#### Principles of Database Systems CSE 544

Lecture #2 SQL, Relational Algebra, Relational Calculus

#### Announcements

- Makeup:
  - Friday, March 30, 11-12:30, Room TBD
  - 1<sup>st</sup> Paper review due (Answering Queries Using Views, Sec.1-3)
- Regular lecture:
  - Monday, April 2<sup>nd</sup>, before class
  - 2<sup>nd</sup> Paper review due (What Goes UP, skip sections 5-7)
- Cancelled:
  - Lecture on Wednesday, April 4
- Subscribe to the mailing list!
  - If you haven't received yesterday's email, then you aren't subscribed yet
- Still waiting to register for the class?
  - Send me an email and I will register you

# Outline

• Finish SQL: NULLs, Grouping/aggregation

- Relational Calculus
- Relational Algebra

They are equivalent and why we care

# NULLS in SQL

- Whenever we don't have a value, we can put a NULL
- Can mean many things:
  - Value does not exists
  - Value exists but is unknown
  - Value not applicable
  - Etc.
- The schema specifies for each attribute if can be null (*nullable* attribute) or not

Person(name, age, height, weight)

height unknown

**INSERT INTO** Person VALUES('Joe',20,NULL,200)

Rules for computing with NULLs

- If x is NULL then 4\*(3-x)/7 is still NULL
- If x is 2 then x>5 is FALSE
- If x is NULL then x>5 is UNKNOWN
- If x is 10 then x>5 is TRUE

FALSE=0UNKNOWN=
$$0.5$$
TRUE=1

- C1 AND C2 = min(C1, C2)
- C1 OR C2 = max(C1, C2)
- NOT C1 = 1 C1

```
SELECT *
FROM Person
WHERE (age < 25) AND
(height > 6 OR weight > 190)
```

Rule in SQL: include only tuples that yield TRUE

E.g.

age=20

height=NULL

weight=200

#### **Unexpected behavior:**



#### Some Persons not included !

#### Can test for NULL explicitly: x IS NULL x IS NOT NULL

SELECT \* FROM Person WHERE age < 25 OR age >= 25 OR age IS NULL

#### Now all Person in included

### Detour into DB Research

Imielinski&Libski, Incomplete Databases, 1986

- Database = is in one of several states, or possible worlds
  - Number of possible worlds is exponential in size of db
- Query semantics = return the *certain answers*

Very influential paper:

Incomplete DBs used in probabilistic databases, what-if scenarios, data cleaning, data exchange

In SQL, NULLs are the simplest form of incomplete database:

- **Database** = a NULL takes independently any possible value
- Query semantics = not exactly certain answers (why?)

Product(<u>name</u>, category) Purchase(prodName, store)

# Outerjoins

An "inner join":

SELECT x.name, y.store FROM Product x, Purchase y WHERE x.name = y.prodName

Same as:

SELECT x.name, y.store FROM Product x JOIN Purchase y ON x.name = y.prodName

But Products that never sold will be lost !

Product(<u>name</u>, category) Purchase(prodName, store)

### Outerjoins

If we want the never-sold products, need a "left outer join":

SELECT x.name, y.store FROM Product x LEFT OUTER JOIN Purchase y ON x.name = y.prodName

#### Product(<u>name</u>, category) Purchase(prodName, store)

#### Product

name	category
Gizmo	gadget
Camera	Photo
OneClick	Photo

#### Purchase

prodName	store
Gizmo	Wiz
Camera	Ritz
Camera	Wiz

name	store
Gizmo	Wiz
Camera	Ritz
Camera	Wiz
OneClick	NULL

### **Outer Joins**

• Left outer join:

- Include the left tuple even if there's no match

• Right outer join:

- Include the right tuple even if there's no match

- Full outer join:
  - Include both left and right tuples even if there's no match

# Aggregations

Five basic aggregate operations in SQL

- count
- sum
- avg
- max
- min

Purchase(product, price, quantity)

# **Counting Duplicates**

COUNT applies to duplicates, unless otherwise stated:

SELECT	count(product)
FROM	Purchase
WHERE	price>3.99

Same as count(\*)

Except if some product is NULL

We probably want:

SELECTcount(DISTINCT product)FROMPurchaseWHEREprice>3.99

Purchase(product, price, quantity)

# Grouping and Aggregation

Find total quantities for all sales over \$1, by product.

SELECT	product, sum(quantity) AS TotalSales
FROM	Purchase
WHERE	price > 1
GROUP BY	product

product	price	quantity	
Bagel	3	20	
Bagel	1.50	20	
Banana	0.5	50	
Banana	2	10	
Banana	4	10	

What is the answer?

