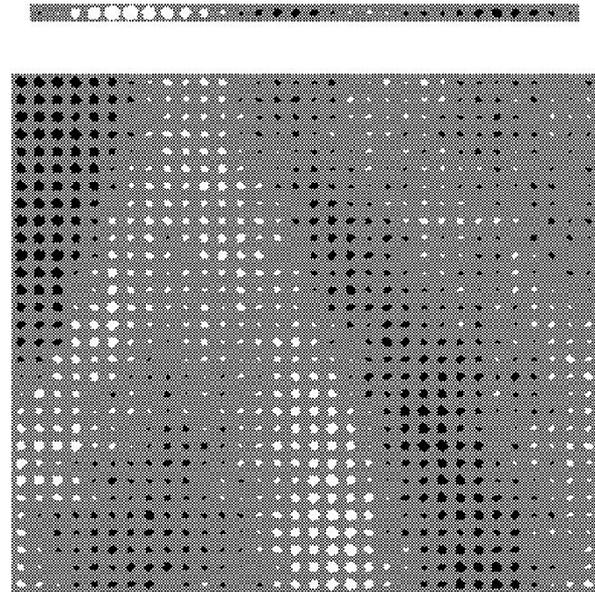
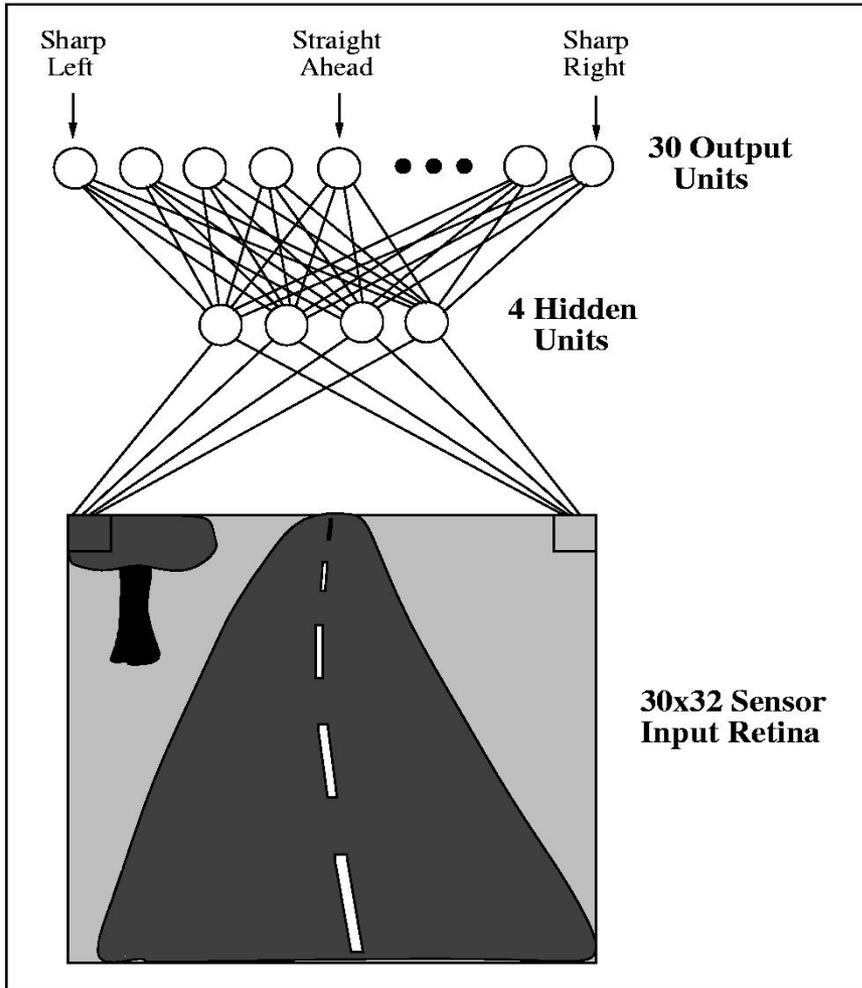


CSE 517: Natural Language  
Processing  
Deep Learning  
Winter 2017

Yejin Choi  
University of Washington

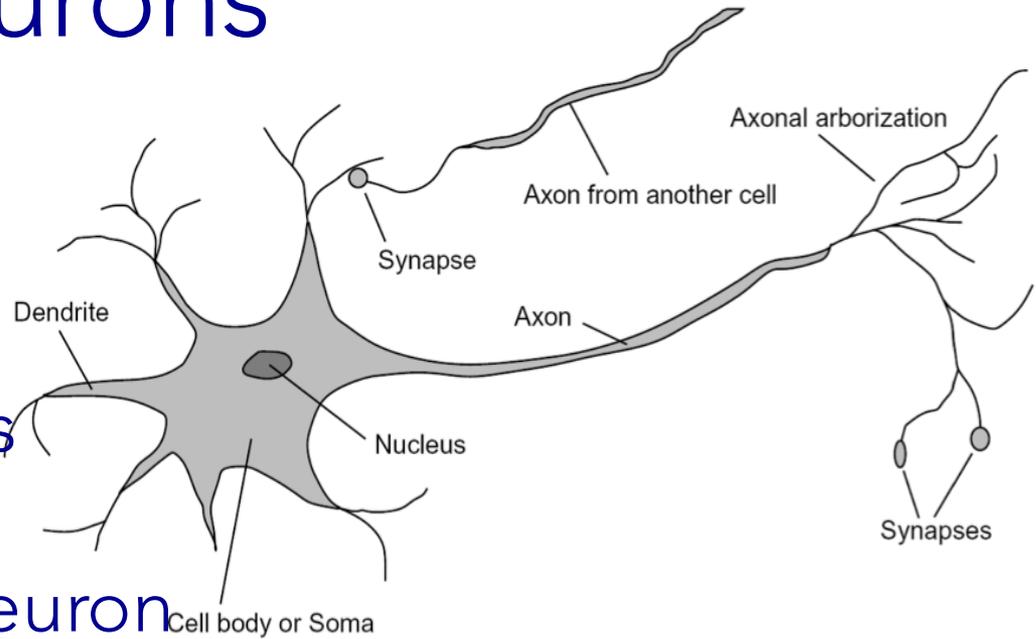


Next several slides are from Carlos Guestrin, Luke Zettlemoyer

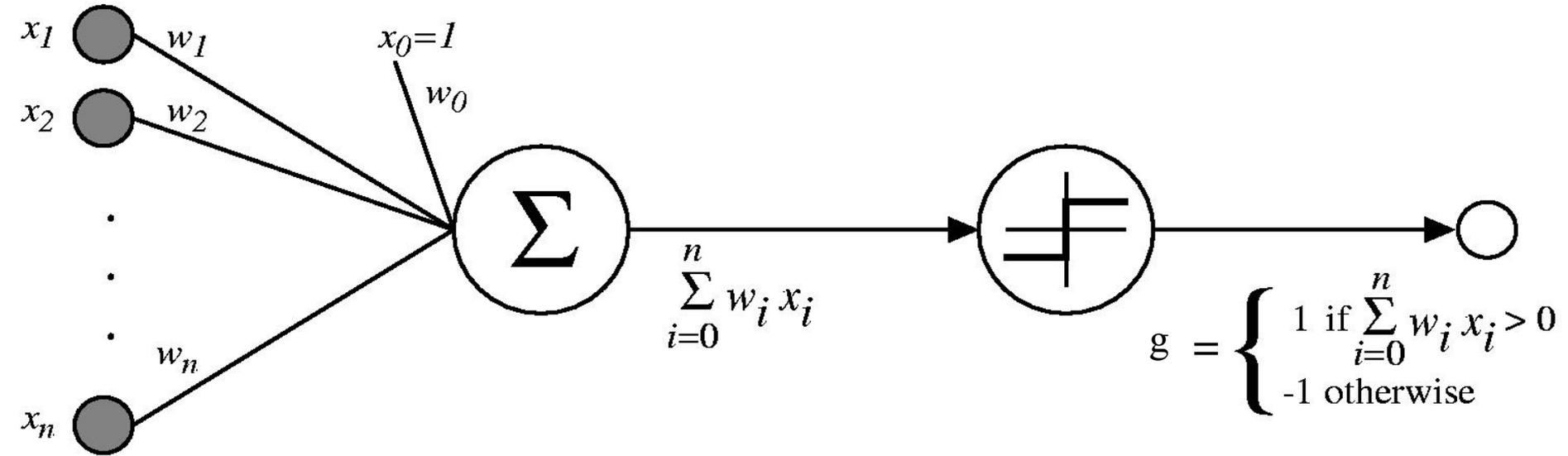


# Human Neurons

- Switching time
  - ~ 0.001 second
- Number of neurons
  - $10^{10}$
- Connections per neuron
  - $10^{4-5}$
- Scene recognition time
  - 0.1 seconds
- Number of cycles per scene recognition?
  - 100 → much parallel computation!



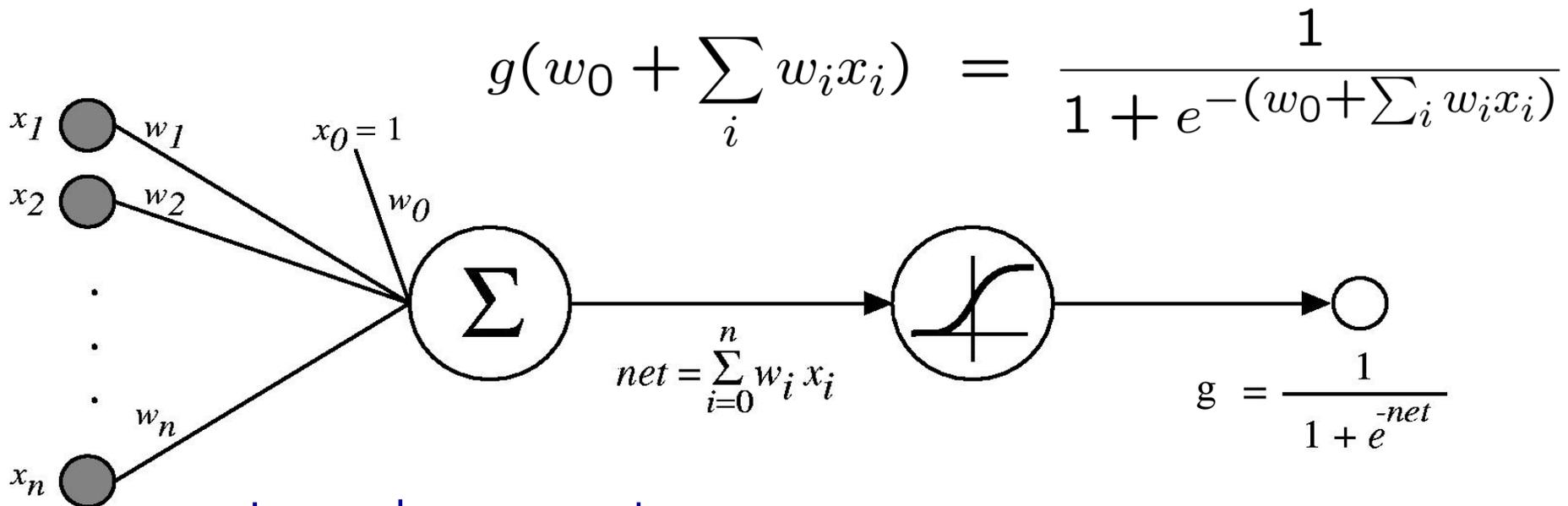
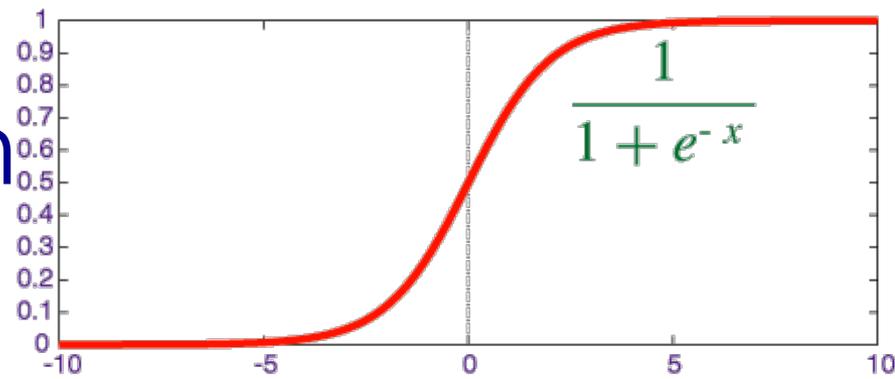
# Perceptron as a Neural Network



This is one neuron:

- Input edges  $x_1 \dots x_n$ , along with bias
- The sum is represented graphically
- Sum passed through an activation function  $g$

# Sigmoid Neuron



Just change  $g$ !

- Why would we want to do this?
- Notice new output range  $[0, 1]$ . What was it before?
- Look familiar?

# Optimizing a neuron

$$\frac{\partial}{\partial x} f(g(x)) = f'(g(x))g'(x)$$

We train to minimize sum-squared error

$$\ell(W) = \frac{1}{2} \sum_j [y^j - g(w_0 + \sum_i w_i x_i^j)]^2$$

$$\frac{\partial \ell}{\partial w_i} = - \sum_j [y_j - g(w_0 + \sum_i w_i x_i^j)] \frac{\partial}{\partial w_i} g(w_0 + \sum_i w_i x_i^j)$$

$$\frac{\partial}{\partial w_i} g(w_0 + \sum_i w_i x_i^j) = x_i^j g'(w_0 + \sum_i w_i x_i^j)$$

$$\frac{\partial \ell(W)}{\partial w_i} = - \sum_j [y^j - g(w_0 + \sum_i w_i x_i^j)] x_i^j g'(w_0 + \sum_i w_i x_i^j)$$

Solution just depends on  $g'$ : derivative of activation function!

# Sigmoid units: have to differentiate

g

$$\frac{\partial \ell(W)}{\partial w_i} = - \sum_j [y^j - g(w_0 + \sum_i w_i x_i^j)] x_i^j g'(w_0 + \sum_i w_i x_i^j)$$

$$g(x) = \frac{1}{1 + e^{-x}} \quad g'(x) = g(x)(1 - g(x))$$

$$w_i \leftarrow w_i + \eta \sum_j x_i^j \delta^j$$

$$\delta^j = [y^j - g(w_0 + \sum_i w_i x_i^j)] g^j (1 - g^j)$$

$$g^j = g(w_0 + \sum_i w_i x_i^j)$$

# Perceptron, linear classification, Boolean functions: $x_i \in \{0,1\}$

- Can learn  $x_1 \vee x_2$ ?

- $-0.5 + x_1 + x_2$

- Can learn  $x_1 \wedge x_2$ ?

- $-1.5 + x_1 + x_2$

- Can learn any conjunction or disjunction?

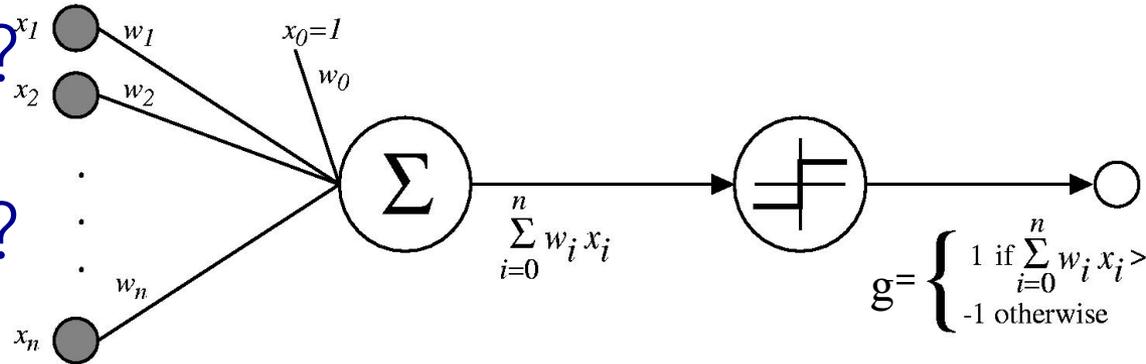
- $0.5 + x_1 + \dots + x_n$

- $(-n+0.5) + x_1 + \dots + x_n$

- Can learn majority?

- $(-0.5 * n) + x_1 + \dots + x_n$

- What are we missing? The dreaded XOR!, etc.



