

# Image Segmentation

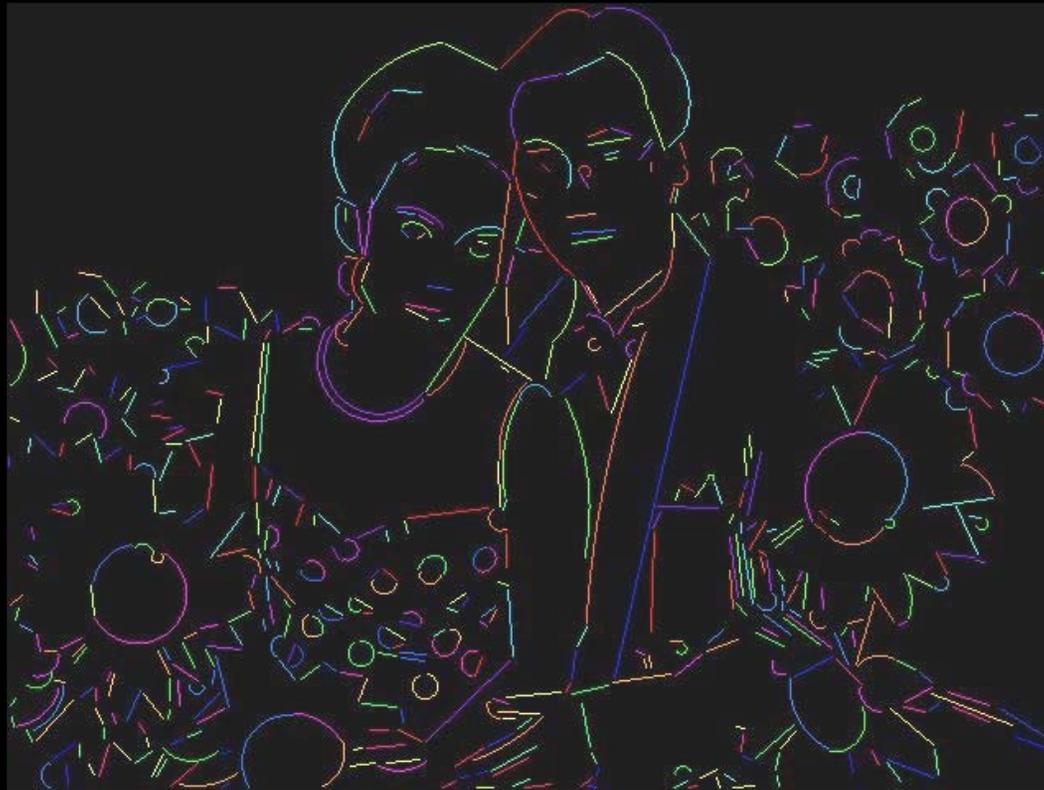
Image segmentation is the operation of partitioning an image into a collection of connected sets of pixels.

1. into **regions**, which usually cover the image
2. into **linear structures**, such as
  - line segments
  - curve segments
3. into **2D shapes**, such as
  - circles
  - ellipses
  - ribbons (long, symmetric regions)

# Example 1: Regions



# Example 2: Lines and Circular Arcs



# Main Methods of Region Segmentation

- ~~1. Region Growing~~
- ~~2. Split and Merge~~
3. Clustering

# Clustering

- There are  $K$  clusters  $C_1, \dots, C_K$  with means  $m_1, \dots, m_K$ .
- The **least-squares error** is defined as

$$D = \sum_{k=1}^K \sum_{x_i \in C_k} \|x_i - m_k\|^2 .$$

- Out of all possible partitions into  $K$  clusters, choose the one that minimizes  $D$ .

Why don't we just do this?

If we could, would we get meaningful objects?

# K-Means Clustering

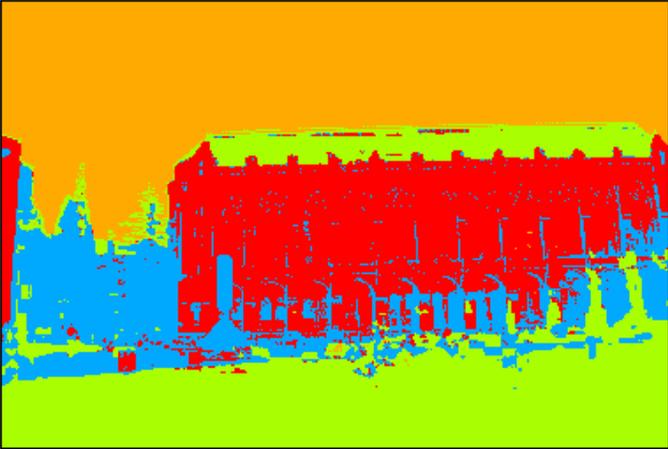
Form K-means clusters from a set of n-dimensional vectors

1. Set  $i_c$  (iteration count) to 1
2. Choose randomly a set of K means  $m_1(1), \dots, m_K(1)$ .
3. For each vector  $x_i$ , compute  $D(x_i, m_k(i_c))$ ,  $k=1, \dots, K$  and assign  $x_i$  to the cluster  $C_j$  with nearest mean.
4. Increment  $i_c$  by 1, update the means to get  $m_1(i_c), \dots, m_K(i_c)$ .
5. Repeat steps 3 and 4 until  $C_k(i_c) = C_k(i_c+1)$  for all  $k$ .

# K-Means Example 1

1. Select an image:  2. Select a processor:  3. Click

Options:  
Init Method



640\*480 (590,68): RGB(158,206,229) Process done !

