



# CSE P 501 – Compilers

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Introduction to Optimization  
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Autumn 2009



# Agenda

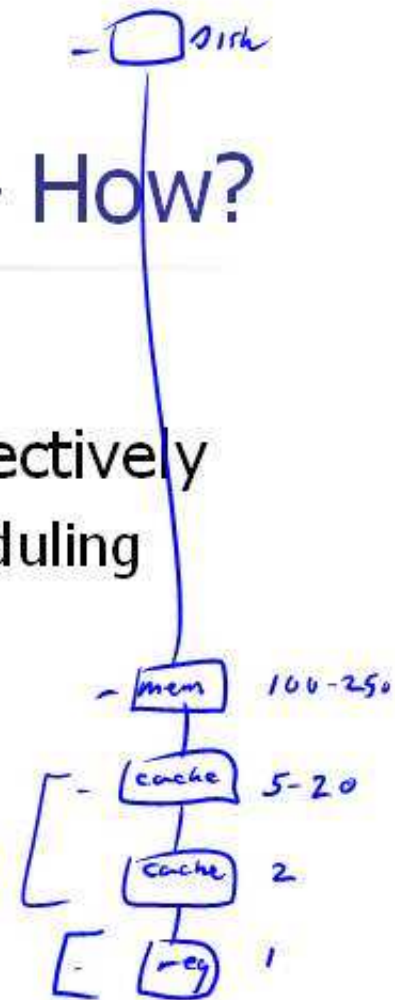


- Optimization
  - Goals
  - Scope: local, superlocal, regional, global (intraprocedural), interprocedural
- Control flow graphs
- [ ■ Value numbering
- [ ■ Dominators
- [ ■ Ref.: Cooper/Torczon ch. 8



# Code Improvement – How?

- Pick a better algorithm(!)
- Use machine resources effectively
  - Instruction selection & scheduling
  - Register allocation





## Code Improvement (2)

$P.F(L)$   
 $P.X$   
 $\alpha[C_i][C_j * 3]$



- Local optimizations – basic blocks
  - ✓ ■ Algebraic simplifications
  - ✓ ■ Constant folding
  - ✓ ■ Common subexpression elimination (i.e., redundancy elimination)
  - ✓ ■ Dead code elimination
  - ✓ ■ Specialize computation based on context
    - etc., etc., ...

for ( — )  
c[l][j] += a[l][k] +  
b[k][j]



## Code Improvement (3)

- Global optimizations *(single functions)*
  - Code motion
  - ■ Moving invariant computations out of loops
  - Strength reduction (replace multiplications by repeated additions, for example)
  - ■ Global common subexpression elimination
  - Global register allocation
  - Many others...



# “Optimization”

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- None of these improvements are truly “optimal”
  - Hard problems
  - Proofs of optimality assume artificial restrictions
- Best we can do is to improve things
  - Most (much?) (some?) of the time





## Example: A[i,j]

$$\begin{aligned} &^c a[i] \equiv *(a+i) \\ &= *(i+a) \equiv i[a] \end{aligned}$$

- Without any surrounding context, need to generate code to calculate

$$\left[ \begin{array}{l} \rightarrow \text{address}(A) \\ + (i - \text{low}_1(A)) * (\text{high}_2(A) - \text{low}_2(a) + 1) * \text{size}(A) \\ + \underline{(j - \text{low}_2(A)) * \text{size}(A)} \end{array} \right]$$

- $\text{low}_i$  and  $\text{high}_i$  are subscript bounds in dimension  $i$
  - $\text{address}(A)$  is the runtime address of first element of  $A$
- [ ■ ... And we really should be checking that  $i, j$  are in bounds



## Some Optimizations for $A[i,j]$

- With more context, we can do better
- Examples
  - If  $A$  is local, with known bounds, much of the computation can be done at compile time
  - If  $A[i,j]$  is in a loop where  $i$  and  $j$  change systematically, we probably can replace multiplications with additions each time around the loop to reference successive rows/columns
    - Even if not, we can move “loop-invariant” parts of the calculation outside the loop





# Optimization Phase

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- Goal
  - Discover, at compile time, information about the runtime behavior of the program, and use that information to improve the generated code

# A First Running Example: Redundancy Elimination



- An expression  $x+y$  is *redundant* at a program point iff, along every path from the procedure's entry, it has been evaluated and its constituent subexpressions ( $x$  and  $y$ ) have not been redefined
- If the compiler can prove the expression is redundant
  - Can store the result of the earlier evaluation
  - Can replace the redundant computation with a reference to the earlier (stored) result



# Common Problems in Code Improvement

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- This strategy is typical of most compiler optimizations
  - First, discover opportunities through program analysis
  - Then, modify the IR to take advantage of the opportunities
    - Historically, goal usually was to decrease execution time
    - Other possibilities: reduce space, power, ...