# Going beyond linear classification

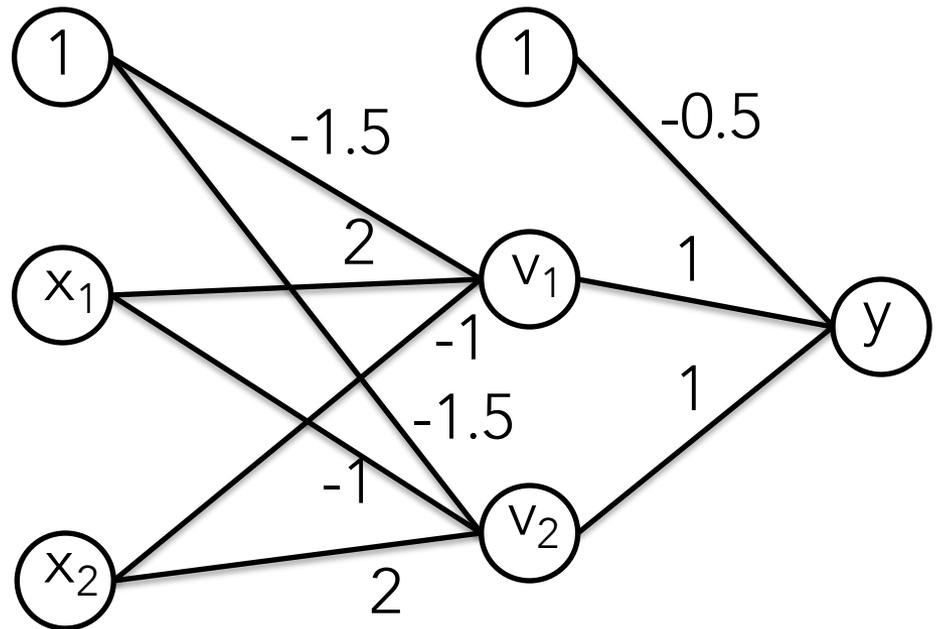
Solving the XOR problem

$$y = x_1 \text{ XOR } x_2 = (x_1 \wedge \neg x_2) \vee (x_2 \wedge \neg x_1)$$

$$\begin{aligned} v_1 &= (x_1 \wedge \neg x_2) \\ &= -1.5 + 2x_1 - x_2 \end{aligned}$$

$$\begin{aligned} v_2 &= (x_2 \wedge \neg x_1) \\ &= -1.5 + 2x_2 - x_1 \end{aligned}$$

$$\begin{aligned} y &= v_1 \vee v_2 \\ &= -0.5 + v_1 + v_2 \end{aligned}$$



# Hidden layer

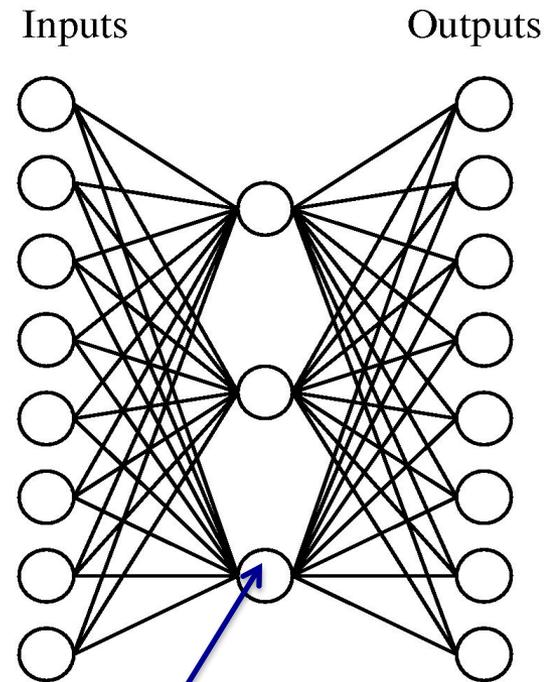
- Single unit:

$$out(\mathbf{x}) = g(w_0 + \sum_i w_i x_i)$$

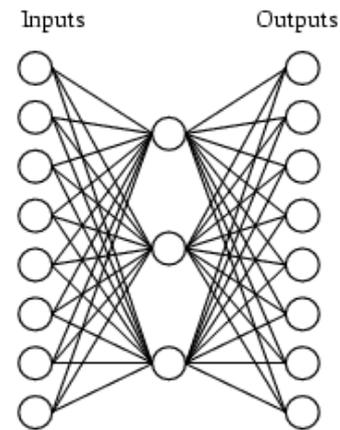
- 1-hidden layer:

$$out(\mathbf{x}) = g\left(w_0 + \sum_k w_k g\left(w_0^k + \sum_i w_i^k x_i\right)\right)$$

- No longer convex function!



# Example data for NN with hidden layer



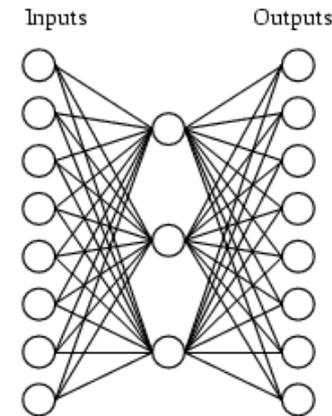
A target function:

Input	Output
10000000	→ 10000000
01000000	→ 01000000
00100000	→ 00100000
00010000	→ 00010000
00001000	→ 00001000
00000100	→ 00000100
00000010	→ 00000010
00000001	→ 00000001

Can this be learned??

A network:

Learned  
weights for  
hidden layer



Learned hidden layer representation:

Input		Hidden Values		Output
10000000	→	.89 .04 .08	→	10000000
01000000	→	.01 .11 .88	→	01000000
00100000	→	.01 .97 .27	→	00100000
00010000	→	.99 .97 .71	→	00010000
00001000	→	.03 .05 .02	→	00001000
00000100	→	.22 .99 .99	→	00000100
00000010	→	.80 .01 .98	→	00000010
00000001	→	.60 .94 .01	→	00000001

# Why “representation learning”?

- MaxEnt (multinomial logistic regression):

$$y = \text{softmax}(w \cdot \underline{f(x, y)})$$

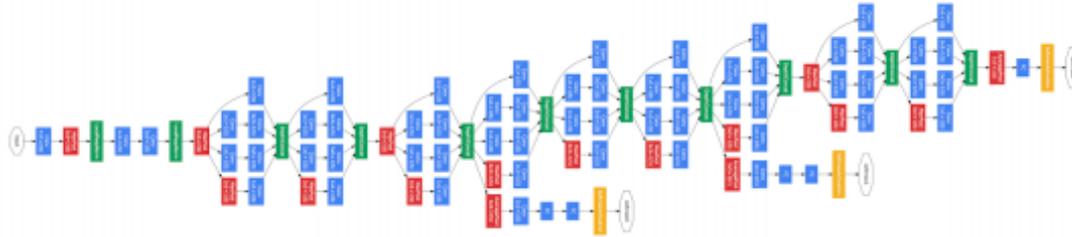
You design the feature vector

- NNs:  $y = \text{softmax}(w \cdot \underline{\sigma(Ux)})$

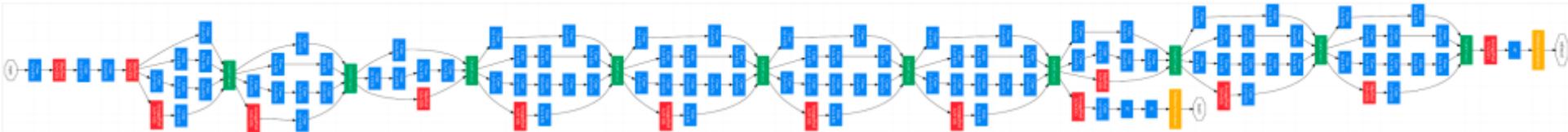
$$y = \text{softmax}(w \cdot \underline{\sigma(U^{(n)}(\dots\sigma(U^{(2)}\sigma(U^{(1)}x))))})$$

Feature representations are “learned” through hidden layers

# Very deep models in computer vision



<sup>1</sup>Inception 5 (GoogLeNet)



Inception 7a

<sup>1</sup>Going Deeper with Convolutions, [C. Szegedy et al, CVPR 2015]

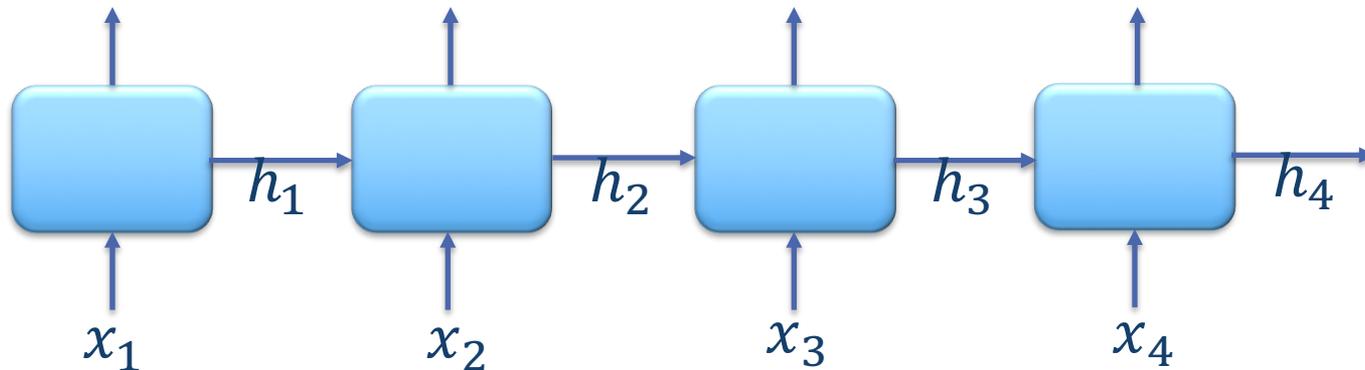
# RECURRENT NEURAL NETWORKS

# Recurrent Neural Networks (RNNs)

- Each RNN unit computes a new hidden state using the previous state and a new input
$$h_t = f(x_t, h_{t-1})$$
- Each RNN unit (optionally) makes an output using the current hidden state
$$y_t = \text{softmax}(Vh_t)$$

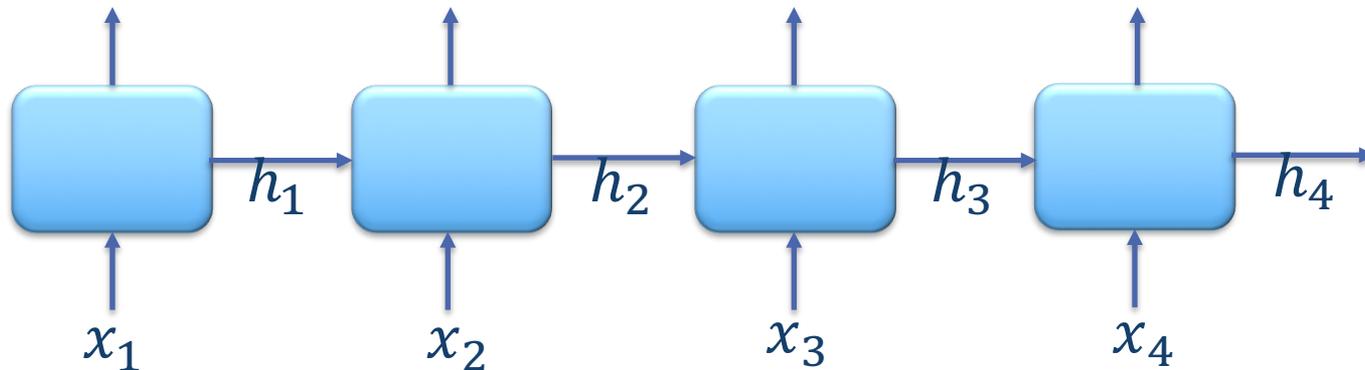
$$h_t \in R^D$$

- Hidden states are continuous vectors
  - Can represent very rich information
  - Possibly the entire history from the beginning
- Parameters are shared (tied) across all RNN units (unlike feedforward NNs)



# Recurrent Neural Networks (RNNs)

- Generic RNNs:  $h_t = f(x_t, h_{t-1})$   
 $y_t = \text{softmax}(V h_t)$
- Vanilla RNN:  $h_t = \tanh(U x_t + W h_{t-1} + b)$   
 $y_t = \text{softmax}(V h_t)$



# Recurrent Neural Networks (RNNs)

- Generic RNNs:  $h_t = f(x_t, h_{t-1})$
- Vanilla RNNs:  $h_t = \tanh(Ux_t + Wh_{t-1} + b)$
- LSTMs (Long Short-term Memory Networks):

$$i_t = \sigma(U^{(i)}x_t + W^{(i)}h_{t-1} + b^{(i)})$$

$$f_t = \sigma(U^{(f)}x_t + W^{(f)}h_{t-1} + b^{(f)})$$

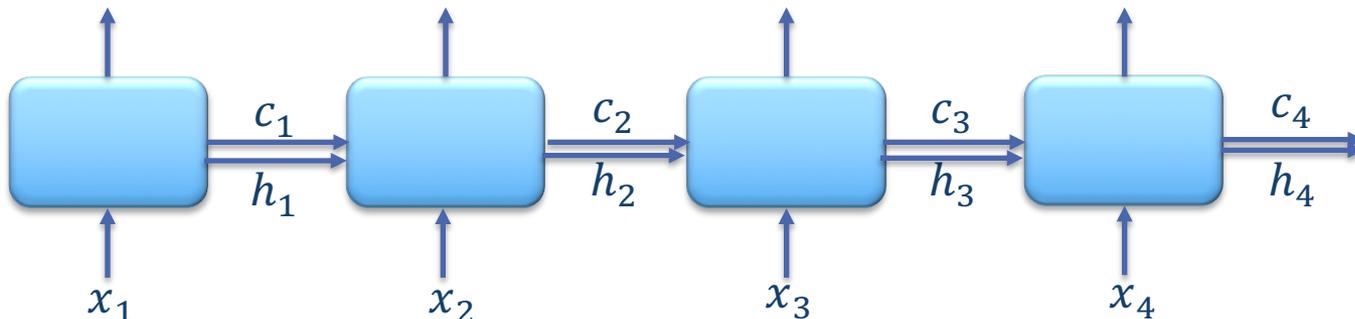
$$o_t = \sigma(U^{(o)}x_t + W^{(o)}h_{t-1} + b^{(o)})$$

$$\tilde{c}_t = \tanh(U^{(c)}x_t + W^{(c)}h_{t-1} + b^{(c)})$$

$$c_t = f_t \circ c_{t-1} + i_t \circ \tilde{c}_t$$

$$h_t = o_t \circ \tanh(c_t)$$

There are many known variations to this set of equations!



$c_t$ : cell state

$h_t$ : hidden state

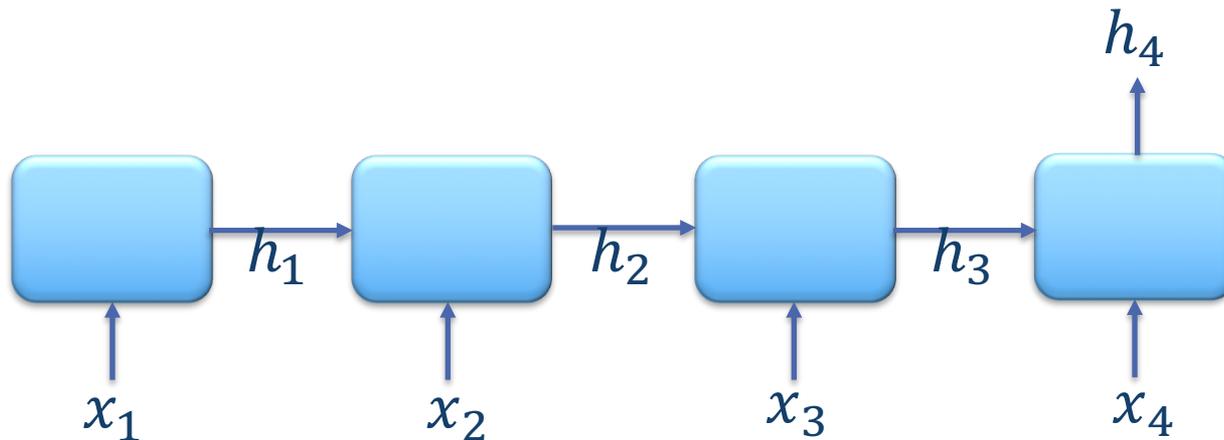
# Many uses of RNNs

## 1. Classification (seq to one)

- Input: a sequence
- Output: one label (classification)
- Example: sentiment classification

$$h_t = f(x_t, h_{t-1})$$

$$y = \text{softmax}(V h_n)$$

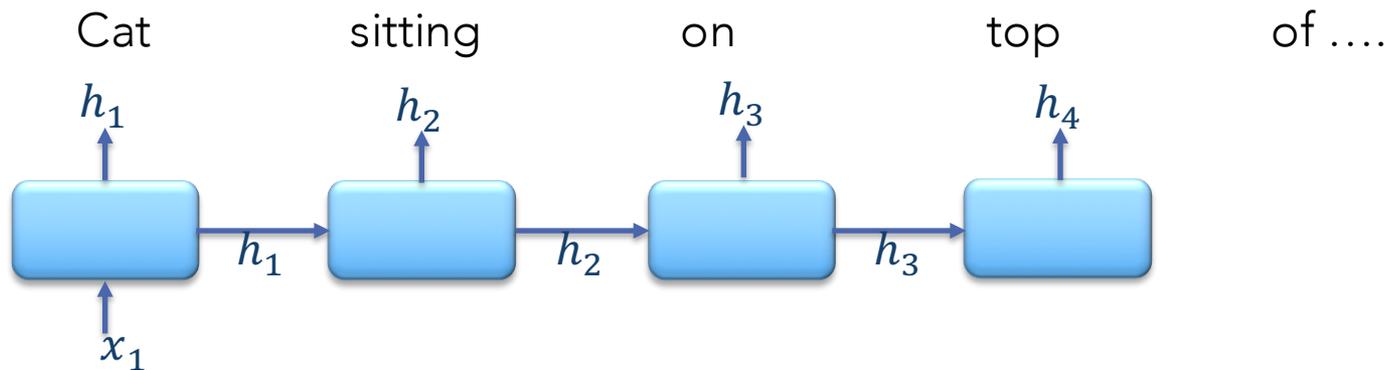


# Many uses of RNNs

## 2. one to seq

- Input: one item
- Output: a sequence
- Example: Image captioning

$$h_t = f(x_t, h_{t-1})$$
$$y_t = \text{softmax}(Vh_t)$$

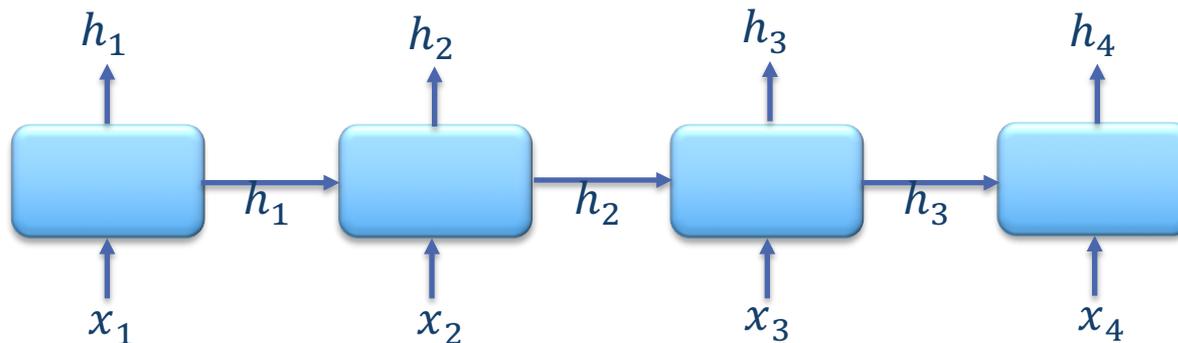


# Many uses of RNNs

## 3. sequence tagging

- Input: a sequence
- Output: a sequence (of the same length)
- Example: POS tagging, Named Entity Recognition
- How about Language Models?
  - Yes! RNNs can be used as LMs!
  - RNNs make markov assumption: T/F?

