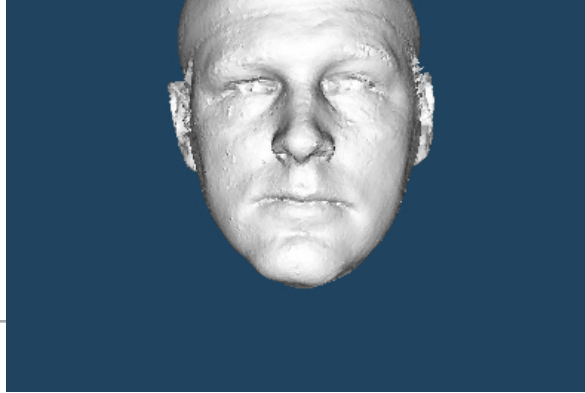


# **Shape-Based Quantification and Classification of 3D Face Data for Craniofacial Research**

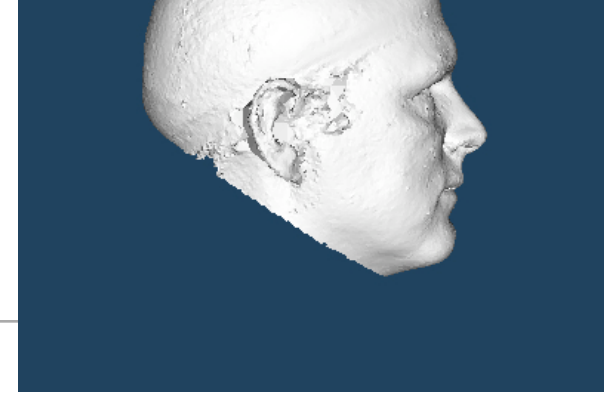
**Katarzyna Wilamowska  
PhD Defense  
July 16, 2009**

**Advisor: Linda Shapiro**

# Expert Survey



1



- 43 affected / 43 control

- 3 expert assessments

- Quantify features

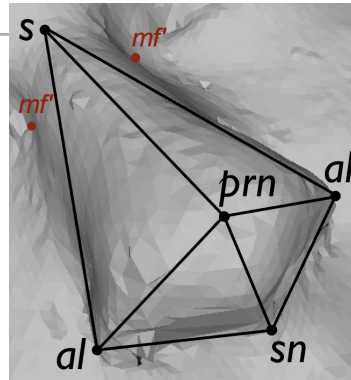
- Prioritize research

	Definitely YES	Probably YES	Probably NO	Definitely NO
Does this individual have 22q11?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Do you know this individual?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

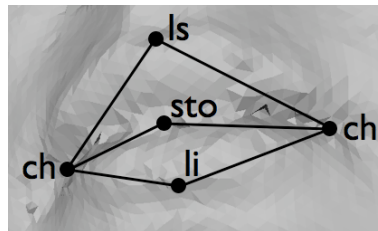
	Opposite of 22q11	Not 22q11	Moderate 22q11	Severe 22q11	Not enough data
<b>Overall face</b>					
22q Facial Phenotype	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Asymmetric	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Square/Rectangular	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Hypotonic appearance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Eyes</b>					
Hooded appearance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Nose</b>					
Prominent nasal root	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tubular appearance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Bulbous nasal tip	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Small nasal alae	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Ears</b>					
Small	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Protuberant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Midface</b>					
Relatively flat	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Forehead</b>					
Square	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Prominent on profile	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Mouth</b>					
Small	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Open	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Downturned corners of mouth	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Retrusive chin	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

# System Diagram for Quantification

auto landmark  
detection

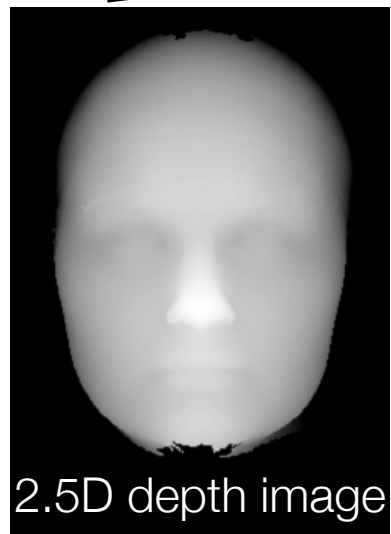


automatically  
detected landmarks



landmark based  
descriptors

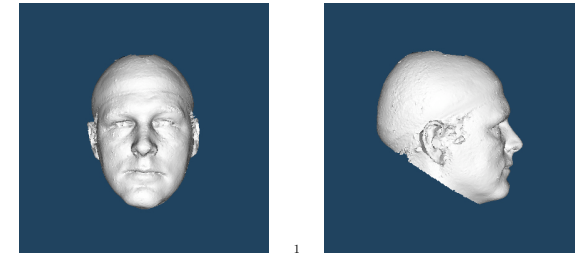
compare to  
expert survey



2.5D depth image

shape based  
descriptors

compare to  
expert survey



Does this individual have 22q11?  
Do you know this individual?

