

## Coloring

$G = (V, E)$  graph

graph is  $k$ -colorable if  $\exists$  assignment  $f: V \rightarrow [k]$

s.t.  $f(i) \neq f(j)$  if  $(i, j) \in E$

$\chi(G)$ :

chromatic # of  $G$ : smallest  $k$  s.t.  $G$   $k$ -colorable

## SDP rounding approach for $k$ -colorability

- need to divide vertices into  $k$  sets s.t. every edge cut

- approach: map vertices to unit vectors in  $\mathbb{R}^n$   
maximize distances between endpts of edges

- use randomized procedure to partition vertices into  $k$  sets

$$\min t$$

$$v_i \cdot v_j \leq t \quad \forall (i,j) \in E$$

$$v_i \cdot v_i = 1 \quad \forall i \in V$$

$$v_i \in \mathbb{R}^n \quad \forall i$$

relationship to  
colorability?  
not obviously  
a relaxation

### Lemma

Let  $t^*$  be optimal value of SDP

① If  $G$  is  $k$ -colorable, then  $t^* \leq -\frac{1}{k-1}$

② If  $G$  has a  $k$ -clique, then  $t^* \geq -\frac{1}{k-1}$

### Proof:

① Can explicitly construct  $k$  vectors  $w_1, \dots, w_k$  s.t.  $w_i \cdot w_j =$   
i.e. feasible soln

$$w_i \in \mathbb{R}^k \quad \begin{cases} -\frac{1}{k-1} & i \neq j \\ 1 & i = j \end{cases}$$

Construct by induction on  $k$

$k=2 \quad \checkmark$

$k \rightarrow k+1$

$w_1, \dots, w_k$   
satisfy IH

$$w_{k+1} = (0, \dots, 0, 1)$$

$$w_i = (\sqrt{1-\frac{1}{k^2}} w_i^1, -\frac{1}{k})$$

$$w_i \cdot w_i = \left(1 - \frac{1}{k^2}\right) w_i^1 \cdot w_i^1 + \frac{1}{k^2} = 1 \quad \checkmark$$

$$w_i \cdot w_j = \left(1 - \frac{1}{k^2}\right) w_i^1 \cdot w_j^1 + \frac{1}{k^2}$$

$$\leq \left(1 - \frac{1}{k^2}\right) \left(\frac{1}{k-1}\right) + \frac{1}{k^2}$$

$$= \frac{(k^2-1)}{k^2} \left(\frac{-1}{k-1}\right) + \frac{1}{k^2} = -\frac{k+1}{k^2} + \frac{1}{k^2} = -\frac{1}{k} \quad \checkmark$$

$$w_i \cdot w_k = -\frac{1}{k} \quad \checkmark$$

(2)  $i=1 \dots k$  is clique  $\Rightarrow \forall 1 \leq i < j \leq k \quad v_i \cdot v_j \leq \bar{t}^*$

claim

$$\frac{1}{k(k-1)} \sum_{1 \leq i, j \leq k} (v_i \cdot v_j) \geq -\frac{1}{k-1} \quad (*)$$

$$\Rightarrow \exists i, j \text{ s.t. } (v_i \cdot v_j) > -\frac{1}{k-1}$$

$\bar{a}$

$$\Rightarrow \bar{t}^* > -\frac{1}{k-1}$$

to prove (\*) observe

$$\left( \sum_{i=1}^k v_i, \sum_{i=1}^k v_i \right) = \sum_{i=1}^k (v_i, v_i) + k(k-1)\bar{a} = k + k(k-1)\bar{a} \geq 0 \quad \Rightarrow \bar{a} \geq -\frac{1}{k-1}$$

Define  $\Theta(G) = 1 - \frac{1}{\lambda^*}$  Lovasz Theta Fn

$$\lambda^* = -\frac{1}{k-1} \Rightarrow \Theta(G) = k \quad (\text{"graph is vector } k\text{-colorable"})$$

$$\underset{\substack{(\text{indep. \#}) \\ g/\bar{G}}}{\omega(G)} \leq \Theta(G) \leq \chi(G) \quad (\underset{\substack{\text{size of} \\ \text{clique cover of} \\ \bar{G}}}{\chi(\bar{G})})$$

clique #

size of largest clique.

chromatic #

A graph is perfect if  $\omega(G') = \chi(G')$

for all vertex-induced subgraphs

(possible to have poly separation)

