

CSEP 521
Applied Algorithms
Spring 2005

Statistical Lossless Data Compression

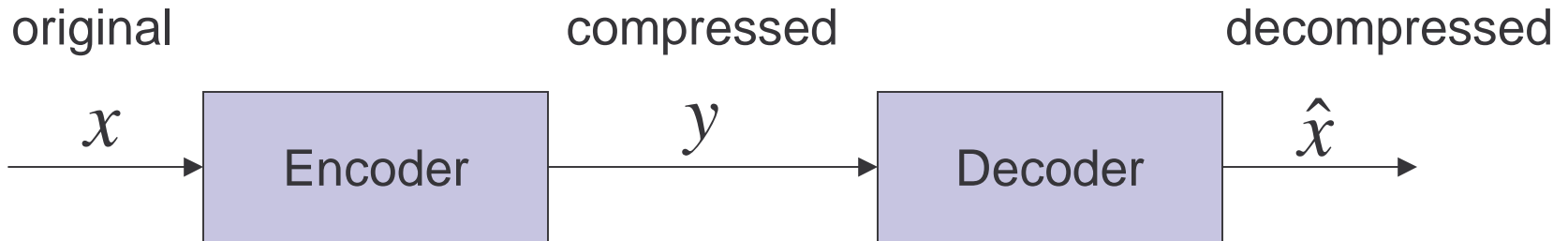
Outline for Tonight

- Basic Concepts in Data Compression
- Entropy
- Prefix codes
- Huffman Coding
- Arithmetic Coding
- Run Length Coding (Golomb Code)

Reading

- Huffman Coding: CLRS 385-392
- Other sources can be found:
 - [Data Compression: The Complete Reference, 3rd Edition](#) by David Salomon
 - Introduction to Data Compression by Khalid Sayood.

Basic Data Compression Concepts



- **Lossless** compression $x = \hat{x}$
 - Also called entropy coding, reversible coding.
- **Lossy** compression $x \neq \hat{x}$
 - Also called irreversible coding.
- **Compression ratio** = $|x|/|y|$
 - $|x|$ is number of bits in x .

Why Compress

- **Conserve storage space**
- **Reduce time for transmission**
 - Faster to encode, send, then decode than to send the original
- **Progressive transmission**
 - Some compression techniques allow us to send the most important bits first so we can get a low resolution version of some data before getting the high fidelity version
- **Reduce computation**
 - Use less data to achieve an approximate answer

Braille

- System to read text by feeling raised dots on paper (or on electronic displays). Invented in 1820s by Louis Braille, a French blind man.

a 

b 

c 

z 

and 

the 

with 

mother 

th 

ch 

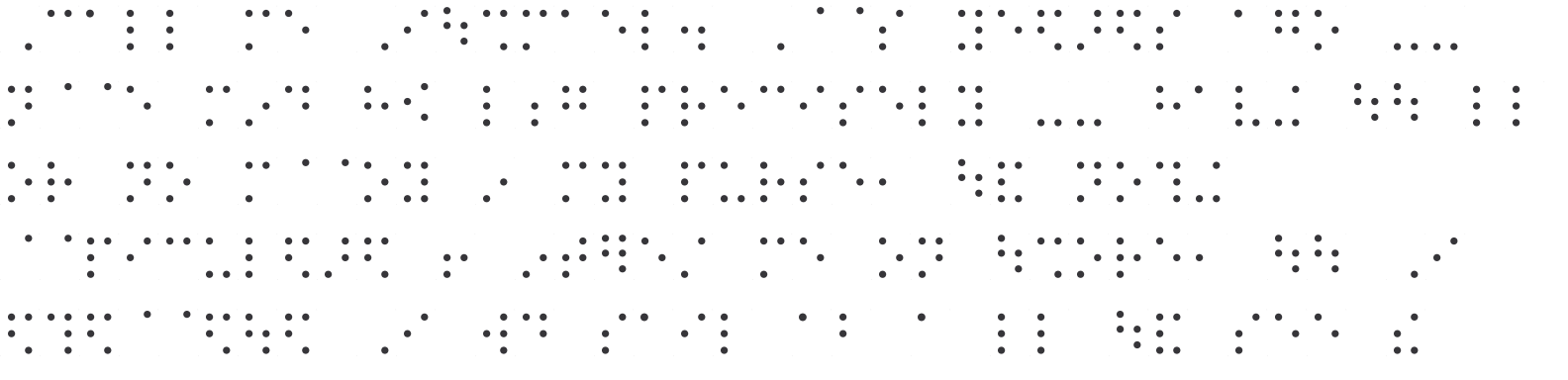
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Braille Example

Clear text:

Call me Ishmael. Some years ago -- never mind how long precisely -- having \ little or no money in my purse, and nothing particular to interest me on shore, \ I thought I would sail about a little and see the watery part of the world. (238 characters)

Grade 2 Braille:



(203 characters) 238/203 = 1.17

Lossless Compression

- Data is not lost - the original is really needed.
 - text compression
 - compression of computer binary files
- Compression ratio typically no better than 4:1 for lossless compression on many kinds of files.
- Statistical Techniques
 - Huffman coding
 - Arithmetic coding
 - Golomb coding
- Dictionary techniques
 - LZW, LZ77
 - Sequitur
 - Burrows-Wheeler Method
- Standards - Morse code, Braille, Unix compress, gzip, zip, bzip, GIF, JBIG, Lossless JPEG

Lossy Compression

- Data is lost, but not too much.
 - audio
 - video
 - still images, medical images, photographs
- Compression ratios of 10:1 often yield quite high fidelity results.
- Major techniques include
 - Vector Quantization
 - Wavelets
 - Block transforms
 - Standards - JPEG, JPEG2000, MPEG, H.264

Why is Data Compression Possible

- Most data from nature has **redundancy**
 - There is more data than the actual information contained in the data.
 - Squeezing out the excess data amounts to compression.
 - However, unsqueezing is necessary to be able to figure out what the data means.
- **Information theory** is needed to understand the limits of compression and give clues on how to compress well.

What is Information

- Analog data
 - Also called continuous data
 - Represented by real numbers (or complex numbers)
- Digital data
 - Finite set of symbols $\{a_1, a_2, \dots, a_m\}$
 - All data represented as sequences (strings) in the symbol set.
 - Example: $\{a,b,c,d,r\}$ abracadabra
 - Digital data can be an approximation to analog data

Symbols

- Roman alphabet plus punctuation
- ASCII - 256 symbols
- Binary - $\{0,1\}$
 - 0 and 1 are called bits
 - All digital information can be represented efficiently in binary
 - $\{a,b,c,d\}$ fixed length representation

symbol	a	b	c	d
binary	00	01	10	11

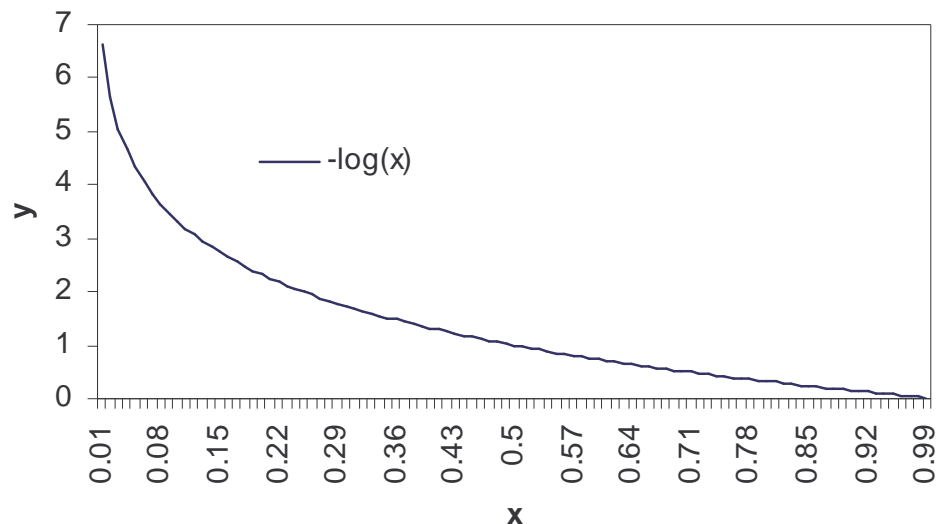
- 2 bits per symbol

Information Theory

- Developed by Shannon in the 1940's and 50's
- Attempts to explain the limits of communication using probability theory.
- Example: Suppose English text is being sent
 - It is much more likely to receive an “e” than a “z”.
 - In some sense “z” has more information than “e”.

First-order Information

- Suppose we are given symbols $\{a_1, a_2, \dots, a_m\}$.
- $P(a_i)$ = probability of symbol a_i occurring in the absence of any other information.
 - $P(a_1) + P(a_2) + \dots + P(a_m) = 1$
- $\text{inf}(a_i) = \log_2(1/P(a_i))$ bits is the information of a_i in bits.



Example

- {a, b, c} with $P(a) = 1/8$, $P(b) = 1/4$, $P(c) = 5/8$
 - $\text{inf}(a) = \log_2(8) = 3$
 - $\text{inf}(b) = \log_2(4) = 2$
 - $\text{inf}(c) = \log_2(8/5) = .678$
- Receiving an “a” has more information than receiving a “b” or “c”.

First Order Entropy

- The first order entropy is defined for a probability distribution over symbols $\{a_1, a_2, \dots, a_m\}$.

$$H = \sum_{i=1}^m P(a_i) \log_2 \left(\frac{1}{P(a_i)} \right)$$

- H is the average number of bits required to code up a symbol, given all we know is the probability distribution of the symbols.
- H is the Shannon lower bound on the average number of bits to code a symbol in this “source model”.
- Stronger models of entropy include context.

Entropy Examples

- {a, b, c} with a 1/8, b 1/4, c 5/8.
 - $H = 1/8 * 3 + 1/4 * 2 + 5/8 * .678 = 1.3$ bits/symbol
- {a, b, c} with a 1/3, b 1/3, c 1/3. (worst case)
 - $H = 3 * (1/3) * \log_2(3) = 1.6$ bits/symbol
- Note that a standard code takes 2 bits per symbol

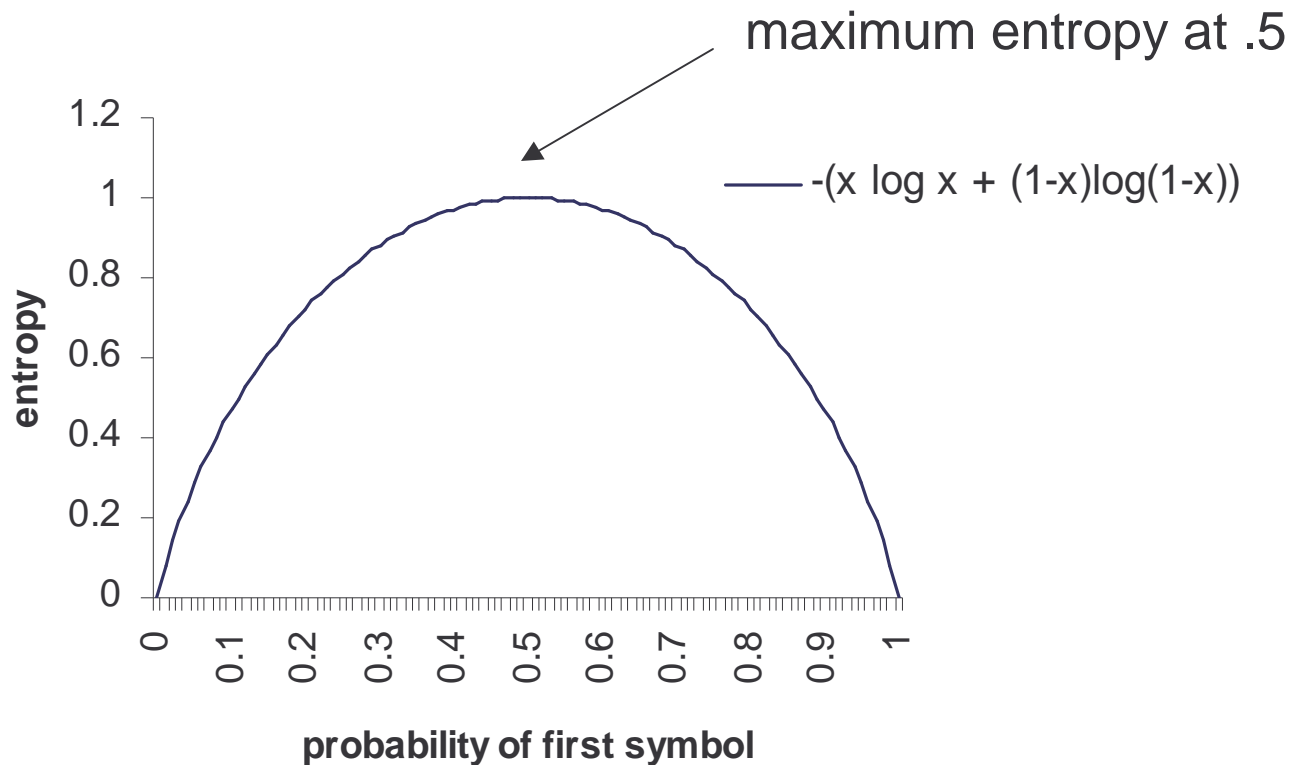
symbol	a	b	c
binary code	00	01	10

An Extreme Case

- $\{a, b, c\}$ with $a = 1, b = 0, c = 0$
 - $H = ?$

Entropy Curve

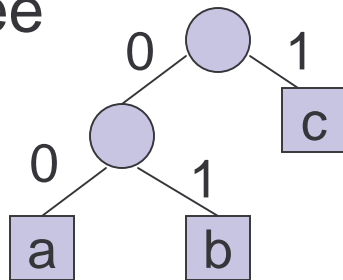
- Suppose we have two symbols with probabilities x and $1-x$, respectively.