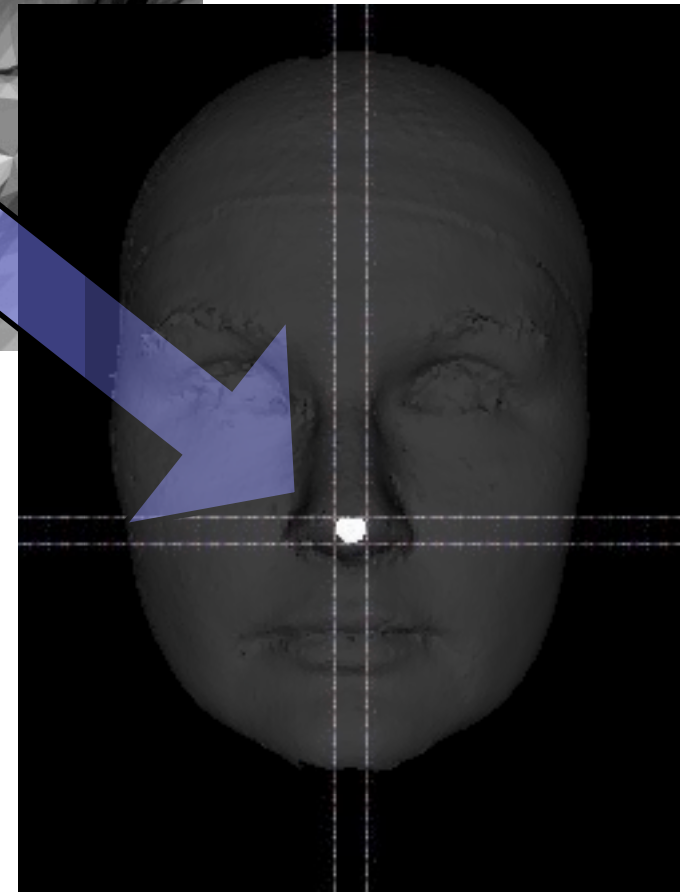
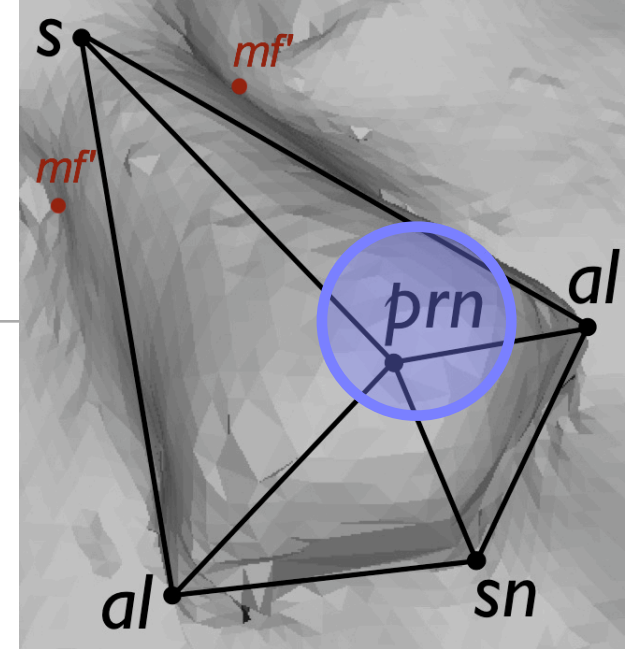
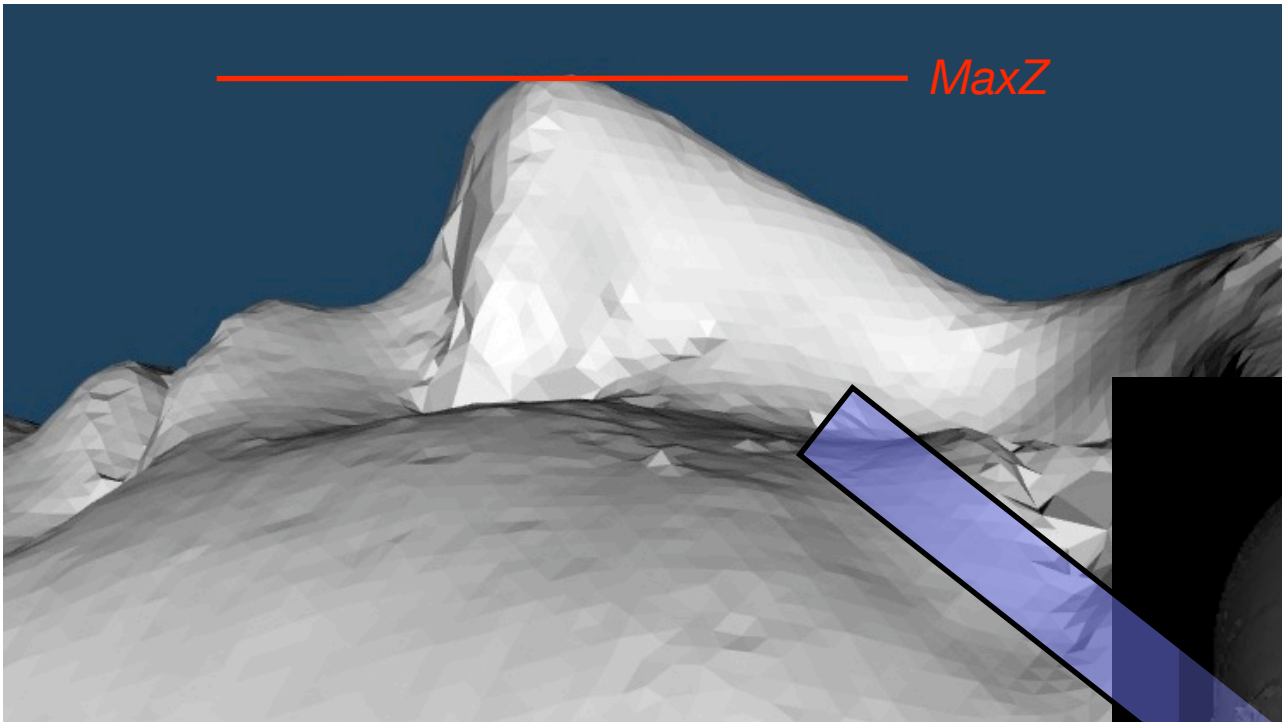
	Definitely YES	Probably YES	Probably NO	Definitely NO
Does this individual have 22q11?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Do you know this individual?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

	Opposite of 22q11	Not 22q11	Moderate 22q11	Severe 22q11	Not enough data
<b>Overall face</b>					
22q Facial Phenotype	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Asymmetric	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Square/Rectangular	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Hypotonic appearance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Eyes</b>					
Hooded appearance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Nose</b>					
Prominent nasal root	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tubular appearance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Bulbous nasal tip	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Small nasal alae	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Ears</b>					
Small	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Protuberant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Midface</b>					
Relatively flat	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Forehead</b>					
Square	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Prominent on profile	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Mouth</b>					
Small	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Open	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Downturned corners of mouth	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Retrusive chin	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Additional comments</b>					

