

CSE599d: Advanced Query Processing

Lecture 4: Non-Reordable Operators

Dan Suciu

University of Washington

Motivation

Dynamic Programming: query graph $\Rightarrow$ optimal join tree.

Joins are “reordable” and any join tree is correct.

When operators are non-reordable: need to restrict to **correct plans**.

[Moerkotte and Neumann, 2008]

[Moerkotte et al., 2013]

[Birler and Neumann, 2025]

Non-Reordable Operators

Left semi join $\bowtie$

Left anti join $\bowtie$

Left outer join $\bowtie$

Right outer join $\bowtie$

Full outer join $\bowtie$

Query Definition

The **Query Graph** is insufficient to define the query.

Query Definition

The **Query Graph** is insufficient to define the query.

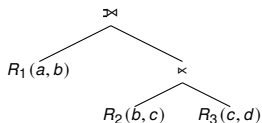
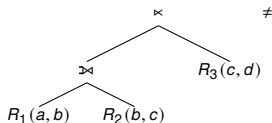
$$R_1(a, b) \xrightarrow{\bowtie} R_2(b, c) \xrightarrow{\bowtie} R_3(c, d)$$

Query Definition

The **Query Graph** is insufficient to define the query.

$$R_1(a, b) \text{ --- }^{\bowtie} \text{---} R_2(b, c) \text{ --- }^{\bowtie} \text{---} R_3(c, d)$$

It may represent any of these two inequivalent queries:

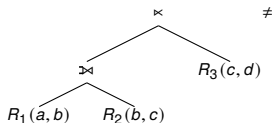


Query Definition

The **Query Graph** is insufficient to define the query.

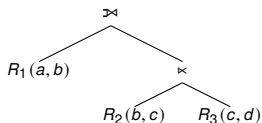
$$R_1(a, b) \text{ } \bowtie \text{ } R_2(b, c) \text{ } \ltimes \text{ } R_3(c, d)$$

It may represent any of these two inequivalent queries:



$$\{(a, b)\} \bowtie \{(b, c)\} \ltimes \{\} = \{\}$$

≠



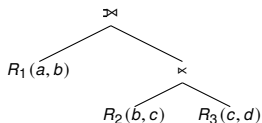
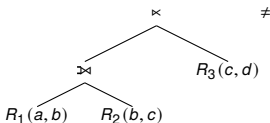
$$\{(a, b)\} \bowtie (\{(b, c)\} \ltimes \{\}) = \{(a, b, \text{null})\}$$

Query Definition

The **Query Graph** is insufficient to define the query.

$$R_1(a, b) \xrightarrow{\bowtie} R_2(b, c) \xrightarrow{\ltimes} R_3(c, d)$$

It may represent any of these two inequivalent queries:



$$\boxed{\{(a, b)\} \bowtie \{(b, c)\} \ltimes \{\} = \{\}} \neq \boxed{\{(a, b)\} \bowtie (\{(b, c)\} \ltimes \{\}) = \{(a, b, \text{null})\}}$$

The query must be given as an **Initial Operator Tree**.

Notation for Operands

We use indices to indicate where the operands come from.

$$\boxed{(e_1 \circ_{12} e_2) \circ_{13} e_3} \text{ v.s. } \boxed{(e_1 \circ_{12} e_2) \circ_{23} e_3}$$

Identities for Non-reordable Operators

Query Equivalence

Two ways to prove an equivalence $E_1 \equiv E_2$.

Query Equivalence

Two ways to prove an equivalence $E_1 \equiv E_2$.

- Semantic.
 - ▶ Useful for pen-and-paper proofs.
 - ▶ Left- and right-linearity can simplify the proof (next slides).

Query Equivalence

Two ways to prove an equivalence $E_1 \equiv E_2$.

- Semantic.
 - ▶ Useful for pen-and-paper proofs.
 - ▶ Left- and right-linearity can simplify the proof (next slides).
- Using Identities.
 - ▶ Establish a fix, small set of simple identities.
 - ▶ Check that they are sound.
 - ▶ Use them to derive $E_1 \equiv E_2$.
 - ▶ Basis of query optimizers.

Left- and Right-Linearity

An operator $\circ$ is **left linear** if

$$(R_1 \cup R_2) \circ S = (R_1 \circ S) \cup (R_2 \circ S)$$

Left- and Right-Linearity

An operator $\circ$ is **left linear** if

$$(R_1 \cup R_2) \circ S = (R_1 \circ S) \cup (R_2 \circ S)$$

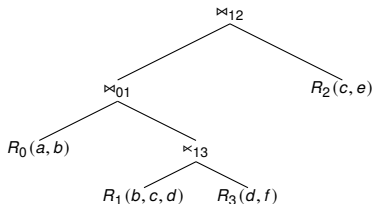
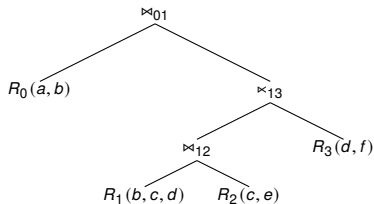
Left linear operators: $\bowtie, \Join, \triangleright, \ltimes$

$$(R_1 \cup R_2) \triangleright S = (R_1 \triangleright S) \cup (R_2 \triangleright S)$$

Right linear operators: $\bowtie, \bowtie\lrcorner$

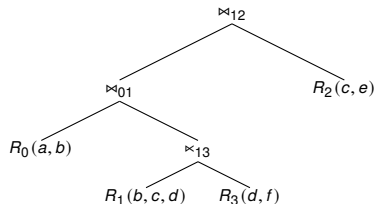
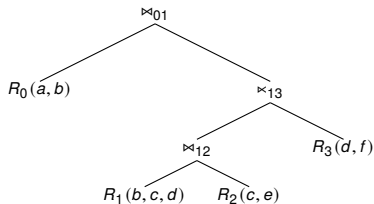
If E_1, E_2 are linear in R , then check $E_1 \equiv E_2$ by setting $R :=$ single tuple.

Example: Prove $E_1 \equiv E_2$



Question 1: what is the output schema?