# Grouping and Aggregation

- 1. Compute the FROM and WHERE clauses.
- 2. Group by the attributes in the GROUP BY
- 3. Compute the **SELECT** clause: group attrs and aggregates.

#### 1&2. FROM-WHERE-GROUPBY

Product	Price	Quantity
Bagel	3	20
Bagel	1.50	20
Banana	0.5	50
Banana	2	10
Banana	4	10

SELECTproduct, sum(quantity) AS TotalSalesFROMPurchaseWHEREprice > 1GROUP BYproduct

### 3. SELECT



SELECTproduct, sum(quantity) AS TotalSalesFROMPurchaseWHEREprice > 1GROUP BYproduct

# **Ordering Results**

SELECT product, sum(quantity) as TotalSales FROM purchase GROUP BY product ORDER BY TotalSales DESC LIMIT 20 -- postgres onl

SELECT product, sum(quantity) as TotalSales FROM purchase GROUP BY product ORDER BY sum(quantity) DESC LIMIT 20 -- postgres only

Equivalent, but not all systems accept both syntax forms

### **HAVING Clause**

Same query as earlier, except that we consider only products that had at least 30 sales.

SELECT	product, sum(quantity)
FROM	Purchase
WHERE	price > 1
GROUP BY product	
HAVING	count(*) > 30

HAVING clause contains conditions on aggregates.

### WHERE vs HAVING

- WHERE condition: applied to individual rows
  - Determine which rows contributed to the aggregate
  - All attributes are allowed
  - No aggregates functions allowed
- HAVING condition: applied to the entire group
  - Entire group is returned, or not al all
  - Only group attributes allowed
  - Aggregate functions allowed

#### General form of Grouping and Aggregation

SELECT	S
FROM	R1,,Rn
WHERE	C1
GROUP BY	a1,,ak
HAVING	C2

S = may contain attributes a<sub>1</sub>,...,a<sub>k</sub> and/or any aggregates but NO OTHER ATTRIBUTES
C1 = is any condition on the attributes in R<sub>1</sub>,...,R<sub>n</sub>
C2 = is any condition on aggregate expressions and on attributes a<sub>1</sub>,...,a<sub>k</sub>

Why?

#### Semantics of SQL With Group-By

SELECT	S
FROM	R1,,Rn
WHERE	C1
<b>GROUP BY</b>	a1,,ak
HAVING	C2

Evaluation steps:

- 1. Evaluate FROM-WHERE using Nested Loop Semantics
- 2. Group by the attributes  $a_1, \ldots, a_k$
- 3. Apply condition C2 to each group (may have aggregates)
- 4. Compute aggregates in S and return the result

#### Purchase(product, price, quantity) Product(pname, manufacturer) Empty Groups

- In the result of a group by query, there is one row per group in the result
- A group can never be empty!
- In particular, count(\*) is never 0

SELECT x.manufacturer, count(\*) FROM Product x, Purchase y WHERE x.pname = y.product GROUP BY x.manufacturer What if there are no purchases for a manufacturer

# **Empty Group Problem**

Purchase(product, price, quantity) Product(<u>pname</u>, manufacturer)

SELECT x.manufacturer, count(\*) FROM Product x, Purchase y WHERE x.pname = y.product GROUP BY x.manufacturer



### **Empty Group Solution: Outer Join**

Purchase(product, price, quantity) Product(<u>pname</u>, manufacturer)

SELECT x.manufacturer, count(y.product) FROM Product x LEFT OUTER JOIN Purchase y ON x.pname = y.product GROUP BY x.manufacturer

### **Relational Query Languages**

- 1. Relational Algebra
- 2. Recursion-free datalog with negation
   This is the core of SQL, cleaned up
- 3. Relational Calculus

These three formalisms express the same class of queries

Actor(id, fname, Iname) Casts(pid, mid) Movie(id, name, year) Running Example

Find all actors who acted both in 1910 and in 1940:

Q: SELECT DISTINCT a.fname, a.lname FROM Actor a, Casts c1, Movie m1, Casts c2, Movie m2 WHERE a.id = c1.pid AND c1.mid = m1.id AND a.id = c2.pid AND c2.mid = m2.id AND m1.year = 1910 AND m2.year = 1940; Actor(id, fname, Iname) Casts(pid, mid) Movie(id, name, year)

# **Two Perspectives**

- Named Perspective: Actor(id, fname, Iname) Casts(pid,mid) Movie(id,name,year)
- Unnamed Perspective: Actor = arity 3 Casts = arity 2 Movie = arity 3

