

Today

- finish SDP rounding
3-coloring
- randomized online
bipartite matching

MAXCUT

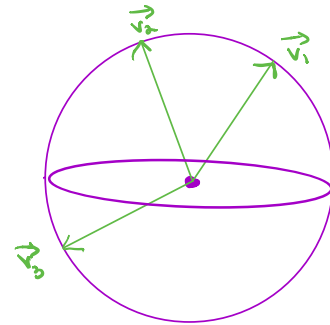
Input: $G=(V,E)$ $w_{ij} \quad \forall (i,j) \in E$

Goal: partition vertex set so as to max weight of endpoints crossing cut.

$$\max \frac{1}{2} \sum_{(i,j) \in E} w_{ij} (1 - y_i y_j)$$
$$y_i^2 = 1 \quad \forall i \in V$$

Vector programming relaxation

$$\max \frac{1}{2} \sum_{(i,j) \in E} w_{ij} (1 - \vec{v}_i \cdot \vec{v}_j)$$
$$\vec{v}_i \cdot \vec{v}_i = 1 \quad \forall i \in V$$
$$\vec{v}_i \in \mathbb{R}^n$$



SDP rounding

Intro to semi-definite programming

linear programming where vars are entries in psd matrix

Defn

If A is a symmetric n by n matrix then A is a positive semidefinite (psd) matrix $\equiv A \succeq 0$ if any of the following equivalent conditions hold

① $\forall \vec{c} \in \mathbb{R}^n, \vec{c}^T A \vec{c} \geq 0$

② A has nonnegative eigenvalues

③ $A = V^T V$ for some $m \times n$ matrix $V, m \geq n$

④ $A = \sum_{i=1}^n \lambda_i x_i x_i^T$ for some $\lambda_i \geq 0$ and orthonormal vectors $x_i \in \mathbb{R}^n$

Semidefinite program (SDP)

$$\max \text{ or } \min \sum_{i,j} c_{ij} x_{ij}$$

$$\text{subject to } \sum_{i,j} a_{ijk} x_{ij} = b_k$$

$$x_{ij} = x_{ji} \quad \forall i,j$$

$$X = (x_{ij}) \succeq 0$$

\equiv Vector program

$$\max \text{ or } \min \sum_{i,j} c_{ij} (v_i \cdot v_j)$$

$$\text{subject to } \sum_{i,j} a_{ijk} (v_i \cdot v_j) = b_k$$

$$v_i \in \mathbb{R}^n \quad i=1, \dots, n$$

$$\text{given } X \Rightarrow X = V^T V; \text{ set } v_i \text{ to be } i^{\text{th}} \text{ col of } V$$

Key fact:

SDPs can be solved to within additive error ϵ in time

$\text{poly}(\text{size of input}, \log(\frac{1}{\epsilon}))$

in our discussions, we ignore additive error ϵ

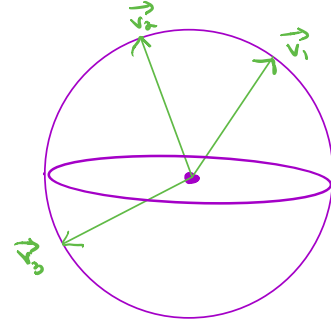
MAXCUT

Input: $G=(V,E)$ $w_{ij} \forall (i,j) \in E$

Goal: partition vertex set so as to max weight of edges crossing cut.

Vector programming relaxation

$$\begin{aligned} \max \quad & \frac{1}{2} \sum_{(i,j) \in E} w_{ij} (1 - \vec{v}_i \cdot \vec{v}_j) \\ \vec{v}_i \cdot \vec{v}_i &= 1 \quad \forall i \in V \\ \vec{v}_i &\in \mathbb{R}^n \end{aligned}$$



Can solve SDP in poly time.

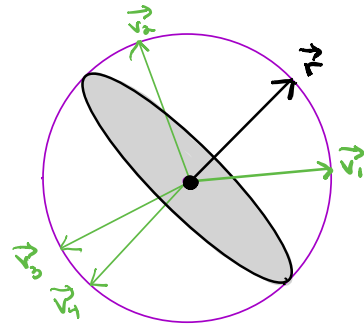
Claims $\text{MAXCUT OPT} \leq \text{SDP OPT}$

But how to round? get large contribution to OPT when $\vec{v}_i \cdot \vec{v}_j$ very -ve

Random hyperplane rounding

Solve SDP $\rightarrow v_1^*, v_2^*, \dots, v_n^*$
pick random hyperplane thru origin
partition vertices based on which side of hyperplane

