

## How do we measure efficiency?

- platform independent, implementation-detail independent $\rightarrow$ ignore constant factors, use big O notation when we talk about running time.
- instance independent $\rightarrow$ worst-case analysis (sometimes average case analysis)
- of predictive value with respect to increasing input size, tells us how algorithm scales $\rightarrow$ want to measure rate of growth of $T(n)$ as function of $n$, the input size.

Asymptotic, worst - case analysis
Seek polynomial time algorithms

## Computational Tractability

As soon as an Analytic Engine exists, it will necessarily guide the future course of the science. Whenever any guide the future course of the science. Whenever any
result is sought by its aid, the question will arise - By what result is sought by its aid, the question will arise - By what
course of calculation can these results be arrived at by the course of calculation can these results be arrived a
machine in the shortest time? - Charles Babbage


Analytic Engine (schematic)

## Worst-Case Analysis

Worst case running time. Obtain bound on largest possible running time of algorithm on input of a given size N .

- Generally captures efficiency in practice.
- Draconian view, but hard to find effective alternative.

Average case running time. Obtain bound on running time of algorithm on random input as a function of input size N .

- Hard (or impossible) to accurately model real instances by random distributions.
- Algorithm tuned for a certain distribution may perform poorly on other inputs.


## Polynomial-Time

Brute force. For many non-trivial problems, there is a natural brute force search algorithm that checks every possible solution

- Typically takes $2^{N}$ time or worse for inputs of size $N$.
- Unacceptable in practice.

Desirable scaling property. When the input size doubles, the algorithm should only slow down by some constant factor $C$.

## There exists constants $c>0$ and $d>0$ such that on every

 input of size $N$, its running time is bounded by $\mathrm{c} \mathrm{N}^{\mathrm{d}}$ steps.
## Worst-Case Polynomial-Time

Def. An algorithm is efficient if its running time is polynomial.
Justification: It really works in practice!

- Although $6.02 \times 10^{23} \times \mathrm{N}^{20}$ is technically poly-time, it would be useless in practice.
- In practice, the poly-time algorithms that people develop almost always have low constants and low exponents.
- Breaking through the exponential barrier of brute force typically exposes some crucial structure of the problem.

Exceptions.

- Some poly-time algorithms do have high constants and/or exponents, and are useless in practice.
- Some exponential-time (or worse) algorithms are widely used because the worst-case instances seem to be rare.

| Why It Matters |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Table 2.1 The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds $10^{35}$ years, we simply record the algorithm as taking a very long time. |  |  |  |  |  |
|  | $n$ | $n \log _{2} n$ | $n^{2}$ | $n^{3}$ | $1.5{ }^{\text {F }}$ | $2^{n}$ | $n!$ |
| $n=10$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | 4 sec |
| $n=30$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | 18 min | $10^{13}$ years |
| $n=50$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | 11 min | 36 years | very long |
| $n=100$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | 1 sec | 12,892 years | $10^{17}$ years | very long |
| $n=1,000$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | 1 sec | 18 min | very long | very long | very long |
| $n=10,000$ | $<1 \mathrm{sec}$ | $<1 \mathrm{sec}$ | 2 min | 12 days | very long | very long | very long |
| $n=100,000$ | $<1 \mathrm{sec}$ | 2 sec | 3 hours | 32 years | very long | very long | very long |
| $n=1,000,000$ | 1 sec | 20 sec | 12 days | 31,710 years | very long | very long | very long |

## Moore's Law

Does Moore's Law provide disincentive to develop efficient (polynomial time) algorithms?

NO!!
Running time of alg Max input size $2 \times$ speedup $\quad 2{ }^{10} \times$ speedup in time $T$

Exponential algorithms make polynomially slow progress, while polynomial algorithms advance exponentially fast!

| Moore's Law |
| :--- |
| Does Moore's Law provide disincentive to develop efficient (polynomial <br> time) algorithms? <br> NO!! |
| Running time of alg Max input sizein time $T$$\quad 2 \times$ speedup $\quad 2{ }^{10 \times \text { speedup }}$ |
| Exponential algorithms make polynomially slow progress, while <br> polynomial algorithms advance exponentially fast! |

## Moore's Law

The prediction that transistor density and hence the speed of computers will double every 18 months or so.

