

# 3D Shape Regression for Real-time Facial Animation

Chen Cao, Yanlin Weng, Stephen Lin, Kun Zhou

## FaceWarehouse: a 3D facial Expression Database for Visual Computing

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Presented by Shu Liang  
(Black-on-white slides are Shu's)

# Facial Animation

- Facial animation is widely used in films & games
- Performance-based facial animation



Avatar 2009

© 21st Century Fox



L.A. Noire 2011

© Team Bondi

# Related Work

- Performance-based Facial Animation

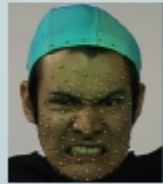
Quality

## Special Equipment

Facial Markers

Camera arrays

Structured light



[Huang et al. 2011]



[Beeler et al. 2011]



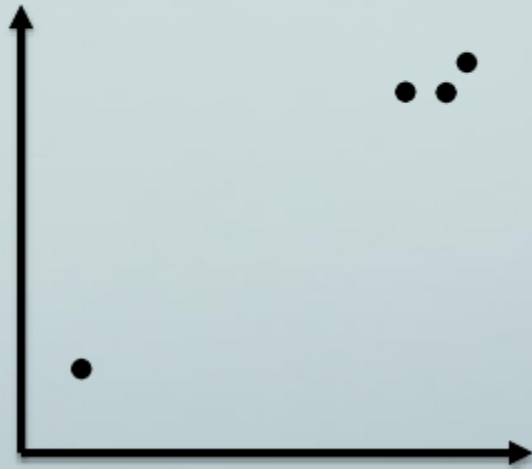
[Weise et al. 2009]

Device  
complexity

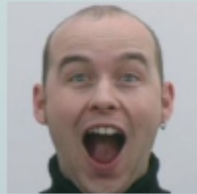
# Related Work

- Performance-based Facial Animation

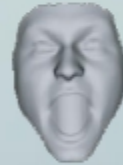
Quality



Optical Flow



[Vlasic et al. 2005]



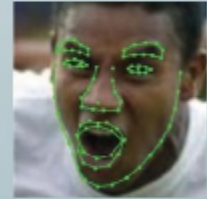
Single Camera

ASM & AAM



[Cootes et al.  
1992-2001]

Regression-based  
alignment



[Cao et al. 2012]

Device  
complexity



# Related Work

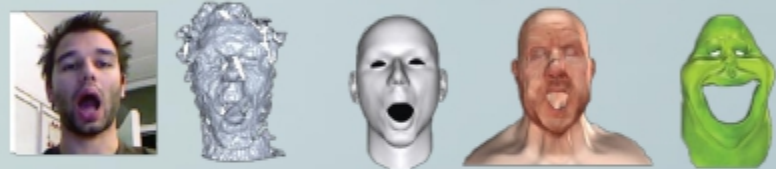
- Performance-based Facial Animation

Quality



Device complexity

## Consumer RGBD Camera



[Weise et al. 2011]



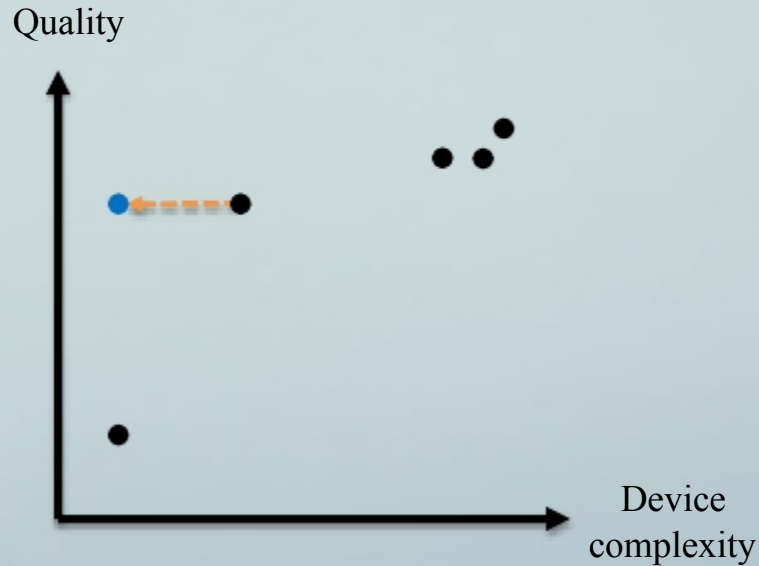
[Bouaziz et al. 2013]



[Li et al. 2013]

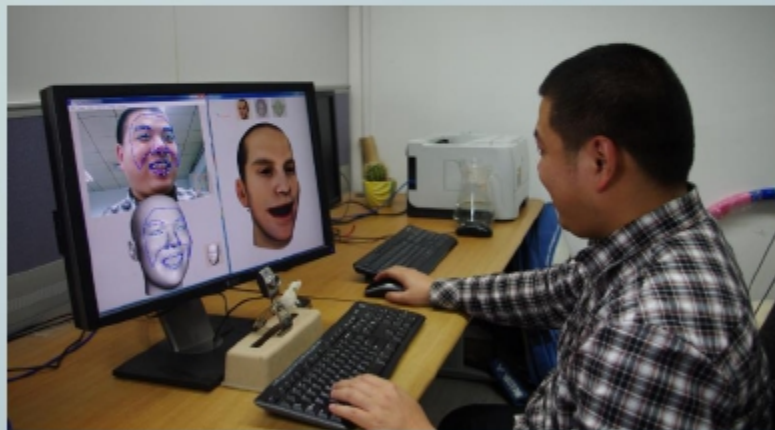
# Our Goal

- Real-time facial animation for average users

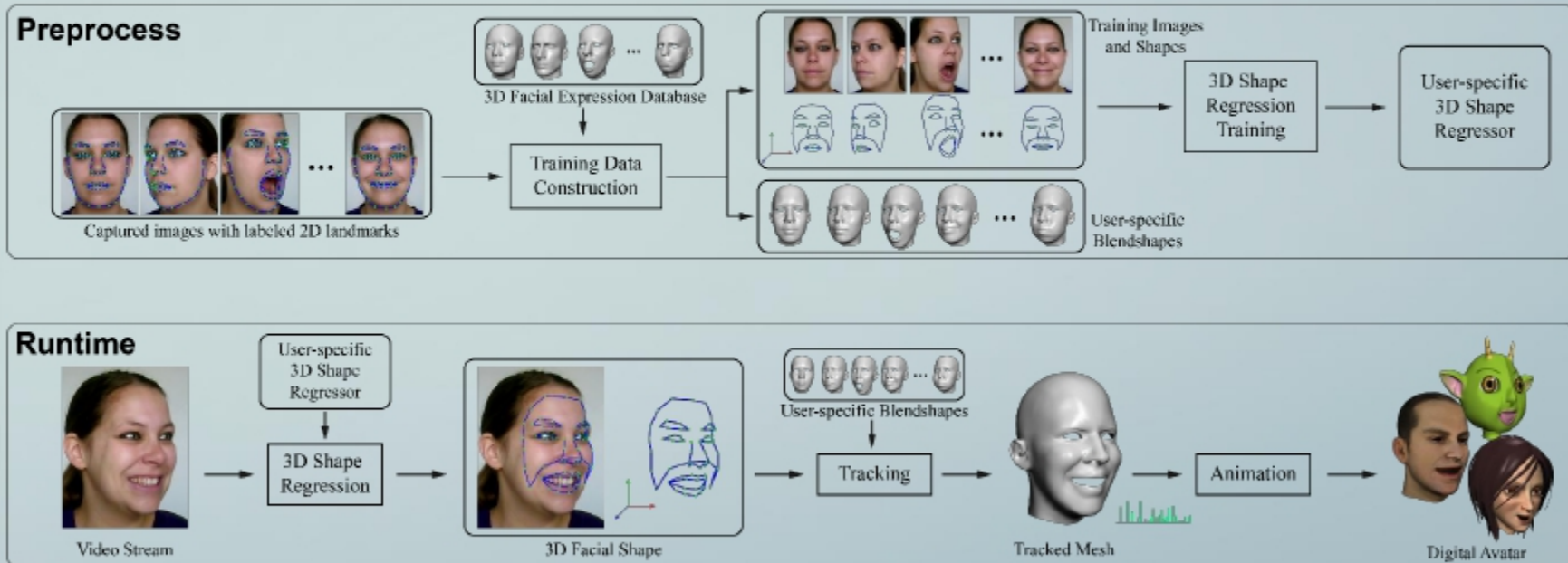


# Our Goal

- Real-time facial animation for ordinary users
  - Single web camera
  - Robust
    - Fast motions
    - Large rotations
    - Exaggerated expressions
  - General environments
    - Indoors and outdoors
  - High performance
    - Mobile devices