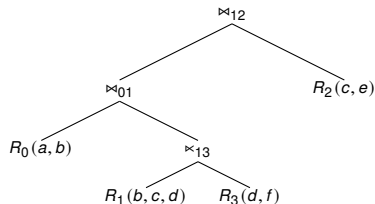
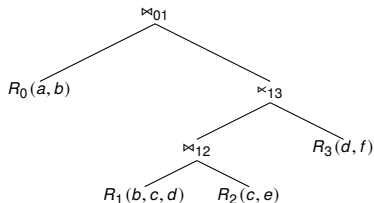
Example: Prove $E_1 \equiv E_2$



Question 1: what is the output schema?

$Q(a, b, c, d, e)$

Example: Prove $E_1 \equiv E_2$

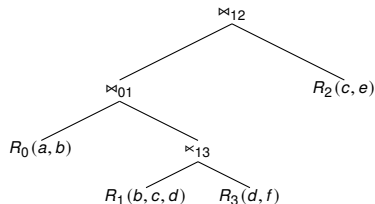
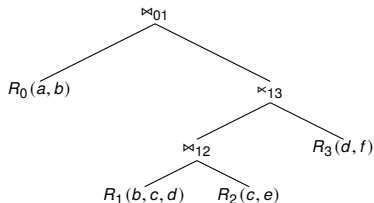


Question 1: what is the output schema?

$Q(a, b, c, d, e)$

Question 2: prove equivalence.

Example: Prove $E_1 \equiv E_2$

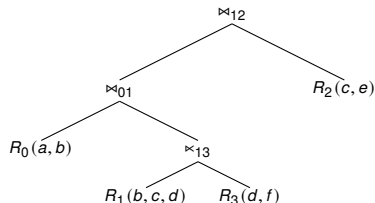
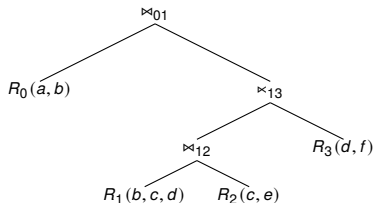


Question 1: what is the output schema?

$Q(a, b, c, d, e)$

Question 2: prove equivalence. Linear in R_1 .

Example: Prove $E_1 \equiv E_2$

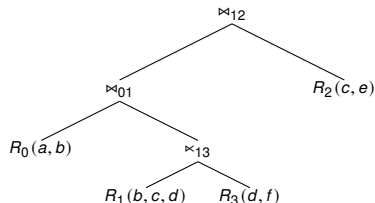
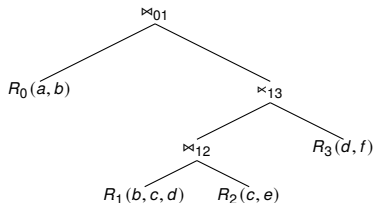


Question 1: what is the output schema?

$Q(a, b, c, d, e)$

Question 2: prove equivalence. Linear in R_1 . $R_1 := \{(b, c, d)\}$

Example: Prove $E_1 \equiv E_2$



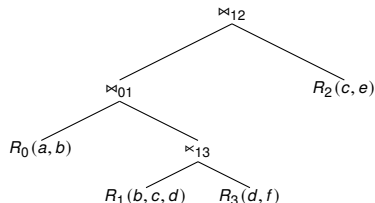
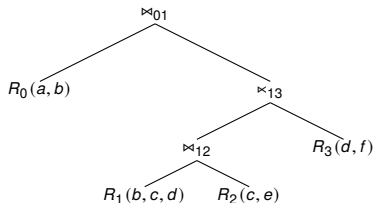
Question 1: what is the output schema?

$Q(a, b, c, d, e)$

Question 2: prove equivalence. Linear in R_1 . $R_1 := \{(b, c, d)\}$

If $d \notin R_3$ then both expressions are $\emptyset$.

Example: Prove $E_1 \equiv E_2$

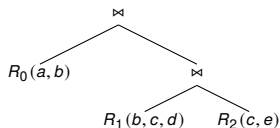


Question 1: what is the output schema?

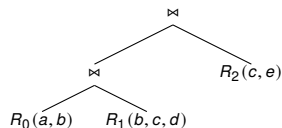
$Q(a, b, c, d, e)$

Question 2: prove equivalence. Linear in R_1 . $R_1 := \{(b, c, d)\}$

If $d \notin R_3$ then both expressions are $\emptyset$.



If $d \in R_3$ then:



Identity Patterns

○-Commutativity:

$$e_1 \circ_{12} e_2 = e_2 \circ_{12} e_1$$

$(\circ^a, \circ^b)$ -Associativity:

$$(e_1 \circ_{12}^a e_2) \circ_{23}^b e_3 = e_1 \circ_{12}^a (e_2 \circ_{23}^b e_3)$$

$(\circ^a, \circ^b)$ -Left-Asscom (l-asscom)

$$(e_1 \circ_{12}^a e_2) \circ_{13}^b e_3 = (e_1 \circ_{13}^b e_3) \circ_{12}^a e_2$$

$(\circ^a, \circ^b)$ -Right-Asscom (r-asscom)

$$e_1 \circ_{13}^a (e_2 \circ_{23}^b e_3) = e_2 \circ_{23}^b (e_1 \circ_{13}^a e_3)$$

○-Commutativity

○	×	⋈	⋈	▷	⋈	⋈	⋈
comm(○)	+	+	-	-	-	+	-

Table 1: The comm(○)-property

$$e_1 \circ_{12} e_2 = e_2 \circ_{12} e_1$$

[Moerkotte et al., 2013]

Assoc($\circ^a, \circ^b$)

$\circ^a$	$\circ^b$						
	$\times$	$\boxtimes$	$\boxtimes$	$\triangleright$	$\boxtimes$	$\boxtimes$	$\boxtimes$
$\times$	+	+	+	+	+	-	+
$\boxtimes$	+	+	+	+	+	-	+
$\boxtimes$	-	-	-	-	-	-	-
$\triangleright$	-	-	-	-	-	-	-
$\boxtimes$	-	-	-	-	$+^1$	-	-
$\boxtimes$	-	-	-	-	$+^1$	$+^2$	-
$\boxtimes$	-	-	-	-	-	-	-

¹ if p_{23} rejects nulls on $\mathcal{A}(e_2)$ (Eqv. 1)

² if p_{12} and p_{23} reject nulls on $\mathcal{A}(e_2)$ (Eqv. 1)

Table 2: The $\text{assoc}(\circ^a, \circ^b)$ -property

$$(e_1 \circ_{12}^a e_2) \circ_{23}^b e_3 = e_1 \circ_{12}^a (e_2 \circ_{23}^b e_3)$$

Prove in class:

$$(R(a, b) \boxtimes S(b, c)) \boxtimes T(c, d) \equiv R(a, b) \boxtimes (S(b, c) \boxtimes T(c, d))$$

[Moerkotte et al., 2013]

Null Rejection

A predicate p is **null rejecting** for a set of attributes A if it evaluates to FALSE or UNKNOWN when all attributes A are NULL.

