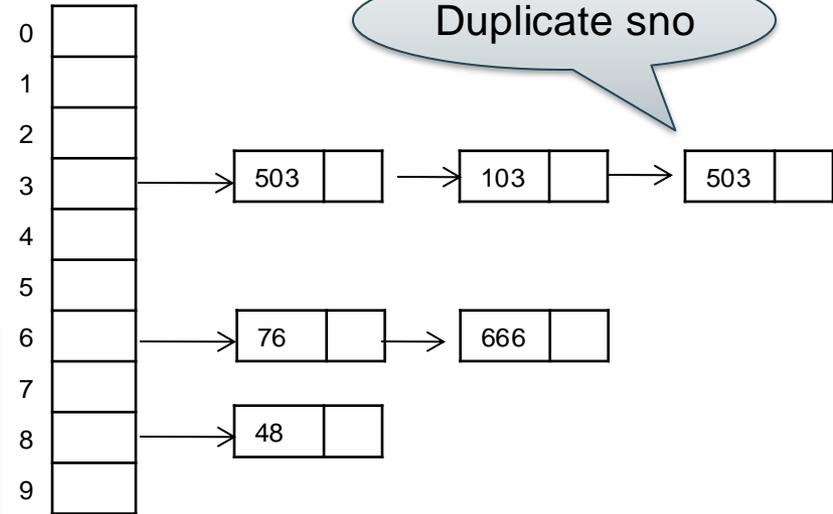
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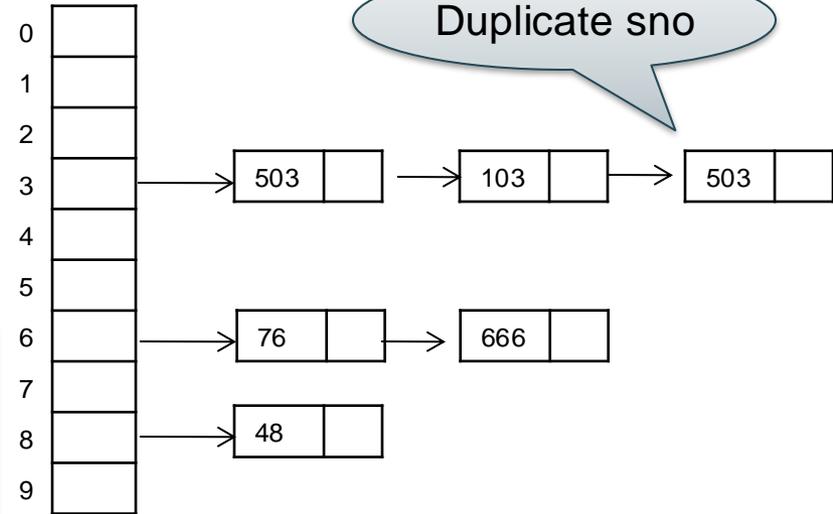
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If $|R|=|S|=n$,
what is the runtime?

$O(n)$

But can be $O(n^2)$

Discussion

- Hash join is most commonly used
- More complicated for disk-resident data:
 - Partitioned hash-join, Hybrid join
- Convention:
 - Build on outer table, probe on inner table
 - But some people use opposite convention

Supplier(sno, sname, scity, sstate)

Supply(sno, pno, quantity)

3. Merge Join

Logical operator:

Supplier $\bowtie_{sno=sno}$ Supply

```
Sort(Supplier); Sort(Supply);
```

```
x = Supplier.first();
```

```
y = Supply.first();
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Supplier(sno, sname, scity, sstate)

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    x.sno < y.sno: ???
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```
    x.sno = y.sno: ???
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    x.sno = y.sno: ???
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If $|R|=|S|=n$,
what is the runtime?

$O(n \log(n))$

Sumamry of Main Memory Algorithms

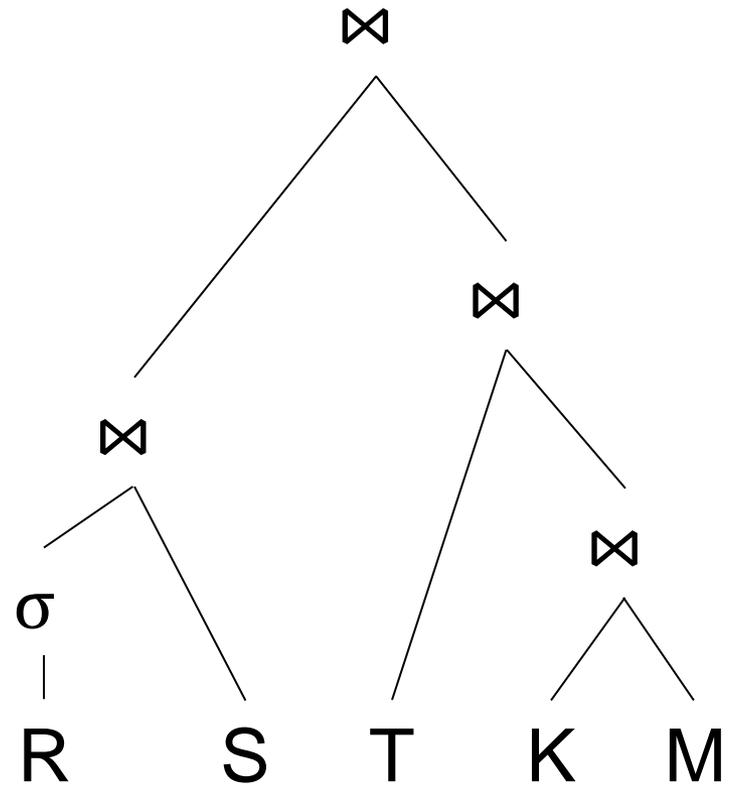
- Join \bowtie :
 - Nested loop join
 - Hash join
 - Merge join
- Selection σ
 - “on-the-fly”
 - Index-based selection
- Group by γ
 - Hash-based
 - Merge-based



Briefly discuss
in class

Iterator Model

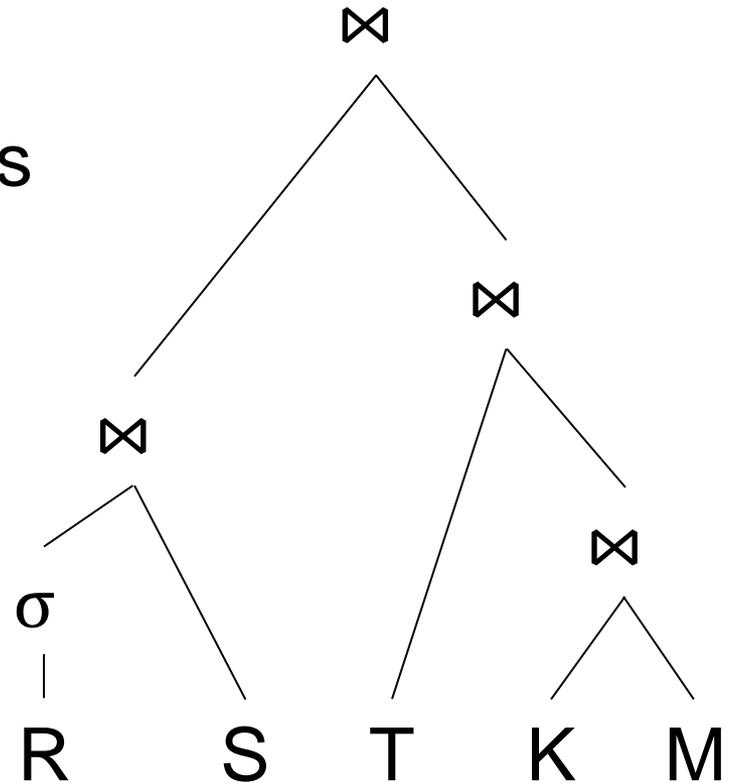
How Do We Combine Them?



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Option 1:
materialize intermediate results

Option 2:
Pipeline tuples btw. ops

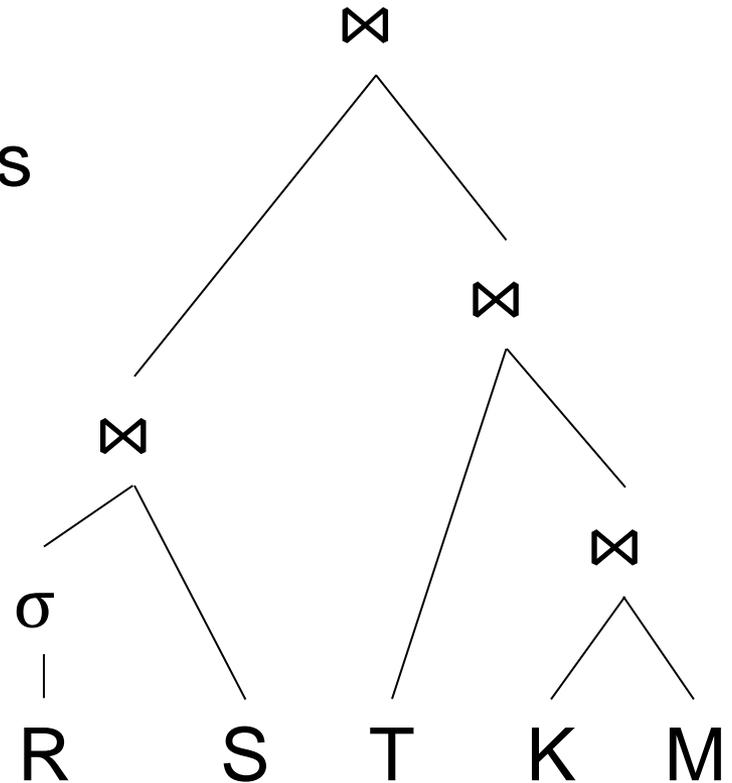


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Implementation:
Operator Interface



Operator Interface

Volcano model:

- `open()`, `next()`, `close()`
- Pull model
- Volcano optimizer: G. Graefe's (Wisconsin) → SQL Server
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Data-driven model:

- `open()`, `produce()`, `consume()`, `close()`
- Push model
- Introduced by Thomas Neumann in Hyper (at TU Munich), later acquired by Tableau
- "How to architect..."

Volcano Model

Open()

- Calls open() on the children
- Creates any local data structures

Next()

- May call next() repeatedly on children
- Returns exactly 1 tuple, or EOF

Close()

- Free any local memory

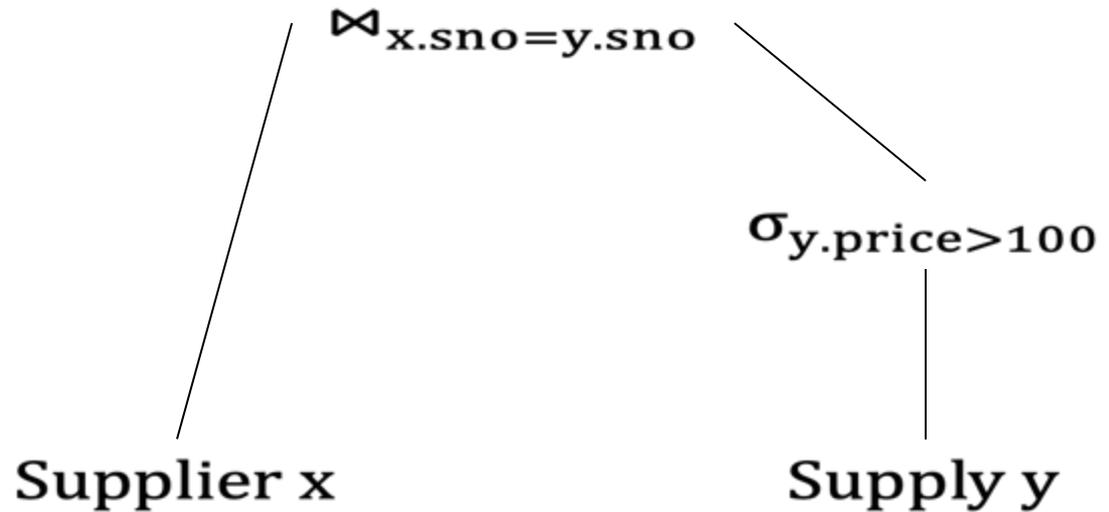
Volcano Example

"Normal" hash-join

```
for x in Supplier do
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for y in Supply do
  x = find(y.sno);
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```