# Issues (1)

*if t = x/y  
for {*

*x/y t  
}*

- Safety – transformation must not change program meaning
  - ✓ ■ Must generate correct results
  - ✓ ■ Can't generate spurious errors
  - ✓ ■ Optimizations must be conservative
  - ✓ ■ Large part of analysis goes towards proving safety
  - Can pay off to speculate (be optimistic) but then need to recover if reality is different

*[obj.m(—)] ✓  
if typeof obj is t  
static call t.m  
else  
use stable*



## Issues (2)

$\text{for } (i++) \{$   
 $3$

$\text{for } (i=4) \{$   
 $\begin{bmatrix} i \\ i+1 \\ i+2 \\ i+3 \end{bmatrix}$

- Profitability
  - If a transformation is possible, is it profitable?
  - Example: loop unrolling
    - Can increase amount of work done on each iteration, i.e., reduce loop overhead
    - Can eliminate duplicate operations done on separate iterations





## Issues (3)

```
for( — ) {  
    = }  
}
```

- Downside risks
  - Even if a transformation is generally worthwhile, need to factor in potential problems
  - For example:
    - Transformation might need more temporaries, putting additional pressure on registers
    - Increased code size could cause cache misses, or in bad cases, increase page working set



$$(x^1 + y^2)^3$$



## Example: Value Numbering

- Technique for eliminating redundant expressions: assign an identifying number  $VN(n)$  to each expression
  - $VN(x+y) = VN(j)$  if  $x+y$  and  $j$  have the same value
  - Use hashing over value numbers for efficiency
- Old idea (Balke 1968, Ershov 1954)
  - Invented for low-level, linear IRs
  - Equivalent methods exist for tree IRs, e.g., build a DAG



## Uses of Value Numbers

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- Improve the code
  - Replace redundant expressions
  - Simplify algebraic identities
  - Discover, fold, and propagate constant valued expressions

$o \quad x+y$



## Local Value Numbering

### ■ Algorithm

- For each operation  $o = \langle \overset{+}{op}, \overset{x}{o1}, \overset{y}{o2} \rangle$  in a block
  - [ 1. Get value numbers for operands from hash lookup
  - [ 2. Hash  $\langle op, VN(o1), VN(o2) \rangle$  to get a value number for  $o$   
(If  $op$  is commutative, sort  $VN(o1), VN(o2)$  first)
  - [ 3. If  $o$  already has a value number, replace  $o$  with a reference to the value
  - [ 4. If  $o1$  and  $o2$  are constant, evaluate  $o$  at compile time and replace with an immediate load
- If hashing behaves well, this runs in linear time



# Example

Code

$$a^3 = x^1 + y^2$$

$$b^3 = x^1 + y^2$$

$$a^4 = 17^4$$

$$c^3 = \underline{x^1} + \underline{y^2}$$

Rewritten

$$a^3 = x^1 + y^2$$

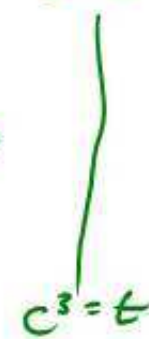
$$b^3 = a^3$$

$$\underline{a^4} = 17^4$$

$$\cancel{c^3 = a^3}$$

$$c^3 = b^3$$

$$t = a^3$$



$$c^3 = t$$



## Bug in Simple Example

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- If we use the original names, we get in trouble when a name is reused
- Solutions
  - ✓ ■ Be clever about which copy of the value to use (e.g., use  $c=b$  in last statement)
  - ✓ ■ Create an extra temporary
  - ✓ ■ Rename around it (best!)