3 expert survey

# Automatic Landmark Detection

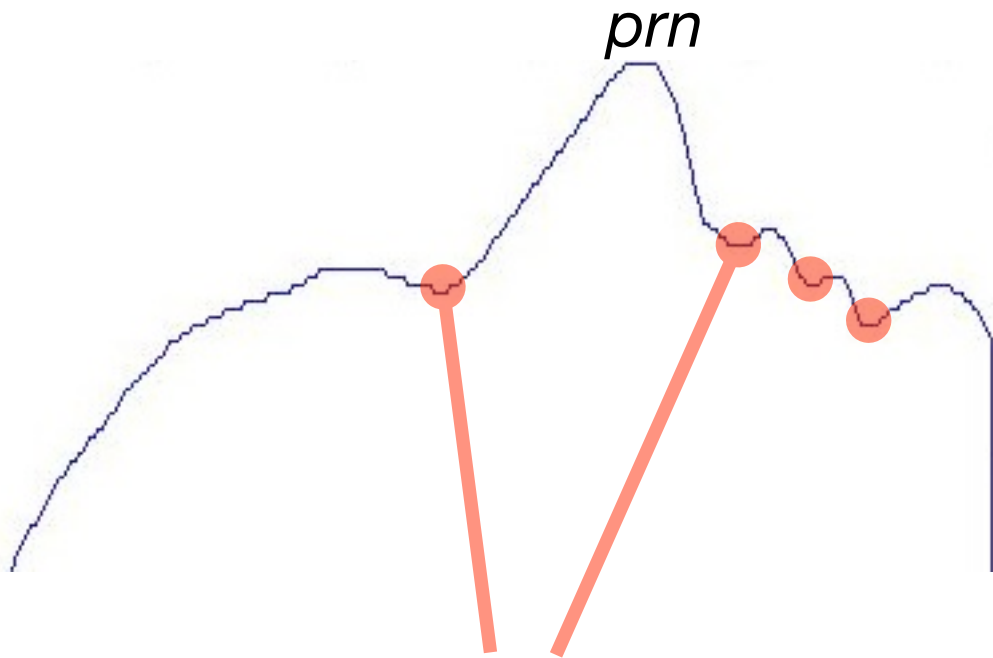
## Nasal



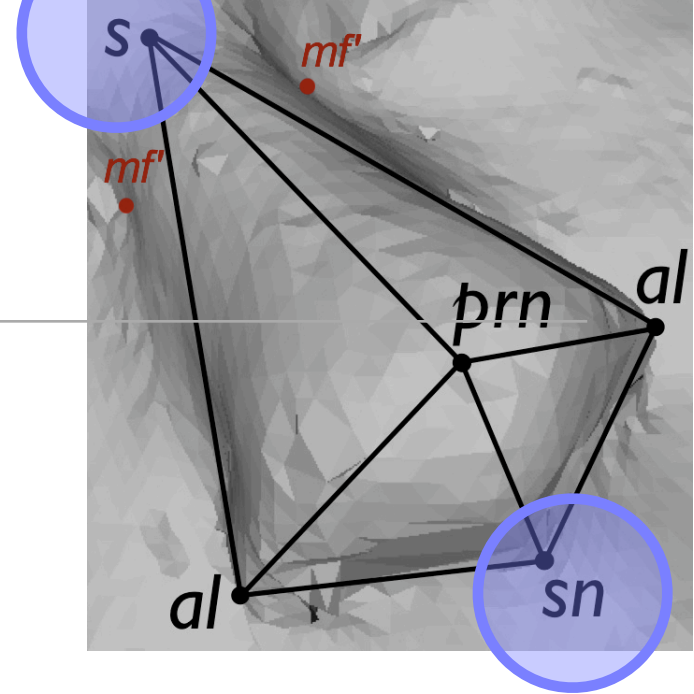
# Automatic Landmark Detection

## Nasal

---



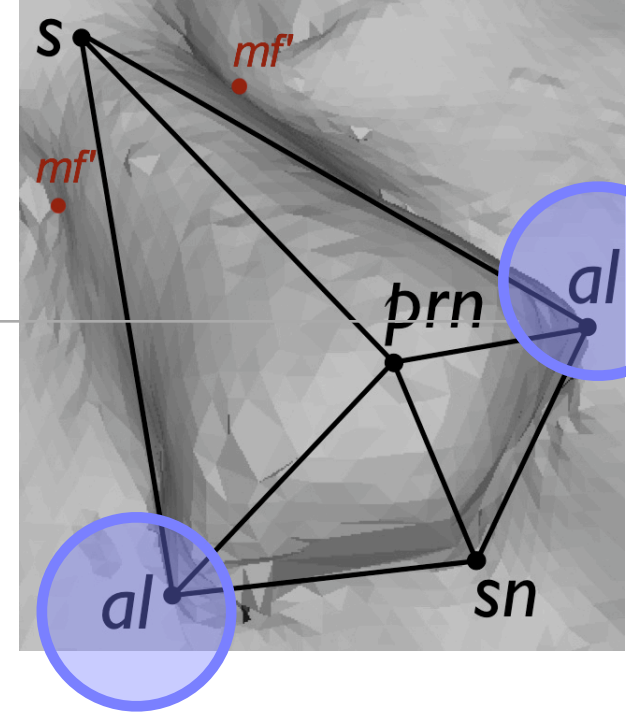
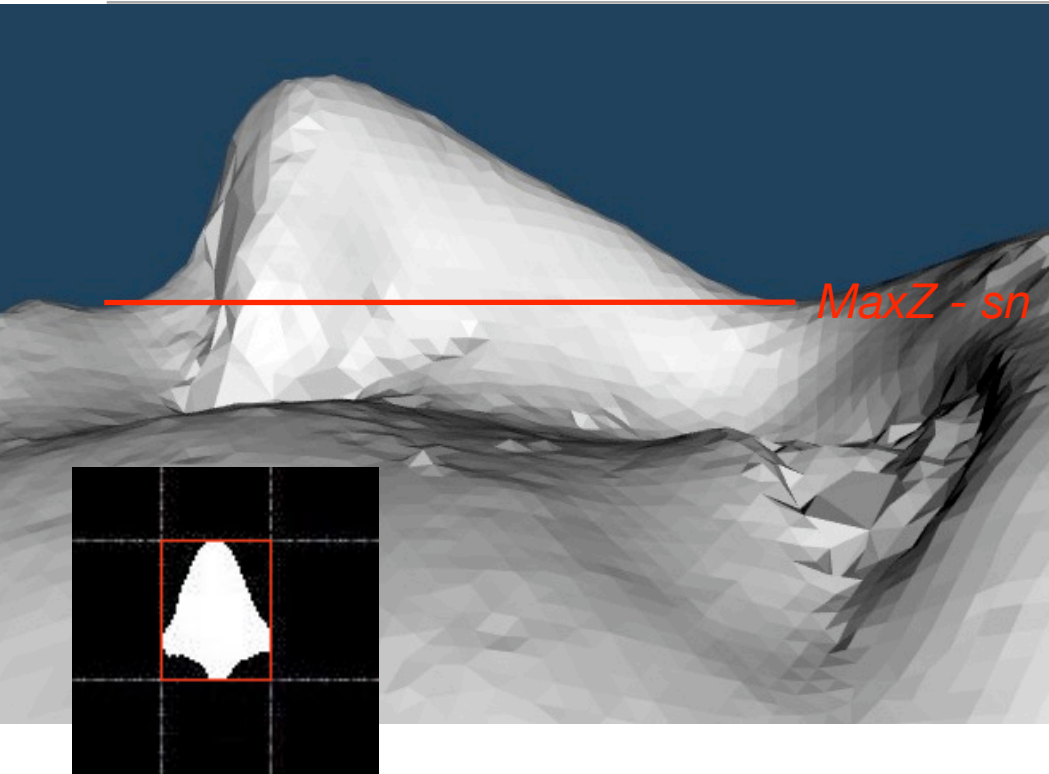
Local Minima next to Nose Tip