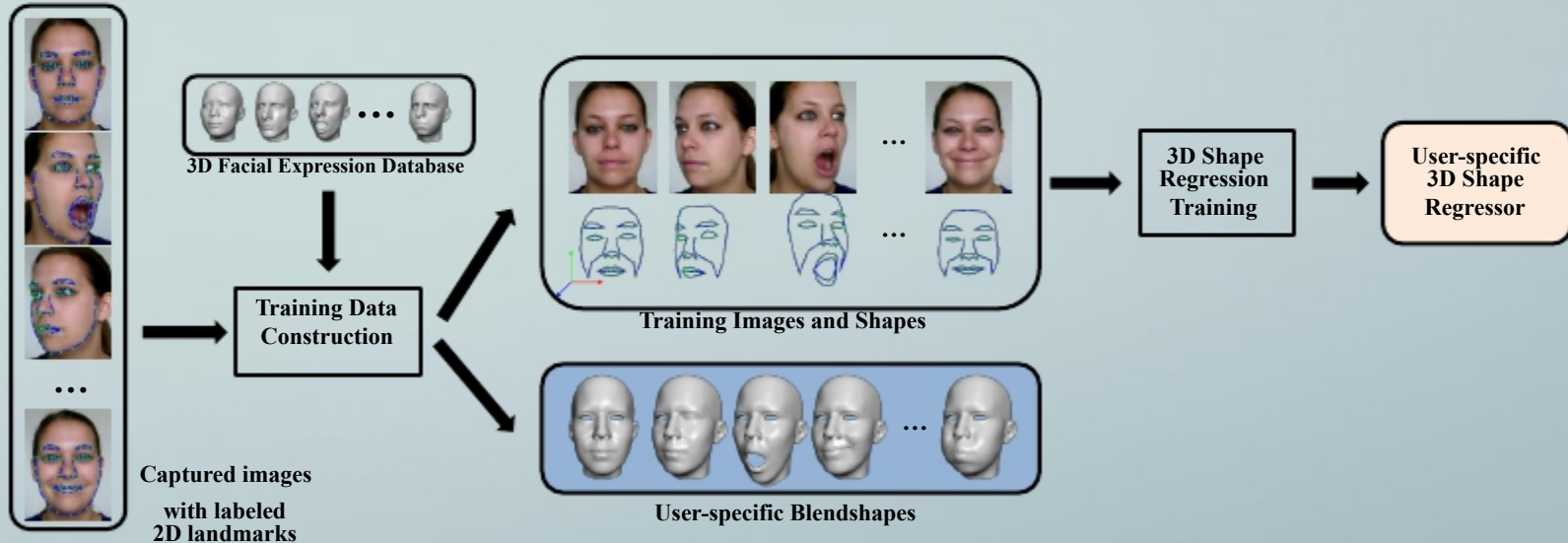


# Our Pipeline



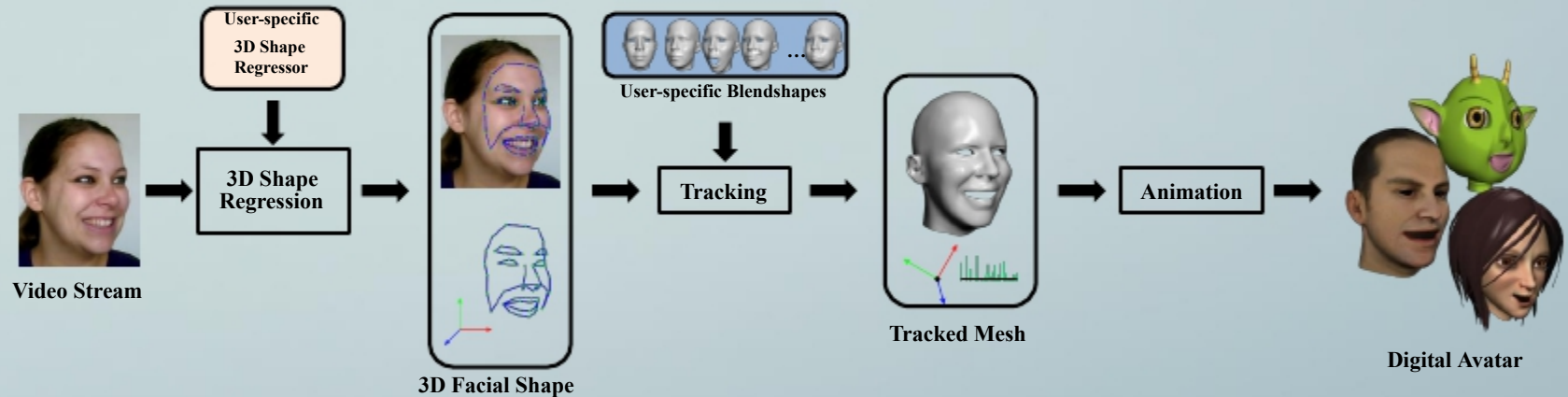
# Our Pipeline

- One-time Preprocess



# Our Pipeline

- Runtime computation

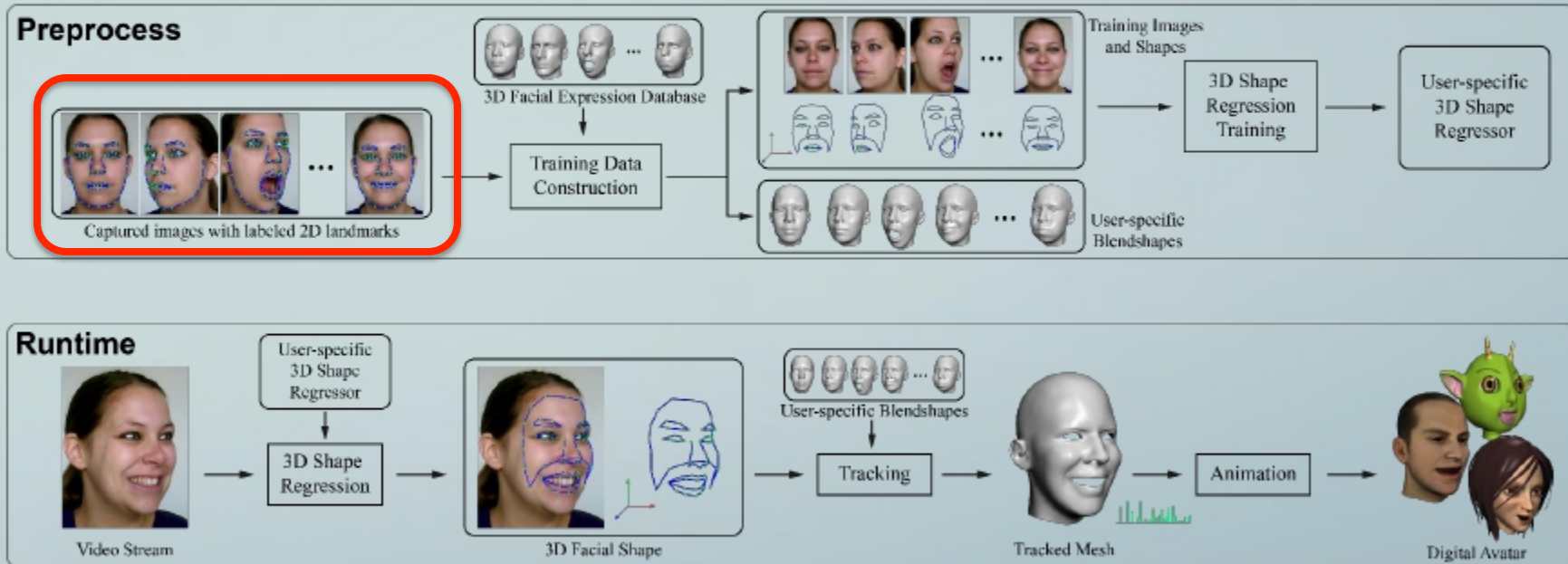


# 3D Face Shape Regression: Preprocess

- Data Collection
  - Image capturing & labeling
  - Blendshapes generation
  - Shape reconstruction
  - Training data generation
- Training

