## CSE P 501 - Compilers

## Value Numbering \& Optimizations Hal Perkins

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## Agenda

- Optimization (Review)
- Goals
- Scope: local, superlocal, regional, global (intraprocedural), interprocedural
- Control flow graphs (reminder)
- Value numbering
- Dominators
- Ref.: Cooper/Torczon ch. 8


## Code Improvement (1)

- Pick a better algorithm(!)
- Use machine resources efficiently
- Instructions, registers
- More later...


## Code Improvement (2)

- Local optimizations - basic blocks
- Algebraic simplifications
- Constant folding
- Common subexpression elimination (i.e., redundancy elimination)
- Dead code elimination
- Specialize computation based on context
- etc., etc., ...


## Code Improvement (3)

- Global optimizations
- Code motion
- Moving invariant computations out of loops
- Strength reduction (replace multiplications by repeated additions, for example)
- Global common subexpression elimination
- Global register allocation
- Many others...


## "Optimization"

- None of these improvements are truly
"optimal"
- Hard problems (in theory-of-computation sense)
- Proofs of optimality assume artificial restrictions
- Best we can do is to improve things
- Most (much?) (some?) of the time
- Realistically: try to do better for common idioms both in the code and on the machine


## Optimization Phase

- Goal
- Discover, at compile time, information about the runtime behavior of the program, and use that information to improve the generated code


## A First Running Example: Redundancy Elimination

- An expression $x+y$ is redundant at a program point iff, along every path from the procedure's entry, it has been evaluated and its constituent subexpressions ( x and y) have not been redefined
- If the compiler can prove the expression is redundant:
- Can store the result of the earlier evaluation
- Can replace the redundant computation with a reference to the earlier (stored) result



## Common Pattern for Code Improvement

- Typical for most compiler optimizations
- First, discover opportunities through program analysis
- Then, modify the IR to take advantage of the opportunities
- Historically, goal usually was to decrease execution time
- Other possibilities: reduce space, power, ...


## Issues (1)

- Safety - transformation must not change program meaning
- Must generate correct results
- Can't generate spurious errors
- Optimizations must be conservative
- Large part of analysis goes towards proving safety
- Can pay off to speculate (be optimistic) but then need to recover if reality is different


## Issues (2)

- Profitibility
- If a transformation is possible, is it profitable?
- Example: loop unrolling
- Can increase amount of work done on each iteration, i.e., reduce loop overhead
- Can eliminate duplicate operations done on separate iterations


## Issues (3)

- Downside risks
- Even if a transformation is generally worthwhile, need to think about potential problems
- Example:
- Transformation might need more temporaries, putting additional pressure on registers
- Increased code size could cause cache misses, or, in bad cases, increase page working set


## Example: Value Numbering

- Technique for eliminating redundant expressions: assign an identifying number $\mathrm{VN}(\mathrm{n})$ to each expression
$-\mathrm{VN}(\mathrm{x}+\mathrm{y})=\mathrm{VN}(\mathrm{j})$ if $\mathrm{x}+\mathrm{y}$ and j have the same value
- Use hashing over value numbers for effeciency
- Old idea (Balke 1968, Ershov 1954)
- Invented for low-level, linear IRs
- Equivalent methods exist for tree IRs, e.g., build a DAG


## Uses of Value Numbers

- Improve the code
- Replace redundant expressions
- Simplify algebraic identities
- Discover, fold, and propagate constant valued expressions


## Local Value Numbering

- Algorithm
- For each operation $0=<o p, 01,02>$ in a basic block
- 1. Get value numbers for operands from hash lookup
- 2. Hash <op, VN(o1), VN(o2)> to get a value number for o (If op is commutative, sort $\mathrm{VN}(\mathrm{o} 1), \mathrm{VN}(\mathrm{o} 2)$ first)
- 3 . If o already has a value number, replace o with a reference to the value
- 4. If o1 and o2 are constant, evaluate o at compile time and replace with an immediate load
- If hashing behaves well, this runs in linear time


## Example

| Original | With VNs | Rewritten |
| :--- | :--- | :--- |
| $a=x+y$ | $a^{3}=x^{1}+y^{2}$ | $a^{3}=x^{1}+y^{2}$ |
| $b=x+y$ | $b^{3}=x^{1}+y^{2}$ | $b^{3}=a^{3}$ |
| $a=17$ | $a^{4}=17^{4}$ | $a^{4}=17^{4}$ |
| $c=x+y$ | $c^{3}=x^{1}+y^{2}$ | $c^{3}=a^{3}$ |
|  |  | WHOOPS! |


| VN table |  |
| :---: | :---: |
| expr | vn |
| x | 1 |
| y | 2 |
| <+ $12>$ | 3 |
| a | 3 |
| b | 3 |
| 17 | 4 |
| a | 4 |
| c | 3 |

## Bug in Simple Example

- If we use the original names, we get in trouble when a name is reused
- Solutions
- Be clever about which copy of the value to use (e.g., use c=b in last statement)
- Create an extra temporary
- Rename around it (best!)