- Based on observation of 1960-- 1965
- Has pretty much held for last 40 years

Does this provide disincentive to develop efficient (polynomial time) algorithms?

## Asymptotic Order of Growth

Upper bounds. $T(n)$ is $O(f(n))$ if there exist constants $c>0$ and $n_{0} \geq 0$ such that for all $n \geq n_{0}$ we have $T(n) \leq c \cdot f(n)$.

Lower bounds. $T(n)$ is $\Omega(f(n))$ if there exist constants $c>0$ and $n_{0} \geq 0$ such that for all $n \geq n_{0}$ we have $T(n) \geq c \cdot f(n)$.

Tight bounds. $T(n)$ is $\Theta(f(n))$ if $T(n)$ is both $O(f(n))$ and $\Omega(f(n))$.
Ex: $T(n)=32 n^{2}+17 n+32$.

- $T(n)$ is $O\left(n^{2}\right), O\left(n^{3}\right), \Omega\left(n^{2}\right), \Omega(n)$, and $\Theta\left(n^{2}\right)$.
- $T(n)$ is not $O(n), \Omega\left(n^{3}\right), \Theta(n)$, or $\Theta\left(n^{3}\right)$.

Grand challenge: Classify Problems According to Computational Requirements
Q. Which problems will we be able to solve in practice?

A working definition. [Cobham 1964, Edmonds 1965, Rabin 1966] Those with polynomial-time algorithms.

| Yes | Probably no |
| :---: | :---: |
| Shortest path | Longest path |
| Matching | 3D-matching |
| Min cut | Max cut |
| 2-SAT | 3-SAT |
| Planar 4-color | Planar 3-color |
| Bipartite vertex cover | Vertex cover |
| Primality testing | Factoring |

## Classify Problems

Desiderata. Classify problems according to those that can be solved in polynomial-time and those that cannot.

For any nice function $T(n)$
There are problems that require more than $T(n)$ time to solve.
Frustrating news. Huge number of fundamental problems have defied classification for decades.

NP-completeness: Show that these fundamental problems are
"computationally equivalent" and appear to be different manifestations of one really hard problem.

## Polynomial-Time Reduction

Desiderata'. Suppose we could solve $X$ in polynomial-time. What else could we solve in polynomial time?

Reduction. Problem X polynomial reduces to problem Y if arbitrary instances of problem $X$ can be solved using:

- Polynomial number of standard computational steps, plus
- Polynomial number of calls to oracle that solves problem $y$.

Notation. $\mathrm{X} \leq \mathrm{p} \mathrm{Y}$.

Remarks.

- We pay for time to write down instances sent to black box $\Rightarrow$ instances of Y must be of polynomial size.
- Note: Cook reducibility.
in contrast to Karp reductions


## Polynomial-Time Reduction

Purpose. Classify problems according to relative difficulty.

Design algorithms. If $X s_{p} Y$ and $Y$ can be solved in polynomial-time, then $X$ can also be solved in polynomial time

Establish intractability. If $X s_{p} Y$ and $X$ cannot be solved in polynomial-time, then Y cannot be solved in polynomial time.

Establish equivalence. If $X s_{p} Y$ and $Y s_{p} X$, we use notation $X \equiv \equiv_{p} Y$. $\uparrow$

| Reduction By Simple Equivalence |
| :--- |
| Basic reduction strategies. <br> : Reduction by simple equivalence. <br> - Reduction from special a cose to geral case. <br> Reduction by encoding with gadgets. |

## Independent Set

INDEPENDENT SET: Given a graph $G=(V, E)$ and an integer $k$, is there a subset of vertices $S \subseteq V$ such that $|S| \geq k$, and for each edge at most one of its endpoints is in S?