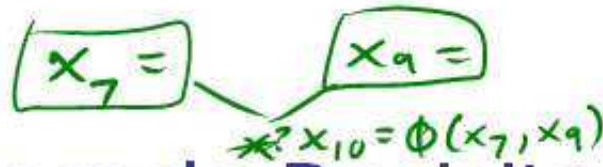
$a_i$



## Renaming

- Idea: give each value a unique name
  - $a_i^j$  means  $i^{\text{th}}$  definition of  $a$  with  $VN = j$
- Somewhat complex notation, but meaning is clear
- This is the idea behind SSA (Static Single Assignment)
  - Popular modern IR – exposes many opportunities for optimizations





## Example Revisited

Code

$$\begin{aligned} a_0^3 &= x_0^1 + y_0^2 \\ b_0^3 &= x_0^1 + y_0^2 \\ a_1^4 &= 17^4 \\ c_0^3 &= x_0^1 + y_0^2 \\ &\quad \underline{a_1^4 + 1} \end{aligned}$$

Rewritten

$$\begin{aligned} a_0^3 &= x_0^1 + y_0^2 \\ b_0^3 &= a_0^3 \\ a_1^4 &= 17 \\ c_0^3 &= a_0^3 \end{aligned}$$

$+ , 1 , 2$   $\rightarrow$   $3$



# Simple Extensions to Value Numbering

- Constant folding

$$\begin{array}{r} x+y \\ 18 \end{array}$$

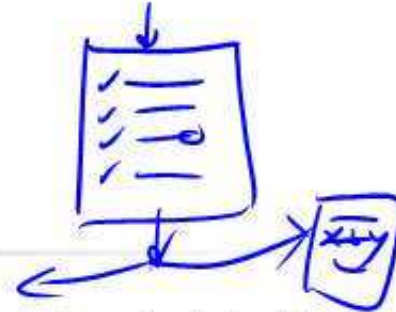
- Add a bit that records when a value is constant
- Evaluate constant values at compile time
- Replace op with load immediate

- Algebraic identities:  $\underline{x+0}$ ,  $\underline{x*1}$ ,  $\underline{x-x}$ , ...

- Many special cases
  - Switch on op to narrow down checks needed
  - Replace result with input VN



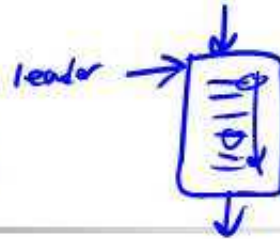
## Larger Scopes



- This algorithm works on straight-line blocks of code (basic blocks)
  - Best possible results for single basic blocks
  - Loses all information when control flows to another block
- To go further we need to represent multiple blocks of code and the control flow between them

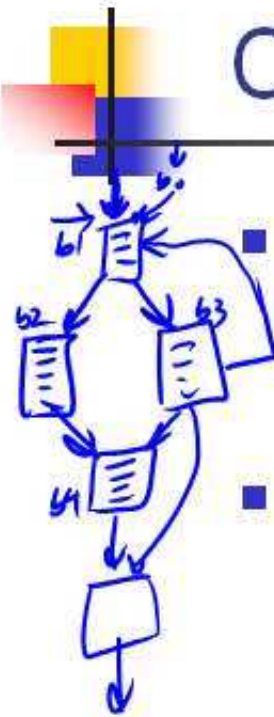


## Basic Blocks



- Definition: A *basic block* is a maximal length sequence of straight-line code
- Properties
  - Statements are executed sequentially
  - If any statement executes, they all do (barring exceptions)
- In a linear IR, the first statement of a basic block is often called the leader

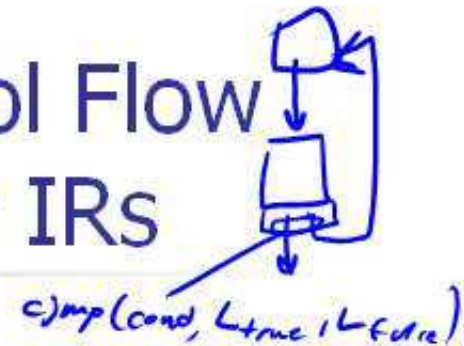
# Control Flow Graph (CFG)



- Nodes: basic blocks
  - Possible representations: linear 3-address code, expression-level AST, DAG
- Edges: include a directed edge from  $n_1$  to  $n_2$  if there is *any* possible way for control to transfer from block  $n_1$  to  $n_2$  during execution



# Constructing Control Flow Graphs from Linear IRs



## ■ Algorithm

- Pass 1: Identify basic block leaders with a linear scan of the IR
  - Pass 2: Identify operations that end a block and add appropriate edges to the CFG to all possible successors
  - See your favorite compiler book for details
- ## ■ For convenience, ensure that every block ends with conditional or unconditional jump
- Code generator can pick the most convenient "fall-through" case later and eliminate unneeded jumps





