# CSE P 501 – Compilers

# Intermediate Representations Hal Perkins Autumn 2025

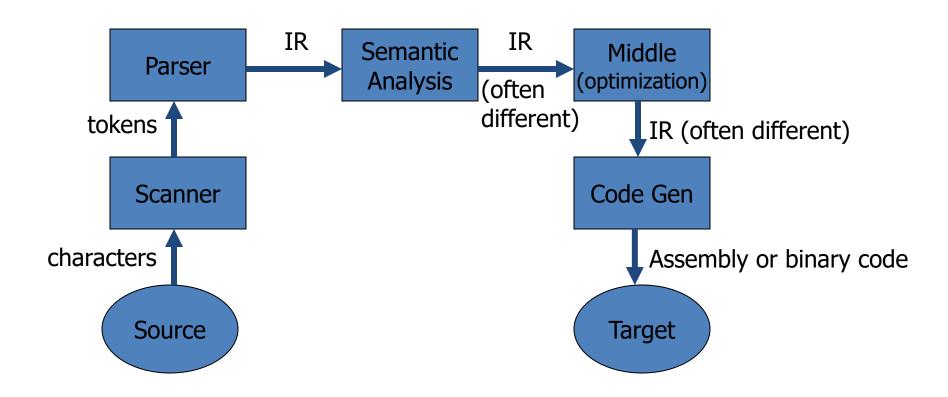
### Administrivia

- Semantics/types/symbol table compiler project due ~2 weeks
  - Parser/AST feedback coming shortly fix anything that needs repair; mail to csep501-staff[at]cs if questions (and cc your partner)
  - Should definitely have symbol table and Type ADT class definitions done by end of this weekend. Too much to do in one weekend before it's due
  - Project checkin during office hours Sat. (zoom please push code to gitlab repo before call) and Wed.
     5-6:20 (in person). Get feedback on symbol table and type checking APIs. Not required, but encouraged.

# Agenda

- Survey of Intermediate Representations
  - Graphical
    - Concrete/Abstract Syntax Trees (ASTs)
    - Control Flow Graph
    - Dependence Graph
  - Linear Representations
    - Stack Based
    - 3-Address
- Several of these will show up as we explore program analysis and optimization

# Compiler Structure (review)



### Intermediate Representations

- In most compilers, the parser builds an intermediate representation of the program
  - Typically an AST, as in the MiniJava project
- Rest of the compiler transforms the IR to improve ("optimize") it and eventually translate to final target code
  - Typically will transform initial IR to one or more different IRs along the way
- Some general examples now; more specifics later as needed

### IR Design

- Decisions affect speed and efficiency of the rest of the compiler
  - General rule: compile time is important, but performance/quality of generated code often more important
  - Typical case for production code: compile a few times, run many times
    - Although the reverse is true during development
  - So make choices that improve compiler speed as long as they don't compromise the desired result

### IR Design

- Desirable properties
  - Easy to generate
  - Easy to manipulate
  - Expressive
  - Appropriate level of abstraction
- Different tradeoffs depending on compiler goals
- Different tradeoffs in different parts of the same compiler
  - So often different IRs in different parts

### IR Design Taxonomy

#### Structure

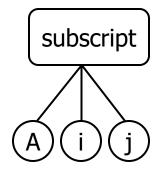
- Graphical (trees, graphs, etc.)
- Linear (code for some abstract machine)
- Hybrids are common (e.g., control-flow graphs whose nodes are basic blocks of linear code)

#### Abstraction Level

- High-level, near to source language
- Low-level, closer to machine (exposes more details to compiler)

# Examples: Array Reference

source: A[i,j]



$$t1 \leftarrow A[i,j]$$

### Levels of Abstraction

- Key design decision: how much detail to expose
  - Affects possibility and profitability of various optimizations
    - Depends on compiler phase: some semantic analysis & optimizations are easier with high-level IRs close to the source code. Low-level usually preferred for other optimizations, register allocation, code generation, etc.
  - Structural (graphical) IRs are typically fairly high-level
    - but are also used for low-level
  - Linear IRs are typically low-level
  - But these generalizations don't always hold