Pipelining changes
the order significantly



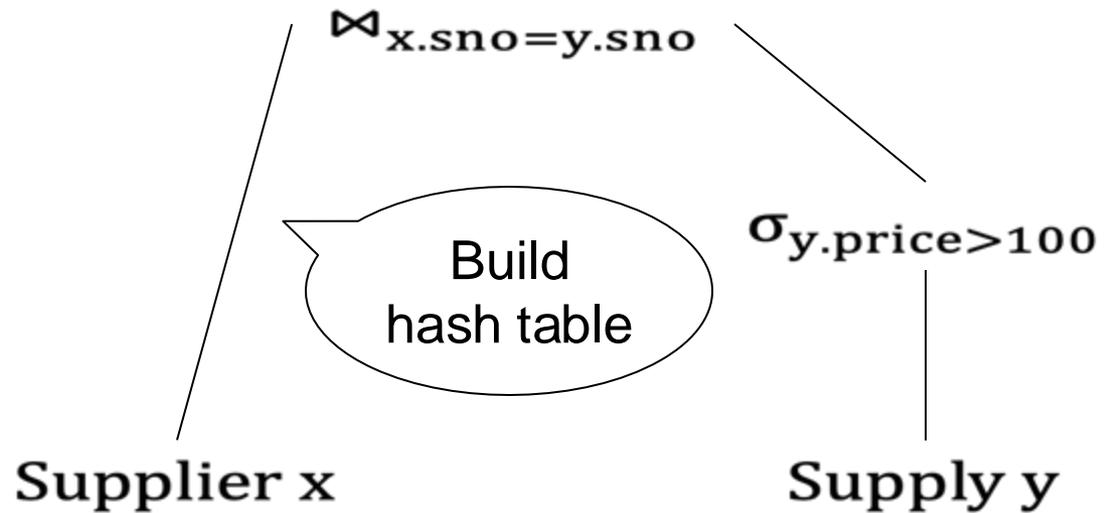
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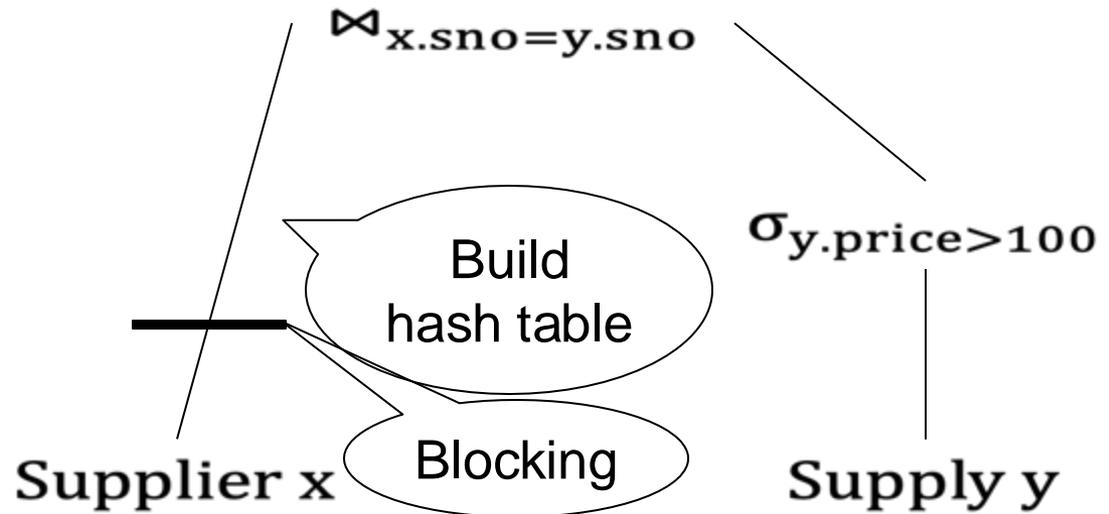
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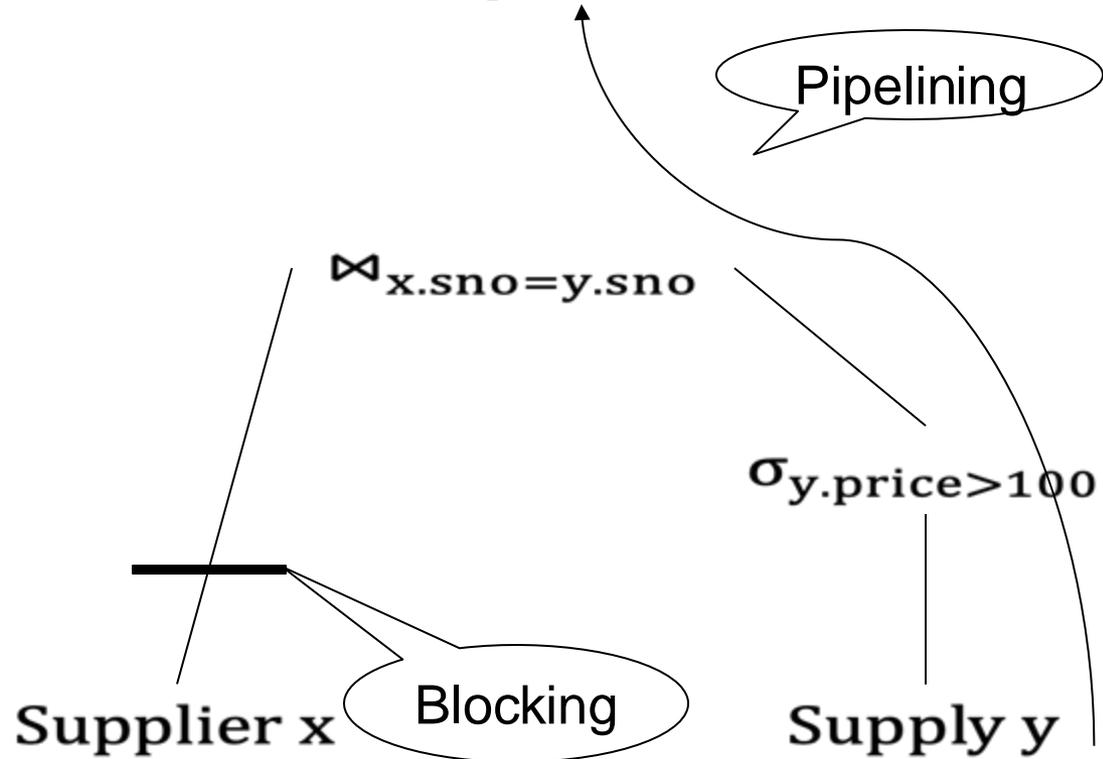
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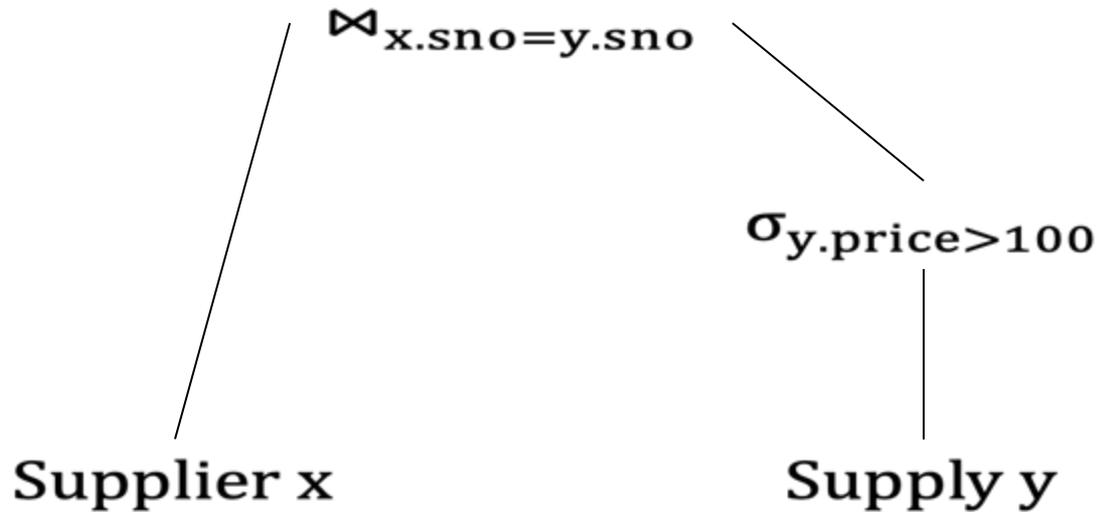
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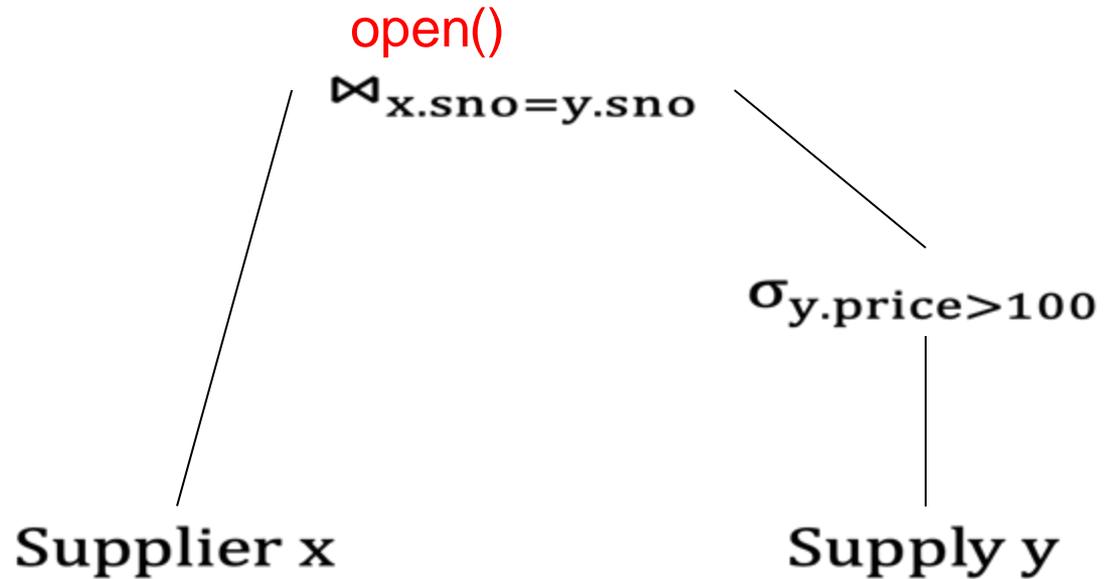
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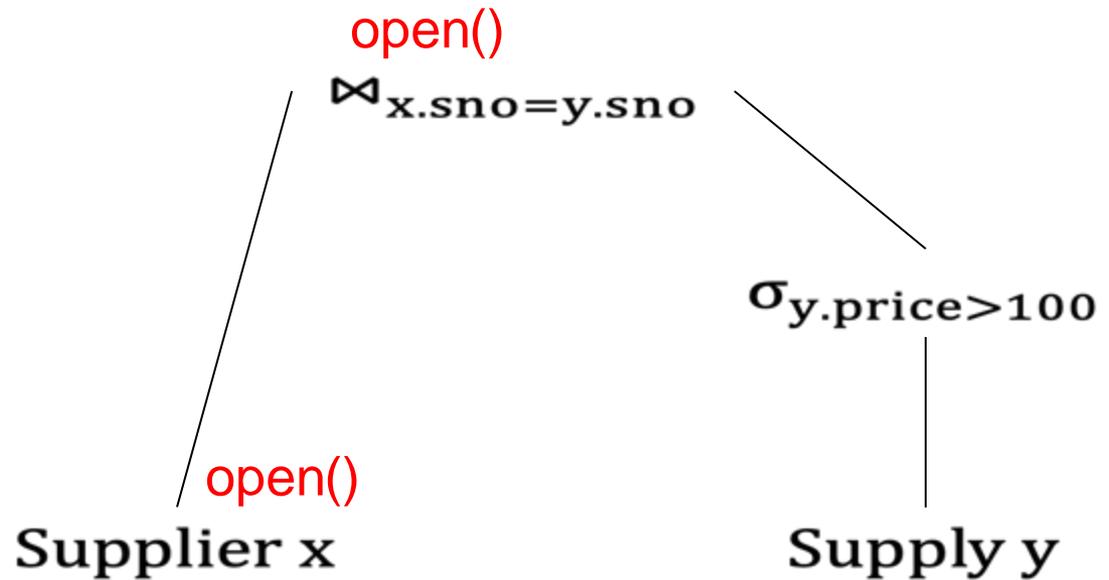
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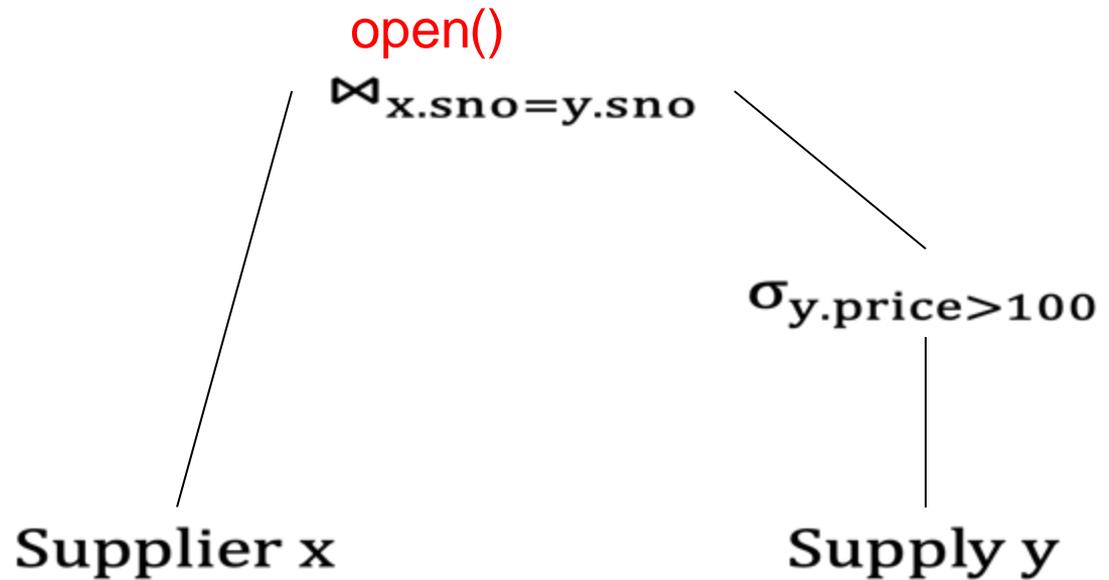
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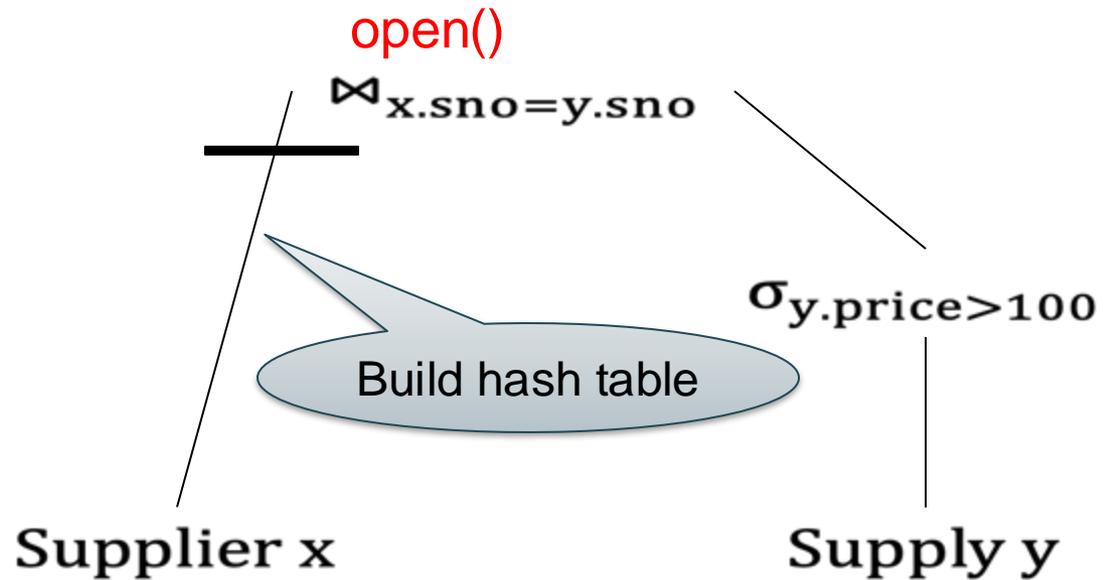
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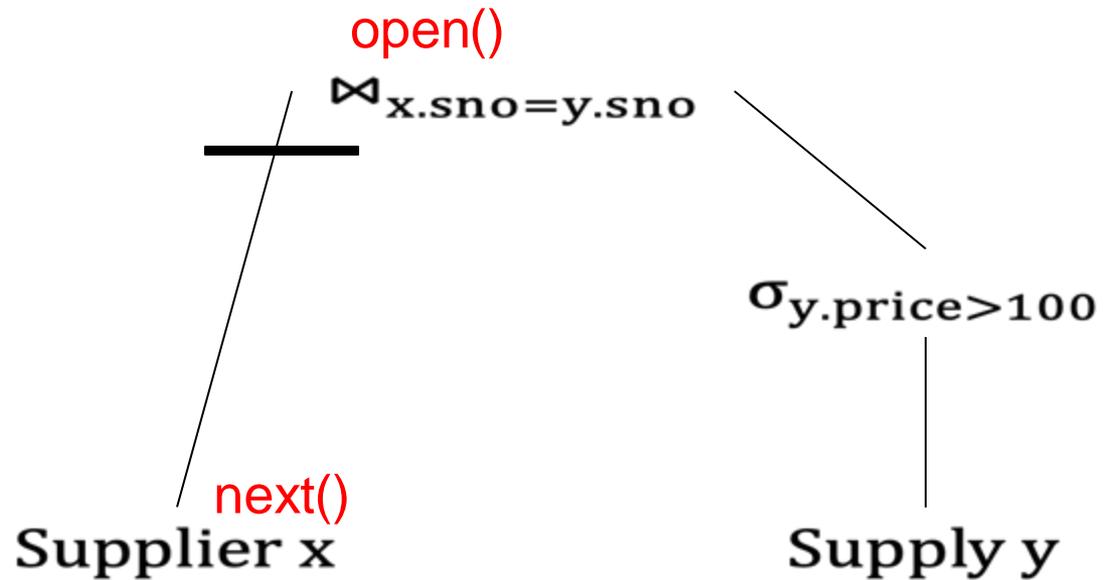
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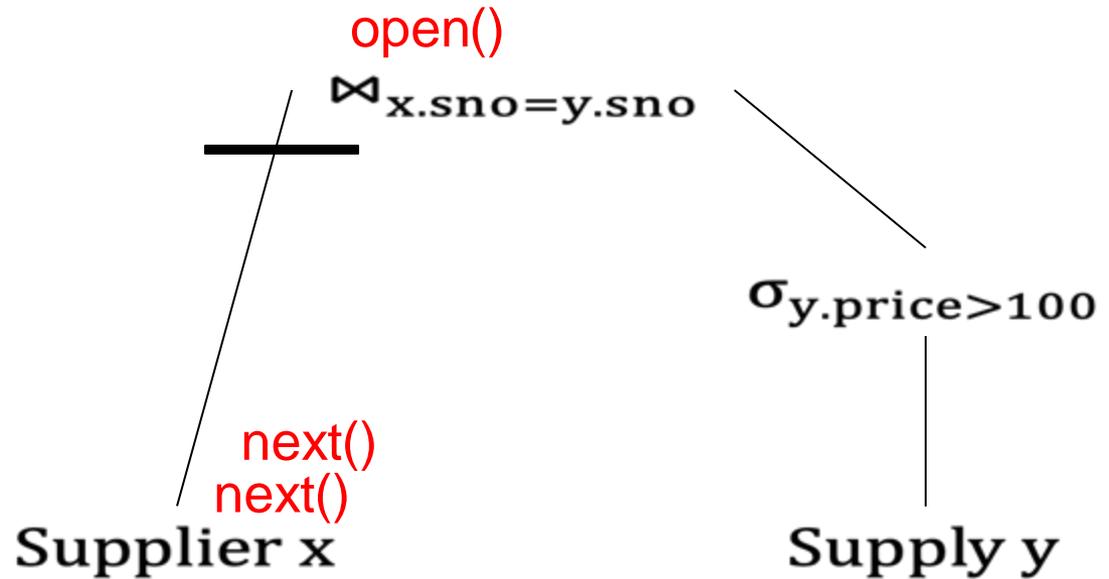