$$h_t = f(x_t, h_{t-1})$$
$$y_t = \text{softmax}(V h_t)$$

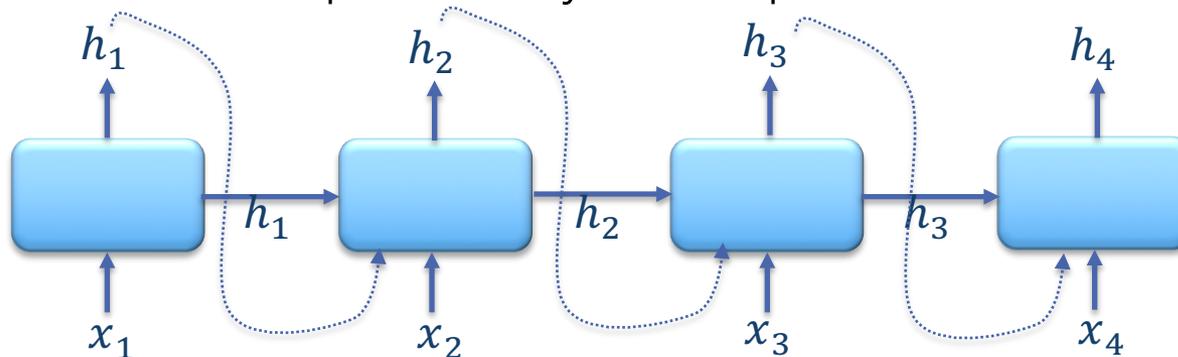


# Many uses of RNNs

## 4. Language models

- Input: a sequence of words
- Output: one next word
- Output: or a sequence of next words
- During training,  $x_t$  is the actual word in the training sentence.
- During testing,  $x_t$  is the word predicted from the previous time step.
- Does RNN LMs make Markov assumption?
  - i.e., the next word depends only on the previous N words

$$h_t = f(x_t, h_{t-1})$$
$$y_t = \text{softmax}(V h_t)$$



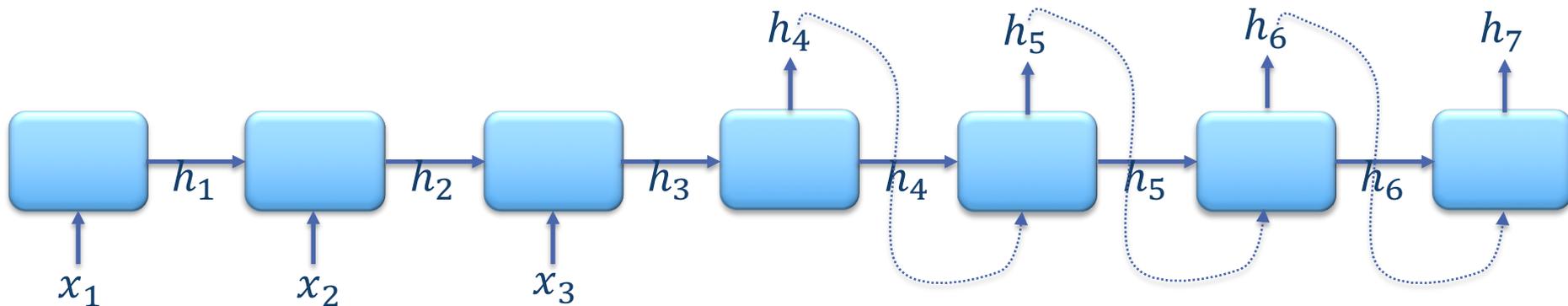
# Many uses of RNNs

## 5. seq2seq (aka "encoder-decoder")

- Input: a sequence
- Output: a sequence (of *different* length)
- Examples?

$$h_t = f(x_t, h_{t-1})$$

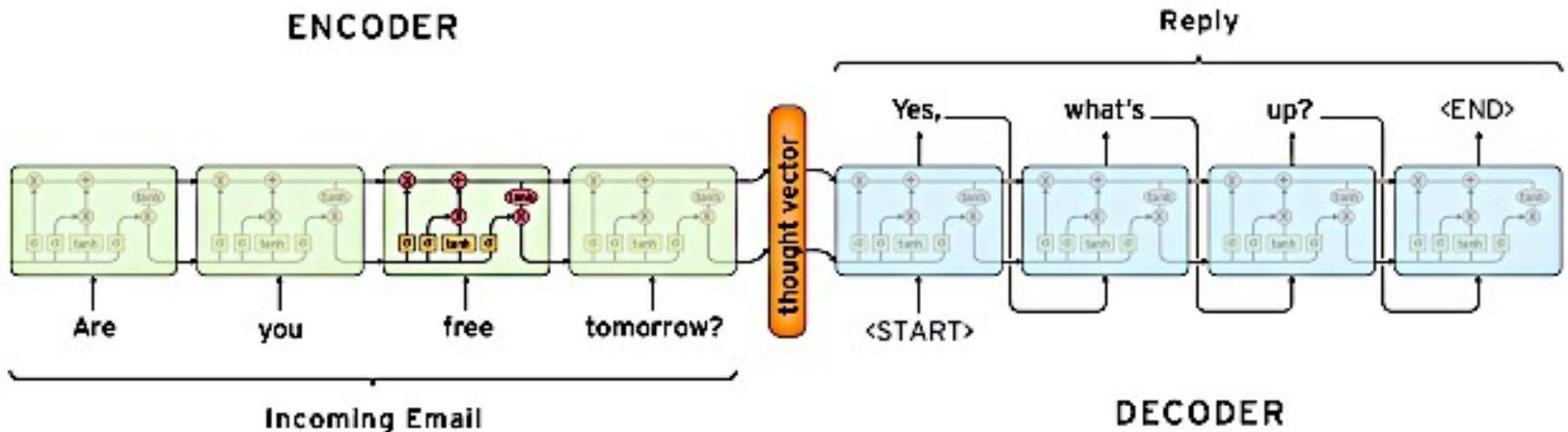
$$y_t = \text{softmax}(V h_t)$$



# Many uses of RNNs

## 4. seq2seq (aka "encoder-decoder")

- Conversation and Dialogue
- Machine Translation

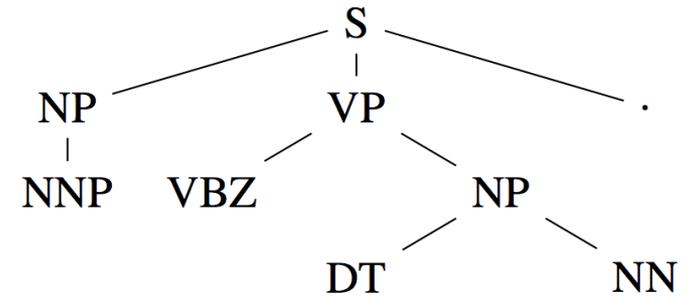


# Many uses of RNNs

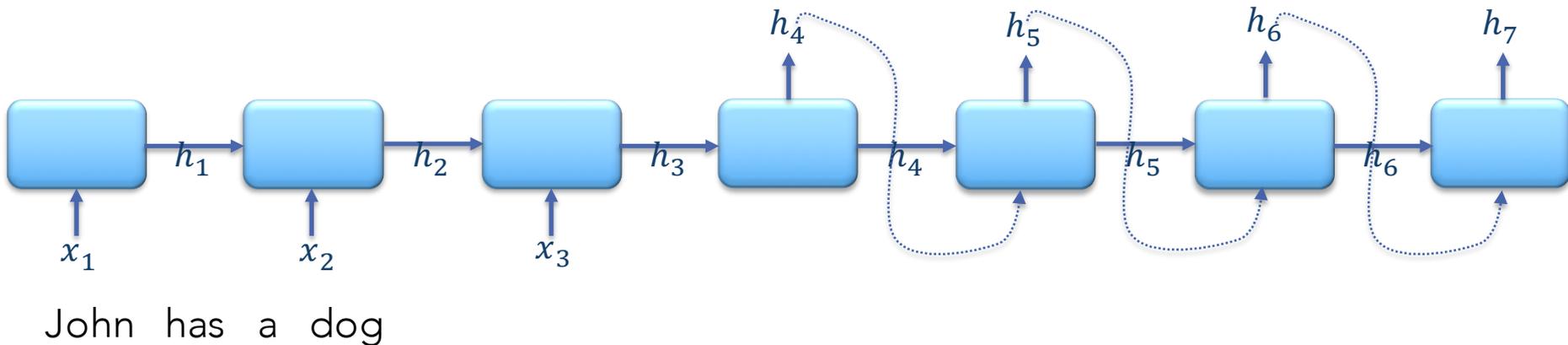
## 4. seq2seq (aka "encoder-decoder")

Parsing!

- "Grammar as Foreign Language" (Vinyals et al., 2015)

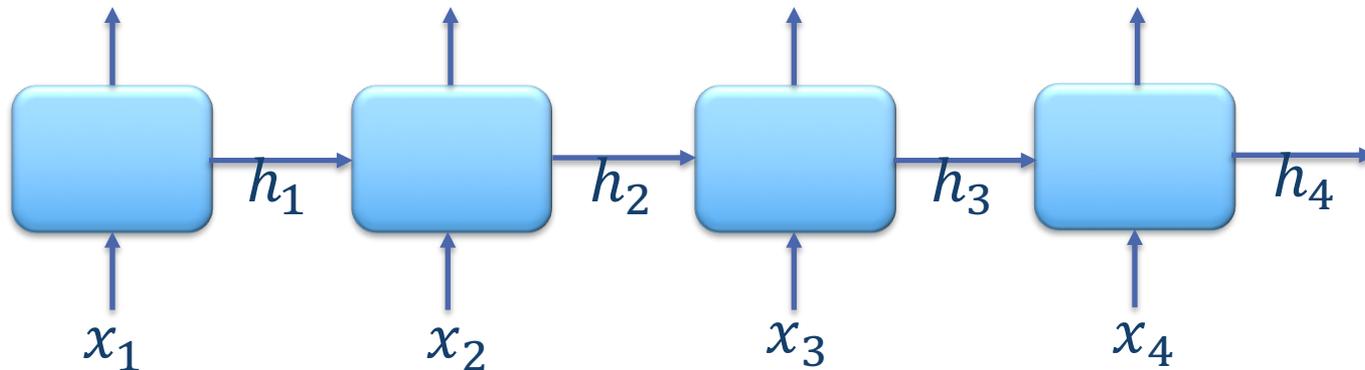


(S (NP NNP )<sub>NP</sub> (VP VBZ (NP DT NN )<sub>NP</sub> )<sub>VP</sub> . )<sub>S</sub>



# Recurrent Neural Networks (RNNs)

- Generic RNNs:  $h_t = f(x_t, h_{t-1})$   
 $y_t = \text{softmax}(V h_t)$
- Vanilla RNN:  $h_t = \tanh(U x_t + W h_{t-1} + b)$   
 $y_t = \text{softmax}(V h_t)$



# Recurrent Neural Networks (RNNs)

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$$f_t = \sigma(U^{(f)}x_t + W^{(f)}h_{t-1} + b^{(f)})$$

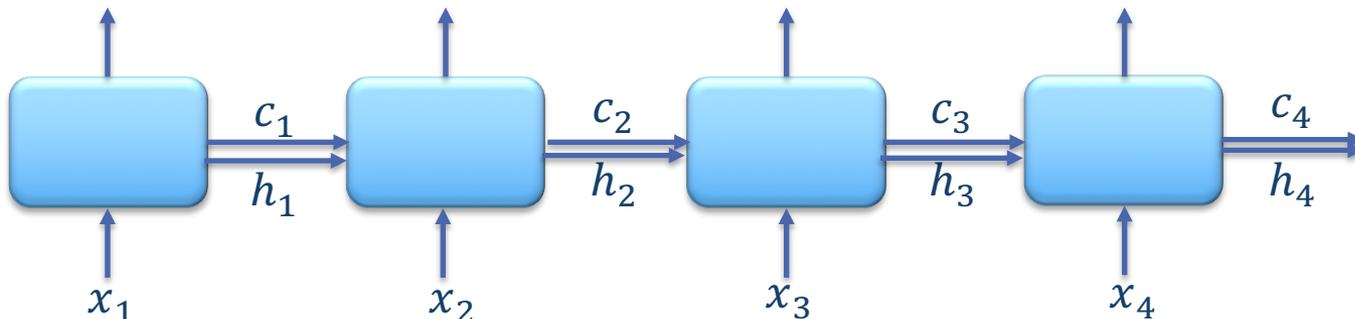
$$o_t = \sigma(U^{(o)}x_t + W^{(o)}h_{t-1} + b^{(o)})$$

$$\tilde{c}_t = \tanh(U^{(c)}x_t + W^{(c)}h_{t-1} + b^{(c)})$$

$$c_t = f_t \circ c_{t-1} + i_t \circ \tilde{c}_t$$

$$h_t = o_t \circ \tanh(c_t)$$

There are many known variations to this set of equations!



$c_t$ : cell state

$h_t$ : hidden state

# LSTMS (LONG SHORT-TERM MEMORY NETWORKS)

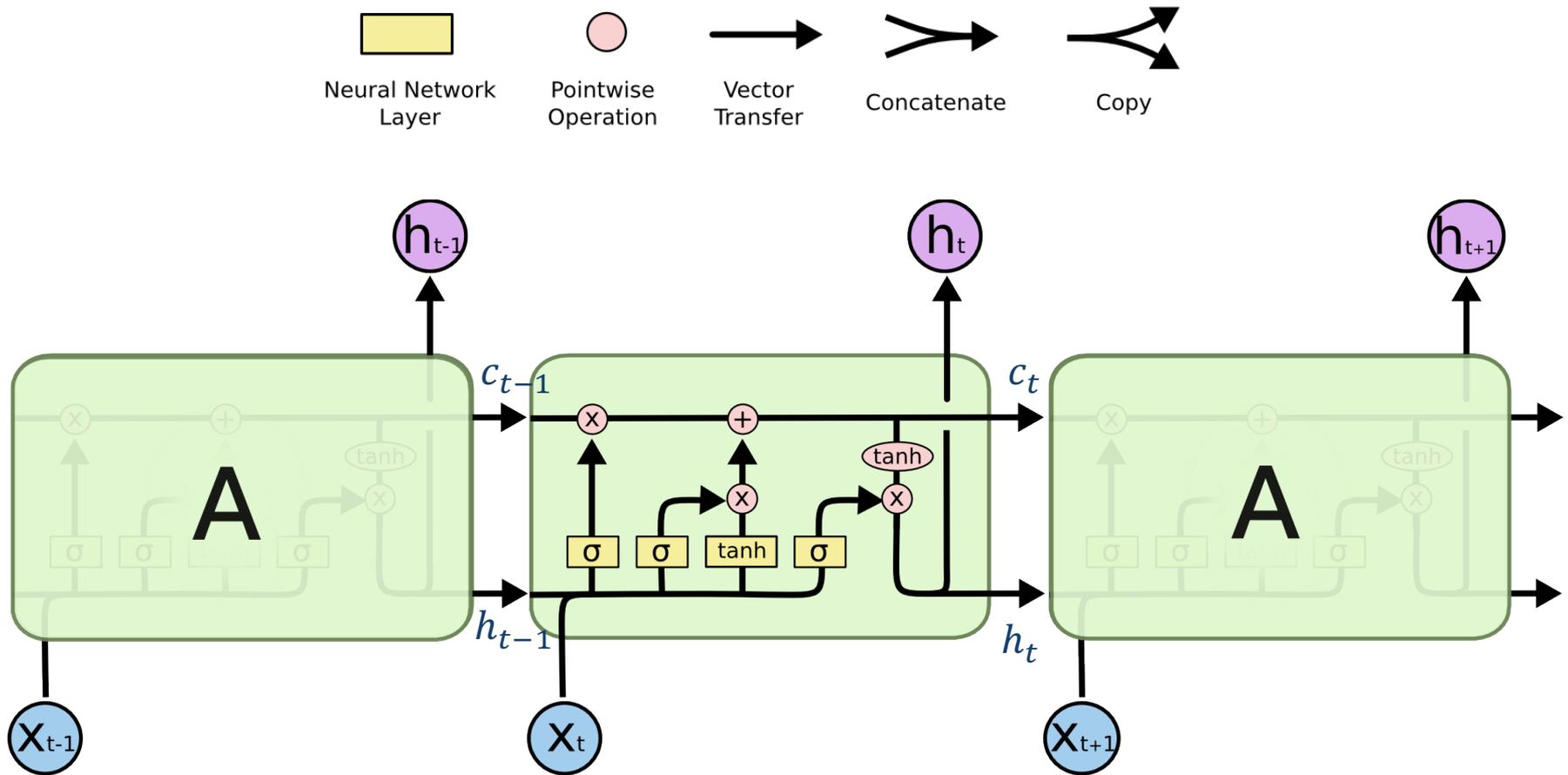
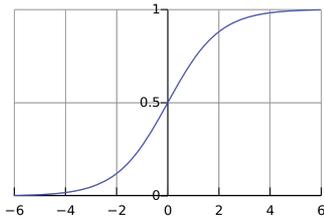


