# CSEP 521: Applied Algorithms Lecture 8 Cuckoo Hashing 

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Announcements

## Finishing up Bloom Filters

- Basic idea - k -hash functions
- Bits are set at $h_{1}(x), h_{2}(x), \ldots, h_{k}(x)$
- Lookup is done by reading $h_{1}(x), h_{2}(x), \ldots, h_{k}(x)$
- False positives are possible, false negatives are not
- Goal is to have a small number of bits per value
- Example: set of malicious URLs

Bloom Filter Example ( $k=3$ )


## Some Bloom Filter Math

| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

- Table size m, data items n
- After $n$ members of $S$ have been hashed, compute the probability of specific element being zero

$$
\left(1-\frac{1}{m}\right)^{k n} \simeq e^{-k n / m}=p
$$

- False probability rate

$$
(1-p)^{k}
$$

## False positive rate vs. k

$$
f^{\prime}=\left(1-p^{\prime}\right)^{k}=\left(1-\left(1-\frac{1}{m}\right)^{k n}\right)^{k}
$$

Find optimal with calculus

$$
f=(1-p)^{k}=\left(1-e^{-k n / m}\right)^{k}
$$

Number of bits per member $\frac{m}{n}=8$


$$
\begin{aligned}
& \text { Rewrite } f \text { as } \\
& f=\exp \left(\ln \left(1-e^{-k n / m}\right)^{k}\right)=\exp \left(k \ln \left(1-e^{-k n / m}\right)\right) \\
& \text { Let } g=k \ln \left(1-e^{-k n / m}\right) \\
& \text { Minimizing } g \text { will minimize } f=\exp (g) \\
& \frac{\partial g}{\partial k}=\ln \left(1-e^{-k n / m}\right)+\frac{k}{1-e^{-k n / m}} \frac{\partial\left(1-e^{-k n / m}\right)}{\partial k} \\
& \quad=\ln \left(1-e^{-k n / m}\right)+\frac{k}{1-e^{-k n / m}} \frac{n}{m} e^{-k n / m}=-\ln (2)+\ln (2)=0
\end{aligned}
$$

if we plug $k=(m / n) \ln 2$ which is optimal (It is in fact a global optimum)

## Bloom filter deletes

-Why do Bloom filters fail for deletes?

- Counting Bloom Filters
- Each cell is a counter (4 bits considered sufficient)
- Insert, add one to each target cell
- Delete, subtract one from each target cell
- Find, test if target cells non-zero
- On overflow, leave counter at maximum value


## Bloom Filter Deletes ( $\mathrm{k}=3$ )



## Cuckoo hashing



A table based hashing scheme that is competitive with other table based hashing schemes and has guaranteed constant find and delete runtimes

## Cuckoo Hashing claims

- Simple hashing without errors
- Lookups are worst case O(1) time
- Deletions are worst case O(1) time
- Insertions are expected O(1) time
- Insertion time is O(1) with good probability
- Plausibly suitable for real world application
- Storage requirements and implementation complexity in line with conventional hash tables
- How do these compare with conventional hashing?


## Cuckoos

- Brood parasitism
- Laying eggs in other birds nests
- Cuckoo hashing
- On collision, move to another cell
- Generate a series of moves of data items


## Data structure

- Two tables $A_{1}$ and $A_{2}$ of size $m$
- Two hash functions $\mathrm{h}_{1}, \mathrm{~h}_{2}: \mathrm{U} \rightarrow$ [1..m]
- When an element $x$ is inserted, if either $A_{1}\left[h_{1}(x)\right]$ or $A_{2}\left[h_{2}(x)\right]$ is empty, store $x$ there.



## Bumping

- When an element x is inserted, if either $A_{1}\left[h_{1}(x)\right]$ or $A_{2}\left[h_{2}(x)\right]$ is empty, store $x$ there.
- If both locations are occupied, then place $x$ in $\mathrm{A}_{1}\left[\mathrm{~h}_{1}(\mathrm{x})\right]$ and bump the current occupant.
- When an element $z$ is bumped
- from $A_{1}\left[h_{1}(z)\right]$ store it in $A_{2}\left[h_{2}(z)\right]$
- from $A_{2}\left[h_{2}(z)\right]$ store it in $A_{1}\left[h_{1}(z)\right]$


$$
h_{1}(F)=6, \quad h_{2}(F)=4
$$

## Time outs

- After $6 \log N$ consecutive bumps, stop the process and build a fresh hash table using new random hash functions $\mathrm{h}_{1}$ and $\mathrm{h}_{2}$
- Might be a good time to grow the table as well


$$
h_{1}(K)=6, \quad h_{2}(K)=2
$$

## Cycles

- Creating a cycle is okay
- Adding an edge to a cycle is not okay
- The problem with a cycle of length k with a cross edge is that we are trying to put $k+1$ items in $k$ cells
- Detecting cycles can be done by the timeout

$$
\begin{array}{ll}
h_{1}(H)=6, & h_{2}(H)=2 \\
h_{1}(I)=2, & h_{2}(I)=4
\end{array}
$$