# **Graphical IRs**

- IR represented as a graph (or tree)
- Nodes and edges typically reflect some structure of the program
  - E.g., source code, control flow, data dependence
- May be large (especially syntax trees)
- High-level examples: syntax trees, DAGs
  - Generally used in early phases of compilers
- Other examples: control flow graphs and data dependency graphs
  - Often used in optimization and code generation

### Concrete Syntax Trees

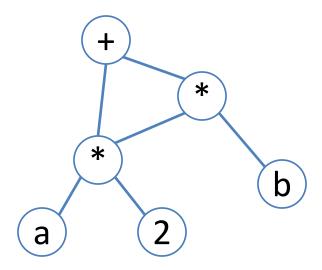
- The full grammar is needed to guide the parser, but contains many extraneous details
  - Chain productions
  - Rules that control precedence and associativity
- Typically the full concrete syntax tree (parse tree) is not used explicitly, but sometimes we want it (structured source code editors or for transformations, ...)

### **Abstract Syntax Trees**

- Want only essential structural information
  - Omit extra junk
- Can be represented explicitly as a tree or in a linear form
  - Example: LISP/Scheme/Racket S-expressions are essentially ASTs (e.g., (\* 2 (+ 3 4)))
- Common output from parser; used for static semantics (type checking, etc.) and sometimes high-level optimizations

# DAGs (Directed Acyclic Graphs)

- Variation on ASTs to capture shared substructures
- Pro: saves space, exposes redundant sub-expressions
- Con: less flexibility if part of tree should be changed
- Example: (a\*2) + ((a\*2)\*b)



### Linear IRs

- Pseudo-code for some abstract machine
- Level of abstraction varies
- Simple, compact data structures
  - Commonly used: arrays, linked lists
- Examples: 3-address code, stack machine code

 $t1 \leftarrow 2$   $t2 \leftarrow b$   $t3 \leftarrow t1 * t2$   $t4 \leftarrow a$   $t5 \leftarrow t4 - t3$ 

- Fairly compact
- Compiler can control reuse of names – clever choice can reveal optimizations
- ILOC & similar code

push 2 push b multiply push a subtract

- Each instruction consumes top of stack
   pushes result
- Very compact
- Easy to create and interpret
- Java bytecode, MSIL

### Abstraction Levels in Linear IR

- Linear IRs can also be close to the source language, very low-level, or somewhere in between.
- Examples: Linear IRs for C array reference a[i][i+2]
  - High-level:  $t1 \leftarrow a[i,j+2]$

# More IRs for a[i][j+2]

#### Medium-level

$$t1 \leftarrow j + 2$$

$$t2 \leftarrow i * 20$$

$$t3 \leftarrow t1 + t2$$

$$t4 \leftarrow 4 * t3$$

$$t6 \leftarrow t5 + t4$$

retains basic symbolic info about variables

#### Low-level

$$r1 \leftarrow [fp-4]$$

$$r2 \leftarrow r1 + 2$$

$$r3 \leftarrow [fp-8]$$

$$r5 \leftarrow r4 + r2$$

$$r6 \leftarrow 4 * r5$$

$$r7 \leftarrow fp - 216$$

$$f1 \leftarrow [r7+r6]$$

expose all details of the low-level layout; explicit memory refs and calcs

### **Abstraction Level Tradeoffs**

- High-level: good for some source-level optimizations, semantic checking, but can't optimize things that are hidden – like address arithmetic for array subscripting
- Low-level: need for good code generation and resource utilization in back end but loses some semantic knowledge (e.g., variables, data aggregates, source relationships are usually missing)
- Medium-level: more detail but keeps more higher-level semantic information – great for machine-independent optimizations. Many (all?) optimizing compilers work at this level
- Many compilers use all 3 in different phases

# Three-Address Code (TAC)

- Usual form: x ← y op z
  - One operator
  - Maximum of 3 names
  - (Copes with: nullary  $x \leftarrow y$  and unary  $x \leftarrow op y$ )
- Eg: x = 2 \* (m + n) becomes  $t1 \leftarrow m + n$ ;  $t2 \leftarrow 2 * t1$ ;  $x \leftarrow t2$ 
  - You may prefer: add t1, m, n; mul t2, 2, t1; mov x, t2
  - Invent as many new temp names as needed. "expression temps" don't correspond to any user variables; de-anonymize expressions
- Store in a quad(ruple)
  - <lhs, rhs1, op, rhs2>