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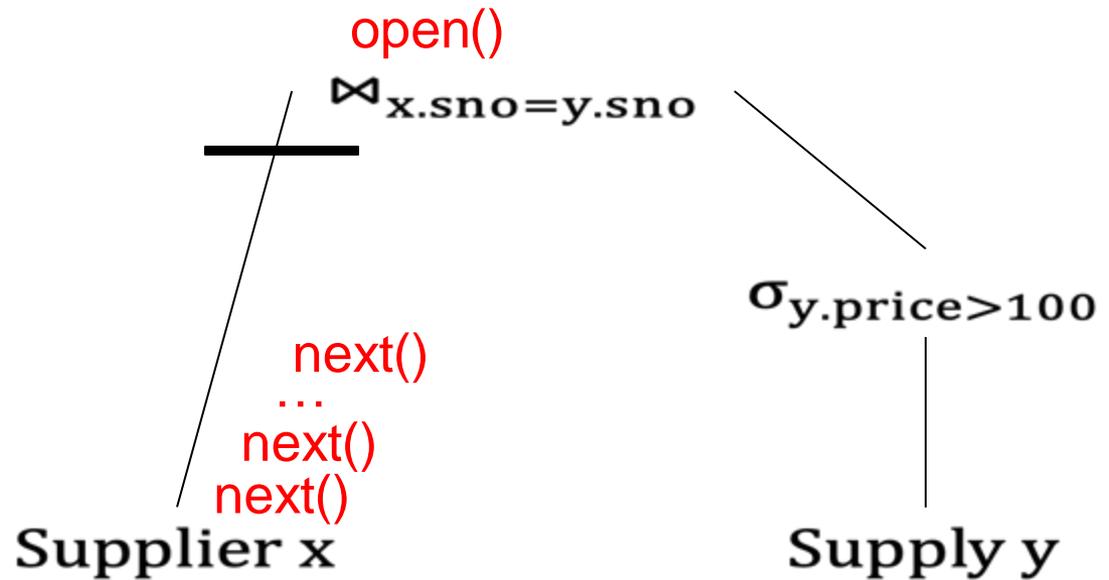
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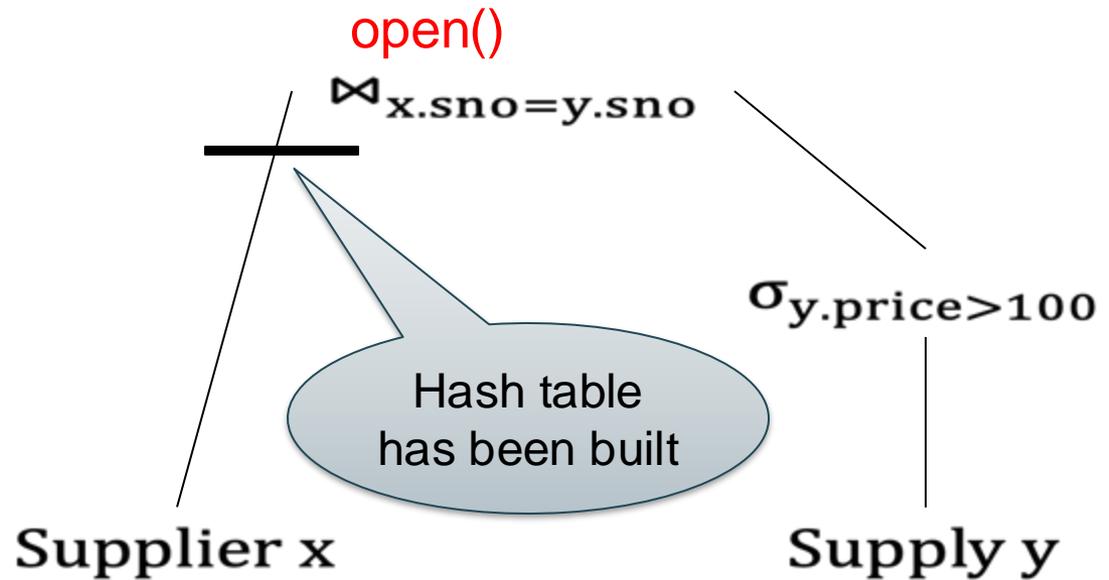
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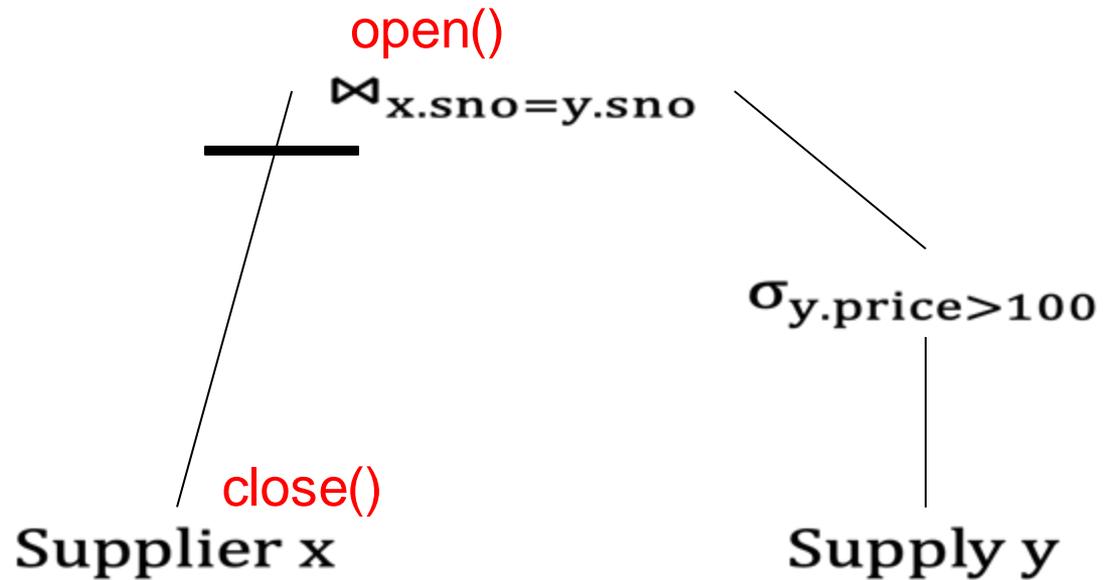
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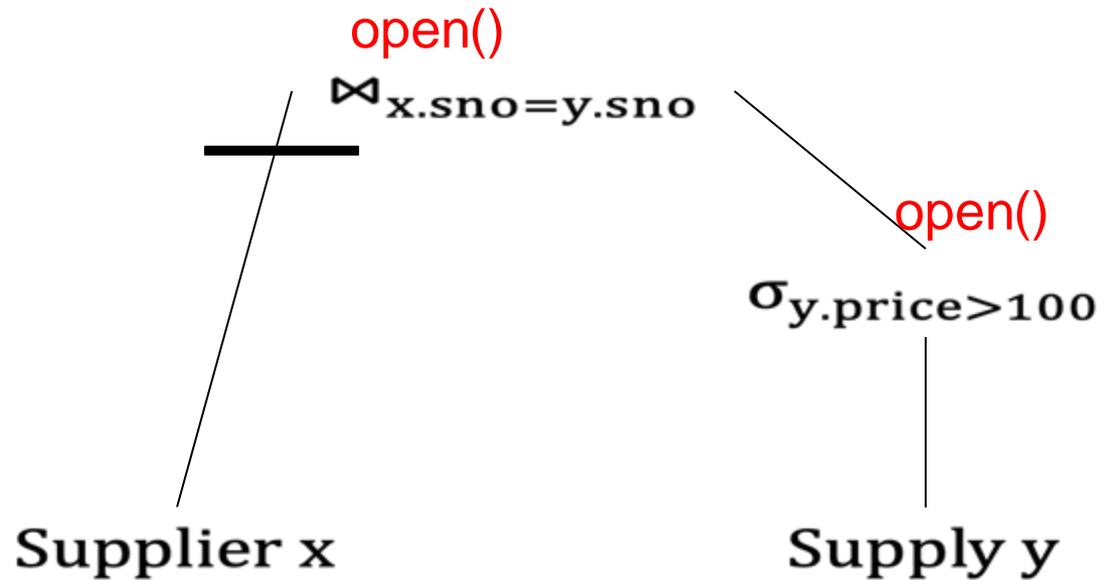
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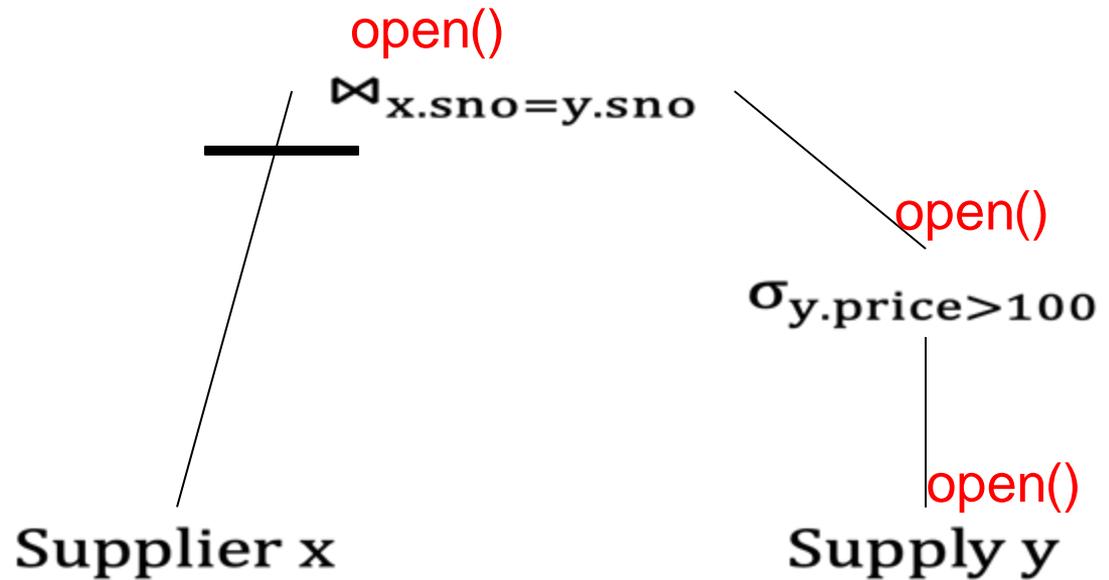
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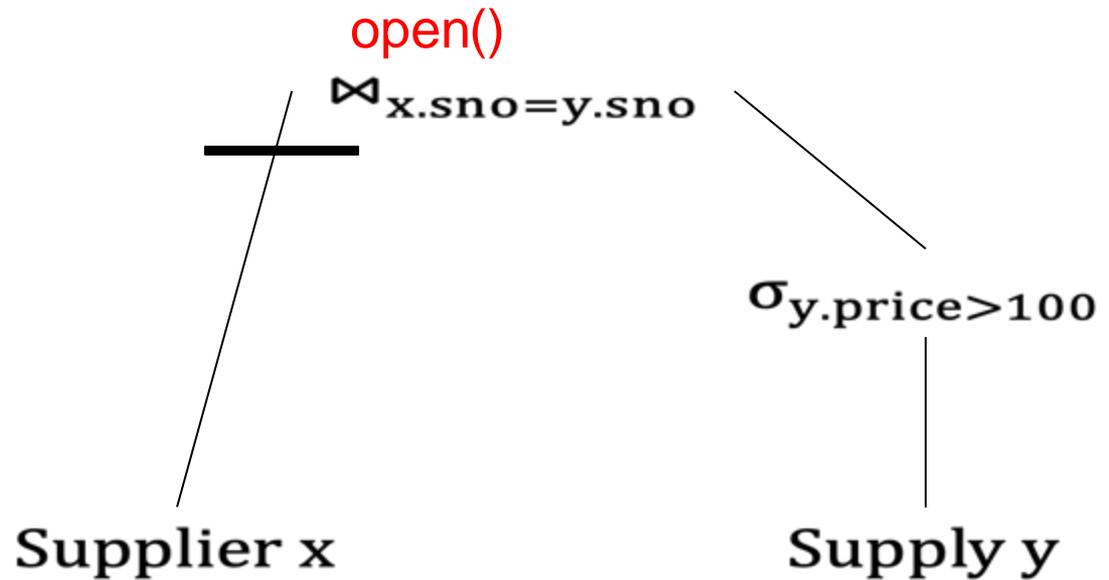
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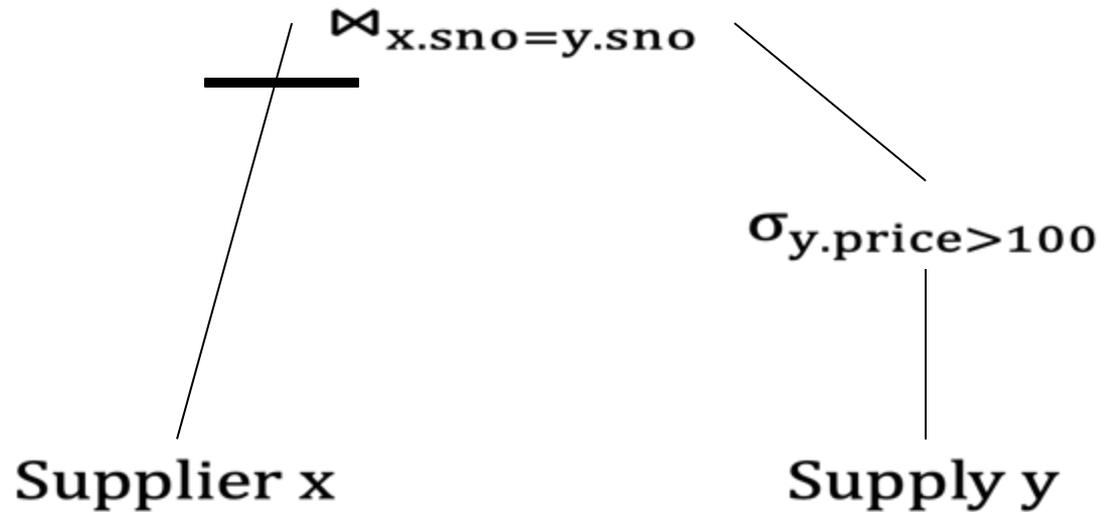
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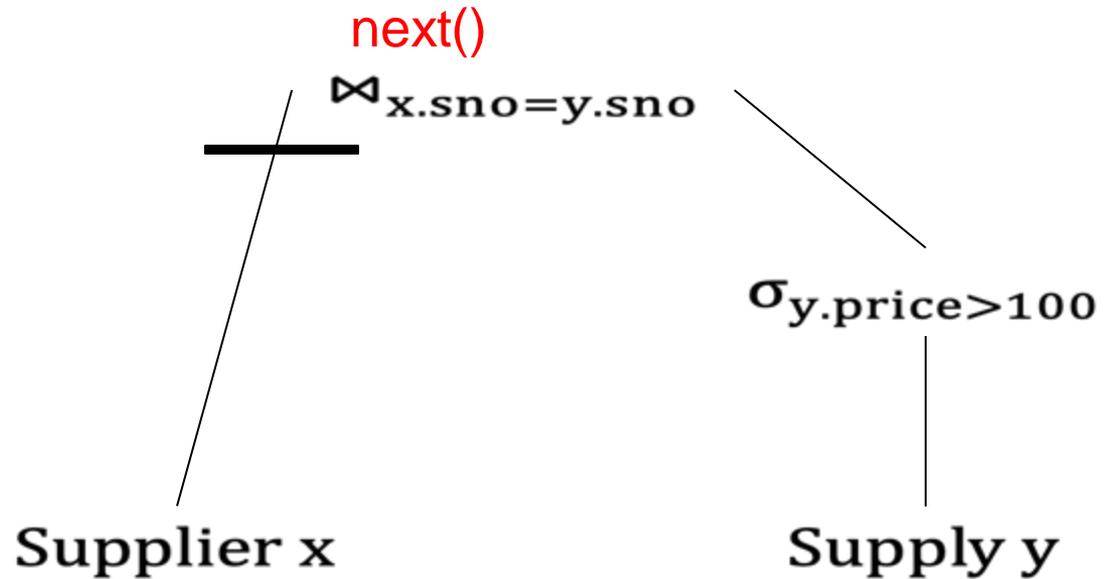
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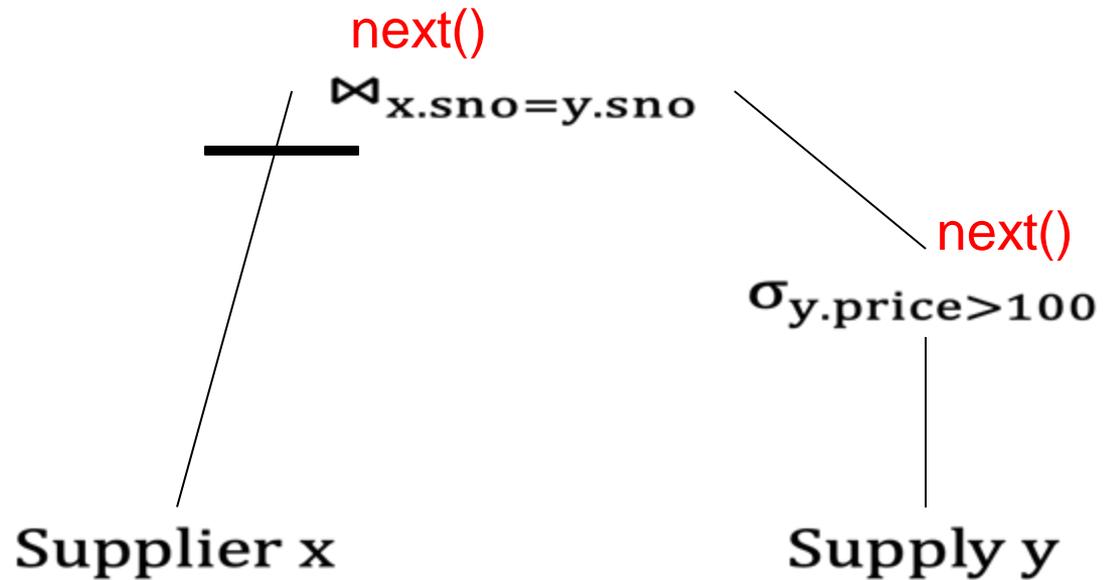
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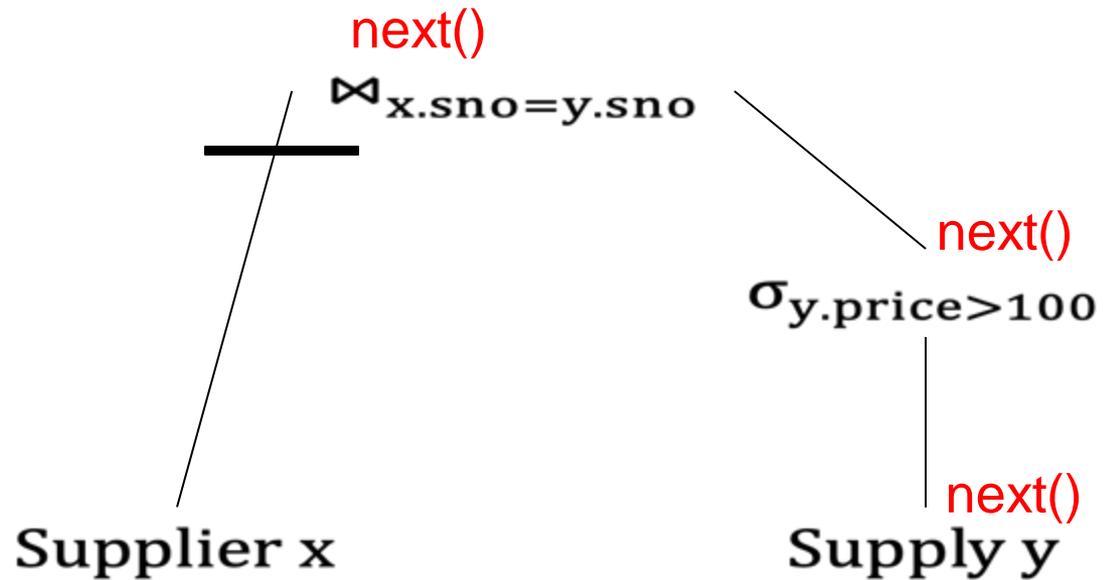
