

# Abstract interpretation

**UW CSE P 504**

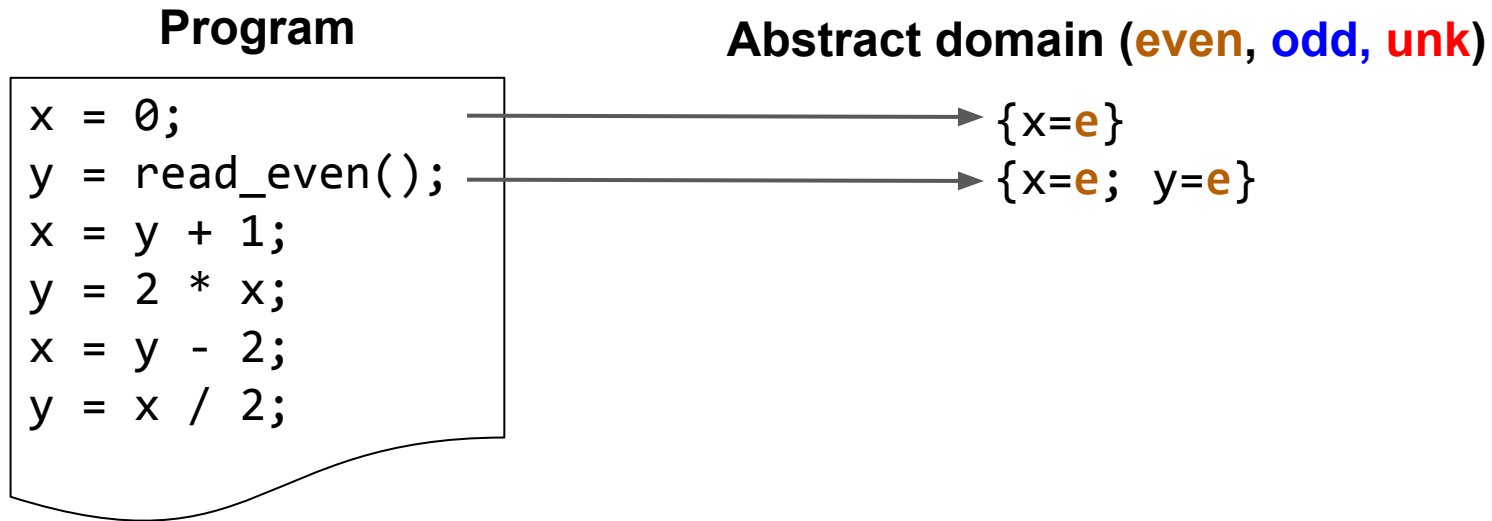
# Today

## **Abstract interpretation**

- Lattices
- Abstraction function
- Concretization function
- Transfer function (vs. lub vs. glb)
- Galois connection
- Exercise: concrete examples

# **Abstract interpretation (intuition)**

# Abstract domain and abstraction function (intuition)



# Transfer function (intuition)

## Program

```
x = 0;  
y = read_even();  
x = y + 1;  
y = 2 * x;  
x = y - 2;  
y = x / 2;
```

<b>+</b>	<b>unk</b>	<b>odd</b>	<b>even</b>
<b>unk</b>	<b>unk</b>	<b>unk</b>	<b>unk</b>
<b>odd</b>	<b>unk</b>	<b>even</b>	<b>odd</b>
<b>even</b>	<b>unk</b>	<b>odd</b>	<b>even</b>

Transfer function corresponds to the “abstract execution” of +

# **Abstract interpretation (a bit more formal)**

Set, semilattice, lattice

# Set, semilattice, lattice

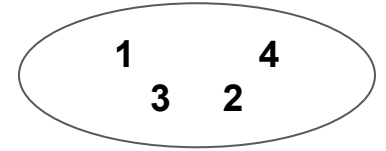
**Set**



# Set, semilattice, lattice

## Set

- **unordered** collection of **distinct** objects

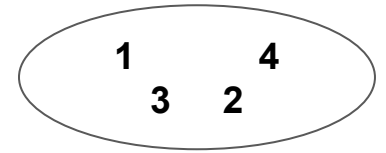


# Set, semilattice, lattice

## Set

- unordered collection of distinct objects

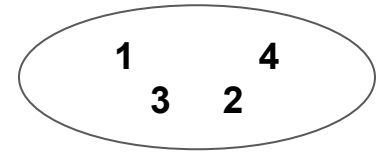
## Partially ordered set



# Set, semilattice, lattice

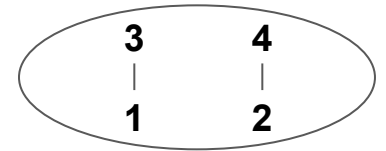
## Set

- unordered collection of distinct objects



## Partially ordered set

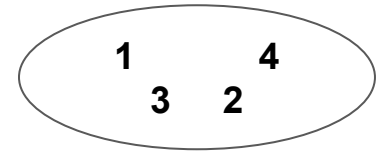
- Binary relationship  $\leq$ :
  - Reflexive:  $x \leq x$
  - Anti-symmetric:  $x \leq y \wedge y \leq x \Rightarrow x = y$
  - Transitive:  $x \leq y \wedge y \leq z \Rightarrow x \leq z$



# Set, semilattice, lattice

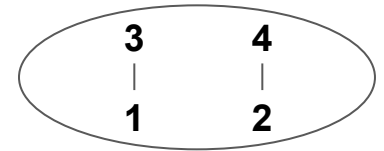
## Set

- unordered collection of distinct objects



## Partially ordered set

- Binary relationship  $\leq$ :
  - Reflexive:  $x \leq x$
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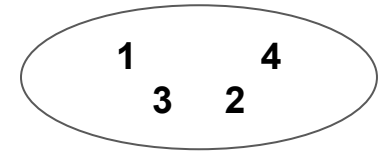
## Join semilattice

## Meet semilattice

# Set, semilattice, lattice

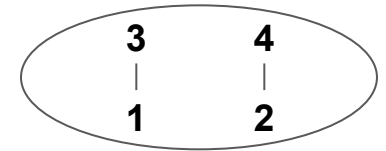
## Set

- unordered collection of distinct objects



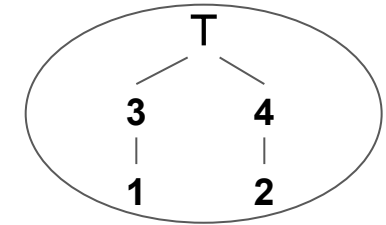
## Partially ordered set

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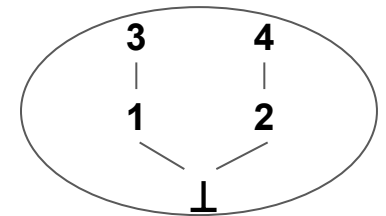
## Join semilattice

- Partially ordered set with least upper bound (join)



## Meet semilattice

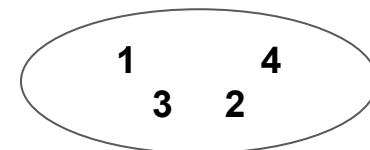
- Partially ordered set with greatest lower bound (meet)



# Set, semilattice, lattice

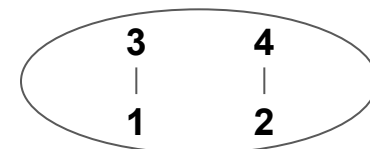
## Set

- unordered collection of distinct objects



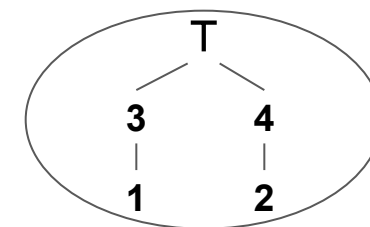
## Partially ordered set

- Binary relationship  $\leq$ :
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  - Anti-symmetric:  $x \leq y \wedge y \leq x \Rightarrow x = y$
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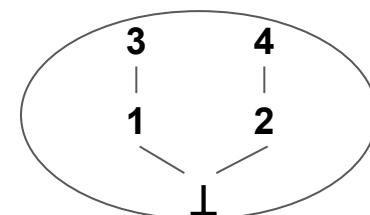
## Join semilattice

- Partially ordered set with least upper bound (join)



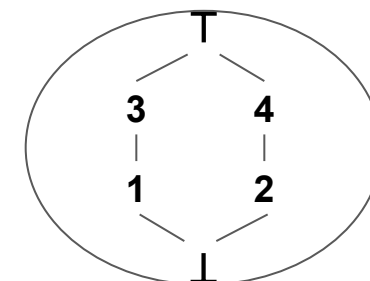
## Meet semilattice

- Partially ordered set with greatest lower bound (meet)