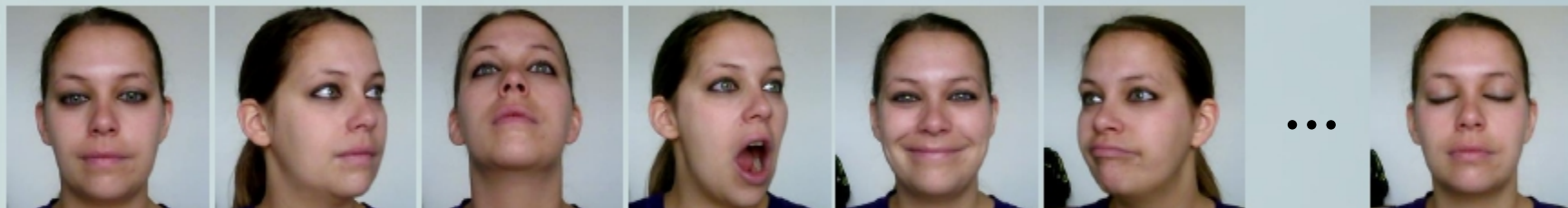


# Our Pipeline

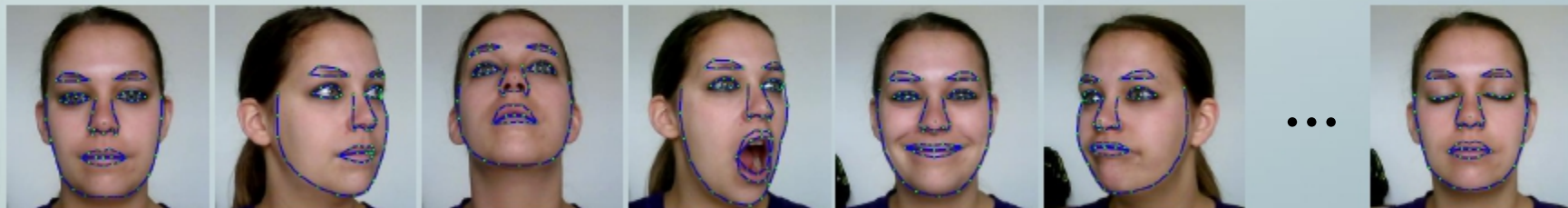




# Preprocess: Image Capturing & Labeling



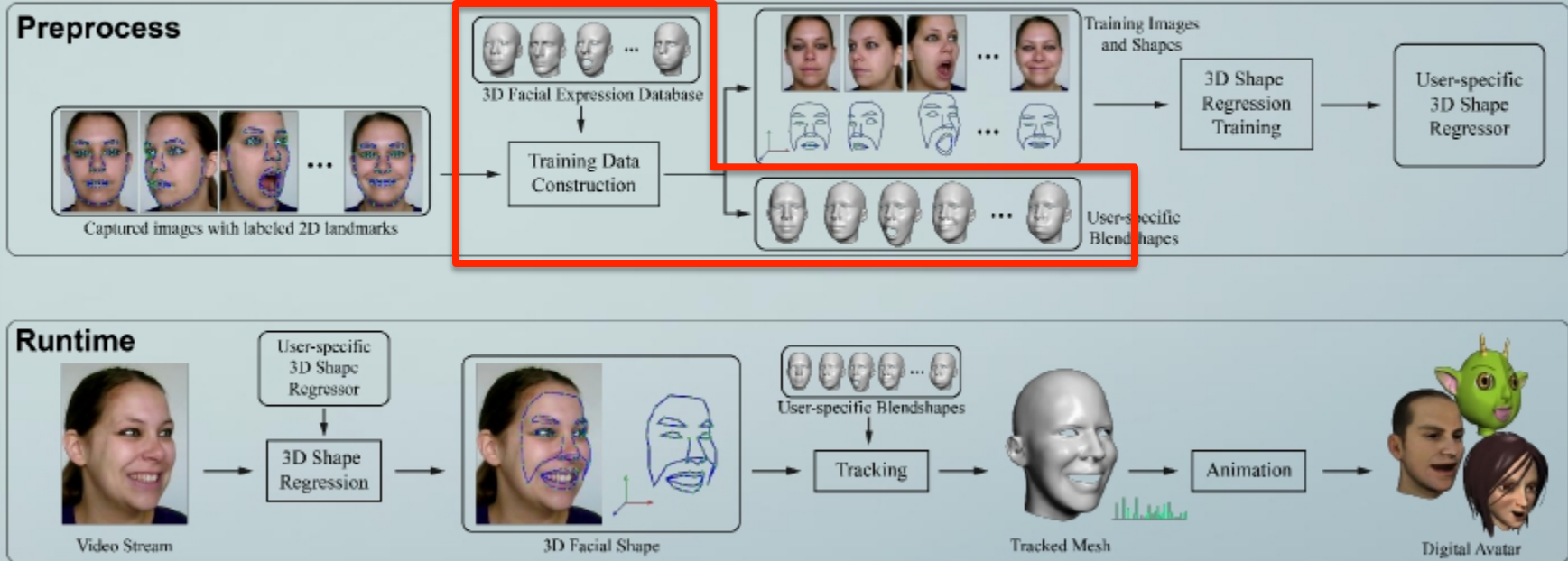
Captured Images



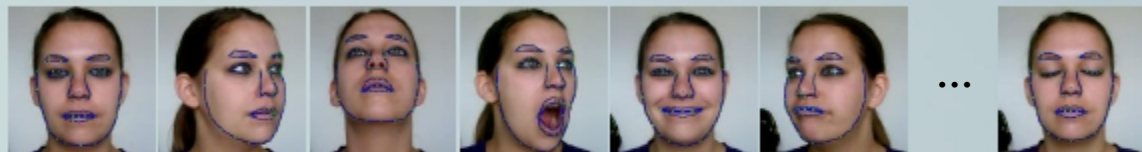
[Cao et al. 2012] + Manual Adjustment

Labeled 2D Feature Points

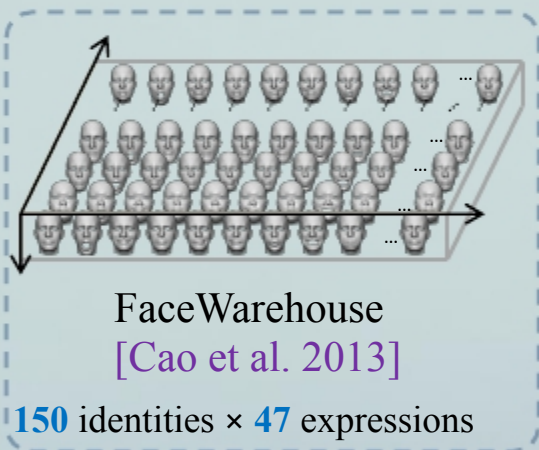
# Our Pipeline



# Preprocess: Blendshapes Generation



Labeled 2D Feature Points



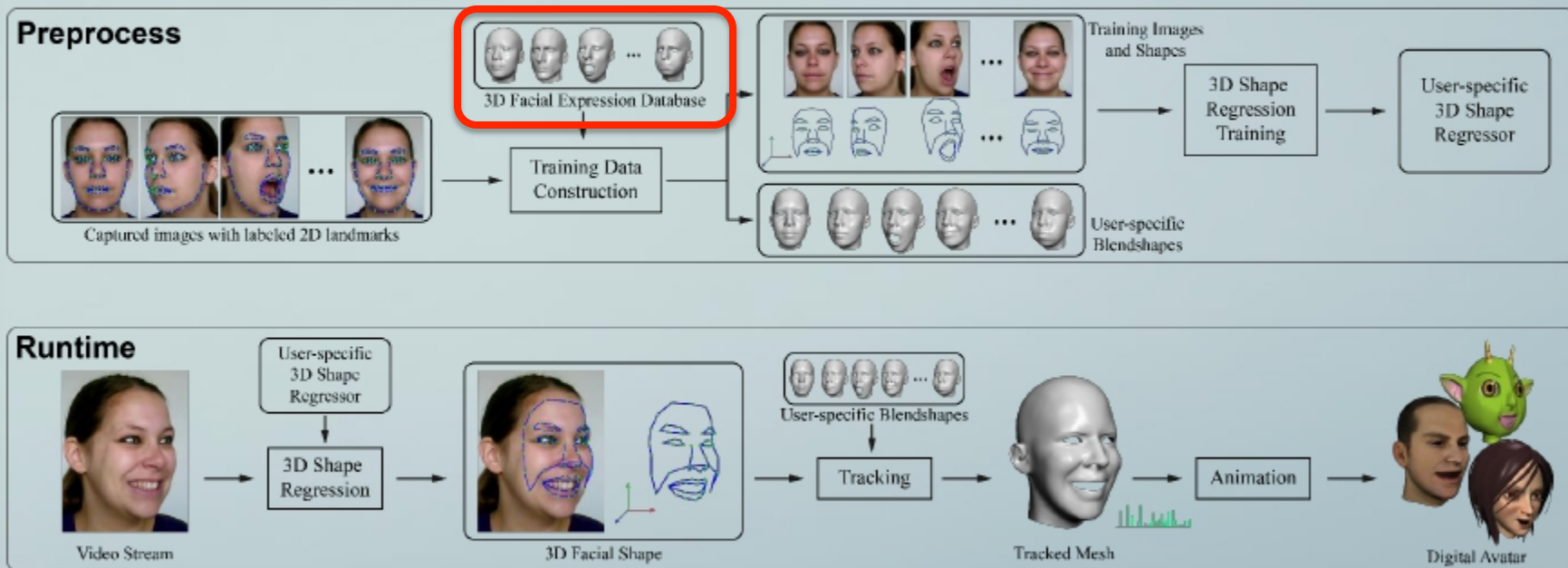
Fitting



User-specific Blendshapes

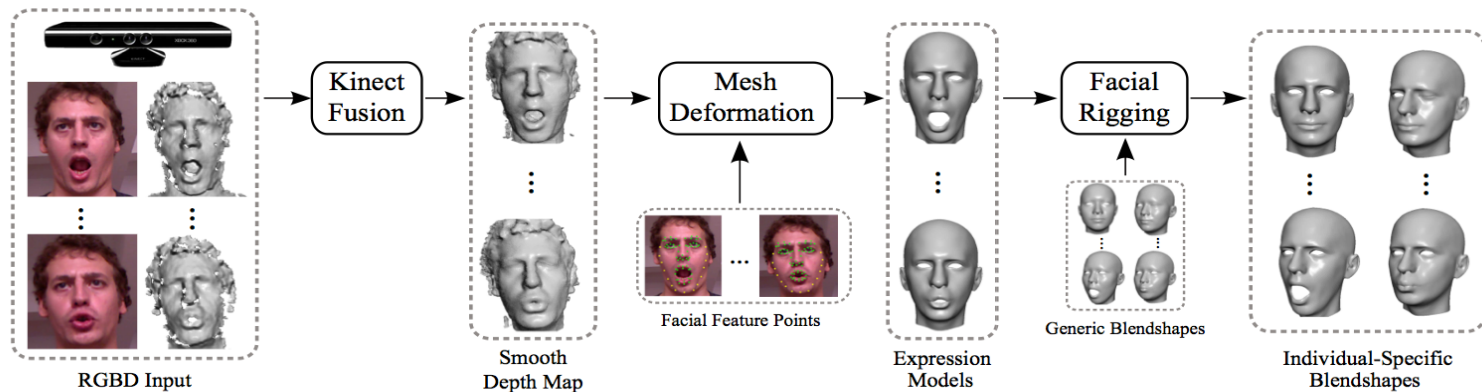
# Our Pipeline

## FaceWarehouse



# FaceWarehouse

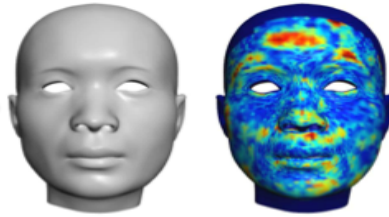
- RGBD images of 150 individuals captured by Kinect
- Aged 7-80 from various ethnic backgrounds
- Different expressions, one neutral and 19 other expressions.



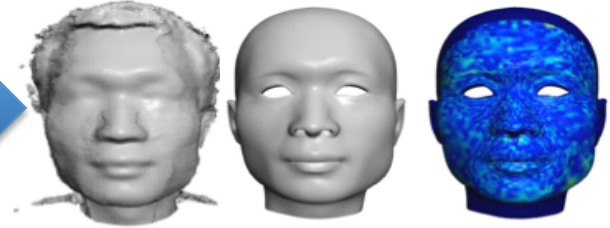
# FaceWarehouse

## – Mesh deformation

Neutral expression

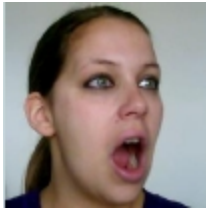


[Blanz et. al 2004]



[Huang et. al 2006]

Other expressions



[Sumner et. al 2006]



[Huang et. al 2006]

$S_0, S_1, S_2 \dots S_{19}$  for 20 expressions.



# FaceWarehouse

- Individual-specific expression blendshapes

- Example-based facial rigging algorithm:

An expression  $H$  of the person can be:

$$H = B_0 + \sum_{i=1}^{46} \alpha_i (B_i - B_0)$$

$\{B_1, B_2, \dots, B_{46}\}$  46 FACS blendshapes

- Begins with a generic blendshape model  $\mathbf{A} = \{A_0, A_1, \dots, A_{46}\}$
- Optimized by minimizing the difference between  $S_j$  and linear combination of  $B_i$  with known weight for expression  $j$ , the difference between the deformation from  $B_0$  to  $B_i$  and that from  $A_0$  to  $A_i$ .

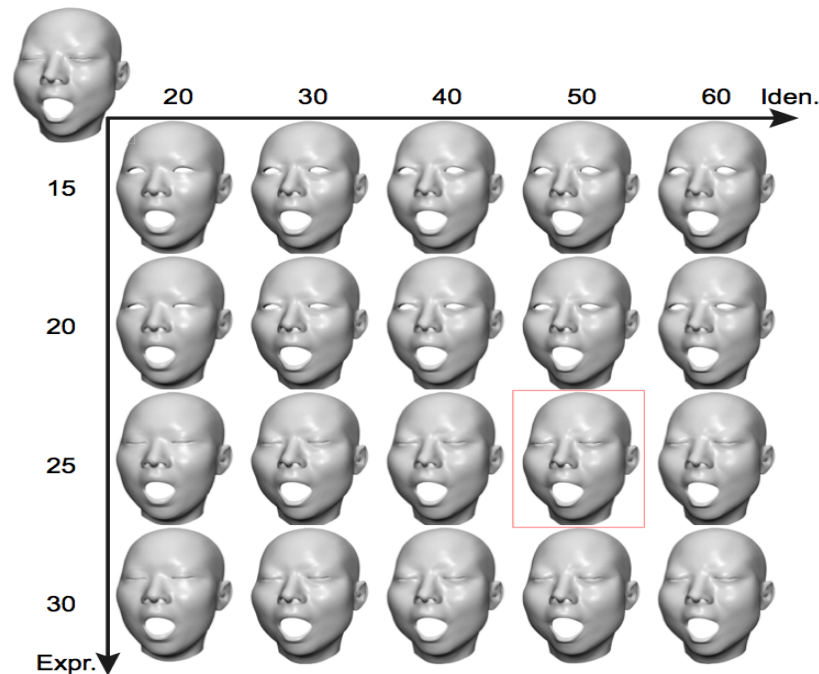
# FaceWarehouse

## – Bilinear face model

A rank-three data tensor  $T$ .  
(11K vertices  $\times$  150 identities  $\times$   
47 expressions)