Null Rejection

A predicate p is **null rejecting** for a set of attributes A if it evaluates to FALSE or UNKNOWN when all attributes A are NULL.

$$(e_1 \bowtie_{12} e_2) \bowtie_{23} e_3 = e_1 \bowtie_{12} (e_2 \bowtie_{23} e_3)$$

holds if p_{23} rejects NULLs on $\mathcal{A}(e_2)$.

Null Rejection

A predicate p is **null rejecting** for a set of attributes A if it evaluates to FALSE or UNKNOWN when all attributes A are NULL.

$$(e_1 \bowtie_{12} e_2) \bowtie_{23} e_3 = e_1 \bowtie_{12} (e_2 \bowtie_{23} e_3)$$

holds if p_{23} rejects NULLs on $\mathcal{A}(e_2)$.

$$(R(a, b) \bowtie_{b=c} S(c, d)) \bowtie_{d=e} T(e, f) \equiv R(a, b) \bowtie_{b=c} (S(c, d) \bowtie_{d=e} T(e, f))$$

$$(R(a, b) \bowtie_{b=c} S(c, d)) \bowtie_{\text{coalesce}(d,0)=e} T(e, f) \not\equiv R(a, b) \bowtie_{b=c} (S(c, d) \bowtie_{\text{coalesce}(d,0)=e} T(e, f))$$

Null Rejection

A predicate p is **null rejecting** for a set of attributes A if it evaluates to FALSE or UNKNOWN when all attributes A are NULL.

$$(e_1 \bowtie_{12} e_2) \bowtie_{23} e_3 = e_1 \bowtie_{12} (e_2 \bowtie_{23} e_3)$$

holds if p_{23} rejects NULLs on $\mathcal{A}(e_2)$.

$$(R(a, b) \bowtie_{b=c} S(c, d)) \bowtie_{d=e} T(e, f) \equiv R(a, b) \bowtie_{b=c} (S(c, d) \bowtie_{d=e} T(e, f))$$

$$(R(a, b) \bowtie_{b=c} S(c, d)) \bowtie_{\text{coalesce}(d,0)=e} T(e, f) \not\equiv R(a, b) \bowtie_{b=c} (S(c, d) \bowtie_{\text{coalesce}(d,0)=e} T(e, f))$$

SQL refresher: $\text{coalesce}(\text{NULL}, 7) = 7$, $\text{coalesce}(5, 7) = 5$.

L/R-Asscom($\circ^a, \circ^b$)

○	×	⋈	⋈	▷	⋈	⋈	⋈
×	+/+	+/+	+/-	+/-	+/-	-/-	+/-
⋈	+/+	+/+	+/-	+/-	+/-	-/-	+/-
⋈	+/-	+/-	+/-	+/-	+/-	-/-	+/-
▷	+/-	+/-	+/-	+/-	+/-	-/-	+/-
⋈	+/-	+/-	+/-	+/-	+/-	+ ¹ /-	+/-
⋈	-/-	-/-	-/-	-/-	+ ² /-	+ ³ /+ ⁴	-/-
⋈	+/-	+/-	+/-	+/-	+/-	-/-	+/-

¹ if p_{12} rejects nulls on $\mathcal{A}(e_1)$ (Eqv. 2)

² if p_{13} rejects nulls on $\mathcal{A}(e_3)$ (Eqv. 2)

³ if p_{12} and p_{13} rejects nulls on $\mathcal{A}(e_1)$ (Eqv. 2)

⁴ if p_{13} and p_{23} reject nulls on $\mathcal{A}(e_3)$ (Eqv. 3)

Table 3: The l-/r-asscom($\circ^a, \circ^b$) property

$$(e_1 \circ_{12}^a e_2) \circ_{13}^b e_3 = (e_1 \circ_{13}^b e_3) \circ_{12}^a e_2$$

L/R-Asscom($\circ^a, \circ^b$)

○	×	⋈	⋉	▷	⋈	⋈	⋈
×	+/+	+/+	+/-	+/-	+/-	-/-	+/-
⋈	+/+	+/+	+/-	+/-	+/-	-/-	+/-
⋈	+/-	+/-	+/-	+/-	+/-	-/-	+/-
▷	+/-	+/-	+/-	+/-	+/-	-/-	+/-
⋈	+/-	+/-	+/-	+/-	+/-	+ ¹ /-	+/-
⋈	-/-	-/-	-/-	-/-	+ ² /-	+ ³ / ⁴	-/-
⋈	+/-	+/-	+/-	+/-	+/-	-/-	+/-

¹ if p_{12} rejects nulls on $\mathcal{A}(e_1)$ (Eqv. 2)

² if p_{13} rejects nulls on $\mathcal{A}(e_3)$ (Eqv. 2)

³ if p_{12} and p_{13} rejects nulls on $\mathcal{A}(e_1)$ (Eqv. 2)

⁴ if p_{13} and p_{23} reject nulls on $\mathcal{A}(e_3)$ (Eqv. 3)

Table 3: The l/r-asscom($\circ^a, \circ^b$) property

$$(e_1 \circ_{12}^a e_2) \circ_{13}^b e_3 = (e_1 \circ_{13}^b e_3) \circ_{12}^a e_2$$

Prove in class:

$$(R(a, b, c) \bowtie S(a, d)) \bowtie T(b, e) = (R(a, b, c) \bowtie T(b, e)) \bowtie S(a, d)$$

[Moerkotte et al., 2013]

Notation and Discussion

$E_1 \equiv E_2$ if, for any database D , $E_1(D) = E_2(D)$.

