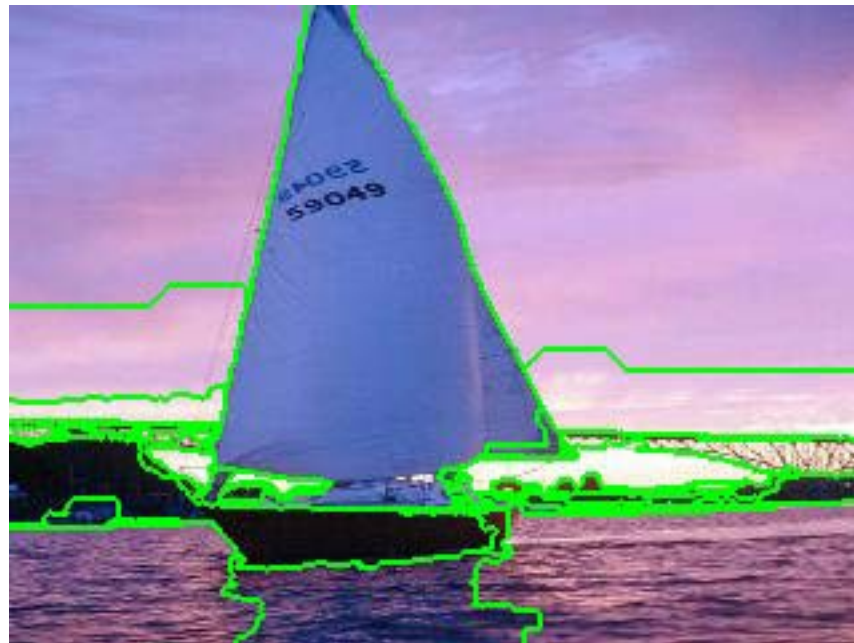


# Image Segmentation

Image segmentation is the operation of partitioning an image into a collection of connected sets of pixels.

1. into **regions**, which usually cover the image
2. into **linear structures**, such as
  - line segments
  - curve segments
3. into **2D shapes**, such as
  - circles
  - ellipses
  - ribbons (long, symmetric regions)

# Example 1: Regions



# Example 2: Lines and Circular



# Main Methods of Region Segmentation

~~1. Region Growing~~

~~2. Split and Merge~~

3. Clustering

# Clustering

- There are  $K$  clusters  $C_1, \dots, C_K$  with means  $m_1, \dots, m_K$ .
- The **least-squares error** is defined as

$$D = \sum_{k=1}^K \sum_{x_i \in C_k} \|x_i - m_k\|^2.$$

- Out of all possible partitions into  $K$  clusters, choose the one that minimizes  $D$ .

Why don't we just do this?

If we could, would we get meaningful objects?

# K-Means Clustering

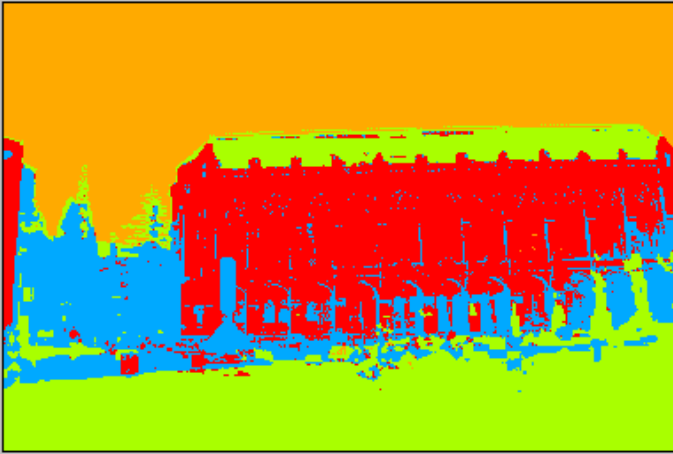
Form K-means clusters from a set of n-dimensional vectors

1. Set  $ic$  (iteration count) to 1
2. Choose randomly a set of  $K$  means  $m_1(1), \dots, m_K(1)$ .
3. For each vector  $x_i$  compute  $D(x_i, m_k(ic))$ ,  $k=1, \dots, K$  and assign  $x_i$  to the cluster  $C_j$  with nearest mean.
4. Increment  $ic$  by 1, update the means to get  $m_1(ic), \dots, m_K(ic)$ .
5. Repeat steps 3 and 4 until  $C_k(ic) = C_k(ic+1)$  for all  $k$ .

# K-Means Example 1

1. Select an image:  2. Select a processor:  3. Click

Options:  
Init Method



640\*480 (590,68): RGB(158,206,229) Process done !