A Simple Prefix Code

- {a, b, c} with a 1/8, b 1/4, c 5/8.
- A **prefix code** is defined by a binary tree
- **Prefix code property**
 - no output is a prefix of another

binary tree



input output

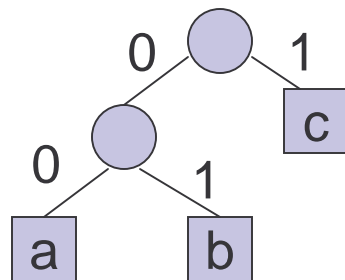
a	00
b	01
c	1

code

ccabccbccc

1 1 00 01 1 1 01 1 1 1

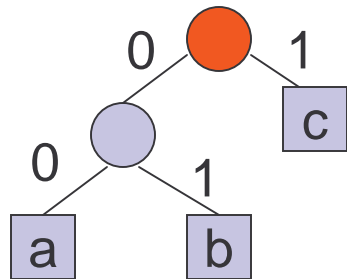
Decoding a Prefix Code



repeat
start at root of tree
repeat
if read bit = 1 then go right
else go left
until node is a leaf
report leaf
until end of the code

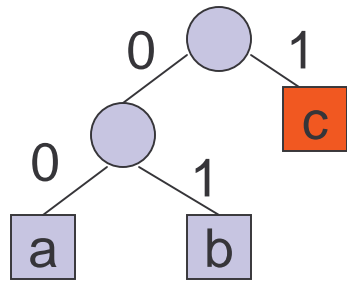
11000111100

Decoding a Prefix Code



11000111100

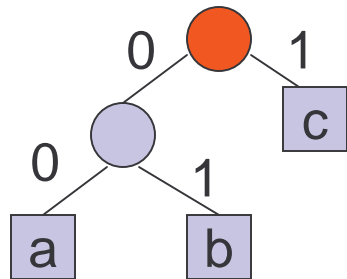
Decoding a Prefix Code



11000111100

c

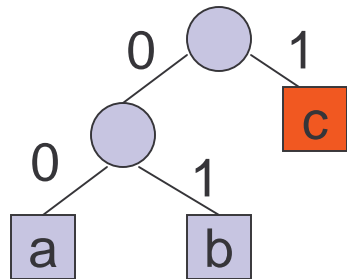
Decoding a Prefix Code



11000111100

c

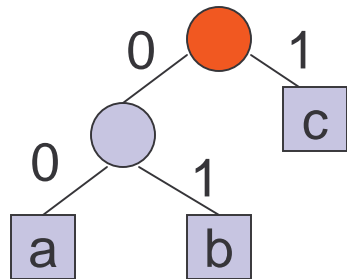
Decoding a Prefix Code



11000111100

cc

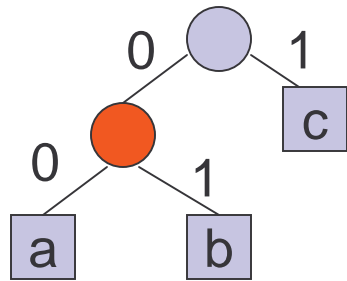
Decoding a Prefix Code



11000111100

cc

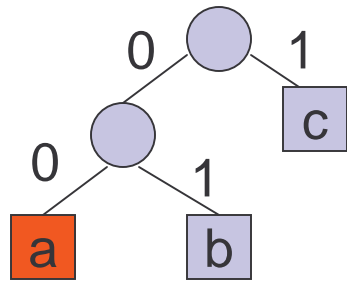
Decoding a Prefix Code



11000111100

cc

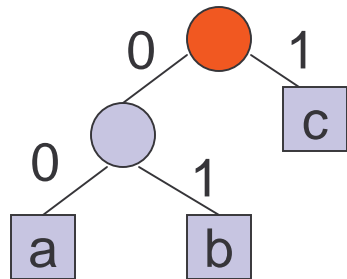
Decoding a Prefix Code



11000111100

cca

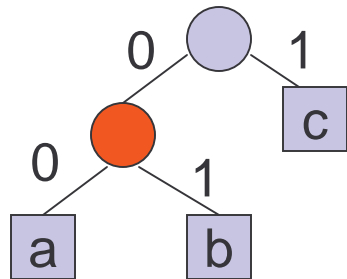
Decoding a Prefix Code



11000111100

cca

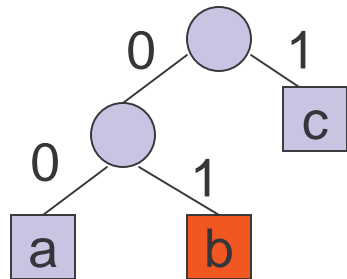
Decoding a Prefix Code



11000111100

cca

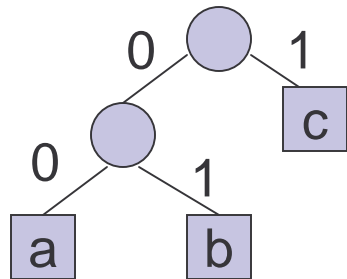
Decoding a Prefix Code



11000111100

ccab

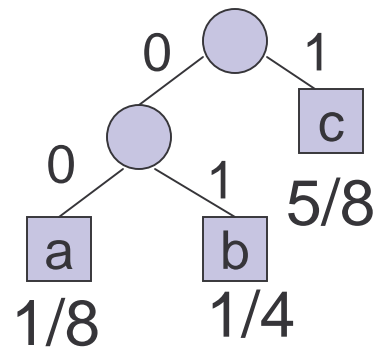
Decoding a Prefix Code



1100011100

ccabccca

How Good is the Code



bit rate = $(1/8)2 + (1/4)2 + (5/8)1 = 11/8 = 1.375$ bps

Entropy = 1.3 bps

Standard code = 2 bps

(bps = bits per symbol)

Design a Prefix Code 1

- abracadabra
- Design a prefix code for the 5 symbols {a,b,r,c,d} which compresses this string the most.

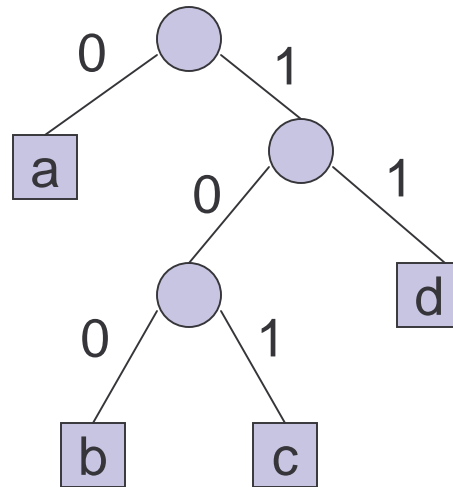
Design a Prefix Code 2

- Suppose we have n symbols each with probability $1/n$. Design a prefix code with minimum average bit rate.
- Consider $n = 2, 3, 4, 5, 6$ first.

Huffman Coding

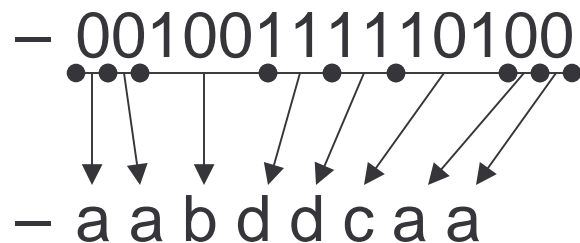
- Huffman (1951)
- Uses frequencies of symbols in a string to build a variable rate prefix code.
 - Each symbol is mapped to a binary string.
 - More frequent symbols have shorter codes.
 - No code is a prefix of another.
- Example:

a 0
b 100
c 101
d 11



Variable Rate Code Example

- Example: a 0, b 100, c 101, d 11
- Coding:
 - aabddcaa = 16 bits
 - 0010011110100 = 14 bits
- Prefix code ensures unique decodability.



Cost of a Huffman Tree

- Let p_1, p_2, \dots, p_m be the probabilities for the symbols a_1, a_2, \dots, a_m , respectively.
- Define the cost of the Huffman tree T to be

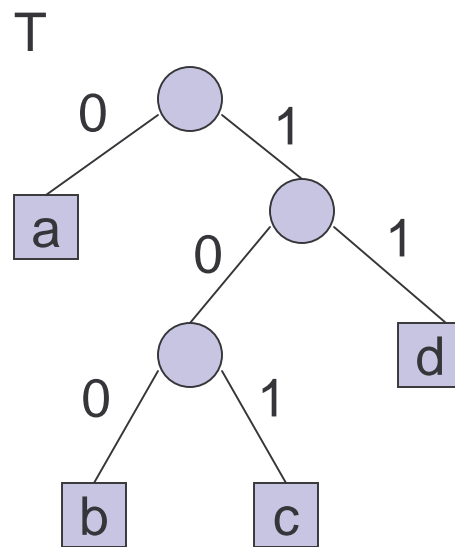
$$C(T) = \sum_{i=1}^m p_i r_i$$

where r_i is the length of the path from the root to a_i .

- $C(T)$ is the expected length of the code of a symbol coded by the tree T . $C(T)$ is the **average bit rate (ABR)** of the code.

Example of Cost

- Example: a 1/2, b 1/8, c 1/8, d 1/4



$$C(T) = \underset{a}{1} \times \frac{1}{2} + \underset{b}{3} \times \frac{1}{8} + \underset{c}{3} \times \frac{1}{8} + \underset{d}{2} \times \frac{1}{4} = 1.75$$

Huffman Tree

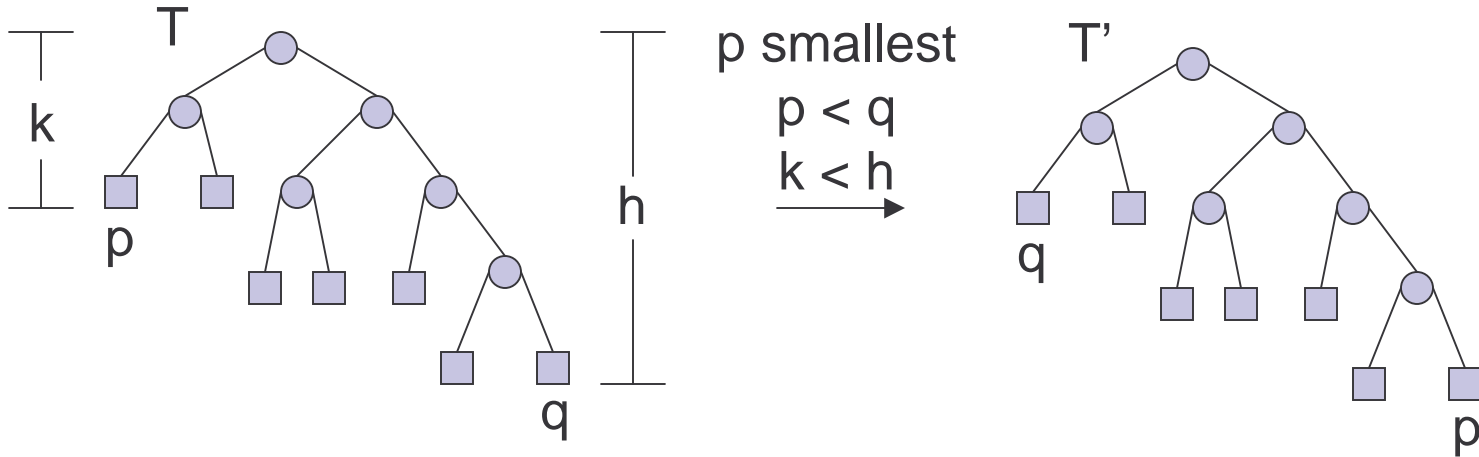
- Input: Probabilities p_1, p_2, \dots, p_m for symbols a_1, a_2, \dots, a_m , respectively.
- Output: A tree that minimizes the average number of bits (bit rate) to code a symbol. That is, minimizes

$$HC(T) = \sum_{i=1}^m p_i r_i \quad \text{bit rate}$$

where r_i is the length of the path from the root to a_i . This is the Huffman tree or Huffman code

Optimality Principle 1

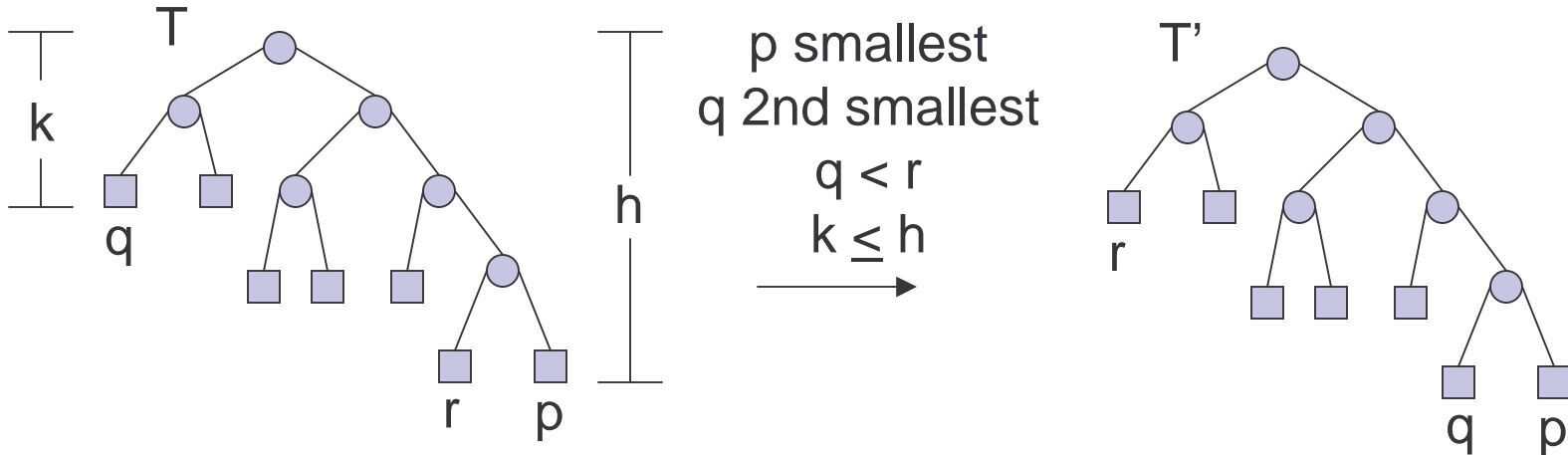
- In a Huffman tree a lowest probability symbol has maximum distance from the root.
 - If not exchanging a lowest probability symbol with one at maximum distance will lower the cost.