$E_1 \simeq E_2$ if E_1 can be written to E_2 using COMM, ASSOC, L/R-ASSCOM

Notation and Discussion

$E_1 \equiv E_2$ if, for any database D , $E_1(D) = E_2(D)$.

$E_1 \simeq E_2$ if E_1 can be written to E_2 using COMM, ASSOC, L/R-ASSCOM

Soundness

$$E_1 \simeq E_2 \Rightarrow E_1 \equiv E_2$$

easy to check.

Completeness

$$E_1 \simeq E_2 \Leftarrow E_1 \equiv E_2$$

Does it hold??

None of the papers asks the completeness question!

Conflict Detection Approach

Overview

- The query is given by some initial operator tree E .
- Consider some DP algorithm:
 - [Moerkotte et al., 2013] use DPsub
 - [Birler and Neumann, 2025] use DPccp
- Add a **conflict detector** to prevent it from returning a plan $E' \neq E$.

From DPsub to DPsube

Algorithm 1: DPsub

```
for  $\emptyset \subsetneq S \subseteq R$  do
  for  $\emptyset \subsetneq S_1 \subsetneq S$  do
     $S_2 := S - S_1$ ;
    if Applicable( $\circ, S_1, S_2$ ) then
       $(P_1, c_1) := \text{PlanCost}[S_1]$ 
       $(P_2, c_2) := \text{PlanCost}[S_2]$ 
       $c := c_1 + c_2 + \text{Cost}(P_1 \bowtie P_2)$ ;
      if  $c < \text{PlanCost}[S_1 \cup S_2].\text{cost}$  then
         $\text{PlanCost}[S_1 \cup S_2] := (P_1 \bowtie P_2, c)$ 
```

Need to design *Applicable*($\circ, S_1, S_2$).

Soundness and Completeness of Dynamic Programming

The function $\text{Applicable}(\circ, S_1, S_2)$ is **sound** if for any input E , DPsub returns an optimized E' s.t. $E \simeq E'$.

The function $\text{Applicable}(\circ, S_1, S_2)$ is **complete** if, for any input E , if $E' \simeq E$ and E' is optimal, then DPsub returns E' .

Soundness is necessary. Completeness is nice to have.

Conflict Detection (CD)

$\text{Applicable}(\circ, S_1, S_2)$ is called a **conflict detector, CD**.

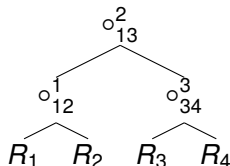
[Moerkotte et al., 2013] define 3 variants: CD-A, CD-B, CD-C.

[Birler and Neumann, 2025] define CD-E, a more efficient variant of CD-C.

Assumptions

The input is given by:

- Relations $R_1, R_2, \dots, R_n$
- Initial operator tree E
- Operators $\circ^1, \dots, \circ^{n-1}$
- $\mathcal{A}_T(\circ^1), \dots, \mathcal{A}_T(\circ^{n-1})$.



$$\mathcal{A}_T(\circ_{13}^2) = \{R_1, R_3\}, \text{ etc.}$$

Syntactic Eligibility Set: SES

$$\text{SES}(\circ_p) \stackrel{\text{def}}{=} \mathcal{A}_T(p)$$

¹ $(R \bowtie S) \bowtie_{R.a+S.b=T.c+K.d} (T \bowtie K)$ requires R, S on the left, T, K on the right.

Syntactic Eligibility Set: SES

$$\boxed{\text{SES}(\circ_p) \stackrel{\text{def}}{=} \mathcal{A}_T(p)}$$

$$\text{L-SES}(\circ_p) \stackrel{\text{def}}{=} \text{SES}(\circ_p) \cap \mathcal{T}(\text{left}(\circ_p))$$

$$\text{R-SES}(\circ_p) \stackrel{\text{def}}{=} \text{SES}(\circ_p) \cap \mathcal{T}(\text{right}(\circ_p))$$

where $\text{left}(\circ_p)$, $\text{right}(\circ_p)$ refer to the initial operator tree.

¹ $(R \bowtie S) \bowtie_{R.a+S.b=T.c+K.d} (T \bowtie K)$ requires R, S on the left, T, K on the right.

Syntactic Eligibility Set: SES

$$\text{SES}(\circ_p) \stackrel{\text{def}}{=} \mathcal{A}_T(p)$$

$$\text{L-SES}(\circ_p) \stackrel{\text{def}}{=} \text{SES}(\circ_p) \cap \mathcal{T}(\text{left}(\circ_p))$$

$$\text{R-SES}(\circ_p) \stackrel{\text{def}}{=} \text{SES}(\circ_p) \cap \mathcal{T}(\text{right}(\circ_p))$$

where $\text{left}(\circ_p)$, $\text{right}(\circ_p)$ refer to the initial operator tree.

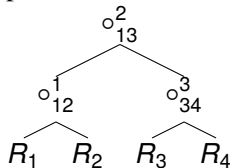
In any CD, $\text{Applicable}(\circ, S_1, S_2)$ must check:¹

$$\text{L-SES}(\circ) \subseteq S_1 \text{ and } \text{R-SES}(\circ) \subseteq S_2$$

¹ $(R \bowtie S) \bowtie_{R.a+S.b=T.c+K.d} (T \bowtie K)$ requires R, S on the left, T, K on the right.

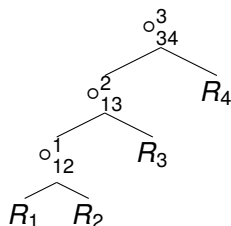
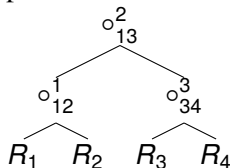
Example of Using SES

Initial operator tree:



Example of Using SES

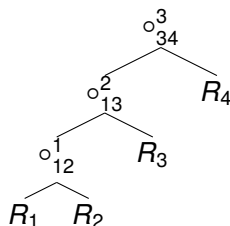
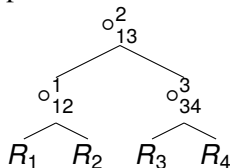
Initial operator tree:



SES OK?