Ex. Is there an independent set of size $\geq 6$ ? Yes
Ex. Is there an independent set of size $\geq 7$ ? No.

independent set

Claim. VERTEX-COVER $\equiv_{\rho}$ INDEPENDENT-SET
Pf. We show $S$ is an independent set iff $V-S$ is a vertex cover subset of vertices $S \subseteq V$ such that $|S| \leq k$, and for each edge, at least one of its endpoints is in S?

Ex. Is there a vertex cover of size $\leq 4$ ? Yes
Ex. Is there a vertex cover of size $\leq 3$ ? No.


## Vertex Cover and Independent Set

Claim. VERTEX-COVER $\equiv_{\rho}$ INDEPENDENT-SET.
Pf. We show $S$ is an independent set iff $V-S$ is a vertex cover.
$\Rightarrow$

- Let $S$ be any independent set.
- Consider an arbitrary edge ( $u, v$ ).
- $S$ independent $\Rightarrow u \notin S$ or $v \notin S \Rightarrow u \in V-S$ or $v \in V-S$.
- Thus, V-S covers (u, v)
$\leftarrow$
- Let V - S be any vertex cover
- Consider two nodes $u \in S$ and $v \in S$.
- Observe that $(u, v) \notin E$ since $V-S$ is a vertex cover.
- Thus, no two nodes in $S$ are joined by an edge $\Rightarrow S$ independent set. .

Reduction from Special Case to General Case

Basic reduction strategies.

- Reduction by simple equivalence
- Reduction from special case to general case.
- Reduction by encoding with gadgets.


## Set Cover

SET COVER: Given a set $U$ of elements, a collection $S_{1}, S_{2}, \ldots, S_{m}$ of subsets of $U$, and an integer $k$, does there exist a collection of $\leq k$ of these sets whose union is equal to $U$ ?

Sample application.

- $m$ available pieces of software.
- Set $U$ of $n$ capabilities that we would like our system to have.
- The ith piece of software provides the set $S_{i} \subseteq U$ of capabilities.
- Goal: achieve all $n$ capabilities using fewest pieces of software.

Ex:

| $U=\{1,2,3,4,5,6,7\}$ |  |
| :--- | :--- |
| $\mathrm{k}=2$ |  |
| $\mathrm{~S}_{1}=\{3,7\}$ | $\mathrm{S}_{4}=\{2,4\}$ |
| $\mathrm{S}_{2}=\{3,4,5,6\}$ | $\mathrm{S}_{5}=\{5\}$ |
| $\mathrm{S}_{3}=\{1\}$ | $\mathrm{S}_{6}=\{1,2,6,7\}$ |

## Vertex Cover Reduces to Set Cover

Claim. VERTEX-COVER $\leq p$ SET-COVER
Pf. Given a VERTEX-COVER instance $G=(V, E)$, $k$, we construct a set cover instance whose size equals the size of the vertex cover instance.

Construction.

- Create SET-COVER instance:
$-k=k, U=E, S_{v}=\{e \in E: e$ incident to $v\}$
- Set-cover of size $\leq k$ iff vertex cover of size $\leq k$. -


Polynomial-Time Reduction

Basic strategies

- Reduction by simple equivalence.
- Reduction from special case to general case.
- Reduction by encoding with gadgets.

Reductions via "Gadgets"

Basic reduction strategies.

- Reduction by simple equivalence.
- Reduction from special case to general case.
- Reduction via "gadgets."

| Satisfiability |  |
| :---: | :---: |
| Literal: A Boolean variable or its negation. | $x_{i}$ or $\bar{x}_{i}$ |
| Clause: A disjunction of literals. | $C_{j}=x_{1} \vee \overline{x_{2}} \vee x_{3}$ |
| Conjunctive normal form: A propositional formula $\Phi$ that is the conjunction of clauses. | $\Phi=C_{1} \wedge C_{2} \wedge C_{3} \wedge C_{4}$ |
| 3-SAT: SAT where each clause contains exactly 3 literals. each corresponds to a different variable |  |
| $\mathrm{Ex}:\left(\bar{x}_{1} \vee x_{2} \vee x_{3}\right) \wedge\left(x_{1} \vee \bar{x}_{2} \vee x_{3}\right) \wedge\left(x_{2} \vee x_{3}\right) \wedge\left(\bar{x}_{1} \vee \overline{x_{2}} \vee \bar{x}_{3}\right)$ Yes: $x_{1}=$ true, $x_{2}=$ true $x_{3}=$ false. |  |