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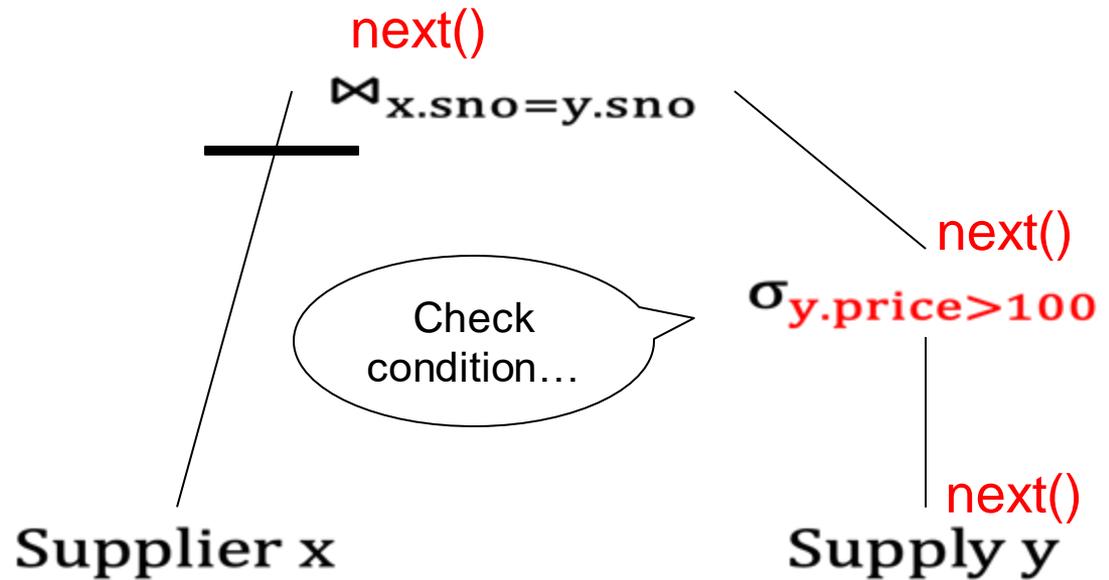
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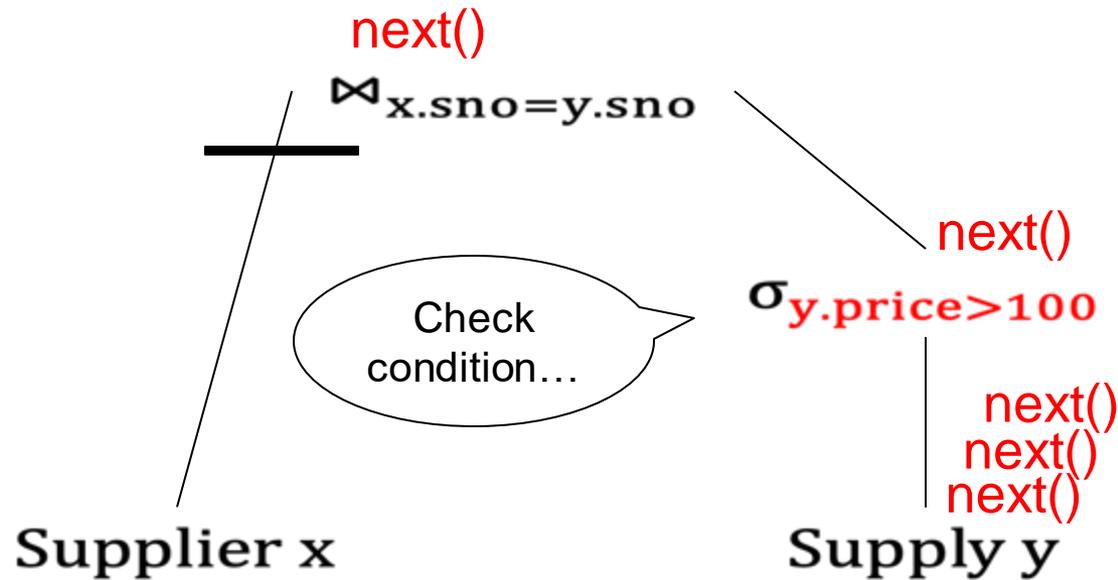
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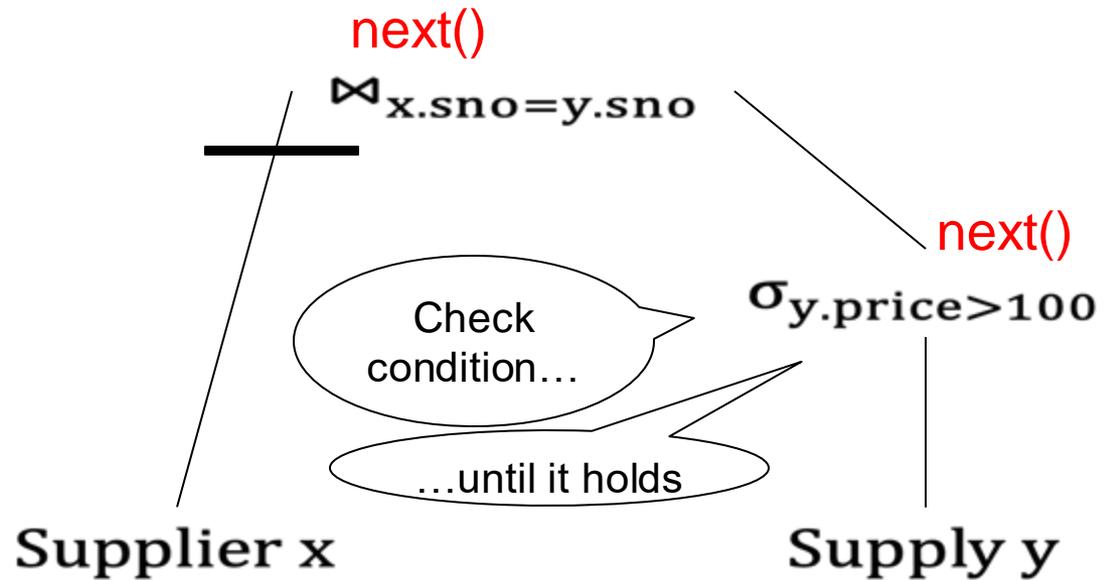
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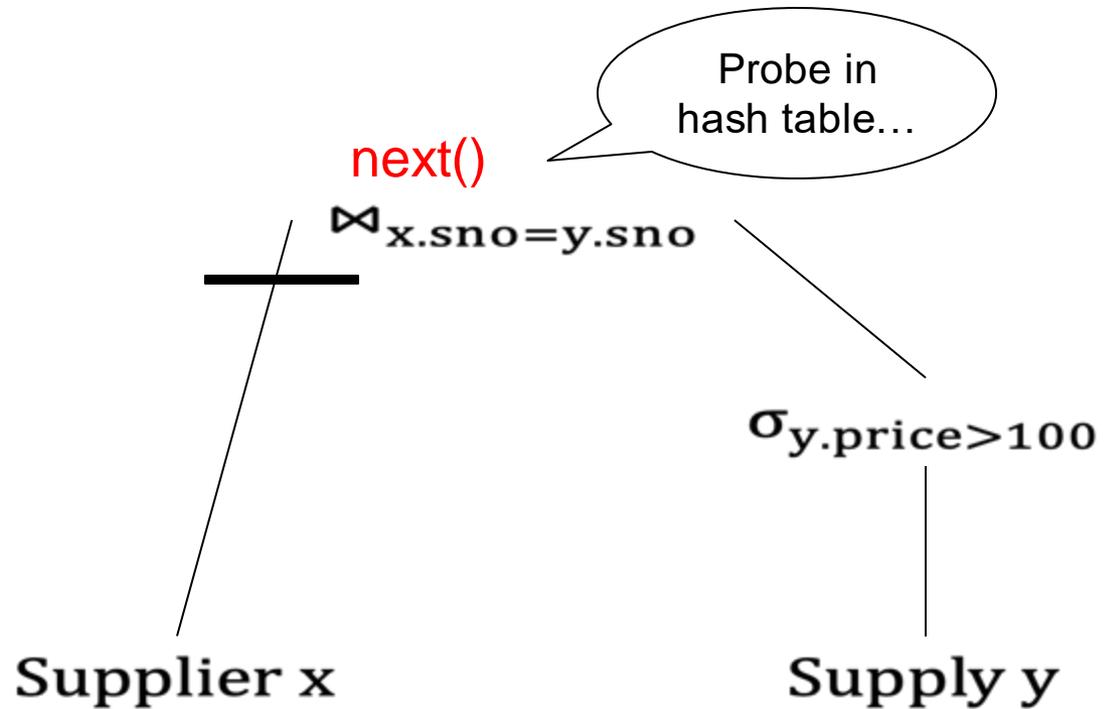
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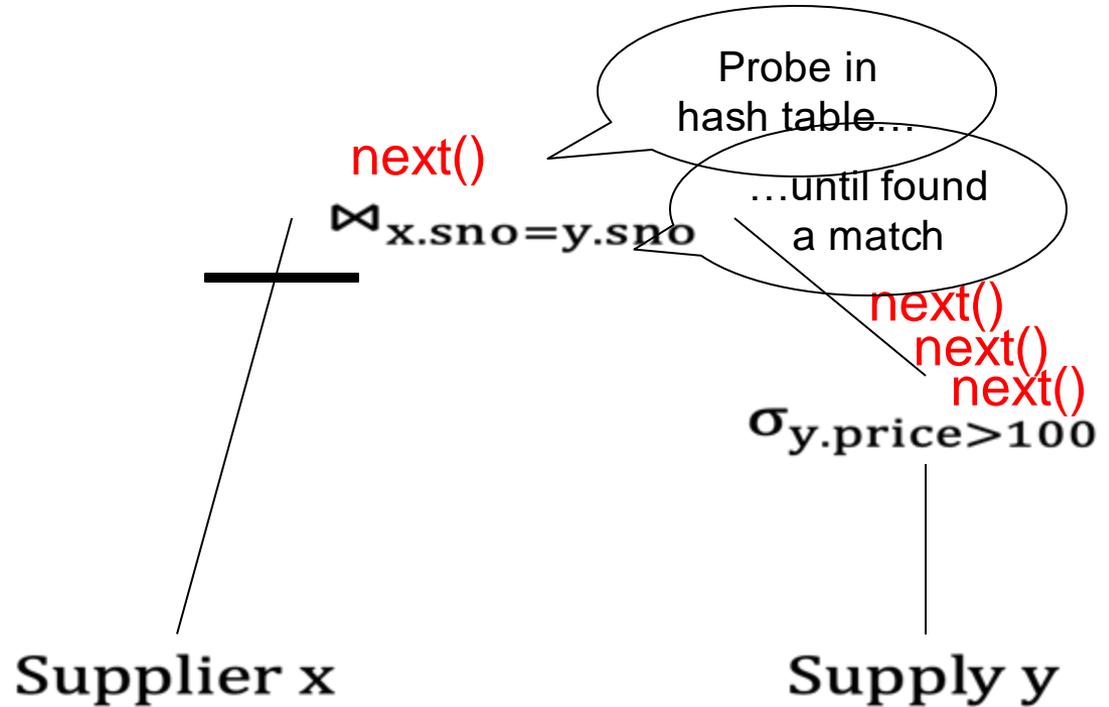
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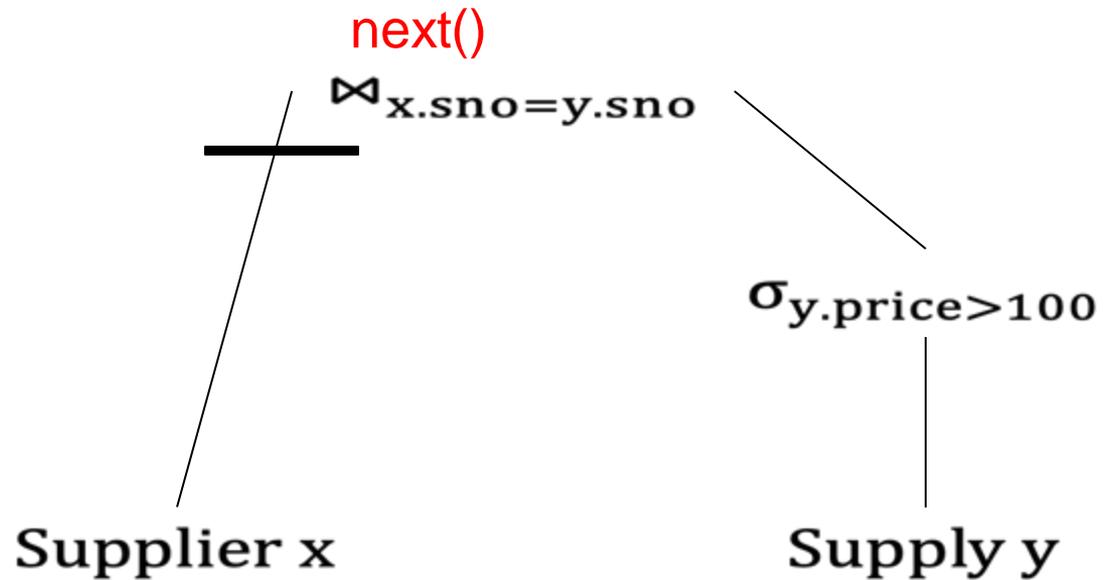
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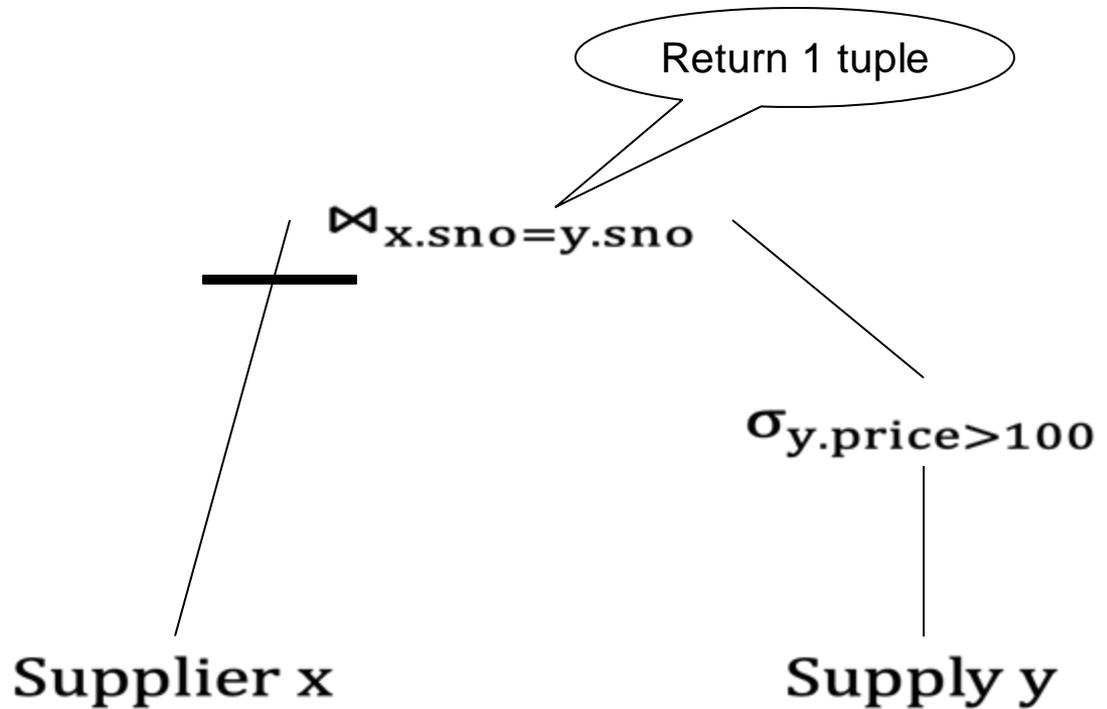
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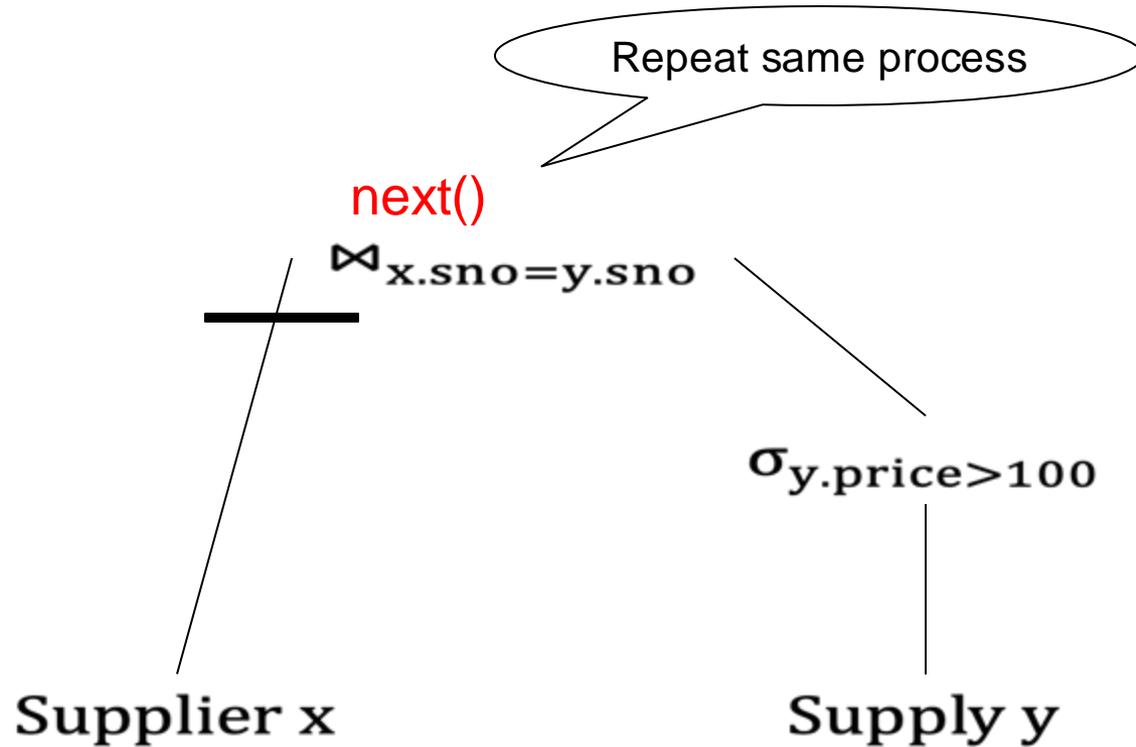
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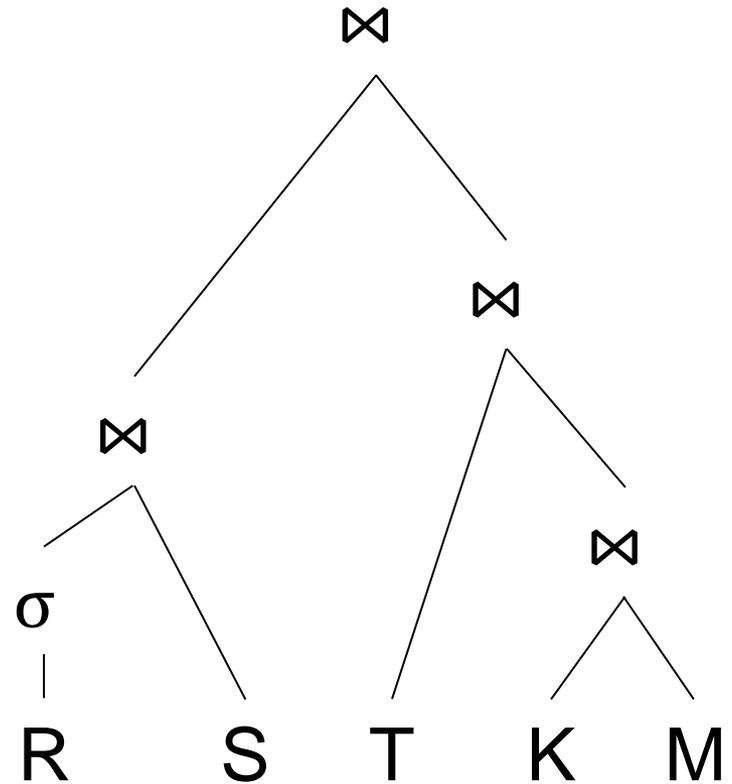
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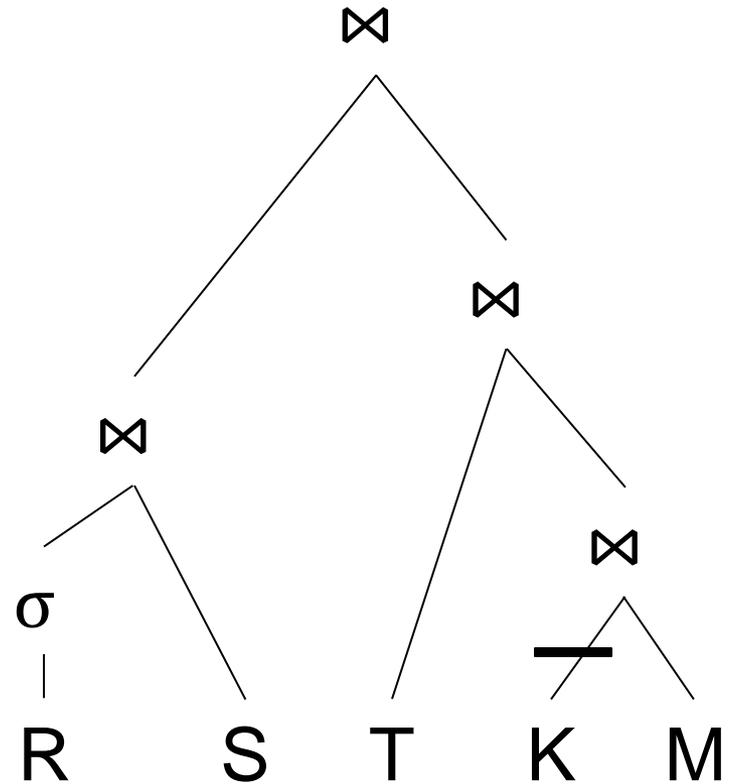
Volcano Model