## Scope of Optimizations

- Optimization algorithms can work on units as small as a basic block or as large as a whole program
- [ ■ Local information is generally more precise and can lead to locally optimal results
- [ ■ Global information is less precise (lose information at join points in the graph), but exposes opportunities for improvements across basic blocks



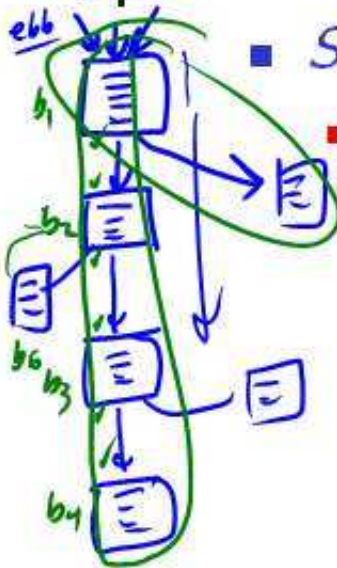
# Optimization Categories (1)

## ■ *Local methods*

- Usually confined to basic blocks
- Simplest to analyze and understand
- Most precise information



## Optimization Categories (2)



### ■ *Superlocal methods*

- Operate over *Extended Basic Blocks* (EBBs)
  - An EBB is a set of blocks  $b_1, b_2, \dots, b_n$  where  $b_1$  has multiple predecessors and each of the remaining blocks  $b_i$  ( $2 \leq i \leq n$ ) have only  $b_{i-1}$  as its unique predecessor
  - The EBB is entered only at  $b_1$ , but may have multiple exits
  - A single block  $b_i$  can be the head of multiple EBBs (these EBBs form a tree rooted at  $b_i$ )
- Use information discovered in earlier blocks to improve code in successors



## Optimization Categories (3)



### ■ *Regional methods*

- Operate over scopes larger than an EBB but smaller than an entire procedure/function/method
- ■ Typical example: loop body
- Difference from superlocal methods is that there may be merge points in the graph (i.e., a block with two or more predecessors)



## Optimization Categories (4)

- *Global methods*
  - Operate over entire procedures
  - Sometimes called *intraprocedural* methods
  - Motivation is that local optimizations sometimes have bad consequences in larger context
  - Procedure/method/function is a natural unit for analysis, separate compilation, etc.
  - Almost always need global *data-flow* analysis information for these



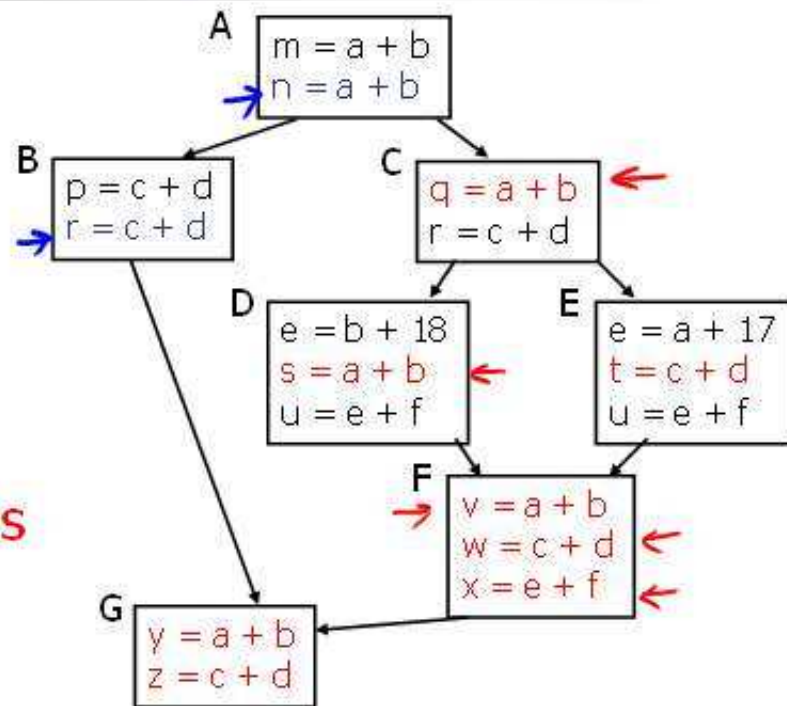


## Optimization Categories (5)

- *Whole-program methods*
  - Operate over more than one procedure
  - Sometimes called *interprocedural* methods
  - Challenges: name scoping and parameter binding issues at procedure boundaries
  - Classic examples: inline method substitution, interprocedural constant propagation
  - Common in aggressive JIT compilers and optimizing compilers for object-oriented languages