## Renaming

- Idea: give each value a unique name $a_{i}^{j}$ means $i^{\text {th }}$ definition of a with $V N=j$
- Somewhat complex notation, but meaning is reasonably clear
- This is the idea behind SSA (Static Single Assignment)
- Popular modern IR - exposes many opportunities for optimizations
- Key is keeping track of values separately from memory locations (variable names)


## Example

| Original | With VNs | Rewritten |
| :--- | :--- | :--- |
| $a=x+y$ | $a_{0}{ }^{3}=x_{0}{ }^{1}+y_{0}{ }^{2}$ | $a_{0}{ }^{3}=x_{0}{ }^{1}+y_{0}{ }^{2}$ |
| $b=x+y$ | $b_{0}{ }^{3}=x_{0}{ }^{1}+y_{0}{ }^{2}$ | $b_{0}{ }^{3}=a_{0}{ }^{3}$ |
| $a=17$ | $a_{1}{ }^{4}=17^{4}$ | $a_{1}^{4}=17^{4}$ |
| $c=x+y$ | $c_{0}^{3}=x_{0}{ }^{1}+y_{0}{ }^{2}$ | $c_{0}^{3}=a_{0}^{3}$ |
|  |  | AHHHH... |

Notation: $x_{j}^{j}$ means $x$ version i with VN j

| VN table |  |
| :--- | :--- |
| expr |  |
| $x_{0}$ | vn |
| $y_{0}$ | 1 |
| $<+1$ | $2>$ |
| $a_{0}$ | 3 |
| $b_{0}$ | 3 |
| 17 | 3 |
| $a_{1}$ | 4 |
| $c_{0}$ | 3 |
|  |  |

## Simple Extensions to Value Numbering

- Constant folding
- Add a bit that records when a value is constant
- Evaluate constant values at compile time
- Replace op with load immediate
- Algebraic identities: $x+0, x^{*} 1, x-x, \ldots$
- Many special cases
- Switch on op to narrow down checks needed
- Replace result with input VN


## Larger Scopes

- This algorithm works on straight-line blocks of code (basic blocks)
- Best possible results for single basic blocks
- Loses all information when control flows to another block
- To go further we need to represent multiple blocks of code and the control flow between them


## Control Flow Graph (CFG) reminder

- Nodes: basic blocks
- Key property: all statements executed sequentially if any are
- Edges: include a directed edge from n 1 to n 2 if there is any possible way for control to transfer from block n1 to n2 during execution


## Optimization Categories (1)

- Local methods
- Usually confined to basic blocks
- Simplest to analyze and understand
- Most precise information


## Optimization Categories (2)

- Superlocal methods
- Operate over Extended Basic Blocks (EBBs)
- An EBB is a set of blocks $b_{1}, b_{2}, \ldots, b_{n}$ where $b_{1}$ has multiple predecessors and each of the remaining blocks $b_{i}(2 \leq i \leq n)$ have only $b_{i-1}$ as its unique predecessor
- The EBB is entered only at $b_{1}$, but may have multiple exits
- A single block $b_{i}$ can be the head of multiple EBBs (these EBBs form a tree rooted at $b_{i}$ )
- Use information discovered in earlier blocks to improve code in successors


## Optimization Categories (3)

- Regional methods
- Operate over scopes larger than an EBB but smaller than an entire procedure/ function/method
- Typical example: loop body
- Difference from superlocal methods is that there may be merge points in the graph (i.e., a block with two or more predecessors)
- Facts true at merge point are facts known to be true on all possible paths to that point


## Optimization Categories (4)

- Global methods
- Operate over entire procedures
- Sometimes called intraprocedural methods
- Motivation is that local optimizations sometimes have bad consequences in larger context
- Procedure/method/function is a natural unit for analysis, separate compilation, etc.
- Almost always need global data-flow analysis information for these