$$C(T') = C(T) + hp - hq + kq - kp = C(T) - (h-k)(q-p) < C(T)$$

Optimality Principle 2

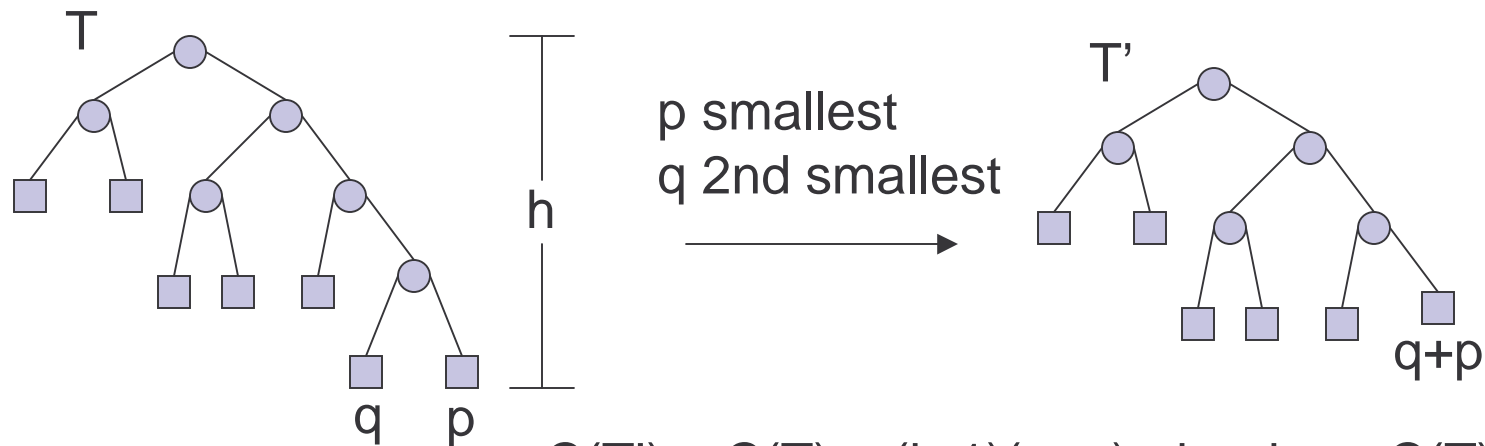
- The second lowest probability is a sibling of the the smallest in some Huffman tree.
 - If not, we can move it there not raising the cost.



$$C(T') = C(T) + hq - hr + kr - kq = C(T) - (h-k)(r-q) \leq C(T)$$

Optimality Principle 3

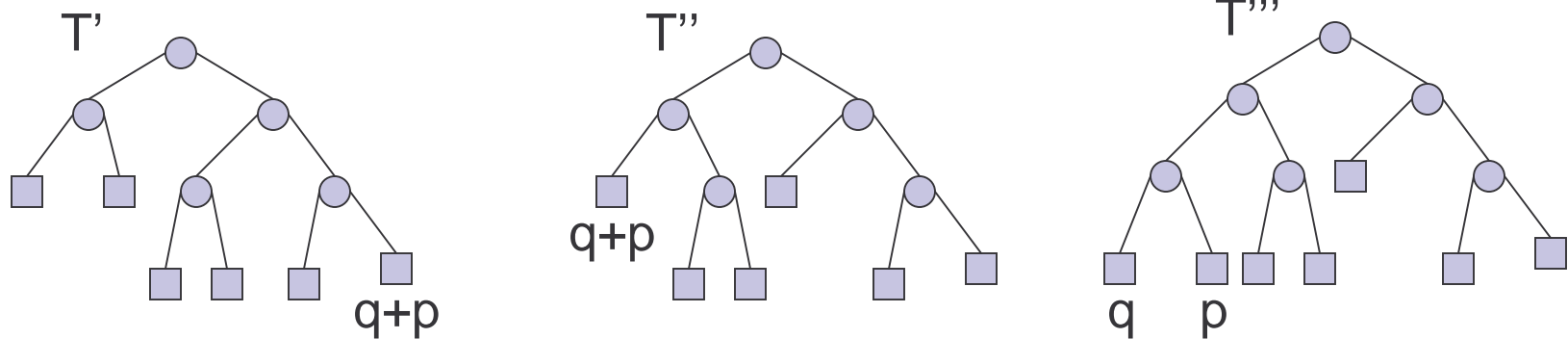
- Assuming we have a Huffman tree T whose two lowest probability symbols are siblings at maximum depth, they can be replaced by a new symbol whose probability is the sum of their probabilities.
 - The resulting tree is optimal for the new symbol set.



$$C(T') = C(T) + (h-1)(p+q) - hp - hq = C(T) - (p+q)$$

Optimality Principle 3 (cont')

- If T' were not optimal then we could find a lower cost tree T'' . This will lead to a lower cost tree T''' for the original alphabet.



$$C(T''') = C(T'') + p + q < C(T') + p + q = C(T) \quad \text{which is a contradiction}$$

Recursive Huffman Tree Algorithm

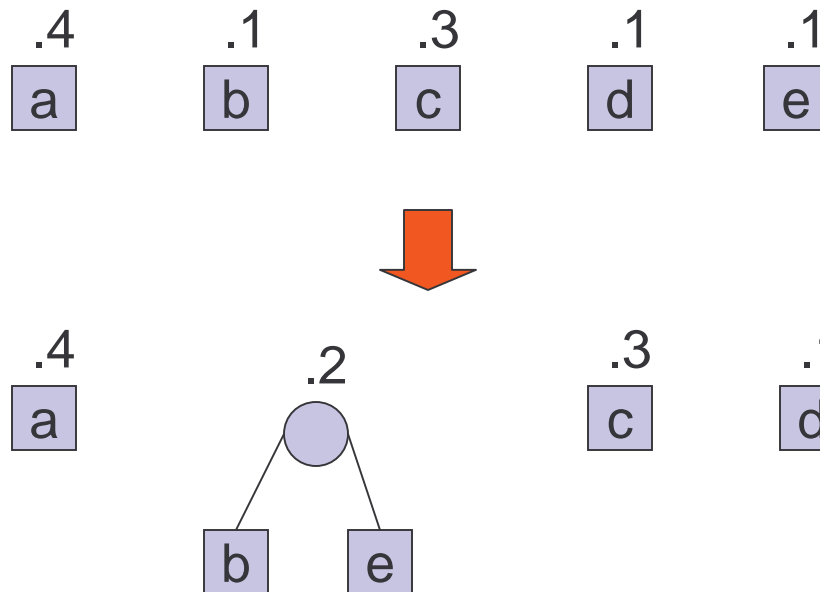
1. If there is just one symbol, a tree with one node is optimal. Otherwise
2. Find the two lowest probability symbols with probabilities p and q respectively.
3. Replace these with a new symbol with probability $p + q$.
4. Solve the problem recursively for new symbols.
5. Replace the leaf with the new symbol with an internal node with two children with the old symbols.

Iterative Huffman Tree Algorithm

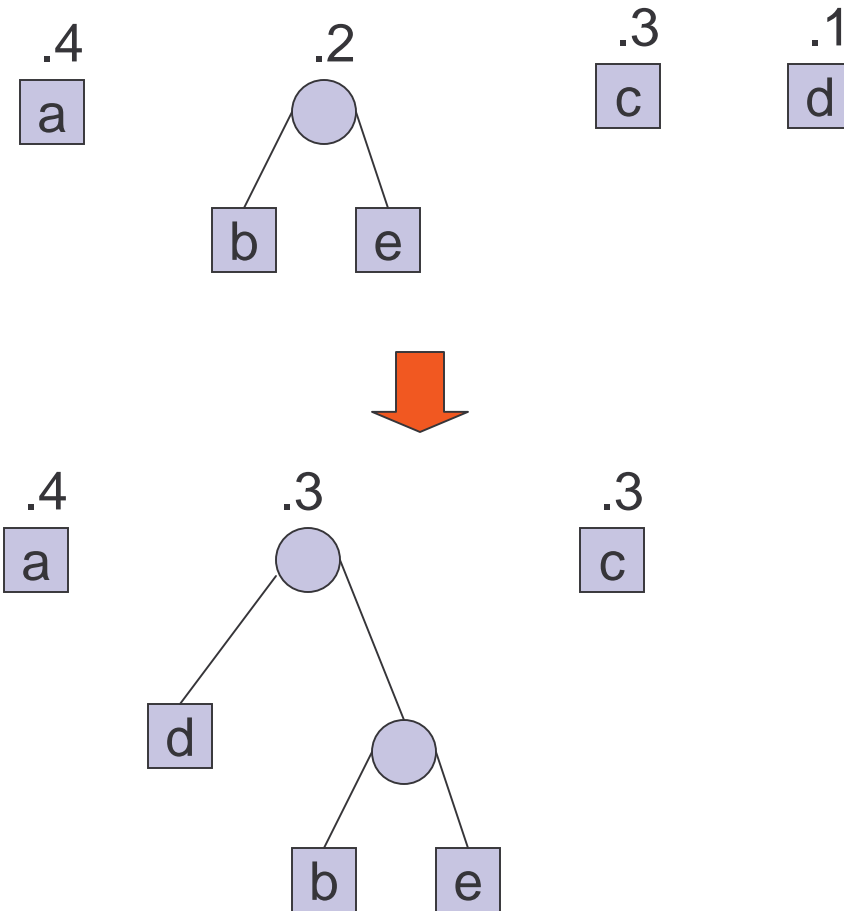
```
form a node for each symbol  $a_i$  with weight  $p_i$ ;  
insert the nodes in a min priority queue ordered by probability;  
while the priority queue has more than one element do  
    min1 := delete-min;  
    min2 := delete-min;  
    create a new node n;  
    n.weight := min1.weight + min2.weight;  
    n.left := min1;  
    n.right := min2;  
    insert(n)  
return the last node in the priority queue.
```

Example of Huffman Tree Algorithm (1)

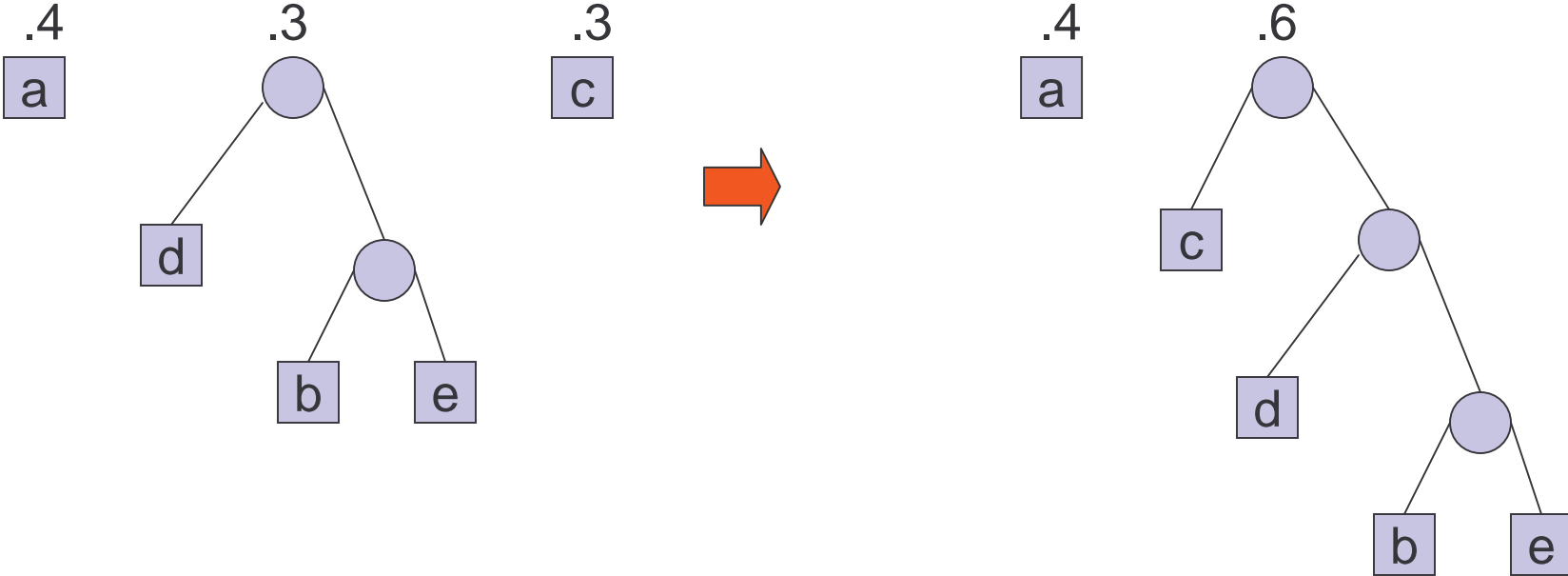
- $P(a) = .4$, $P(b) = .1$, $P(c) = .3$, $P(d) = .1$, $P(e) = .1$



