

Instructions: Same as for Problem set 1. There are **Six** Problems, each worth 10 points.

1. We defined Σ_2^P to be the class of languages decided by a polynomial time alternating Turing machine that has an existential quantifier followed by a universal quantifier. In other words, $L \in \Sigma_2^P$ iff there exists a 3-ary relation $R(x, y, z)$ decidable in time polynomial in $|x|$ such that

$$x \in L \Leftrightarrow \exists y \forall z [R(x, y, z) = 1] .$$

Prove that Σ_2^P thus defined equals NP^{SAT} . (That is, prove the equivalence of the oracle and alternating views of Σ_2^P , which we claimed in class without proof.)

2. The Vapnik-Chervonenkis (VC) dimension is an important concept in machine learning. If $\mathcal{F} = \{S_1, \dots, S_m\}$ is a family of subsets of a finite set U , the *VC dimension* of \mathcal{F} , denoted $VC(\mathcal{F})$, is the size of the largest set $A \subseteq U$ such that for every $A' \subseteq A$, there is an i for which $S_i \cap A = A'$. (One says that A is *shattered* by \mathcal{F} .)

A boolean circuit C with two inputs $i \in \{0, 1\}^r$ and $x \in \{0, 1\}^n$ succinctly represents a collection $\mathcal{F} = \{S_1, S_2, \dots, S_{2^r}\}$ over universe $U = \{0, 1\}^n$ if $S_i = \{x \in U \mid C(i, x) = 1\}$. Define the language

$$\text{VCDIM} = \{\langle C, k \rangle \mid C \text{ represents a collection } \mathcal{F} \text{ s.t. } VC(\mathcal{F}) \geq k\} .$$

Prove that $\text{VCDIM} \in \Sigma_3^P$.

3. Prove that if $\text{NP} \subseteq \text{BPP}$ then $\text{NP} = \text{RP}$.
4. (a) Prove that $\text{SIZE}(n^{k+1}) \neq \text{SIZE}(n^k)$ for any $k \geq 1$. You may assume without proof (though it is not hard to prove) that for any fixed k , there are functions that are not computable by size $O(n^k)$ circuits. (Hint: Now among those functions, consider the function with least circuit complexity.)
- (b) Prove that for every fixed integer $k \geq 1$, $\text{PH} \not\subseteq \text{SIZE}(n^k)$.
- (c) Strengthen the above result to $\Sigma_2^P \cap \Pi_2^P \not\subseteq \text{SIZE}(n^k)$ for any $k \geq 1$. (Hint: Make use of the Karp-Lipton collapse.)
5. Prove that if $L \in \text{BPP}$ then there exists a 3-ary relation $R(x, y, z)$ that is decidable in time polynomial in $|x|$ with the following property:
- If $x \in L$, then $\exists y \forall z [R(x, y, z) = 1]$.
 - If $x \notin L$, then $\exists z \forall y [R(x, y, z) = 0]$.

In what way is this a stronger inclusion than $\text{BPP} \subseteq \Sigma_2^P$?

(Hint: Extend the approach behind Lauteman's proof.)

6. Prove that if square roots modulo a prime can be found in deterministic polynomial time, then one can find a quadratic non-residue modulo a given prime in deterministic polynomial time. (As mentioned in class, the converse is also true, though you don't have to show that for this exercise.)