## Optimization Categories (5)

- Whole-program methods
- Operate over more than one procedure
- Sometimes called interprocedural methods
- Challenges: name scoping and parameter binding issues at procedure boundaries
- Classic examples: inline method substitution, interprocedural constant propagation
- Common in aggressive JIT compilers and optimizing compilers for object-oriented languages


## Value Numbering Revisited

- Local Value Numbering
- 1 block at a time
- Strong local results
- No cross-block effects
- Missed opportunities



## Superlocal Value Numbering

- Idea: apply local method to EBBs
- $\{A, B\},\{A, C, D\},\{A, C, E\}$
- Final info from $A$ is initial info for $B, C$; final info from $C$ is initial for $D, E$
- Gets reuse from ancestors
- Avoid reanalyzing A, C
- Doesn't help with F, G



## SSA Name Space (from before)

Code
$a_{0}{ }^{3}=x_{0}{ }^{1}+y_{0}{ }^{2}$
$b_{0}{ }^{3}=x_{0}{ }^{1}+y_{0}{ }^{2}$
$a_{1}^{4}=17^{4}$
$c_{0}{ }^{3}=x_{0}{ }^{1}+y_{0}{ }^{2}$

## Rewritten

$a_{0}{ }^{3}=x_{0}{ }^{1}+y_{0}{ }^{2}$
$b_{0}^{3}=a_{0}{ }^{3}$
$a_{1}{ }^{4}=17^{4}$
$\mathrm{c}_{0}{ }^{3}=\mathrm{a}_{0}{ }^{3}$

- Unique name for each definition
- Name $\Leftrightarrow$ VN
- $\mathrm{a}_{0}{ }^{3}$ is available to assign to $\mathrm{c}_{0}{ }^{3}$


## SSA Name Space

- Two Principles
- Each name is defined by exactly one operation
- Each operand refers to exactly one definition
- Need to deal with merge points
- Add $\Phi$ functions at merge points to reconcile names
- Use subscripts on variable names for uniqueness


## Superlocal Value Numbering with All Bells \& Whistles

- Finds more redundancies
- Little extra cost
- Still does nothing for $F$ and G



## Larger Scopes

- Still have not helped F and G
- Problem: multiple predecessors
- Must decide what facts hold in $F$ and in $G$
- For G, combine B \& F?
- Merging states is expensive
- Fall back on what we know



## Dominators

- Definition

- x dominates y iff every path from the entry of the control-flow graph to $y$ includes $x$
- By definition, $x$ dominates $x$
- Associate a Dom set with each node
- | $\operatorname{Dom}(x) \mid \geq 1$
- Many uses in analysis and transformation
- Finding loops, building SSA form, code motion


## Immediate Dominators

- For any node $x$, there is a $y$ in $\operatorname{Dom}(x)$ closest to x
- This is the immediate dominator of x
- Notation: IDom(x)



## Dominator Sets

| Block | Dom | IDom |
| :---: | :--- | :--- |
| A | A | - |
| B | A, B | A |
| C | A, C | A |
| D | A, C, D | C |
| E | A, C, E | C |
| F | A, C, F | C |
| G | A, G | A |

Note: the IDOM relation defines a tree with A at the root


## Dominator Value Numbering

- Still looking for a way to handle F and G
- Idea: Use info from IDom(x) to start analysis of $x$
- Use C for F and A for G
- Dominator VN Technique (DVNT)



## DVNT algorithm

- Use superlocal algorithm on extended basic blocks
- Use scoped hash tables \& SSA name space as before
- Start each node with table from its IDOM
- No values flow along back edges (i.e., loops)
- Constant folding, algebraic identities as before


## Dominator Value Numbering

- Advantages
- Finds more redundancy
- Little extra cost
- Shortcomings
- Misses some opportunities (common calculations in ancestors that are not IDOMs)
- Doesn't handle loops or other back edges



## The Story So Far...

- Local algorithm
- Superlocal extension
- Some local methods extend cleanly to superlocal scopes
- Dominator VN Technique (DVNT)
- All of these propagate along forward edges
- None are global


## Coming Attractions

- Data-flow analysis
- Provides global solution to redundant expression analysis
- Catches some things missed by DVNT, but misses some others
- Generalizes to many other analysis problems, both forward and backward
- Loops
- SSA for general transformations