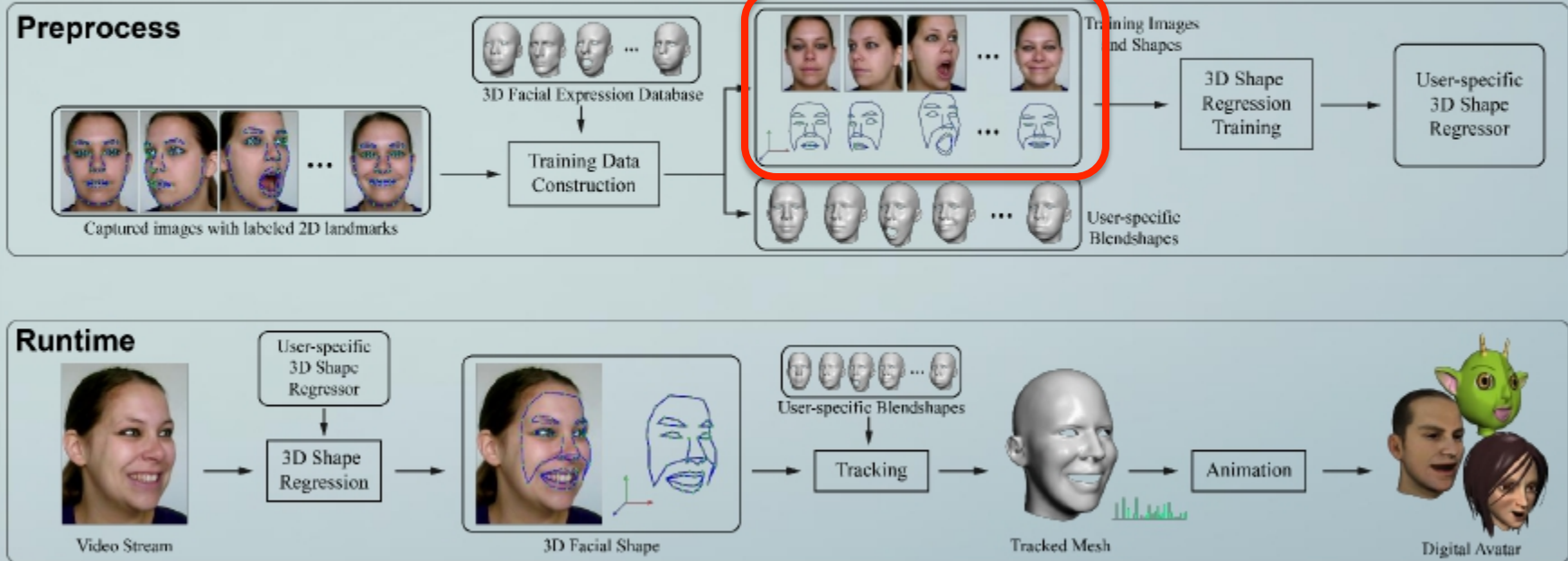
Used N-mode SVD to  
decompose the tensor.