# K-Means Example 2

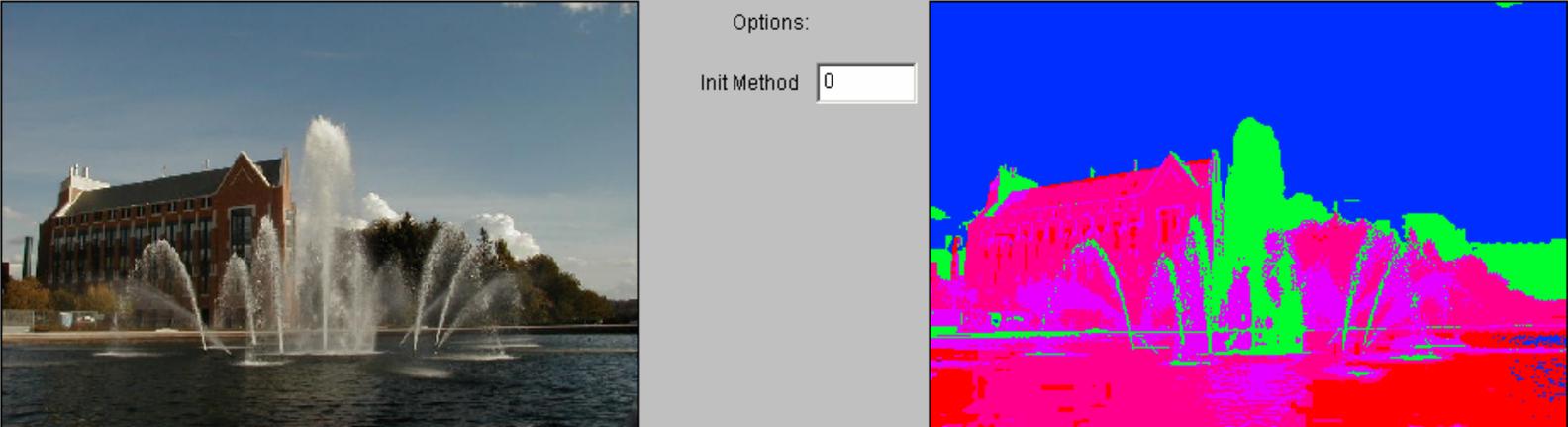
1. Select an image:  2. Select a processor:  3. Click

Options:  
Init Method

640\*480 (636,95): RGB(102,130,151)

Process done!

(590,209): RGB(0,46,255)



# K-Means Example 3

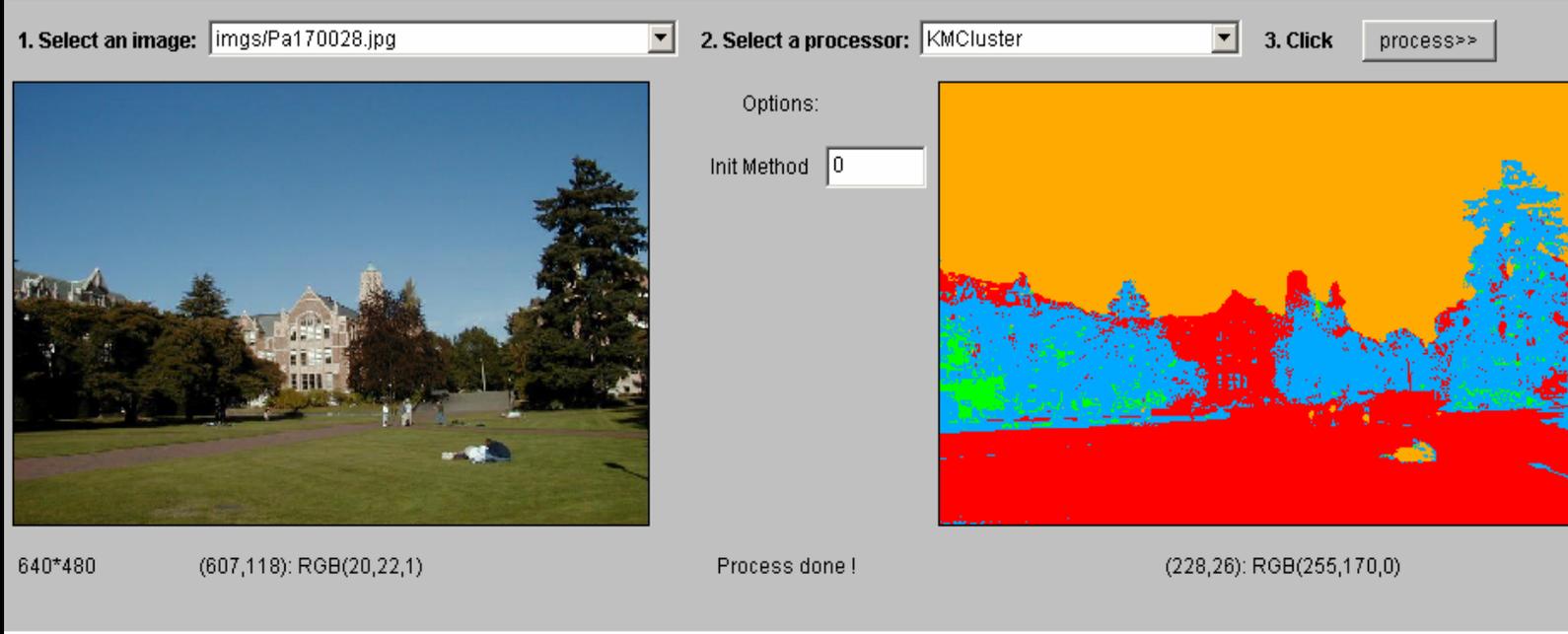
1. Select an image:  2. Select a processor:  3. Click

Options:  
Init Method

640\*480 (607,118): RGB(20,22,1)

Process done !

(228,26): RGB(255,170,0)



# K-means Variants

- Different ways to initialize the means
- Different stopping criteria
- Dynamic methods for determining the right number of clusters (K) for a given image
- The EM Algorithm: a probabilistic formulation (slides courtesy of Yi Li)

# K-Means Classifier

Input (Known)

$$x_1 = \{r_1, g_1, b_1\}$$

$$x_2 = \{r_2, g_2, b_2\}$$

...

$$x_i = \{r_i, g_i, b_i\}$$

...

Output (Unknown)

Cluster Parameters

$$m_1 \text{ for } C_1$$

$$m_2 \text{ for } C_2$$

...

$$m_k \text{ for } C_k$$

Classification Results

$$x_1 \rightarrow C(x_1)$$

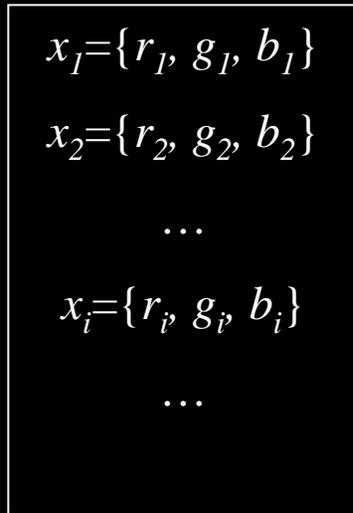
$$x_2 \rightarrow C(x_2)$$

...