Figure by Christopher Olah (colah.github.io)

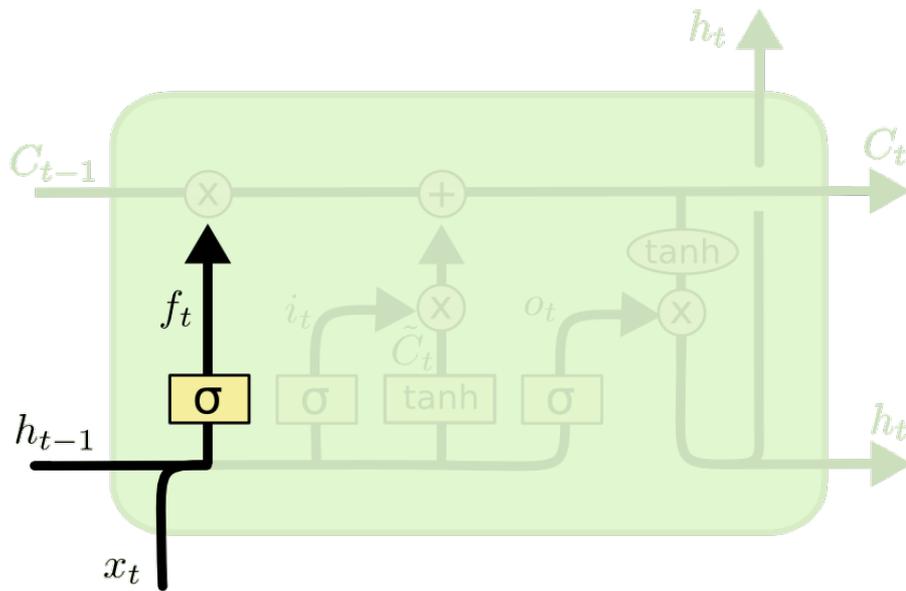
# LSTMS (LONG SHORT-TERM MEMORY NETWORKS)

sigmoid:  
[0,1]



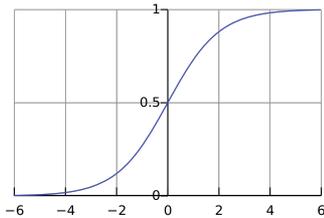
Forget gate: forget the past or not

$$f_t = \sigma(U^{(f)}x_t + W^{(f)}h_{t-1} + b^{(f)})$$

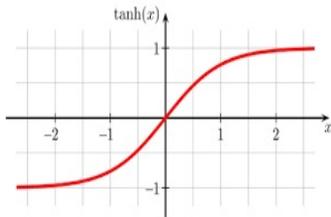


# LSTMS (LONG SHORT-TERM MEMORY NETWORKS)

sigmoid:  
[0,1]



tanh:  
[-1,1]



Forget gate: forget the past or not

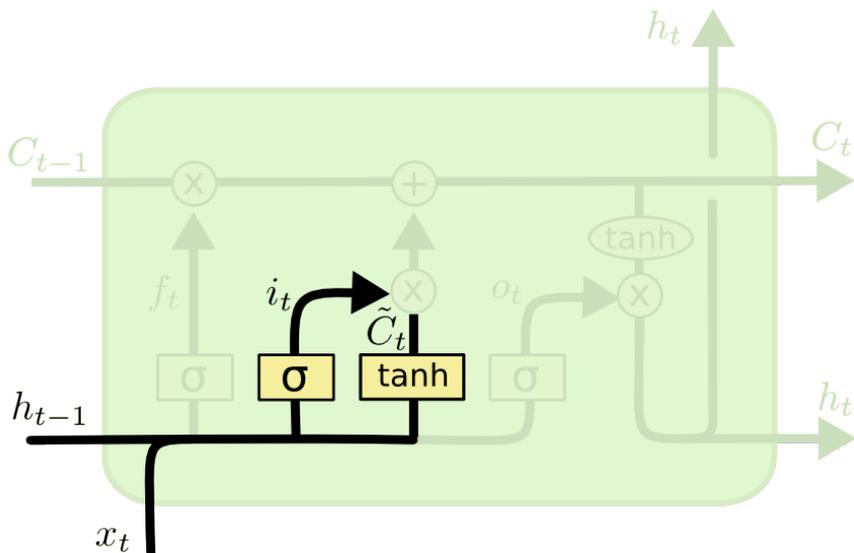
$$f_t = \sigma(U^{(f)}x_t + W^{(f)}h_{t-1} + b^{(f)})$$

Input gate: use the input or not

$$i_t = \sigma(U^{(i)}x_t + W^{(i)}h_{t-1} + b^{(i)})$$

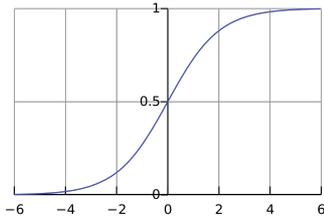
New cell content (temp):

$$\tilde{c}_t = \tanh(U^{(c)}x_t + W^{(c)}h_{t-1} + b^{(c)})$$

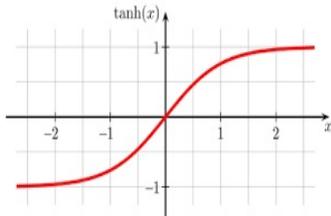


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sigmoid:  
[0,1]



tanh:  
[-1,1]



Forget gate: forget the past or not

$$f_t = \sigma(U^{(f)}x_t + W^{(f)}h_{t-1} + b^{(f)})$$

Input gate: use the input or not

$$i_t = \sigma(U^{(i)}x_t + W^{(i)}h_{t-1} + b^{(i)})$$

New cell content (temp):

$$\tilde{c}_t = \tanh(U^{(c)}x_t + W^{(c)}h_{t-1} + b^{(c)})$$

New cell content:

- mix old cell with the new temp cell

$$c_t = f_t \circ c_{t-1} + i_t \circ \tilde{c}_t$$

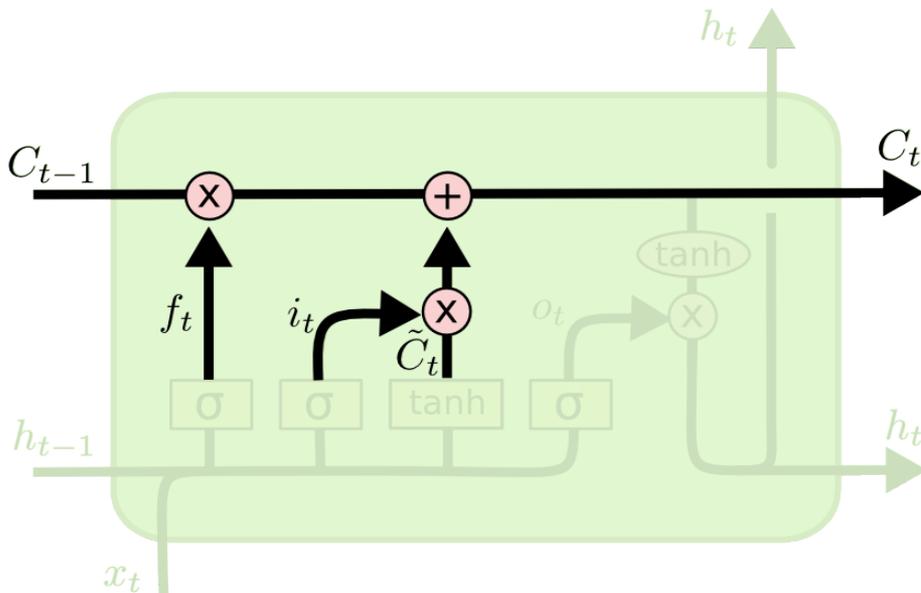


Figure by Christopher Olah (colah.github.io)

# LSTMS (LONG SHORT-TERM MEMORY NETWORKS)

Output gate: output from the new cell or not

$$o_t = \sigma(U^{(o)}x_t + W^{(o)}h_{t-1} + b^{(o)})$$

Hidden state:

$$h_t = o_t \circ \tanh(c_t)$$

Forget gate: forget the past or not

$$f_t = \sigma(U^{(f)}x_t + W^{(f)}h_{t-1} + b^{(f)})$$

Input gate: use the input or not

$$i_t = \sigma(U^{(i)}x_t + W^{(i)}h_{t-1} + b^{(i)})$$

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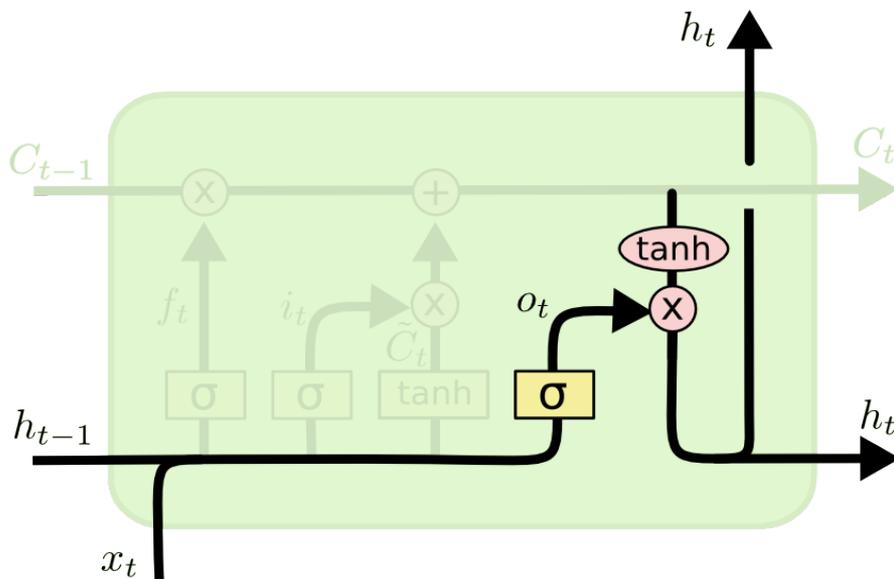


Figure by Christopher Olah (colah.github.io)

# LSTMS (LONG SHORT-TERM MEMORY NETWORKS)

Forget gate: forget the past or not

Input gate: use the input or not

Output gate: output from the new cell or not

$$f_t = \sigma(U^{(f)}x_t + W^{(f)}h_{t-1} + b^{(f)})$$

$$i_t = \sigma(U^{(i)}x_t + W^{(i)}h_{t-1} + b^{(i)})$$

$$o_t = \sigma(U^{(o)}x_t + W^{(o)}h_{t-1} + b^{(o)})$$

New cell content (temp):

New cell content:

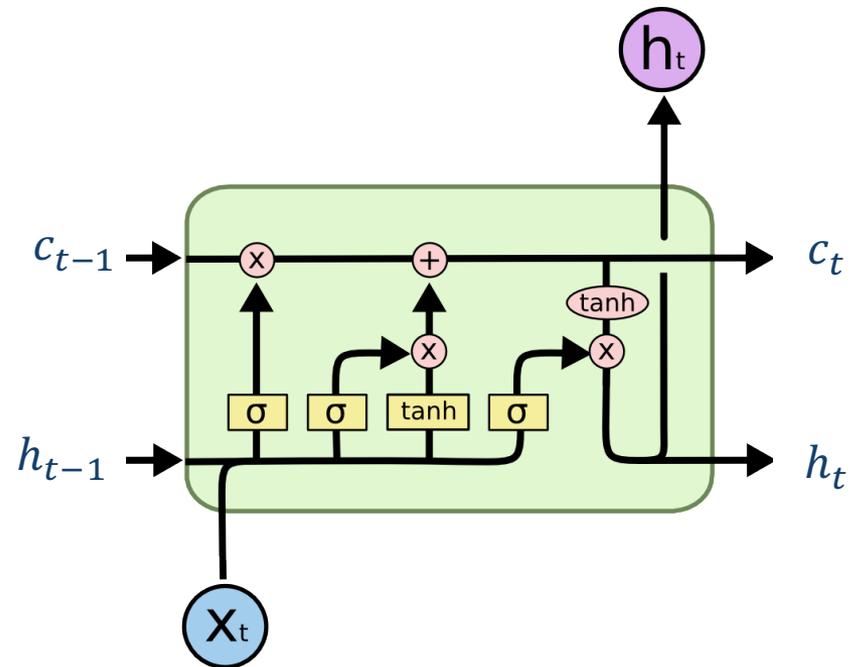
- mix old cell with the new temp cell

$$\tilde{c}_t = \tanh(U^{(c)}x_t + W^{(c)}h_{t-1} + b^{(c)})$$

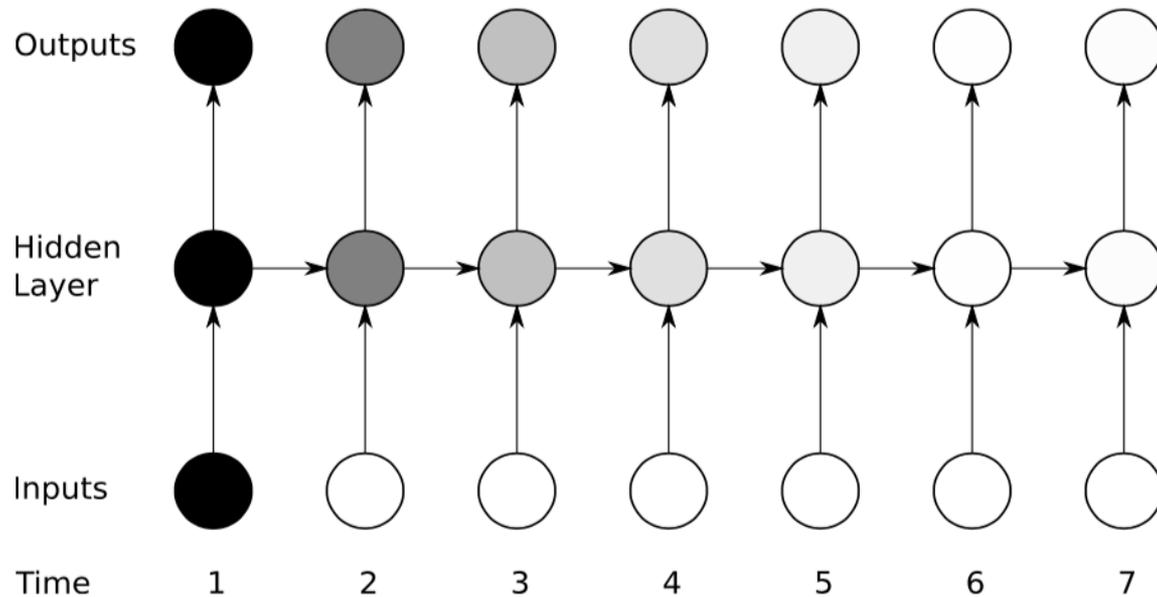
$$c_t = f_t \circ c_{t-1} + i_t \circ \tilde{c}_t$$

Hidden state:

$$h_t = o_t \circ \tanh(c_t)$$

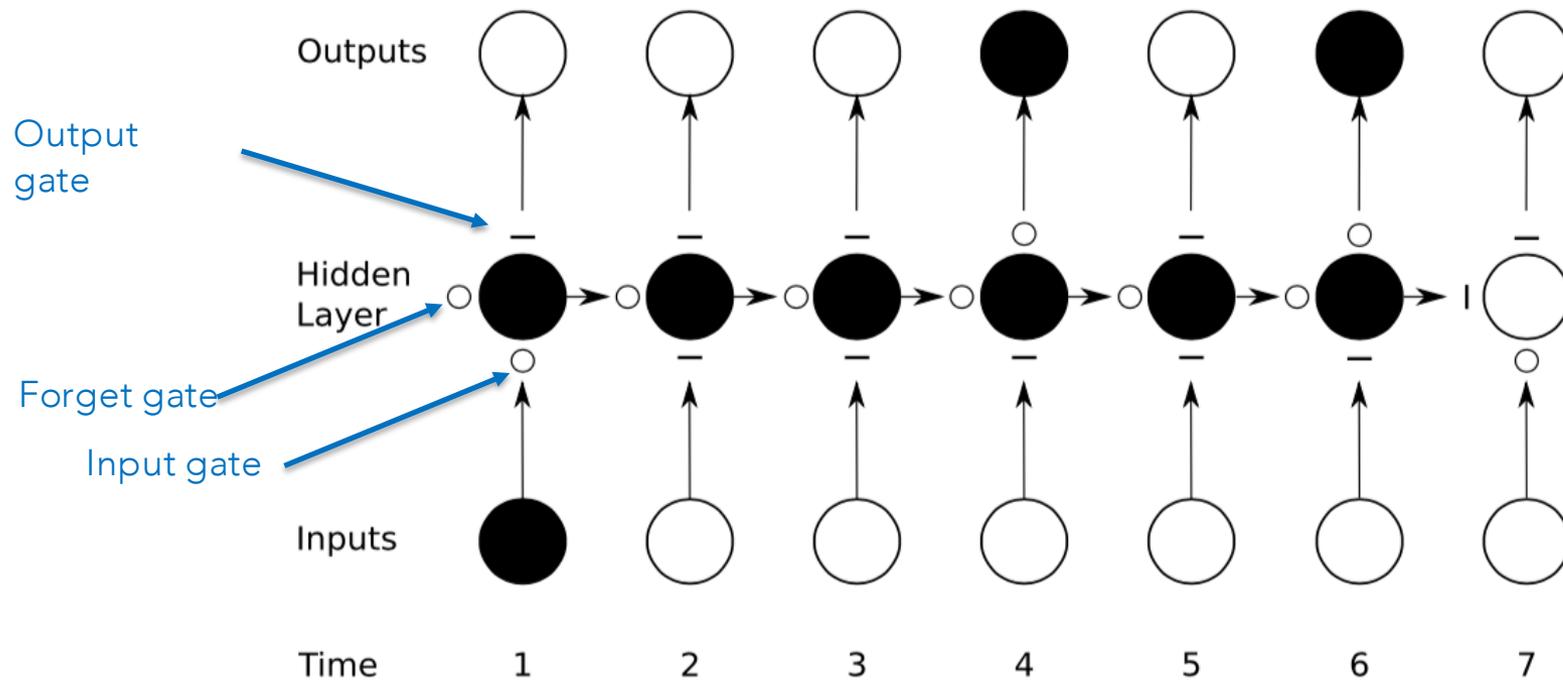


# vanishing gradient problem for RNNs.



- The shading of the nodes in the unfolded network indicates their sensitivity to the inputs at time one (the darker the shade, the greater the sensitivity).
- The sensitivity decays over time as new inputs overwrite the activations of the hidden layer, and the network 'forgets' the first inputs.