Usually: block the outer relation,
pipeline the inner relation



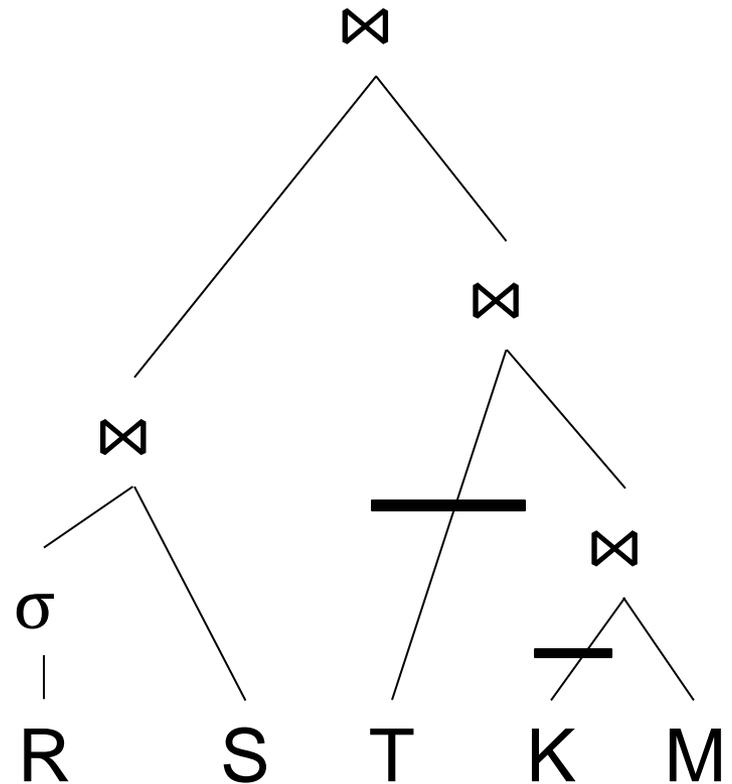
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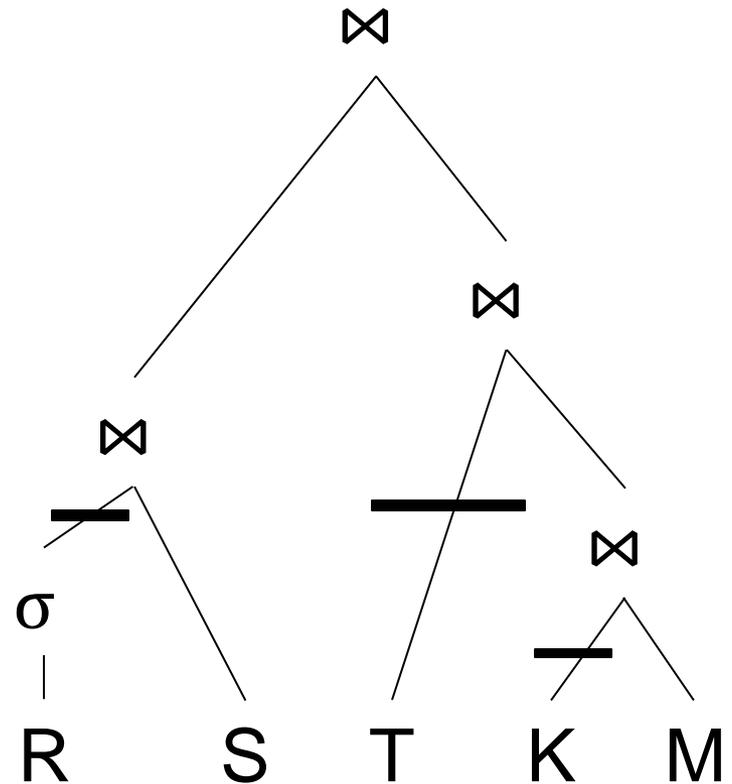
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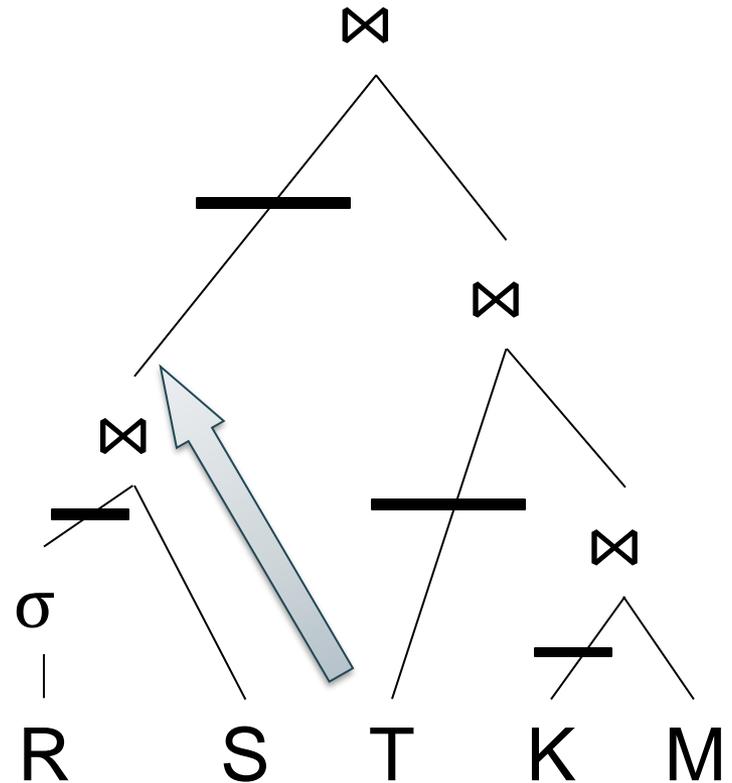
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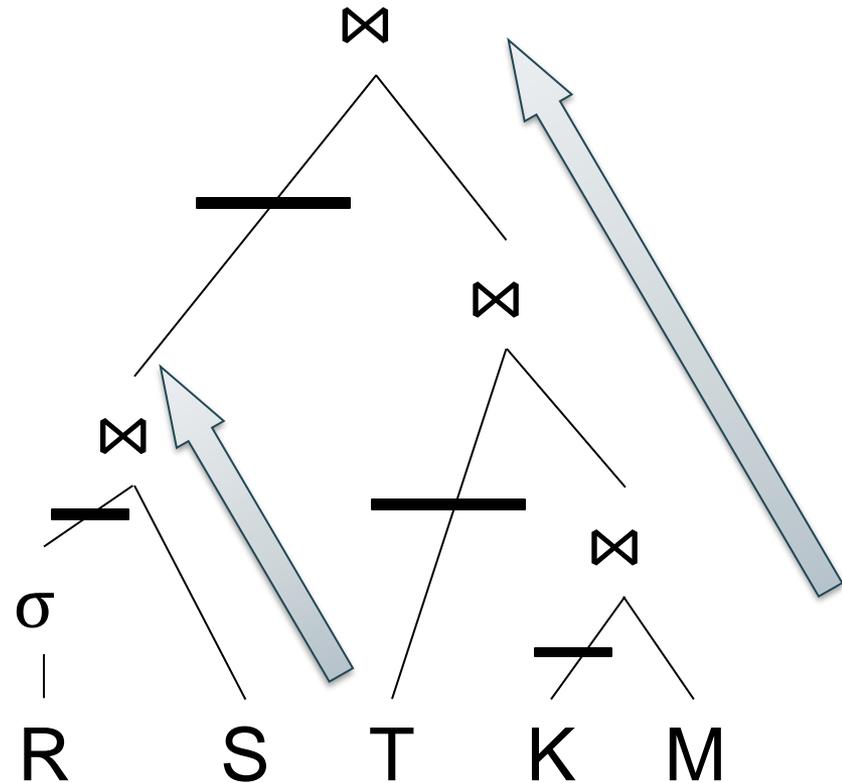
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Volcano Model