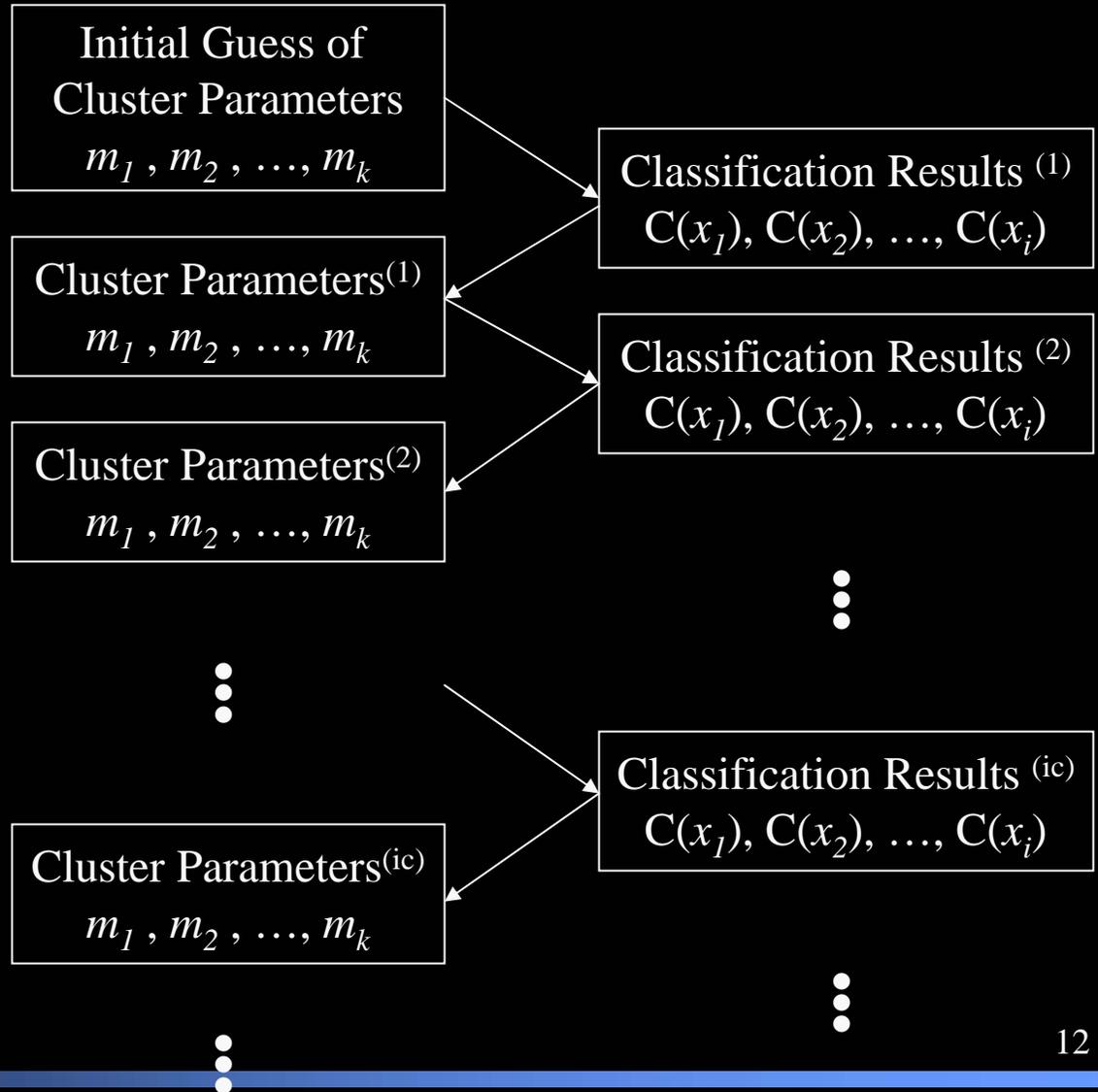
$$x_i \rightarrow C(x_i)$$

...

## Input (Known)



## Output (Unknown)

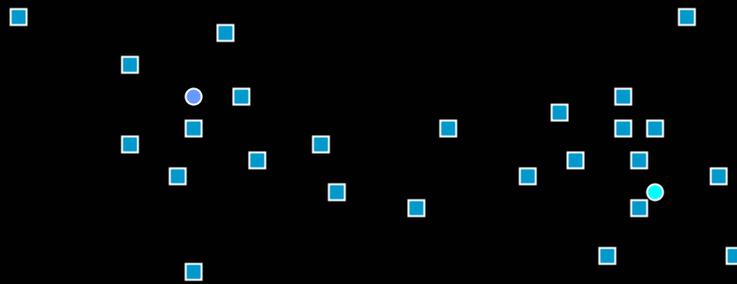


# K-Means

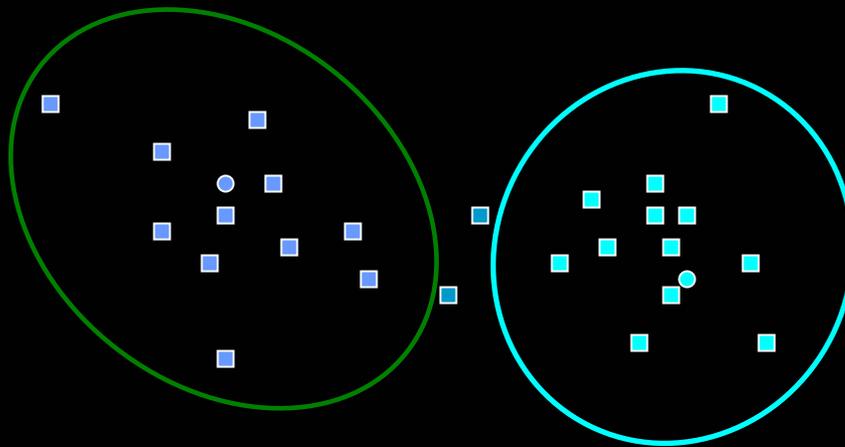
- Boot Step:
  - Initialize  $K$  clusters:  $C_1, \dots, C_K$   
Each cluster is represented by its mean  $m_j$
- Iteration Step:
  - Estimate the cluster for each data point
- Re-estimate the cluster parameters

$$m_j = \text{mean}\{x_i \mid x_i \in C_j\}$$

# K-Means Example



# K-Means Example



# K-Means $\rightarrow$ EM

- Boot Step:

- Initialize  $K$  clusters:  $C_1, \dots, C_K$   
 $(\mu_j, \Sigma_j)$  and  $P(C_j)$  for each cluster  $j$ .