### 1. Relational Algebra

Used internally by RDBMs to execute queries

#### The Basic Five operators:

- Union: ∪
- Difference: -
- Selection: σ
- Projection: Π
- Join: 🖂

Renaming: p (for named perspective)

Actor(id, fname, Iname) Casts(pid, mid) Movie(id, name, year)

# 1. Relational Algebra (Details)

- Selection: returns tuples that satisfy condition
  - Named perspective:  $\sigma_{year = '1910'}$  (Movie)
  - Unnamed perspective:  $\sigma_{3 = 1910}$ , (Movie)
- Projection: returns only some attributes
  - Named perspective:  $\Pi_{\text{fname,Iname}}(\text{Actor})$
  - Unnamed perspective:  $\Pi_{2,3}(Actor)$
- Join: joins two tables on a condition
  - Named perspective: Casts  $\bowtie_{mid=id}$  Movie
  - Unnamed perspectivie: Casts ⋈<sub>2=1</sub> Movie

#### Actor(id, fname, Iname) Casts(pid, mid) Movie(id, name, year) . Relational Algebra



#### Actor(id, fname, Iname) Casts(pid, mid) Movie(id, name, year) **1.** Relational Algebra



# 2. Datalog

- Very friendly notation for queries
- Designed for <u>recursive</u> queries in the 80s
- Today: a couple of commercial products, e.g. LogicBlox

- In class
  - recursion-free datalog with negation (next)
  - <u>recursive datalog</u>, (in the "Theory" part)

# 2. Datalog

How to try out datalog quickly:

- Download DLV from <u>http://www.dbai.tuwien.ac.at/proj/dlv/</u>
- Run DLV on this file:

parent(william, john). parent(john, james). parent(james, bill). parent(sue, bill). parent(james, carol). parent(sue, carol). male(john). male(james). female(sue). male(bill). female(carol). grandparent(X, Y) :- parent(X, Z), parent(Z, Y). father(X, Y) :- parent(X, Y), male(X). mother(X, Y) :- parent(X, Y), female(X). brother(X, Y) :- parent(P, X), parent(P, Y), male(X), X != Y. sister(X, Y) :- parent(P, X), parent(P, Y), female(X), X != Y. Actor(id, fname, Iname) Casts(pid, mid) Movie(id, new y Datalog: Facts and Rules

Facts = tuples in the database

Actor(344759, 'Douglas', 'Fowley'). Casts(344759, 29851). Casts(355713, 29000). Movie(7909, 'A Night in Armour', 1910). Movie(29000, 'Arizona', 1940). Movie(29445, 'Ave Maria', 1940). Rules = queries

Q1(y) :- Movie(x,y,z), z='1940'.

Find Movies made in 1940

Actor(id, fname, Iname) Casts(pid, mid) Movie(id, new y Datalog: Facts and Rules

Facts = tuples in the database

Actor(344759, 'Douglas', 'Fowley'). Casts(344759, 29851). Casts(355713, 29000). Movie(7909, 'A Night in Armour', 1910). Movie(29000, 'Arizona', 1940). Movie(29445, 'Ave Maria', 1940). Rules = queries

Q1(y) :- Movie(x,y,z), z='1940'.

Q2(f, l) :- Actor(z,f,l), Casts(z,x), Movie(x,y,'1940').

#### Find Actors who acted in Movies made in 1940

#### Actor(id, fname, Iname) Casts(pid, mid) Movie(id, new, y Datalog: Facts and Rules

Facts = tuples in the database

Actor(344759, 'Douglas', 'Fowley'). Casts(344759, 29851). Casts(355713, 29000). Movie(7909, 'A Night in Armour', 1910).

Movie(29000, 'Arizona', 1940).

Movie(29445, 'Ave Maria', 1940).

Rules = queries

Q1(y) :- Movie(x,y,z), z='1940'.

Q2(f, l) :- Actor(z,f,l), Casts(z,x), Movie(x,y,'1940').

Q3(f,I) :- Actor(z,f,I), Casts(z,x1), Movie(x1,y1,1910), Casts(z,x2), Movie(x2,y2,1940)

Find Actors who acted in a Movie in 1940 and in one in 1910

#### Actor(id, fname, Iname) Casts(pid, mid) Movie(id, none, y Datalog: Facts and Rules

Facts = tuples in the database

Actor(344759, 'Douglas', 'Fowley'). Casts(344759, 29851). Casts(355713, 29000). Movie(7909, 'A Night in Armour', 1910). Movie(29000, 'Arizona', 1940). Movie(29445, 'Ave Maria', 1940). Rules = queries

Q1(y) :- Movie(x,y,z), z='1940'.