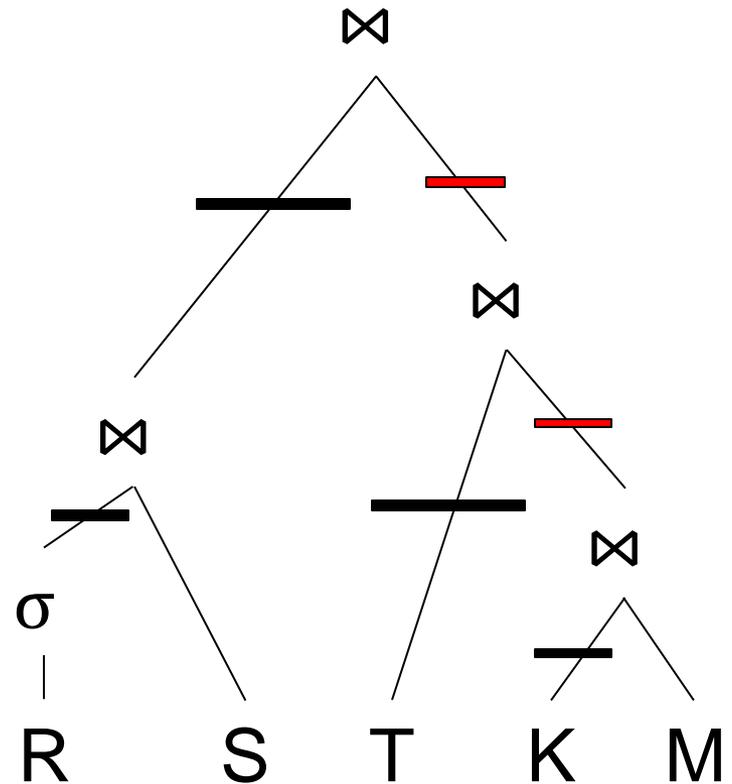
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Volcano Model

Usually: block the outer relation,
pipeline the inner relation

But may also block everything



Volcano Model

```
interface Operator {
    // initializes operator state
    // and sets parameters
    void open (...);

    // calls next() on its inputs
    // processes an input tuple
    // produces output tuple(s)
    // returns null when done
    Tuple next ();

    // cleans up (if any)
    void close ();
}
```

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Example selection operator

```
class Select implements Operator {...  
    void open (Predicate p,  
              Operator c) {  
        this.p = p; this.c = c; c.open();  
    }  
}
```

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    Tuple next () {
        boolean found = false;
        Tuple r = null;
        while (!found) {
            r = c.next();
            if (r == null) break;
            found = p(r);
        }
        return r;
    }
}
```

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        }
        return r;
    }
    void close () { c.close(); }
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Volcano Model

Query plan execution

```
Operator q = parse("SELECT ..."); # sql -> root of an op tree  
q = optimize(q); # op tree -> optimized op tree
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Volcano Model

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q.open();
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Volcano Model