- Iteration Step:

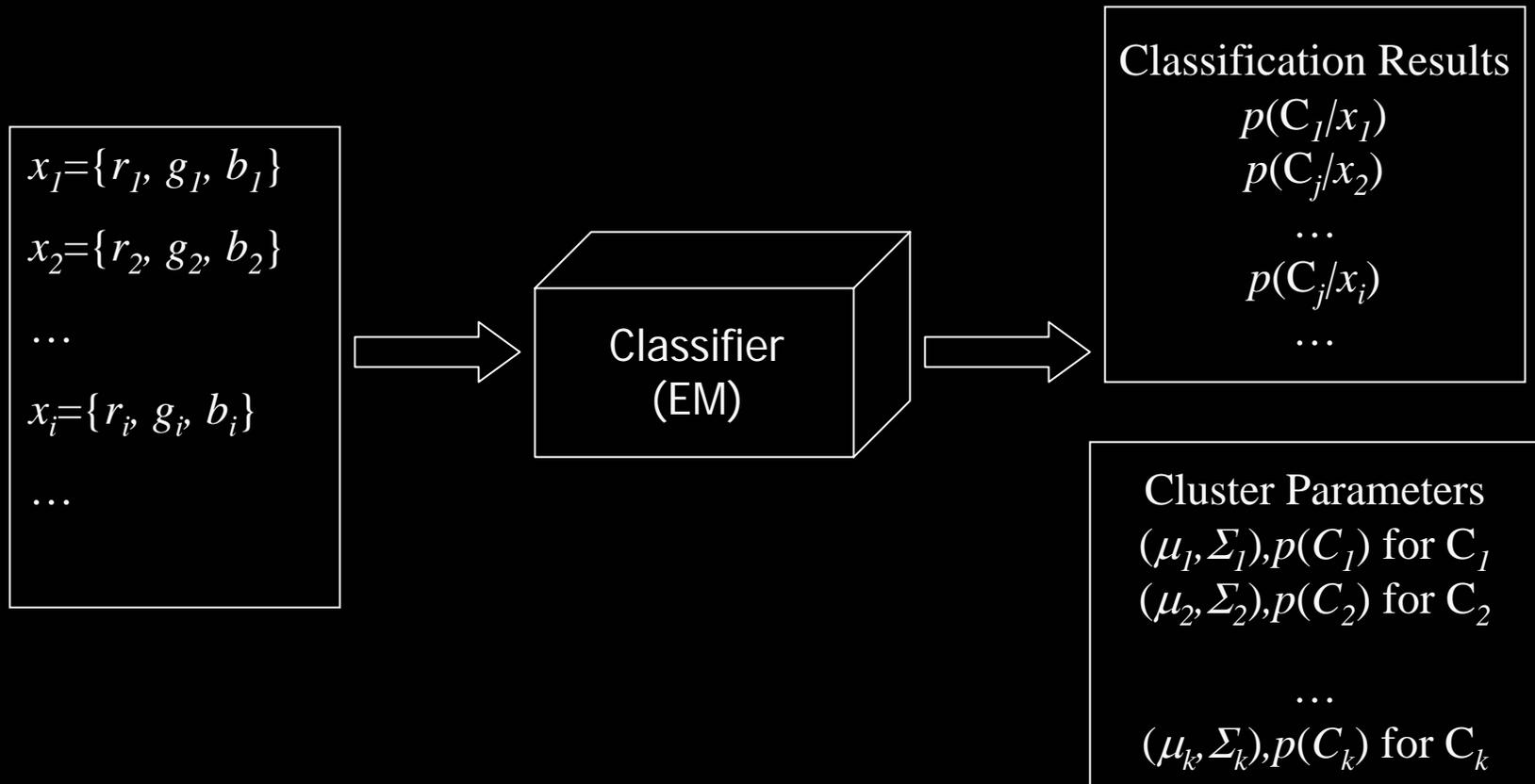
- Estimate the cluster of each data point
- Re-estimate the cluster parameters

$\rightarrow$  Expectation

$\rightarrow$  Maximization

For each cluster  $j$

# EM Classifier



# EM Classifier (Cont.)

Input (Known)

$$x_1 = \{r_1, g_1, b_1\}$$

$$x_2 = \{r_2, g_2, b_2\}$$

...

$$x_i = \{r_i, g_i, b_i\}$$

...

Output (Unknown)

Cluster Parameters

$$(\mu_1, \Sigma_1), p(C_1) \text{ for } C_1$$

$$(\mu_2, \Sigma_2), p(C_2) \text{ for } C_2$$

...

$$(\mu_k, \Sigma_k), p(C_k) \text{ for } C_k$$

Classification Results

$$p(C_1/x_1)$$

$$p(C_j/x_2)$$

...

$$p(C_j/x_i)$$

...

# Expectation Step

Input (Known)

$$x_1 = \{r_1, g_1, b_1\}$$

$$x_2 = \{r_2, g_2, b_2\}$$

...

$$x_i = \{r_i, g_i, b_i\}$$

...

Input (Estimation)

Cluster Parameters

$$(\mu_1, \Sigma_1), p(C_1) \text{ for } C_1$$

$$(\mu_2, \Sigma_2), p(C_2) \text{ for } C_2$$

...

$$(\mu_k, \Sigma_k), p(C_k) \text{ for } C_k$$

+



Output

Classification Results

$$p(C_1/x_1)$$

$$p(C_j/x_2)$$

...

$$p(C_j/x_i)$$

...

# Maximization Step

Input (Known)

$x_1 = \{r_1, g_1, b_1\}$   
 $x_2 = \{r_2, g_2, b_2\}$   
...  
 $x_i = \{r_i, g_i, b_i\}$   
...

+

Input (Estimation)

Classification Results  
 $p(C_1/x_1)$   
 $p(C_j/x_2)$   
...  
 $p(C_j/x_i)$   
...



Output

Cluster Parameters  
 $(\mu_1, \Sigma_1), p(C_1)$  for  $C_1$   
 $(\mu_2, \Sigma_2), p(C_2)$  for  $C_2$   
...  
 $(\mu_k, \Sigma_k), p(C_k)$  for  $C_k$

# EM Algorithm

- Boot Step:

- Initialize  $K$  clusters:  $C_1, \dots, C_K$

$(\mu_j, \Sigma_j)$  and  $P(C_j)$  for each cluster  $j$ .