## Lattice

- Both a join semilattice and a meet semilattice

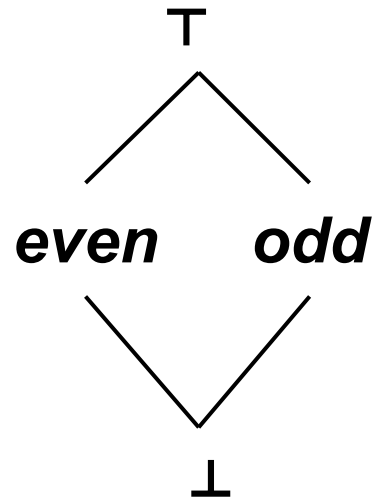


Lattice: example

**Abstract domain: *even, odd, unknown, {}***

# Lattice: example

**Abstract domain: *even, odd, unknown* ( $\top$ ),  $\{\}$  ( $\perp$ )**





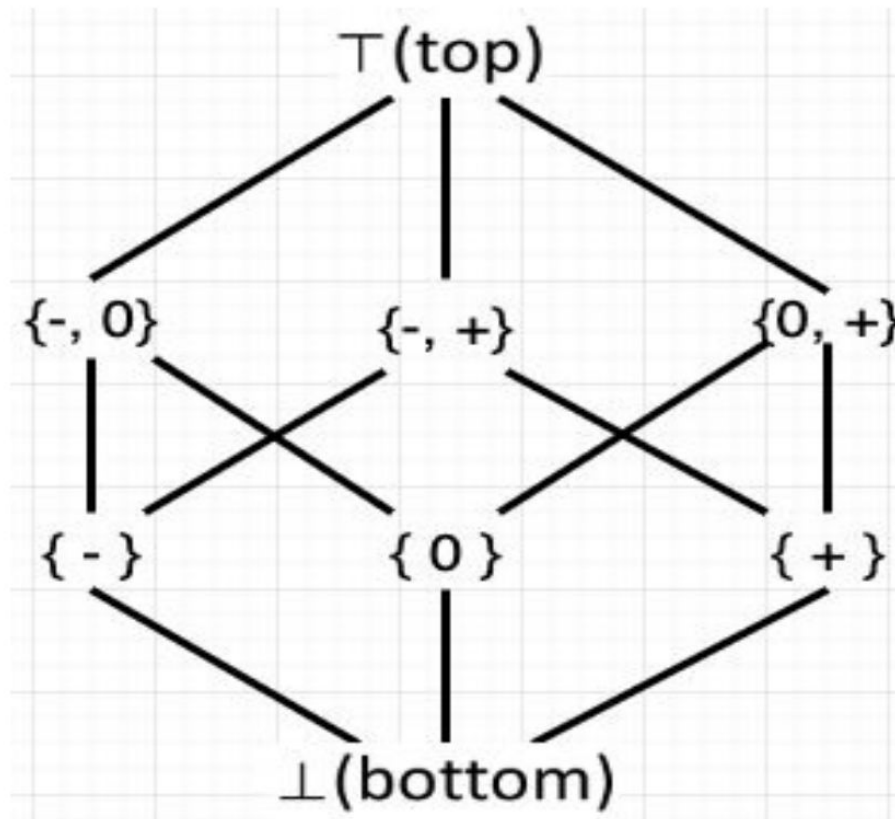
# Lattice: example



**Abstract domain: -, 0, +, *unknown*, }**

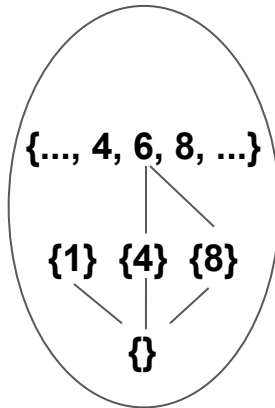
# Lattice: example

**Abstract domain: -, 0, +, unknown, {}**

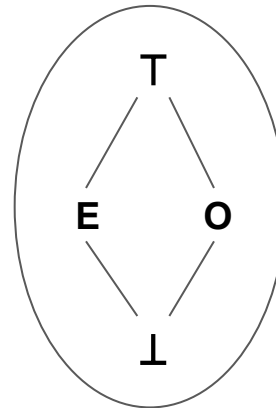


# Abstraction function

Concrete ( $P(\mathbb{N})$ )



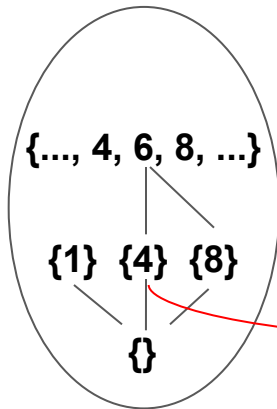
Abstract



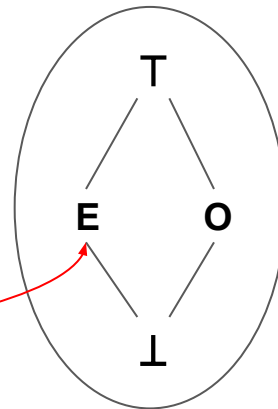
What is the abstraction ( $\alpha$ ) of  $\{4\}$ ?

# Abstraction function

Concrete ( $P(\mathbb{N})$ )



Abstract

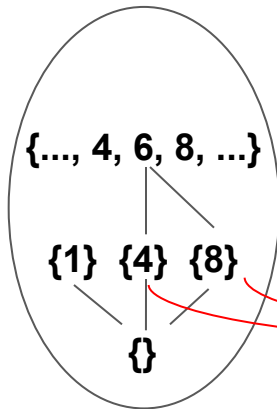


$\alpha$

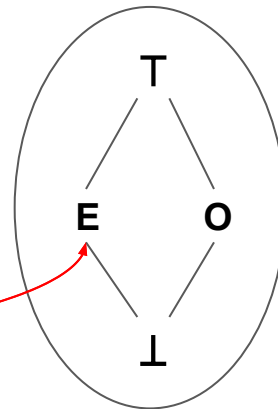
What is the abstraction ( $\alpha$ ) of  $\{8\}$ ?

# Abstraction function

Concrete ( $P(\mathbb{N})$ )



Abstract



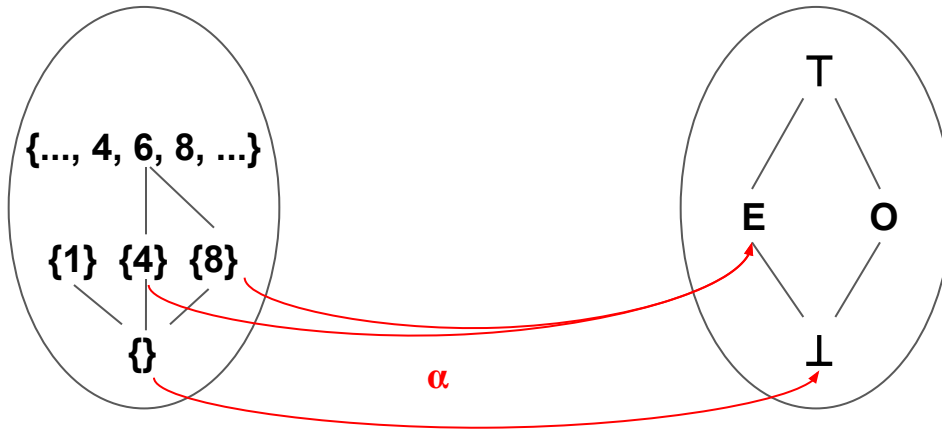
$\alpha$

What is the abstraction ( $\alpha$ ) of  $\{$ ?

# Abstraction function

Concrete ( $P(\mathbb{N})$ )

Abstract

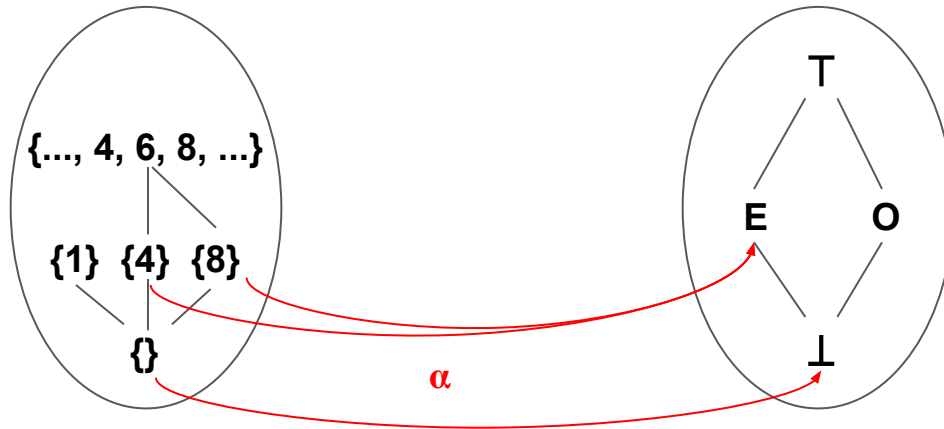


Why do we need an abstraction function?