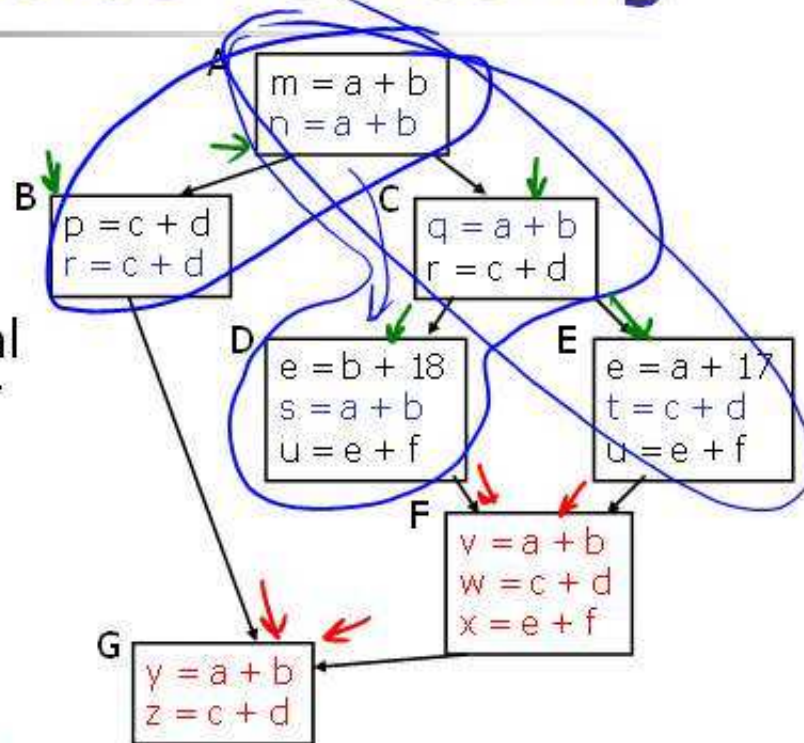
# Value Numbering Revisited

- Local Value Numbering
  - 1 block at a time
  - Strong local results
  - No cross-block effects
- Missed opportunities



# Superlocal Value Numbering

- Idea: apply local method to EBBs
  - $\{A,B\}$ ,  $\{A,C,D\}$ ,  $\{A,C,E\}$
- Final info from A is initial info for B, C; final info from C is initial for D, E
- Gets reuse from ancestors
- Avoid reanalyzing A, C
- Doesn't help with F, G





## SSA Name Space (from before)

Code

$$a_0^3 = x_0^1 + y_0^2$$

$$b_0^3 = x_0^1 + y_0^2$$

$$a_1^4 = 17$$

$$c_0^3 = x_0^1 + y_0^2$$

Rewritten

$$a_0^3 = x_0^1 + y_0^2$$

$$b_0^3 = a_0^3$$

$$a_1^4 = 17$$

$$c_0^3 = a_0^3$$

- Unique name for each definition
- Name  $\Leftrightarrow$  VN
- $a_0^3$  is available to assign to  $c_0^3$