- Iteration Step:

- Expectation Step

$$p(C_j | x_i) = \frac{p(x_i | C_j) \cdot p(C_j)}{p(x_i)} = \frac{p(x_i | C_j) \cdot p(C_j)}{\sum_j p(x_i | C_j) \cdot p(C_j)}$$

- Maximization Step

$$\mu_j = \frac{\sum_i p(C_j | x_i) \cdot x_i}{\sum_i p(C_j | x_i)} \quad \Sigma_j = \frac{\sum_i p(C_j | x_i) \cdot (x_i - \mu_j) \cdot (x_i - \mu_j)^T}{\sum_i p(C_j | x_i)} \quad p(C_j) = \frac{\sum_i p(C_j | x_i)}{N}$$

# EM Demo

- Demo

<http://www.neurosci.aist.go.jp/~akaho/MixtureEM.html>

- Example

<http://www-2.cs.cmu.edu/~awm/tutorials/gmm13.pdf>

# EM Applications

- Blobworld: Image segmentation using Expectation-Maximization and its application to image querying
- Yi's Generative/Discriminative Learning of object classes in color images

# Image Segmentation with EM

- The feature vector for pixel  $i$  is called  $x_i$ .
- There are going to be  $K$  segments;  $K$  is given.
- The  $j$ -th segment has a Gaussian distribution with parameters  $\theta_j = (\mu_j, \Sigma_j)$ .
- $\alpha_j$ 's are the weights (which sum to 1) of Gaussians.  $\Theta$  is the collection of parameters:

$$\Theta = (\alpha_1, \dots, \alpha_k, \theta_1, \dots, \theta_k)$$

# Initialization

- Each of the  $K$  Gaussians will have parameters  $\theta_j = (\mu_j, \Sigma_j)$ , where
  - $\mu_j$  is the mean of the  $j$ -th Gaussian.
  - $\Sigma_j$  is the covariance matrix of the  $j$ -th Gaussian.
- The covariance matrices are initialed to be the identity matrix.
- The means can be initialized by finding the average feature vectors in each of  $K$  windows in the image; this is data-driven initialization.

# E-Step

$$p(j | x_i, \Theta) = \frac{\alpha_j f_j(x_i | \theta_j)}{\sum_{k=1}^K \alpha_k f_k(x_i | \theta_k)}$$

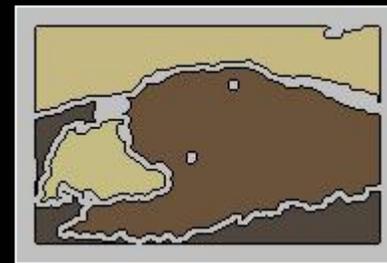
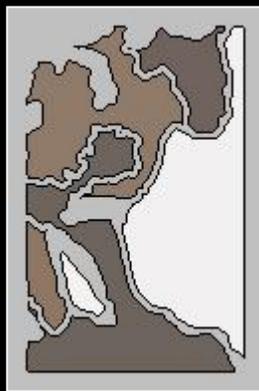
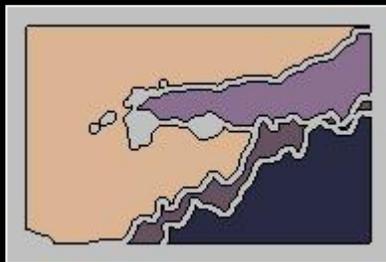
$$f_j(x | \theta_j) = \frac{1}{(2\pi)^{d/2} |\Sigma_j|^{1/2}} e^{-\frac{1}{2}(x-\mu_j)^T \Sigma_j^{-1} (x-\mu_j)}$$

# M-Step

$$\mu_j^{new} = \frac{\sum_{i=1}^N x_i p(j | x_i, \Theta^{old})}{\sum_{i=1}^N p(j | x_i, \Theta^{old})}$$

$$\Sigma_j^{new} = \frac{\sum_{i=1}^N p(j | x_i, \Theta^{old}) (x_i - \mu_j^{new})(x_i - \mu_j^{new})^T}{\sum_{i=1}^N p(j | x_i, \Theta^{old})}$$

# Sample Results



# Jianbo Shi's Graph-Partitioning

- An image is represented by a graph whose nodes are pixels or small groups of pixels.
- The goal is to partition the vertices into disjoint sets so that the similarity within each set is high and across different sets is low.

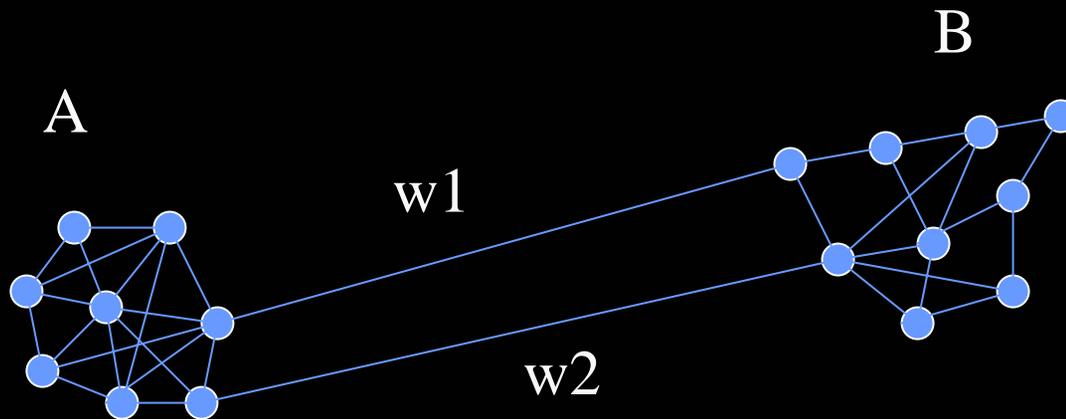


# Minimal Cuts

- Let  $G = (V, E)$  be a graph. Each edge  $(u, v)$  has a weight  $w(u, v)$  that represents the similarity between  $u$  and  $v$ .
- Graph  $G$  can be broken into 2 disjoint graphs with node sets  $A$  and  $B$  by removing edges that connect these sets.
- Let  $\text{cut}(A, B) = \sum_{u \in A, v \in B} w(u, v)$ .
- One way to segment  $G$  is to find the minimal cut.

# Cut(A,B)

$$\text{cut}(A,B) = \sum_{u \in A, v \in B} w(u,v).$$



# Normalized Cut

Minimal cut favors cutting off small node groups, so Shi proposed the **normalized cut**.