## 3 Satisfiability Reduces to Independent Set

Claim. 3-SAT $\leq p$ INDEPENDENT-SET.
Pf. Given an instance $\Phi$ of 3-SAT, we construct an instance ( $G, k$ ) of INDEPENDENT-SET that has an independent set of size $k$ iff $\Phi$ is satisfiable.

Construction.

- G contains 3 vertices for each clause, one for each literal.
- Connect 3 literals in a clause in a triangle.
- Connect literal to each of its negations.

G

$\mathrm{k}=3 \quad \Phi=\left(\bar{x}_{1} \vee x_{2} \vee x_{3}\right) \wedge\left(x_{1} \vee \bar{x}_{2} \vee x_{3}\right) \wedge\left(\overline{x_{1}} \vee x_{2} \vee x_{4}\right)$

Claim. $G$ contains independent set of size $k=|\Phi|$ iff $\Phi$ is satisfiable.
Pf. $\Rightarrow$ Let $S$ be independent set of size $k$.

- S must contain exactly one vertex in each triangle.
- Set these literals to true. $\leftarrow$ and any other variables in a consistent way
- Truth assignment is consistent and all clauses are satisfied.

Pf $\Leftarrow$ Given satisfying assignment, select one true literal from each triangle. This is an independent set of size $k$. -

G


## Review

Basic reduction strategies

- Simple equivalence: INDEPENDENT-SET $\equiv_{p}$ VERTEX-COVER.
- Special case to general case: VERTEX-COVER $\leq p$ SET-COVER.
- Encoding with gadgets: $3-$ SAT $\leq p$ INDEPENDENT-SET.

Transitivity. If $X s_{p} Y$ and $Y s_{p} Z$, then $X s_{p} Z$.
Pf idea. Compose the two algorithms
Ex: 3 -SAT $\leq p$ INDEPENDENT-SET $\leq p$ VERTEX-COVER $\leq p$ SET-COVER.

## Self-Reducibility

Decision problem. Does there exist a vertex cover of size $\leq k$ ? search problem. Find vertex cover of minimum cardinality

Self-reducibility. Search problem $\leq p$ decision version.

- Applies to all (NP-complete) problems we discuss.
- Justifies our focus on decision problems.

Ex: to find min cardinality vertex cover.

- (Binary) search for cardinality $k^{*}$ of min vertex cover.
- Find a vertex $v$ such that $G-\{v\}$ has a vertex cover of size $\leq k^{*}-1$. any vertex in any min vertex cover will have this property
Include $v$ in the vertex cover.
- Recursively find a min vertex cover in $G-\{v\}$.
$\stackrel{\uparrow}{\text { delete } v \text { and all incident edges }}$

Definition of NP

## Decision Problems

Decision problem.

- $X$ is a set of strings (a language)
- Instance: string s.
- Algorithm $A$ solves problem $X: A(s)=$ yes iff $s \in X$.

Polynomial time. Algorithm A runs in poly-time if for every string s, $A(s)$ terminates in at most $p(|s|)$ "steps", where $p(\cdot)$ is some polynomial $\underset{\text { length of } s}{\uparrow}$

PRIMES: $X=\{2,3,5,7,11,13,17,23,29,31,37, \ldots$. Algorithm. [Agrawal-Kayal-Saxena, 2002] $p(|s|)=|s|^{8}$.

## NP

Certification algorithm intuition.