### Three Address Code

#### Advantages

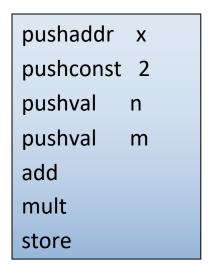
- Resembles code for actual machines
- Explicitly names intermediate results
- Compact
- Often easy to rearrange

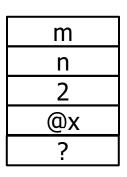
#### Various representations

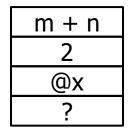
- Quadruples, triples, SSA (Static Single Assignment)
- We will see much more of this...

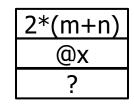
# Stack Machine Code Example

Hypothetical code for x = 2 \* (m + n)









?

Compact: common opcodes just 1 byte wide; instructions have 0 or 1 operand

### Stack Machine Code

- Originally used for stack-based computers (famous example: B5000, ~1961)
- Often used for virtual machines:
  - Pascal pcode
  - Forth
  - Java bytecode in a .class files (generated by Java compiler)
  - MSIL in a .dll or .exe assembly (generated by C#/F#/VB compiler)
- Advantages
  - Compact; mostly 0-address opcodes (fast download over slow network)
  - Easy to generate; easy to write a front-end compiler, leaving the "heavy lifting" and optimizations to the JIT
  - Simple to interpret or compile to machine code
- Disadvantages
  - Somewhat inconvenient/difficult to optimize directly
  - Does not match up with modern chip architectures

# Hybrid IRs

Combination of structural and linear

Level of abstraction varies

 Most common example: control-flow graph (CFG)

# Control Flow Graph (CFG)

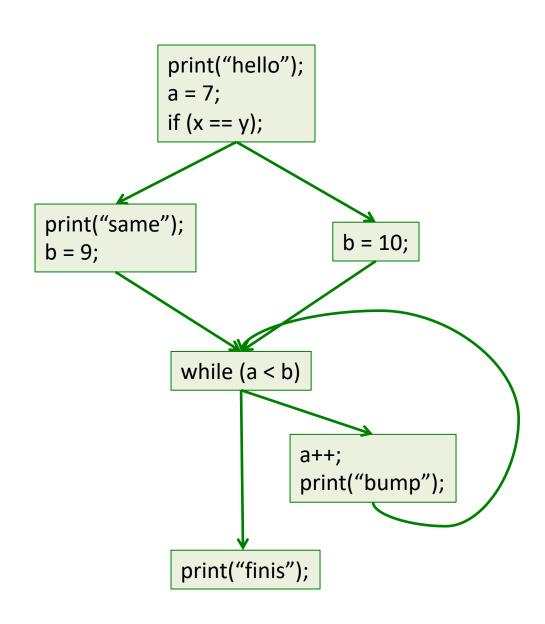
- Nodes: basic blocks
- Edges: represent possible flow of control from one block to another, i.e., possible execution orderings
  - Edge from A to B if B could execute immediately after A in some possible execution
- Required for much of the analysis done during optimization phases

### **Basic Blocks**

- Fundamental concept in analysis/optimization
- A basic block is:
  - A sequence of code
  - One entry, one exit
  - Always executes as a single unit ("straightline code") so it can be treated as an indivisible unit
    - We'll ignore exceptions, at least for now
- Usually represented as some sort of a list although Trees/DAGs are possible

### **CFG Example**

```
print("hello");
a=7;
if (x == y) {
 print("same");
 b = 9;
} else {
 b = 10;
while (a < b) {
 a++;
 print("bump");
print("finis");
```



### Basic Blocks: Start with Tuples

```
1 i = 1
                                 10 i = i + 1
2 i = 1
                                 11 if i <= 10 goto #2
3 t1 = 10 * i
                                 12 i = 1
4 t2 = t1 + j
                                13 t5 = i - 1
                                14 t6 = 88 * t5
5 t3 = 8 * t2
6 t4 = t3 - 88
                                15 a[t6] = 1
                                16 i = i + 1
7 a[t4] = 0
8 j = j + 1
                                 17 if i <= 10 goto #13
9 if j <= 10 goto #3
```