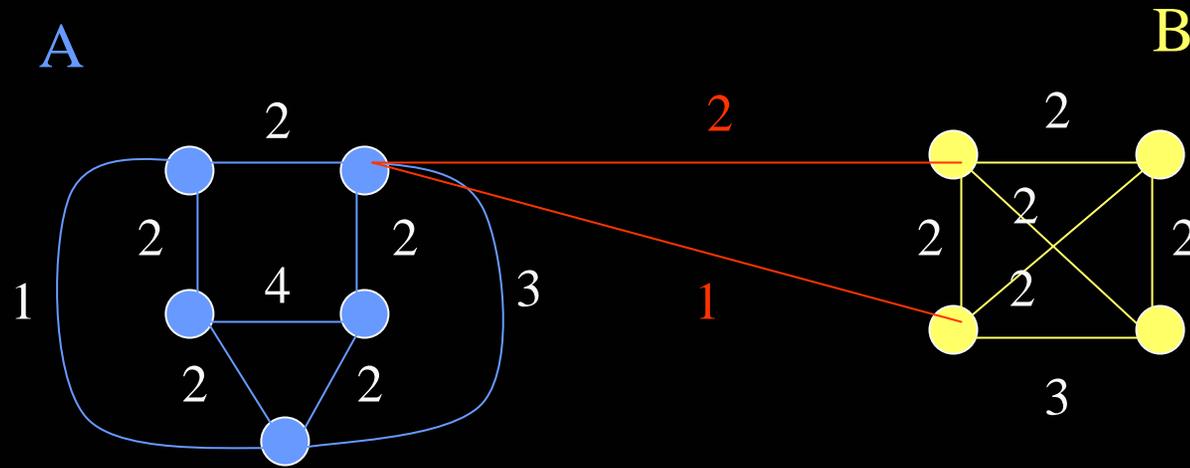
$$Ncut(A,B) = \frac{cut(A, B)}{asso(A, V)} + \frac{cut(A,B)}{asso(B, V)}$$

normalized  
cut

$$asso(A, V) = \sum_{u \in A, t \in V} w(u, t)$$

How much is A connected to the graph as a whole.

# Example Normalized Cut



$$N_{\text{cut}}(A,B) = \frac{3}{21} + \frac{3}{16}$$

Shi turned graph cuts into an eigenvector/eigenvalue problem.

- Set up a weighted graph  $G=(V,E)$ 
  - $V$  is the set of  $(N)$  pixels
  - $E$  is a set of weighted edges (weight  $w_{ij}$  gives the similarity between nodes  $i$  and  $j$ )
  - Length  $N$  vector  $\mathbf{d}$ :  $d_i$  is the sum of the weights from node  $i$  to all other nodes
  - $N \times N$  matrix  $\mathbf{D}$ :  $\mathbf{D}$  is a diagonal matrix with  $\mathbf{d}$  on its diagonal
  - $N \times N$  symmetric matrix  $\mathbf{W}$ :  $W_{ij} = w_{ij}$

- Let  $x$  be a characteristic vector of a set  $A$  of nodes
  - $x_i = 1$  if node  $i$  is in a set  $A$
  - $x_i = -1$  otherwise
- Let  $y$  be a continuous approximation to  $x$

$$y = (1 + x) - \frac{\sum_{x_i > 0} d_i}{\sum_{x_i < 0} d_i} (1 - x).$$

- Solve the system of equations
 
$$(D - W) y = \lambda D y$$
 for the eigenvectors  $y$  and eigenvalues  $\lambda$
- Use the eigenvector  $y$  with second smallest eigenvalue to bipartition the graph ( $y \Rightarrow x \Rightarrow A$ )
- If further subdivision is merited, repeat recursively

# How Shi used the procedure

Shi defined the edge weights  $w(i,j)$  by

$$w(i,j) = e^{\|F(i)-F(j)\|_2 / \sigma I} * \begin{cases} e^{-\|X(i)-X(j)\|_2 / \sigma X} & \text{if } \|X(i)-X(j)\|_2 < r \\ 0 & \text{otherwise} \end{cases}$$

where  $X(i)$  is the spatial location of node  $i$

$F(i)$  is the feature vector for node  $I$

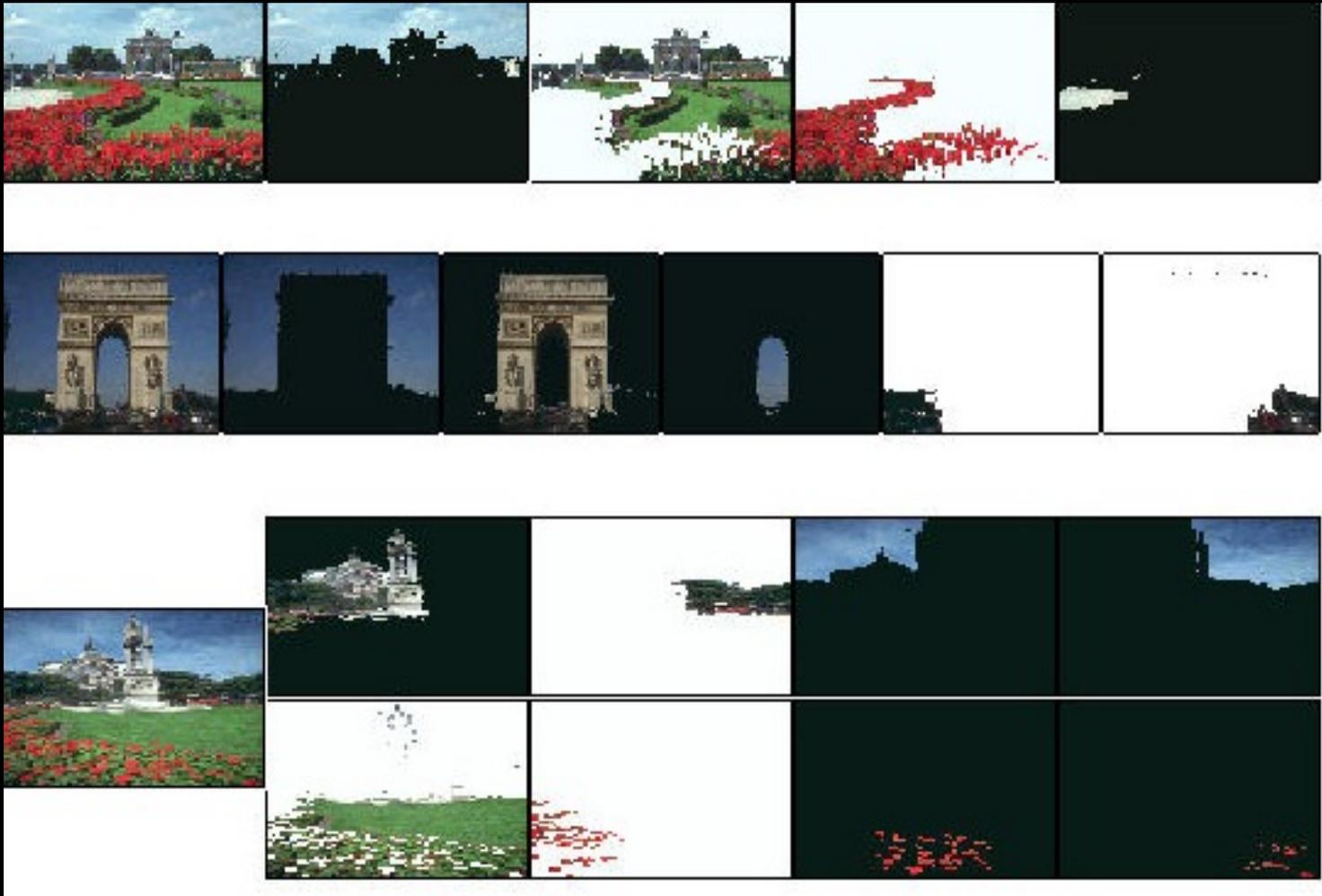
which can be intensity, color, texture, motion...