# Concretization function

Concrete ( $P(\mathbb{N})$ )

Abstract

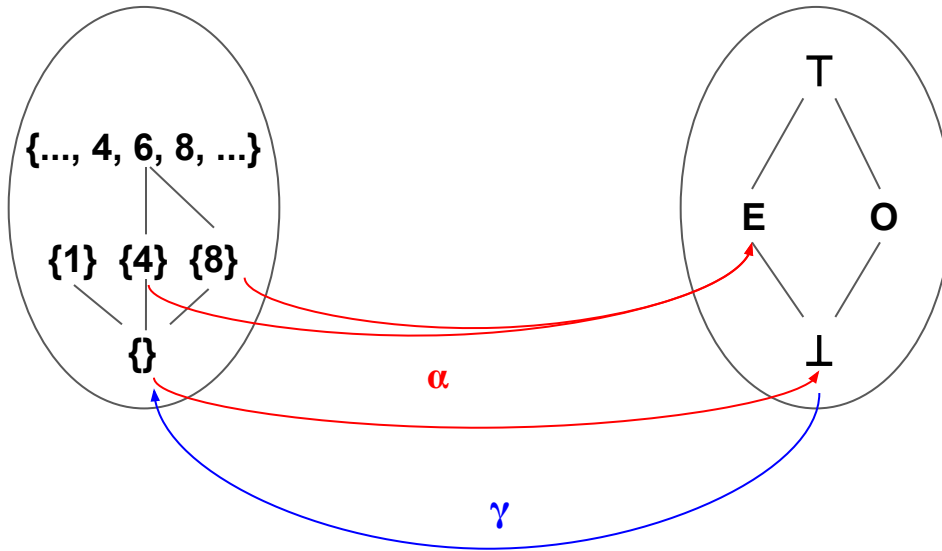


What is the concretization ( $\gamma$ ) of  $\perp$ ?

# Concretization function

Concrete ( $P(\mathbb{N})$ )

Abstract



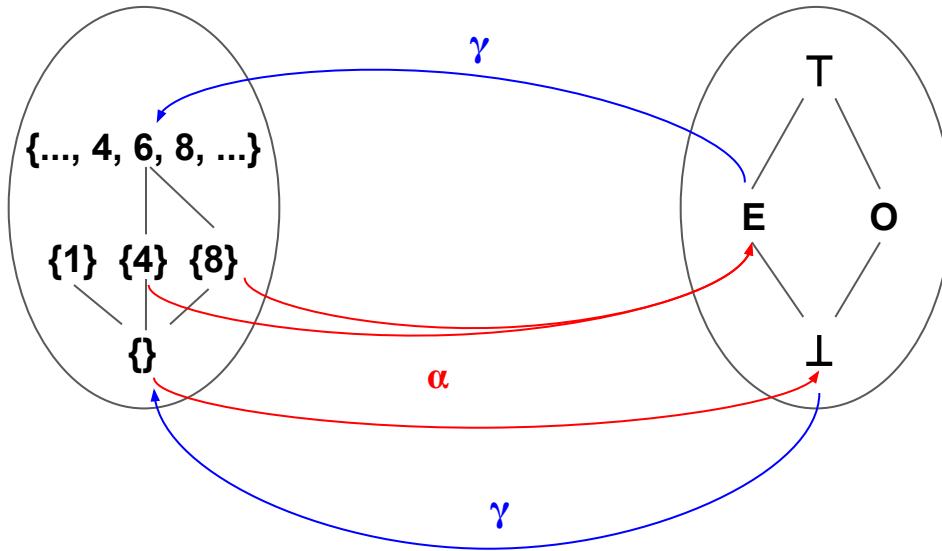
What is the concretization ( $\gamma$ ) of  $E$  ?



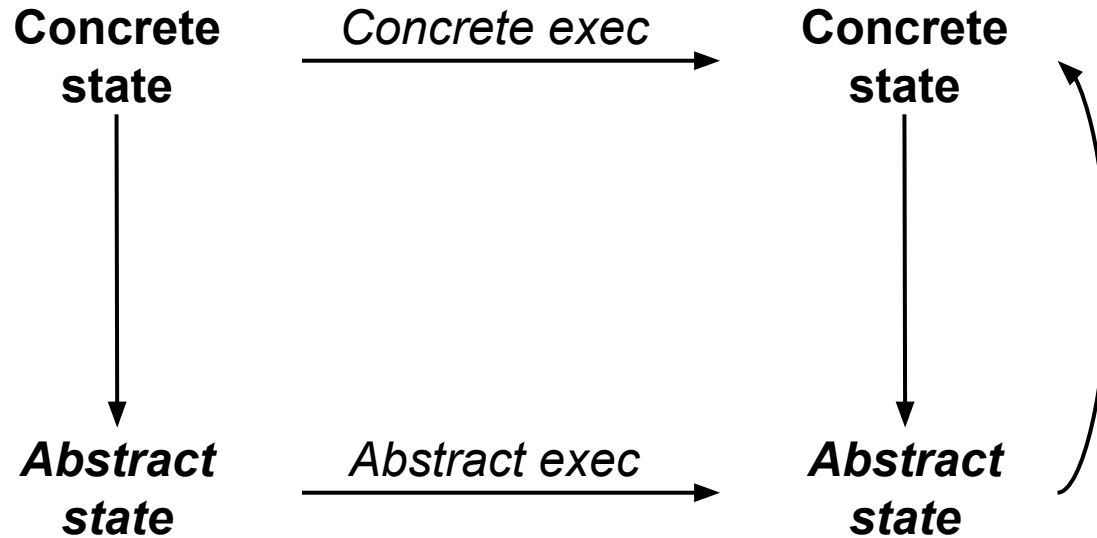
# Concretization function

Concrete ( $P(\mathbb{N})$ )