$$V = C_r \times_2 \mathbf{W}_{id}^T \times_3 \mathbf{W}_{exp}^T,$$





# Our Pipeline

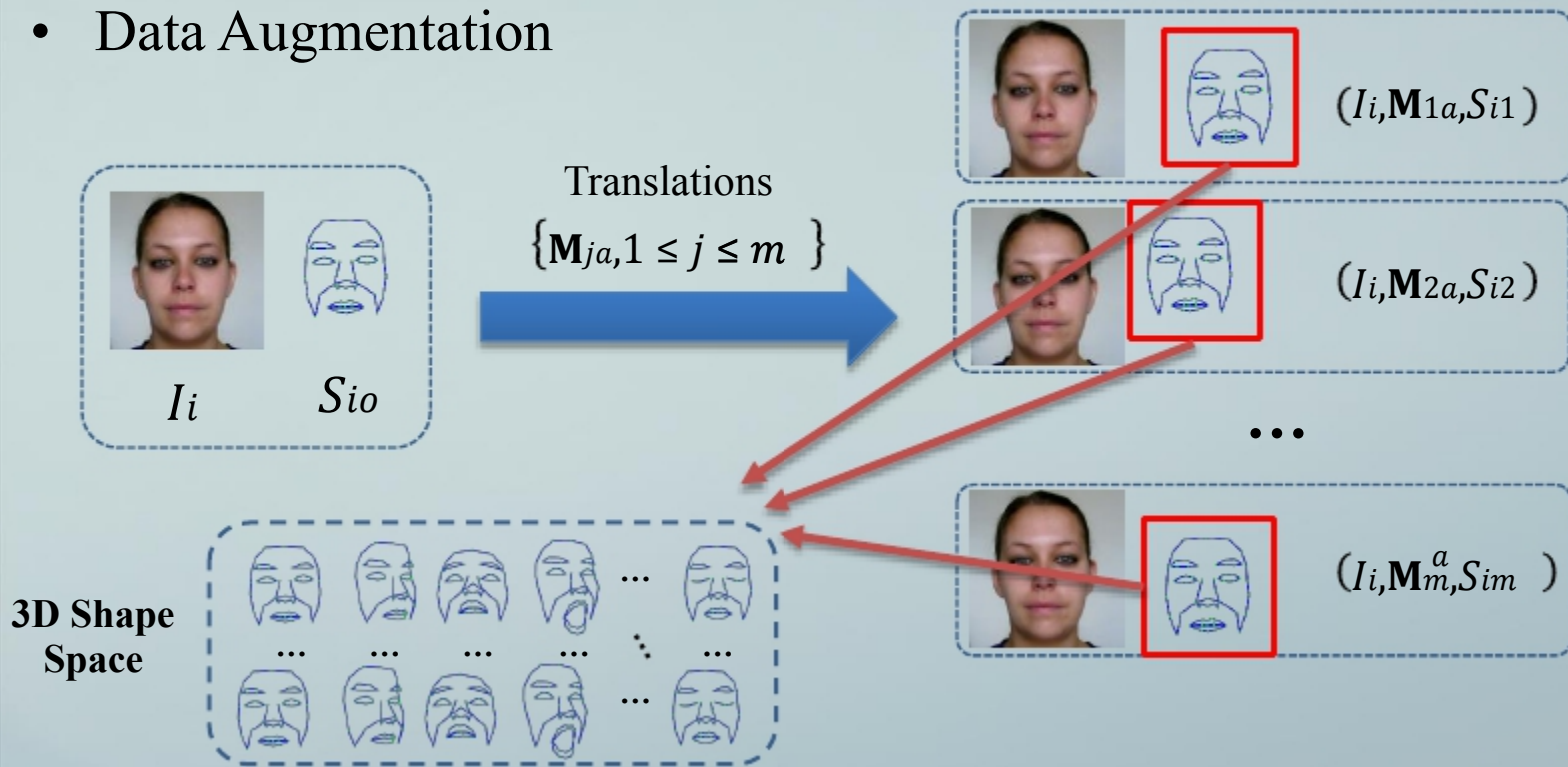


# Preprocess: 3D Shape Reconstruction



# Preprocess: Training Data Generation

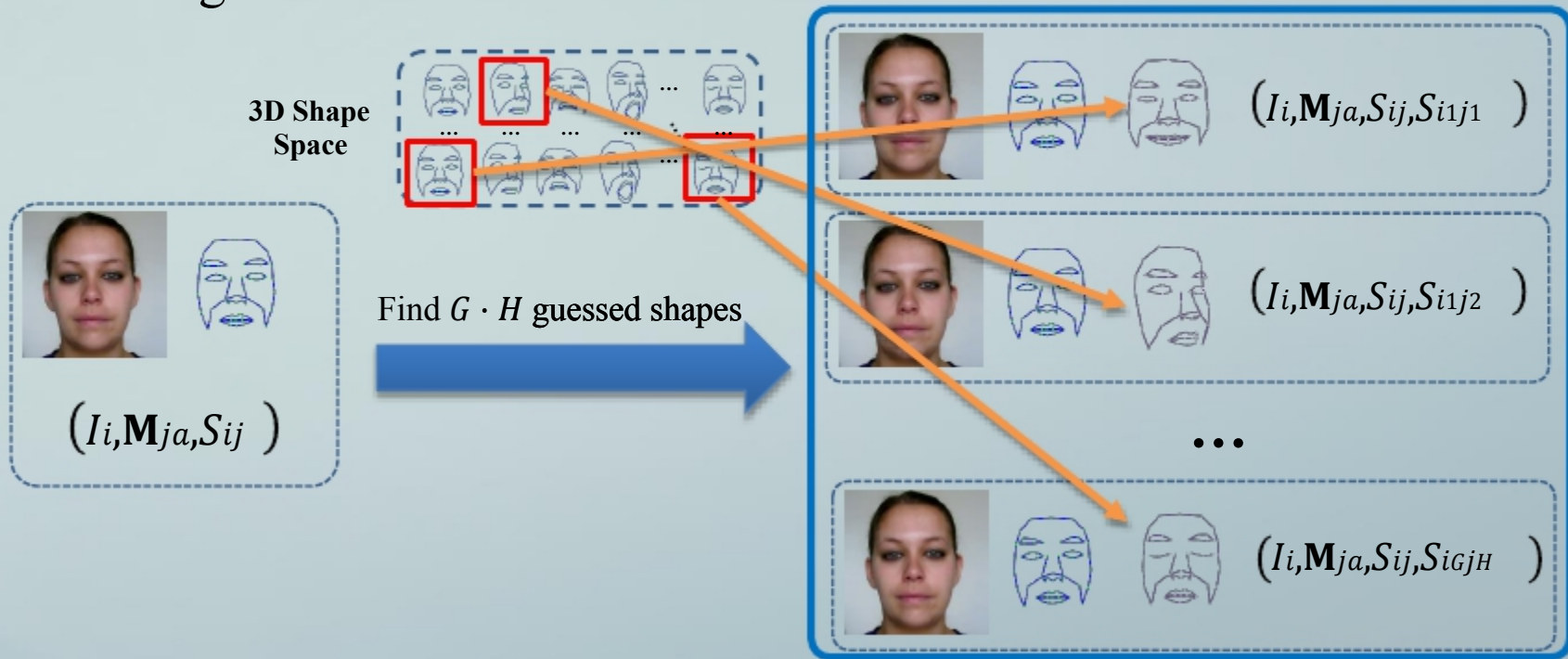
- Data Augmentation



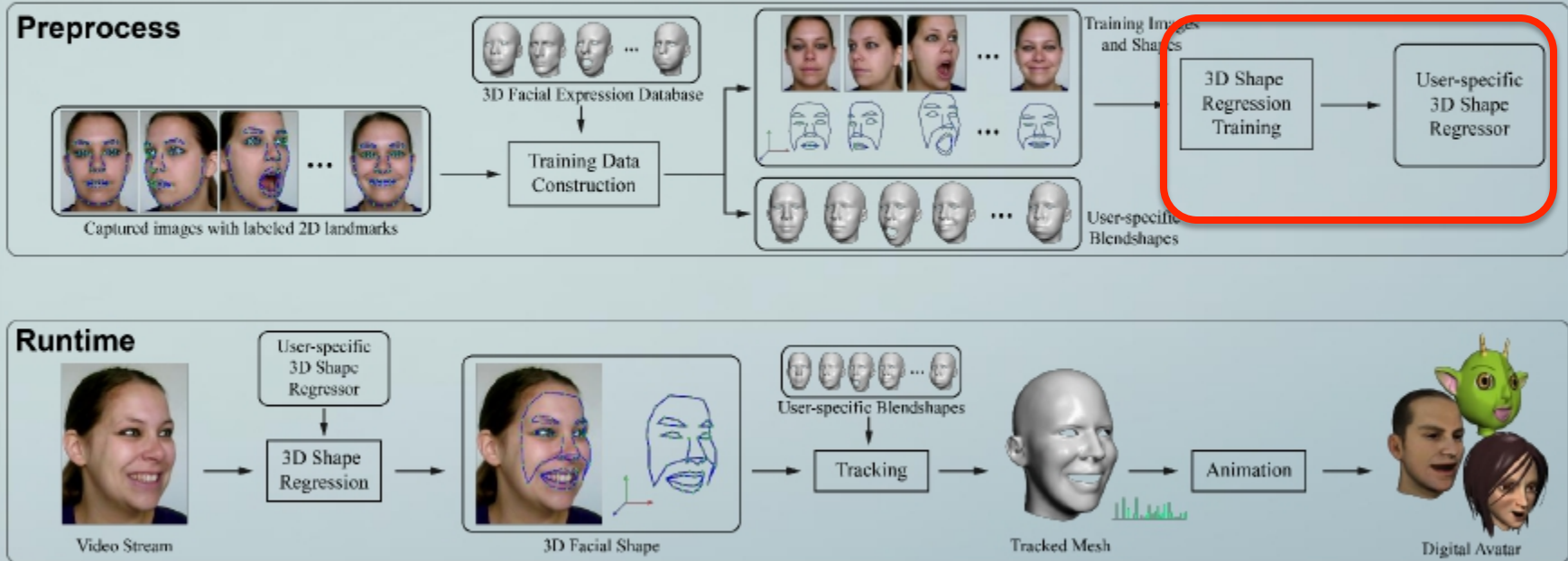
# Preprocess: Training Data Generation

- Training Set Construction

Training Data



# Our Pipeline



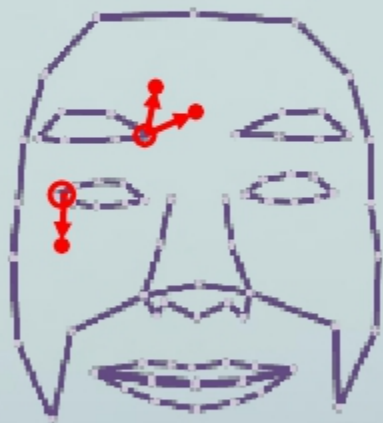
# Preprocess: Training

- Appearance vector
- Primitive regressor: fern
- Summary: two-level boosted regressor

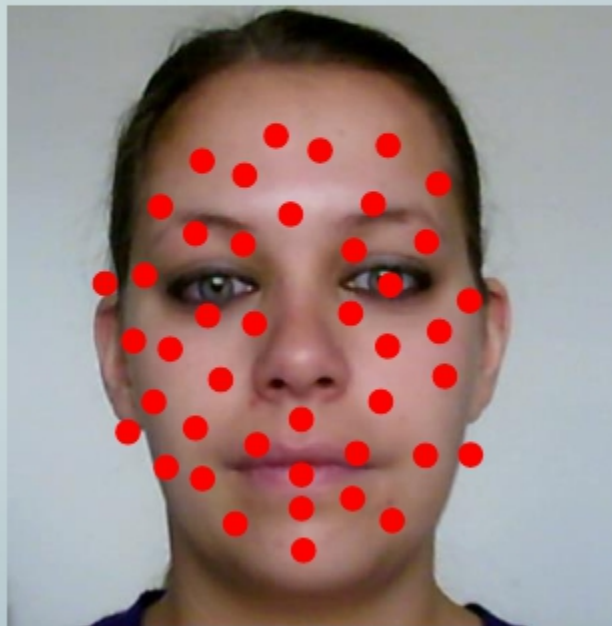


# Preprocess: Training

- Appearance vector



*Sic*



$(I_i, M_{ia})$



Appearance Vector

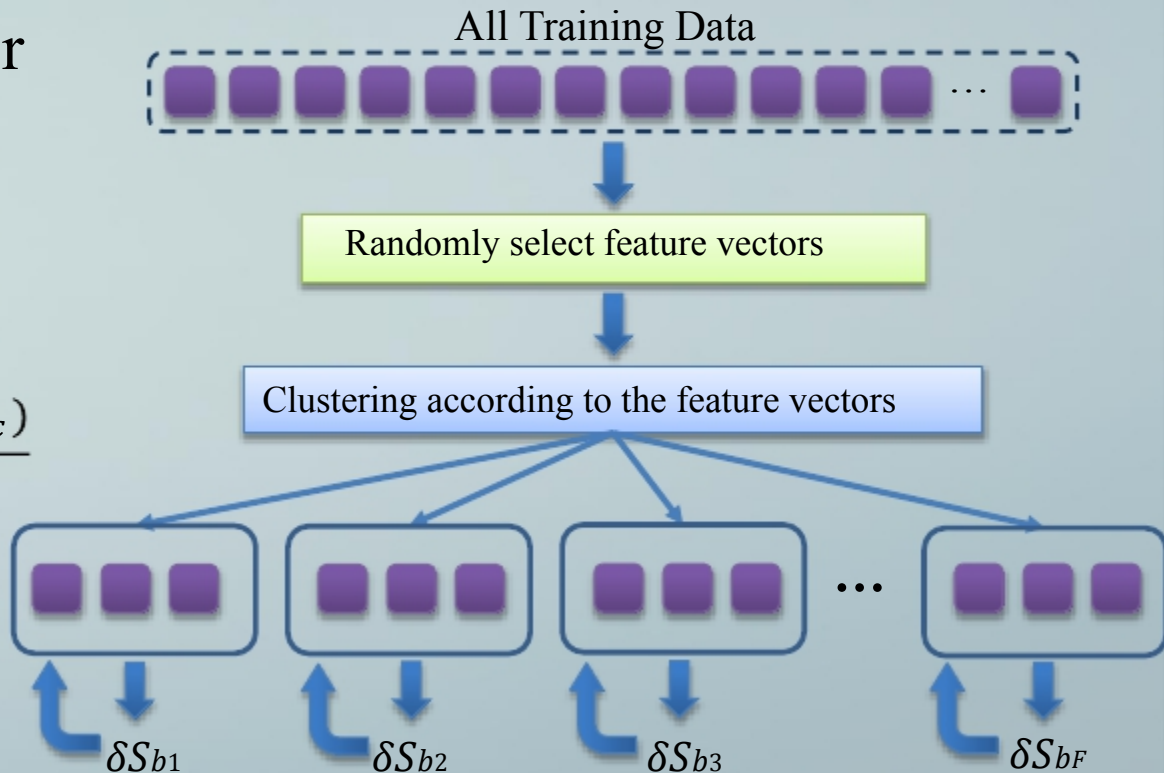
# Preprocess: Training

- Primitive regressor



$$\delta S_b = \frac{1}{1 + \beta/|\Omega_b|} \frac{\sum_{i \in \Omega_b} (S_i - S_{ic})}{|\Omega_b|}$$