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}  
q.close();
```

Pipeline v.s. Blocking

- Pipeline
 - Tuples move all the way through up the query plan
 - Advantages: speed
 - Disadvantage: need all hash tables in memory
- Blocking
 - Compute and store on disk entire subplan
 - Advantage: needs less memory
 - Disadvantage: slower

Today's Paper

How to Architect a Query Compiler

Query execution: interpret v.s. compile

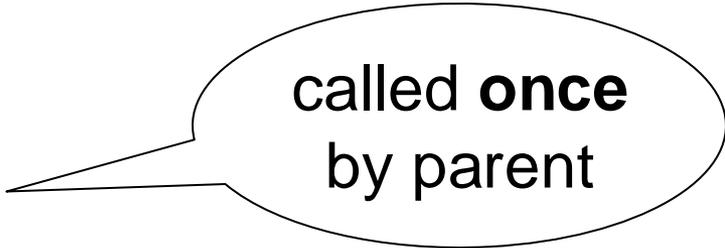
- What are the pros/cons?
- What was the traditional approach?
- What changed recently?

Data-Driven Model

Each operator exports four methods:

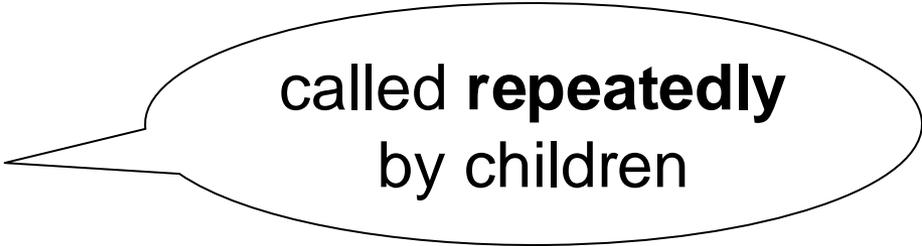
- `Open()`

- `Produce()`



called **once**
by parent

- `Consume()`



called **repeatedly**
by children

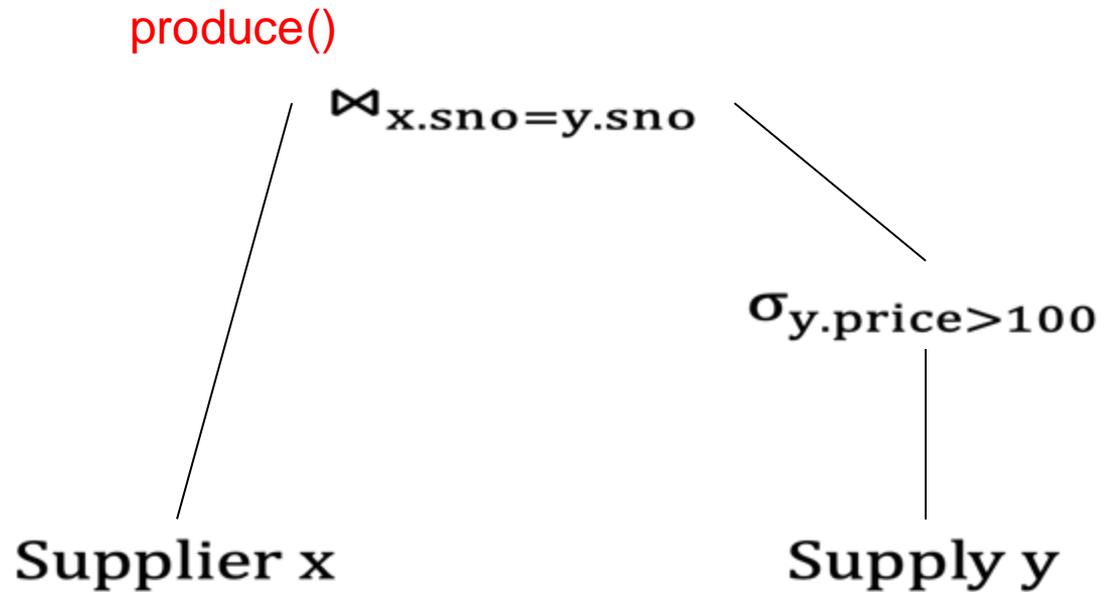
- `Close()`

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"Normal" hash-join

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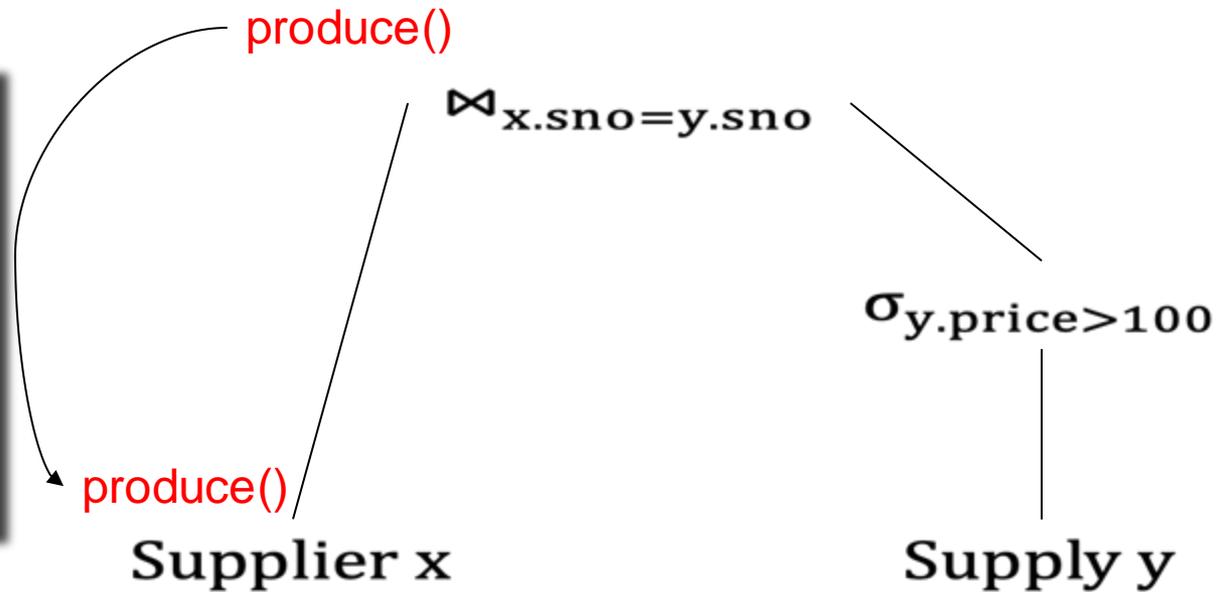


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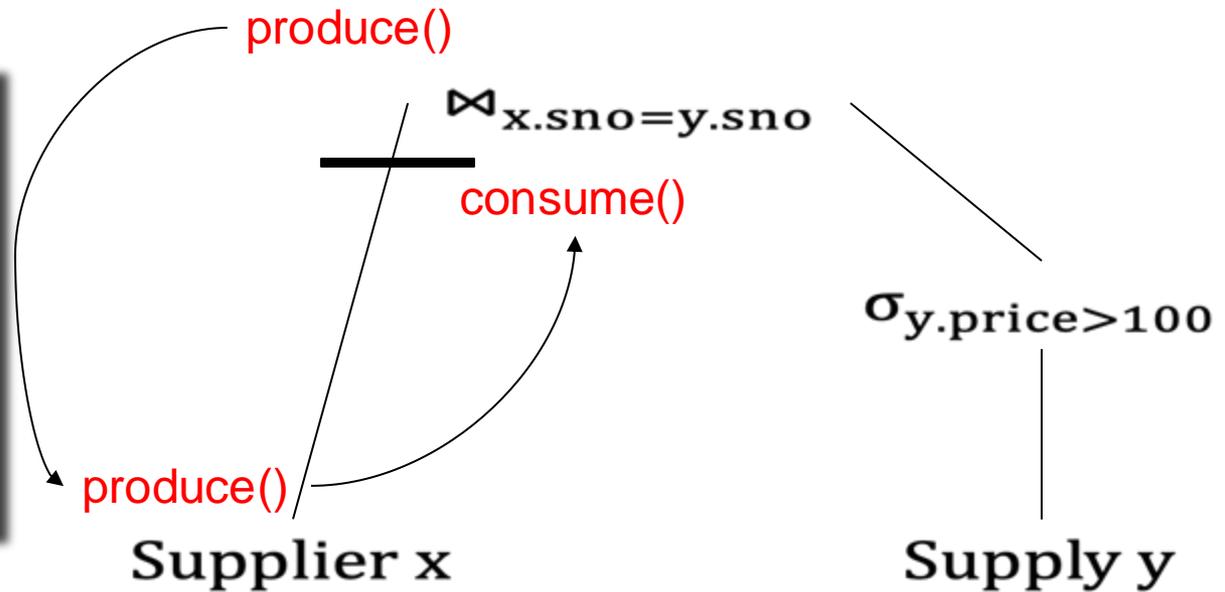


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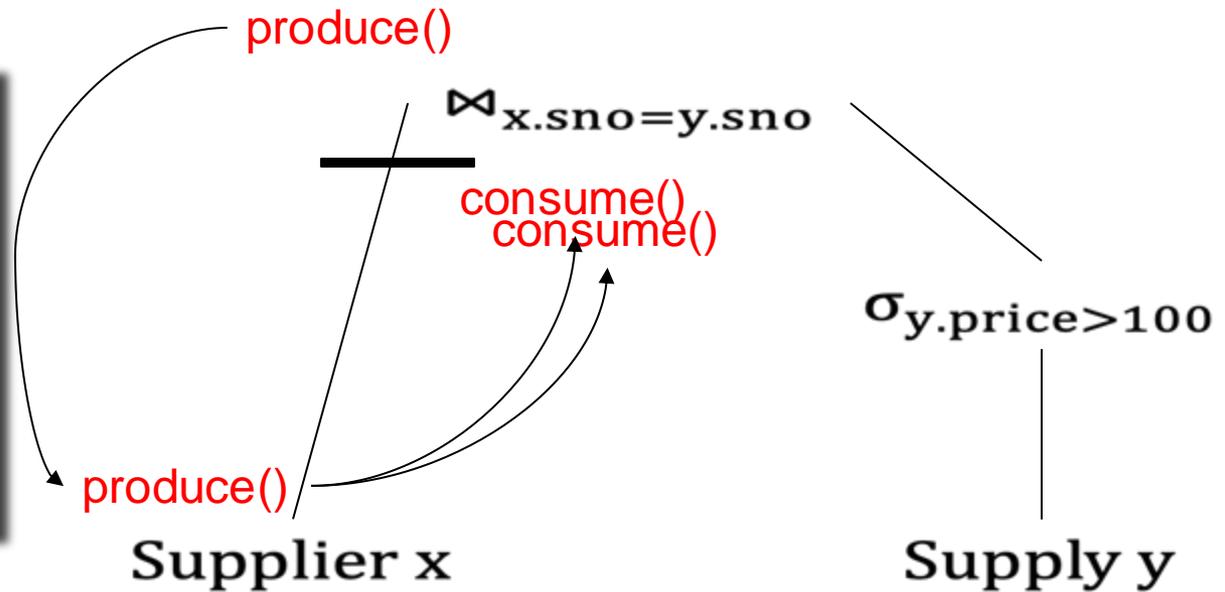


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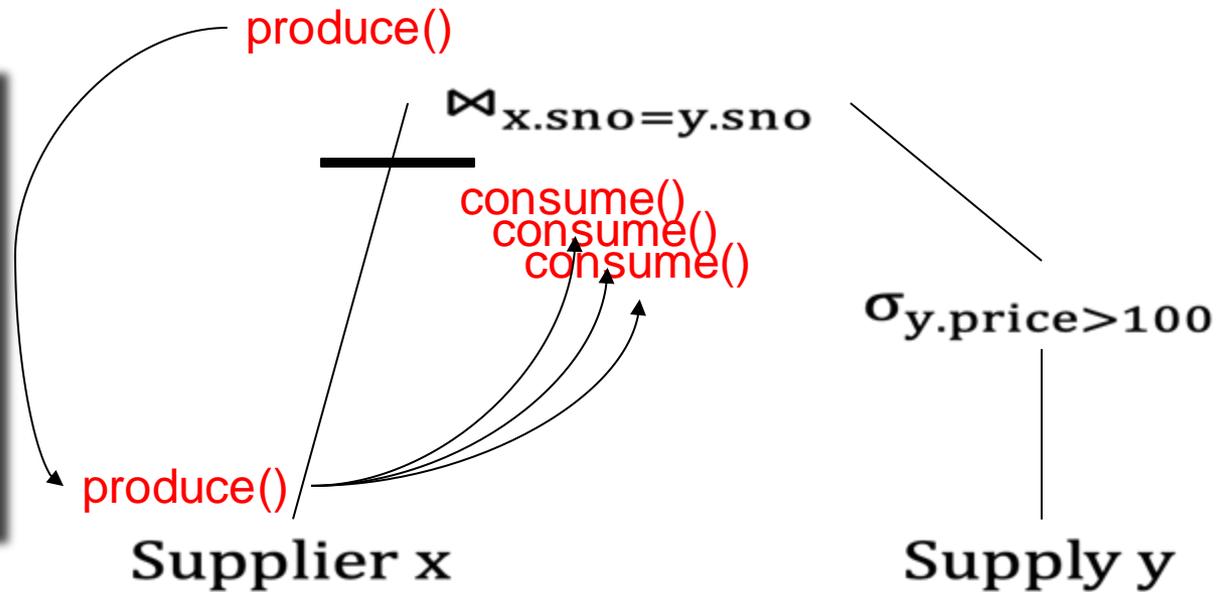


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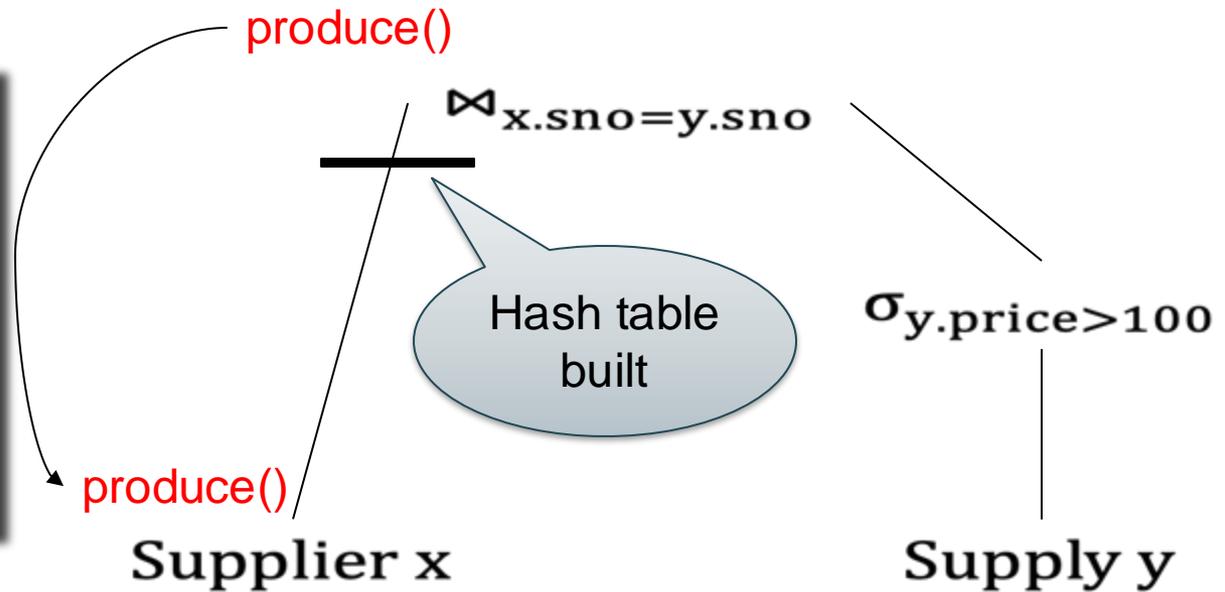


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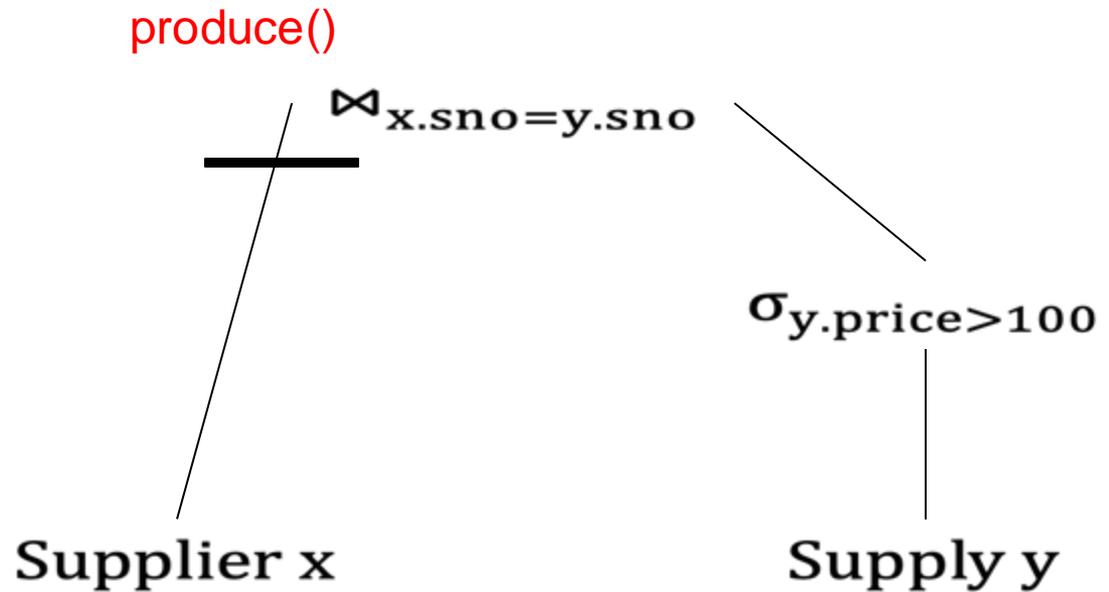


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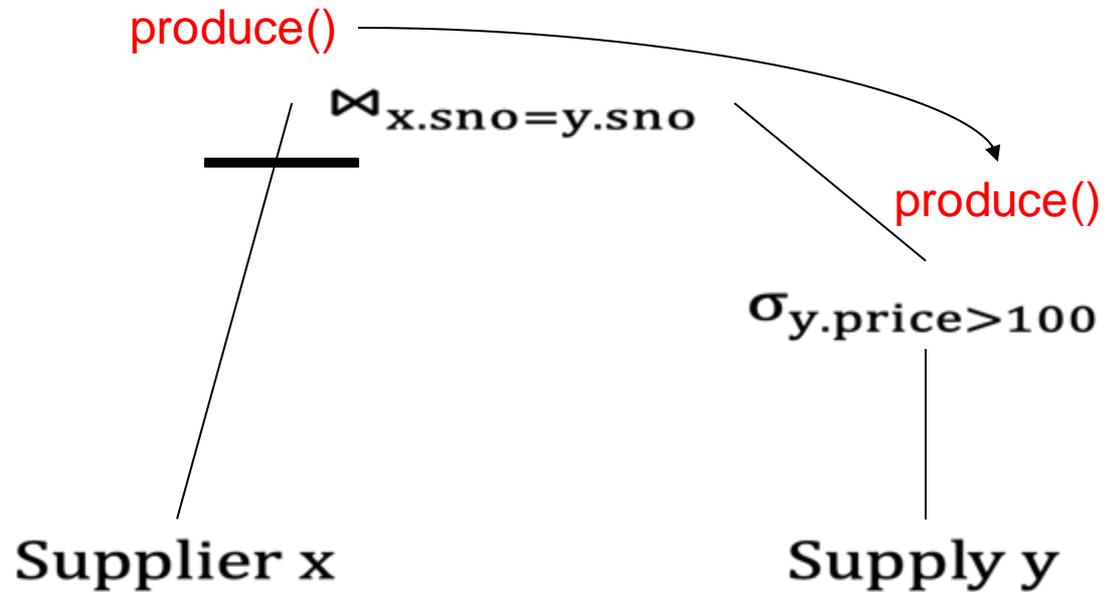


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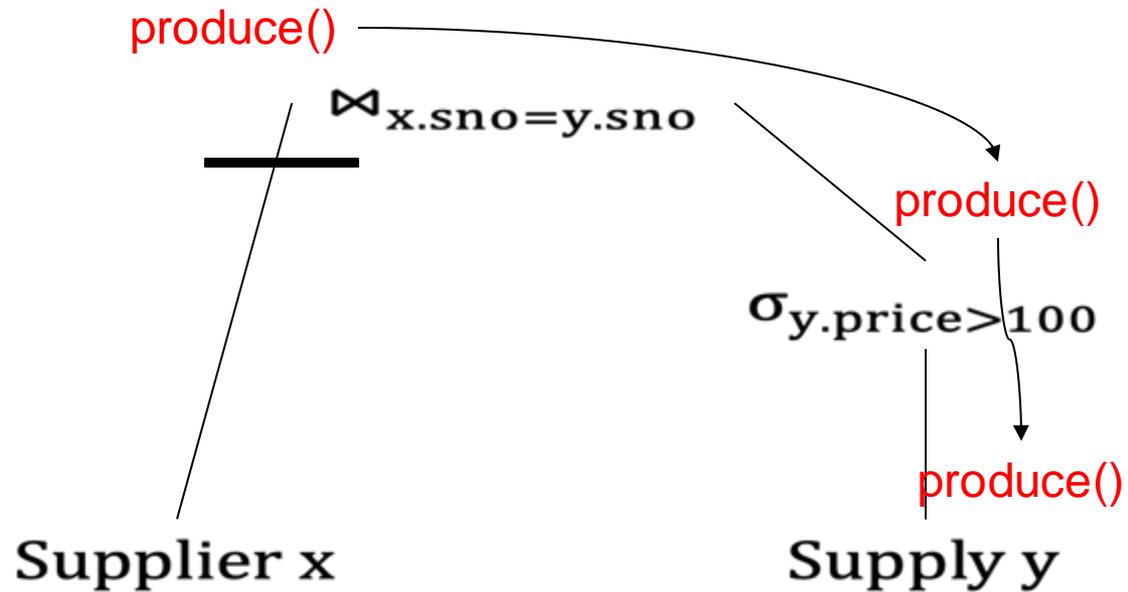


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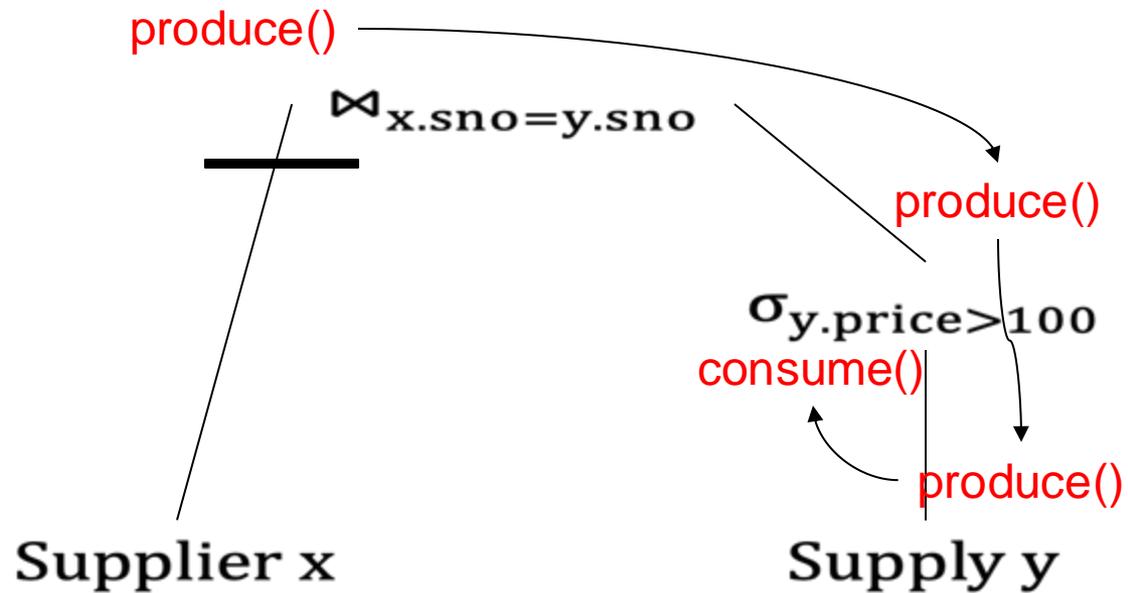


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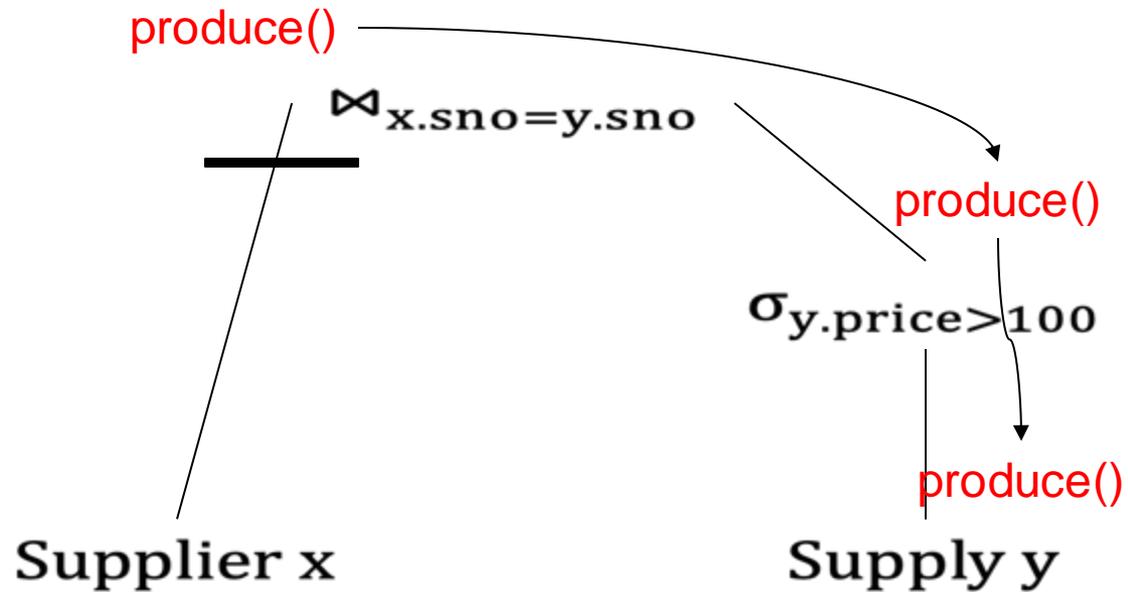


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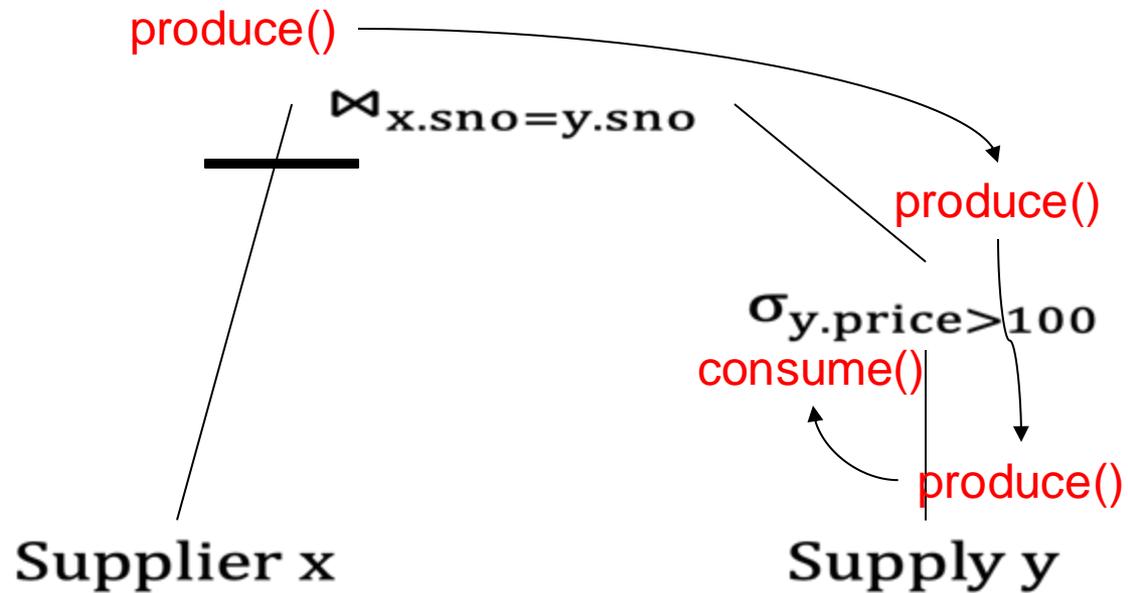


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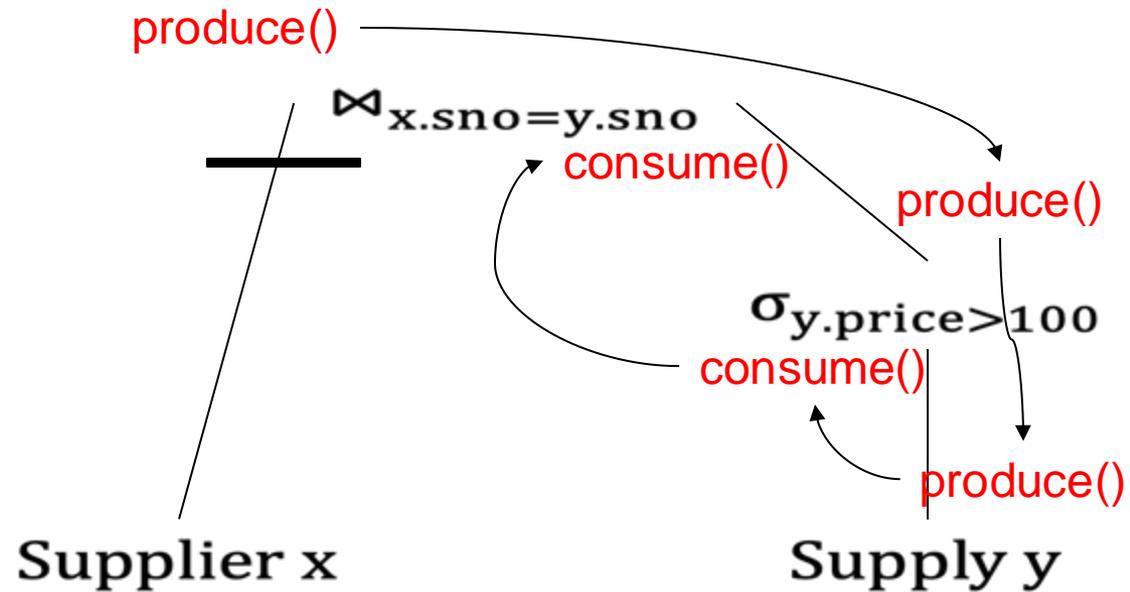


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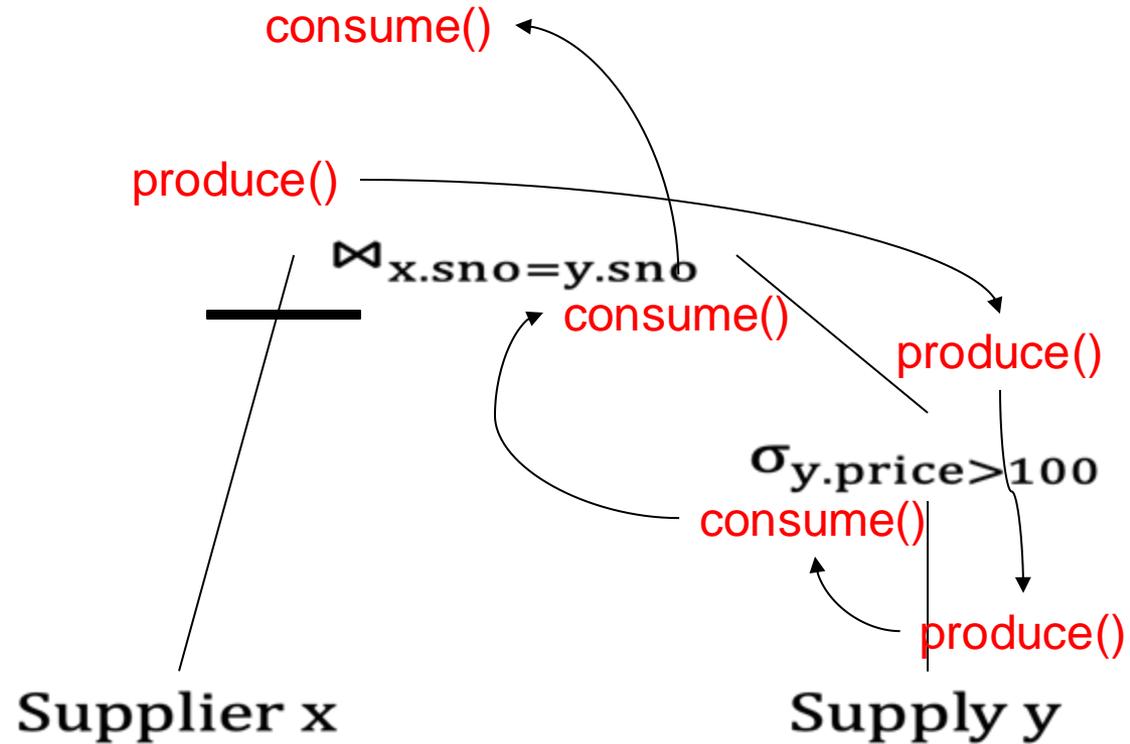


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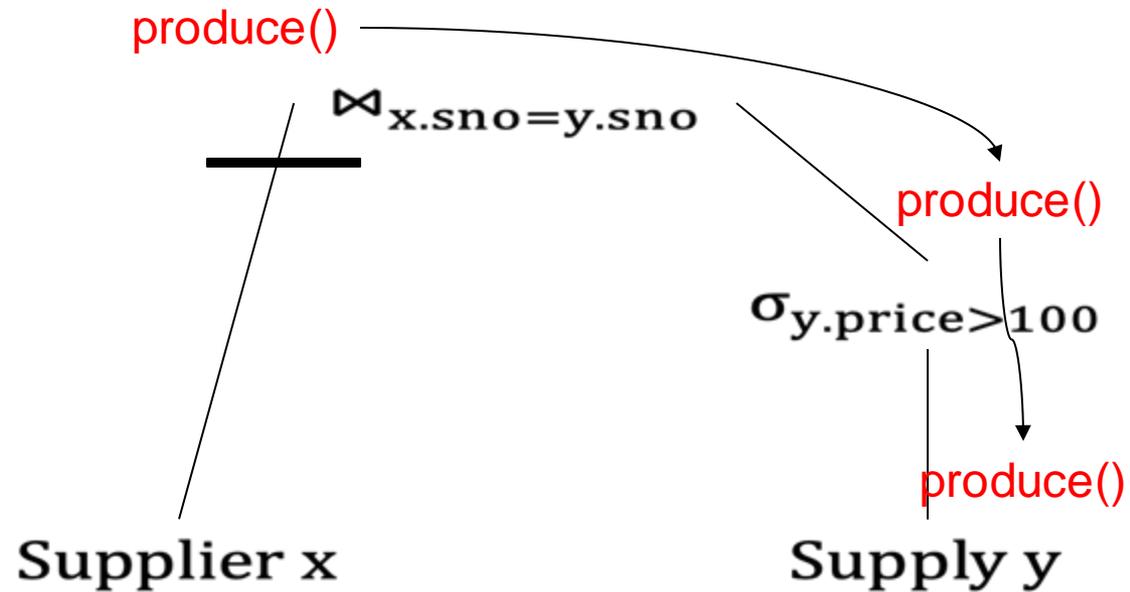


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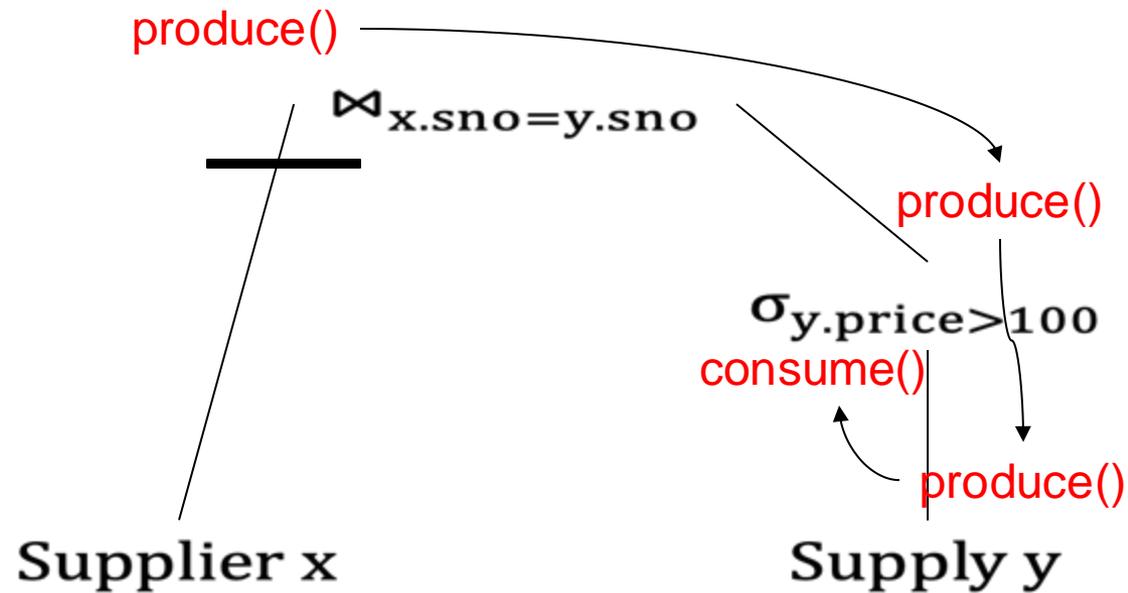
Example

And so on...

"Normal" hash-join

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  x = find(y.sno);
  output(x,y);
```