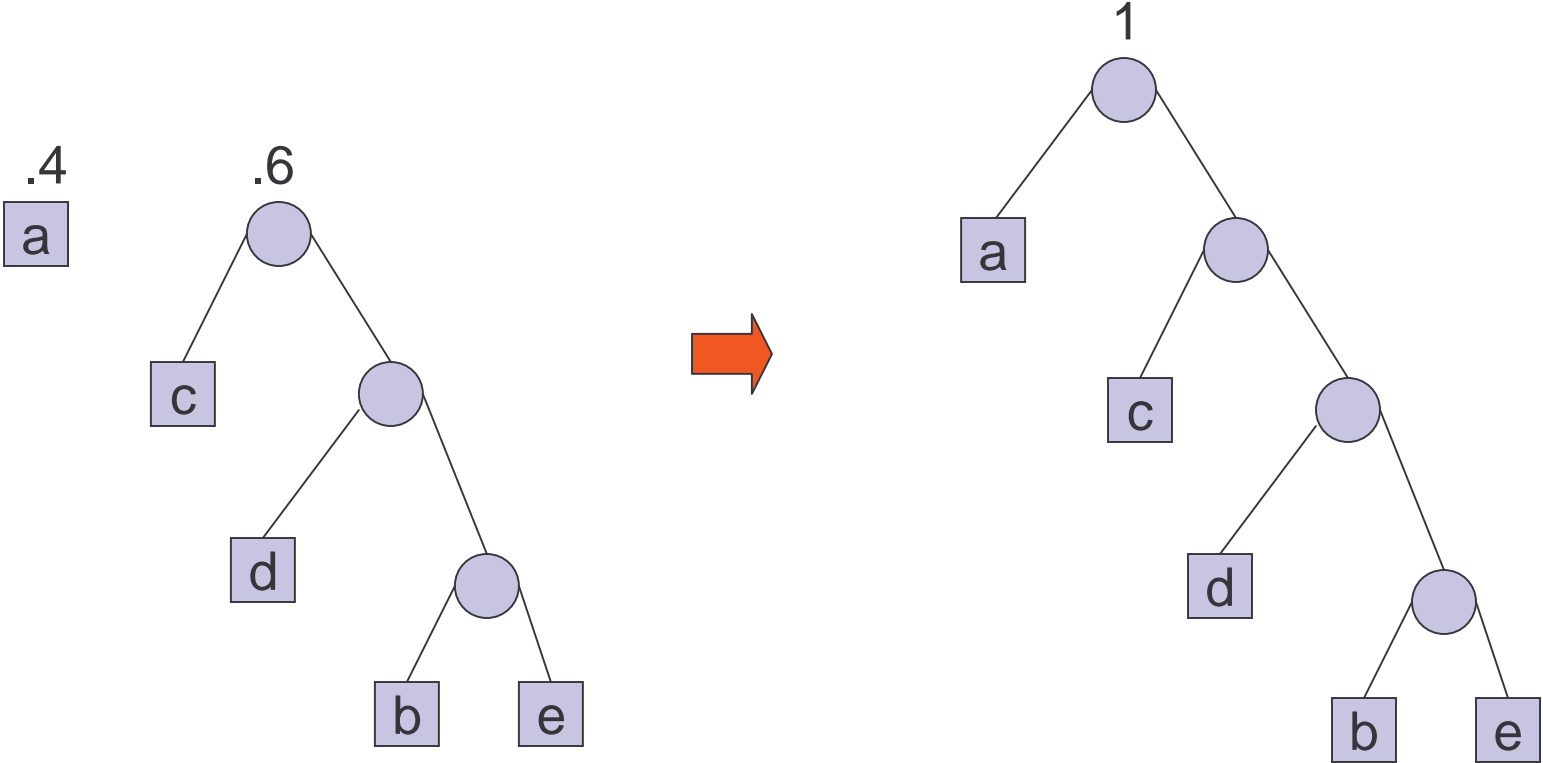
Example of Huffman Tree Algorithm (2)



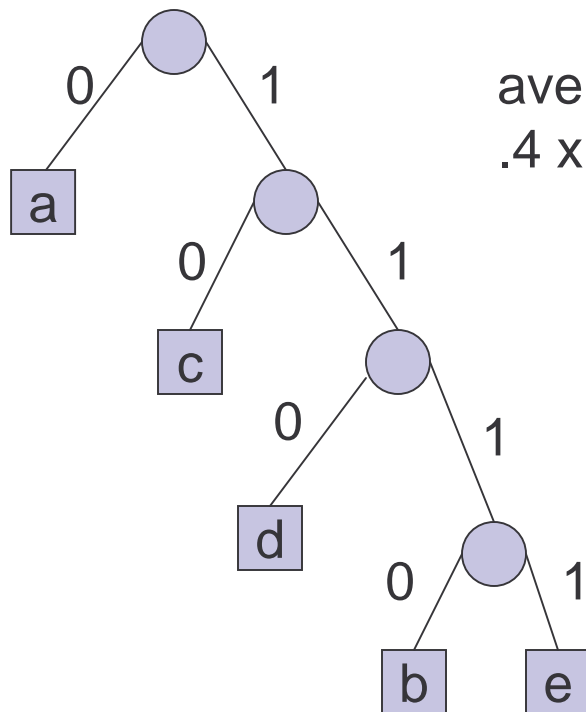
Example of Huffman Tree Algorithm (3)



Example of Huffman Tree Algorithm (4)



Huffman Code



average number of bits per symbol is
 $.4 \times 1 + .1 \times 4 + .3 \times 2 + .1 \times 3 + .1 \times 4 = 2.1$

a	0
b	1110
c	10
d	110
e	1111

Optimal Huffman Code vs. Entropy

- $P(a) = .4$, $P(b) = .1$, $P(c) = .3$, $P(d) = .1$, $P(e) = .1$

Entropy

$$\begin{aligned} H &= -(.4 \times \log_2(.4) + .1 \times \log_2(.1) + .3 \times \log_2(.3) \\ &\quad + .1 \times \log_2(.1) + .1 \times \log_2(.1)) \\ &= 2.05 \text{ bits per symbol} \end{aligned}$$

Huffman Code

$$\begin{aligned} HC &= .4 \times 1 + .1 \times 4 + .3 \times 2 + .1 \times 3 + .1 \times 4 \\ &= 2.1 \text{ bits per symbol} \\ &\text{pretty good!} \end{aligned}$$

In Class Exercise

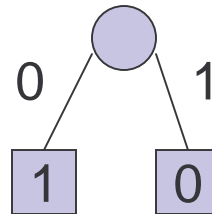
- $P(a) = 1/2$, $P(b) = 1/4$, $P(c) = 1/8$, $P(d) = 1/16$,
 $P(e) = 1/16$
- Compute the Huffman tree and its bit rate.
- Compute the Entropy
- Compare
- Hint: For the tree change probabilities to be integers: a:8, b:4, c:2, d:1, e:1. Normalize at the end.

Quality of the Huffman Code

- The Huffman code is within one bit of the entropy lower bound.

$$H \leq HC \leq H + 1$$

- Huffman code does not work well with a two symbol alphabet.
 - Example: $P(0) = 1/100$, $P(1) = 99/100$
 - $HC = 1$ bits/symbol

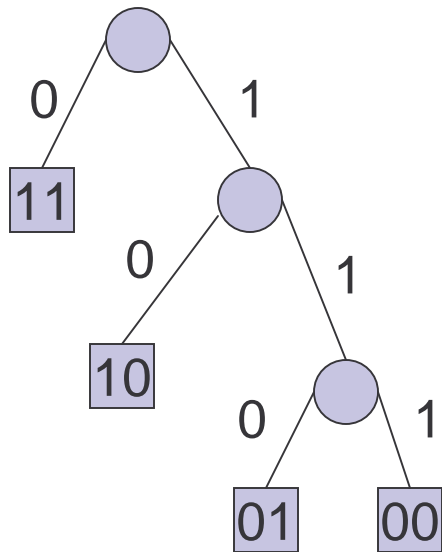


- $H = -((1/100) \cdot \log_2(1/100) + (99/100) \log_2(99/100))$
= .08 bits/symbol

- If probabilities are powers of two then $HC = H$.

Extending the Alphabet

- Assuming independence $P(ab) = P(a)P(b)$, so we can lump symbols together.
- Example: $P(0) = 1/100$, $P(1) = 99/100$
 - $P(00) = 1/10000$, $P(01) = P(10) = 99/10000$,
 $P(11) = 9801/10000$.



HC = 1.03 bits/symbol (2 bit symbol)
= .515 bits/bit

Still not that close to $H = .08$ bits/bit

Quality of Extended Alphabet

- Suppose we extend the alphabet to symbols of length k then

$$H \leq HC \leq H + 1/k$$

- Pros and Cons of Extending the alphabet
 - + Better compression
 - 2^k symbols
 - padding needed to make the length of the input divisible by k

Context Modeling

- Data does not usually come from a 1st order statistical source.
 - English text: “u” almost always follows “q”
 - Images: a pixel next to a blue pixel is likely to be blue
- Practical coding: Divide the data by contexts and code the data in each context as its own 1st order source.

Huffman Codes with Context

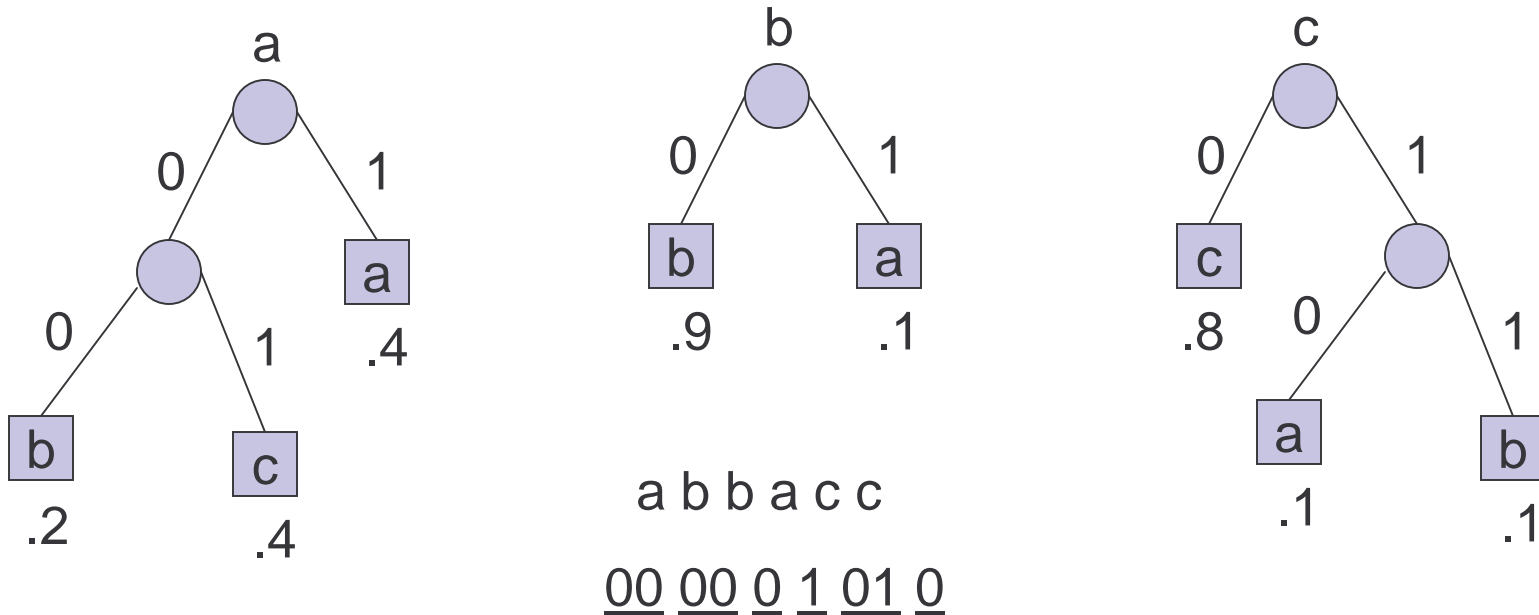
- Suppose we add a one symbol context. That is in compressing a string $x_1x_2\dots x_n$ we want to take into account x_{k-1} when encoding x_k .
 - New model, so entropy based on just independent probabilities of the symbols doesn't hold. The new entropy model (2nd order entropy) has for each symbol a probability for each other symbol following it.
 - Example: {a,b,c}

		next		
		a	b	c
prev	a	.4	.2	.4
	b	.1	.9	0
	c	.1	.1	.8

Multiple Codes

		next		
		a	b	c
prev	a	.4	.2	.4
	b	.1	.9	0
	c	.1	.1	.8

Code for first symbol	
a	00
b	01
c	10



Complexity of Huffman Code Design

- Time to design Huffman Code is $O(n \log n)$ where n is the number of symbols.
 - Each step consists of a constant number of priority queue operations (2 deletions and 1 insert)

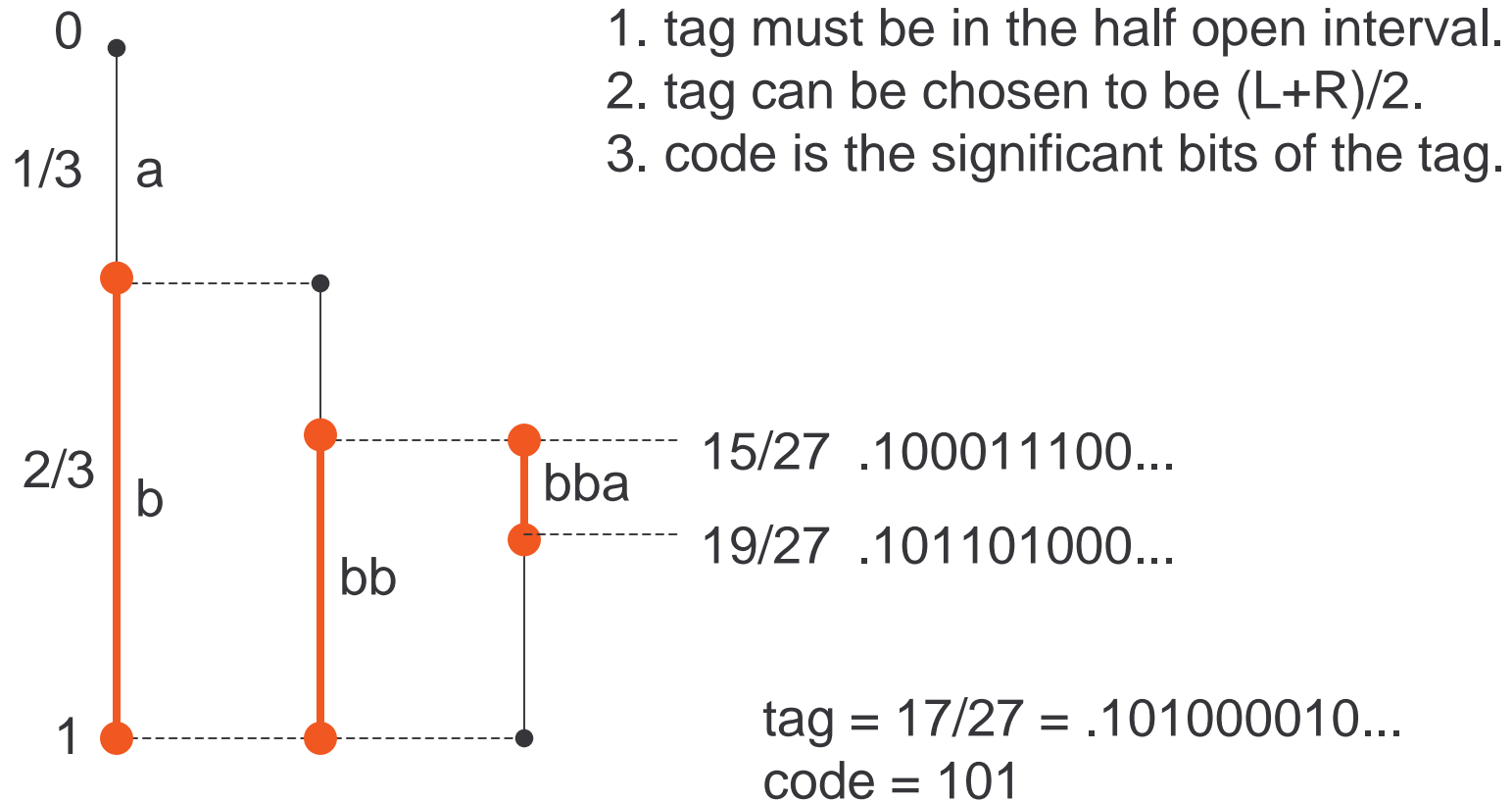
Approaches to Huffman Codes

1. Frequencies computed for each input
 - Must transmit the Huffman code or frequencies as well as the compressed input
 - Requires two passes
2. Fixed Huffman tree designed from training data
 - Do not have to transmit the Huffman tree because it is known to the decoder.
 - H.263 video coder
3. Adaptive Huffman code
 - One pass
 - Huffman tree changes as frequencies change