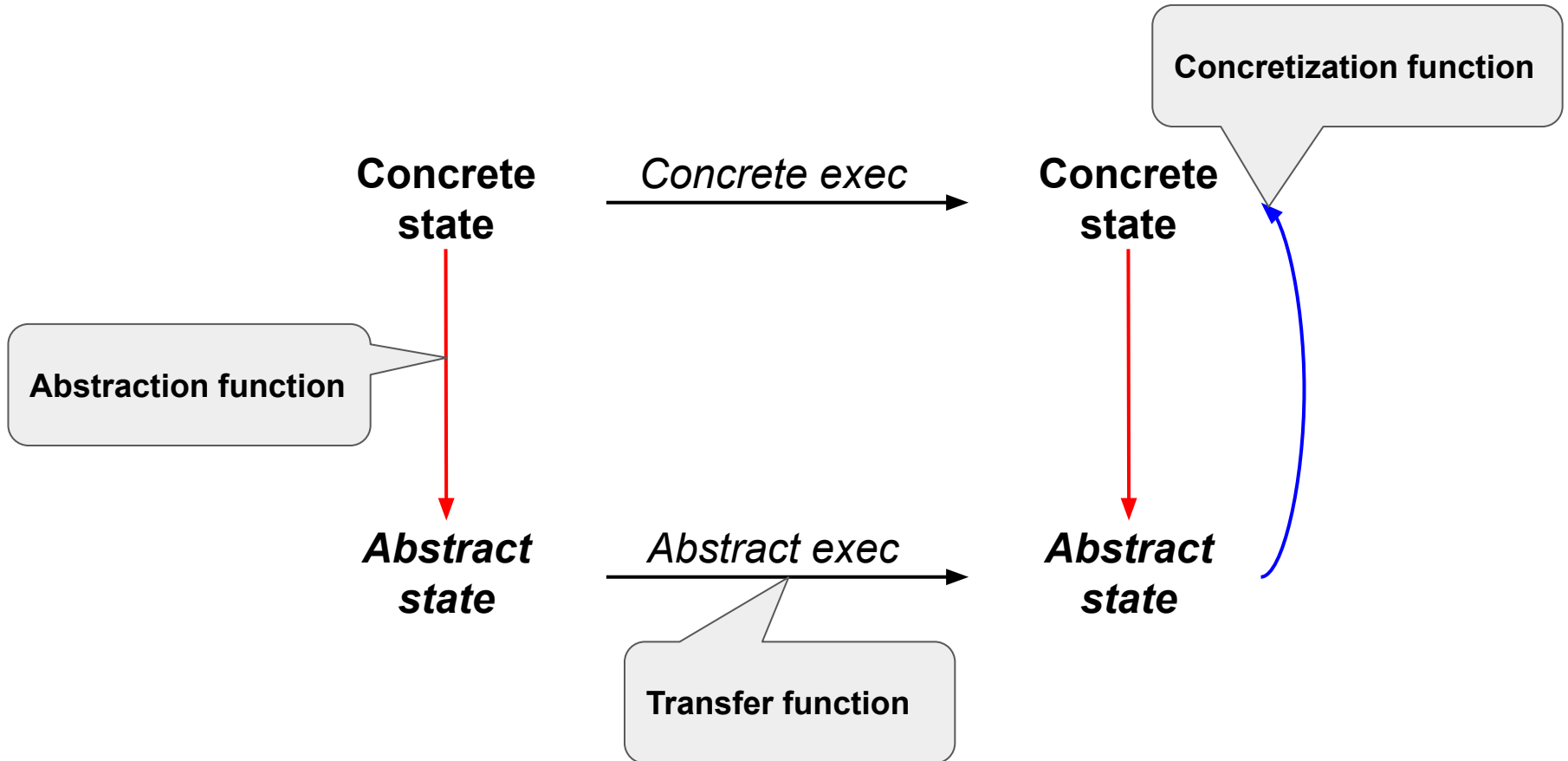
Abstract



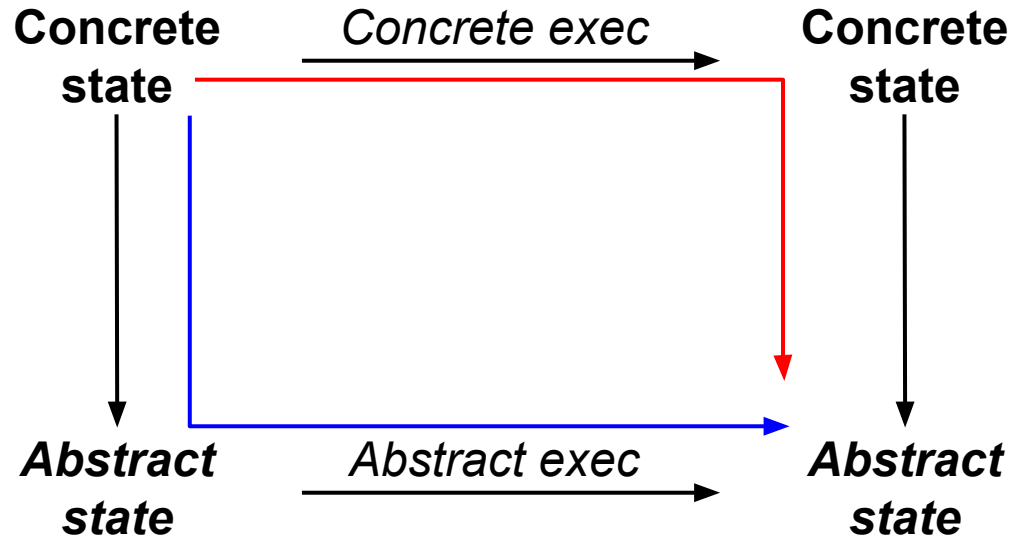
# Transfer function



# Transfer function

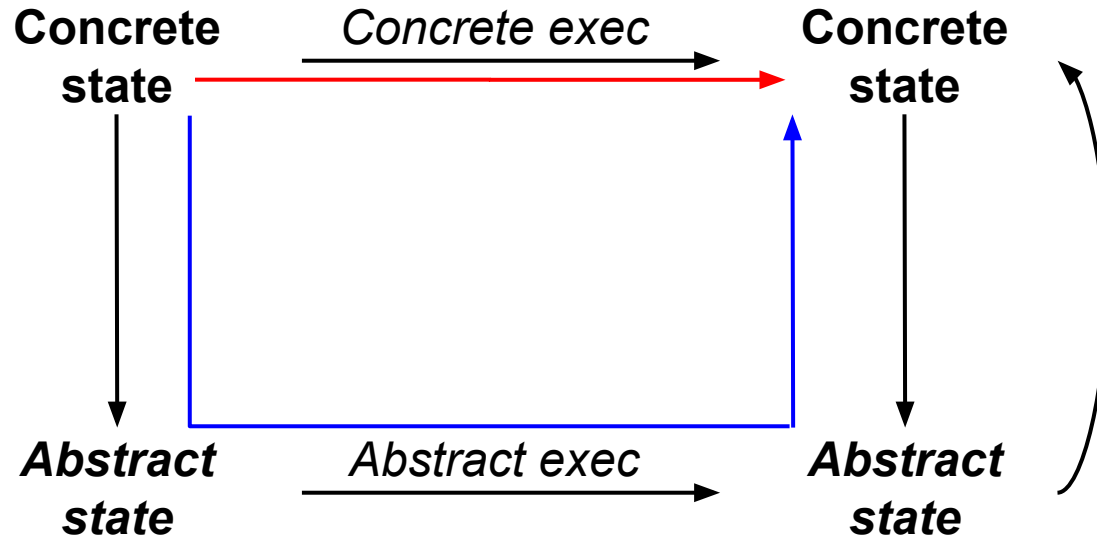


# Abstract interpretation: approximation



Do both paths lead to the same abstract state?

# Abstract interpretation: approximation



Do both paths lead to the same concrete state?

# Abstract interpretation: soundness example

**Abstract domain:  $\{odd, even_2, even_4, is2, unk\}$**

**x=16**  $\xrightarrow{16 / 2}$

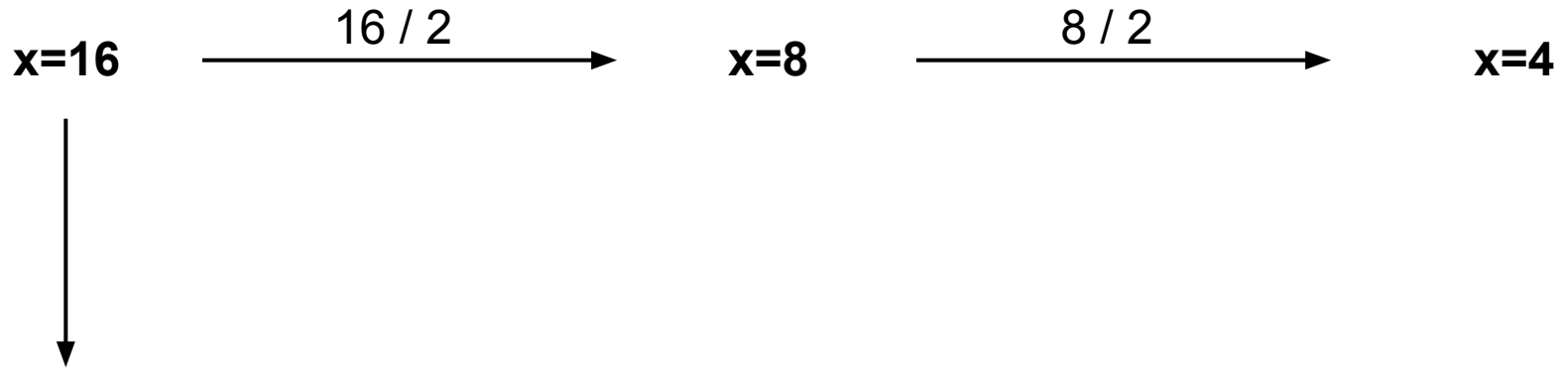
# Abstract interpretation: soundness example

**Abstract domain:  $\{odd, even_2, even_4, is2, unk\}$**



# Abstract interpretation: soundness example

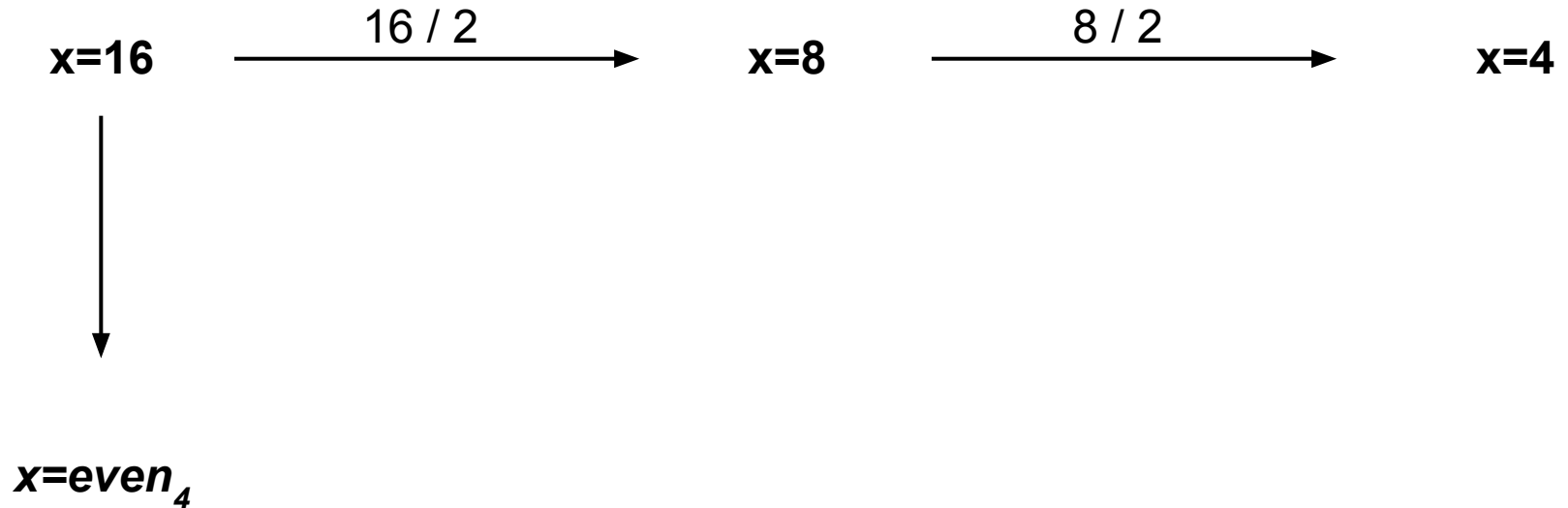
Abstract domain:  $\{odd, even_2, even_4, is2, unk\}$