Example of Using SES

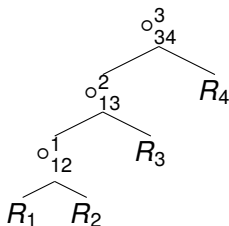
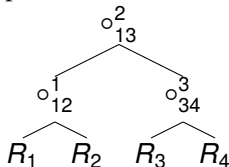
Initial operator tree:



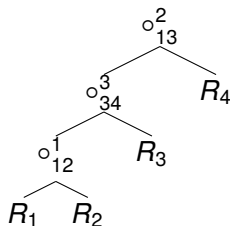
SES OK? YES

Example of Using SES

Initial operator tree:



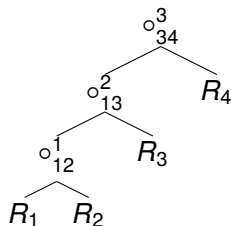
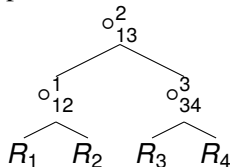
SES OK? **YES**



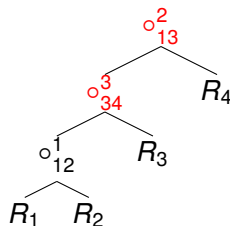
SES OK?

Example of Using SES

Initial operator tree:



SES OK? **YES**



SES OK? **NO**

CD-A

Overview

Extend SES to a larger set: TES = Total Eligibility Set.

Applicable($\circ$, S_1 , S_2) checks:

$$\text{L-TES}(\circ) \subseteq S_1 \text{ and } \text{R-TES}(\circ) \subseteq S_2$$

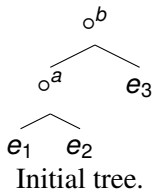
Will define TES next, as used by CD-A.

TES

Start with $\boxed{\text{TES}(\circ) := \text{SES}(\circ)}$ Then:

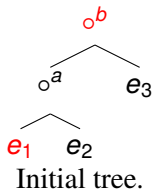
TES

Start with $\boxed{\text{TES}(\circ) := \text{SES}(\circ)}$ Then:



TES

Start with $\boxed{\text{TES}(\circ) := \text{SES}(\circ)}$ Then:

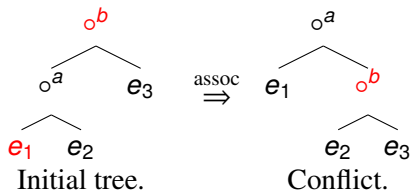


If $\neg \text{assoc}(\circ^a, \circ^b)$ then

$$\boxed{\text{TES}(\circ^b) \cup = \mathcal{T}(e_1)}$$

TES

Start with $\boxed{\text{TES}(\circ) := \text{SES}(\circ)}$ Then:

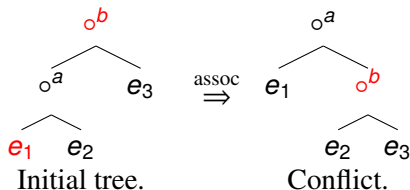


If $\neg \text{assoc}(\circ^a, \circ^b)$ then

$$\boxed{\text{TES}(\circ^b) \cup = \mathcal{T}(e_1)}$$

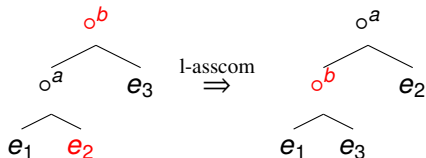
TES

Start with $\boxed{\text{TES}(\circ) := \text{SES}(\circ)}$ Then:



If $\neg \text{assoc}(\circ^a, \circ^b)$ then

$$\boxed{\text{TES}(\circ^b) \cup = \mathcal{T}(e_1)}$$



If $\neg \text{l-asscom}(\circ^a, \circ^b)$ then

$$\boxed{\text{TES}(\circ^b) \cup = \mathcal{T}(e_2)}$$

From the Paper [Moerkotte et al., 2013]

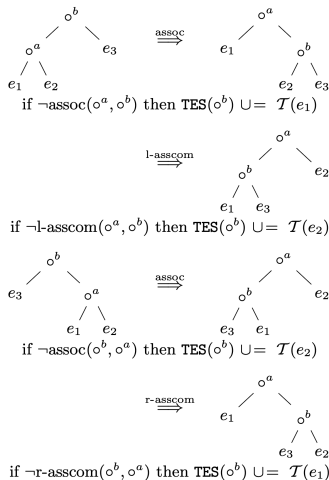


Figure 5: Calculating TES for simple operator trees

CD-A Summary

Start by computing TES.

CD-A Summary

Start by computing TES.

CD-A($\circ^b$)

▷ **Input:** operator $\circ^b$

```

1  TES( $\circ^b$ )  $\leftarrow$  CALCSES( $\circ^b$ )
2  for  $\forall \circ^a \in \text{STO}(\text{left}(\circ^b))$ 
3      if  $\neg \text{assoc}(\circ^a, \circ^b)$ 
4          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{left}(\circ^a))$ 
5      if  $\neg \text{l-asscom}(\circ^a, \circ^b)$ 
6          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{right}(\circ^a))$ 
7  for  $\forall \circ^a \in \text{STO}(\text{right}(\circ^b))$ 
8      if  $\neg \text{assoc}(\circ^b, \circ^a)$ 
9          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{right}(\circ^a))$ 
10     if  $\neg \text{r-asscom}(\circ^b, \circ^a)$ 
11         TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{left}(\circ^a))$ 

```

CD-A Summary

Start by computing TES.

STO = subtree operators
 $\circ^a$ = any descendent of $\circ^b$

CD-A($\circ^b$)

▷ **Input:** operator $\circ^b$

```

1  TES( $\circ^b$ )  $\leftarrow$  CALCSES( $\circ^b$ )
2  for  $\forall \circ^a \in \text{STO}(\text{left}(\circ^b))$ 
3      if  $\neg \text{assoc}(\circ^a, \circ^b)$ 
4          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{left}(\circ^a))$ 
5      if  $\neg \text{l-asscom}(\circ^a, \circ^b)$ 
6          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{right}(\circ^a))$ 
7  for  $\forall \circ^a \in \text{STO}(\text{right}(\circ^b))$ 
8      if  $\neg \text{assoc}(\circ^b, \circ^a)$ 
9          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{right}(\circ^a))$ 
10     if  $\neg \text{r-asscom}(\circ^b, \circ^a)$ 
11         TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{left}(\circ^a))$ 

```

CD-A Summary

Start by computing TES.