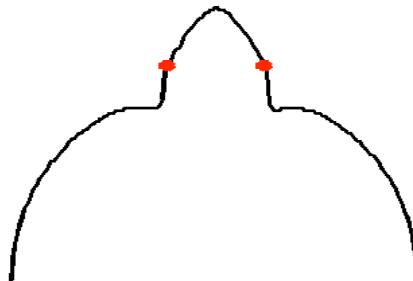


# Automatic Landmark Detection

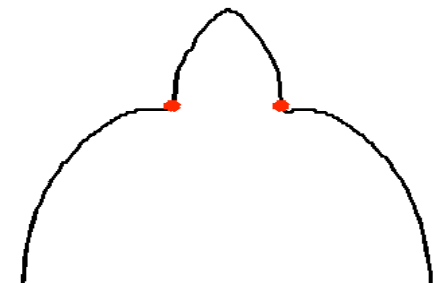
## Nasal



find average of  
border y-values



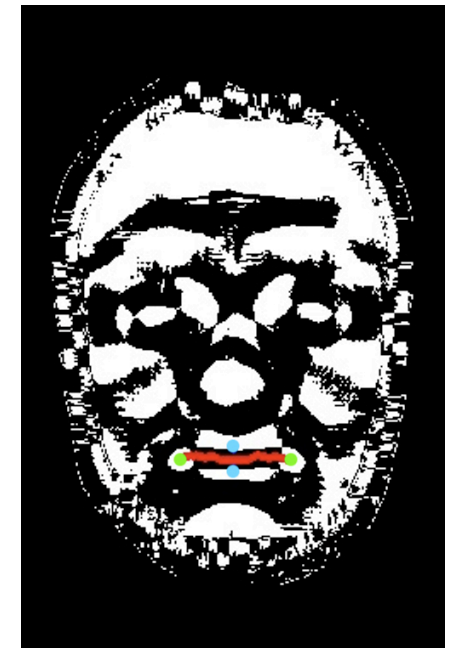
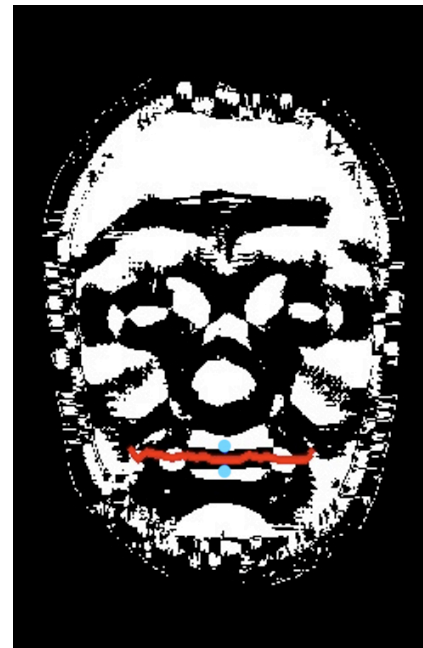
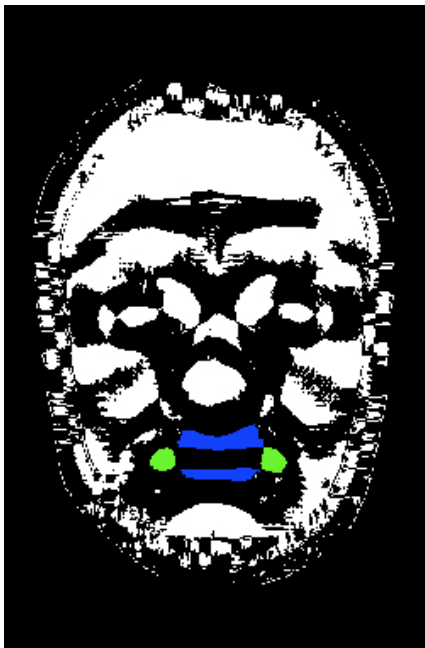
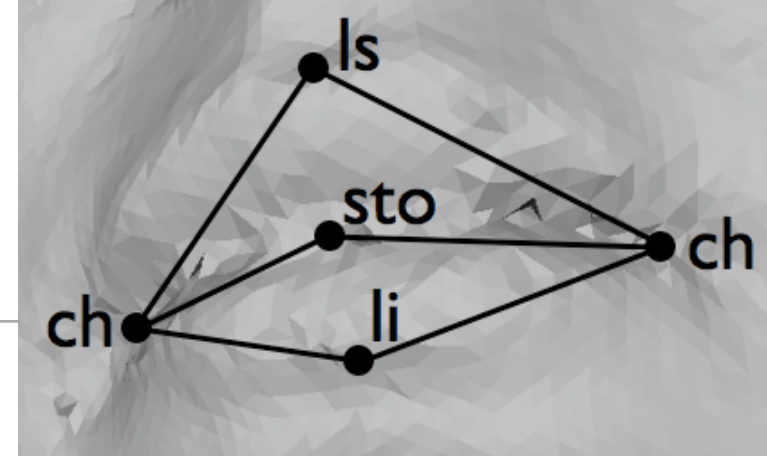
correct x  
positions



# Automatic Landmark Detection

## Oral

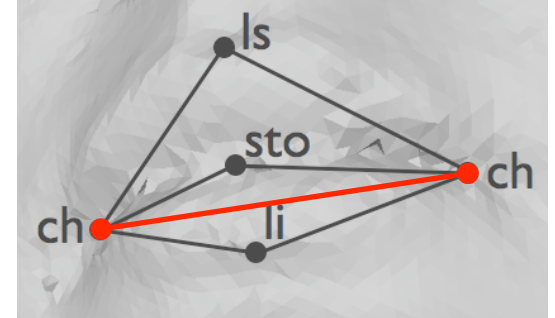
- Peak curvature values
- Trough within mouth



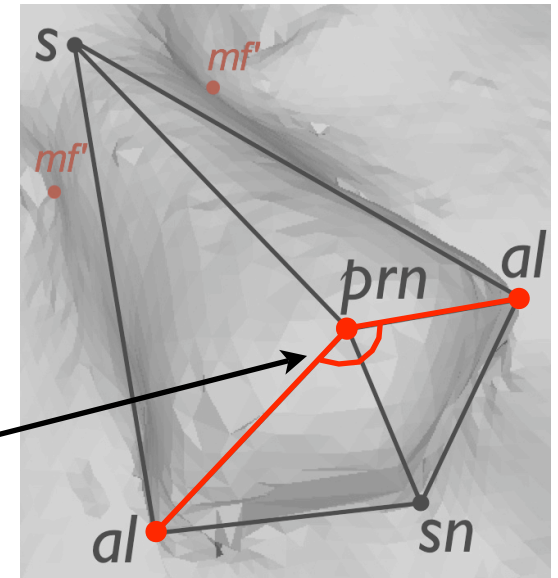
<i>ls</i>	<i>sto</i>	<i>li</i>	<i>ch<sup>L</sup></i>	<i>ch<sup>R</sup></i>
94%	95%	93%	92%	92%

# Landmark-based Descriptors

- Distances between landmarks
- Angles of facial features
- Normalized to size of face



Description	Name	Mathematical Definition
Normalized nose depth	$L_1^N$	$= Depth_{nose} / Depth_{face}$
Normalized nose width	$L_2^N$	$= Width_{nose} / Width_{face}$
Normalized nasal root width	$L_3^N$	$= Width_{Nroot} / Width_{face}$
Normalized nasal root depth	$L_4^N$	$= Depth_{Nroot} / Depth_{face}$
Average nostril inclination <sup>†</sup>	$L_5^N$	$= \text{avg}[\angle(mf'^L, al^L, al^R), \angle(mf'^R, al^R, al^L)]$
Nasal tip angle <sup>†</sup>	$L_6^N$	$= \angle(s, prn, sn)$
Alar-slope angle <sup>†</sup>	$L_7^N$	$= \angle(al^L, prn, al^R)$
Nasal root-slope angle <sup>†</sup>	$L_8^N$	$= \angle(mf'^L, s, mf'^R)$