# Preservation of gradient information by LSTM



- For simplicity, all gates are either entirely open ('O') or closed ('—').
- The memory cell 'remembers' the first input as long as the forget gate is open and the input gate is closed.
- The sensitivity of the output layer can be switched on and off by the output gate without affecting the cell.

# Recurrent Neural Networks (RNNs)

- Generic RNNs:  $h_t = f(x_t, h_{t-1})$
- Vanilla RNNs:  $h_t = \tanh(Ux_t + Wh_{t-1} + b)$
- GRUs (**G**ated **R**ecurrent **U**nits):

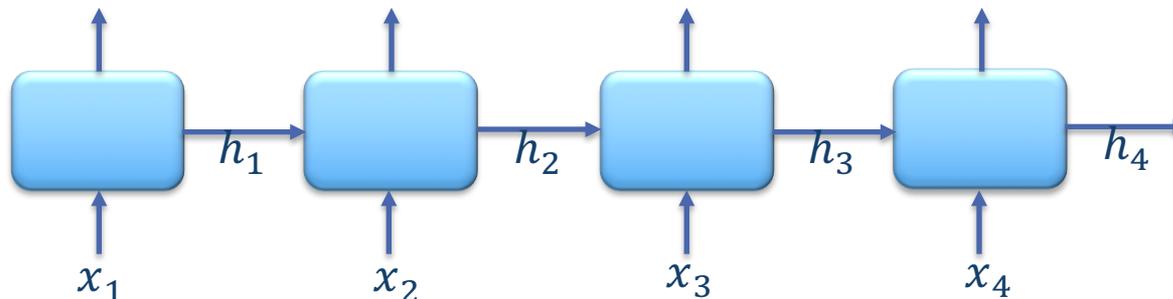
$$z_t = \sigma(U^{(z)}x_t + W^{(z)}h_{t-1} + b^{(z)})$$

$$r_t = \sigma(U^{(r)}x_t + W^{(r)}h_{t-1} + b^{(r)})$$

$$\tilde{h}_t = \tanh(U^{(h)}x_t + W^{(h)}(r_t \circ h_{t-1}) + b^{(h)})$$

$$h_t = (1 - z_t) \circ h_{t-1} + z_t \circ \tilde{h}_t$$

Z: Update gate  
R: Reset gate

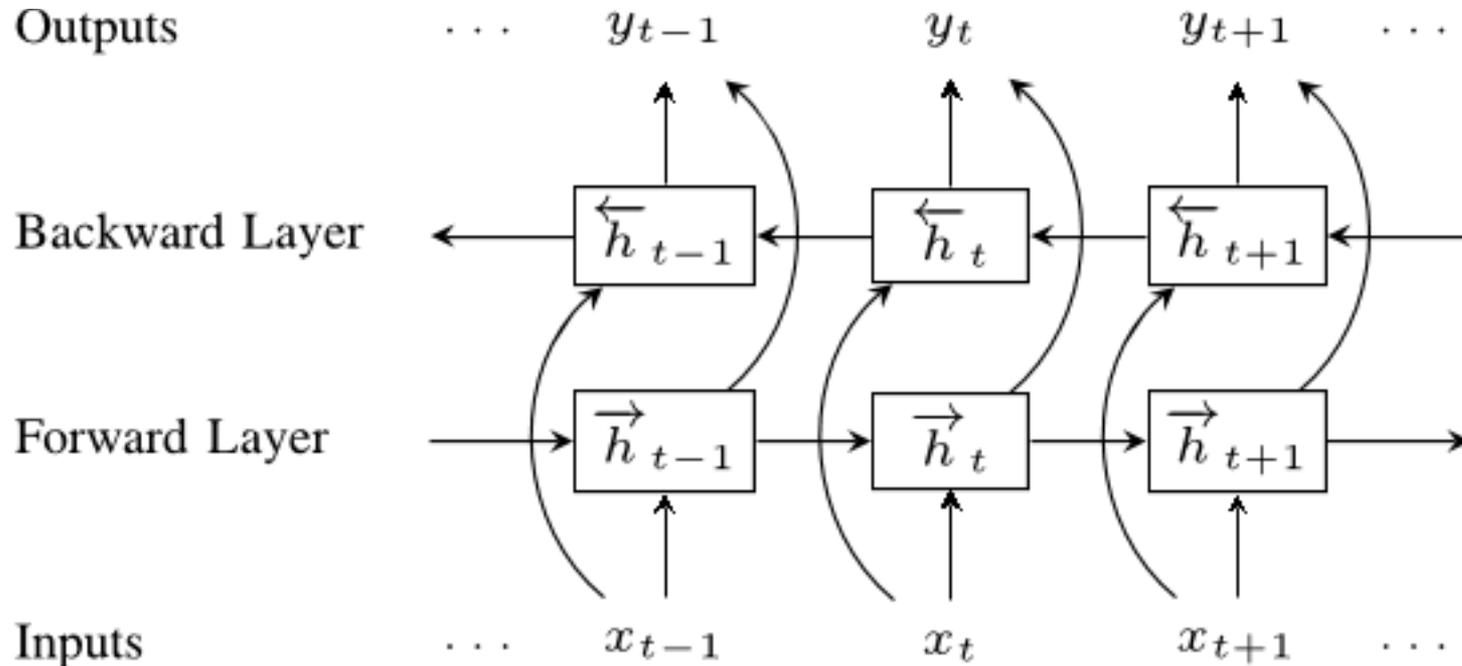


Less parameters  
than LSTMs.  
Easier to train for  
comparable  
performance!

# Gates

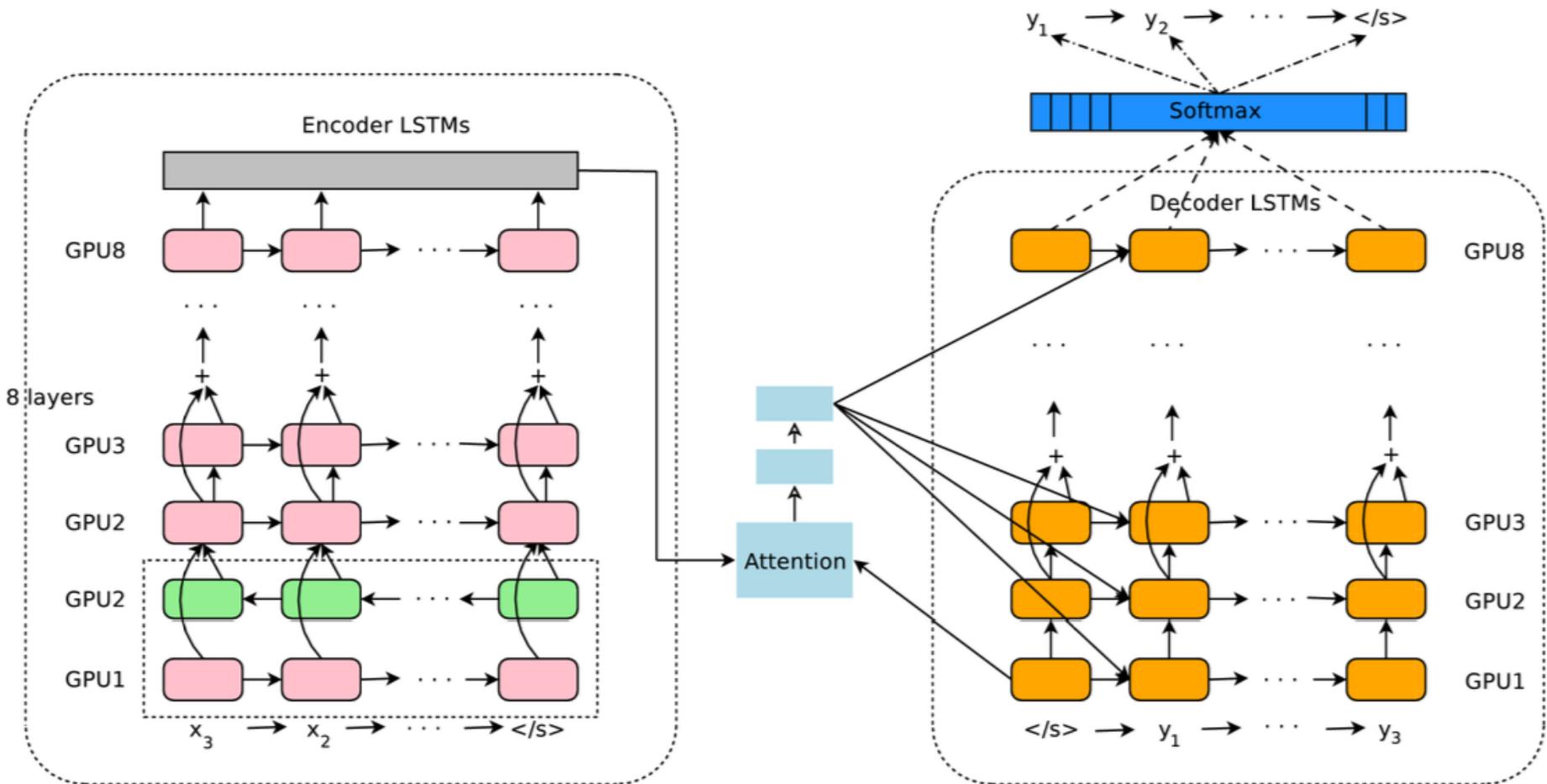
- Gates contextually control information flow
- Open/close with sigmoid
- In LSTMs and GRUs, they are used to (contextually) maintain longer term history

# Bi-directional RNNs



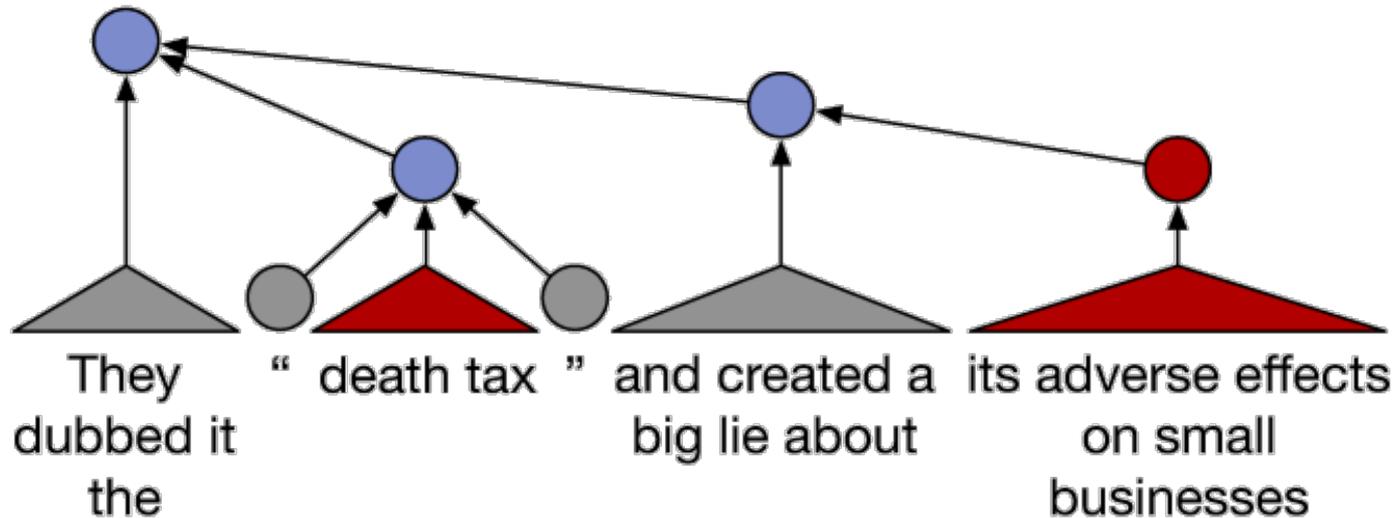
- Can incorporate context from both directions
- Generally improves over uni-directional RNNs

# Google NMT (Oct 2016)



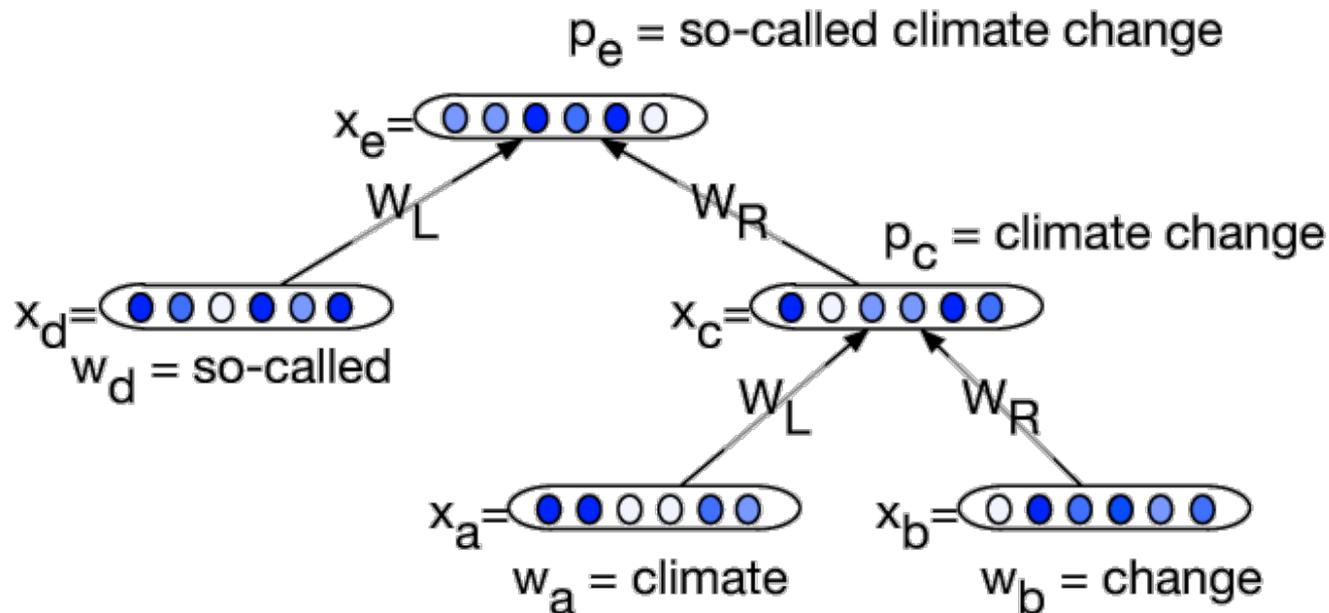
# Recursive Neural Networks

- Sometimes, inference over a tree structure makes more sense than sequential structure
- An example of compositionality in ideological bias detection (red → conservative, blue → liberal, gray → neutral) in which modifier phrases and punctuation cause polarity switches at higher levels of the parse tree



# Recursive Neural Networks

- NNs connected as a tree
- Tree structure is fixed a priori
- Parameters are shared, similarly as RNNs