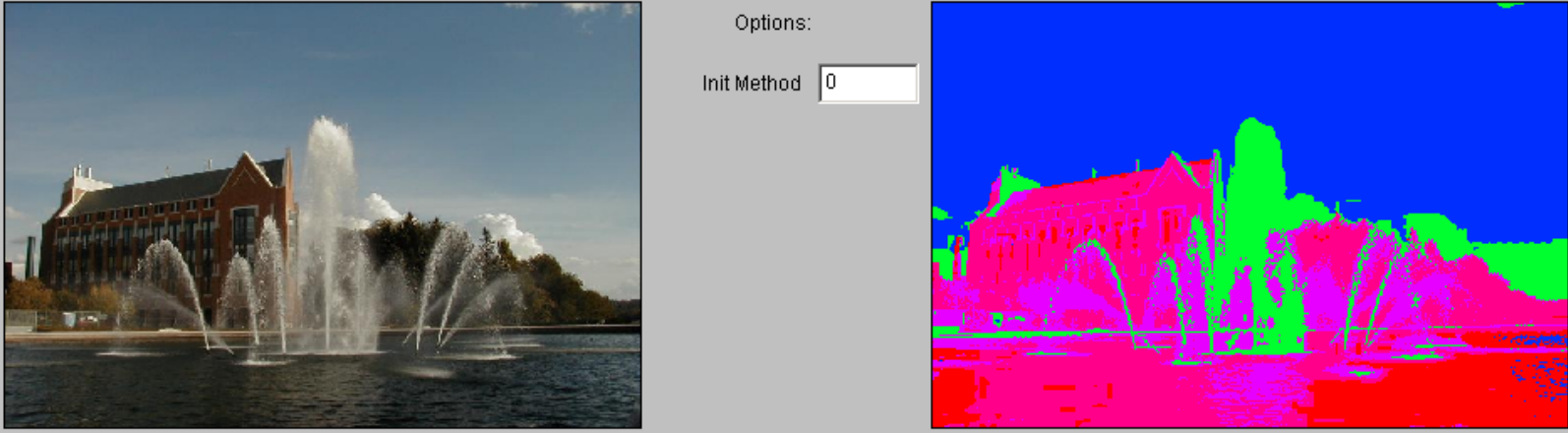
# K-Means Example 2

1. Select an image:  2. Select a processor:  3. Click

Options:  
Init Method

640\*480 (636,95): RGB(102,130,151)

Process done ! (590,209): RGB(0,46,255)





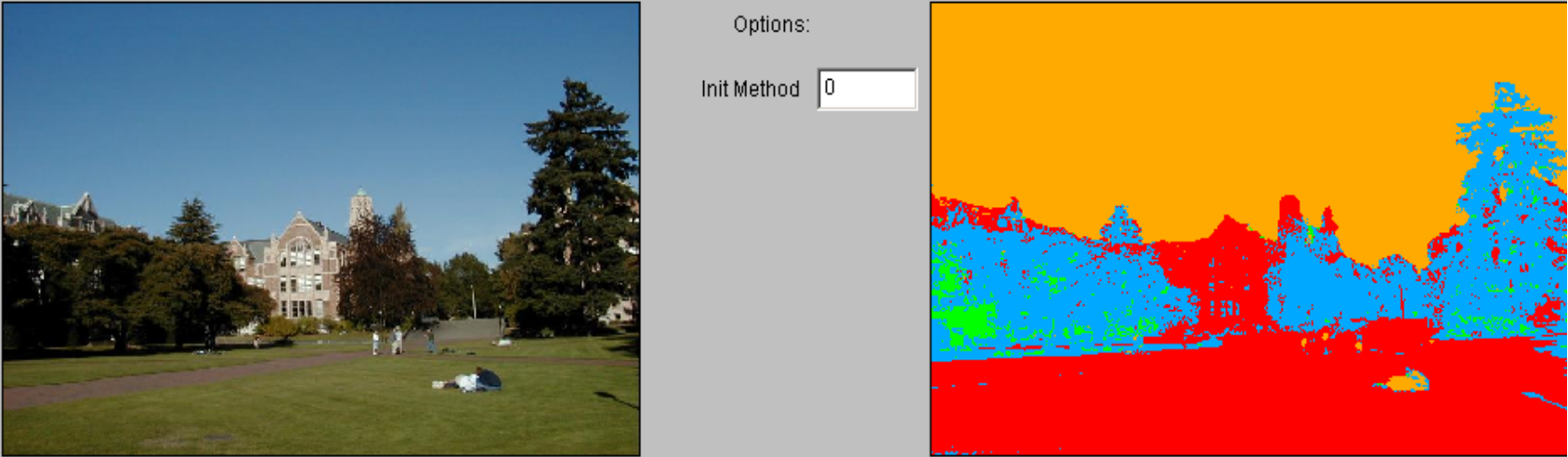
# K-Means Example 3

1. Select an image:  2. Select a processor:  3. Click

Options:  
Init Method

640\*480 (607,118): RGB(20,22,1)

Process done ! (228,26): RGB(255,170,0)



# K-means Variants

- Different ways to initialize the means
- Different stopping criteria
- Dynamic methods for determining the right number of clusters ( $K$ ) for a given image
- The EM Algorithm: a probabilistic formulation

# K-Means

- Boot Step:

- Initialize  $K$  clusters:  $C_1, \dots, C_K$

- Each cluster is represented by its mean  $m_j$

- Iteration Step:

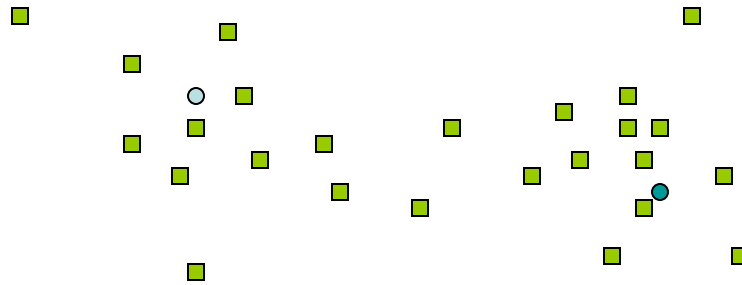
- Estimate the cluster for each data point

$$x_i \implies C(x_i)$$

- Re-estimate the cluster parameters

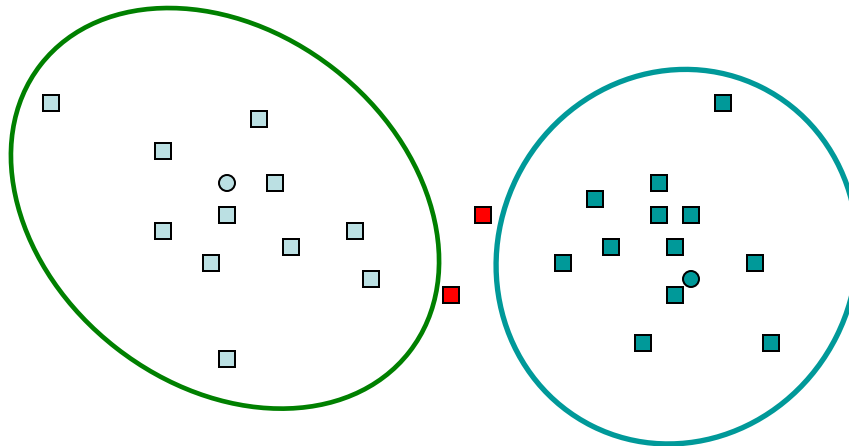
$$m_j = \text{mean}\{x_i \mid x_i \in C_j\}$$

# K-Means Example



# K-Means Example

Where do the red points belong?