Typical "tuple stew" - IR generated by traversing an AST

#### Partition into Basic Blocks:

- Sequence of consecutive instructions
- No jumps into the middle of a BB
- No jumps out of the middles of a BB
- "I've started, so I'll finish"
- (Ignore exceptions)

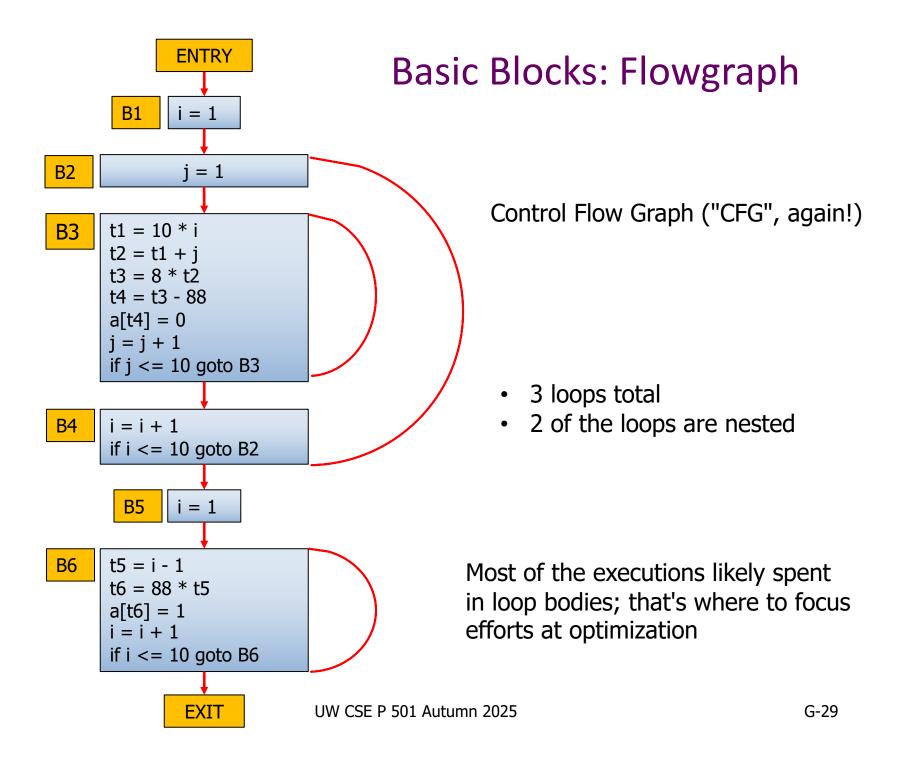
### **Basic Blocks: Leaders**

```
1 i = 1
                                10 i = i + 1
                                 11 if i <= 10 goto #2
2 i = 1
3 t1 = 10 * i
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                                16 i = i + 1
7 a[t4] = 0
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```

Identify Leaders (first instruction in a basic block):

- First instruction is a leader
- Any target of a branch/jump/goto
- Any instruction immediately after a branch/jump/goto

Leaders in red. Why is each leader a leader?



### Identifying Basic Blocks: Recap

Perform linear scan of instruction stream

- A basic blocks begins at each instruction that is:
  - The beginning of a method
  - The target of a branch
  - Immediately follows a branch or return

# **Dependency Graphs**

- Often used in conjunction with another IR
- Data dependency: edges between nodes that reference common data
- Examples
  - Block A defines x then B reads it (RAW read after write)
  - Block A reads x then B writes it (WAR "antidependence")
  - Blocks A and B both write x (WAW) order of blocks must reflect original program semantics
- These restrict reorderings the compiler can do

### What IR to Use?

- Common choice: all(!)
  - AST used in early stages of the compiler
    - Closer to source code
    - Good for semantic analysis
    - Facilitates some higher-level optimizations
  - Lower to linear IR(s) for optimization and codegen
    - Closer to machine code
    - Use to build control-flow graph
    - Exposes machine-related optimizations
  - Hybrid (graph + linear IR = CFG) for dataflow & opt

### **Coming Attractions**

- Survey of compiler "optimizations"
- Analysis and transformation algorithms for optimizations (including SSA IR)
- Back-end organization in production compilers
  - Instruction selection and scheduling, register allocation
- Other topics depending on time