Using this to color 3-colorable graph

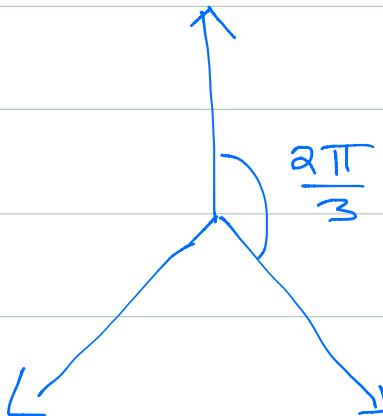
$\min \lambda$

$$v_i \cdot v_j \leq \lambda \quad \forall (i, j) \in E$$

$$\begin{aligned} v_i \cdot v_i &= 1 \quad \forall i \in V \\ v_i &\in \mathbb{R}^n \end{aligned}$$

3 colorable

$$\Rightarrow \lambda^* \leq -\frac{1}{2}$$



Will show how to find

coloring with  $\tilde{O}(n^{0.387})$  colors [Karger, Motwani, Sudan]

current best  $O(n^{0.211})$  [Arora, Chlamtac, Charikar]

Algorithm:

solve SDP

choose  $t = 2 + \log_3 \Delta$  random hyperplanes thru origin

$\Rightarrow$  partition vectors into  $2^t$  subsets

color vectors in each region w/ diff color

} repeat until properly colored



uses  $2^+ = 4 \cdot 2^{\log_3 \Delta} = 4 \Delta^{\frac{\log_2 \Delta}{\log_3 2}}$  colors

Claim:

produces semi-coloring w.p.  $\geq \frac{1}{2}$  (\*)

coloring of nodes s.t.

$\leq \frac{n}{4}$  edges have same color  
at both endpoints

$\Rightarrow$  at least  $\frac{n}{2}$  vertices properly colored.  
(edges between them)

$k$  colors sufficient to get semi coloring

$\Rightarrow$  graph can be properly colored w/  $O(k \log n)$  colors

Proof of (\*)

Fix  $(i, j) \in E$

$$\begin{aligned} \Pr(i \text{ & } j \text{ get same color}) &= \left(1 - \frac{\arccos(v_i \cdot v_j)}{\pi}\right)^+ \leq \left(1 - \frac{\arccos(\lambda^*)}{\pi}\right)^+ \\ &\leq \left(1 - \frac{\arccos(-\frac{1}{2})}{\pi}\right)^+ \\ &\leq \left(1 - \frac{1}{\pi} \frac{2\pi}{3}\right)^+ = \frac{1}{3}^+ \leq \frac{1}{9\Delta} \end{aligned}$$

$$\Rightarrow E(\# \text{ edges with same color}) \leq \frac{|E|}{9\Delta} \leq \frac{n\Delta}{2 \cdot 9\Delta} = \frac{n}{18}$$

$\Rightarrow$  By Markov Ineq  $\Pr\left(\frac{\# \text{edges w/ same color}}{\Delta} \geq \frac{n}{4}\right) \leq \frac{n/18}{n/4} < \frac{1}{2}$

$\Rightarrow$  w.p.  $\geq \frac{1}{2}$  get semicoloring using  $k = 4 \Delta^{\log_2 2}$  colors

$\Rightarrow$  get coloring using  $O(\log n \Delta^{\log_2 2})$  colors

if  $\Delta = n \Rightarrow \tilde{O}(n^{\log_3 2}) = \tilde{O}(n^{0.631})$  colors

()  $\exists$  simple alg that uses  $O(\sqrt{n})$  colors to color 3-colorable graph  
[Wigderson]

Uses 2 key facts:

- neighborhood of vertex 2-colorable
- graph w/ max degree  $\Delta$  can be colored w/  $\Delta+1$  colors

while  $\exists$  node of deg  $\geq \sqrt{n}$ , find it & 2-color its neighborhood using fresh colors

can do this  $\leq \sqrt{n}$  times

at end max degree  $< \sqrt{n}$

color using  $\sqrt{n}$  colors additional

Can use this to improve previous alg.

Let  $\Delta^*$  be parameter

1. Pick a vertex of  $\deg \geq \Delta^*$  & 3-color it & neighbors }  $\leq 3 \frac{n}{\Delta^*}$  colors
2. Repeat step 1 until all vertices have  $\deg \leq \Delta^*$
3. Run SDP based coloring alg to color rest }  $\tilde{O}(\Delta^{*\log_{3^2}})$  colors

choose  $\Delta^*$  to minimize  $\frac{3n}{\Delta^*} + (\Delta^*)^{\log_{3^2}}$

$$\Rightarrow \Delta^* = n^{1/63} \Rightarrow \tilde{O}(n^{0.39})$$

Wide open

Is there an alg for 3-coloring, that uses  $\text{polylog } n$  colors?

a 3-colorable graph