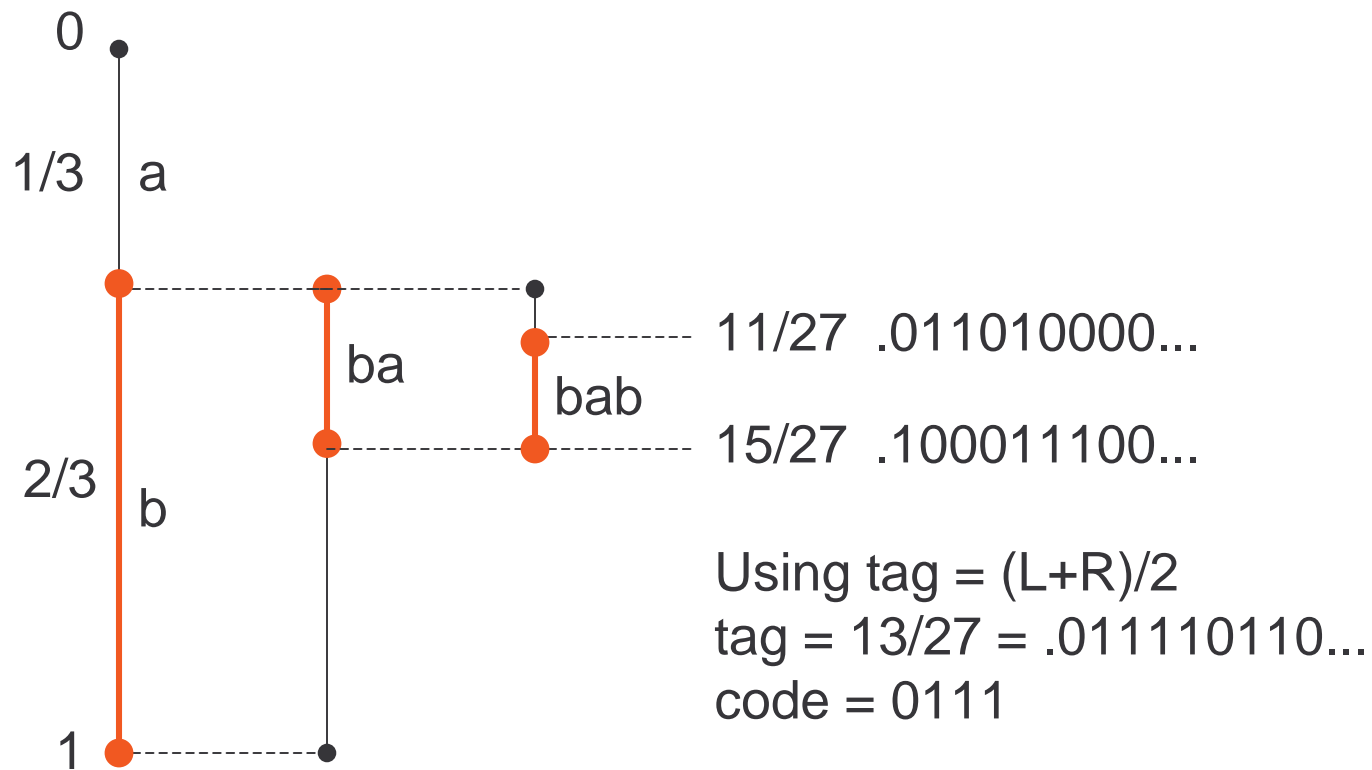
Arithmetic Coding

- Basic idea in arithmetic coding:
 - represent each string x of length n by a unique interval $[L,R)$ in $[0,1)$.
 - The width $R-L$ of the interval $[L,R)$ represents the probability of x occurring.
 - The interval $[L,R)$ can itself be represented by any number, called a tag, within the half open interval.
 - The k significant bits of the tag $.t_1t_2t_3\dots$ is the code of x . That is, $.t_1t_2t_3\dots t_k000\dots$ is in the interval $[L,R)$.
 - It turns out that $k \approx \log_2(1/(R-L))$.

Example of Arithmetic Coding (1)



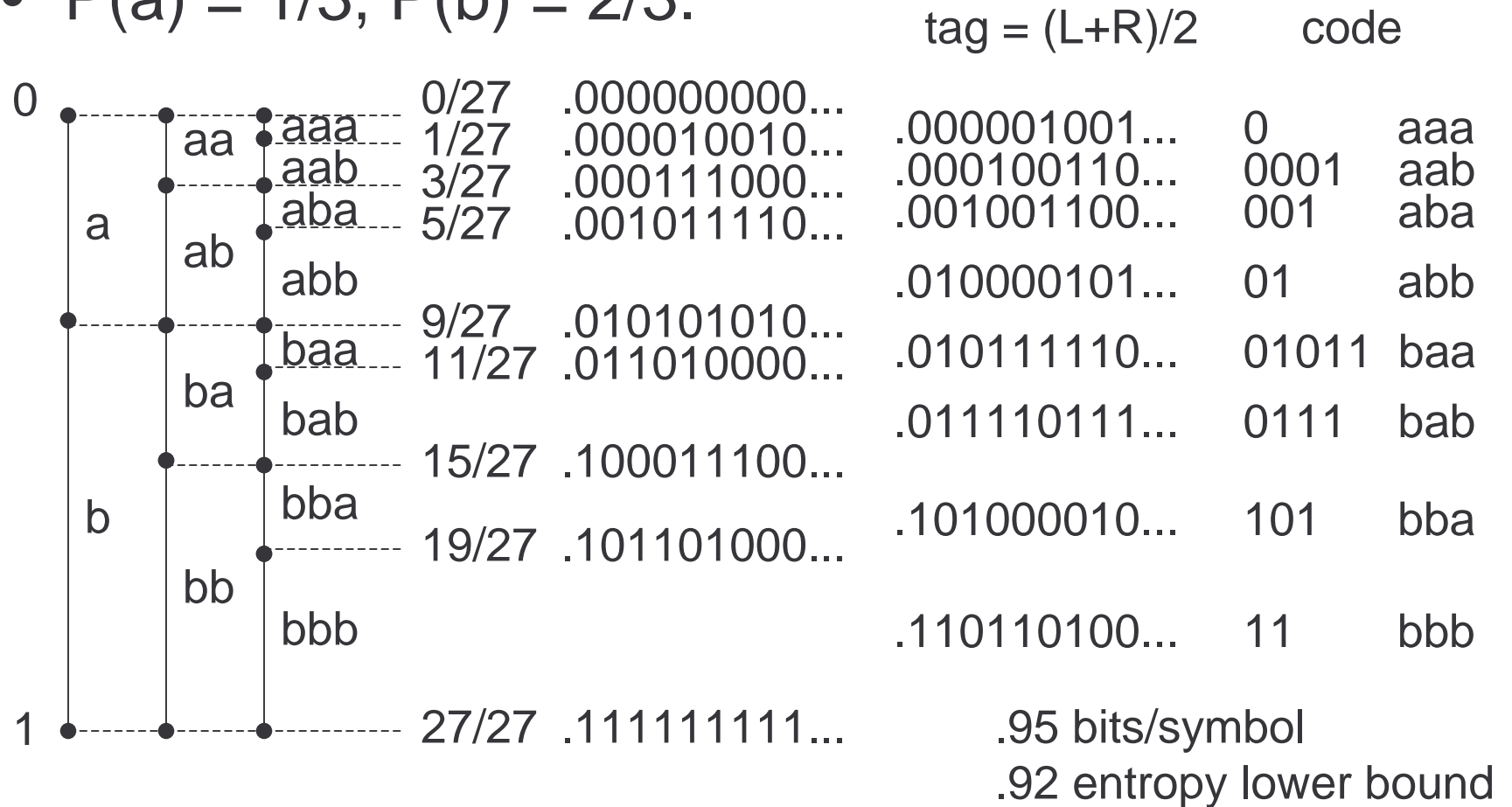
Some Tags are Better than Others



Alternative tag = $14/37 = .100001001...$
code = 1

Example of Codes

- $P(a) = 1/3, P(b) = 2/3$.

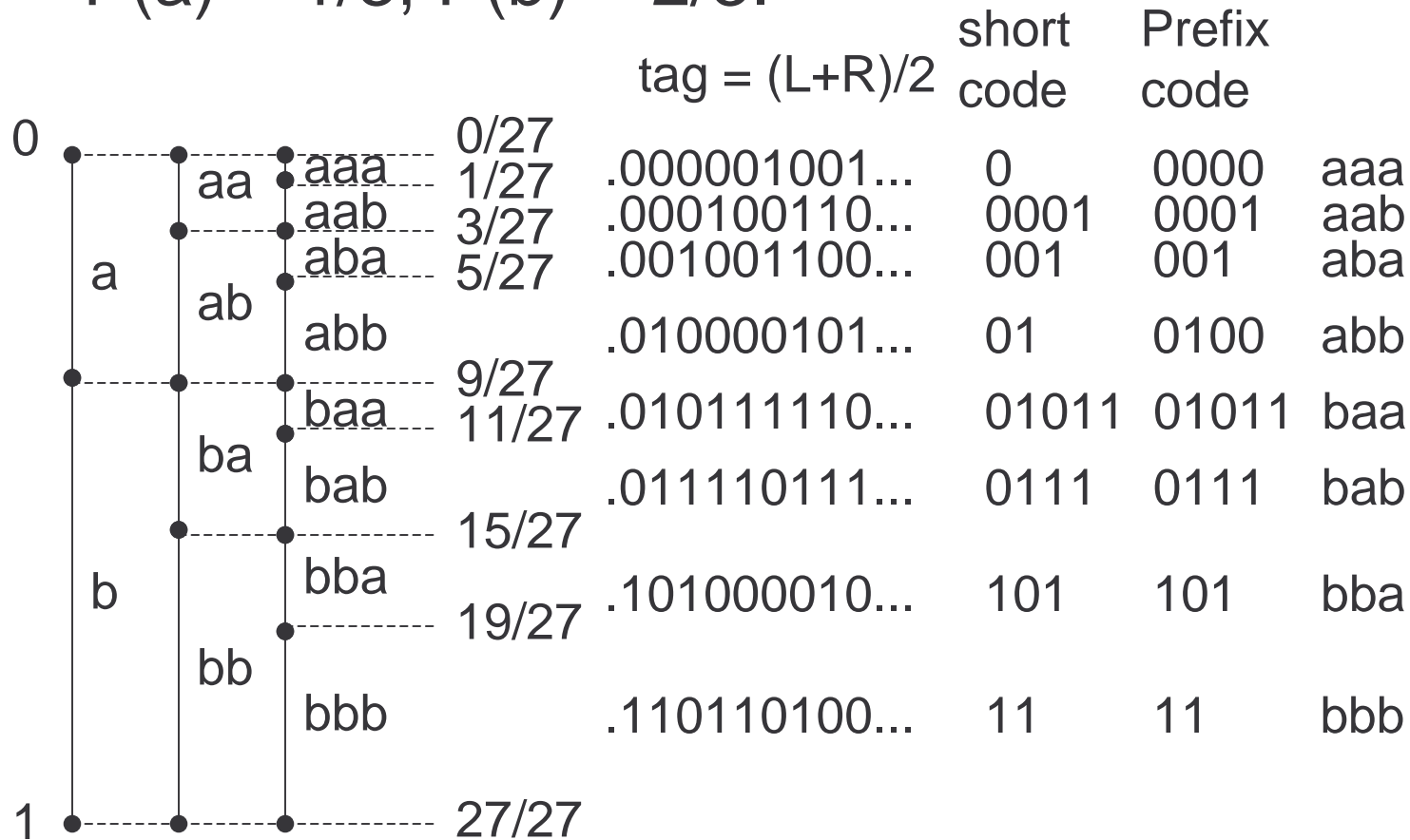


Code Generation from Tag

- If binary tag is $.t_1t_2t_3\dots = (L+R)/2$ in $[L,R)$ then we want to choose k to form the code $t_1t_2\dots t_k$.
- Short code:
 - choose k to be as small as possible so that $L \leq .t_1t_2\dots t_k000\dots < R$.
- Guaranteed code:
 - choose $k = \lceil \log_2 (1/(R-L)) \rceil + 1$
 - $L \leq .t_1t_2\dots t_k b_1b_2b_3\dots < R$ for any bits $b_1b_2b_3\dots$
 - for fixed length strings provides a good prefix code.
 - example: $[.000000000\dots, .000010010\dots)$, tag = $.000001001\dots$
Short code: 0
Guaranteed code: 000001

Guaranteed Code Example

- $P(a) = 1/3, P(b) = 2/3$.