Q2(f, l) :- Actor(z,f,l), Casts(z,x), Movie(x,y,'1940').

Q3(f,I) :- Actor(z,f,I), Casts(z,x1), Movie(x1,y1,1910), Casts(z,x2), Movie(x2,y2,1940)

Extensional Database Predicates = EDB = Actor, Casts, Movie Intensional Database Predicates = IDB = Q1, Q2, Q3





Actor(id, fname, Iname) Casts(pid, mid) Movie(id, name, year) 2. Datalog program

Find all actors with Bacon number  $\leq 2$ 

B0(x) := Actor(x, 'Kevin', 'Bacon') B1(x) := Actor(x, f, I), Casts(x, z), Casts(y, z), B0(y) B2(x) := Actor(x, f, I), Casts(x, z), Casts(y, z), B1(y) Q4(x) := B1(x)Q4(x) := B2(x)

#### Note: Q4 is the *union* of B1 and B2

Actor(id, fname, Iname) Casts(pid, mid) Movie(id, name, 2 Datalog with negation

Find all actors with Bacon number  $\geq 2$ 

 $\begin{array}{l} \mathsf{B0}(x) \coloneqq \mathsf{Actor}(x,\mathsf{'Kevin'},\,\mathsf{'Bacon'})\\ \mathsf{B1}(x) \coloneqq \mathsf{Actor}(x,\mathsf{f},\mathsf{I}),\,\mathsf{Casts}(x,z),\,\mathsf{Casts}(y,z),\,\mathsf{B0}(y)\\ \mathsf{Q6}(x) \coloneqq \mathsf{Actor}(x,\mathsf{f},\mathsf{I}),\, \underset{}{\mathsf{not}}\,\mathsf{B1}(x),\, \underset{}{\mathsf{not}}\,\mathsf{B0}(x) \end{array}$ 



Here are <u>unsafe</u> datalog rules. What's "unsafe" about them ?

U1(x,y) :- Movie(x,z,1994), y>1910

U2(x) :- Movie(x,z,1994), not Casts(u,x)

A datalog rule is <u>safe</u> if every variable appears in some positive relational atom

# 2. Datalog v.s. SQL

 Non-recursive datalog with negation is a cleaned-up, core of SQL

 You should be able to translate easily between non-recursive datalog with negation and SQL

### 3. Relational Calculus

- Predicate calculus, or first order logic
- The most expressive formalism for queries: easy to write complex queries
- TRC = Tuple RC = named perspective
- DRC = Domain RC = unnamed perspective



Predicate P:

$$P ::= atom | P \land P | P \lor P | P \Rightarrow P | not(P) | \forall x.P | \exists x.P$$

Query Q:

Example: find the first/last names of actors who acted in 1940

 $Q(f,I) = \exists x. \exists y. \exists z. (Actor(z,f,I) \land Casts(z,x) \land Movie(x,y,1940))$ 

What does this query return ?

 $Q(f,I) = \exists z. (Actor(z,f,I) \land \forall x.(Casts(z,x) \Rightarrow \exists y.Movie(x,y,1940)))$ 

Likes(drinker, beer) Frequents(drinker, bar) Serves(bar, beer)

Find drinkers that frequent <u>some</u> bar that serves <u>some</u> beer they like.

 $Q(x) = \exists y. \exists z. Frequents(x, y) \land Serves(y,z) \land Likes(x,z)$ 

Likes(drinker, beer) Frequents(drinker, bar) Serves(bar, beer)

Find drinkers that frequent some bar that serves some beer they like.

 $Q(x) = \exists y. \exists z. Frequents(x, y) \land Serves(y,z) \land Likes(x,z)$ 

Find drinkers that frequent only bars that serves some beer they like.

 $Q(x) = \forall y. Frequents(x, y) \Rightarrow (\exists z. Serves(y,z) \land Likes(x,z))$ 

Likes(drinker, beer) Frequents(drinker, bar) Serves(bar, beer)

Find drinkers that frequent <u>some</u> bar that serves <u>some</u> beer they like.

 $Q(x) = \exists y. \exists z. Frequents(x, y) \land Serves(y,z) \land Likes(x,z)$ 

Find drinkers that frequent only bars that serves some beer they like.

 $Q(x) = \forall y. Frequents(x, y) \Rightarrow (\exists z. Serves(y,z) \land Likes(x,z))$ 

Find drinkers that frequent some bar that serves only beers they like.

 $Q(x) = \exists y. Frequents(x, y) \land \forall z.(Serves(y,z) \Rightarrow Likes(x,z))$ 

Likes(drinker, beer) Frequents(drinker, bar) Serves(bar, beer)

Find drinkers that frequent some bar that serves some beer they like.