# SSA Name Space

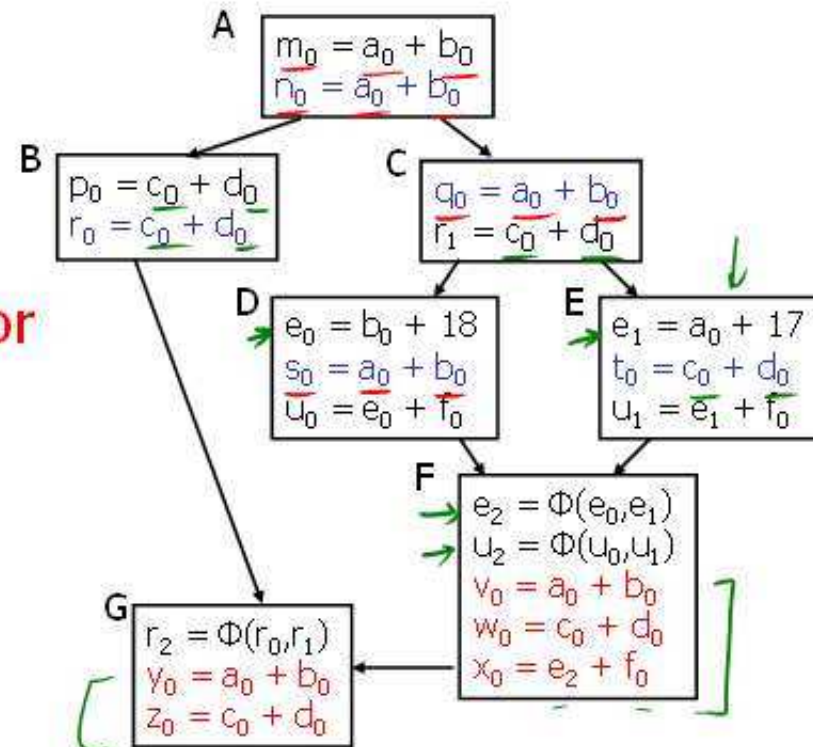
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- **Two Principles**
  - Each name is defined by exactly one operation
  - Each operand refers to exactly one definition
- **Need to deal with merge points**
  - Add  $\Phi$  functions at merge points to reconcile names
  - Use subscripts on variable names for uniqueness



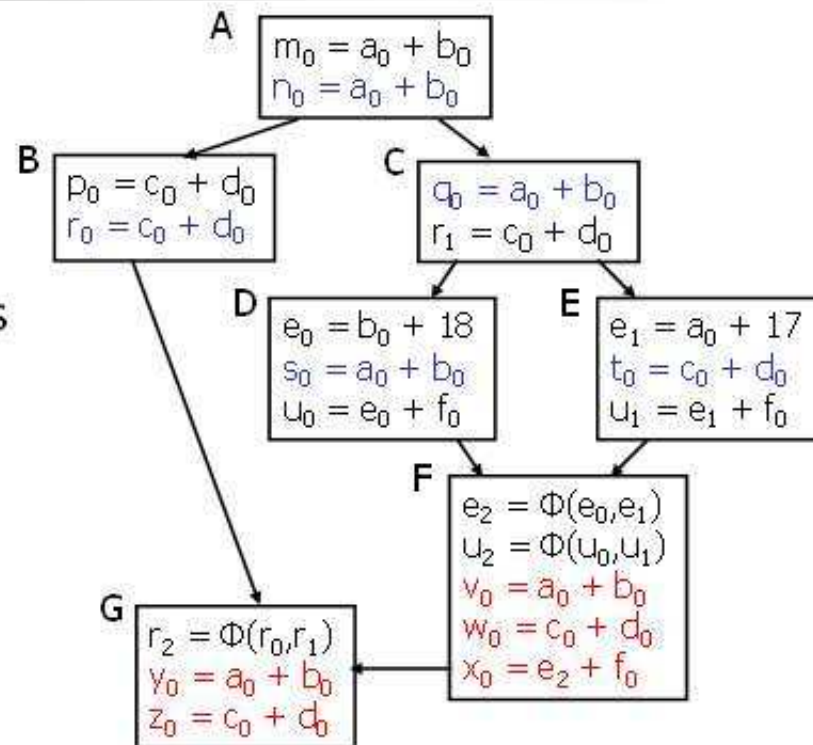
# Superlocal Value Numbering with All Bells & Whistles

- Finds more redundancies
- Little extra cost
- Still does nothing for F and G



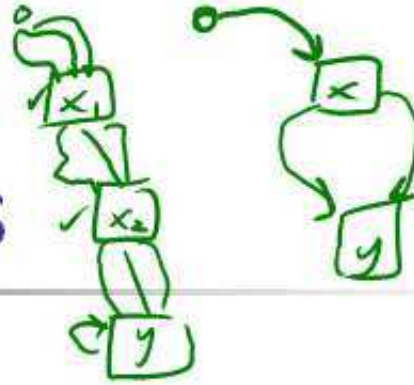
# Larger Scopes

- Still have not helped F and G
- Problem: multiple predecessors
- Must decide what facts hold in F and in G
  - For G, combine B & F?
  - Merging states is expensive
  - Fall back on what we know