- Certifier views things from "managerial" viewpoint.
- Certifier doesn't determine whether $s \in X$ on its own rather, it checks a proposed proof $\dagger$ that $s \in X$

Def. Algorithm $C(s, t)$ is a certifier for problem $X$ if for every string s, $s \in X$ iff there exists a string $\dagger$ such that $C(s, t)=$ yes.
"certificate" or "witness"

NP. Decision problems for which there exists a poly-time certifier.

$$
\uparrow
$$

$$
\begin{aligned}
& c(s, t) \text { is a poly-time algorithm and } \\
& |t| \leq p(|s|) \text { for some polynomial } p(\cdot) \text {. }
\end{aligned}
$$

Remark. NP stands for nondeterministic polynomial-time.

## Definition of $P$

P. Decision problems for which there is a poly-time algorithm.

| Problem | Description | Algorithm | Yes | No |
| :---: | :---: | :---: | :---: | :---: |
| MULTIPLE | Is $x$ a multiple of $y$ ? | Grade school division | 51, 17 | 51, 16 |
| RELPRIME | Are $x$ and $y$ relatively prime? | Euclid (300 BCE) | 34, 39 | 34, 51 |
| PRIMES | Is $\times$ prime? | AKS (2002) | 53 | 51 |
| EDIT- DISTANCE | Is the edit distance between $x$ and $y$ less than 5 ? | $\begin{gathered} \text { Dynamic } \\ \text { programming } \end{gathered}$ | niether <br> neithe | $\begin{aligned} & \text { acgggt } \\ & \text { ttttta } \end{aligned}$ |
| LSOLVE | Is there a vector $x$ that satisfies $A x=b$ ? | Gauss-Edmonds elimination |  | $\left[\left.\begin{array}{lll} 1 & 0 & 0 \\ 1 & 1 \\ 0 & 1 & 1 \end{array} \right\rvert\,\right.$ |

Certifiers and Certificates: Composite

COMPOSITES. Given an integer $s$, is $s$ composite?
Certificate. A nontrivial factor $t$ of $s$. Note that such a certificate exists iff $s$ is composite. Moreover $|\dagger| \leq|s|$.

Certifier.

```
boolean C(s, t) {
    if ( }t\leq1\mathrm{ or }t\geqs
```

            return false
            else if ( \(s\) is a multiple of \(t\) )
            return tru
            ret
            return false
    Instance. $s=437,669$
Certificate. $t=541$ or 809 . $\longleftarrow 437,669=541 \times 809$
Conclusion. COMPOSITES is in NP.

## Certifiers and Certificates: Hamiltonian Cycle

HAM-CYCLE. Given an undirected graph $G=(V, E)$, does there exist a simple cycle $C$ that visits every node?

Certificate. A permutation of the $n$ nodes.
Certifier. Check that the permutation contains each node in $V$ exactly once, and that there is an edge between each pair of adjacent nodes in the permutation.

Conclusion. HAM-CYCLE is in NP


## P, NP, EXP

P. Decision problems for which there is a poly-time algorithm.

EXP. Decision problems for which there is an exponential-time algorithm.
NP. Decision problems for which there is a poly-time certifier.
Claim. $\mathrm{P} \subseteq \mathrm{NP}$.
Pf. Consider any problem $X$ in $P$.

- By definition, there exists a poly-time algorithm $A(s)$ that solves $X$.
- Certificate: $\dagger=\varepsilon$, certifier $C(s, \dagger)=A(s)$. -

Claim. NP $\subseteq$ EXP
Pf. Consider any problem $X$ in NP

- By definition, there exists a poly-time certifier $C(s, t)$ for $X$
- To solve input $s$, run $C(s, t)$ on all strings $t$ with $|\dagger| \leq p(|s|)$.
- Return yes, if $C(s, t)$ returns yes for any of these. -


## The Main Question: P Versus NP

Does P = NP? [Cook 1971, Edmonds, Levin, Yablonski, Gödel]

- Is the decision problem as easy as the certification problem? . Clay $\$ 1$ million prize.

would break RSA cryptography
(and potentially collapse $\stackrel{\text { (and poten }}{\text { economy) }}$
If yes: Efficient algorithms for 3-COLOR, TSP, FACTOR, SAT, .. If no: No efficient algorithms possible for 3-COLOR, TSP, SAT, ...