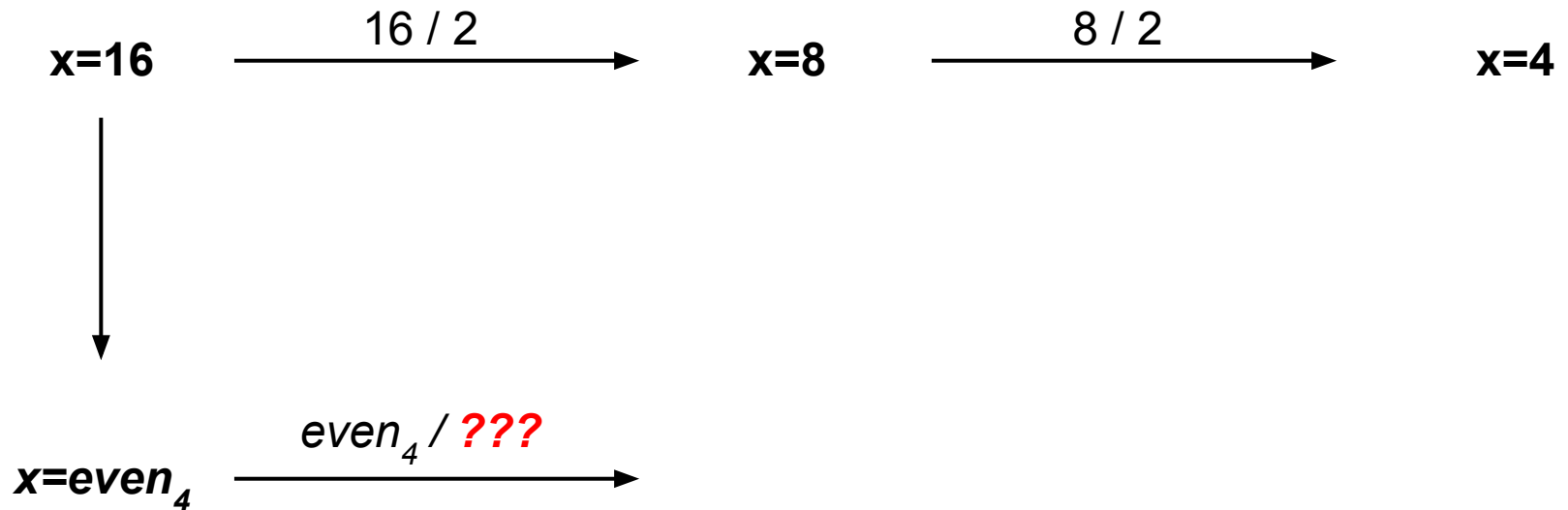
# Abstract interpretation: soundness example

Abstract domain:  $\{odd, even_2, even_4, is2, unk\}$



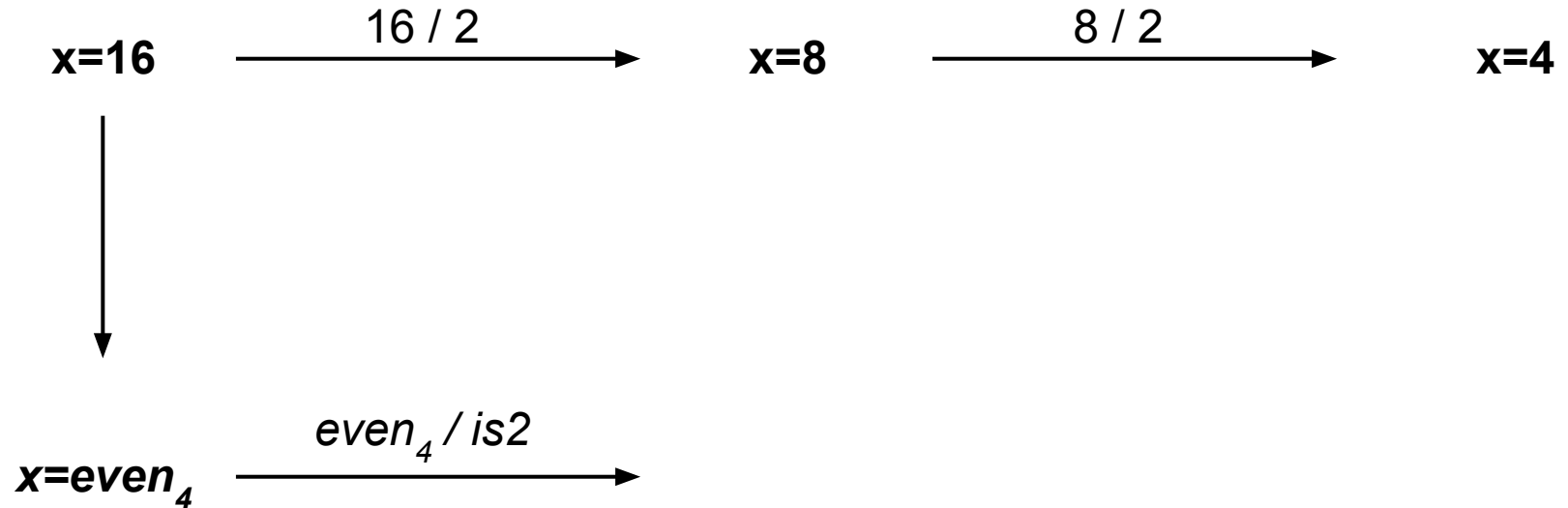
# Abstract interpretation: soundness example

Abstract domain:  $\{odd, even_2, even_4, is2, unk\}$



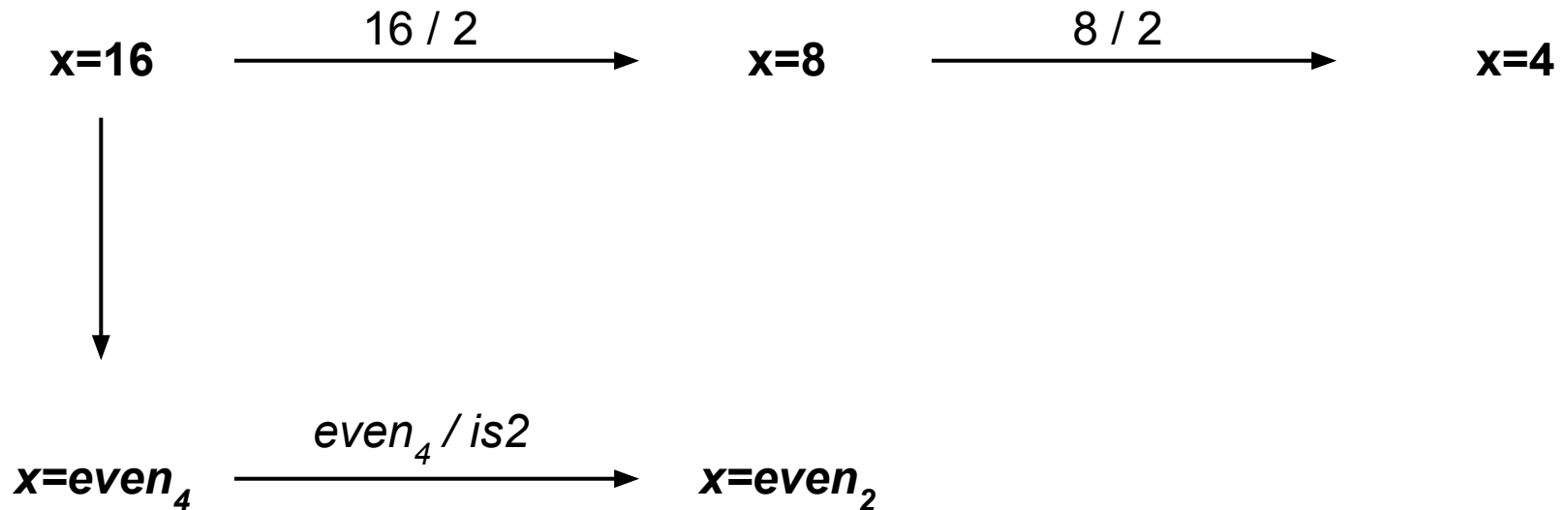
# Abstract interpretation: soundness example

Abstract domain:  $\{\text{odd}, \text{even}_2, \text{even}_4, \text{is2}, \text{unk}\}$