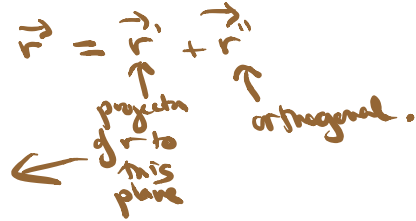
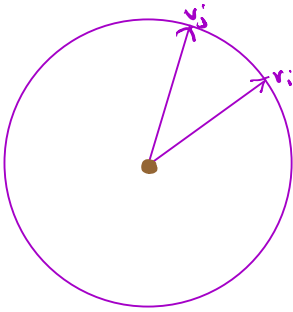
$$\begin{aligned} \text{Put } i \text{ into } S & \quad \text{if } v_i \cdot \vec{r} \geq 0 \\ i \text{ into } \bar{S} & \quad \text{if } v_i \cdot \vec{r} < 0 \end{aligned}$$



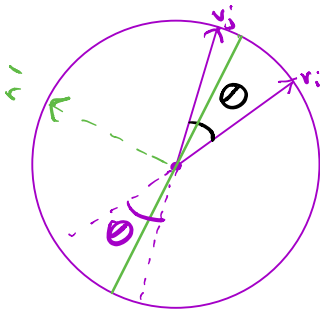
$$\Rightarrow (z_1, \dots, z_n) \quad z_i \sim N(0,1)$$

$$E(\text{weight of resulting cut } (S, \bar{S})) = \sum_{(i,j) \in E} w_{ij} \Pr((i,j) \text{ gets cut})$$

(i,j)



$\frac{\vec{r}'}{\|\vec{r}'\|}$ is uniformly dist'd around circle



$\Pr((i,j) \text{ gets cut})$

= $\Pr(\text{unif random diam cuts between them})$

$$\frac{2\theta}{2\pi} = \frac{\theta}{\pi} = \frac{\arccos(\vec{v}_i \cdot \vec{v}_j)}{\pi}$$

$$E(\text{weight of resulting cut } (S, \bar{S})) = \sum_{(i,j) \in E} w_{ij} \Pr((i,j) \text{ gets cut})$$

$$\forall x \quad -1 \leq x \leq 1$$

$$\frac{\arccos(x)}{\pi} \geq \alpha$$

$$\frac{1-x}{2}$$

$$= \sum_{(i,j) \in E} w_{ij} \frac{\arccos(\vec{v}_i \cdot \vec{v}_j)}{\pi} \geq \alpha \sum_{(i,j) \in E} w_{ij} \frac{(1 - \vec{v}_i \cdot \vec{v}_j)}{2}$$

Obj of SDP

$$\alpha = \min_{-1 \leq x \leq 1} \frac{\frac{1}{\pi} \arccos(x)}{\frac{1}{2}(1-x)} = 0.878 \dots$$

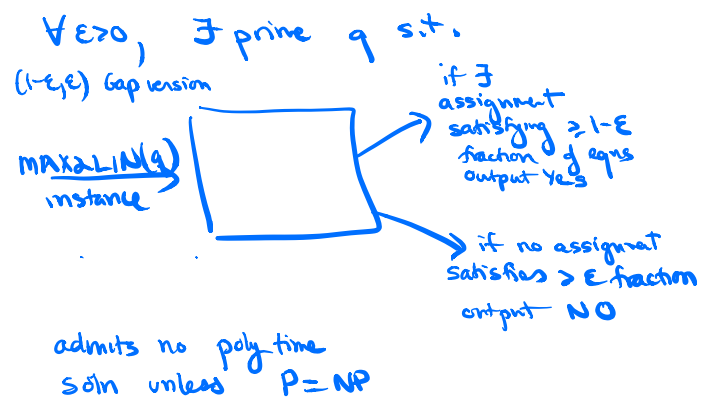
$$E(\text{wt of cut produced by alg}) \geq 0.878 \text{ OPT}_{\text{SDP}} \geq 0.878 \text{ OPT}$$

Hardness

① If \exists approx alg for MAXCUT with approx ratio ≥ 0.941 , then $P=NP$.

② If the "unique games conjecture" is true, there is no approx alg for MAXCUT with approx ratio better than 0.878....