Consensus opinion on $P=N P$ ? Probably no.


## Looking for a Job?

Some writers for the Simpsons and Futurama.

- J. Steward Burns. M.S. in mathematics, Berkeley, 1993.
- David X. Cohen. M.S. in computer science, Berkeley, 1992
- Al Jean. B.S. in mathematics, Harvard, 1981.
- Ken Keeler. Ph.D. in applied mathematics, Harvard, 1990.
- Jeff Westbrook. Ph.D. in computer science, Princeton, 1989.

Polynomial Transformation

Def. Problem $X$ polynomial reduces (Cook) to problem $Y$ if arbitrary instances of problem $X$ can be solved using:

- Polynomial number of standard computational steps, plus
- Polynomial number of calls to oracle that solves problem $Y$.

Def. Problem $X$ polynomial transforms (Karp) to problem $Y$ if given any input $x$ to $X$, we can construct an input $y$ such that $x$ is a yes instance of $X$ iff $y$ is a yes instance of $Y$.
$\qquad$

Note. Polynomial transformation is polynomial reduction with just one call to oracle for Y , exactly at the end of the algorithm for X . Almost all previous reductions were of this form.

Open question. Are these two concepts the same?
$\uparrow$
we abuse notation $\leq$ and blur distinction

## NP-Complete

NP-complete. A problem $Y$ in NP with the property that for every problem $X$ in $N P, X \leq s_{p}$

Theorem. Suppose Y is an NP-complete problem. Then Y is solvable in poly-time iff $P=N P$.
Pf. $\Leftarrow$ If $P=N P$ then $Y$ can be solved in poly-time since $Y$ is in NP
Pf. $\Rightarrow$ Suppose $Y$ can be solved in poly-time

- Let $X$ be any problem in NP. Since $X s_{p} Y$, we can solve $X$ in
poly-time. This implies NP $\subseteq P$
- We already know $P \subseteq N P$. Thus $P=N P$. -

Fundamental question. Do there exist "natural" NP-complete problems?

| The "First" NP-Complete Problem |
| :--- |
| Theorem. SAT is NP-complete. [Cook 1971, Levin 1973] |
|  |
|  |

Circuit Satisfiability
CIRCUIT-SAT. Given a combinational circuit built out of AND, OR, and NOT gates, is there a way to set the circuit inputs so that the output is 1 ?
yes: 101


## The "First" NP-Complete Problem

Theorem. CIRCUIT-SAT is NP-complete. [Cook 1971, Levin 1973]
Pf. (sketch)

- Any algorithm that takes a fixed number of bits $n$ as input and produces a yes/no answer can be represented by such a circuit.
Moreover, if algorithm takes poly-time, then circuit is of poly-size. sketchy part of proof: fixing the number of bits is inportant,
and reflects basic distinction between algorithms and circuits
- Consider some problem $X$ in NP. It has a poly-time certifier $C(s, t)$. To determine whether $s$ is in $X$, need to know if there exists a certificate $t$ of length $p(|s|)$ such that $C(s, t)=$ yes.
- View $C(s, \dagger)$ as an algorithm on $|s|+p(|s|)$ bits (input $s$, certificate $\dagger$ ) and convert it into a poly-size circuit $K$.
- first |s| bits are hard-coded with s
- remaining $p(|s|)$ bits represent bits of
- Circuit $K$ is satisfiable iff $C(s, \dagger)=$ yes.

Example
Ex. Construction below creates a circuit $K$ whose inputs can be set so that $K$ outputs true iff graph $G$ has an independent set of size 2.


## Establishing NP-Completeness

Remark. Once we establish first "natural" NP-complete problem, others fall like dominoes.

Recipe to establish NP-completeness of problem Y .

- Step 1. Show that $Y$ is in NP.
- Step 2. Choose an NP-complete problem X.
- Step 3. Prove that $X s_{p} Y$.

Justification. If $X$ is an NP-complete problem, and $Y$ is a problem in NP with the property that $X \leq p y$ then $Y$ is $N P$-complete.