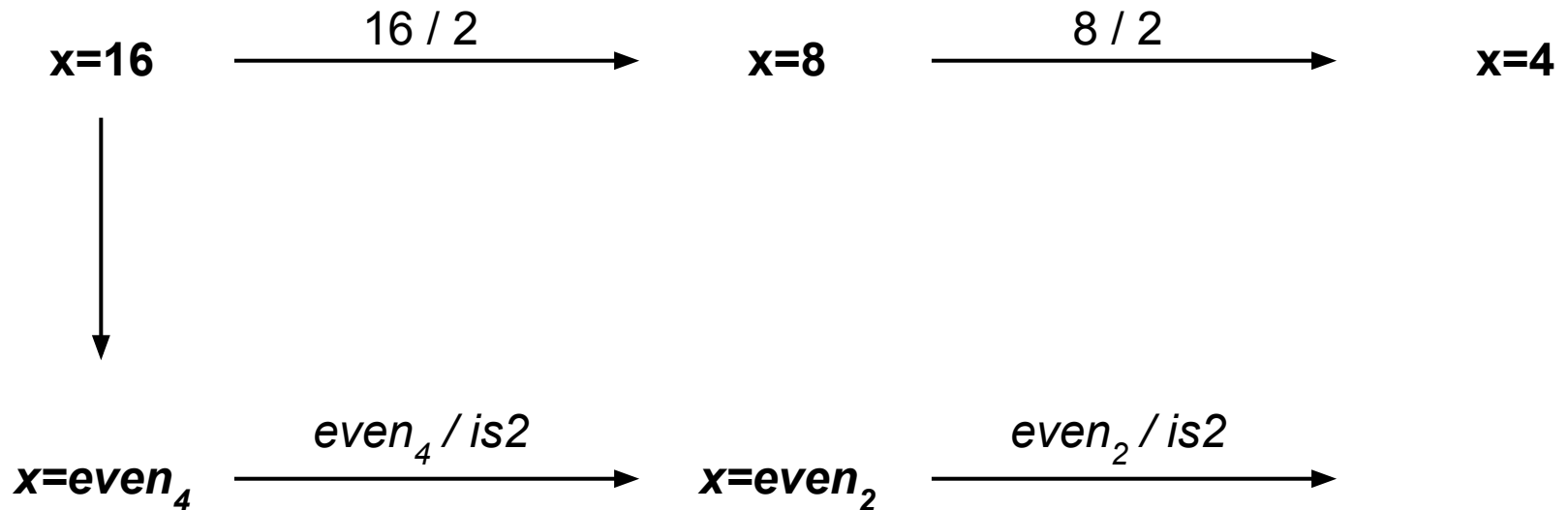
# Abstract interpretation: soundness example

Abstract domain:  $\{\text{odd}, \text{even}_2, \text{even}_4, \text{is2}, \text{unk}\}$



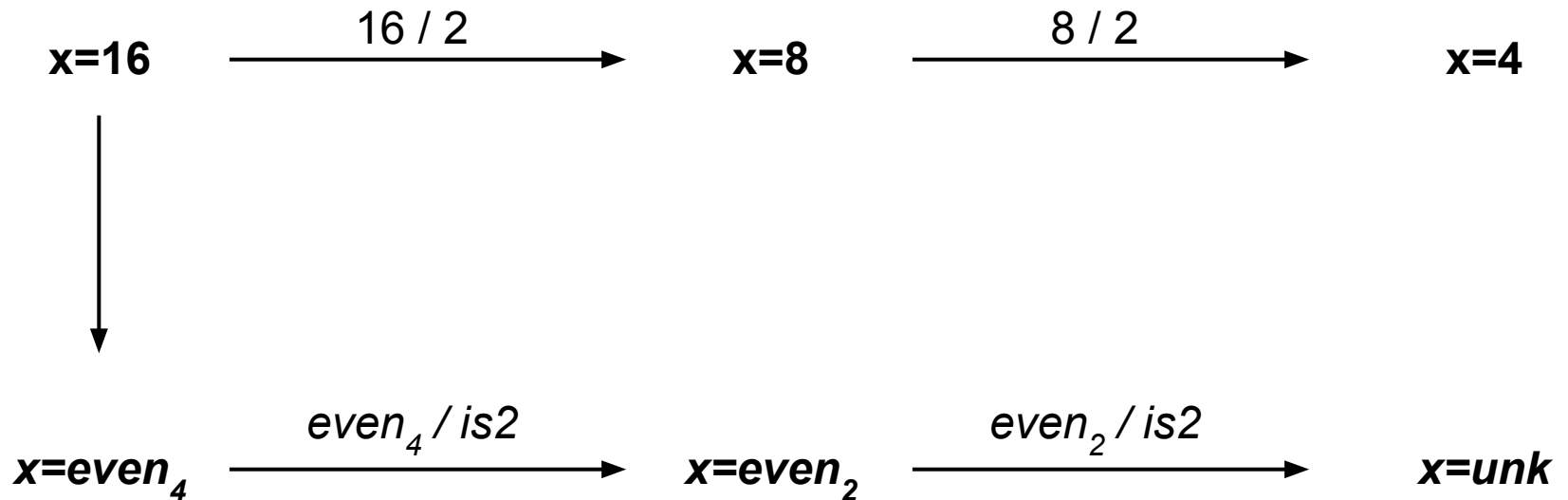
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Abstract domain:  $\{\text{odd}, \text{even}_2, \text{even}_4, \text{is2}, \text{unk}\}$



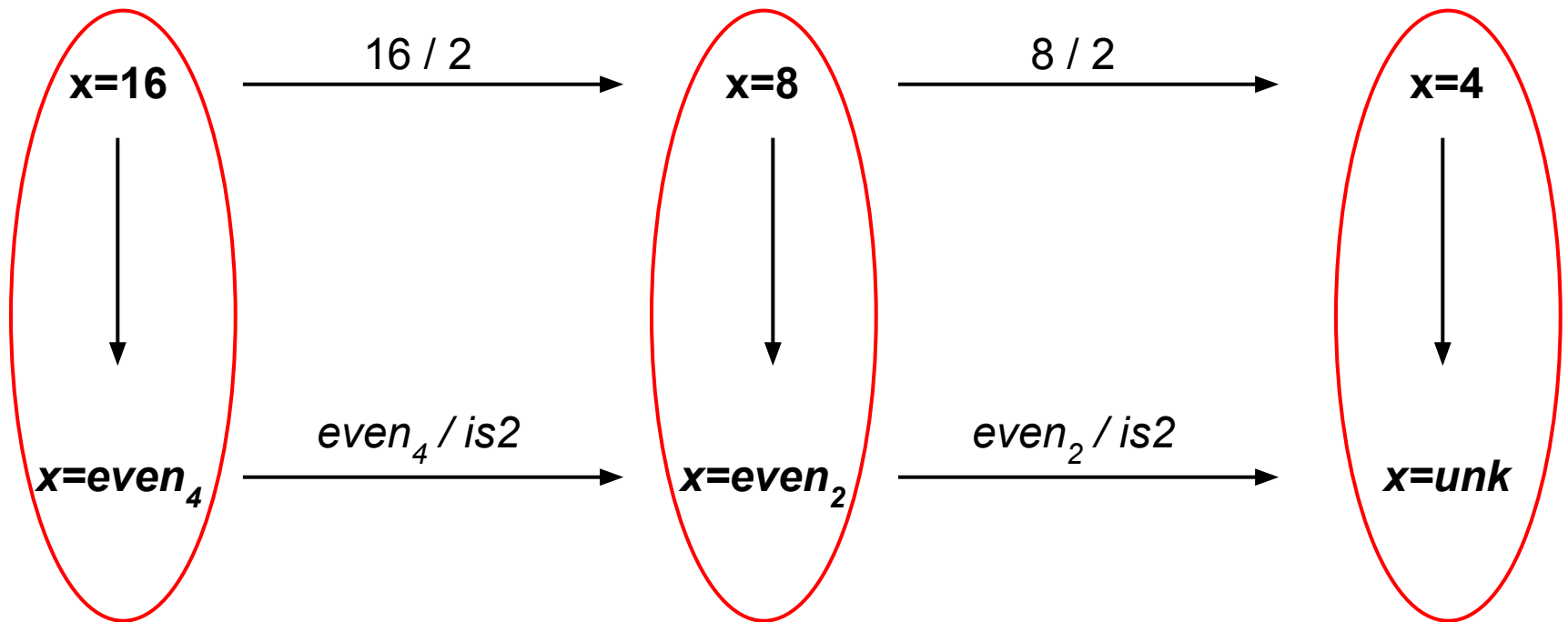
# Abstract interpretation: soundness example

Abstract domain:  $\{\text{odd}, \text{even}_2, \text{even}_4, \text{is2}, \text{unk}\}$