# Tree LSTMs

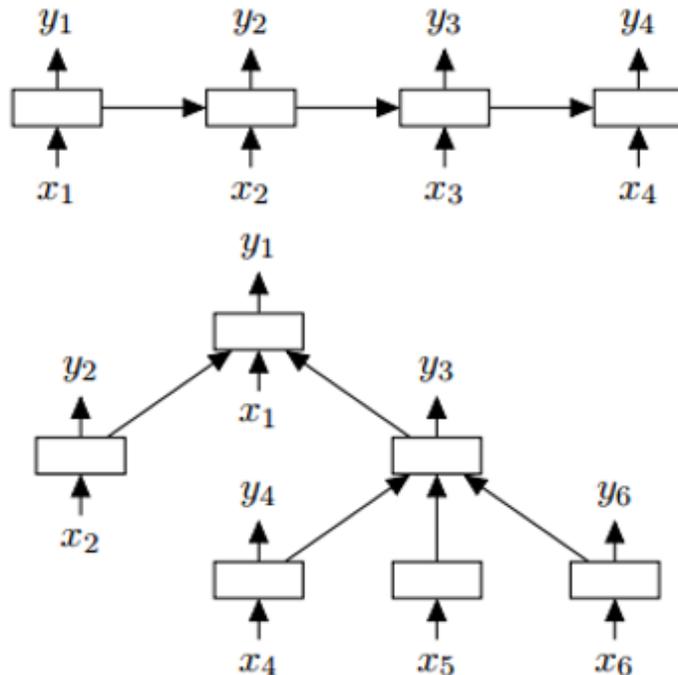
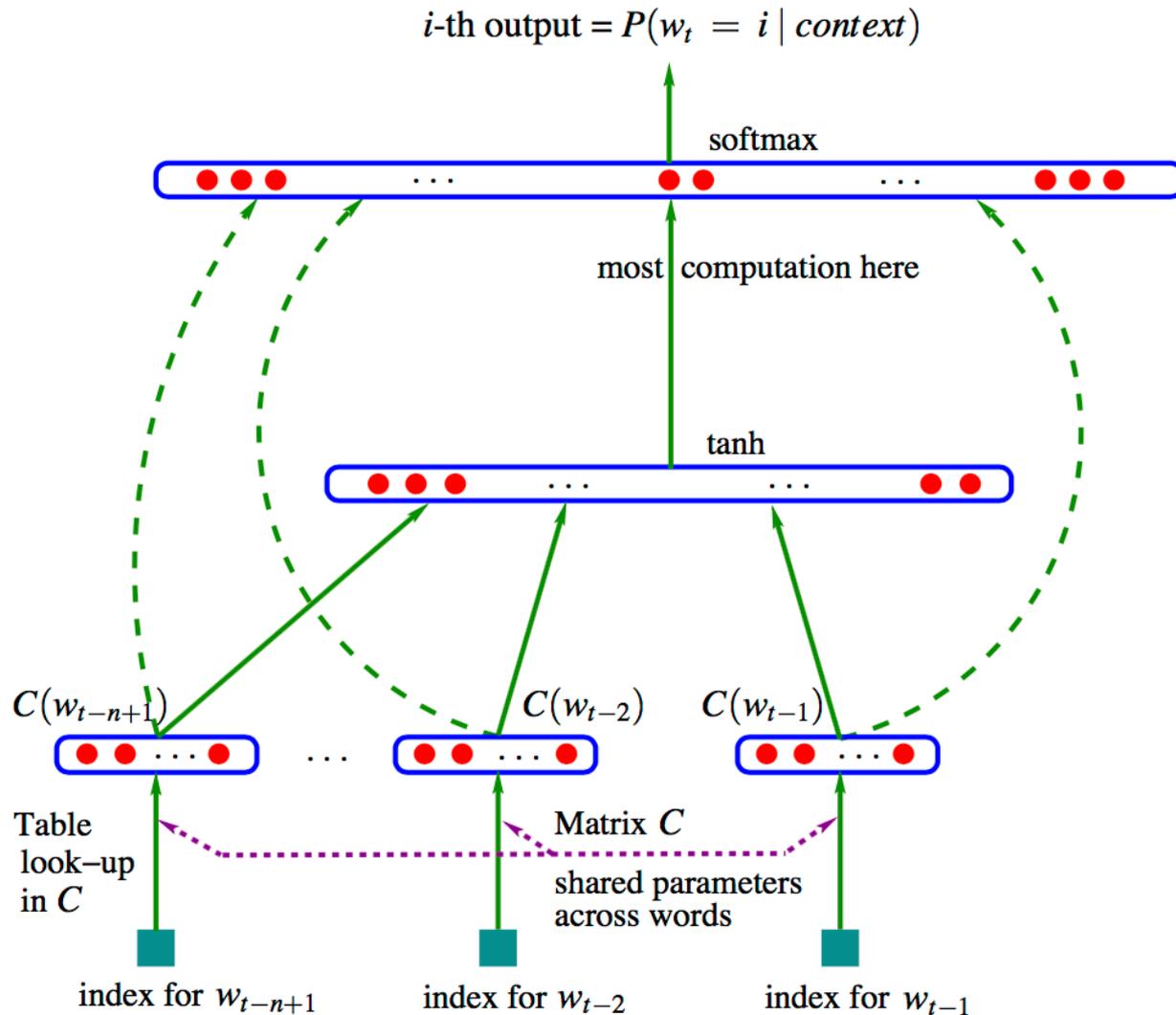


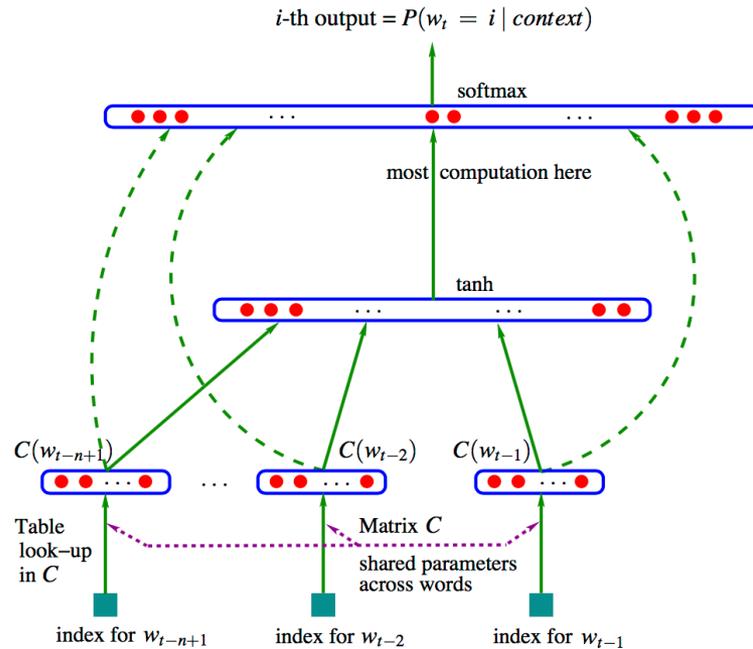
Figure 1: **Top:** A chain-structured LSTM network. **Bottom:** A tree-structured LSTM network with arbitrary branching factor.

- Are tree LSTMs more expressive than sequence LSTMs?
- I.e., *recursive* vs *recurrent*
- [When Are Tree Structures Necessary for Deep Learning of Representations?](#)  
Jiwei Li, Minh-Thang Luong, Dan Jurafsky and Eduard Hovy. EMNLP, 2015.

# Neural Probabilistic Language Model (Bengio 2003)



# Neural Probabilistic Language Model (Bengio 2003)



- Each word prediction is a separate feed forward neural network
- Feedforward NNLM is a Markovian language model
- Dashed lines show optional direct connections

$$NN_{DMLP1}(\mathbf{x}) = [\tanh(\mathbf{x}\mathbf{W}^1 + \mathbf{b}^1), \mathbf{x}] \mathbf{W}^2 + \mathbf{b}^2$$

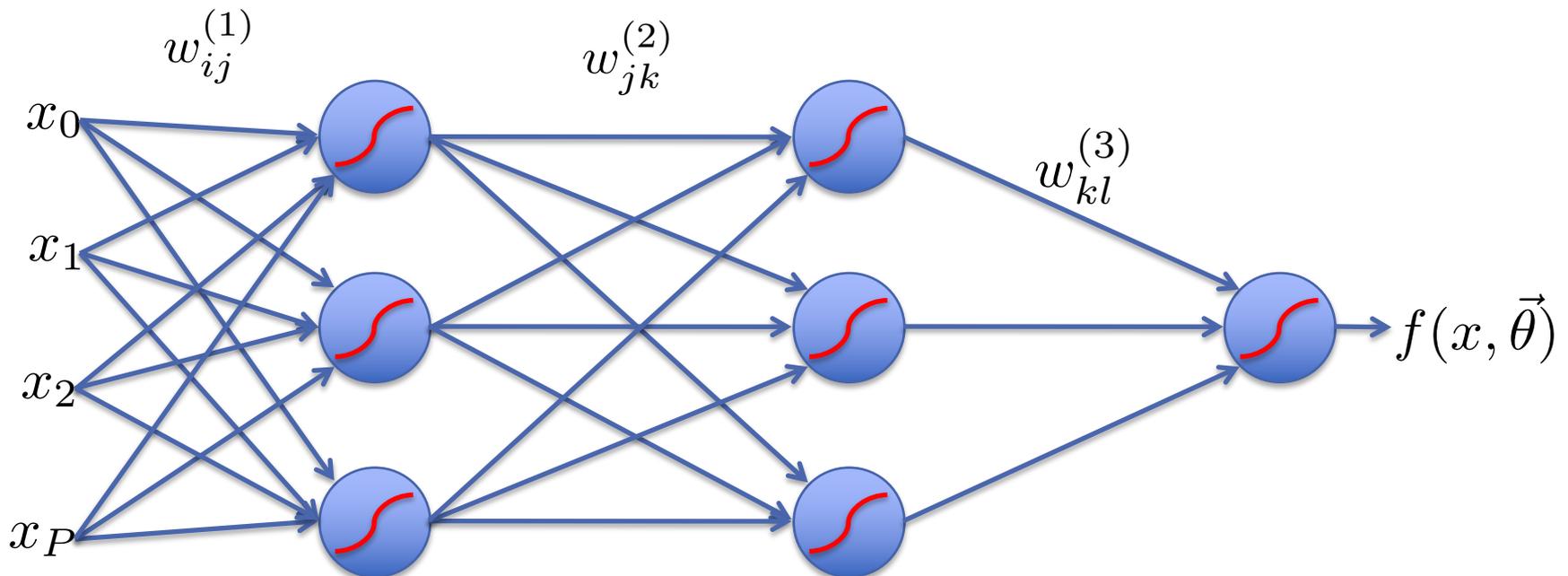
- ▶  $\mathbf{W}^1 \in \mathbb{R}^{d_{in} \times d_{hid}}$ ,  $\mathbf{b}^1 \in \mathbb{R}^{1 \times d_{hid}}$ ; first affine transformation
- ▶  $\mathbf{W}^2 \in \mathbb{R}^{(d_{hid} + d_{in}) \times d_{out}}$ ,  $\mathbf{b}^2 \in \mathbb{R}^{1 \times d_{out}}$ ; second affine transformation

# LEARNING: BACKPROPAGATION

# Error Backpropagation

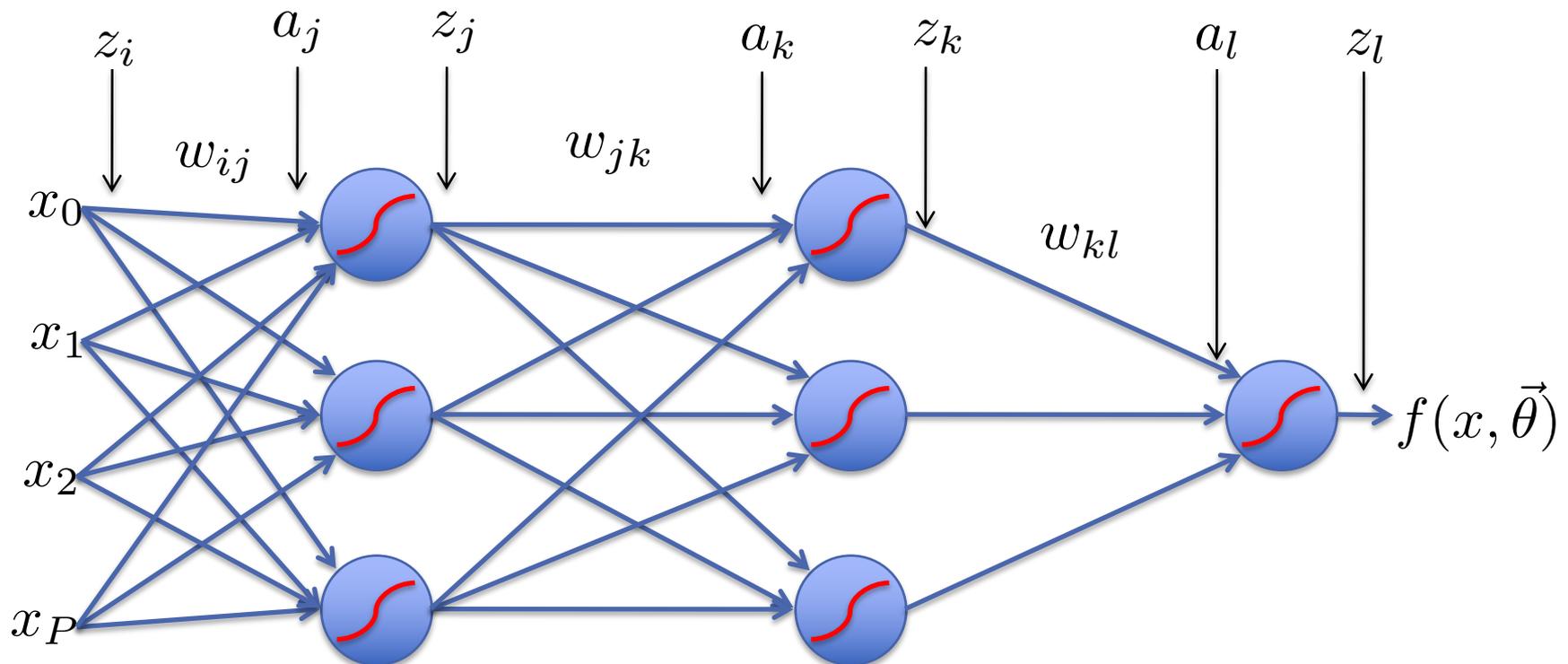
- Model parameters:  $\vec{\theta} = \{w_{ij}^{(1)}, w_{jk}^{(2)}, w_{kl}^{(3)}\}$

for brevity:  $\vec{\theta} = \{w_{ij}, w_{jk}, w_{kl}\}$



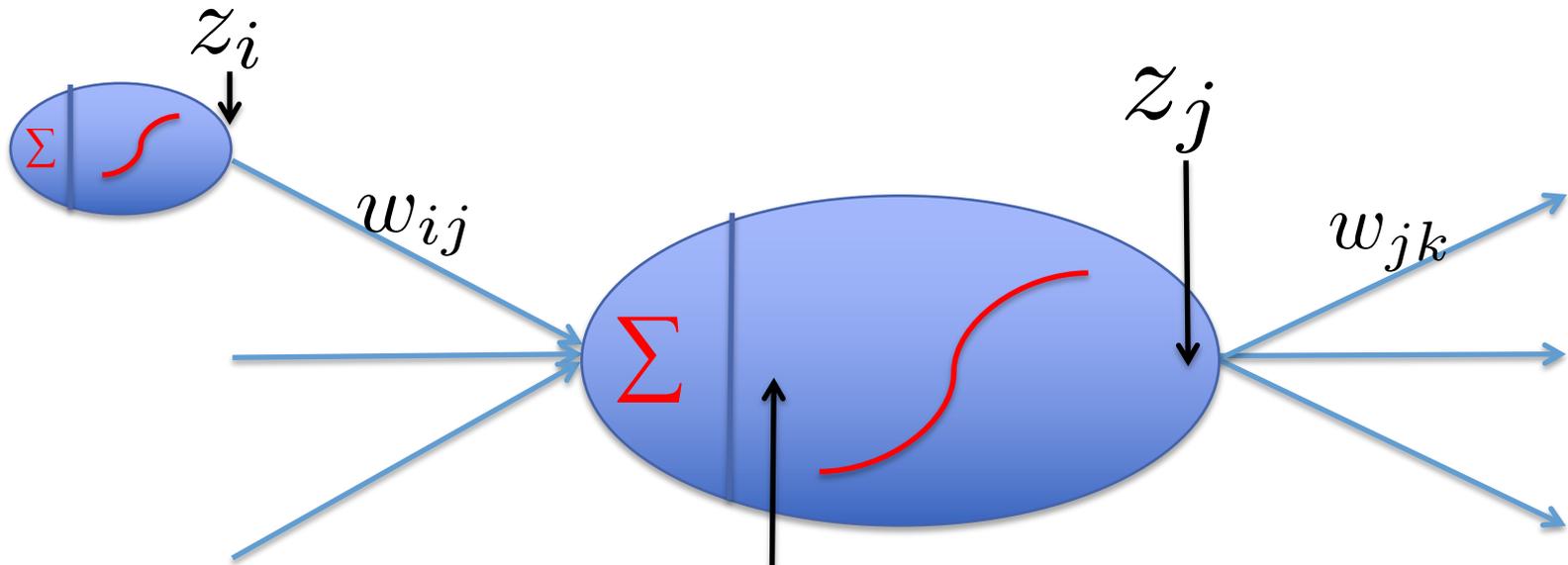
# Error Backpropagation

- Model parameters:  $\vec{\theta} = \{w_{ij}, w_{jk}, w_{kl}\}$
- Let  $a$  and  $z$  be the input and output of each node