# K-Means $\rightarrow$ EM

- Boot Step:

- Initialize  $K$  clusters:  $C_1, \dots, C_K$

- $(\mu_j, \Sigma_j)$  and  $P(C_j)$  for each cluster  $j$ .

- Iteration Step:

- Estimate the cluster of each data point

- $p(C_j | x_i)$

 Expectation

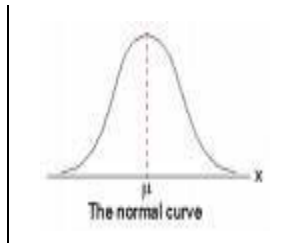
- Re-estimate the cluster parameters

- $(\mu_j, \Sigma_j), p(C_j)$  For each cluster  $j$

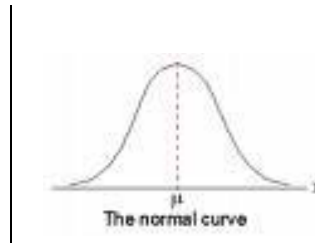
 Maximization

# 1-D EM with Gaussian Distributions

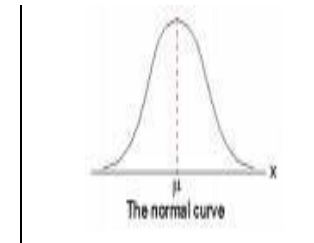
- Each cluster  $C_j$  is represented by a Gaussian distribution  $N(\mu_j, \sigma_j)$ .
- Initialization: For each cluster  $C_j$  initialize its mean  $\mu_j$ , variance  $\sigma_j$ , and weight  $\alpha_j$ .



$$N(\mu_1, \sigma_1)$$
$$\alpha_1 = P(C_1)$$



$$N(\mu_2, \sigma_2)$$
$$\alpha_2 = P(C_2)$$



$$N(\mu_3, \sigma_3)$$
$$\alpha_3 = P(C_3)$$

# Expectation

- For each point  $x_i$  and each cluster  $C_j$  compute  $P(C_j | x_i)$ .
- $P(C_j | x_i) = P(x_i | C_j) P(C_j) / P(x_i)$
- $P(x_i) = \sum_j P(x_i | C_j) P(C_j)$
- Where do we get  $P(x_i | C_j)$  and  $P(C_j)$ ?



1. Use the pdf for a normal distribution:

$$P(x_i | C_j) = \frac{1}{\sqrt{2\pi} \sigma_j} e^{-\frac{(x_i - \mu_j)^2}{2\sigma_j^2}}$$

2. Use  $\alpha_j = P(C_j)$  from the current parameters of cluster  $C_j$ .

# Maximization

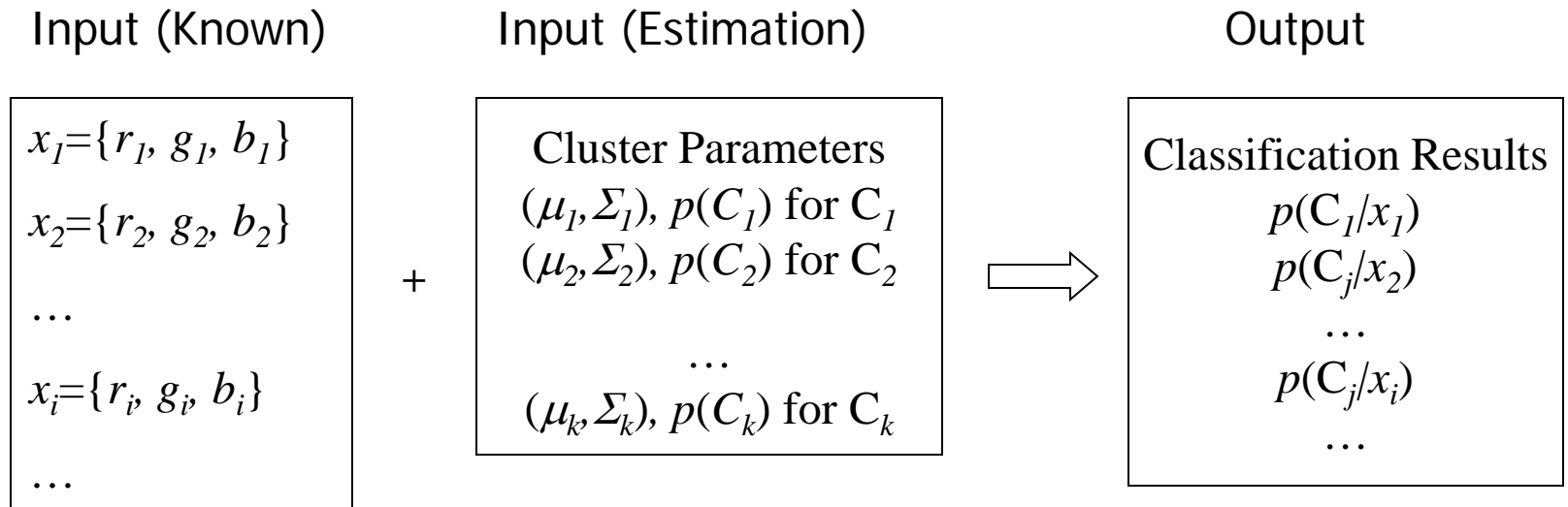
- Having computed  $P(C_j | x_i)$  for each point  $x_i$  and each cluster  $C_j$ , use them to compute new mean, variance, and weight for each cluster.

$$\mu_j = \frac{\sum_i p(C_j | x_i) \cdot x_i}{\sum_i p(C_j | x_i)}$$

$$\Sigma_j = \frac{\sum_i p(C_j | x_i) \cdot (x_i - \mu_j) \cdot (x_i - \mu_j)^T}{\sum_i p(C_j | x_i)}$$

$$p(C_j) = \frac{\sum_i p(C_j | x_i)}{N}$$

# Multi-Dimensional Expectation Step for Color Image Segmentation



$$p(C_j | x_i) = \frac{p(x_i | C_j) \cdot p(C_j)}{p(x_i)} = \frac{p(x_i | C_j) \cdot p(C_j)}{\sum_j p(x_i | C_j) \cdot p(C_j)}$$