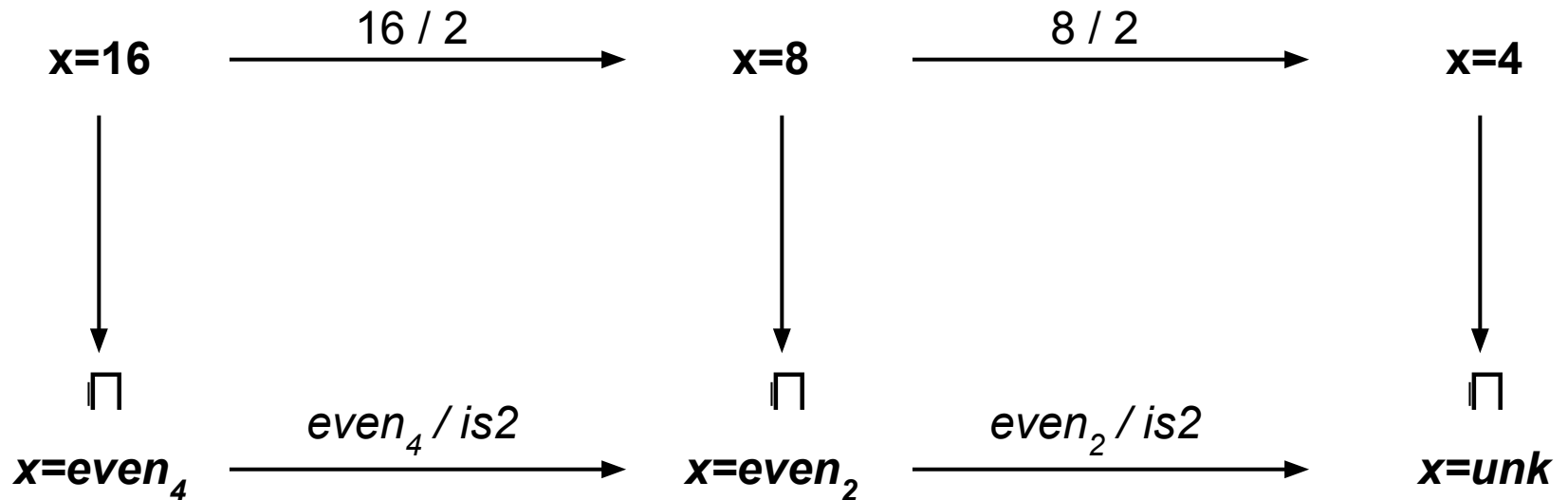
# Abstract interpretation: soundness example

Abstract domain:  $\{\text{odd}, \text{even}_2, \text{even}_4, \text{is2}, \text{unk}\}$



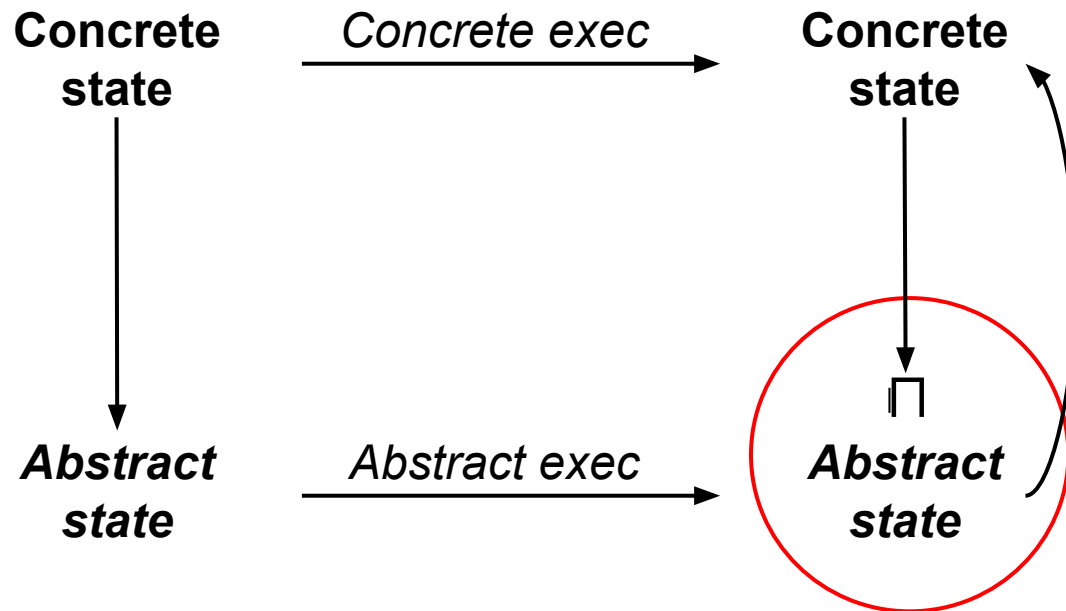
# Abstract interpretation: soundness example

Abstract domain:  $\{\text{odd}, \text{even}_2, \text{even}_4, \text{is2}, \text{unk}\}$



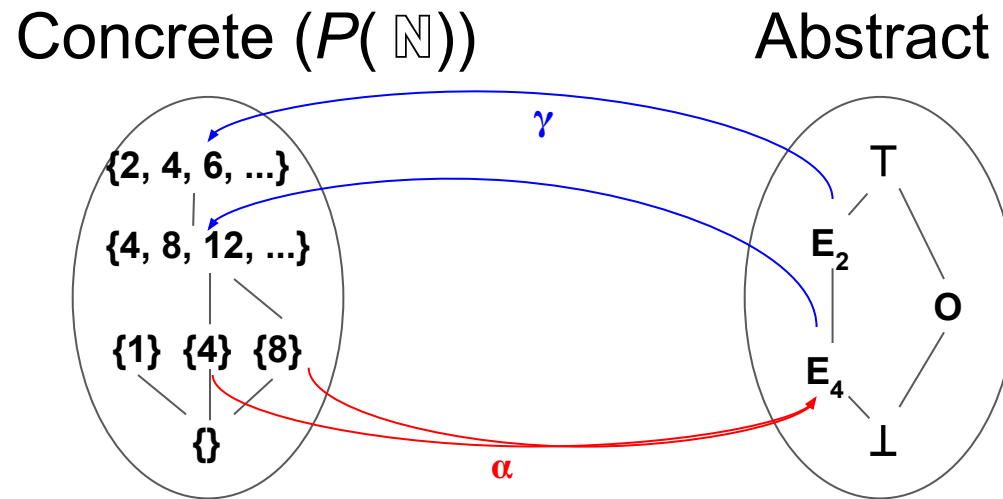


# Abstract interpretation: soundness



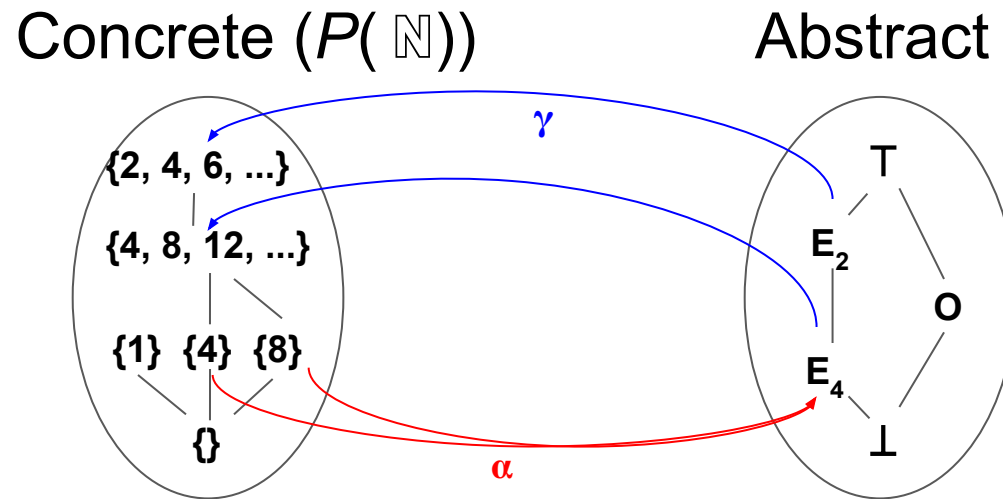
What properties must be satisfied by the abstraction, concretization, and transfer functions?

# Sound approximation: properties



What properties must  $\alpha$  and  $\gamma$  satisfy?

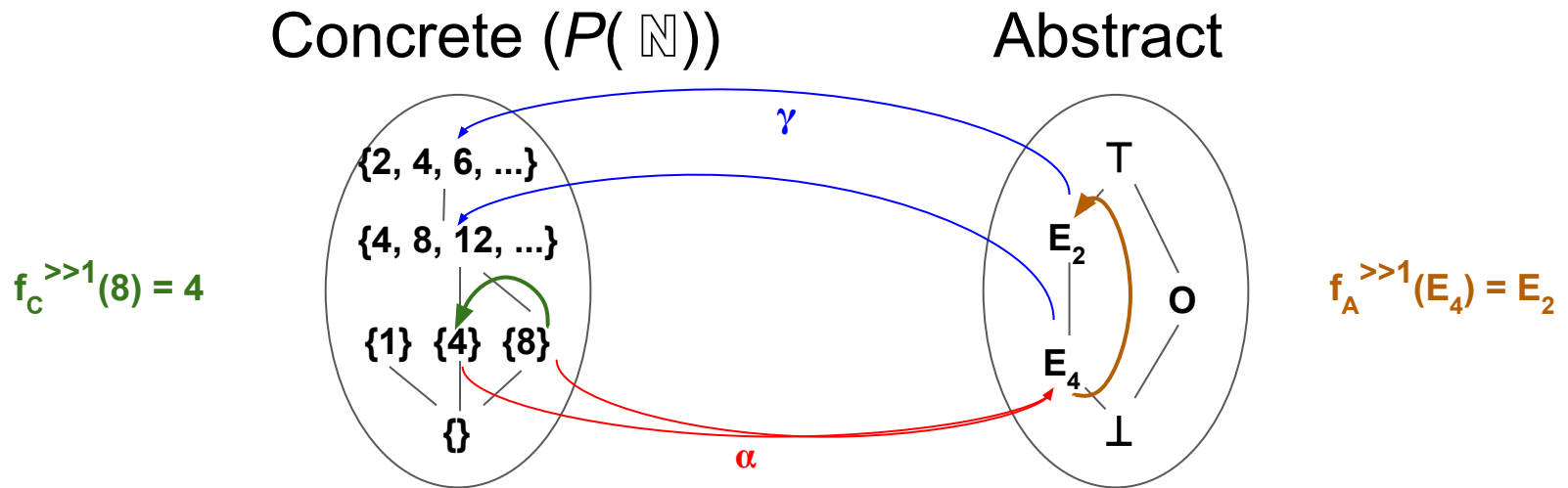
# Sound approximation: galois connection