Arithmetic Coding Algorithm

- $P(a_1), P(a_2), \dots, P(a_m)$
- $C(a_i) = P(a_1) + P(a_2) + \dots + P(a_{i-1})$
- Encode $x_1x_2\dots x_n$

```
Initialize L := 0 and R:= 1;  
for i = 1 to n do  
    W := R - L;  
    L := L + W * C(xi);  
    R := L + W * P(xi);  
t := (L+R)/2;  
choose code for the tag
```

Arithmetic Coding Example

- $P(a) = 1/4, P(b) = 1/2, P(c) = 1/4$
- $C(a) = 0, C(b) = 1/4, C(c) = 3/4$
- abca

	symbol	W	L	R
			0	1
$W := R - L;$	a	1	0	1/4
$L := L + W C(x);$	b	1/4	1/16	3/16
$R := L + W P(x)$	c	1/8	5/32	6/32
	a	1/32	5/32	21/128

$$\text{tag} = (5/32 + 21/128)/2 = 41/256 = .001010010\dots$$

$$L = .001010000\dots$$

$$R = .001010100\dots$$

$$\text{code} = 00101$$

$$\text{prefix code} = 00101001$$

Arithmetic Coding Exercise

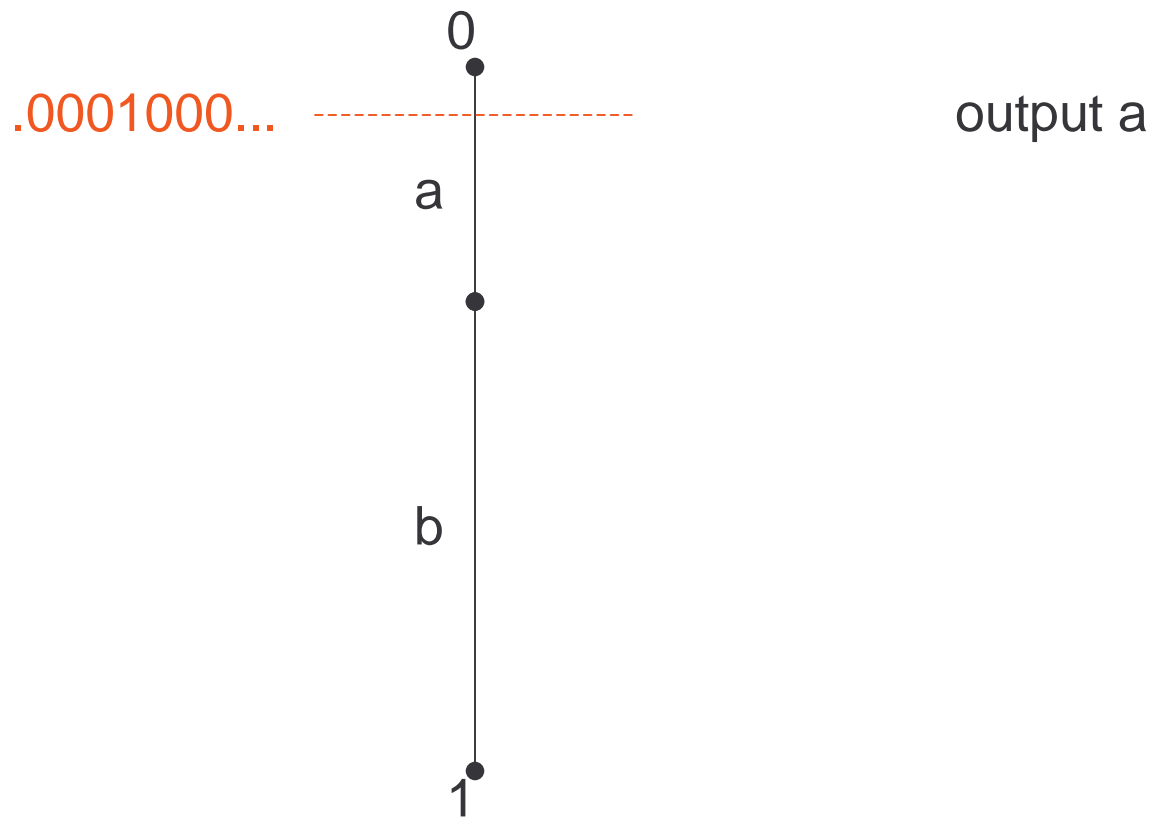
- $P(a) = 1/4, P(b) = 1/2, P(c) = 1/4$
- $C(a) = 0, C(b) = 1/4, C(c) = 3/4$
- bbbb

	symbol	W	L	R
			0	1
	b	1		
$W := R - L;$	b			
$L := L + W C(x);$	b			
$R := L + W P(x)$	b			
	b			

tag =
 L =
 R =
 code =
 prefix code =

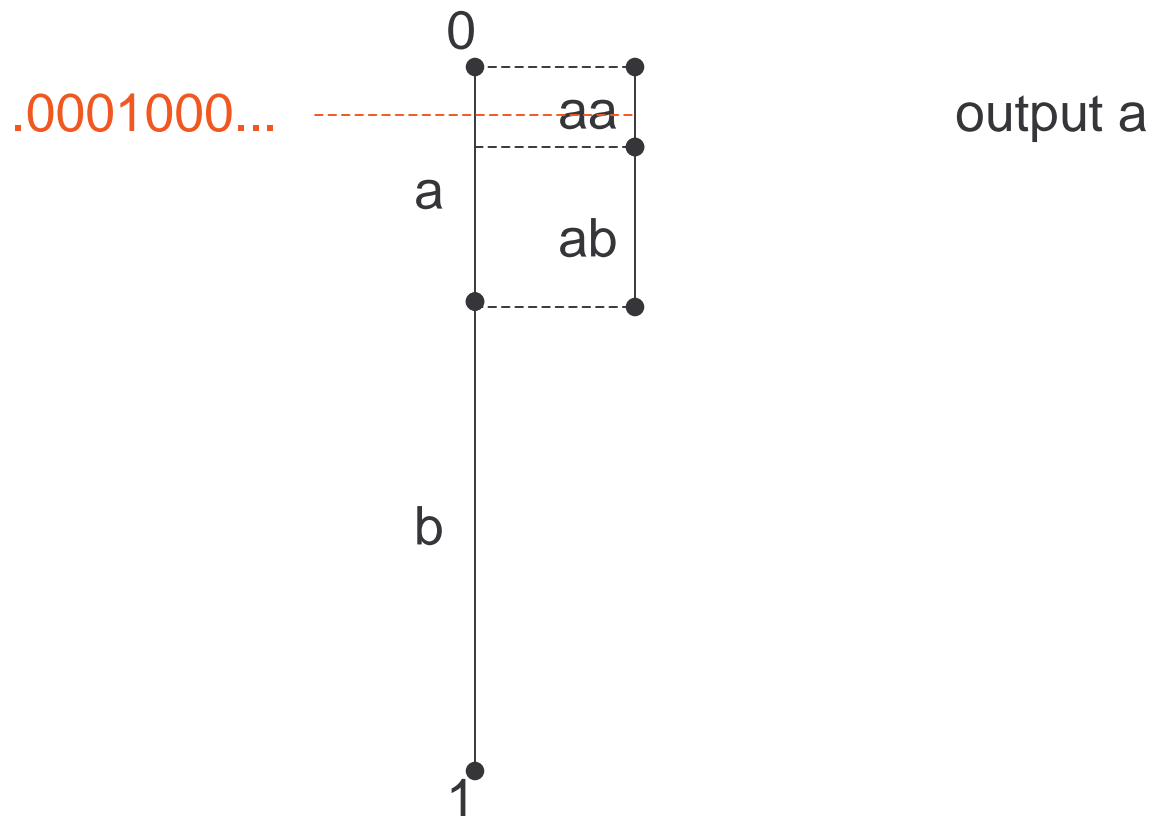
Decoding (1)

- Assume the length is known to be 3.
- 0001 which converts to the tag .0001000...



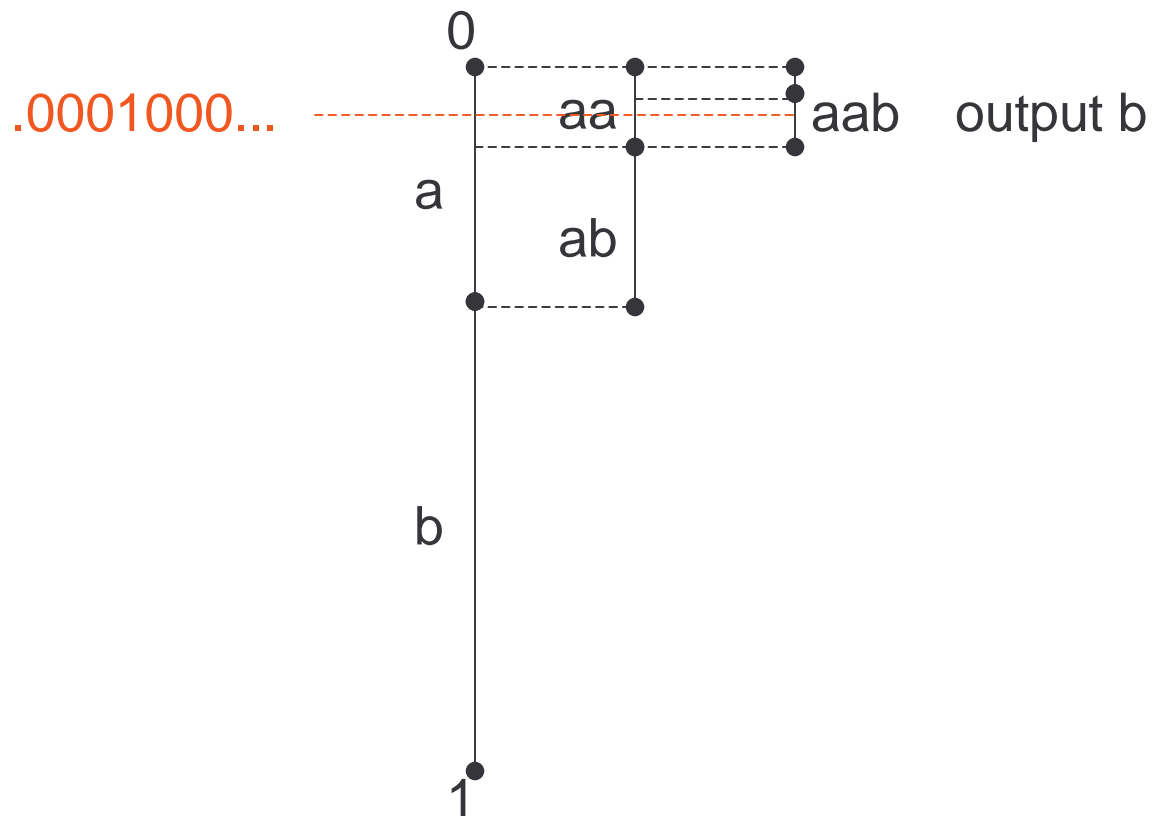
Decoding (2)

- Assume the length is known to be 3.
- 0001 which converts to the tag .0001000...



Decoding (3)

- Assume the length is known to be 3.
- 0001 which converts to the tag .0001000...



Arithmetic Decoding Algorithm

- $P(a_1), P(a_2), \dots, P(a_m)$
- $C(a_i) = P(a_1) + P(a_2) + \dots + P(a_{i-1})$
- Decode $b_1b_2\dots b_k$, number of symbols is n .

```
Initialize L := 0 and R := 1;  
t := .b1b2...bk000...  
for i = 1 to n do  
    W := R - L;  
    find j such that  $L + W * C(a_j) \leq t < L + W * (C(a_j) + P(a_j))$   
    output aj;  
    L := L + W * C(aj);  
    R := L + W * P(aj);
```

Decoding Example and Exercise

- $P(a) = 1/4$, $P(b) = 1/2$, $P(c) = 1/4$
- $C(a) = 0$, $C(b) = 1/4$, $C(c) = 3/4$
- 00101 and $n = 4$

tag = .00101000... = 5/32

W	L	R	output
	0	1	
1	0	1/4	a
1/4	1/16	3/16	b
1/8	5/32	6/32	c
1/32	5/32	21/128	a

Decoding Issues

- There are at least two ways for the decoder to know when to stop decoding.
 1. Transmit the length of the string
 2. Transmit a unique end of string symbol

Practical Arithmetic Coding

- Scaling:
 - By scaling we can keep L and R in a reasonable range of values so that $W = R - L$ does not underflow.
- Context:
 - Different contexts can be handled easily
- Adaptivity:
 - Coding can be done adaptively, learning the distribution of symbols dynamically
- Integer arithmetic coding avoids floating point altogether.

Scaling

- Scaling:
 - By scaling we can keep L and R in a reasonable range of values so that $W = R - L$ does not underflow.
 - The code can be produced progressively, not at the end.
 - Complicates decoding some.

Scaling Principle

Lower half

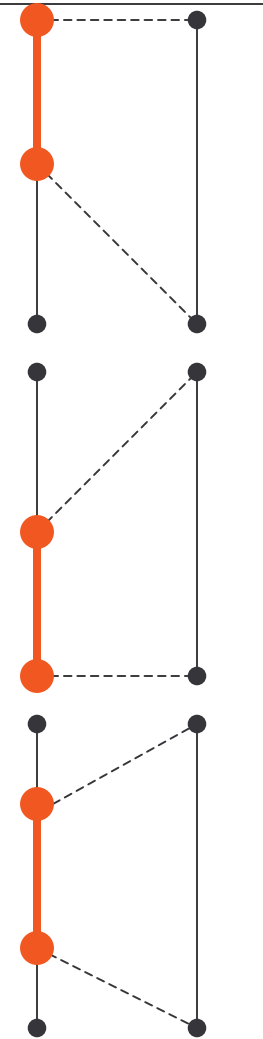
If $[L,R)$ is contained in $[0,.5)$ then
 $L := 2L$; $R := 2R$
output 0, followed by C 1's
 $C := 0$.