# Multi-dimensional Maximization Step for Color Image Segmentation

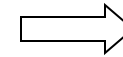
Input (Known)

$$\begin{array}{l} x_1 = \{r_1, g_1, b_1\} \\ x_2 = \{r_2, g_2, b_2\} \\ \dots \\ x_i = \{r_i, g_i, b_i\} \\ \dots \end{array}$$

+

Input (Estimation)

$$\begin{array}{l} \text{Classification Results} \\ p(C_1/x_1) \\ p(C_j/x_2) \\ \dots \\ p(C_j/x_i) \\ \dots \end{array}$$



Output

$$\begin{array}{l} \text{Cluster Parameters} \\ (\mu_1, \Sigma_1), p(C_1) \text{ for } C_1 \\ (\mu_2, \Sigma_2), p(C_2) \text{ for } C_2 \\ \dots \\ (\mu_k, \Sigma_k), p(C_k) \text{ for } C_k \end{array}$$

$$\mu_j = \frac{\sum_i p(C_j | x_i) \cdot x_i}{\sum_i p(C_j | x_i)} \quad \Sigma_j = \frac{\sum_i p(C_j | x_i) \cdot (x_i - \mu_j) \cdot (x_i - \mu_j)^T}{\sum_i p(C_j | x_i)} \quad p(C_j) = \frac{\sum_i p(C_j | x_i)}{N}$$

# Full EM Algorithm

## Multi-Dimensional

- Boot Step:

- Initialize  $K$  clusters:  $C_1, \dots, C_K$

$(\mu_j, \Sigma_j)$  and  $P(C_j)$  for each cluster  $j$ .

- Iteration Step:

- Expectation Step

$$p(C_j | x_i) = \frac{p(x_i | C_j) \cdot p(C_j)}{p(x_i)} = \frac{p(x_i | C_j) \cdot p(C_j)}{\sum_j p(x_i | C_j) \cdot p(C_j)}$$

- Maximization Step

$$\mu_j = \frac{\sum_i p(C_j | x_i) \cdot x_i}{\sum_i p(C_j | x_i)} \quad \Sigma_j = \frac{\sum_i p(C_j | x_i) \cdot (x_i - \mu_j) \cdot (x_i - \mu_j)^T}{\sum_i p(C_j | x_i)} \quad p(C_j) = \frac{\sum_i p(C_j | x_i)}{N}$$

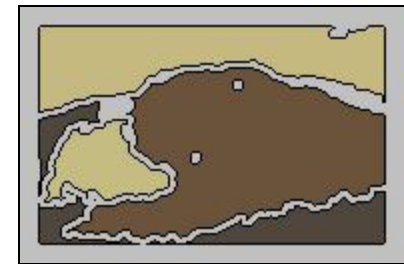
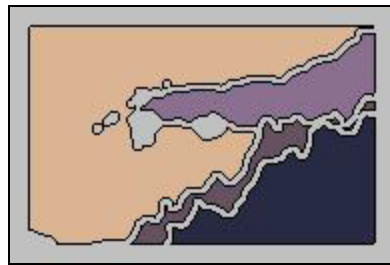
# EM Demo

- Example (start at slide 40 of tutorial)  
<http://www-2.cs.cmu.edu/~awm/tutorials/gmm13.pdf>

# EM Applications

- Blobworld: Image segmentation using Expectation-Maximization and its application to image querying
- Yi's Generative/Discriminative Learning of object classes in color images

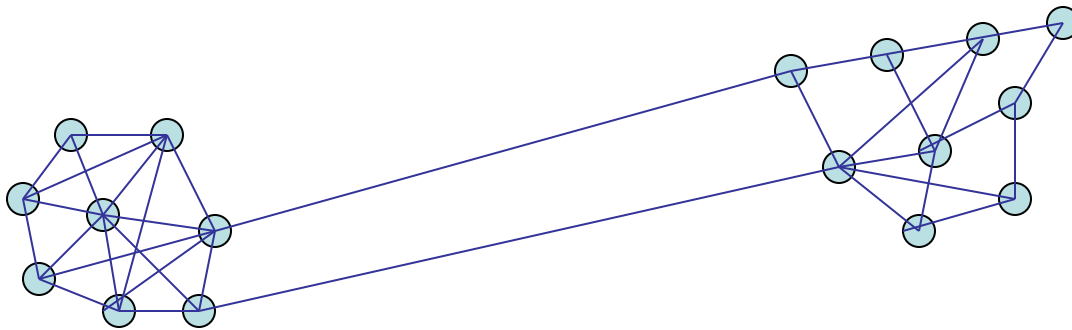
# Blobworld: Sample Results





# Jianbo Shi's Graph-Partitioning

- An image is represented by a graph whose nodes are pixels or small groups of pixels.
- The goal is to partition the vertices into disjoint sets so that the similarity within each set is high and across different sets is low.