## Galois connection

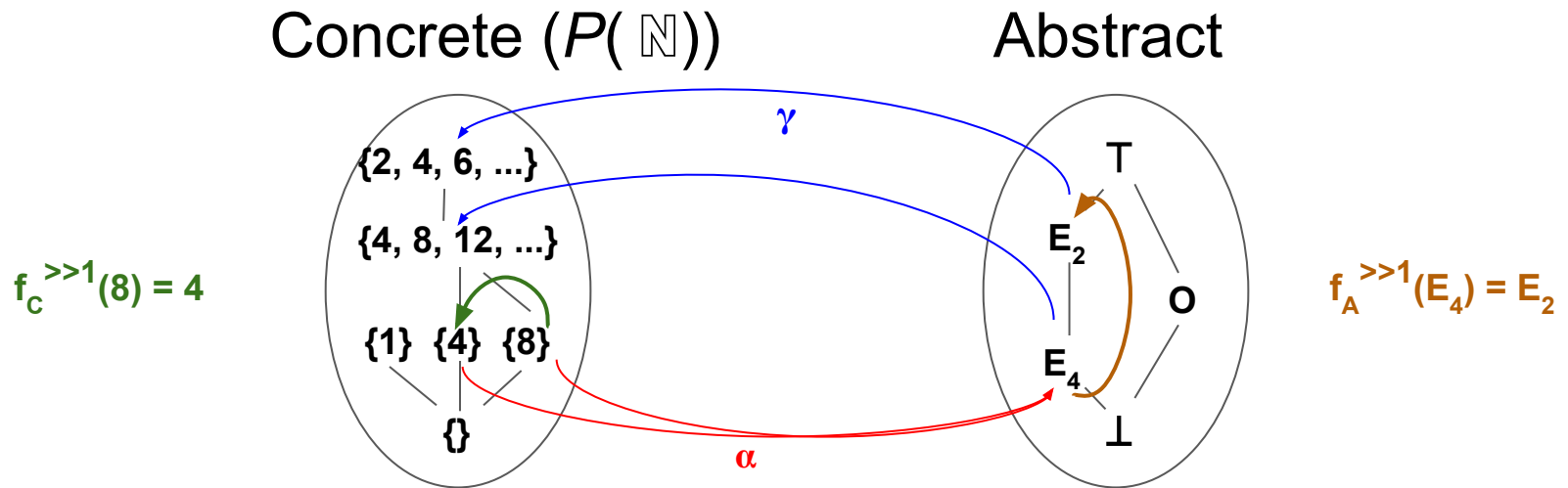
- $\alpha: C \rightarrow A$
- $\gamma: A \rightarrow C$
- $\forall c \in C: c \leq \gamma(\alpha(c))$

# Sound approximation: properties



What properties must the transfer function(s) satisfy?

# Sound approximation: consistency



## Transfer function

- Consistent with concrete execution
  - $c$ : concrete state;  $c' = f_C(c)$
  - $a$ :  $\alpha(c)$
  - $a' = f_A(a)$
  - $c'' = \gamma(a')$
  - $c' \leq c''$

# Sound approximation: properties

## Transfer function

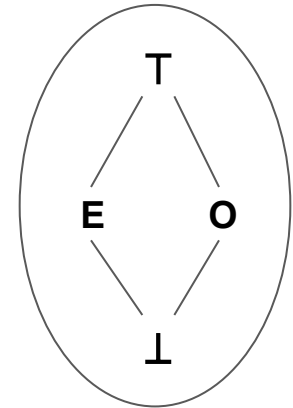
- $f_A^+ : A \times A \rightarrow A$

## Lub

- $\text{lub} : A \times A \rightarrow A$

+	E	O	T	...
E	E	O	T	
O	O	E	T	
T	T	T	T	
...				

$$\text{lub}(E, O) = T$$



What properties must the lub function satisfy?

# Sound approximation: monotonicity

## Transfer function

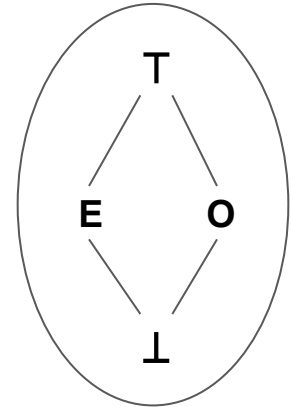
- $f_A^+ : A \times A \rightarrow A$
- may not be monotone

## Lub

- $\text{lub} : A \times A \rightarrow A$
- must be monotone

+	E	O	T	...
E	E	O	T	
O	O	E	T	
T	T	T	T	
...				

$$\text{lub}(E, O) = T$$



# Sound approximation: join (lub) vs. meet (glb)

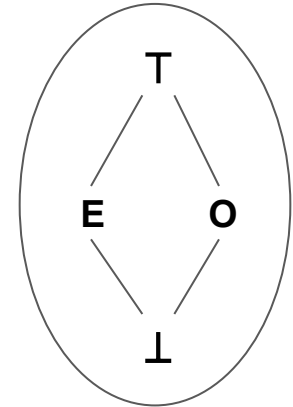
## Transfer function

- $f_A^+$ :  $A \times A \rightarrow A$
- may not be monotone

## Lub

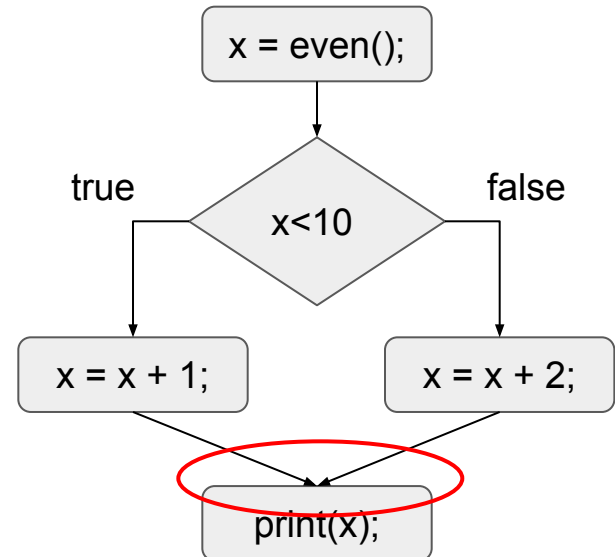
- $\text{lub}: A \times A \rightarrow A$
- must be monotone

+	E	O	T	...
E	E	O	T	
O	O	E	T	
T	T	T	T	
...				



$$\text{lub}(E, O) = T$$

```
int x = even();  
  
if (x < 10) {  
    x = x + 1;  
} else {  
    x = x + 2;  
}  
print(x);
```





# Small-group exercise



- Work through two examples:

- Join vs. meet operation ( $f(\text{int } a, \text{int } b, \text{int } c): \text{int}$ )

```
if (cond) {  
    x = a * b;  
} else {  
    x = a * c;  
}  
return(x);
```

Which parameters ( $a, b, c$ )

- will definitely be used?
- may be used?

( $\text{cond}$  is independent of the parameters)

- Termination/fix point iteration

```
int x = 2;  
while (x < 10) {  
    x = x + 2;  
}
```

Is the value of  $x$  after the loop an even number? Use an abstract domain with  $\{\text{odd}, 2, \text{even}_2, \text{and } \text{even}_4\}$



# Small-group exercise

- Work through two examples:

- Join vs. meet operation ( $f(\text{int } a, \text{int } b, \text{int } c): \text{int}$ )

```
if (cond) {  
    x = a * b;  
} else {  
    x = a * c;  
}  
return(x);
```

Which parameters ( $a, b, c$ )

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( $\text{cond}$  is independent of the parameters)

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Is the value of  $x$  after the loop an even number? Use an abstract domain with  $\{\text{odd}, 2, \text{even}_2, \text{and } \text{even}_4\}$

See Q&A write-up:

<https://docs.google.com/document/d/1VEWmFIJVtD2F9ZkXIZ9xeOXGAtkRZATIX13wc1NYmtw>

# **Checker Framework live demo**