Pf. Let $W$ be any problem in $N P$. Then $W s_{p} X s_{p} Y$

- By transitivity, $\mathrm{W} \leq \mathrm{s} \mathrm{Y}$.
- By transitivity, $W \leq p Y$. $\begin{aligned} & \text { by definition of by assumption } \\ & \text { NP-complete }\end{aligned}$ Hence $Y$ is $N P$-complete. -


## 3-SAT is NP-Complete

Theorem. 3-SAT is NP-complete.
Pf. Suffices to show that CIRCUIT-SAT $\leq p$ 3-SAT since 3-SAT is in NP.

- Let K be any circuit.
- Create a 3-SAT variable $x_{i}$ for each circuit element $i$.
- Make circuit compute correct values at each node:
$-\mathrm{x}_{2}=-\mathrm{x}_{3} \quad \Rightarrow$ add 2 clauses: $x_{2} \vee x_{3}, \overline{x_{2}} \vee \overline{x_{3}}$
$-x_{1}=x_{4} \vee x_{5} \Rightarrow$ add 3 clauses: $x_{1} \vee \overline{x_{4}}, x_{1} \vee \bar{x}_{5}, \overline{x_{1}} \vee x_{4} \vee x_{5}$

$$
-x_{0}=x_{1} \wedge x_{2} \Rightarrow \text { add } 3 \text { clauses: } \quad \bar{x}_{0} \vee x_{1}, \overline{x_{0}} \vee x_{2}, x_{0} \vee \bar{x}_{1} \vee \bar{x}_{2}
$$

- Hard-coded input values and output value.
$-x_{5}=0 \Rightarrow$ add 1 clause: $\overline{x_{5}}$
- $x_{0}=1 \Rightarrow$ add 1 clause: $x_{0}$
- Final step: turn clauses of length < 3 into clauses of length exactly 3. -




## Some NP-Complete Problems

Six basic genres of NP-complete problems and paradigmatic examples.

- Packing problems: SET-PACKING, INDEPENDENT SET.
- Covering problems: SET-COVER, VERTEX-COVER.
- Constraint satisfaction problems: SAT, 3-SAT.
- Sequencing problems: HAMILTONIAN-CYCLE, TSP.
- Partitioning problems: 3D-MATCHING 3-COLOR.
- Numerical problems: SUBSET-SUM, KNAPSACK.

Practice. Most NP problems are either known to be in P or NP-complete.
Notable exceptions. Factoring, graph isomorphism.

## Extent and Impact of NP-Completeness

Extent of NP-completeness. [Papadimitriou 1995]

- Prime intellectual export of CS to other disciplines.
- 6,000 citations per year (title, abstract, keywords).
- more than "compiler", "operating system", "database"
- Broad applicability and classification power.
- "Captures vast domains of computational, scientific, mathematical endeavors, and seems to roughly delimit what mathematicians and scientists had been aspiring to compute feasibly."

NP-completeness can guide scientific inquiry.

- 1926: Ising introduces simple model for phase transitions.
- 1944: Onsager solves 2D case in tour de force.
- 19xx: Feynman and other top minds seek 3D solution.
- 2000: Istrail proves 3D problem NP-complete.


## More Hard Computational Problems

Aerospace engineering: optimal mesh partitioning for finite elements. Biology: protein folding.
Chemical engineering: heat exchanger network synthesis.
Civil engineering: equilibrium of urban traffic flow.
Economics: computation of arbitrage in financial markets with friction. Electrical engineering: VLSI layout
Environmental engineering: optimal placement of contaminant sensors.
Financial engineering: find minimum risk portfolio of given return. Game theory: find Nash equilibrium that maximizes social welfare Genomics: phylogeny reconstruction.
Mechanical engineering: structure of turbulence in sheared flows. Medicine: reconstructing 3-D shape from biplane angiocardiogram. Operations research: optimal resource allocation.
Physics: partition function of 3-D Ising model in statistical mechanics.
Politics: Shapley-Shubik voting power.
Pop culture: Minesweeper consistency.
Statistics: optimal experimental design.

