

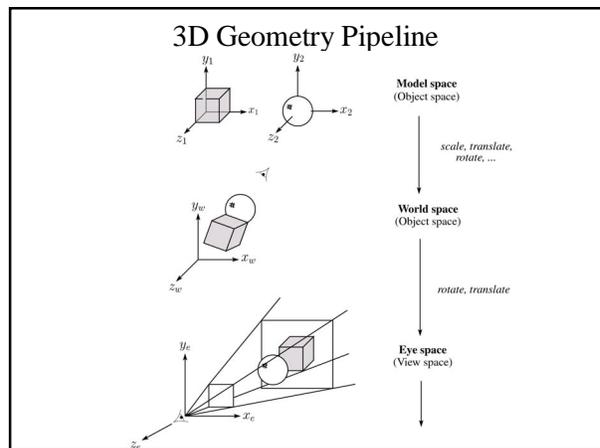
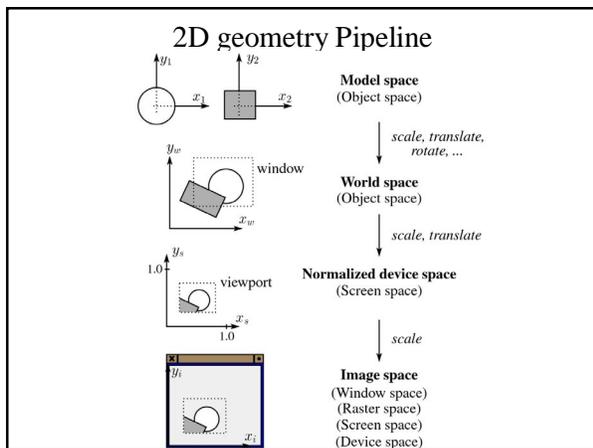
## Affine Transformations

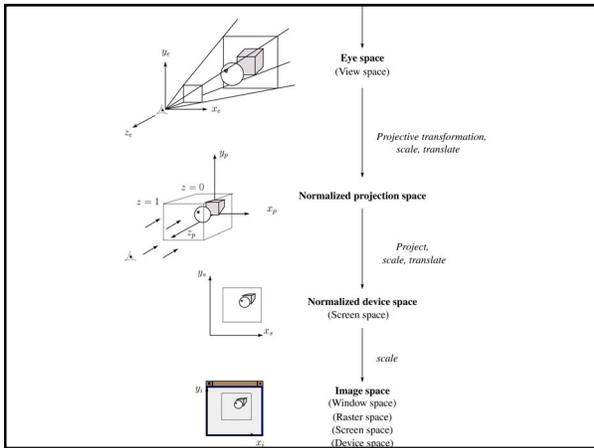
## Reading

- Foley et al., Chapter 5.6 and Chapter 6

### Supplemental

- David F. Rogers and J. Alan Adams, *Mathematical Elements for Computer Graphics, Second edition*





### Affine Geometry

- Points: location in 3D space
- Vectors: quantity with a direction and magnitude, but no fixed position
- Scalar: a real number

### Affine Spaces

Affine space consists of points and vectors related by a set of axioms:

- Difference of two points is a vector:
- Head-to-tail rule for vector addition:

### Affine Operations

Legal affine operations:

- vector + vector → vector
- scalar · vector → vector
- point - point → vector
- point + vector → point

... example of an “illegal” operation:

- point + point → nonsense

Useful combination of affine operations:

$$P(\alpha) = P_0 + \alpha v$$

What is it?

### Affine Combination

Affine combination of two points:

$$Q = \alpha_1 Q_1 + \alpha_2 Q_2$$

where  $\alpha_1 + \alpha_2 = 1$  is defined to be the point

$$Q = Q_1 + \alpha_1(Q_2 - Q_1)$$

We can generalize affine combination to multiple points:

$$Q = \alpha_1 Q_1 + \alpha_2 Q_2 + \dots + \alpha_n Q_n$$

where

$$\sum \alpha_i = 1$$

### Affine Frame

A frame can be defined as a set of vectors and a point:

$$(\mathbf{v}_1, \dots, \mathbf{v}_n, \mathbf{O})$$

Where  $\mathbf{v}_1, \dots, \mathbf{v}_n$  form a basis and  $\mathbf{O}$  is a point in space.