# Shape-based Descriptors

---

	Control	Affected
Bulbous Nasal Tip	16%	67%
Prominent Nasal Root	30%	47%
Tubular Appearance	23%	47%
Small Nasal Alae	19%	74%
Open Mouth	12%	19%
Small Mouth	14%	37%
Downturned Corners of Mouth	21%	56%
Retrusive Chin	0%	18%

# Shape-based Descriptors

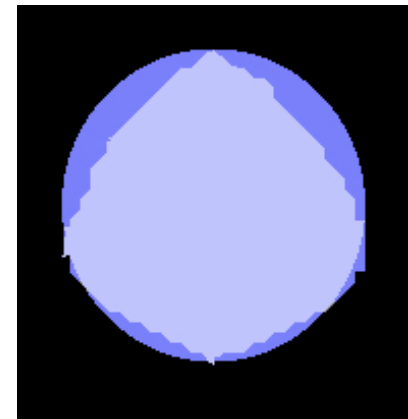
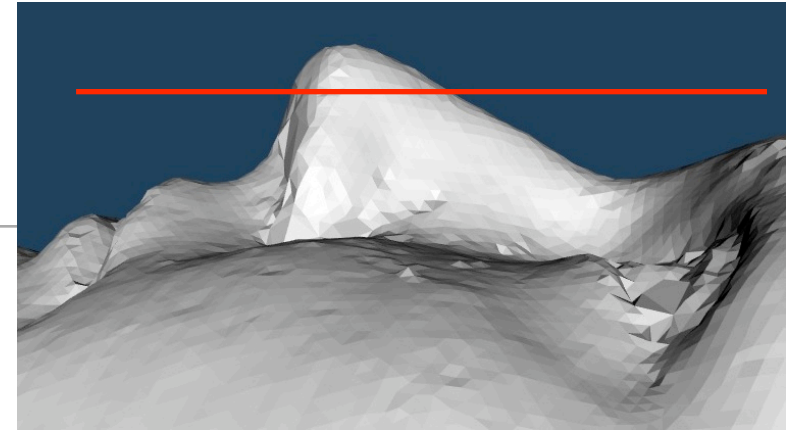
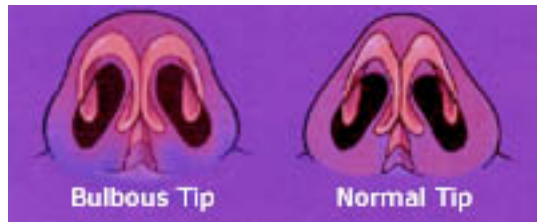
---

	Control	Affected
Bulbous Nasal Tip	16%	67%
Prominent Nasal Root	30%	47%
Tubular Appearance	23%	47%
Small Nasal Alae	19%	74%
Open Mouth	12%	19%
Small Mouth	14%	37%
Downturned Corners of Mouth	21%	56%
Retrusive Chin	0%	18%

# Shape-based Descriptor

## **Bulbous Nasal Tip**

---



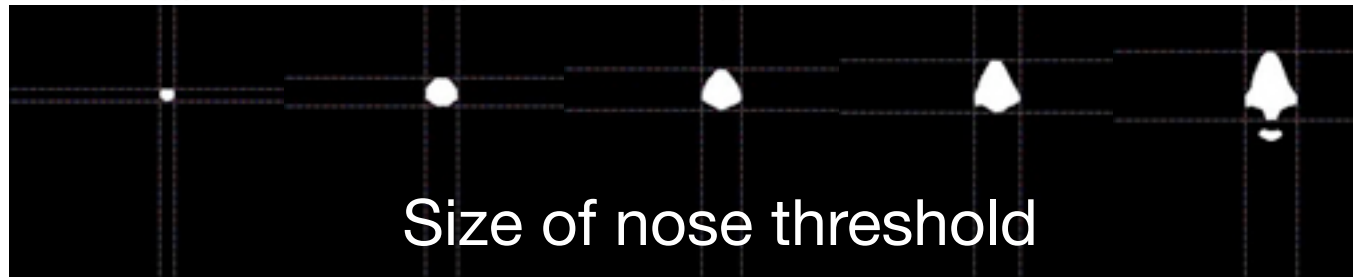
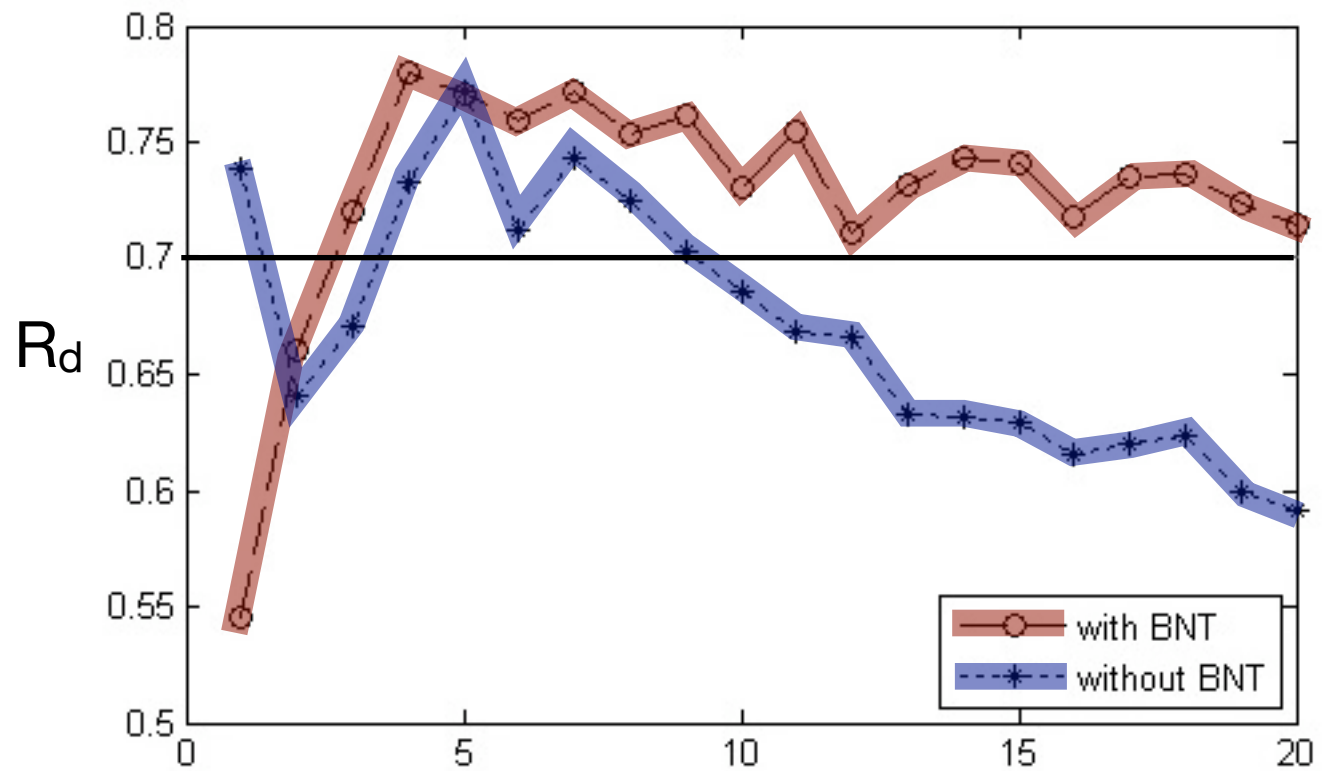
# Shape-based Descriptor