Volcano v.s. Data Driven

$$\sigma_B=7$$



$$\sigma_A=5$$



R

Volcano v.s. Data Driven

```
repeat /*  $\sigma_B.next()$  */
```

```
output x  
until x.isNull()
```

$\sigma_B=7$

$\sigma_A=5$

R

Volcano v.s. Data Driven

```
repeat /*  $\sigma_B.next()$  */  
  repeat /*  $\sigma_A.next()$  */  
  until x.isNull() or x.B=7  
  output x  
until x.isNull()
```

$\sigma_B=7$

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R

Volcano v.s. Data Driven

```
repeat /*  $\sigma_B.next()$  */  
  repeat /*  $\sigma_A.next()$  */  
    repeat /*  $R.next()$  */  
      until x.isNull() or x.A=5  
    until x.isNull() or x.B=7  
  output x  
until x.isNull()
```

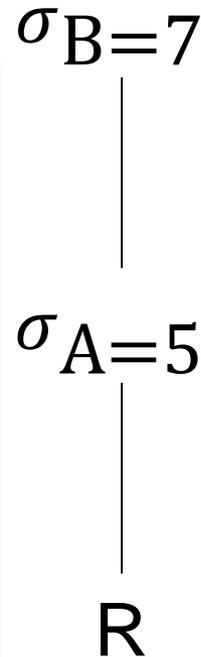
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R

Volcano v.s. Data Driven

```
repeat /*  $\sigma_B.next()$  */
repeat /*  $\sigma_A.next()$  */
repeat /*  $R.next()$  */
  x=R.next()
until x.isNull() or x.A=5
until x.isNull() or x.B=7
output x
until x.isNull()
```



Volcano v.s. Data Driven

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```

$\sigma_B=7$

$\sigma_A=5$

R

```
for x in R //  $R.produce()$ 
```

Volcano v.s. Data Driven

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$\sigma_B=7$

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R

```
for x in R //  $R.produce()$   
  if x.A=5 //  $\sigma_A.consume()$ 
```

Volcano v.s. Data Driven

```
repeat /*  $\sigma_B.next()$  */
repeat /*  $\sigma_A.next()$  */
repeat /*  $R.next()$  */
  x=R.next()
until x.isNull() or x.A=5
until x.isNull() or x.B=7
output x
until x.isNull()
```

$\sigma_B=7$

$\sigma_A=5$

R

```
for x in R //  $R.produce()$ 
  if x.A=5 //  $\sigma_A.consume()$ 
    if x.B=7 //  $\sigma_B.consume()$ 
```

Volcano v.s. Data Driven

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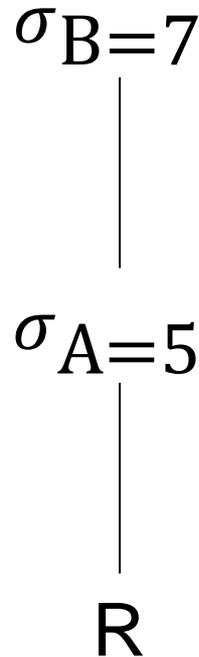
Three iterations.

isNull tested repeatedly

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```
for x in R //  $R.produce()$ 
if x.A=5 //  $\sigma_A.consume()$ 
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```

Simpler code. Better
instruction cache locality

Call-back

- For any non-commutative operator like hash-join, consume() must treat differently calls from left and right child
- Paper's solution (next)

[How to Architect a Query Compiler]

```
class HashJoin(left: Op, right: Op)
  (lkey: KeyFun)(rkey: KeyFun) extends Op {
  val hm = new HashMultiMap()
  var isLeft = true
  var parent = null
  def open() = { // Step 1
    left.parent = this; right.parent = this
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  def produce() = {
    isLeft = true; left.produce() // Step 2
    isLeft = false; right.produce() // Step 4
  }
  def consume(rec: Record) = {
    if (isLeft) // Step 3
      hm += (lkey(rec), rec)
    else // Step 5
      for (lr <- hm(rkey(rec)))
        parent.consume(merge(lr, rec))
  }
}
```

(a)

(b)

Figure 5: Hash join implementation in (a) Data-centric (b) Data-centric with callbacks model (LB2)

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(b)

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(b)

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Final Discussion

80s and 90s: cost dominated by I/O

- Interpretation simpler than compilation
- Volcano iterator model also simple

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Today: data in memory, cost dominated by CPU

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- Interpretation simpler than compilation
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Today: data in memory, cost dominated by CPU

- Compilation has significant advantage
- **Alternative**: vectorized processing
 - Next() returns batch of 1000 tuples
 - Interpreter as good as compiled code