 $Q(x) = \exists y. \exists z. Frequents(x, y) \land Serves(y,z) \land Likes(x,z)$ 

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Find drinkers that frequent only bars that serves only beer they like.

 $Q(x) = \forall y. Frequents(x, y) \Rightarrow \forall z.(Serves(y,z) \Rightarrow Likes(x,z))$ 

# 3. Domain Independent Relational Calculus

- As in datalog, one can write "unsafe" RC queries; they are also called <u>domain</u> <u>dependent</u>
- Checking whether a query is safe is undecidable. ☺

 Lesson: make sure your RC queries are domain independent

### 3. Relational Calculus

How to write a complex SQL query:

- Write it in RC
- Translate RC to datalog (see next)
- Translate datalog to SQL

Take shortcuts when you know what you're doing

#### 3. From RC to Non-recursive Likes(drinker, beer) Frequents(drinker, bar) Datalog w/ negation Serves(bar, beer)

Query: Find drinkers that like some beer so much that they frequent all bars that serve it

 $Q(x) = \exists y. Likes(x, y) \land \forall z. (Serves(z, y) \Rightarrow Frequents(x, z))$ 

#### 3. From RC to Non-recursive Likes(drinker, beer) Frequents(drinker, bar) Datalog w/ negation Serves(bar, beer)

Query: Find drinkers that like some beer so much that they frequent all bars that serve it

 $Q(x) = \exists y. Likes(x, y) \land \forall z.(Serves(z, y) \Rightarrow Frequents(x, z))$ 

Step 1: Replace ∀ with ∃ using de Morgan's Laws

 $Q(x) = \exists y. \ Likes(x, y) \land \neg \exists z.(Serves(z,y) \land \neg Frequents(x,z))$ 

#### 3. From RC to Non-recursive Likes(drinker, beer) Frequents(drinker, bar) Datalog w/ negation Serves(bar, beer)

Query: Find drinkers that like some beer so much that they frequent all bars that serve it

 $Q(x) = \exists y. Likes(x, y) \land \forall z.(Serves(z, y) \Rightarrow Frequents(x, z))$ 

Step 1: Replace ∀ with ∃ using de Morgan's Laws

 $Q(x) = \exists y. Likes(x, y) \land \neg \exists z.(Serves(z,y) \land \neg Frequents(x,z))$ 

#### Step 2: Make all subqueries domain independent

 $Q(x) = \exists y. Likes(x, y) \land \neg \exists z.(Likes(x, y) \land Serves(z, y) \land \neg Frequents(x, z))$ 

#### 3. From RC to Non-recursive Datalog w/ negation



Step 3: Create a datalog rule for each subexpression; (shortcut: only for "important" subexpressions)

H(x,y) :- Likes(x,y),Serves(y,z), not Frequents(x,z) Q(x) :- Likes(x,y), not H(x,y)

Likes(drinker, beer) Frequents(drinker, bar) Serves(bar, beer)

#### 3. From RC to Non-recursive Datalog w/ negation

H(x,y) :- Likes(x,y),Serves(y,z), not Frequents(x,z) Q(x) :- Likes(x,y), not H(x,y)

#### Step 4: Write it in SQL

SELECT DISTINCT L.drinker FROM Likes L WHERE not exists (SELECT \* FROM Likes L2, Serves S WHERE L2.drinker=L.drinker and L2.beer=L.beer and L2.beer=S.beer and not exists (SELECT \* FROM Frequents F WHERE F.drinker=L2.drinker and F.bar=S.bar))

Likes(drinker, beer) Frequents(drinker, bar) Serves(bar, beer)

#### 3. From RC to Non-recursive Datalog w/ negation

```
H(x,y) :- Likes(x,y), Serves(y,z), not Frequents(x,z)
```

:- Likes(x,y), not H(x,y)

Q(x)

Unsafe rule

#### Improve the SQL query by using an unsafe datalog rule

SELECT DISTINCT L.drinker FROM Likes L WHERE not exists (SELECT \* FROM Serves S WHERE L.beer=S.beer and not exists (SELECT \* FROM Frequents F WHERE F.drinker=L.drinker and F.bar=S.bar))

Likes(drinker, beer) Frequents(drinker, bar) Serves(bar, beer)

### Summary of Translation

- RC → recursion-free datalog w/ negation
   Subtle: as we saw; more details in the paper
- Recursion-free datalog w/ negation  $\rightarrow$  RA
- $RA \rightarrow RC$

<u>Theorem</u>: RA, non-recursive datalog w/ negation, and RC, express exactly the same sets of queries: RELATIONAL QUERIES