STO = subtree operators
 $\circ^a$ = any descendent of $\circ^b$

CD-A($\circ^b$)

```

▷ Input: operator  $\circ^b$ 
1  TES( $\circ^b$ )  $\leftarrow$  CALCSES( $\circ^b$ )
2  for  $\forall \circ^a \in \text{STO}(\text{left}(\circ^b))$ 
3      if  $\neg \text{assoc}(\circ^a, \circ^b)$ 
4          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{left}(\circ^a))$ 
5      if  $\neg \text{l-asscom}(\circ^a, \circ^b)$ 
6          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{right}(\circ^a))$ 
7  for  $\forall \circ^a \in \text{STO}(\text{right}(\circ^b))$ 
8      if  $\neg \text{assoc}(\circ^b, \circ^a)$ 
9          TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{right}(\circ^a))$ 
10     if  $\neg \text{r-asscom}(\circ^b, \circ^a)$ 
11         TES( $\circ^b$ )  $\leftarrow$  TES( $\circ^b$ )  $\cup \mathcal{T}(\text{left}(\circ^a))$ 

```

Finally:

APPLICABLE_A($\circ, S_1, S_2$)

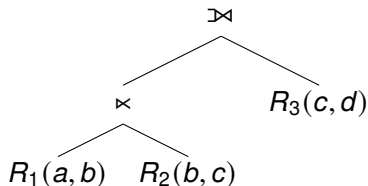
```

▷ Input: binary operator  $\circ$ , set of tables  $S_1, S_2$ 
1  return L-TES( $\circ$ )  $\subseteq S_1 \wedge$  R-TES( $\circ$ )  $\subseteq S_2$ 

```

Example

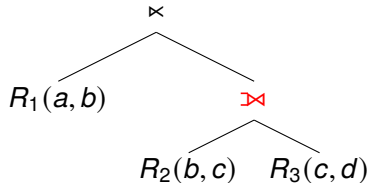
Initial operator tree:



$\neg \text{assoc}(\ltimes, \bowtie)$

$\text{TES}(\bowtie) \cup = \{R_1\}$

Candidate plan:



$\neg$ APPLICABLE

Discussion

- The paper claims that CD-A is “correct”, i.e. that it is sound.
- The claim may be true, but the proof provided is bogus.
- Another concern: can DPsub get stuck? Maybe the optimal plan for S_1 is such, for all S_2 , $\neg \text{Applicable}(S_1, S_2, \circ)$. This is not discussed in either [Moerkotte et al., 2013, Birler and Neumann, 2025].
- The paper shows that CD-A is incomplete (next).

Incompleteness of CD-A

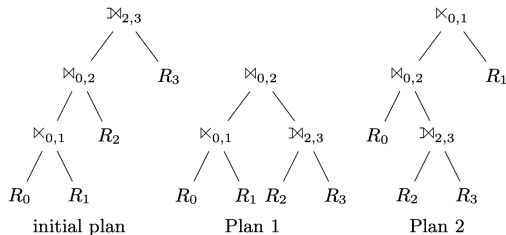


Figure 6: Example for incompleteness of CD-A

Incompleteness of CD-A

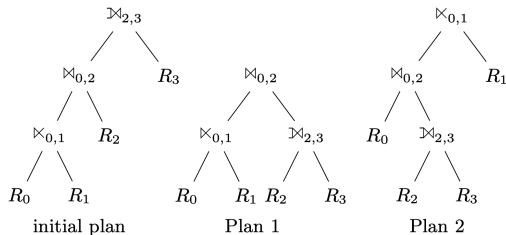


Figure 6: Example for incompleteness of CD-A

In class: prove $\text{Initial-Plan} \equiv \text{Plan-1} \equiv \text{Plan-2}$

Incompleteness of CD-A

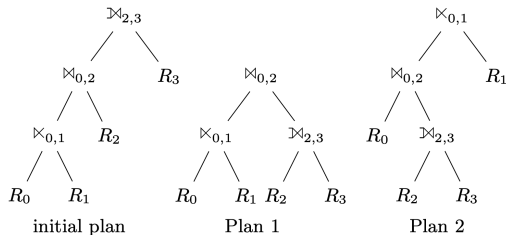


Figure 6: Example for incompleteness of CD-A

In class: prove $\text{Initial-Plan} \equiv \text{Plan-1} \equiv \text{Plan-2}$

$\neg \text{assoc}(\bowtie_{0,1}, \bowtie_{2,3})$ implies $R_0 \in \text{TES}(\bowtie_{2,3})$: Plans 1&2 have conflicts.

CD-B

Overview

Replace $\text{TES}(\circ) = \text{set of tables } T_2$, with $\text{CD}(\circ) = \text{set of rules } T_1 \rightarrow T_2$

Overview

Replace $\text{TES}(\circ) = \text{set of tables } T_2$, with $\text{CD}(\circ) = \text{set of rules } T_1 \rightarrow T_2$

$\text{Applicable}(\circ, S_1, S_2)$ checks:

- Check SES as before:

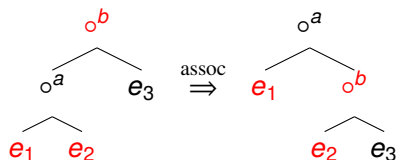
$$\boxed{\text{L-SES}(\circ) \subseteq S_1, \text{R-SES}(\circ) \subseteq S_2}$$

- For all $T_1 \rightarrow T_2 \in \text{CD}(\circ)$, check:

$$\boxed{\text{If } T_1 \cap S \neq \emptyset \text{ then } T_2 \subseteq S}$$

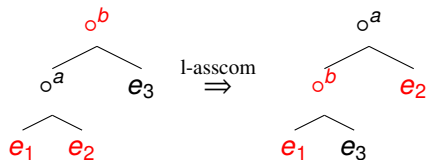
where $S = S_1 \cup S_2$.