## Bulbous Nasal Tip

## Rectangularity Measure



$$R_d = \frac{\text{num}(NT_d = 1)}{\text{area}(B_d)}$$

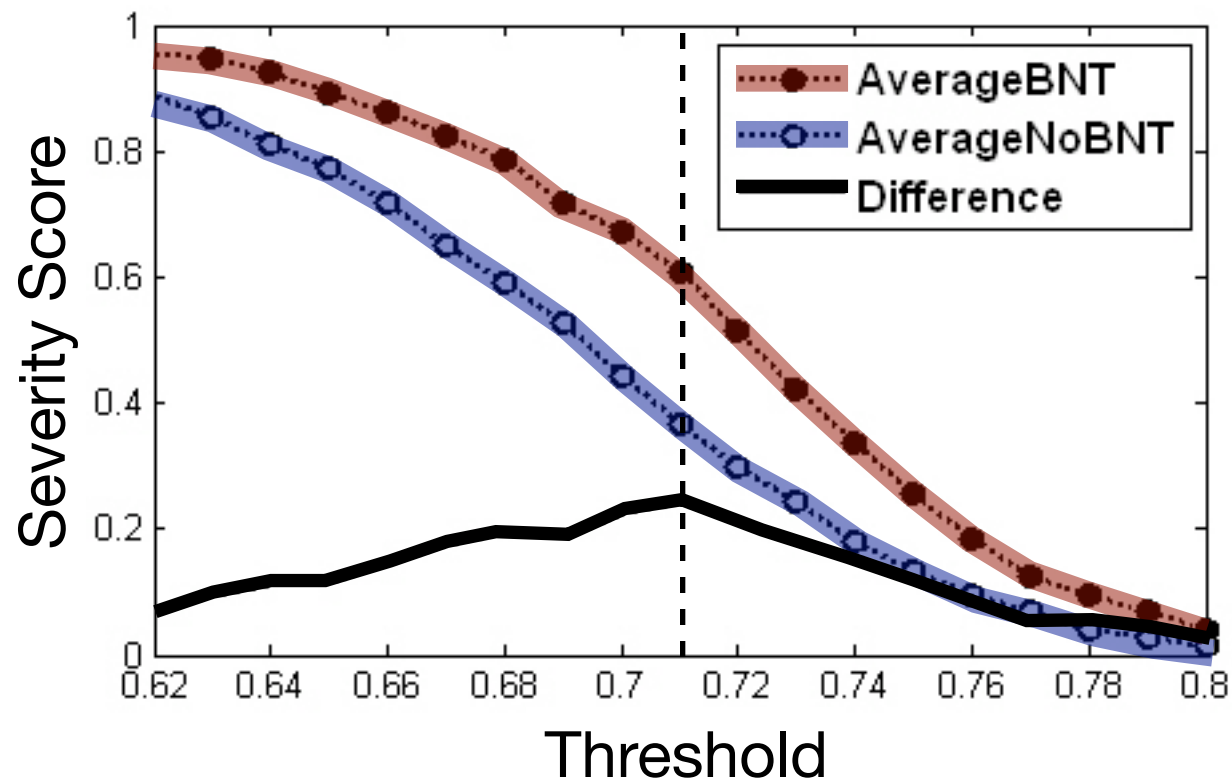


# Shape-based Descriptor

## Bulbous Nasal Tip

## Severity of Shape

$$Severity_{Rectangle} = \frac{\# (R_d > \text{Threshold})}{\text{Depth of Nose}}$$



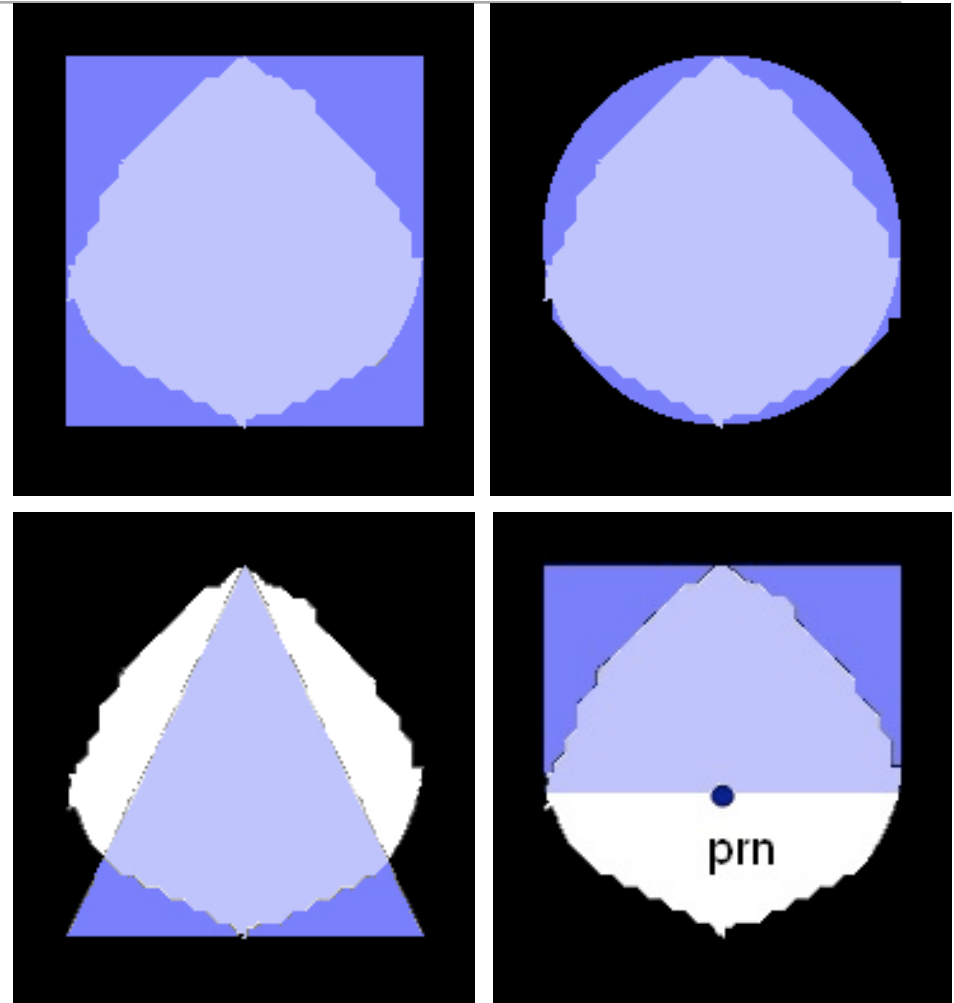


# Shape-based Descriptor

## Bulbous Nasal Tip

- Four severity measures
  - Rectangle
  - Circle
  - Triangle
  - Upper Rectangle
- Combined Bulbous Measure