$$S_{ic} = S_{ic} + \delta S_b, i \in \Omega_b$$

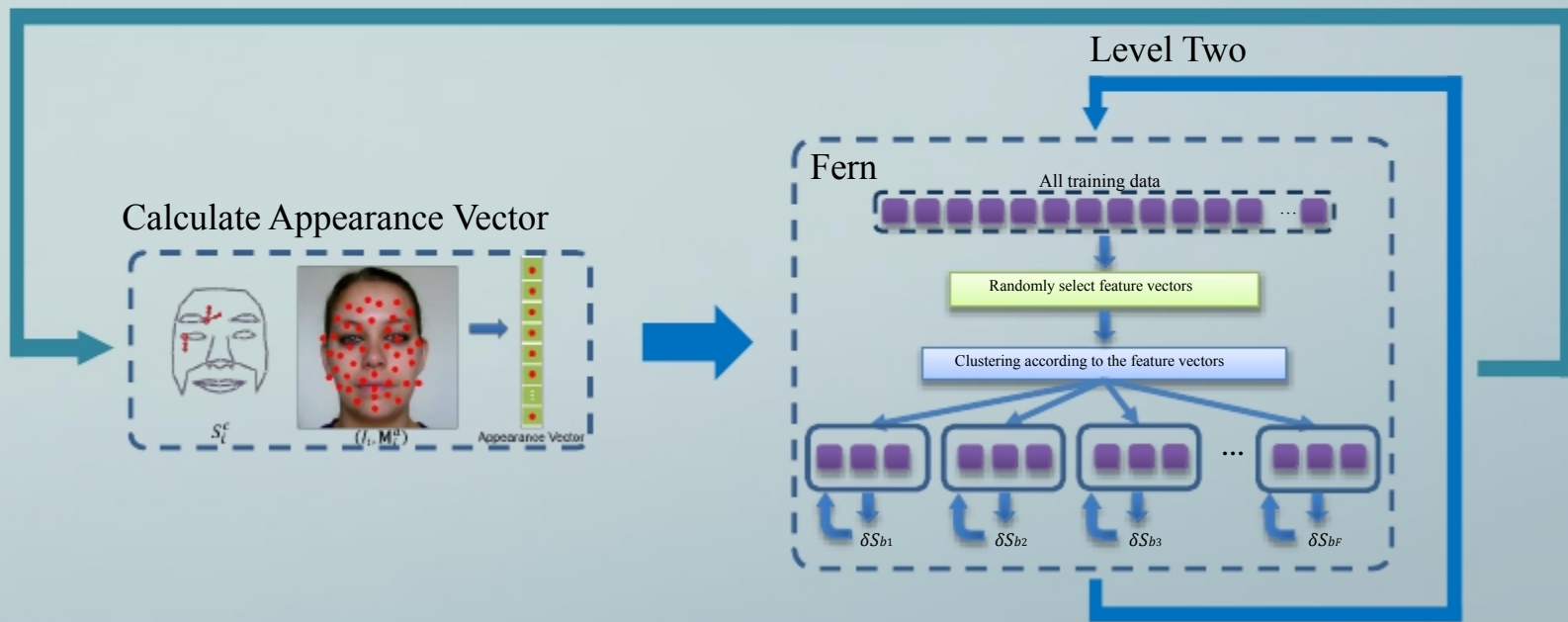




# Preprocess: Training

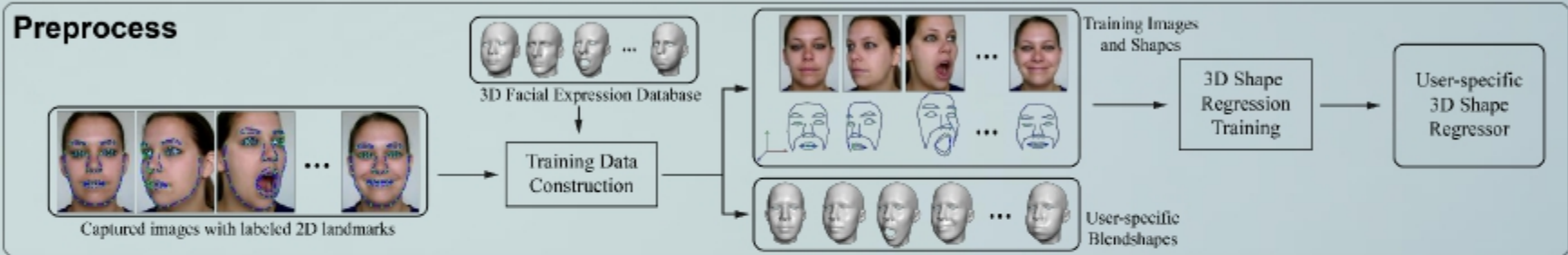
- Summary: two-level boosted regression

Level One

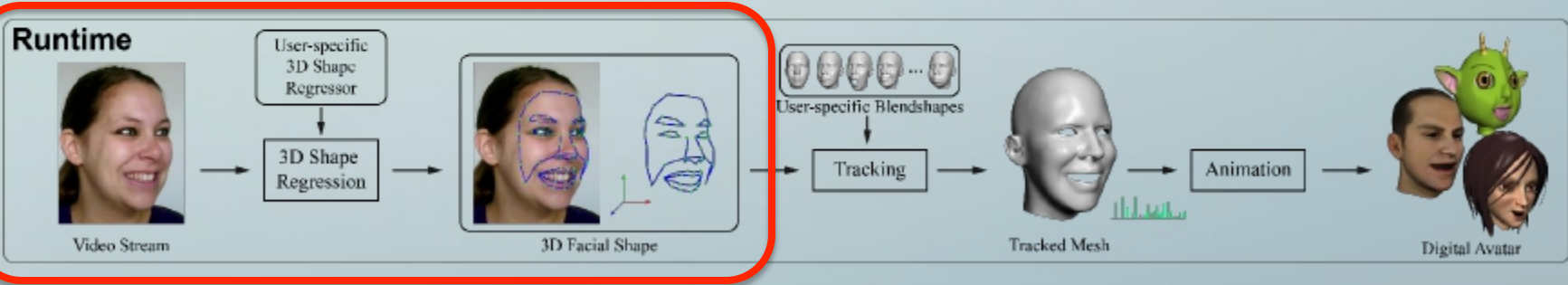


# Our Pipeline

## Preprocess



## Runtime

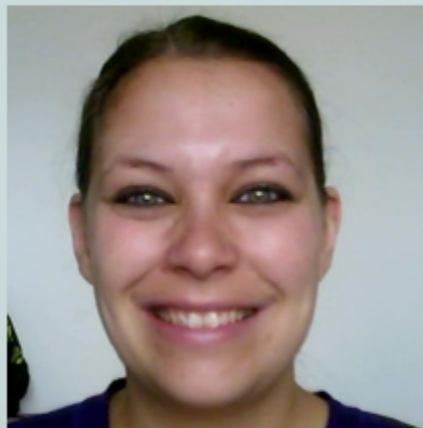


# 3D Face Shape Regression: Runtime

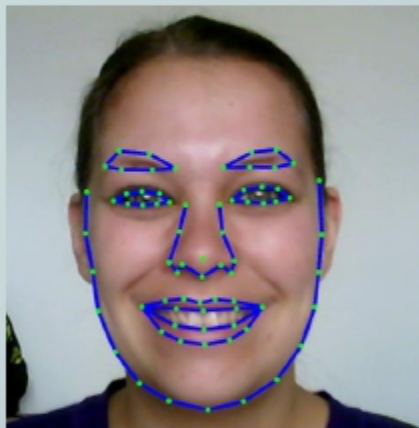
- Initialization: first frame
- Following frames
  - Find guessed shapes
  - Two-level boosted regression

# Runtime: Initialization

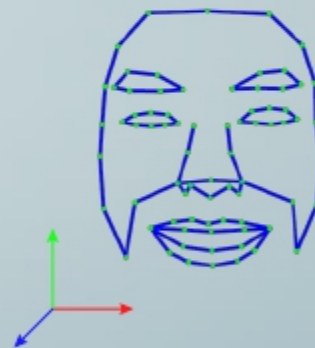
- First frame



Face Detection  
[Viola and Jones 2001]



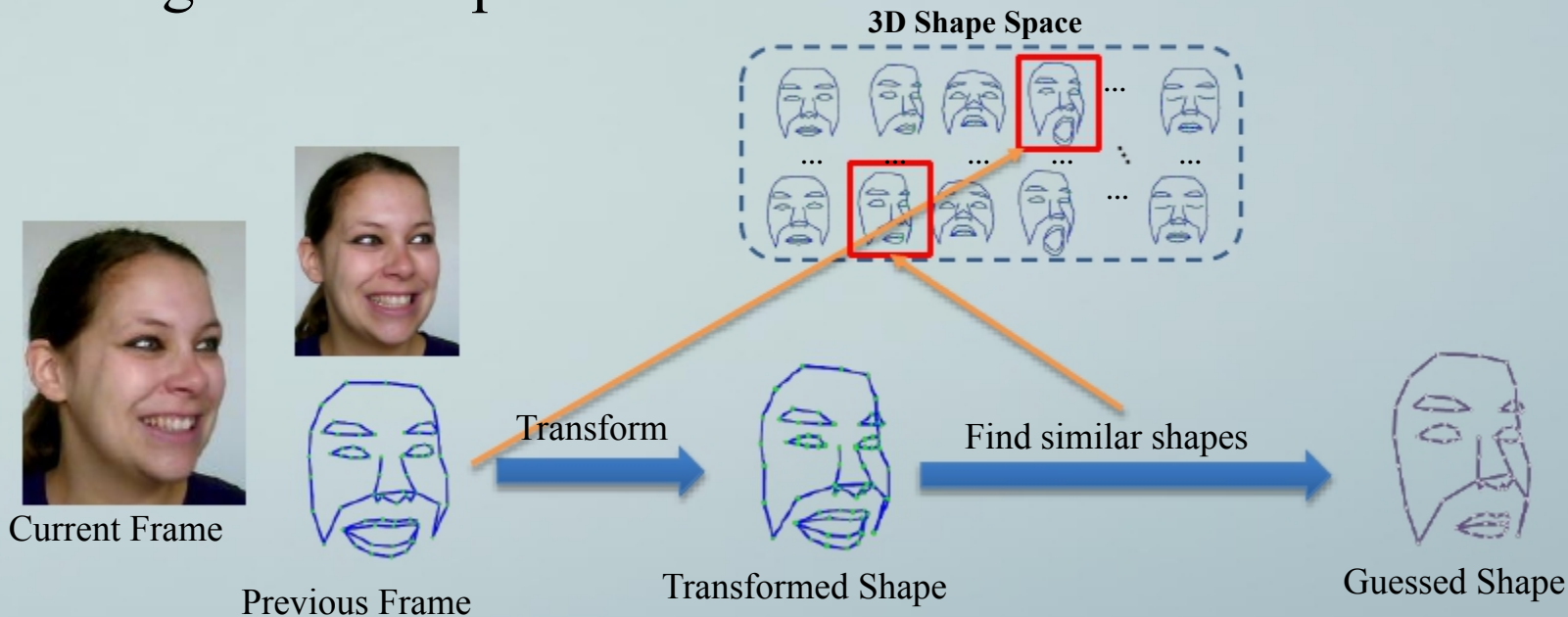
2D Feature Alignment  
[Cao et al. 2012]