CD-B



If $\neg \text{assoc}(o^a, o^b)$ then

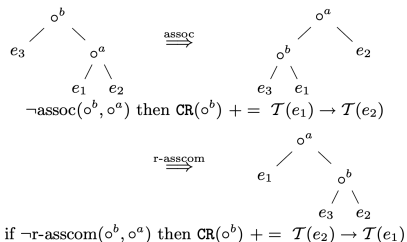
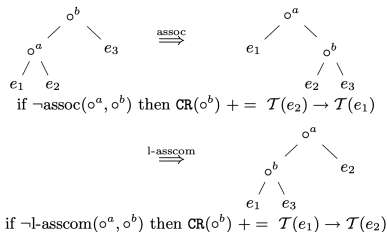
$$\text{CR}(o^b)+ = \mathcal{T}(e_2) \rightarrow \mathcal{T}(e_1)$$



If $\neg \text{l-asscom}(o^a, o^b)$ then

$$\text{TES}(o^b)+ = \mathcal{T}(e_1) \rightarrow \mathcal{T}(e_2)$$

From the Paper [Moerkotte et al., 2013]



CD-B Summary

Start by computing CD.

CD-B Summary

Start by computing CD.

CD-B(σ^b)

```

▷ Input: operator  $\sigma^b$ 
1   $\text{TES}(\sigma^b) \leftarrow \text{CALC}_{SES}(\sigma^b)$ 
2  for  $\forall \sigma^a \in \text{STO}(\text{left}(\sigma^b))$ 
3      if  $\neg \text{assoc}(\sigma^a, \sigma^b)$ 
4           $\text{CR}(\sigma^b) += \mathcal{T}(\text{right}(\sigma^a)) \rightarrow \mathcal{T}(\text{left}(\sigma^a))$ 
5      if  $\neg \text{l-asscom}(\sigma^a, \sigma^b)$ 
6           $\text{CR}(\sigma^b) += \mathcal{T}(\text{left}(\sigma^a)) \rightarrow \mathcal{T}(\text{right}(\sigma^a))$ 
7  for  $\forall \sigma^a \in \text{STO}(\text{right}(\sigma^b))$ 
8      if  $\neg \text{assoc}(\sigma^b, \sigma^a)$ 
9           $\text{CR}(\sigma^b) += \mathcal{T}(\text{left}(\sigma^a)) \rightarrow \mathcal{T}(\text{right}(\sigma^a))$ 
10     if  $\neg \text{r-asscom}(\sigma^b, \sigma^a)$ 
11          $\text{CR}(\sigma^b) += \mathcal{T}(\text{right}(\sigma^a)) \rightarrow \mathcal{T}(\text{left}(\sigma^a))$ 

```

Figure 8: Pseudocode for CD-B

CD-B Summary

Start by computing CD.

$\circ^a$ = any descendant of $\circ^b$.

CD-B($\circ^b$)

```

▷ Input: operator  $\circ^b$ 
1  TES( $\circ^b$ )  $\leftarrow$  CALCSES( $\circ^b$ )
2  for  $\forall \circ^a \in \text{STO}(\text{left}(\circ^b))$ 
3      if  $\neg \text{assoc}(\circ^a, \circ^b)$ 
4           $\text{CR}(\circ^b) += \mathcal{T}(\text{right}(\circ^a)) \rightarrow \mathcal{T}(\text{left}(\circ^a))$ 
5      if  $\neg \text{l-asscom}(\circ^a, \circ^b)$ 
6           $\text{CR}(\circ^b) += \mathcal{T}(\text{left}(\circ^a)) \rightarrow \mathcal{T}(\text{right}(\circ^a))$ 
7  for  $\forall \circ^a \in \text{STO}(\text{right}(\circ^b))$ 
8      if  $\neg \text{assoc}(\circ^b, \circ^a)$ 
9           $\text{CR}(\circ^b) += \mathcal{T}(\text{left}(\circ^a)) \rightarrow \mathcal{T}(\text{right}(\circ^a))$ 
10     if  $\neg \text{r-asscom}(\circ^b, \circ^a)$ 
11          $\text{CR}(\circ^b) += \mathcal{T}(\text{right}(\circ^a)) \rightarrow \mathcal{T}(\text{left}(\circ^a))$ 

```

Figure 8: Pseudocode for CD-B

CD-B Summary

Start by computing CD.

$\circ^a$ = any descendant of $\circ^a$.

Finally

CD-B($\circ^b$)

```

▷ Input: operator  $\circ^b$ 
1  TES( $\circ^b$ )  $\leftarrow$  CALCSES( $\circ^b$ )
2  for  $\forall \circ^a \in \text{STO}(\text{left}(\circ^b))$ 
3      if  $\neg \text{assoc}(\circ^a, \circ^b)$ 
4           $\text{CR}(\circ^b) += \mathcal{T}(\text{right}(\circ^a)) \rightarrow \mathcal{T}(\text{left}(\circ^a))$ 
5      if  $\neg \text{l-asscom}(\circ^a, \circ^b)$ 
6           $\text{CR}(\circ^b) += \mathcal{T}(\text{left}(\circ^a)) \rightarrow \mathcal{T}(\text{right}(\circ^a))$ 
7  for  $\forall \circ^a \in \text{STO}(\text{right}(\circ^b))$ 
8      if  $\neg \text{assoc}(\circ^b, \circ^a)$ 
9           $\text{CR}(\circ^b) += \mathcal{T}(\text{left}(\circ^a)) \rightarrow \mathcal{T}(\text{right}(\circ^a))$ 
10     if  $\neg \text{r-asscom}(\circ^b, \circ^a)$ 
11          $\text{CR}(\circ^b) += \mathcal{T}(\text{right}(\circ^a)) \rightarrow \mathcal{T}(\text{left}(\circ^a))$ 

```

Figure 8: Pseudocode for CD-B

APPLICABLE_{B/C}($\circ, S_1, S_2$)

```

▷ Input: binary operator  $\circ$ , set of tables  $S_1, S_2$ 
1  if  $\text{L-TES}(\circ) \subseteq S_1 \wedge \text{R-TES}(\circ) \subseteq S_2$ 
2      for all  $(T_1 \rightarrow T_2) \in \text{CR}(\circ)$ 
3          if  $T_1 \cap (S_1 \cup S_2) \neq \emptyset$ 
4              if  $T_2 \not\subseteq (S_1 \cup S_2)$ 
5                  return FALSE
6      return TRUE
7  else
8      return FALSE

```

Figure 9: Pseudocode for **APPLICABLE**_{B/C}

CD-B Summary

Start by computing CD.