The formula is set up so that  $w(i,j)$  is 0 for nodes that are too far apart.

# Examples of Shi Clustering

See Shi's Web Page

<http://www.cis.upenn.edu/~jshi/>



# Problems with Graph Cuts

- Need to know when to stop
- Very **Sloooooow**

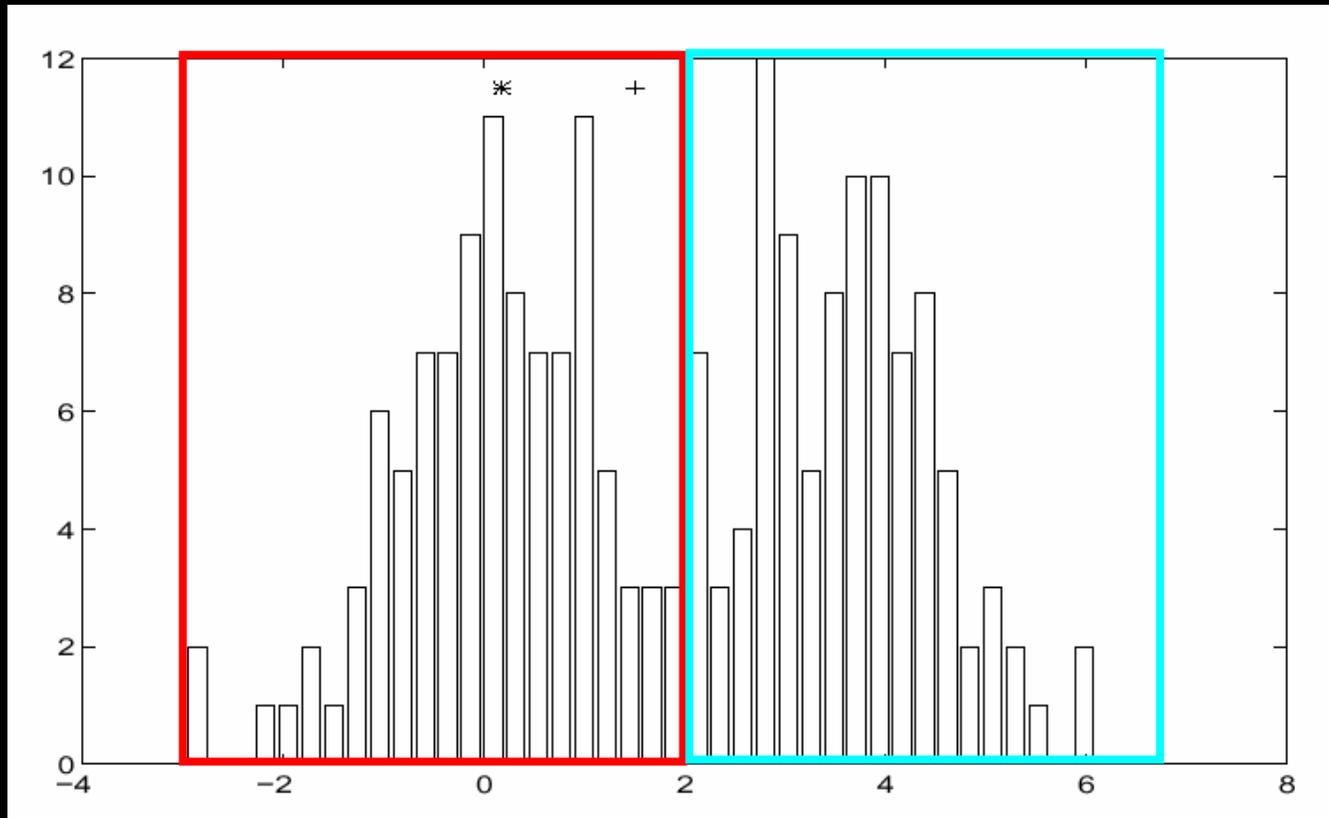
# Problems with EM

- Local minima
- Need to know number of segments
- Need to choose generative model

# Mean-Shift Clustering

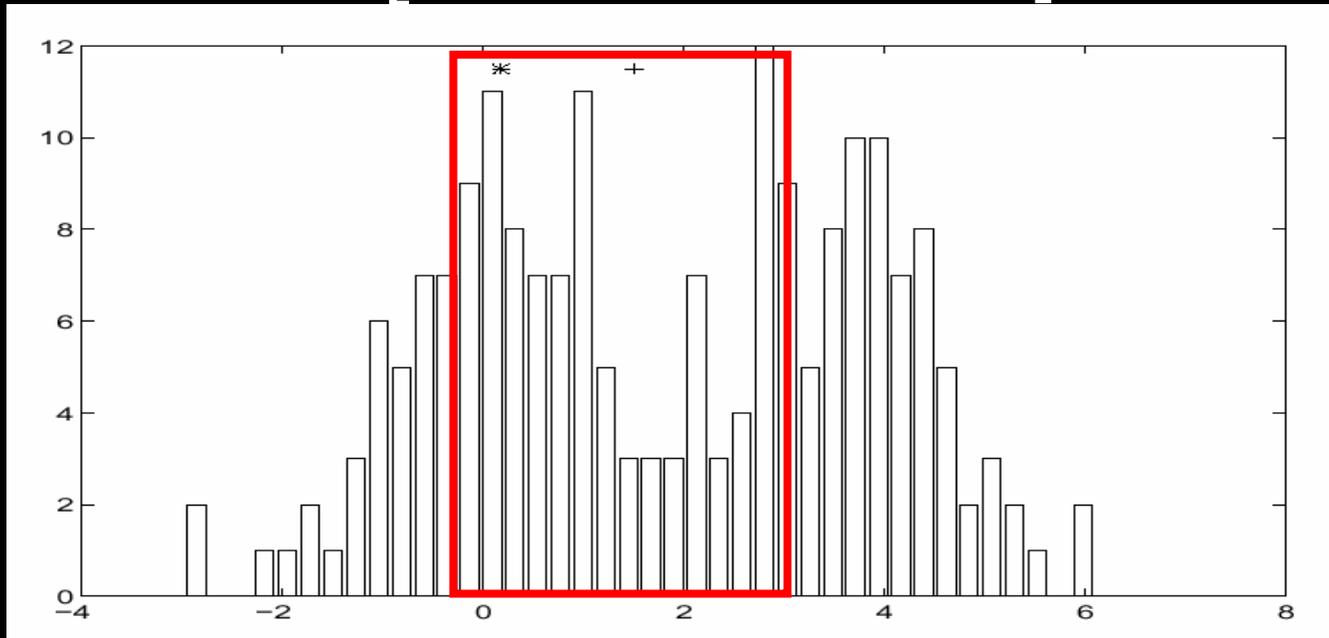
- Simple, like K-means
- But you don't have to select K
- Statistical method
- Guaranteed to converge to a fixed number of clusters.