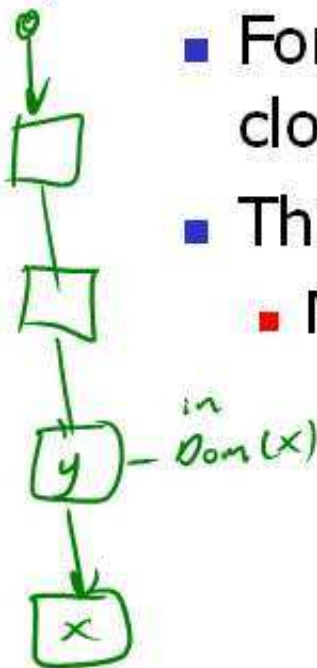


# [Dominators



- Definition
  - $x$  *dominates*  $y$  iff every path from the entry of the control-flow graph to  $y$  includes  $x$
- By definition,  $x$  dominates  $x$
- Associate a Dom set with each node
  - $|\text{Dom}(x)| \geq 1$
- Many uses in analysis and transformation
  - Finding loops, building SSA form, code motion

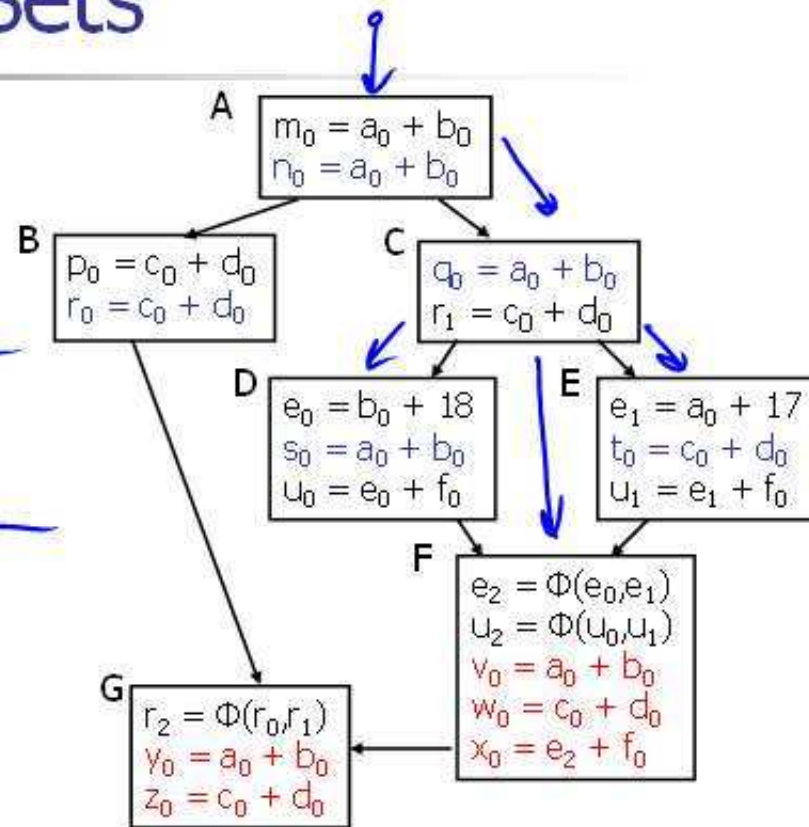
# Immediate Dominators



- For any node  $x$ , there is a  $y$  in  $\text{Dom}(x)$  closest to  $x$
- This is the *immediate dominator* of  $x$ 
  - Notation:  $\text{IDom}(x)$

# Dominator Sets

Block	Dom	IDom
A	A	$\bar{A}$
B	A, B	A
C	A, C	A
D	A, C, D	C
E	A, C, E	C
F	A, C, F	C
G	A, G	A