3D Shape Recovery

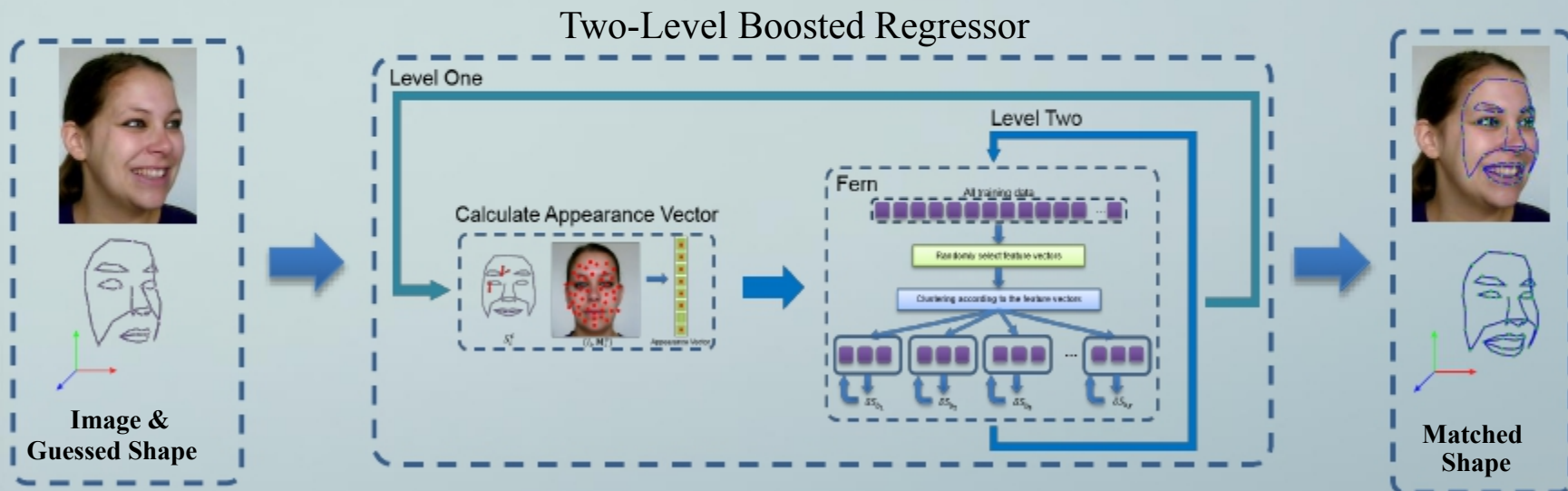
# Runtime: Following Frames

- Find guessed shape



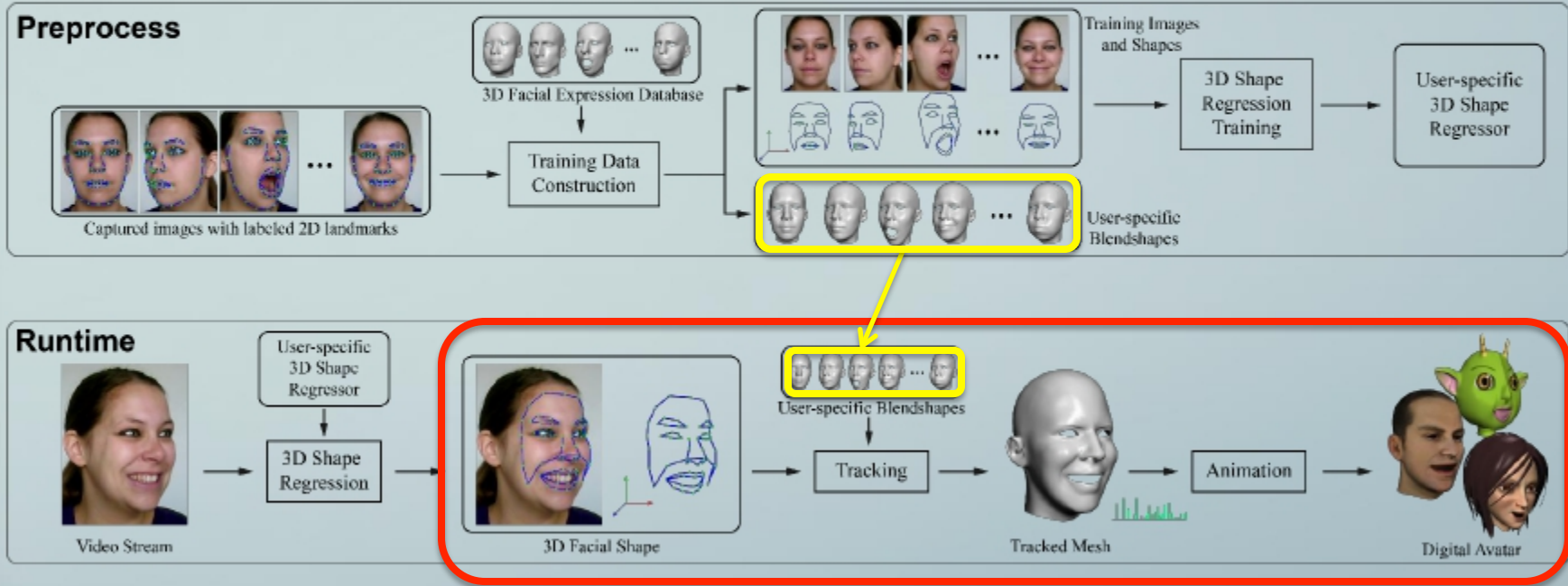
# Runtime: Following Frames

- Two-level boosted regression



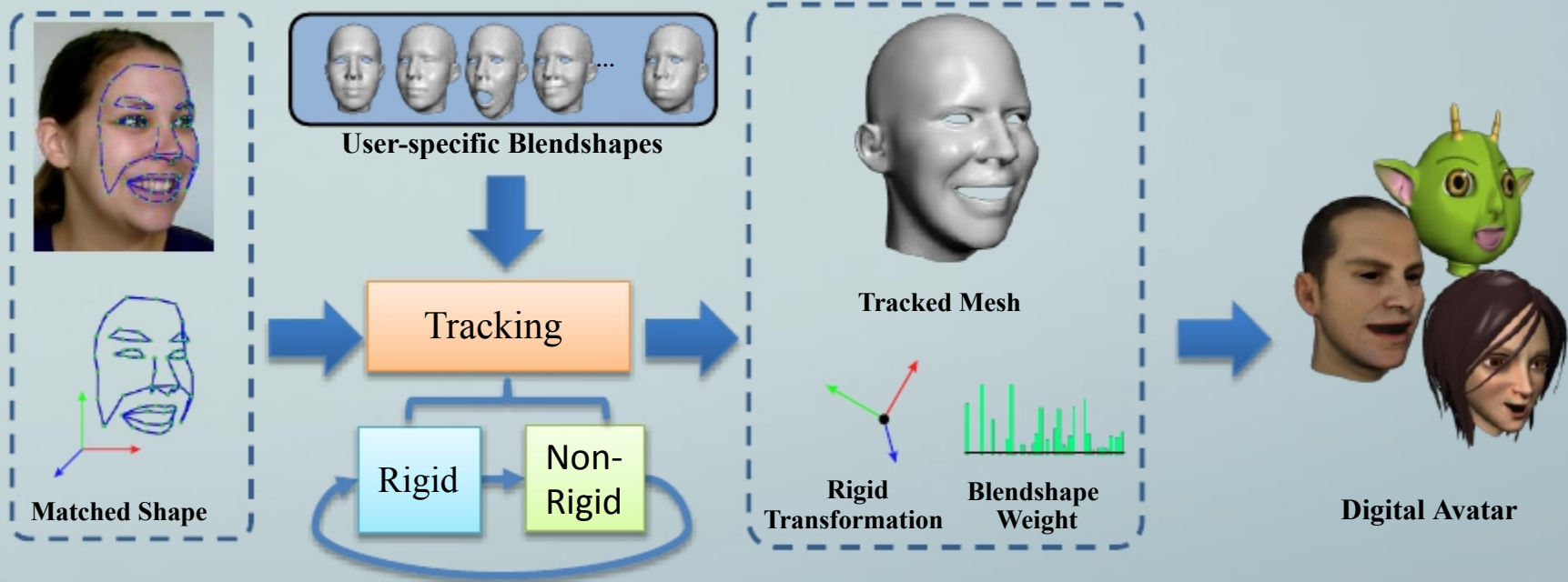


# Our Pipeline



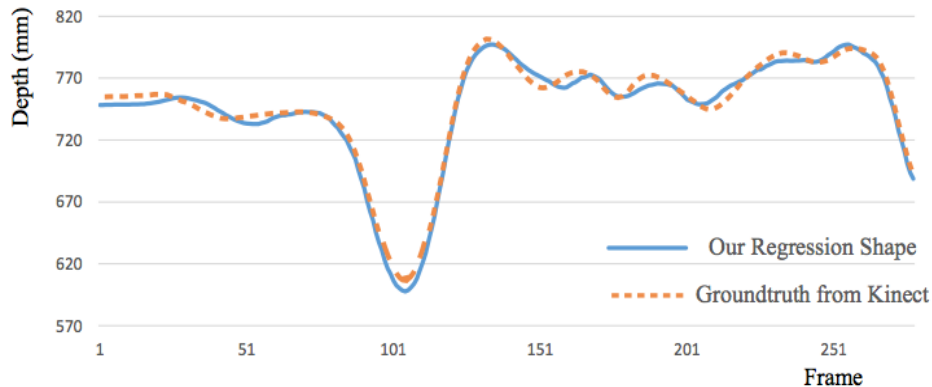
# Tracking & Animation

Similar to [Weise et al. 2011]





# Evaluation: Regressed shape vs. Kinect 3D vs. 2D vs. Optical Flow



**Figure 8:** Comparison of depth from 3D shape regression and ground truth from Kinect.

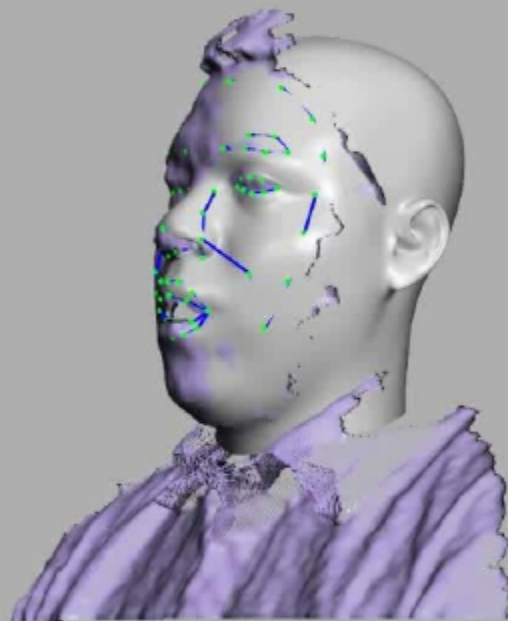
RMSE	< 3 pixels	< 4.5 pixels	< 6 pixels
3D Regression	73.3%	80.8%	100%
2D Regression	50.8%	64.2%	72.5%
Optical Flow	20.8%	24.2%	41.7%

**Table 1:** Percentages of frames with RMSE less than given thresholds for the tested video sequence.

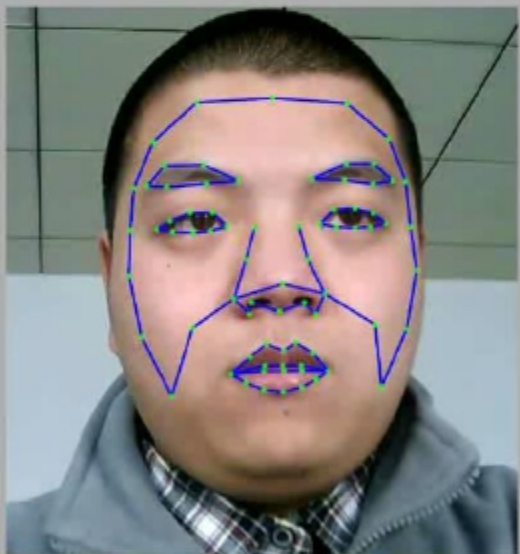
# Live Demo

- [Demo](#)

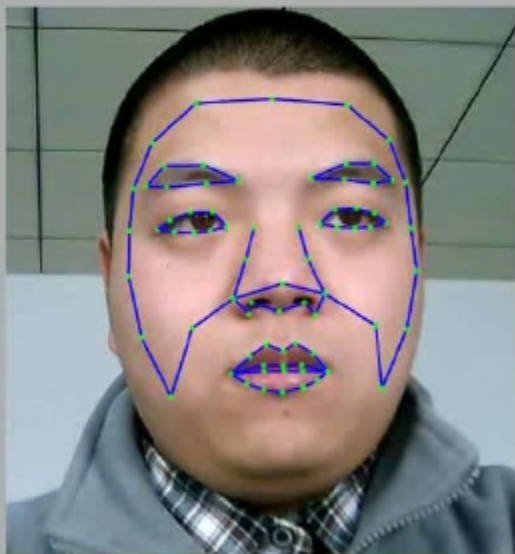
# Evaluation: Regressed shape vs. Kinect



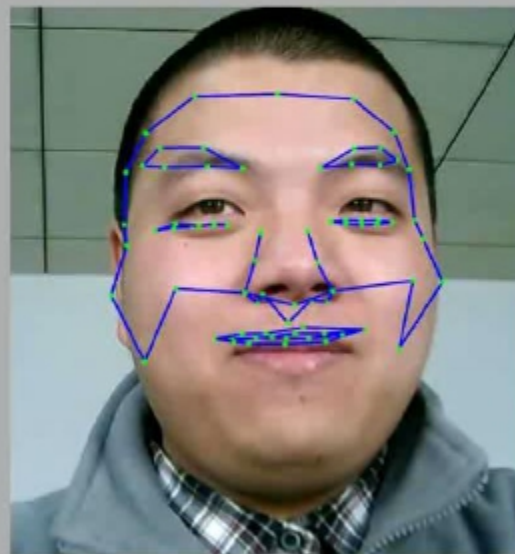
# Evaluation: 3D vs. 2D vs. Optical Flow