# Finding Modes in a Histogram



- How Many Modes Are There?
  - Easy to see, hard to compute

# Mean Shift [Comaniciu & Meer]



- Iterative Mode Search

1. Initialize random seed, and window  $W$

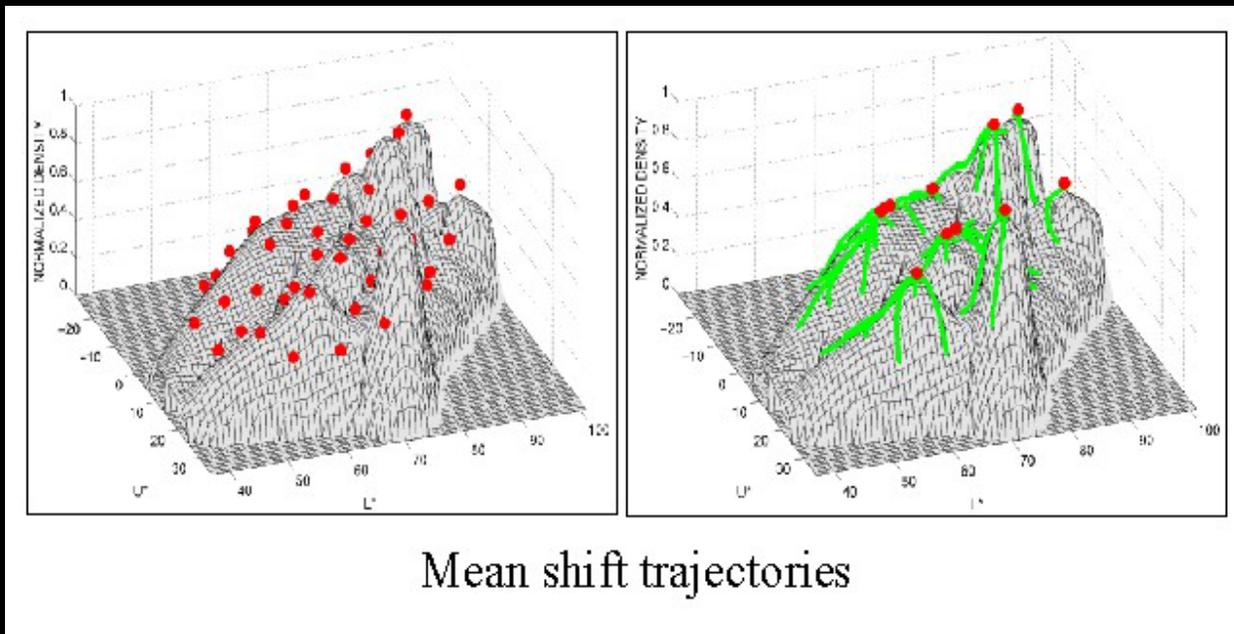
2. Calculate center of gravity (the "mean") of  $W$ :  $\sum_{x \in W} xH(x)$

3. Translate the search window to the mean

4. Repeat Step 2 until convergence

# Mean Shift Approach

- Initialize a window around each point
- See where it shifts—this determines which segment it's in
- Multiple points will shift to the same segment

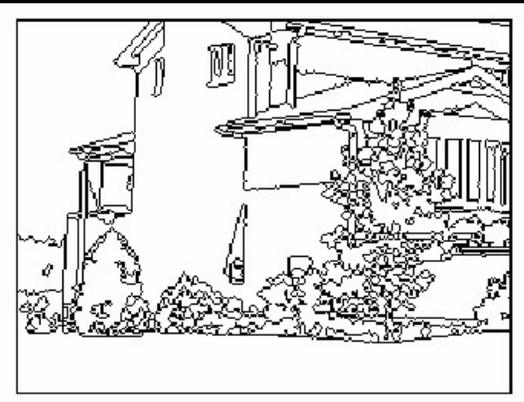


# Segmentation Algorithm

- First run the mean shift procedure for each data point  $x$  and store its convergence point  $z$ .
- Link together all the  $z$ 's that are closer than  $.5$  from each other to form clusters
- Assign each point to its cluster
- Eliminate small regions

# Mean-shift for image segmentation

- Useful to take into account spatial information
  - instead of (R, G, B), run in (R, G, B, x, y) space
  - D. Comaniciu, P. Meer, Mean shift analysis and applications, *7th International Conference on Computer Vision*, Kerkyra, Greece, September 1999, 1197-1203.
    - <http://www.caip.rutgers.edu/riul/research/papers/pdf/spatmsft.pdf>



More Examples: [http://www.caip.rutgers.edu/~comanici/segm\\_images.html](http://www.caip.rutgers.edu/~comanici/segm_images.html)

# References

- Shi and Malik, "[Normalized Cuts and Image Segmentation](#)," Proc. CVPR 1997.
- Carson, Belongie, Greenspan and Malik, "[Blobworld: Image Segmentation Using Expectation-Maximization and its Application to Image Querying](#)," IEEE PAMI, Vol 24, No. 8, Aug. 2002.
- Comaniciu and Meer, "[Mean shift analysis and applications](#)," Proc. *ICCV* 1999.