Any point  $P$  can be written as

$$P = p_1 \mathbf{v}_1 + \dots + p_n \mathbf{v}_n + \mathbf{O}$$

And any vector as:

$$\mathbf{u} = u_1 \mathbf{v}_1 + \dots + u_n \mathbf{v}_n$$

### Matrix representation of points and vectors

Coordinate axiom:  $\mathbf{O} \cdot P = \mathbf{0}$   
 $\cdot P = P$

So every point in the frame  $F = (\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n, \mathbf{O})$  can be written as

$$P = p_1 \mathbf{v}_1 + p_2 \mathbf{v}_2 + \dots + p_n \mathbf{v}_n + 1 \cdot \mathbf{O}$$

$$= [\mathbf{v}_1 \quad \mathbf{v}_2 \quad \dots \quad \mathbf{v}_n \quad \mathbf{O}] \begin{bmatrix} p_1 \\ p_2 \\ \dots \\ p_n \\ 1 \end{bmatrix}$$

And every vector as

$$\mathbf{u} = u_1 \mathbf{v}_1 + u_2 \mathbf{v}_2 + \dots + u_n \mathbf{v}_n + 0 \cdot \mathbf{O}$$

$$= [\mathbf{v}_1 \quad \mathbf{v}_2 \quad \dots \quad \mathbf{v}_n \quad \mathbf{O}] \begin{bmatrix} u_1 \\ u_2 \\ \dots \\ u_n \\ 0 \end{bmatrix}$$

### Changing frames

Given a point  $P$  in frame  $\Phi$ , what are the coordinates of  $P$  in frame  $\Phi' = (\mathbf{v}'_1, \mathbf{v}'_2, \dots, \mathbf{v}'_n, \mathbf{O}')$

$$P = [\mathbf{v}_1 \quad \mathbf{v}_2 \quad \dots \quad \mathbf{v}_n \quad \mathbf{O}] \begin{bmatrix} p_1 \\ p_2 \\ \dots \\ p_n \\ 1 \end{bmatrix} = [\mathbf{v}'_1 \quad \mathbf{v}'_2 \quad \dots \quad \mathbf{v}'_n \quad \mathbf{O}'] \begin{bmatrix} p'_1 \\ p'_2 \\ \dots \\ p'_n \\ 1 \end{bmatrix}$$

Since each element of  $\Phi$  can be written in coordinates relative to  $\Phi'$

$$\mathbf{v}_i = f_{i1} \mathbf{v}'_1 + \dots + f_{in} \mathbf{v}'_n$$

$$\mathbf{O} = f_{n+1,1} \mathbf{v}'_1 + \dots + f_{n+1,n} \mathbf{v}'_n + \mathbf{O}'$$

## Changing frames cont'd

Written in a matrix form

$$[\mathbf{v}'_1 \ \mathbf{v}'_2 \ \dots \ \mathbf{v}'_n \ \mathbf{O}'] \begin{bmatrix} p'_1 \\ p'_2 \\ \dots \\ p'_n \\ 1 \end{bmatrix} = [\mathbf{v}'_1 \ \mathbf{v}'_2 \ \dots \ \mathbf{v}'_n \ \mathbf{O}'] \begin{bmatrix} f_{1,1} & \dots & f_{n,1} & f_{n+1,1} \\ \vdots & \ddots & \vdots & \vdots \\ f_{1,n} & & f_{n,n} & f_{n+1,n} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ \dots \\ p_n \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} p'_1 \\ p'_2 \\ \dots \\ p'_n \\ 1 \end{bmatrix} = \begin{bmatrix} f_{1,1} & \dots & f_{n,1} & f_{n+1,1} \\ \vdots & \ddots & \vdots & \vdots \\ f_{1,n} & & f_{n,n} & f_{n+1,n} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ \dots \\ p_n \\ 1 \end{bmatrix} = \mathbf{F} \begin{bmatrix} p_1 \\ p_2 \\ \dots \\ p_n \\ 1 \end{bmatrix}$$

## Euclidean and Cartesian spaces

A Euclidean space is an affine space with an inner product:

$$\langle u, v \rangle = u \cdot v = u^T v$$

A Cartesian space is a Euclidean space with a standard orthonormal frame. In 3D:  $(\mathbf{i}, \mathbf{j}, \mathbf{k}, \mathbf{O})$

$$\mathbf{e}_i \cdot \mathbf{e}_j = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

## Useful properties and operations in Cartesian spaces

Length:  $|\mathbf{v}| = \sqrt{\mathbf{v} \cdot \mathbf{v}}$

Distance between points:  $|P - Q|$

Angle between vectors:  $\cos^{-1} \left( \frac{\mathbf{u} \cdot \mathbf{v}}{|\mathbf{u}| \cdot |\mathbf{v}|} \right)$