Unique Games Conjecture



MAX2LIN(q)

q prime
input: linear equations mod q
w/ unknowns
 $x_1, \dots, x_n \in \{0, 1, \dots, q-1\}$
(form $x_i - x_j = c$)

$x_3 - x_{11} \equiv 87 \pmod{97}$
 $x_7 - x_{22} \equiv 3 \pmod{97}$
 \vdots
 $x_7 - x_{11} \equiv 56 \pmod{97}$

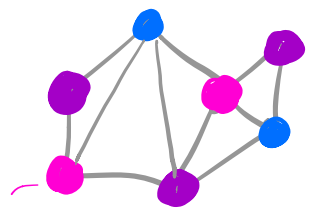
Problem: Find assignment of x_i 's that satisfies max possible # of eqns

③ Int gap of the [GW] SDP = 0.878...

③ Every poly sized LP relaxn of MAXCUT has integrality gap of $\frac{1}{2}$.

3-Coloring a 3-colorable graph

poly time alg
 \Rightarrow guarantees k colors
 $\forall G$ s.t. G is 3-color



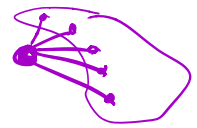
Given graph $G=(V,E)$
 & promise that it is 3-colorable

What is min k s.t. we can find a k -coloring of G in poly time?

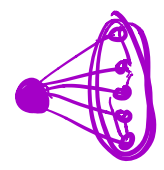
Simple results:

① A graph with max degree Δ can be colored with $\leq \Delta+1$ colors

Greedy



② A 3-colorable graph can be colored with $O(\sqrt{n})$ colors.



Find a vertex of $\text{deg} \geq \sqrt{n}$

Use 3 colors to color it & its neighbors (neighborhood 2-colorable)

Remove it & its neighbors from graph

by ① remaining graph can be colored w/ $O(\sqrt{n})$ colors.

$\leq \sqrt{n}$ nodes
 $3\sqrt{n}$ colors

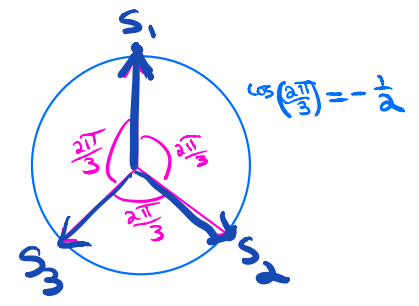
An SDP-based alg.

$$\begin{aligned} \min \quad & \lambda \\ \text{s.t.} \quad & \vec{v}_i \cdot \vec{v}_j \leq \lambda \quad \forall (i,j) \in E \\ & \vec{v}_i \cdot \vec{v}_i = 1 \quad \forall i \in V \\ & \vec{v}_i \in \mathbb{R}^n \end{aligned}$$

Claim:
 if graph is 3-colorable
 $\lambda \leq -\frac{1}{2}$

v_i vector corresponding to node i

$$\lambda = \max_{\substack{i,j \\ \text{s.t. } (i,j) \in E}} \cos(\text{angle bet } v_i \text{ & } v_j)$$



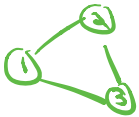
$$\begin{aligned} \min \quad & \lambda \\ \text{st.} \quad & \vec{v}_i \cdot \vec{v}_j \leq \lambda \quad \forall (i,j) \in E \\ & \vec{v}_i \cdot \vec{v}_i = 1 \quad \forall i \quad (*) \\ & \vec{v}_i \in \mathbb{R}^n \quad \forall i \end{aligned}$$

Claim:
if graph is 3-colorable
 $\lambda \leq -\frac{1}{2}$

Aside

If G has a triangle, then optimal soln to SDP has $\lambda^* \geq -\frac{1}{2}$

Proof: Suppose



$$0 \leq (\vec{v}_1 + \vec{v}_2 + \vec{v}_3, \vec{v}_1 + \vec{v}_2 + \vec{v}_3) = \underbrace{\vec{v}_1 \cdot \vec{v}_1 + \vec{v}_2 \cdot \vec{v}_2 + \vec{v}_3 \cdot \vec{v}_3}_{=3} + \underbrace{\vec{v}_1 \cdot \vec{v}_2 + \vec{v}_1 \cdot \vec{v}_3 + \vec{v}_2 \cdot \vec{v}_1 + \vec{v}_2 \cdot \vec{v}_3 + \vec{v}_3 \cdot \vec{v}_1 + \vec{v}_3 \cdot \vec{v}_2}_{\geq -3}$$

$$\begin{aligned} \forall G \\ \min \quad & \lambda \\ & \vec{v}_i \cdot \vec{v}_j \leq \lambda \quad \forall (i,j) \in E \\ & \vec{v}_i \cdot \vec{v}_i = 1 \quad \forall i \\ & \vec{v}_i \in \mathbb{R}^n \end{aligned}$$

Claim:

If G k -colorable $\lambda^* \leq -\frac{1}{k-1}$

If G has k -clique $\lambda^* \geq -\frac{1}{k-1}$

Defn $\Theta(G) = 1 - \frac{1}{\lambda^*}$

$$\begin{aligned} \lambda^*(G) &= -\frac{1}{k-1} \\ \Rightarrow \Theta(G) &= k \end{aligned}$$

called Lovasz Theta fn
(= k if G is k -colorable & has k -clique)

$$\text{clique \# of } G \leq \Theta(G) \leq \text{chromatic \# of } G$$

Back to coloring 3-colorable graphs

(*)
$$\begin{aligned} \min \quad & \lambda \\ \text{s.t.} \quad & v_i \cdot v_j \leq \lambda \\ & v_i \cdot v_i = 1 \\ & v_i \in \mathbb{R}^n \end{aligned}$$

$\forall (i,j) \in E$
 v_i

n vectors
 v_1^*, \dots, v_n^*

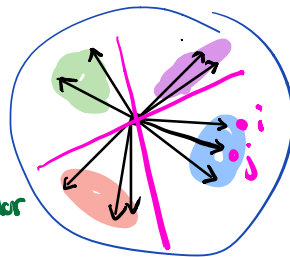
$V = \{v_1, \dots, v_n\}$

3-colorable
 $\Rightarrow \lambda^* \leq -\frac{1}{2}$

$\equiv \forall (i,j) \in E$
 $\angle \geq \frac{2\pi}{3}$
 angle between v_i & v_j

Algorithm

- ① Solve SDP (*) $\Rightarrow v_i^* \quad i=1, \dots, n$
- ② Choose t random hyperplanes thru origin
- ③ Color vertices in each region w/ diff color
- ④ remove any edges properly colored
- ⑤ Repeat steps 2-4 until have proper coloring
 with new colors



One execution of step ② uses 2^t colors.

Goal: produce semi-coloring w.p. $\geq \frac{1}{2}$ (**)

coloring of nodes s.t.
 $\leq \frac{n}{4}$ edges have same color at both
 endpoints
 \Rightarrow at least $\frac{n}{2}$ vertices properly colored.

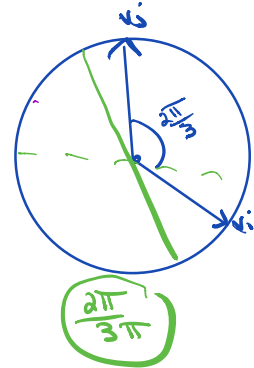
Observation: if k -colors sufficient to get semi-coloring,
 \Rightarrow graph can be properly colored with $O(k \log n)$ colors

What should t be to guarantee (**)?

Fix $(i,j) \in E$

$$\Pr(i \& j \text{ get same color}) \leq \left(1 - \frac{2\pi}{3\pi}\right)^t$$

$$= \frac{1}{3^t}$$



Let Δ be max deg graph.

$$\Rightarrow E(\# \text{ edges with same color}) \leq \frac{|E|}{3^t} \leq \frac{n\Delta}{2 \cdot 3^t} \approx \frac{n}{8}$$

$$3^t = 4\Delta \approx \lceil \log_3(4\Delta) \rceil$$

By Markov Ineq

$$\Pr(\# \text{ edges w/ same color} \geq \frac{n}{4}) \leq \frac{E(\# \text{ edges w/ same color})}{n/4} \leq \frac{1}{2}$$

$$\text{use } O(\log n \cdot 2^{\log_3(4\Delta)}) = O(\Delta^{\log_3 2})$$

$$\Delta = O(n)$$

$$O(n^{\log_3 2})$$

$$\Rightarrow O(n^{0.631})$$

Let Δ^* be a parameter

1. Pick a vertex of $\text{deg} \geq \Delta^*$ & 3-color it & neighbors
 2. Repeat step 1 until all vertices have degree $\leq \Delta^*$
 3. Run SDP-based alg to color rest
- # colors. $3 \cdot \frac{n}{\Delta^*}$
 $\tilde{O}(\Delta^{* \log_3 2})$ colors.

choose Δ^* to min $\frac{3n}{\Delta^*} + \Delta^{* \log_3 2}$

minimized when $\Delta^* = n^{\log_6 3}$

$\Rightarrow \tilde{O}(n^{0.39})$ colors.

Current best: $O(n^{0.199})$

NP-hard to color with 4 colors

Huge open problem: Is there an alg for 3-coloring a 3-colorable graph that uses $\text{poly} \log n$ colors?