Upper half

If $[L,R)$ is contained in $[.5,1)$ then
 $L := 2L - 1$, $R := 2R - 1$
output 1, followed by C 0's
 $C := 0$

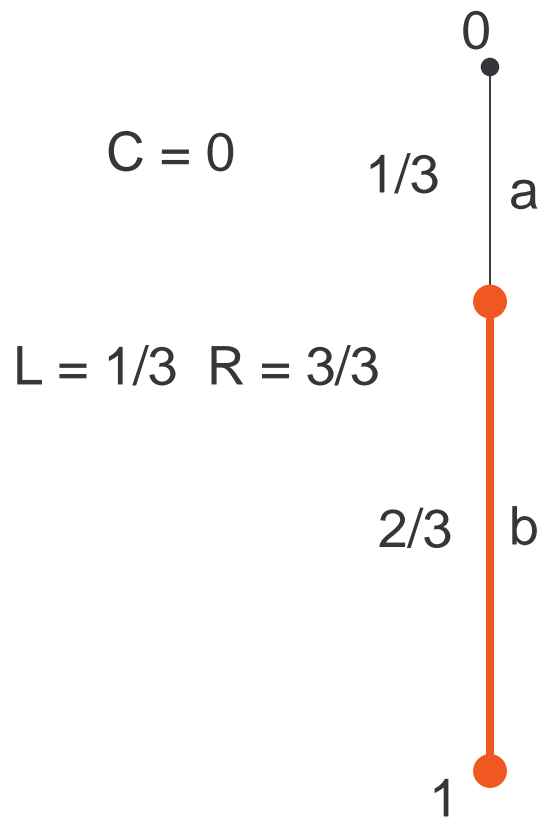
Middle Half

If $[L,R)$ is contained in $[.25,.75)$ then
 $L := 2L - .5$, $R := 2R - .5$
 $C := C + 1$.