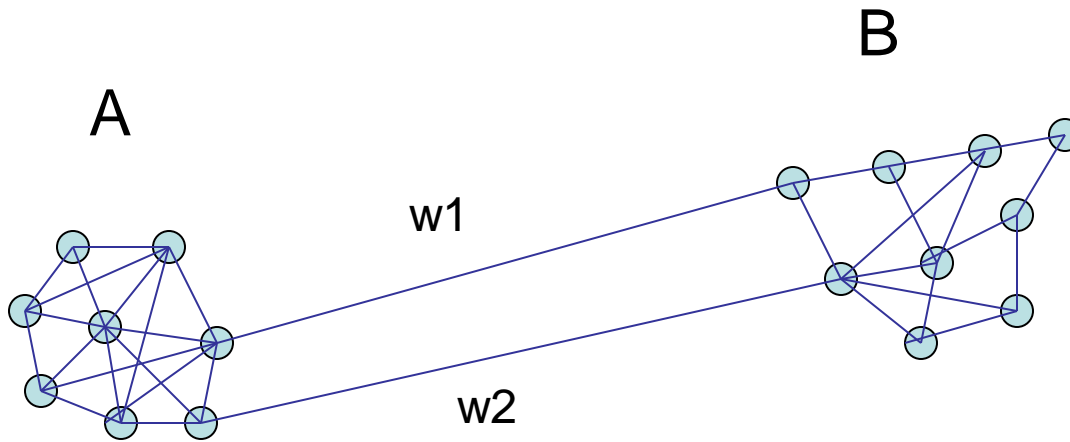


# Minimal Cuts

- Let  $G = (V, E)$  be a graph. Each edge  $(u, v)$  has a weight  $w(u, v)$  that represents the similarity between  $u$  and  $v$ .
- Graph  $G$  can be broken into 2 disjoint graphs with node sets  $A$  and  $B$  by removing edges that connect these sets.
- Let  $\text{cut}(A, B) = \sum_{u \in A, v \in B} w(u, v)$ .
- One way to segment  $G$  is to find the minimal cut.

# Cut(A,B)

$$\text{cut}(A,B) = \sum_{u \in A, v \in B} w(u,v)$$



# Normalized Cut

Minimal cut favors cutting off small node groups, so Shi proposed the **normalized cut**.

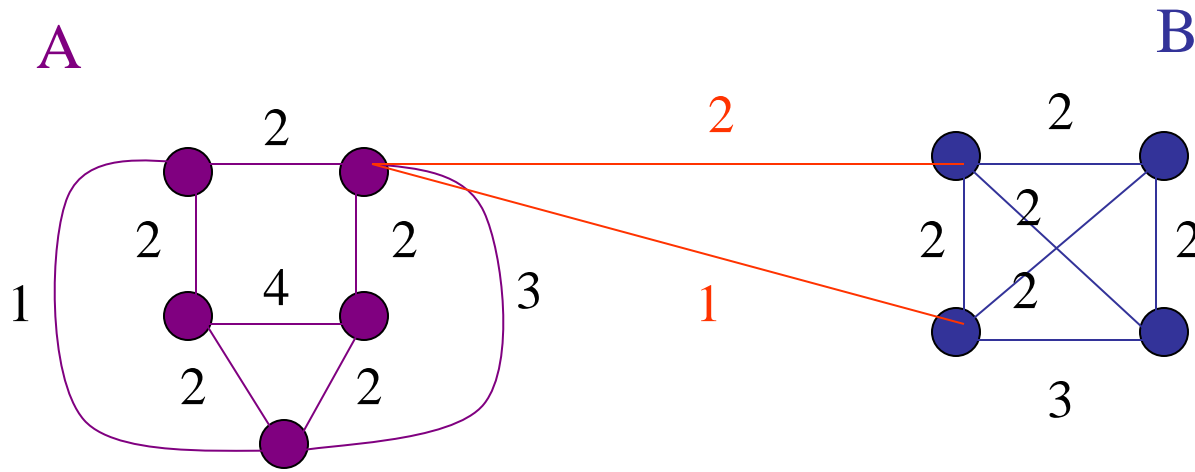
$$Ncut(A,B) = \frac{cut(A, B)}{asso(A, V)} + \frac{cut(A,B)}{asso(B, V)}$$

normalized cut

$$asso(A, V) = \sum_{u \in A, t \in V} w(u, t)$$

How much is A connected to the graph as a whole.

# Example Normalized Cut



$$\text{Ncut}(A,B) = \frac{3}{21} + \frac{3}{16}$$

# Shi turned graph cuts into an eigenvector/eigenvalue problem.

- Set up a weighted graph  $G=(V,E)$ 
  - $V$  is the set of (N) pixels
  - $E$  is a set of weighted edges (weight  $w_{ij}$  gives the similarity between nodes  $i$  and  $j$ )
  - Length  $N$  vector  $d$ :  $d_i$  is the sum of the weights from node  $i$  to all other nodes
  - $N \times N$  matrix  $D$ :  $D$  is a diagonal matrix with  $d$  on its diagonal
  - $N \times N$  symmetric matrix  $W$ :  $W_{ij} = w_{ij}$

- Let  $\mathbf{x}$  be a characteristic vector of a set  $A$  of nodes
  - $x_i = 1$  if node  $i$  is in a set  $A$
  - $x_i = -1$  otherwise
- Let  $\mathbf{y}$  be a continuous approximation to  $\mathbf{x}$

$$\mathbf{y} = (1 + \mathbf{x}) - \frac{\sum_{x_i > 0} d_i}{\sum_{x_i < 0} d_i} (1 - \mathbf{x}).$$

- Solve the system of equations

$$(D - W) \mathbf{y} = \lambda D \mathbf{y}$$

for the eigenvectors  $\mathbf{y}$  and eigenvalues  $\lambda$

- Use the eigenvector  $\mathbf{y}$  with second smallest eigenvalue to bipartition the graph ( $\mathbf{y} \Rightarrow \mathbf{x} \Rightarrow A$ )
- If further subdivision is merited, repeat recursively

# How Shi used the procedure

Shi defined the edge weights  $w(i,j)$  by

$$w(i,j) = e^{-\|F(i)-F(j)\|_2 / \sigma I_*} \begin{cases} e^{-\|X(i)-X(j)\|_2 / \sigma X} & \text{if } \|X(i)-X(j)\|_2 < r \\ 0 & \text{otherwise} \end{cases}$$

where  $X(i)$  is the spatial location of node  $i$

$F(i)$  is the feature vector for node  $i$

which can be intensity, color, texture, motion...

The formula is set up so that  $w(i,j)$  is 0 for nodes that are too far apart.