$\circ^a$ = any descendant of $\circ^a$.

Finally

Warning:

here $\text{TES} := \text{SES}$

CD-B($\circ^b$)

```

▷ Input: operator  $\circ^b$ 
1  $\text{TES}(\circ^b) \leftarrow \text{CALC}_{\text{SES}}(\circ^b)$ 
2 for  $\forall \circ^a \in \text{STO}(\text{left}(\circ^b))$ 
3   if  $\neg \text{assoc}(\circ^a, \circ^b)$ 
4      $\text{CR}(\circ^b) += \mathcal{T}(\text{right}(\circ^a)) \rightarrow \mathcal{T}(\text{left}(\circ^a))$ 
5   if  $\neg \text{l-asscom}(\circ^a, \circ^b)$ 
6      $\text{CR}(\circ^b) += \mathcal{T}(\text{left}(\circ^a)) \rightarrow \mathcal{T}(\text{right}(\circ^a))$ 
7 for  $\forall \circ^a \in \text{STO}(\text{right}(\circ^b))$ 
8   if  $\neg \text{assoc}(\circ^b, \circ^a)$ 
9      $\text{CR}(\circ^b) += \mathcal{T}(\text{left}(\circ^a)) \rightarrow \mathcal{T}(\text{right}(\circ^a))$ 
10  if  $\neg \text{r-asscom}(\circ^b, \circ^a)$ 
11     $\text{CR}(\circ^b) += \mathcal{T}(\text{right}(\circ^a)) \rightarrow \mathcal{T}(\text{left}(\circ^a))$ 

```

Figure 8: Pseudocode for CD-B

$\text{APPLICABLE}_{B/C}(\circ, S_1, S_2)$

```

▷ Input: binary operator  $\circ$ , set of tables  $S_1, S_2$ 
1 if  $\text{L-TES}(\circ) \subseteq S_1 \wedge \text{R-TES}(\circ) \subseteq S_2$ 
2   for all  $(T_1 \rightarrow T_2) \in \text{CR}(\circ)$ 
3     if  $T_1 \cap (S_1 \cup S_2) \neq \emptyset$ 
4       if  $T_2 \not\subseteq (S_1 \cup S_2)$ 
5         return FALSE
6   return TRUE
7 else
8   return FALSE

```

Figure 9: Pseudocode for $\text{APPLICABLE}_{B/C}$

Example

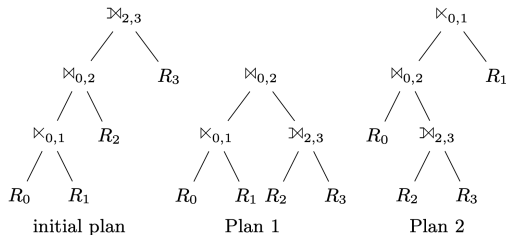


Figure 6: Example for incompleteness of CD-A

$\neg \text{assoc}(\bowtie_{0,1}, \bowtie_{2,3})$ implies $\text{CR}(\bowtie_{2,3})$ contains $R_1 \rightarrow R_0$

Both PLAN 1 and PLAN 2 are applicable.

Incompleteness of CD-B

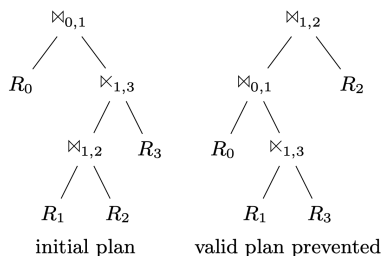


Figure 10: Example for incompleteness of CD-B

$\neg\text{r-asscom}(\bowtie_{01}, \bowtie_{13})$ thus $\text{CR}(\bowtie_{01})$ contains $R_3 \rightarrow R_1 R_2$.

CD-C

CD-C

CD-C relaxes the rules of CD-B

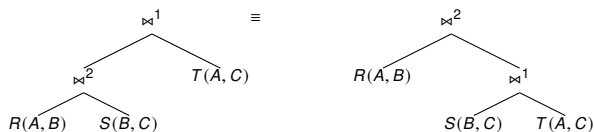
But we wont discuss them.

Paper claims that CD-C is complete; no proof is offered.

[Birler and Neumann, 2025] shows that CD-C fails to be complete for “arbitrary hypothetical operators”. But may still be complete for the “usual” operators.

Discussion

- Non-reordable operators are complicated!!!
- CD-A/CD-B/CD-C appear incapable of handling simple cyclic queries:



Not permitted because $SES(\bowtie^1) = \{R, S, T\}$.

- Soundness claims are credible, but proofs are bogus.
- Completeness proof of CD-C is missing.
- Progress of DPsub is not discussed at all.



Birler, A. and Neumann, T. (2025).

Efficient enumeration of the complete join search space.

In *Proceedings of the 19th International Symposium on Database Programming Languages, DBPL 2025, Berlin, Germany, June 22-27, 2025*, pages 5:1–5:12. ACM.



Moerkotte, G., Fender, P., and Eich, M. (2013).

On the correct and complete enumeration of the core search space.

In Ross, K. A., Srivastava, D., and Papadias, D., editors, *Proceedings of the ACM SIGMOD International Conference on Management of Data, SIGMOD 2013, New York, NY, USA, June 22-27, 2013*, pages 493–504. ACM.



Moerkotte, G. and Neumann, T. (2008).

Dynamic programming strikes back.

In Wang, J. T., editor, *Proceedings of the ACM SIGMOD International Conference on Management of Data, SIGMOD 2008, Vancouver, BC, Canada, June 10-12, 2008*, pages 539–552. ACM.