Perpendicular (orthogonal):  $\mathbf{u} \cdot \mathbf{v} = 0$

Parallel:  $\frac{\mathbf{u} \cdot \mathbf{v}}{|\mathbf{u}| \cdot |\mathbf{v}|} = \pm 1$

Cross product (in 3D):  $\mathbf{u} \times \mathbf{v} = \mathbf{w}$

## Affine Transformations

$F: A \rightarrow B$  is an affine transformation if it preserves affine combinations:

$$F(\sum \alpha_i Q_i) = \sum \alpha_i F(Q_i)$$

Where  $\sum \alpha_i = 1$ . The same applies to vectors.

Affine coordinates are preserved:  $F(\mathbf{O} + \sum p_i \mathbf{v}_i) = F(\mathbf{O}) + \sum p_i F(\mathbf{v}_i)$

Lines map to lines:  $F(P_0 + \alpha \mathbf{v}) = F(P_0) + \alpha F(\mathbf{v})$

Parallelism is preserved:  $F(Q_0 + \beta \mathbf{v}) = F(Q_0) + \beta F(\mathbf{v})$

Ratios are preserved:  $Ratio(Q_1, Q_2, Q_3) = Ratio(F(Q_1), F(Q_2), F(Q_3))$

## 2D Affine Transformations

$P=[x,y,1]$

P is a column vector

$$P' = MP$$
$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

P is a row vector

$$P' = PM$$
$$[x' \ y' \ 1] = [x \ y \ 1] \begin{bmatrix} a & d & 0 \\ b & e & 0 \\ c & f & 1 \end{bmatrix}$$

## Identity

Doesn't move points at all

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

## Translation

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & c \\ 0 & 1 & f \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$x' = x + c$$

$$y' = y + f$$

## Scaling

Changing the diagonal elements performs scaling

$$\begin{bmatrix} a & 0 & 0 \\ 0 & f & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{array}{l} x' = ax \\ y' = fy \end{array}$$

If  $a=f$  scaling is uniform

What if  $a, f < 0$

$$\begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

## Shearing

What about the off-diagonal elements?

The matrix

$$\begin{bmatrix} 1 & 0 & 0 \\ d & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Gives

$$x' = x$$

$$y' = dx + y$$

## Effect on unit square

$$\begin{bmatrix} a & b & 0 \\ d & e & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 0 & a & a+b & b \\ 0 & d & d+e & e \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

- M can be determined just by knowing how corners [1,0,1] and [0,1,1] are mapped
- A and f give x- and y-scaling
- B and d give x- and y-shearing

## Rotation

- Rotation of points [1,0,1] and [0,1,1] by angle  $\alpha$  around the origin:

$$\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} \cos(\alpha) \\ \sin(\alpha) \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} -\sin(\alpha) \\ \cos(\alpha) \\ 1 \end{bmatrix}$$

## The Matrices

Identity (do nothing):  $\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Scale by  $s_x$  in the x and  $s_y$  in the y direction  
( $s_x < 0$  or  $s_y < 0$  is reflection):  $\begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Rotate by angle  $\theta$  (in radians):  $\begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Shear by amount a in the x direction:  $\begin{bmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Shear by amount b in the y direction:  $\begin{bmatrix} 1 & 0 & 0 \\ b & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Translate by the vector  $(t_x, t_y)$ :  $\begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix}$

### Transformation Composition

Applying transformations **F** to point **P** and transformation **G** to the result

$$P' = \mathbf{F}P$$
$$P'' = \mathbf{G}P'$$

Combining two transformations

$$P'' = \mathbf{G}(\mathbf{F}P)$$
$$= (\mathbf{GF})P$$

### Rotation around arbitrary point

### Reflection around arbitrary axis

### Properties of Transforms

- Compact representation
- Fast implementation
- Easy to invert
- Easy to compose

### 3D Scaling

- Some of the 3D transformations look just like their 2D counterparts. Scaling is such a case:

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

### 3D Translation

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

### 3D Rotation

Rotate about the x axis:  $\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

Rotate about the y axis:  $\begin{pmatrix} \cos \theta & 0 & -\sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ \sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

Rotate about the z axis:  $\begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

How can we rotate about an *arbitrary line*?

### 3D Shear

- Shear in 3D is also more complicated. Here's one example:

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & a & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$