# Examples of Shi Clustering

See Shi's Web Page

<http://www.cis.upenn.edu/~jshi/>



## Problems with Graph Cuts

- Need to know when to stop
- Very **Sloooooow**

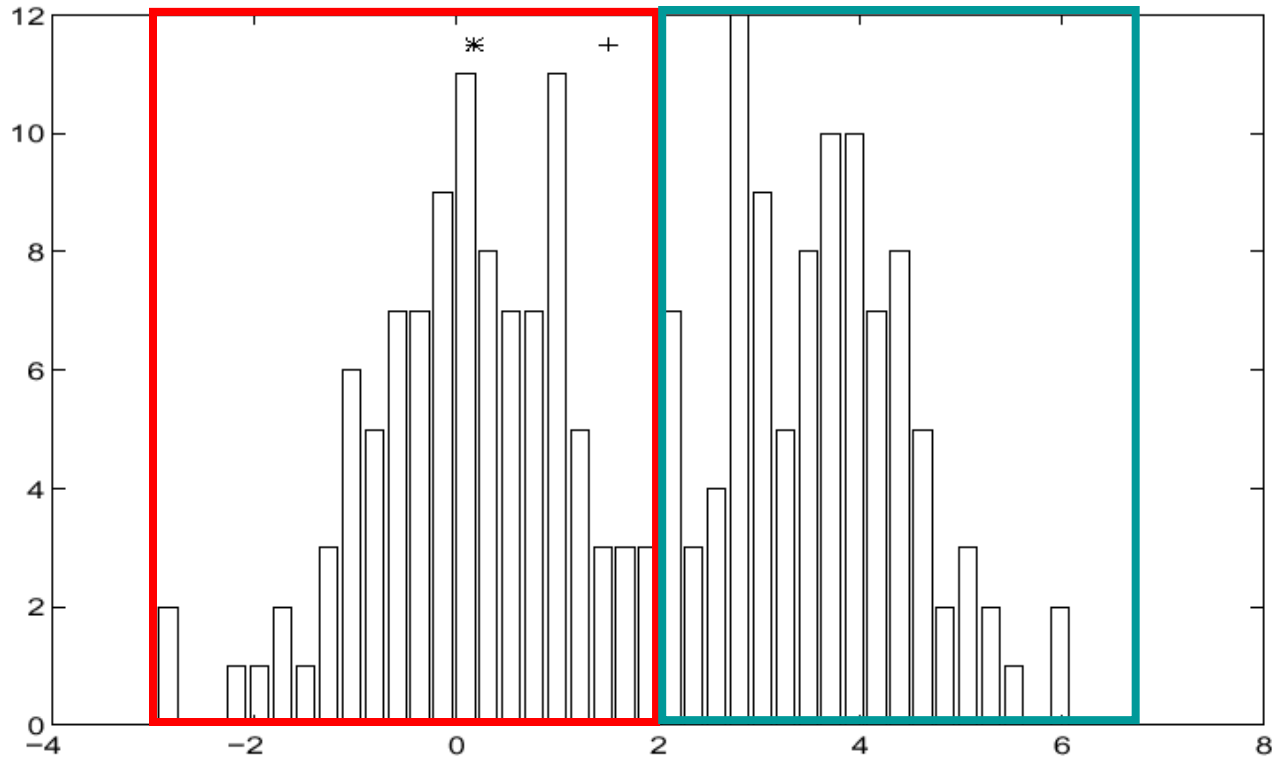
## Problems with EM

- Local minima
- Need to know number of segments
- Need to choose generative model

# Mean-Shift Clustering

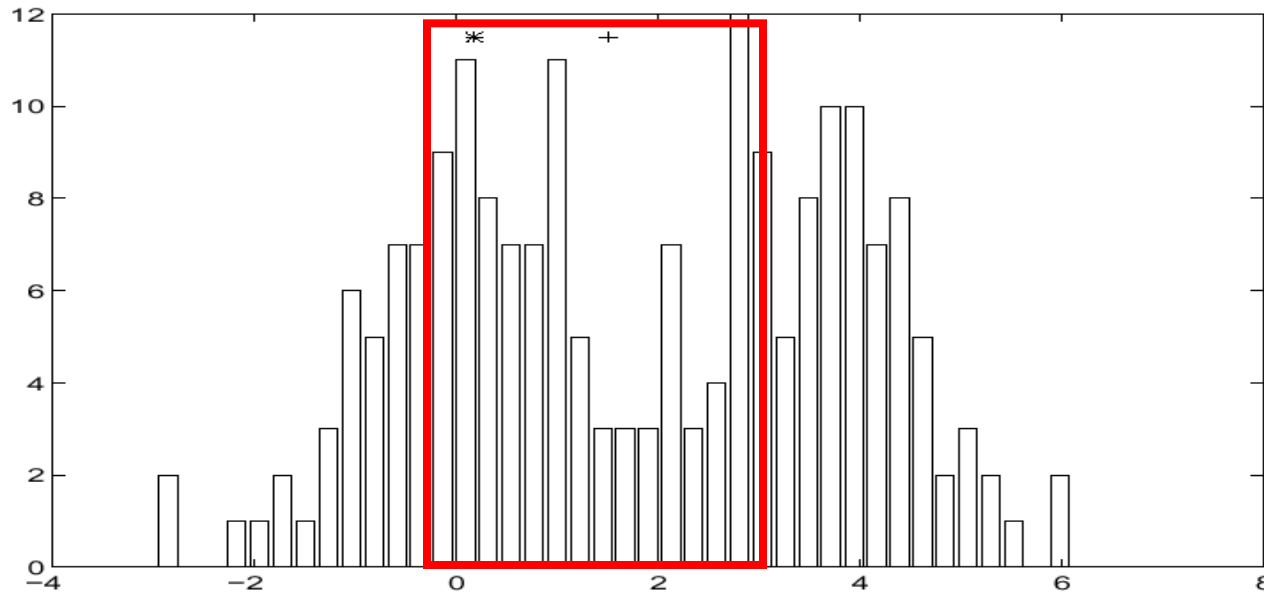
- Simple, like K-means
- But you don't have to select K
- Statistical method
- Guaranteed to converge to a fixed number of clusters.