$$\beta = Severity_{Rect}(1 - Severity_{Circ})$$

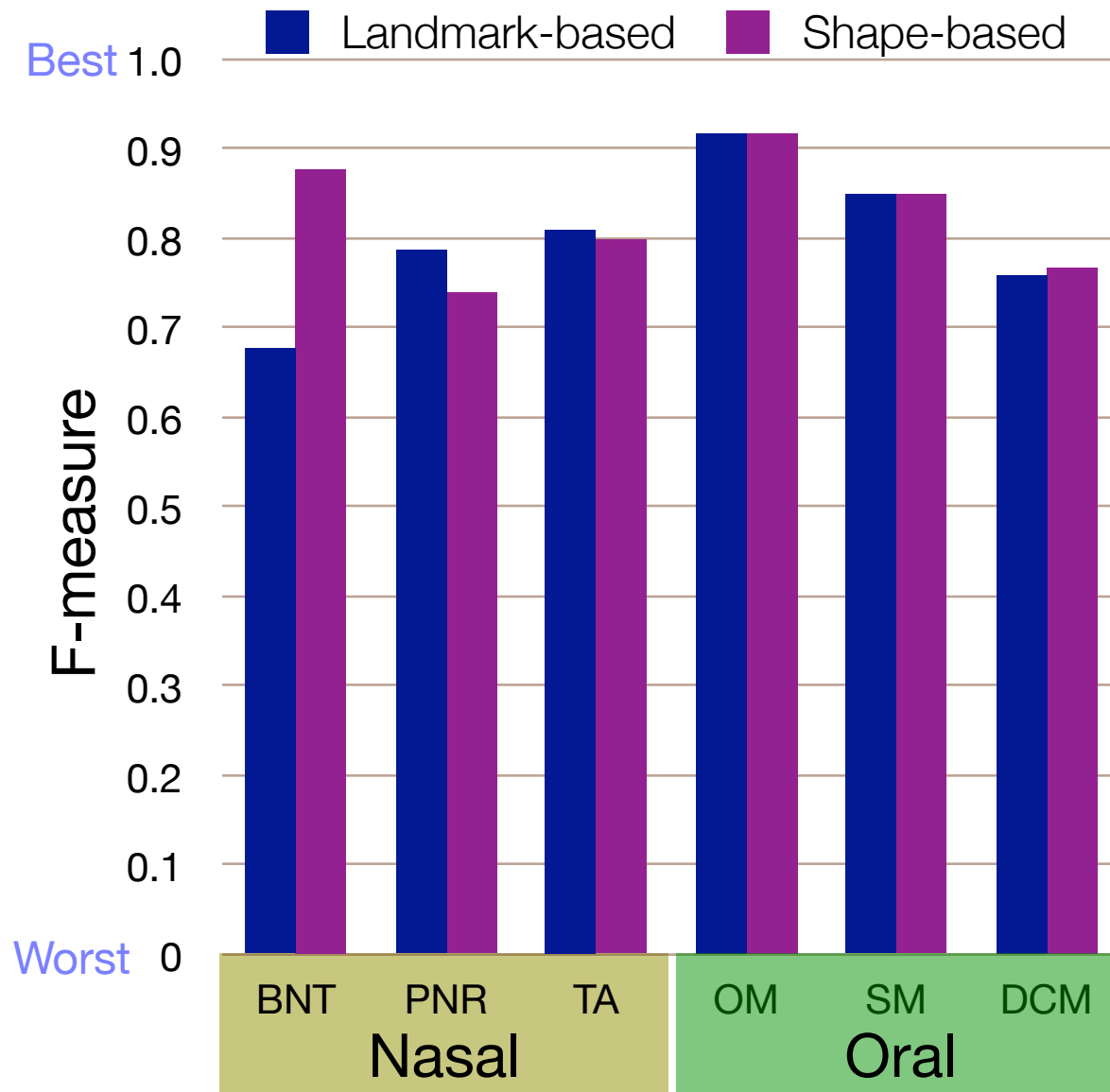


# Experiment Setup

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- 43 affected, 43 control individuals
- Age range 10 months to 39 years
- Data labeled by median expert score for each facial feature
- W86 data set is 43:43 and ethnically similar
- Classifier used is SVM