Our 3D Regression

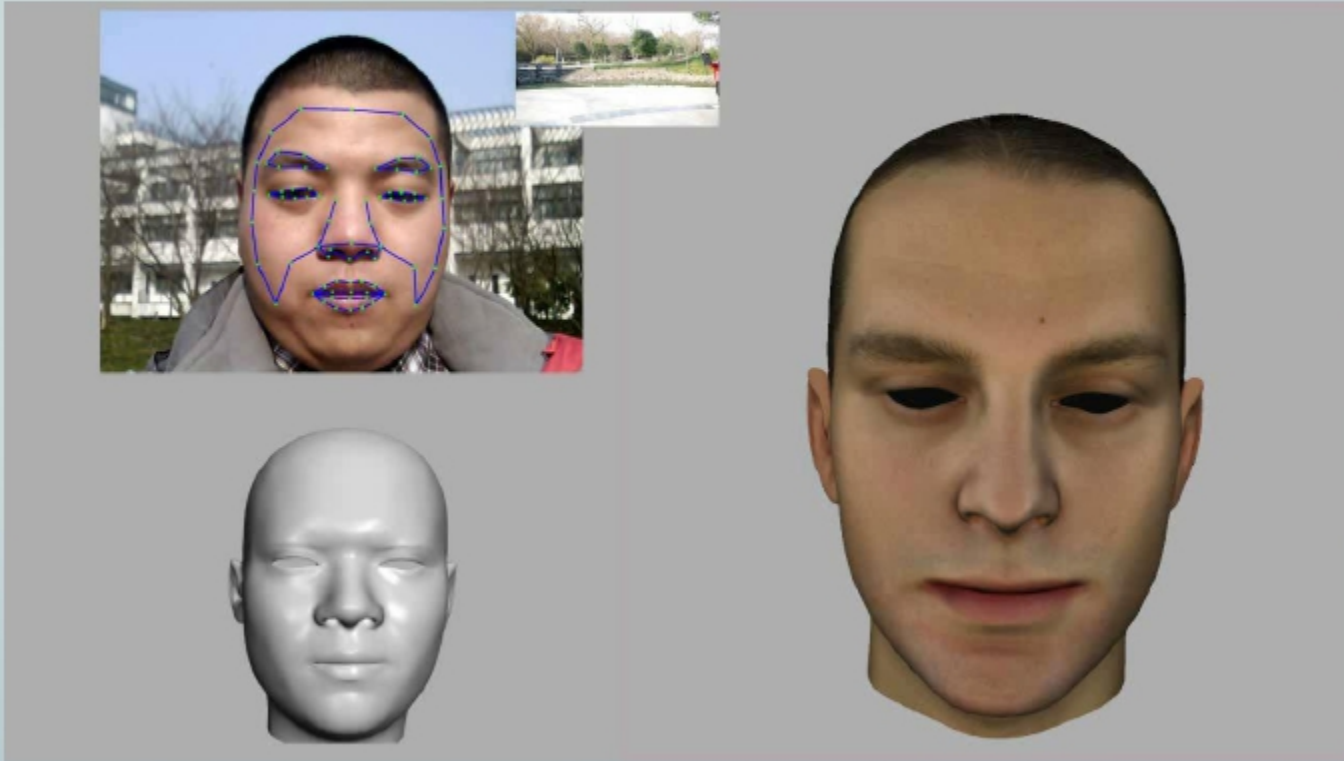


2D Regression



Optical Flow Based

# More Results: Outdoor



# Our System on **Mobile Device**



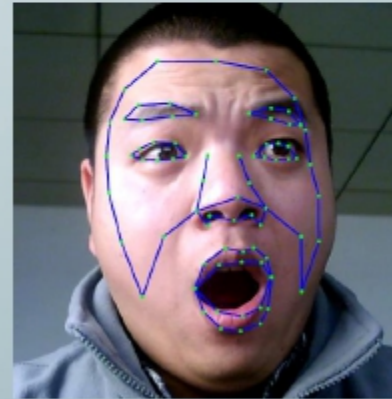
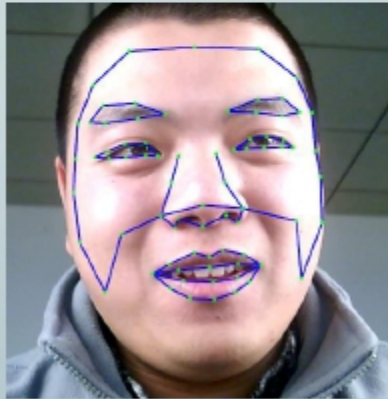
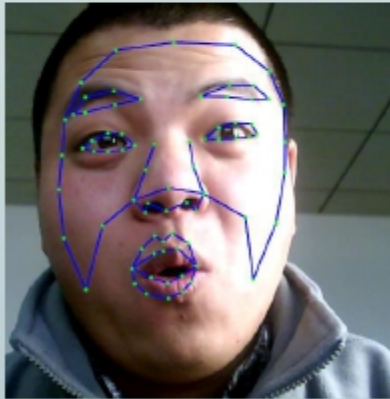


# Timings

- Preprocess: 45 mins
  - Capture: 10 mins
  - User interaction: 25 mins
  - Training: 10 mins
- Runtime: less than 15 ms
  - Regression: 5 ms
  - Tracking & Animation: 8 ms

# Limitations

- Much **training data**
  - **60** head poses and facial expressions
- Dramatic **lighting changes**



# Summary

- 3D facial performance capture from 2D video
  - Regression-based approach
  - Robust: fast motions, large rotations, exaggerated expressions
  - General environments: indoors and outdoors
  - High performance: real-time
- Future work
  - Handle lighting variations
  - Reduce training data

# Acknowledgement

- Face capture: Marion Blatt, Steffen Toborg
- Anonymous reviewers
- Funding
  - NSFC (No.61003145 and No.61272305)
  - 973 program of China (No.2009CV320801)
- FaceWarehouse Data: <http://gaps-zju.org/facewarehouse/>

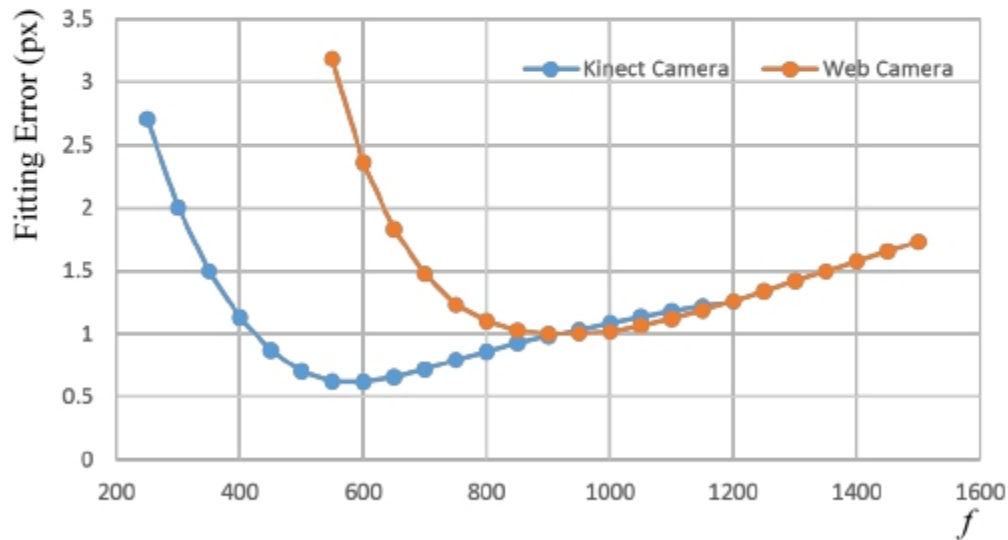
# Thank you!

# Preprocess: Camera Calibration

Blendshape  
Generations:

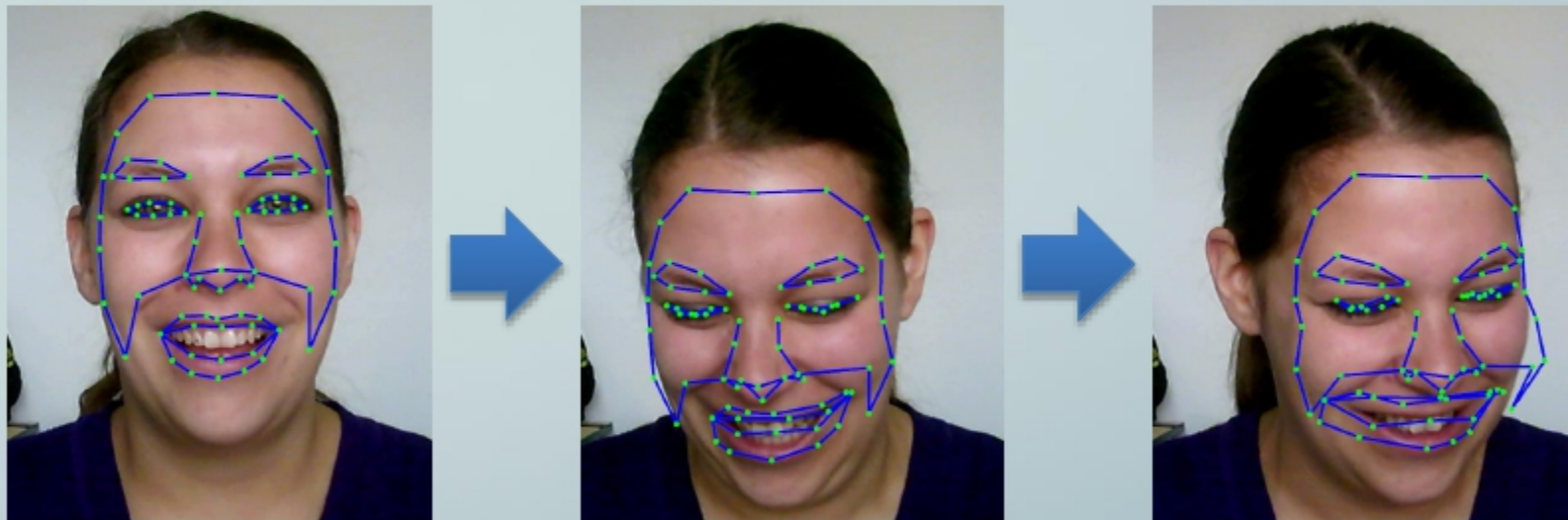
$$E = \sum_{i=1}^n \sum_{k=1}^{75} \left\| \Pi Q \left( \mathbf{M}_i (C_r \times 2 \mathbf{w}_{id}^T \times 3 \mathbf{w}_{exp,i}^T) \right)^{(vk)} - \mathbf{u}_i^{(k)} \right\|^2$$

$$Q = \begin{pmatrix} f & 0 & u_0 \\ 0 & f & v_0 \\ 0 & 0 & 1 \end{pmatrix}$$



# Why not directly use previous shape?

- Error accumulation





# Why not directly regress parameters?

- Expression coefficients in  $[0:1]$
- Animation prior
  - Temporal coherence
- Rigid transformation & expression coefficients
  - Different spaces