# Error Backpropagation

$$z_j = g(a_j)$$

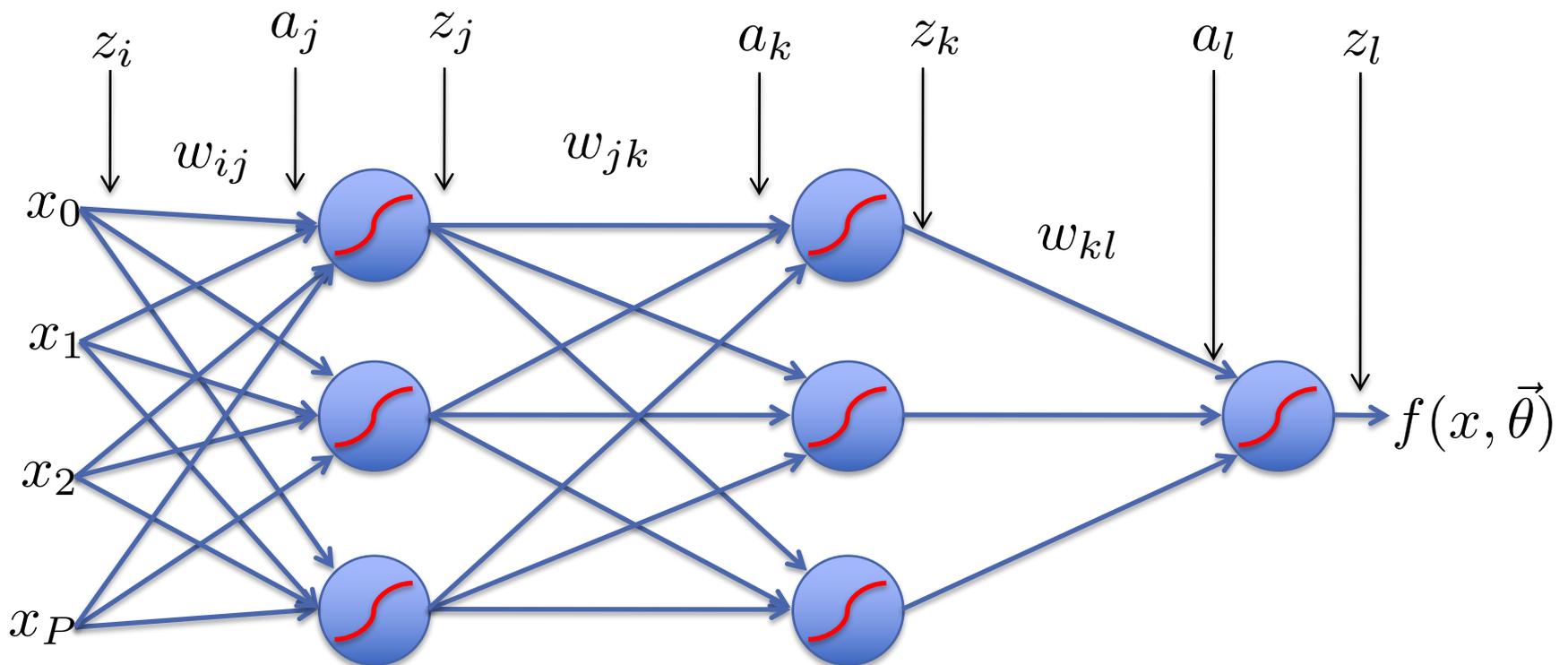


$$a_j = \sum_i w_{ij} z_i$$

- Let  $a$  and  $z$  be the input and output of each node

$$a_j = \sum_i w_{ij} z_i \quad a_k = \boxed{\phantom{000000}} \quad a_l = \boxed{\phantom{000000}}$$

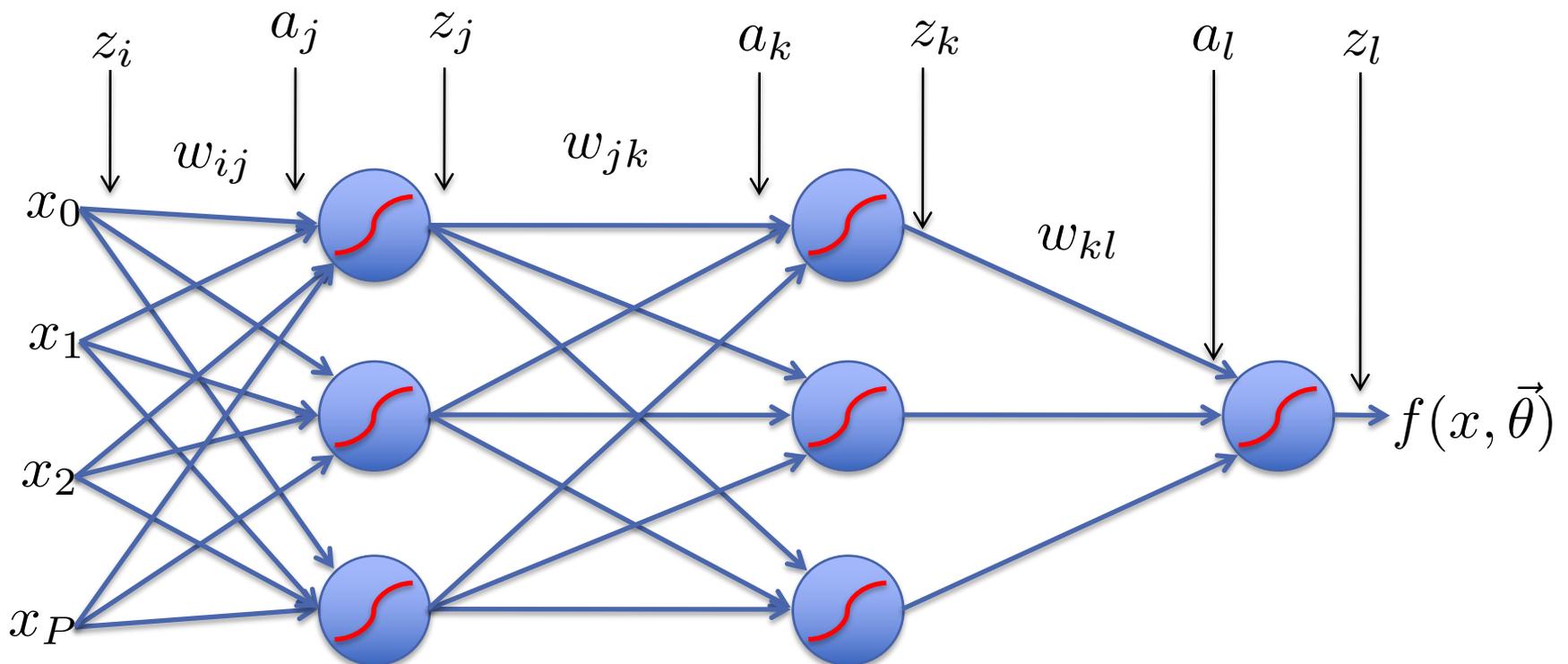
$$z_j = g(a_j) \quad z_k = \boxed{\phantom{000000}} \quad z_l = \boxed{\phantom{000000}}$$



- Let  $a$  and  $z$  be the input and output of each node

$$a_j = \sum_i w_{ij} z_i \quad a_k = \sum_j w_{jk} z_j \quad a_l = \sum_k w_{kl} z_k$$

$$z_j = g(a_j) \quad z_k = g(a_k) \quad z_l = g(a_l)$$



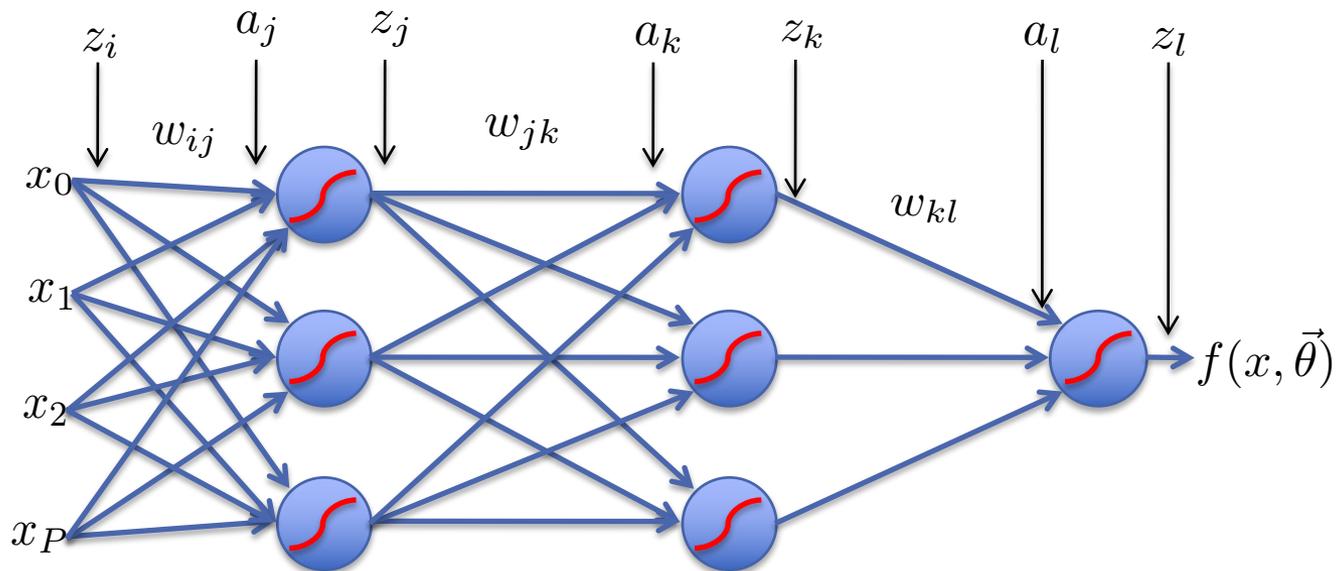
# Training: minimize loss

$$R(\theta) = \frac{1}{N} \sum_{n=0}^N L(y_n - f(x_n))$$

Empirical Risk Function

$$= \frac{1}{N} \sum_{n=0}^N \frac{1}{2} (y_n - f(x_n))^2$$

$$= \frac{1}{N} \sum_{n=0}^N \frac{1}{2} \left( y_n - g \left( g \left( g \left( x_{n,i} \right) \right) \right) \right)^2$$



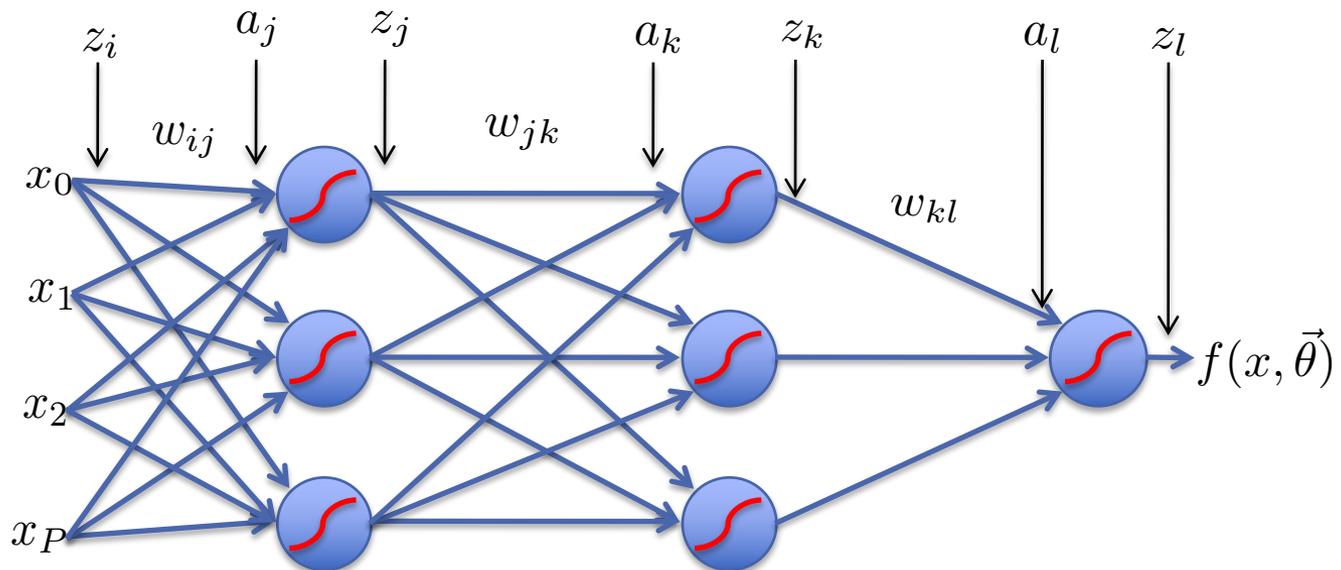
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Empirical Risk Function

$$= \frac{1}{N} \sum_{n=0}^N \frac{1}{2} (y_n - f(x_n))^2$$

$$= \frac{1}{N} \sum_{n=0}^N \frac{1}{2} \left( y_n - g \left( \sum_k w_{kl} g \left( \sum_j w_{jk} g \left( \sum_i w_{ij} x_{n,i} \right) \right) \right) \right)^2$$