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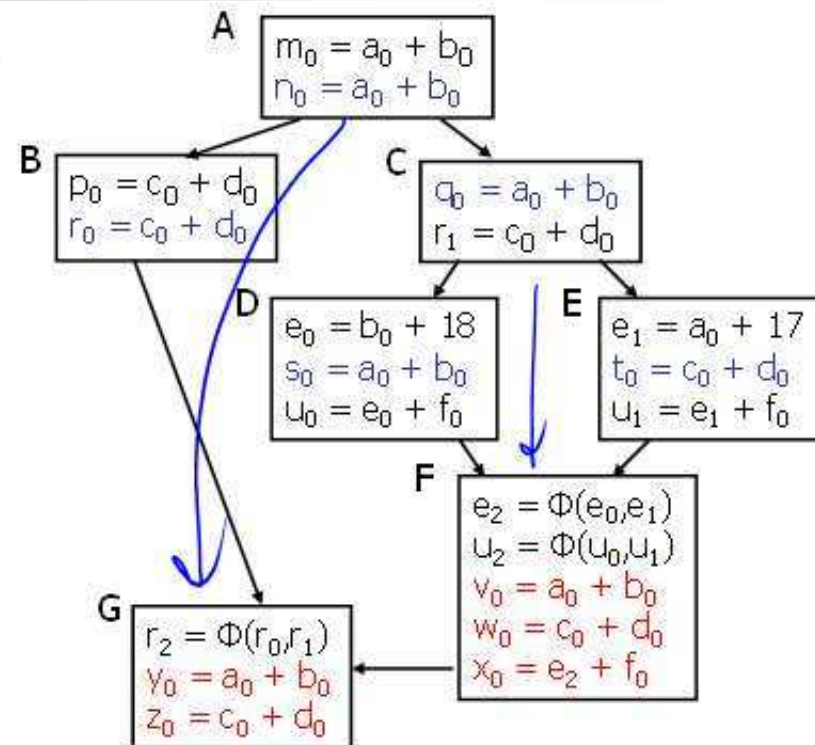
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# Dominator Value Numbering

- Still looking for a way to handle F and G
- Idea: Use info from  $\text{IDom}(x)$  to start analysis of  $x$ 
  - Use C for F and A for G
- Dominator  $\overline{\text{VN}}$  Technique ( $\overline{\text{DVNT}}$ )





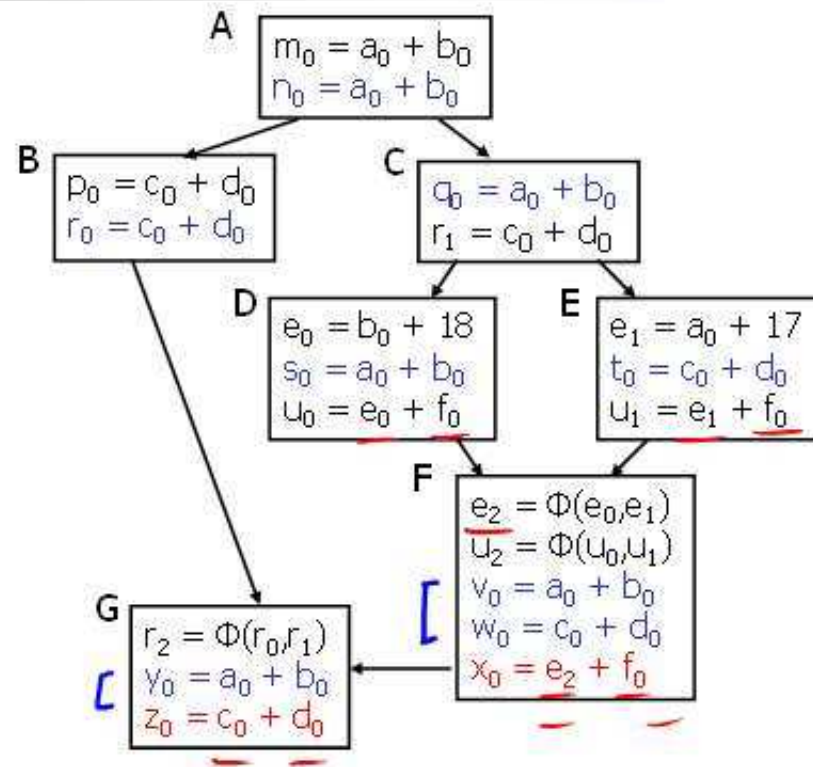
## DVNT algorithm

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- Use superlocal algorithm on extended basic blocks
  - Use scoped hash tables & SSA name space as before
- [ ■ Start each node with table from its IDOM
- No values flow along back edges (i.e., loops)
- Constant folding, algebraic identities as before

# Dominator Value Numbering

- Advantages
  - Finds more redundancy
  - Little extra cost
- Shortcomings
  - Misses some opportunities (common calculations in ancestors that are not IDOMs)
  - Doesn't handle loops or other back edges



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## The Story So Far...

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- Local algorithm
- Superlocal extension
  - Some local methods extend cleanly to superlocal scopes
- Dominator VN Technique (DVNT)
- All of these propagate along forward edges
- None are global



# Coming Attractions

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- **Data-flow analysis**
  - Provides global solution to redundant expression analysis
    - Catches some things missed by DVNT, but misses some others
  - Generalizes to many other analysis problems, both forward and backward
- **Transformations**
  - A catalog of some of the things a compiler can do with the analysis information