## Theorem

## Expected time for insert is $\mathrm{O}(1)$ if $\mathrm{m} \geq 2 \mathrm{n}$

- Okay, 25\% memory utilization, but can actually get about 50\%


Bucket Size 1

- Engineering optimizations
- 3 hash functions instead of two
- Multiple items stored in a bucket
- These get $90 \%$ utilization in simulation, but tight analysis is open



## To show O(1) expected time

- We need to show
- Expected traversal time is $\mathrm{O}(1)$ when we don't time out
- Probability of timing out is $O(1 / n)$
- This is done with the theory for random graphs and is really, really hairy
- In practice, failing items can be set aside in a "stash" instead of rehashing
- Experiments show that the number of elements stashed is tiny


## Bad Case

Show this is really rare


- Vertices $\mathrm{V},|\mathrm{V}|=\mathrm{n}, \mathrm{V}=\{\mathrm{a}, \mathrm{b}, \mathrm{c}\}\}$
- Edges $\mathrm{E},|\mathrm{E}|=\mathrm{m}, \mathrm{E}=\{\{\mathrm{a}, \mathrm{b}\},\{b, c\}\}$
- $\mathrm{m} \leq \mathrm{n}(\mathrm{n}-1) / 2$
- Key concepts
- Vertex degree
- Isolated vertex
- Path
- Connectivity
- Connected components


## Random Graphs

- $\mathrm{G}^{\mathrm{n}, \mathrm{m}}$ : Set of all undirected graphs with $n$ vertices and $m$ edges
- Select a graph uniformly from $\mathrm{G}^{\mathrm{n}, \mathrm{m}}$
- Average degree is $2 m / n$





## Edge Density

- Consider the number of edges as a function of the number of vertices
- Low density graphs, $m=O(n)$, degree $O(1)$
- Medium density, $m=O(n \log n)$, degree $O(\log n)$
- High density, $m=O\left(n^{1+\varepsilon}\right)$, degree $O\left(n^{\varepsilon}\right)$


## Properties of Random Graphs

- Random graphs are surprisingly regular
- Edge degree is close to the average degree
- Dense graphs will be connected and have a Hamiltonian circuit (WHP)
- Low diameter


## Evolution of Random Graphs

- Consider the process of building a random graph one edge at a time
- Look at how structures of the graph evolve
- Vertices start being connected, then start to form small components
- At a certain point, the components start to coalesce into a "giant" component with most of the vertices
- Finally, all of the vertices become connected

$N=300, M=25$

$N=300, M=50$

$N=300, M=75$

$N=300, M=100$



$$
N=300, M=125
$$

$$
N=300, M=150
$$


$N=300, M=200$

$N=300, M=300$

$N=300, M=600$
$N=300, M=900$

## Threshold properties

- Point at which properties are very likely to hold
- Giant component
- All vertices have degree at least one
- Graph connected
- Graph has a Hamiltonian path
- Giant component forms at $m=n / 2$
- Connectivity occurs at $m=(1 / 2) n \log n$


## Results for Cuckoo Hashing Low edge density $\mathrm{m} \leq \mathrm{n} / 2$

- Let $|S|=K$, use two tables of size 2 K each
- Construct a graph where the edges are $\left(h_{1}\left(x_{i}\right), h_{2}\left(x_{i}\right)\right)$ for $i=1$.. $K$
- This is a random graph on 4 K vertices with K edges


## If $m \leq c n$, for $c<1 / 2$ the components are trees of size $O(\log n)$

- Mathematical proof based on probabilities of groups of vertices being connected
- If graph density is sparse, the probability of having enough edges in a set of vertices of size $\alpha \log n$ for it to be connected is small
- Cycles are unlikely to form
- Intuition is that in early stage of growth, most vertices are isolated, so random edges are unlikely to connect components


## If components are small, then there is little chance of creating a bad cycle for hashing

- Bad structure is a cycle with an additional edge coming into the cycle
- Random pairs of vertices (from hash pairs) are unlikely to form this structure until at least $1 / 4$ cells are used


## Cuckoo Hashing summary

- Table based hashing using two hash functions
- Collision resolution done at insert time with cascades of swaps
- Timeout at $\mathrm{O}(\log \mathrm{n})$ steps
- Expected O(1) time insert
- Finds are O(1) worst case
- Delete are O(1) worst case and easy to do
- Relatively low hash table utilization
- Practical improvements of utilization based on three has functions
- Theoretical analysis based on theory of random graphs