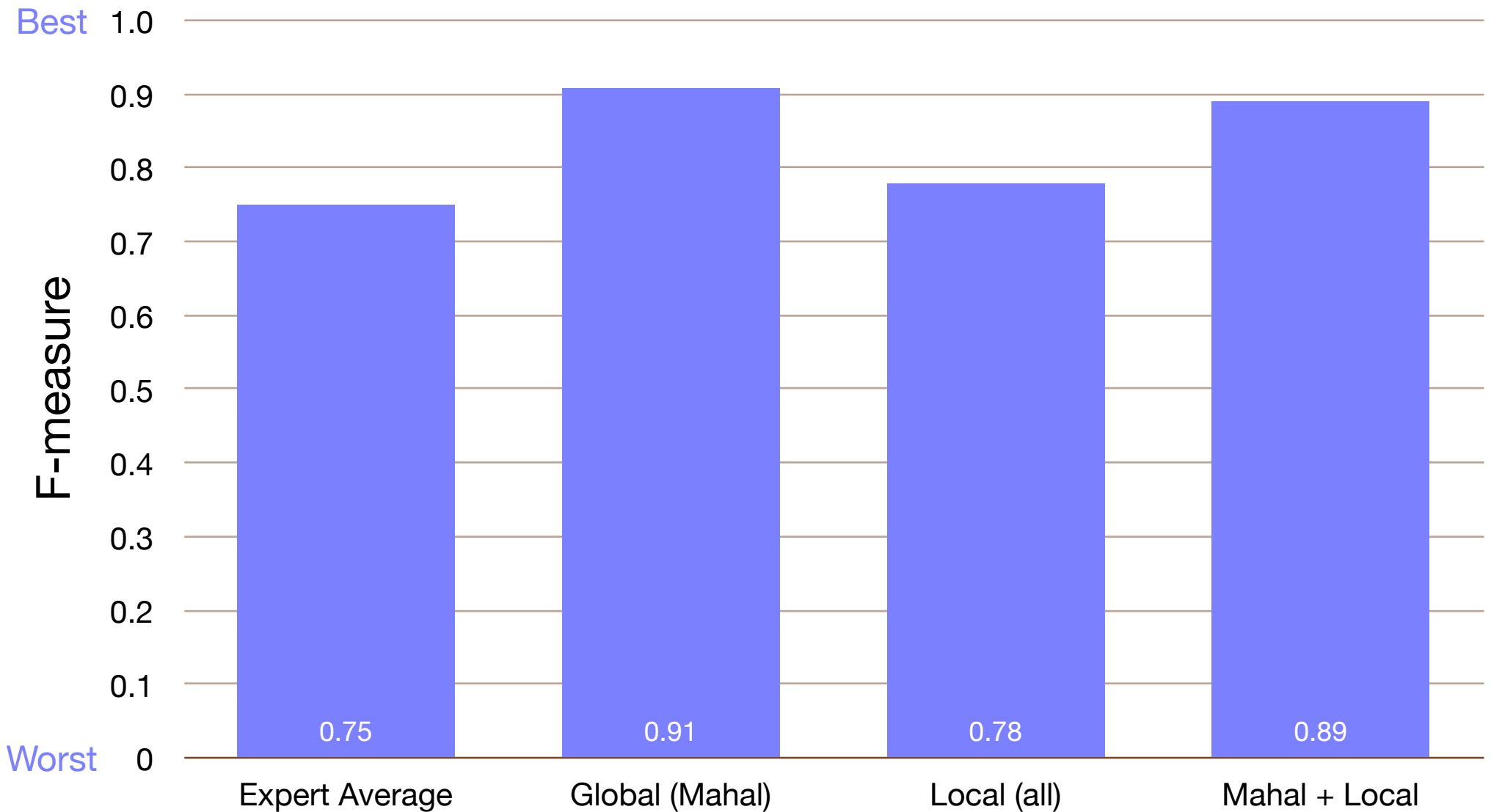
# Similarity to Expert Assessment



Correlation between experts

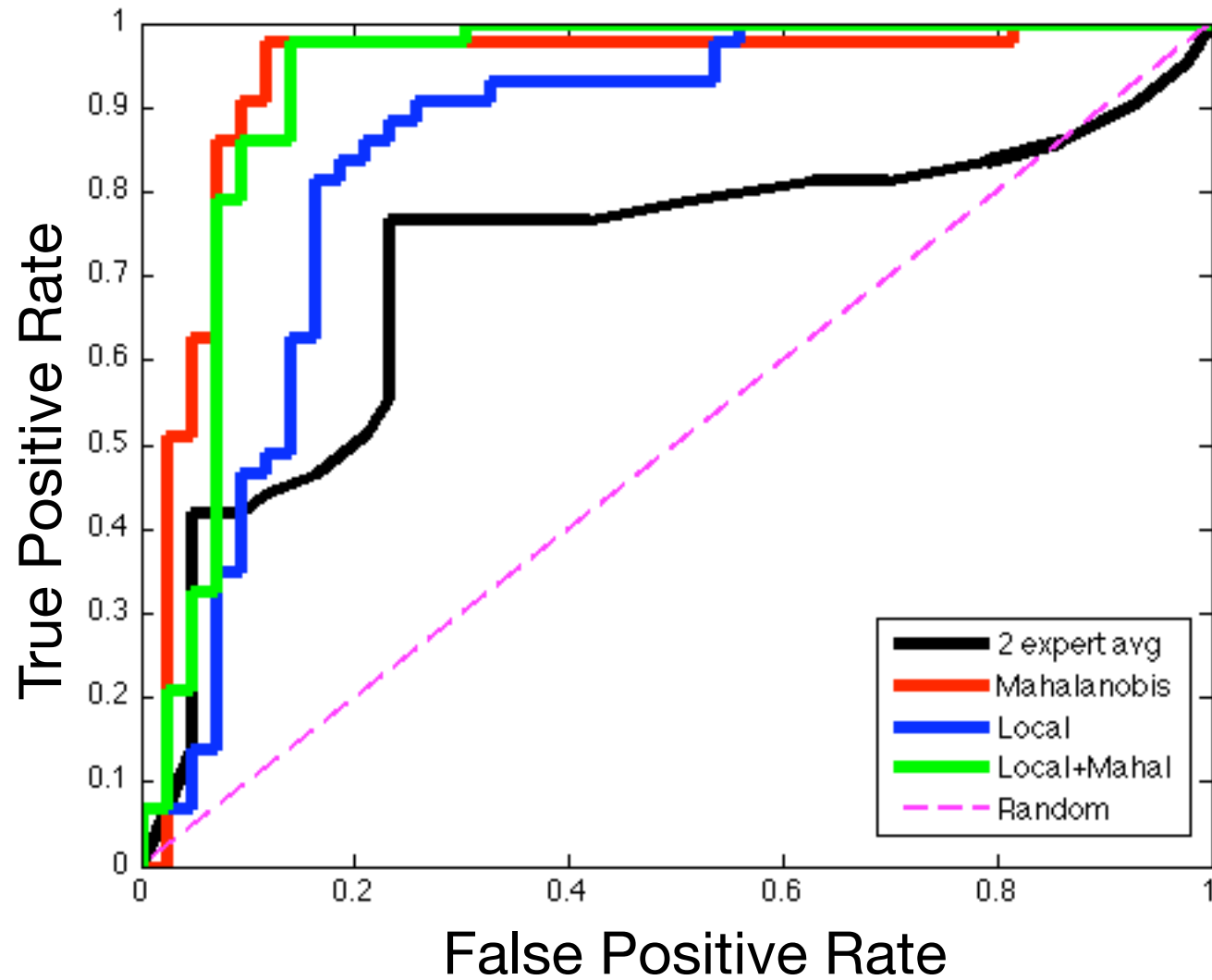
	E1	E2	E3
E1		-0.01	0.00
E2	0.30		0.34
E3	0.13	0.45	

# Classification using Local Features



# Classification of 22q11.2DS

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# Talk Outline

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- Motivation
- Related Work
- Classification of 22q11.2DS
- Quantification of Local Facial Features
- **Conclusions**

# Contributions

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- Automated facial pose alignment
- Global data representations
- Automated nasal and oral landmark detection
  - Landmark-based descriptors
  - Shape-based descriptors
- Classification performance rivals experts
- Quantification of nasal and oral facial features

# Potential Uses

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- Automatic Craniofacial Assessment
- Genetic Test Filter
- Research into Genetic Causes of Facial Dysmorphology
- Biometrics

# Future Directions

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- Local facial feature description
  - Ears
  - Eyes
  - Midface Hypoplasia
  - Pinched Nasal Alae
  - Retrusive Chin
- Quantitative facial description

# Acknowledgements

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- PhD Committee Members

Linda Shapiro, PhD; James Brinkley, MD, PhD; Maya Gupta, PhD  
GSR: John Kramlich, PhD

- Collaborators at Seattle Children's Hospital Craniofacial Center

Carrie Heike, MD; Anne Hing, MD; Mark Hannibal, MD, PhD  
Michael Cunningham, MD; Erik Stuhau; Kristen Upson

- Research Group

- Practice talk participants

- Funding

- NSF Graduate Research Fellowship
- NSF Grant Number DBI-054363