# Finding Modes in a Histogram



- How Many Modes Are There?
  - Easy to see, hard to compute

# Mean Shift [Comaniciu & Meer]



- Iterative Mode Search

1. Initialize random seed, and window  $W$

2. Calculate center of gravity (the “mean”) of  $W$ :  $\sum_{x \in W} xH(x)$

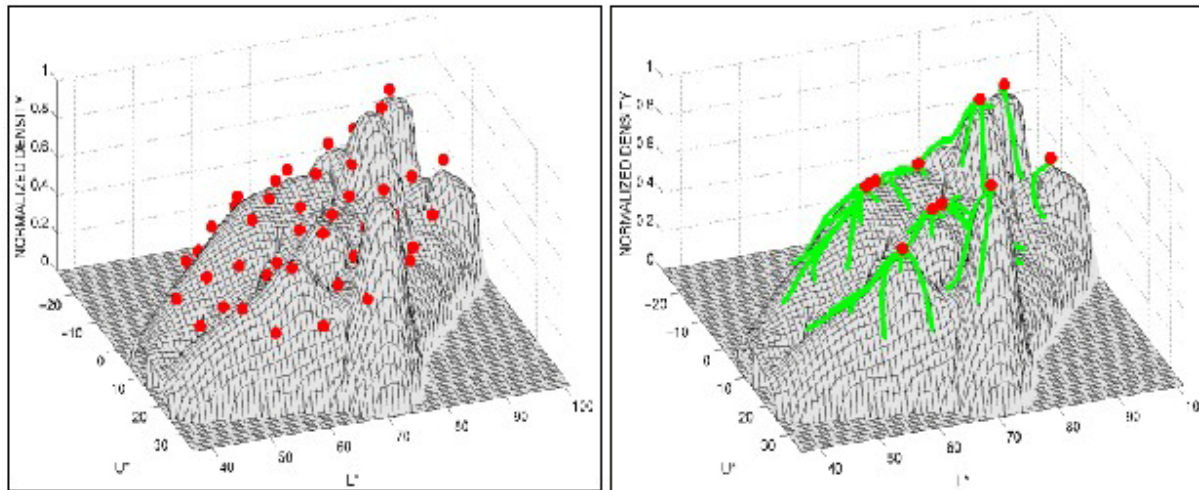
3. Translate the search window to the mean

4. Repeat Step 2 until convergence

**NORMALIZED**

# Mean Shift Approach

- Initialize a window around each point
- See where it shifts—this determines which segment it's in
- Multiple points will shift to the same segment



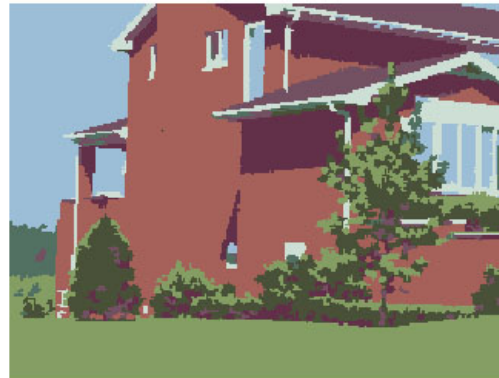
Mean shift trajectories

# Segmentation Algorithm

- First run the mean shift procedure for each data point  $x$  and store its convergence point  $z$ .
- Link together all the  $z$ 's that are closer than  $.5$  from each other to form clusters
- Assign each point to its cluster
- Eliminate small regions

# Mean-shift for image segmentation

- Useful to take into account spatial information
  - instead of  $(R, G, B)$ , run in  $(R, G, B, x, y)$  space

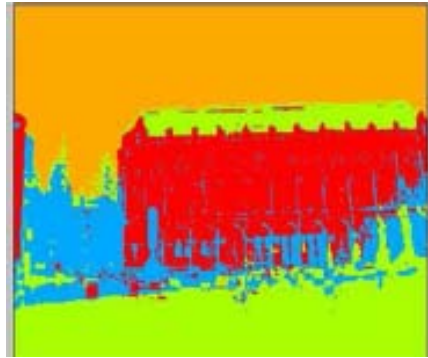




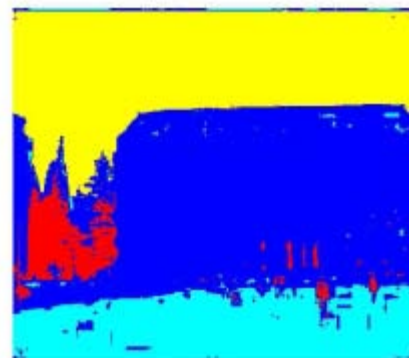
# Comparisons



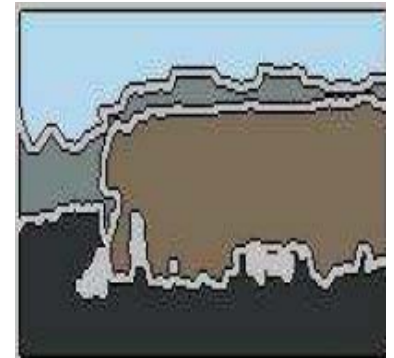
original  
image



k-means color  
k=4



EM color  
k=4



Blobworld  
color/texture

Can we conclude anything at all?

# More Comparisons

Two mean-shift results with different parameters.