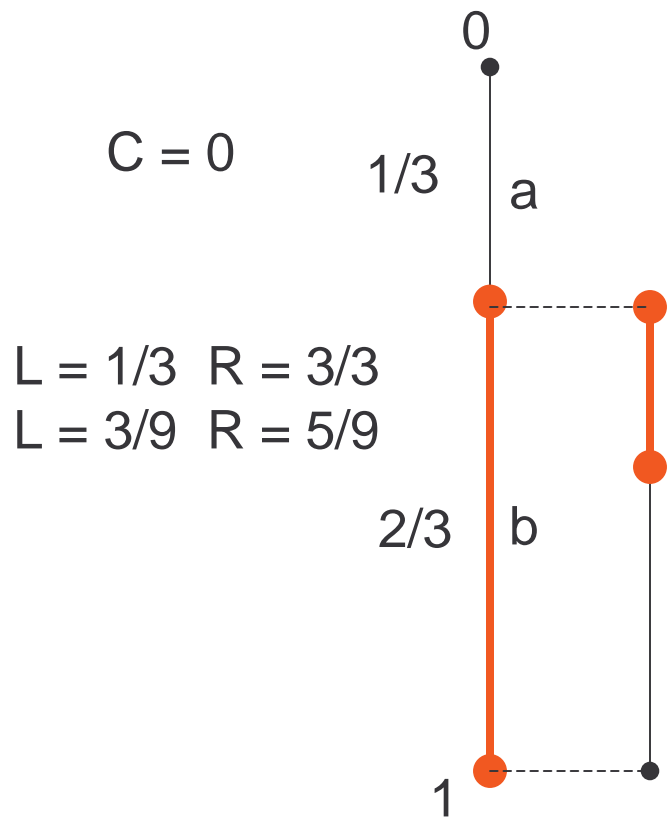
Example

- baa



Example

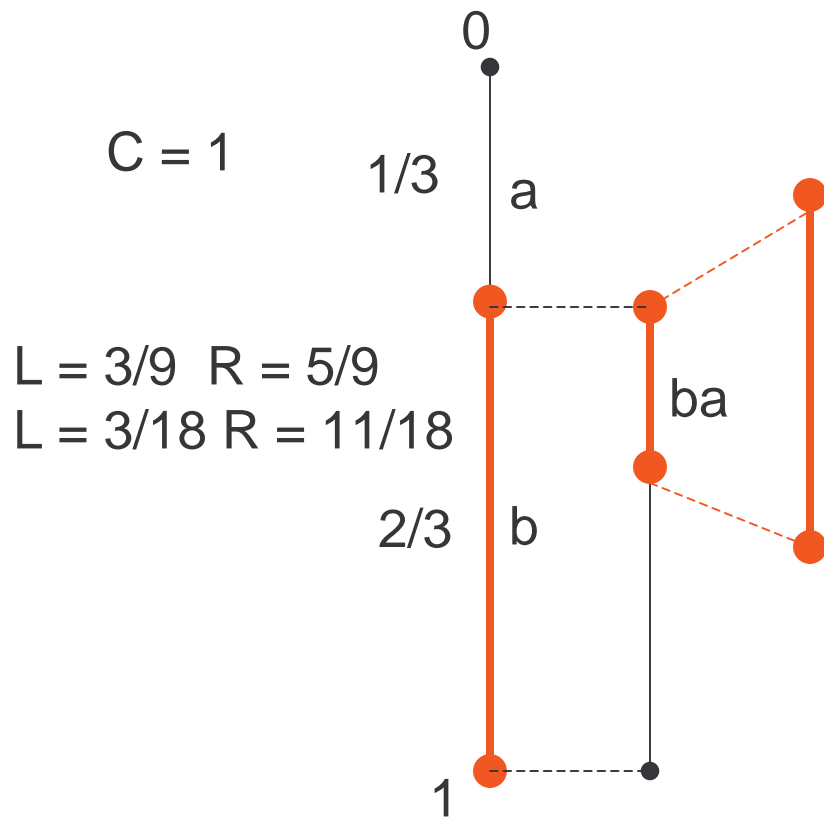
- baa



Scale middle half

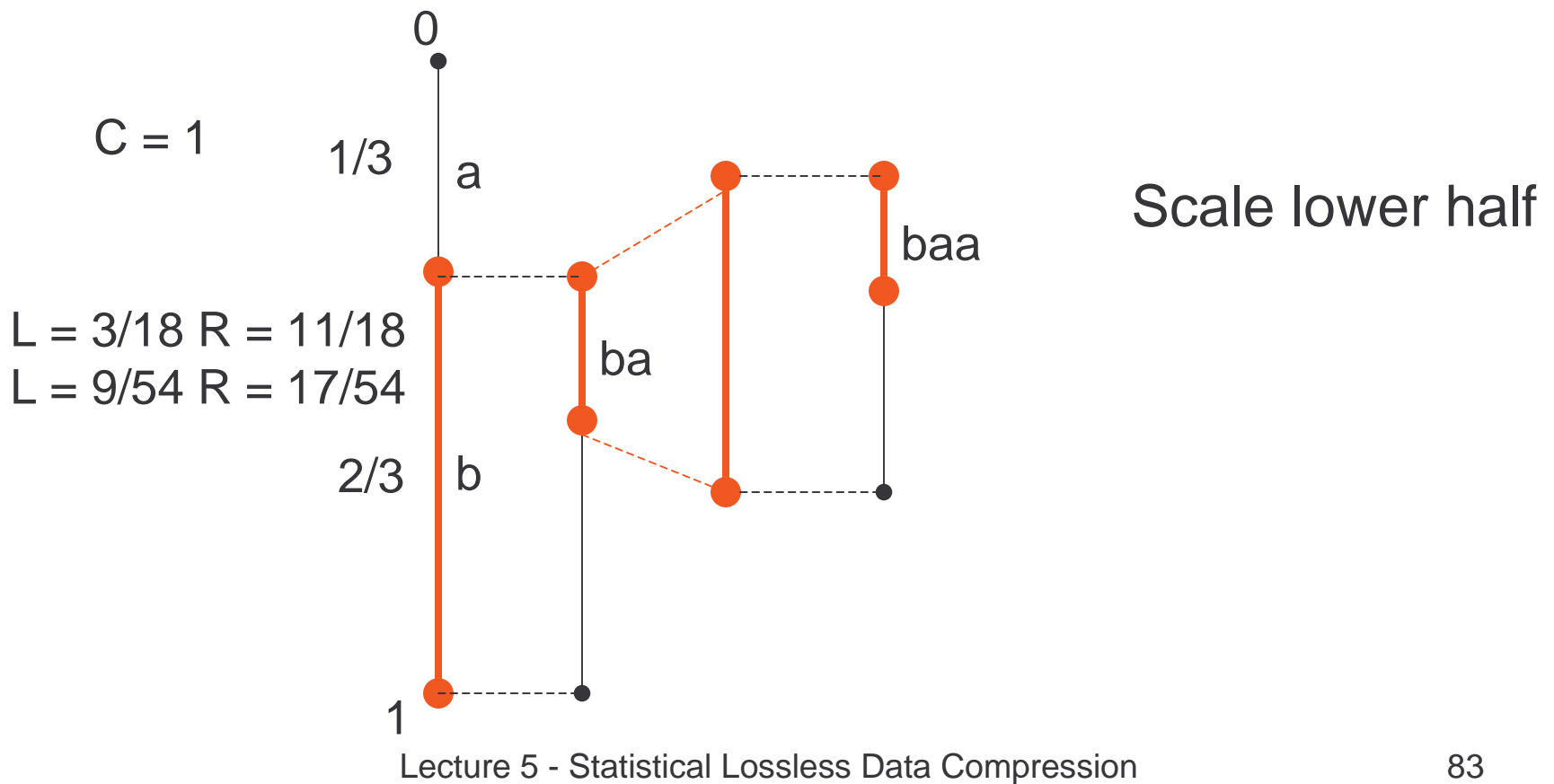
Example

- baa



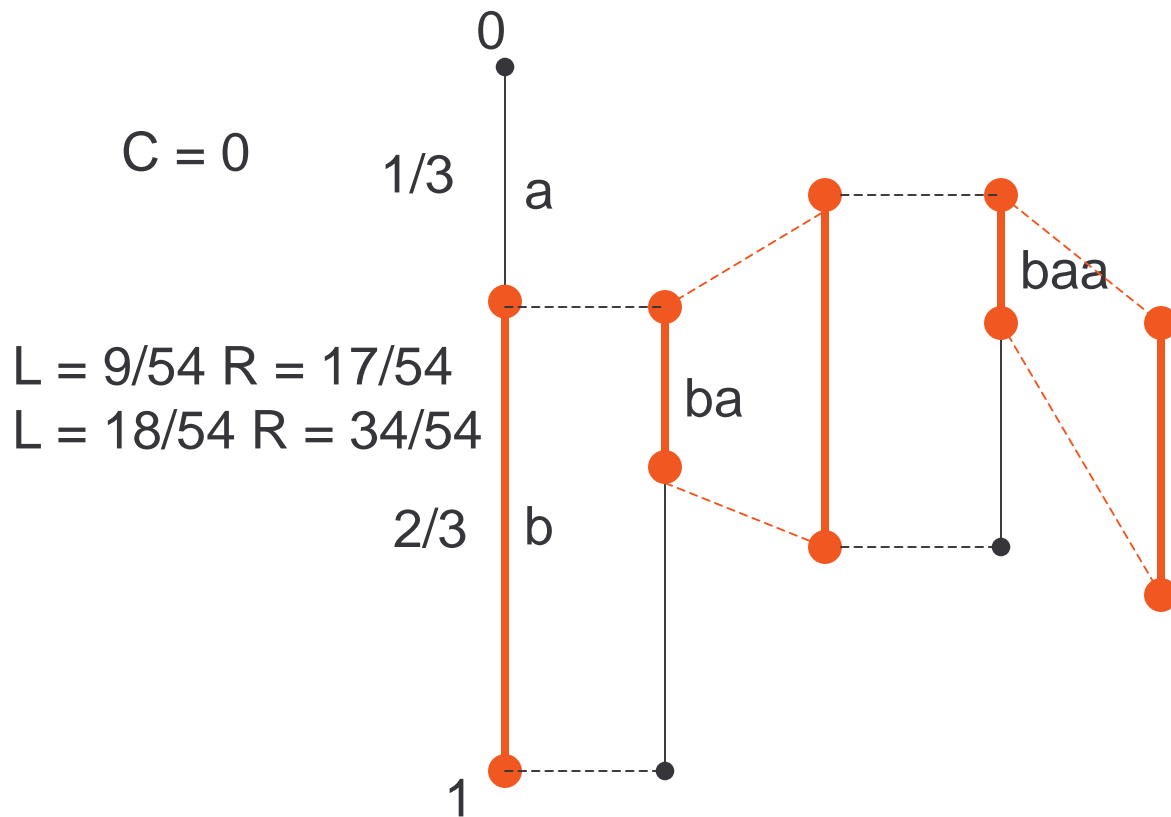
Example

- baa



Example

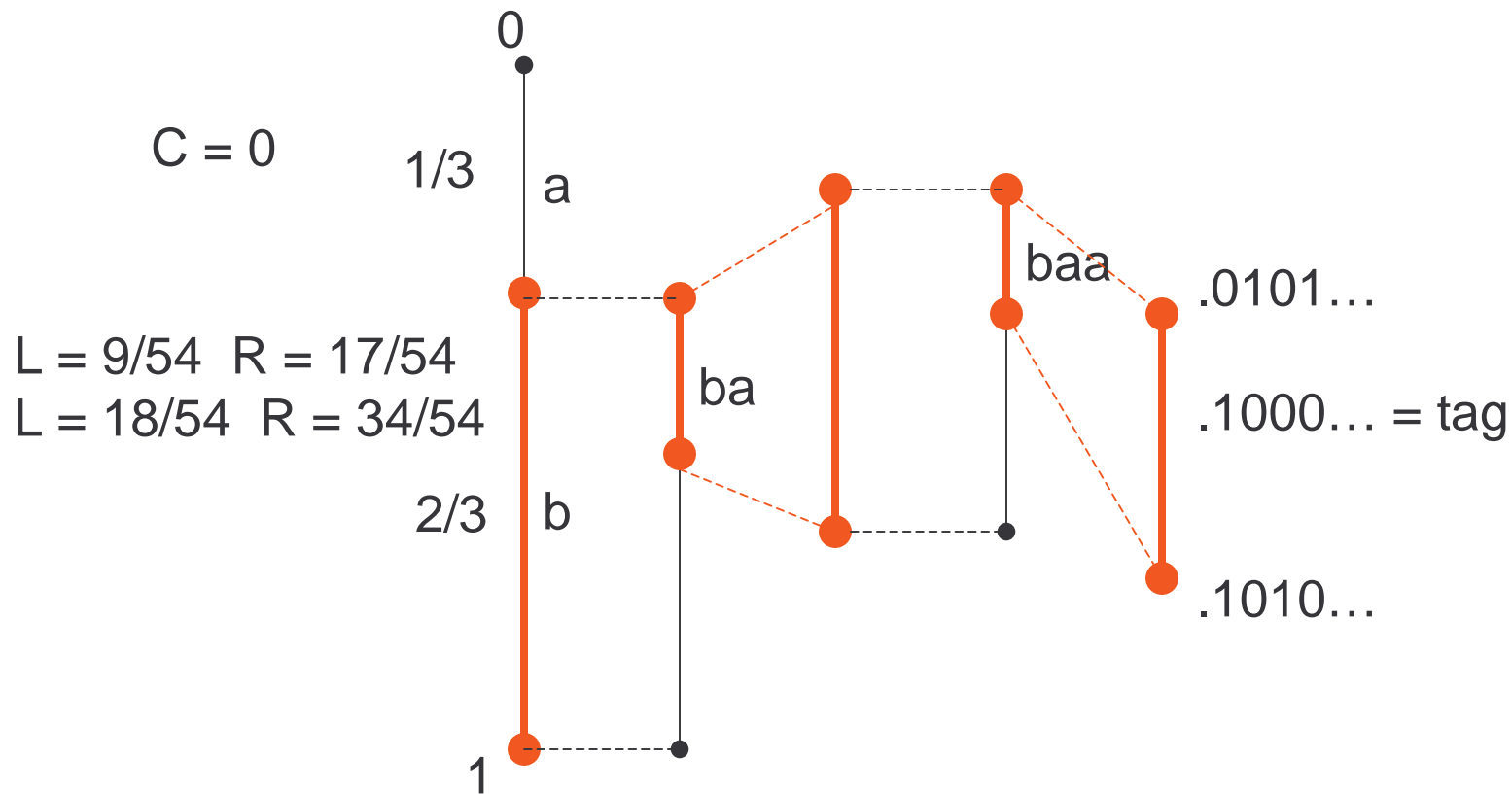
- baa 01



Example

- baa 011

In end $L < \frac{1}{2} < R$, choose tag to be $\frac{1}{2}$



Decoding with Scaling

- Use the same scaling algorithm as the encoder
 - There is no need to keep track of C because we know the complete tag.
 - Each scaling step will consume a symbol of the tag
 - Lower half: $0x \rightarrow x$ ($10 \times .0x = .x$ in binary)
 - Upper half: $1x \rightarrow x$ ($10 \times .1x - 1 = .x$)
 - Middle half: $10x \rightarrow 1x$ or $01x \rightarrow 0x$
($10 \times .10x - .1 = .1x$ or $10 \times .01x - .1 = .0x$)

Integer Implementation

- m bit integers
 - Represent 0 with 000...0 (m times)
 - Represent 1 with 111...1 (m times)
- Probabilities represented by frequencies
 - n_i is the number of times that symbol a_i occurs
 - $C_i = n_1 + n_2 + \dots + n_{i-1}$
 - $N = n_1 + n_2 + \dots + n_m$

$$W := R - L + 1$$

$$L' := L + \left\lfloor \frac{W \cdot C_i}{N} \right\rfloor$$

$$R := L + \left\lfloor \frac{W \cdot C_{i+1}}{N} \right\rfloor - 1$$

$$L := L'$$

Coding the i-th symbol using integer calculations.
Must use scaling!

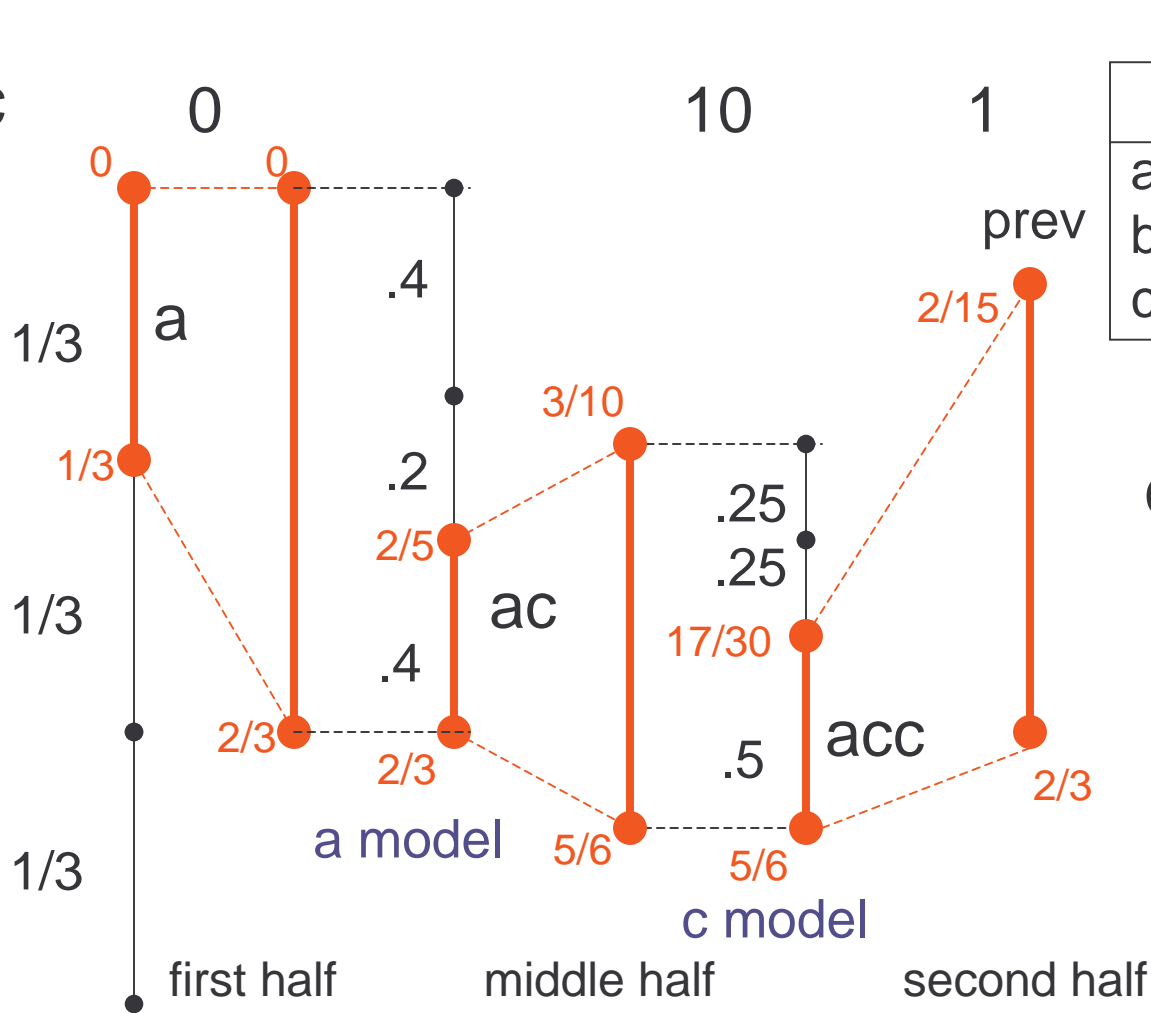
Context

- Consider 1 symbol context.
- Example: 3 contexts.

		next		
		a	b	c
prev	a	.4	.2	.4
	b	.1	.8	.1
	c	.25	.25	.5

Example with Context and Scaling

- acc



	next		
	a	b	c
a	.4	.2	.4
b	.1	.8	.1
c	.25	.25	.5

Equally Likely model

Arithmetic Coding with Context

- Maintain the probabilities for each context.
- For the first symbol use the equal probability model
- For each successive symbol use the model for the previous symbol.

Adaptation

- Simple solution – **Equally Probable Model**.
 - Initially all symbols have frequency 1.
 - After symbol x is coded, increment its frequency by 1
 - Use the new model for coding the next symbol
- Example in alphabet a,b,c,d

		a	a	b	a	a	c
a	1	2	3	3	4	5	5
b	1	1	1	2	2	2	2
c	1	1	1	1	1	1	2
d	1	1	1	1	1	1	1

After aabaac is encoded
The probability model is
a 5/10 b 2/10
c 2/10 d 1/10

Zero Frequency Problem

- How do we weight symbols that have not occurred yet.
 - Equal weights? Not so good with many symbols
 - Escape symbol, but what should its weight be?
 - When a new symbol is encountered send the <esc>, followed by the symbol in the equally probable model. (Both encoded arithmetically.)

		a	a	b	a	a	c
a	0	1	2	2	3	4	4
b	0	0	0	1	1	1	1
c	0	0	0	0	0	0	1
d	0	0	0	0	0	0	0
<esc>	1	1	1	1	1	1	1

After aabaac is encoded
 The probability model is

a	4/7	b	1/7
c	1/7	d	0
<esc>	1/7		

Arithmetic vs. Huffman

- **Both compress very well.** For m symbol grouping.
 - Huffman is within $1/m$ of entropy.
 - Arithmetic is within $2/m$ of entropy.
- **Symbols**
 - Huffman needs a reasonably large set of symbols
 - Arithmetic works fine on binary symbols
- **Context**
 - Huffman needs a tree for every context.
 - Arithmetic needs a small table of frequencies for every context.
- **Adaptation**
 - Huffman has an elaborate adaptive algorithm
 - Arithmetic has a simple adaptive mechanism.
- **Bottom Line** – Arithmetic is more flexible than Huffman.

Run-Length Coding

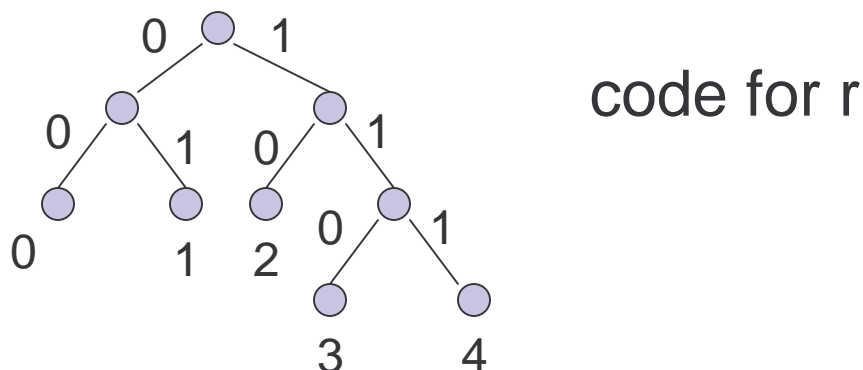
- Lots of 0's and not too many 1's.
 - Fax of letters
 - Graphics
- Simple run-length code
 - Input
00000010000000001000000000010001001.....
 - Symbols
6 9 10 3 2 ...
 - Code the bits as a sequence of integers
 - Problem: How long should the integers be?

Golomb Code of Order m

Variable Length Code for Integers

- Let $n = qm + r$ where $0 \leq r < m$.
 - Divide m into n to get the quotient q and remainder r .
- Code for n has two parts:
 1. q is coded in unary
 2. r is coded as a fixed prefix code

Example: $m = 5$



Example

- $n = qm + r$ is represented by:

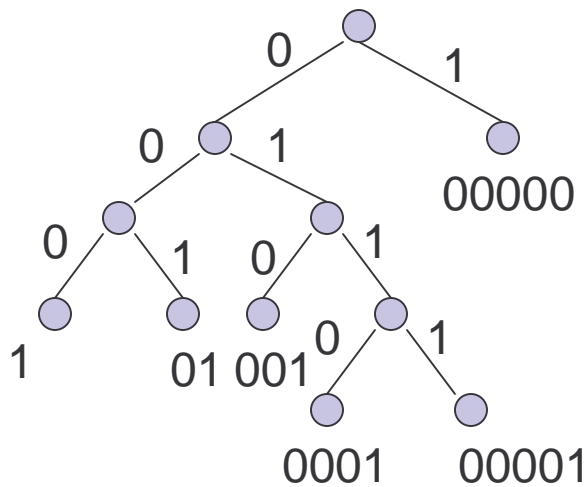
$$\overbrace{11 \cdots 10}^q \hat{r}$$

– where \hat{r} is the fixed prefix code for r

- Example ($m = 5$):

2	6	9	10	27
010	1001	10111	11000	11111010

Alternative Explanation Golomb Code of order 5



input	output
00000	1
00001	0111
0001	0110
001	010
01	001
1	000

Variable length to variable length code.

Run Length Example: $m = 5$

00000 01000000000100000000010001001.....

1

00000 01000000000100000000010001001.....

001

0000001 00000 00001000000000010001001.....

1

000000100000 00001 0000000000010001001.....

0111

In this example we coded 17 bit in only 9 bits.

Choosing m

- Suppose that 0 has the probability p and 1 has probability 1-p.
- The probability of 0^n1 is $p^n(1-p)$. The Golomb code of order

$$m = \left\lceil \frac{-1}{\log_2 p} \right\rceil$$

is optimal.

- Example: $p = 127/128$.

$$m = \left\lceil \frac{-1}{\log_2 (127/128)} \right\rceil = 89$$

Golomb Coding Exercise

- Construct the Golomb Code of order 9. Show it as a prefix code (a binary tree).

PPM

- Prediction with Partial Matching
 - Cleary and Witten (1984)
- State of the art arithmetic coder
 - Arbitrary order context
 - The context chosen is one that does a good prediction given the past
 - Adaptive
- Example
 - Context “the” does not predict the next symbol “a” well. Move to the context “he” which does.

Summary

- Statistical codes are very common as parts of image, video, music, and speech coder.
- Arithmetic and Huffman are most popular.
- Special statistical codes like Golomb codes are used in some situations.