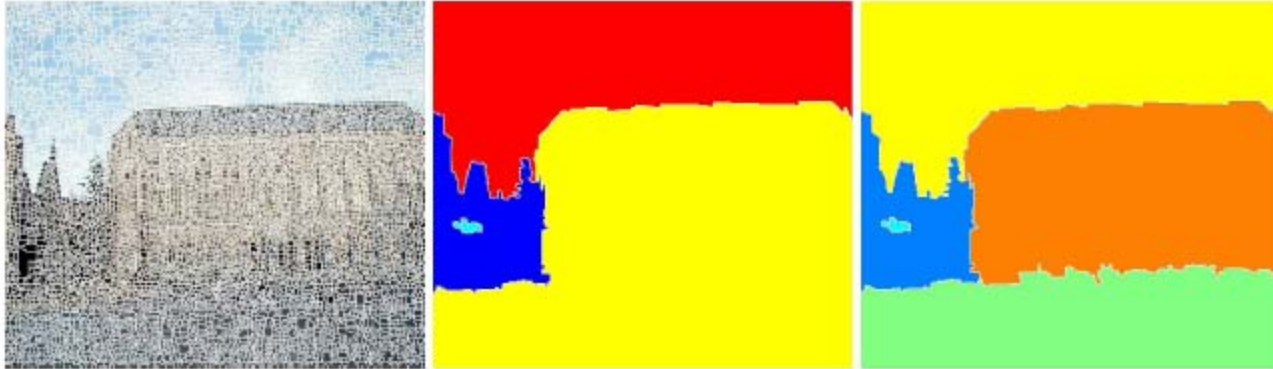
$\sigma_s=50, \sigma_r=5.0$



$\sigma_s=5, \sigma_r=2.5$

# More Comparisons

## Watershed Clustering



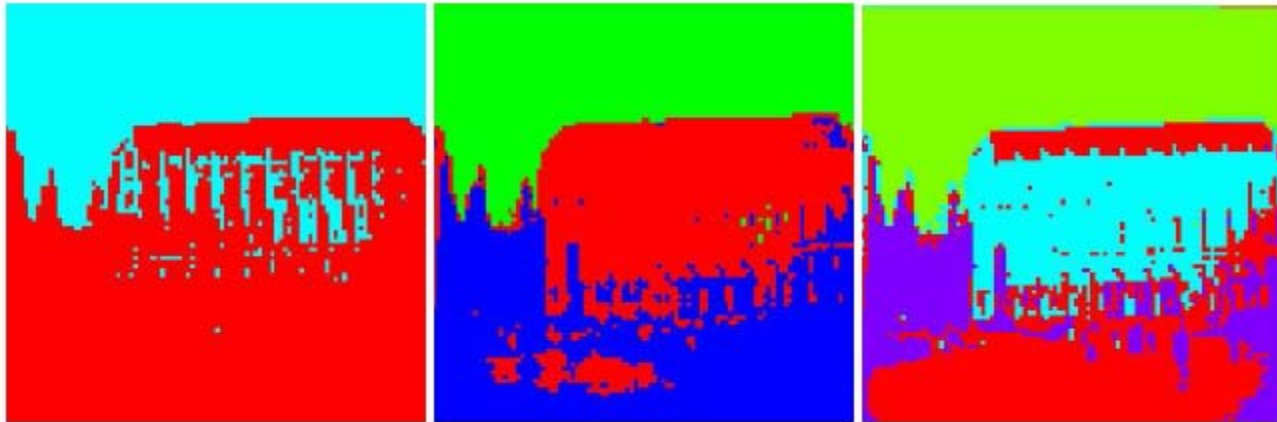
without markers

with automatic  
markers

with automatic  
plus one manual  
marker for building

# More Comparisons

## Normalized Graph Cuts

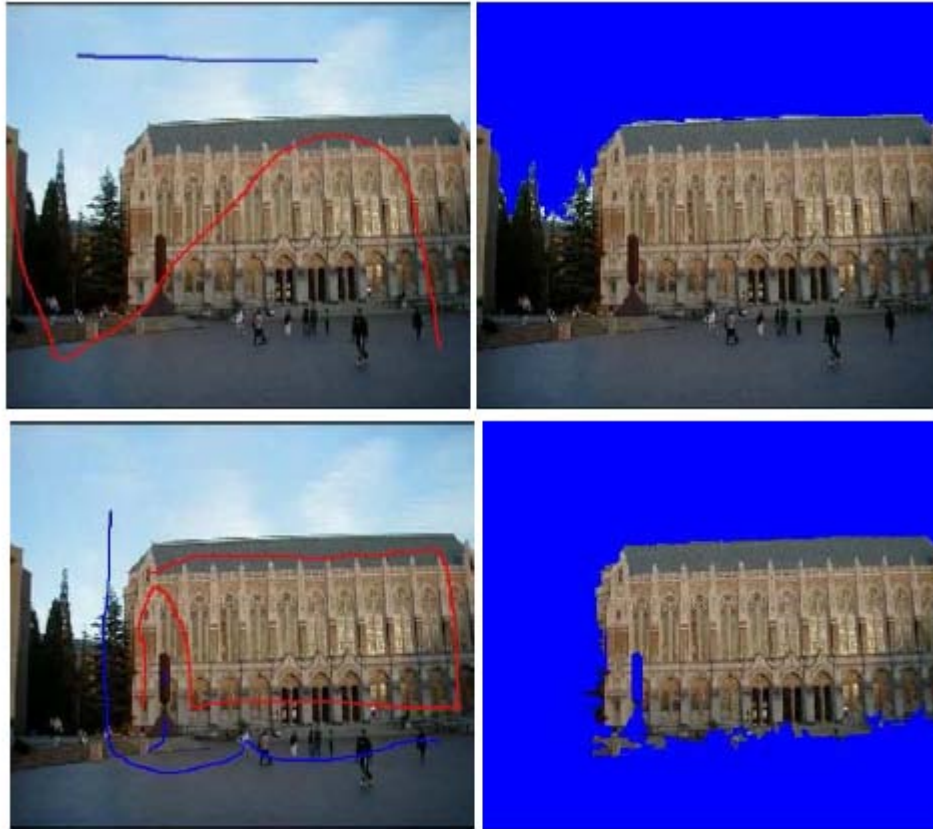


First Cut

Second Cut

Third Cut

# Interactive Segmentation



user inputs

segmentation results

# References

- Shi and Malik, “[Normalized Cuts and Image Segmentation](#),” Proc. CVPR 1997.
- Carson, Belongie, Greenspan and Malik, “[Blobworld: Image Segmentation Using Expectation-Maximization and its Application to Image Querying](#),” IEEE PAMI, Vol 24, No. 8, Aug. 2002.
- Comaniciu and Meer, “[Mean shift analysis and applications](#),” Proc. ICCV 1999.