# Taking Partial Derivatives...

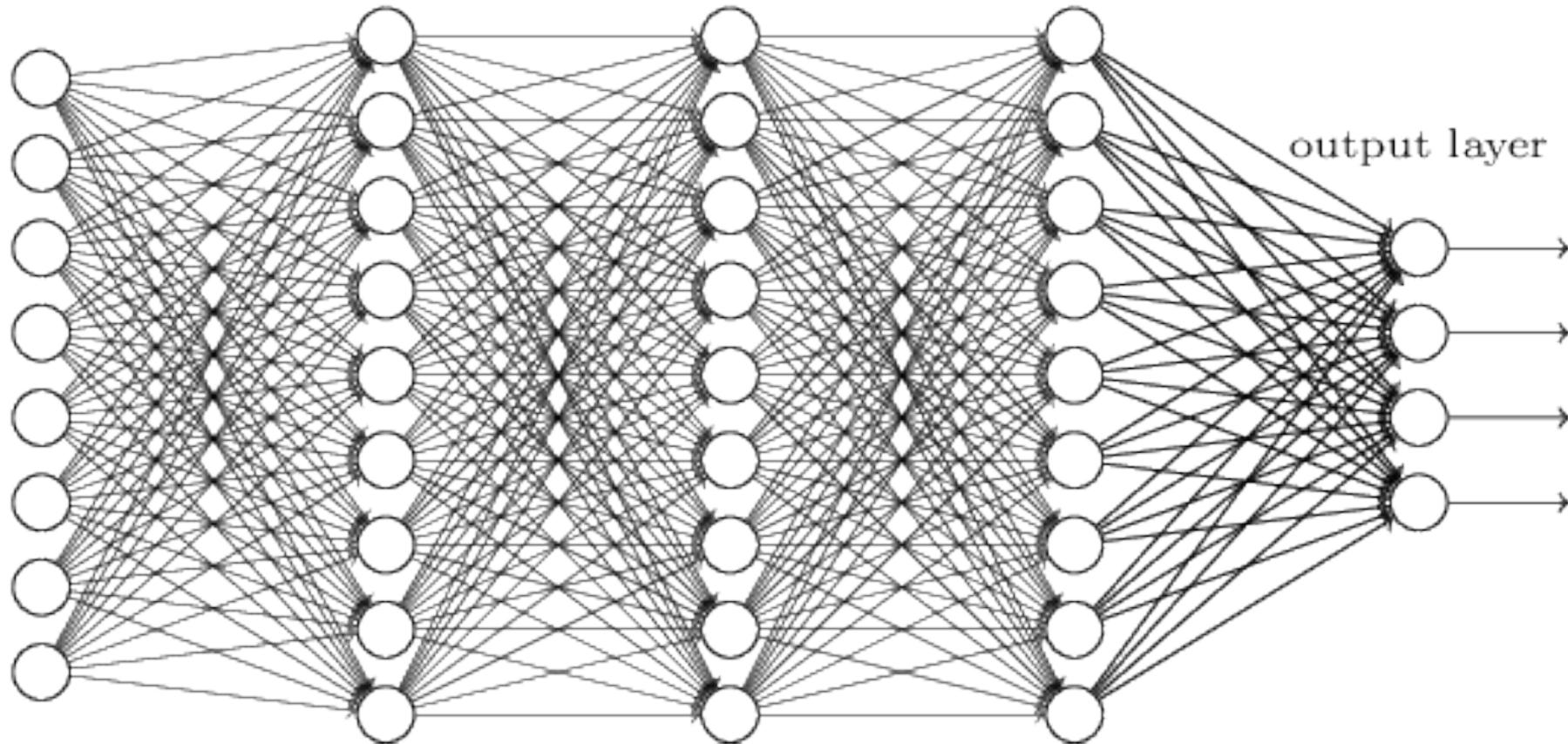
input layer

hidden layer 1

hidden layer 2

hidden layer 3

output layer



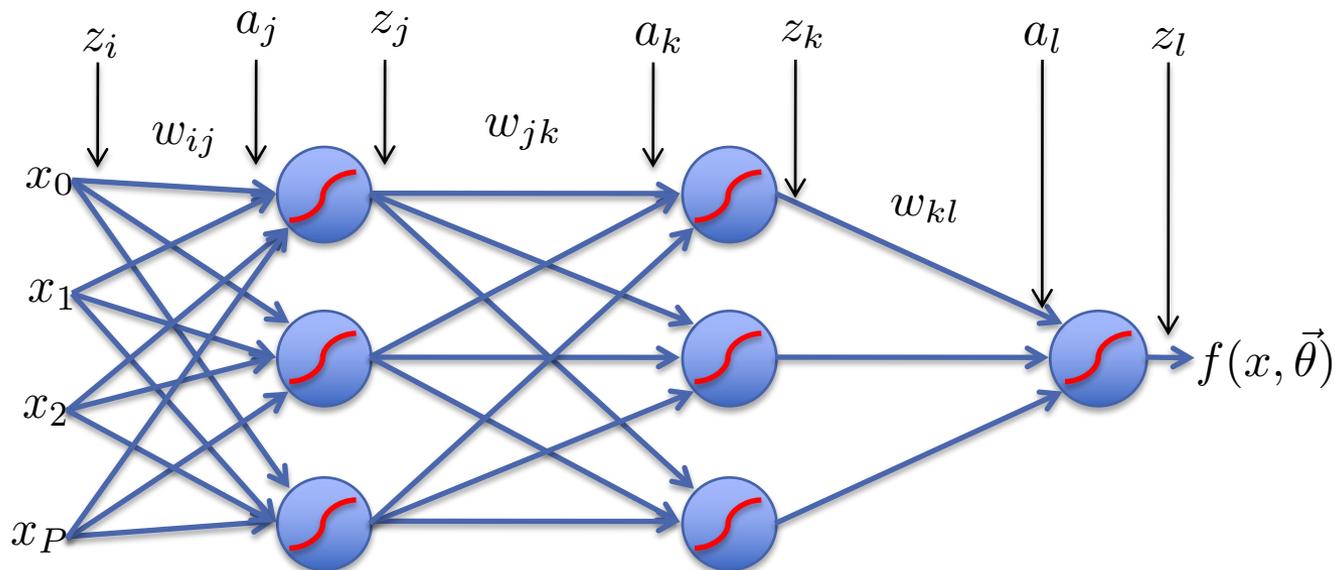
# Error Backpropagation

Optimize last layer weights  $w_{kl}$

$$L_n = \frac{1}{2} (y_n - f(x_n))^2$$

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{l,n}} \right] \left[ \frac{\partial a_{l,n}}{\partial w_{kl}} \right]$$

Calculus chain rule



# Error Backpropagation

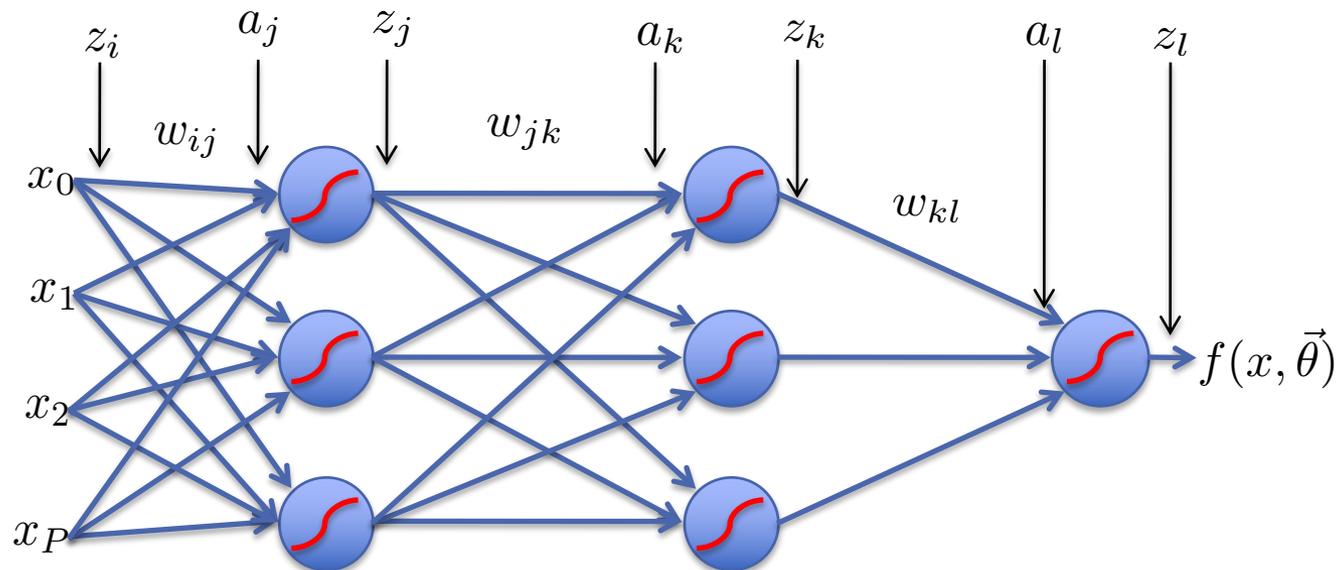
Optimize last layer weights  $w_{kl}$

$$L_n = \frac{1}{2} (y_n - f(x_n))^2$$

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{l,n}} \right] \left[ \frac{\partial a_{l,n}}{\partial w_{kl}} \right]$$

Calculus chain rule

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial \frac{1}{2} (y_n - g(a_{l,n}))^2}{\partial a_{l,n}} \right] \left[ \frac{\partial a_{l,n}}{\partial w_{kl}} \right]$$



# Error Backpropagation

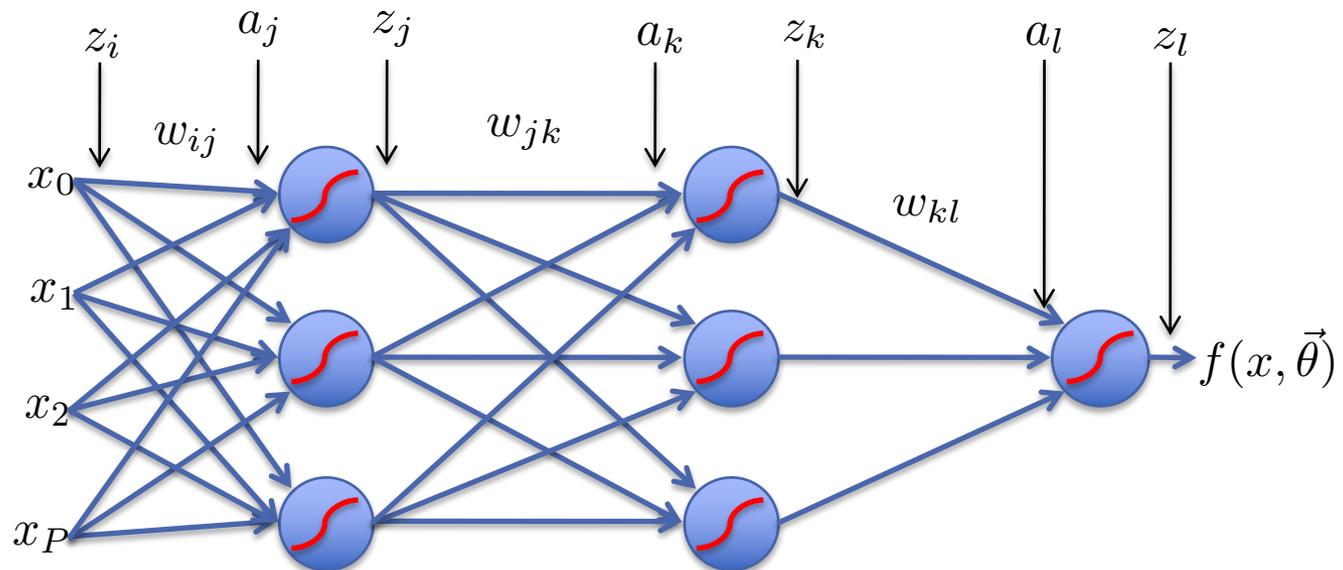
Optimize last layer weights  $w_{kl}$

$$L_n = \frac{1}{2} (y_n - f(x_n))^2$$

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{l,n}} \right] \left[ \frac{\partial a_{l,n}}{\partial w_{kl}} \right]$$

Calculus chain rule

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial \frac{1}{2} (y_n - g(a_{l,n}))^2}{\partial a_{l,n}} \right] \left[ \frac{\partial z_{k,n} w_{kl}}{\partial w_{kl}} \right]$$



# Error Backpropagation

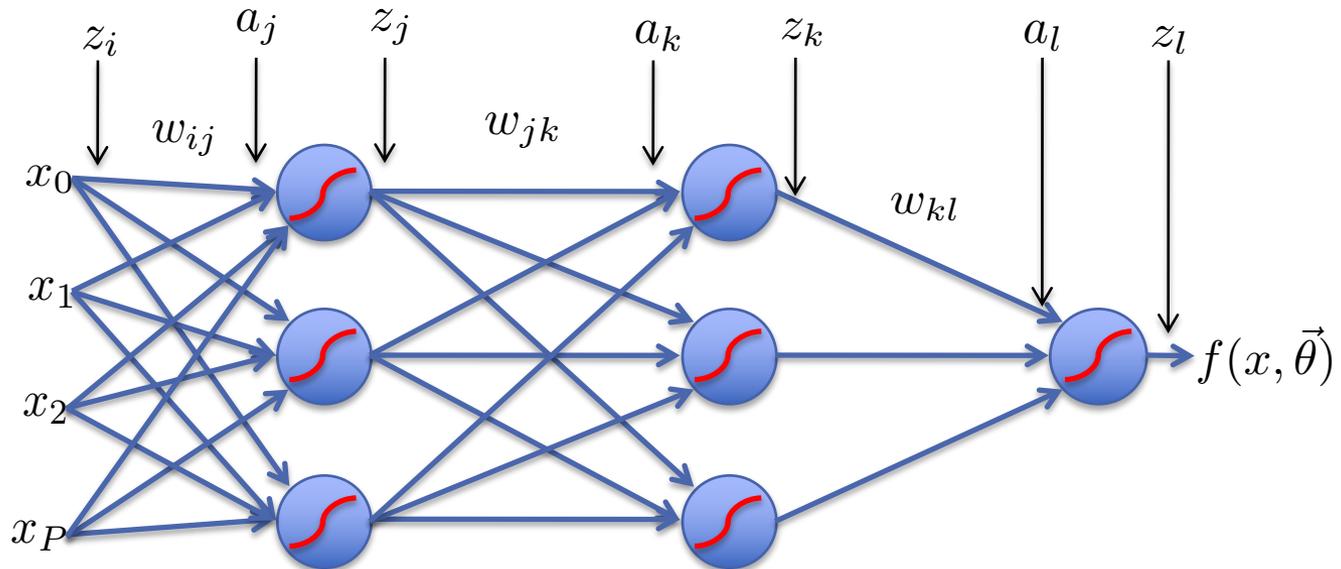
Optimize last layer weights  $w_{kl}$

$$L_n = \frac{1}{2} (y_n - f(x_n))^2$$

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{l,n}} \right] \left[ \frac{\partial a_{l,n}}{\partial w_{kl}} \right]$$

Calculus chain rule

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial \frac{1}{2} (y_n - g(a_{l,n}))^2}{\partial a_{l,n}} \right] \left[ \frac{\partial z_{k,n} w_{kl}}{\partial w_{kl}} \right] = \frac{1}{N} \sum_n [-(y_n - z_{l,n}) g'(a_{l,n})] z_{k,n}$$



# Error Backpropagation

Optimize last layer weights  $w_{kl}$

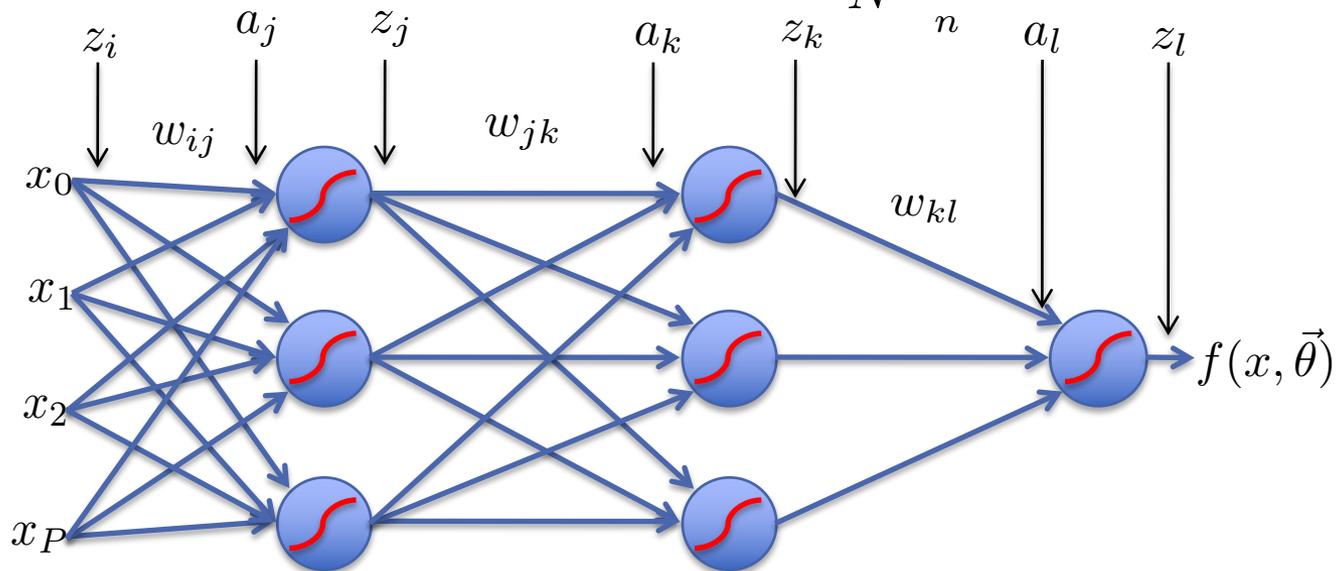
$$L_n = \frac{1}{2} (y_n - f(x_n))^2$$

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{l,n}} \right] \left[ \frac{\partial a_{l,n}}{\partial w_{kl}} \right]$$

Calculus chain rule

$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial \frac{1}{2} (y_n - g(a_{l,n}))^2}{\partial a_{l,n}} \right] \left[ \frac{\partial z_{k,n} w_{kl}}{\partial w_{kl}} \right] = \frac{1}{N} \sum_n [-(y_n - z_{l,n}) g'(a_{l,n})] z_{k,n}$$

$$= \frac{1}{N} \sum_n \delta_{l,n} z_{k,n}$$



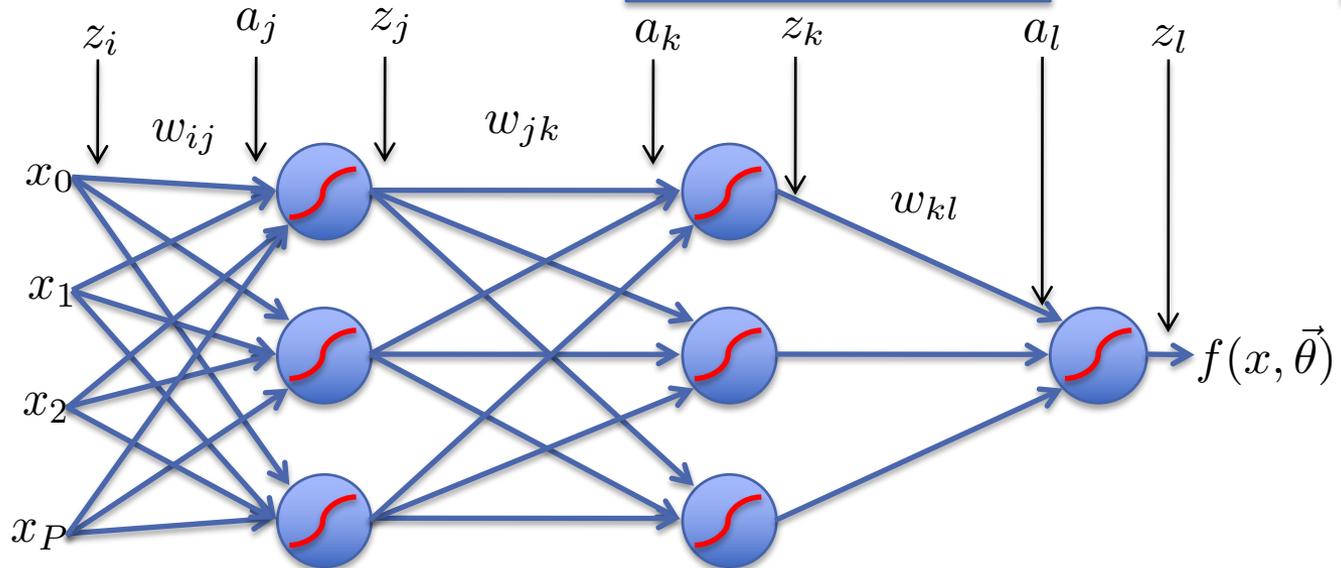
# Error Backpropagation

Repeat for all previous layers

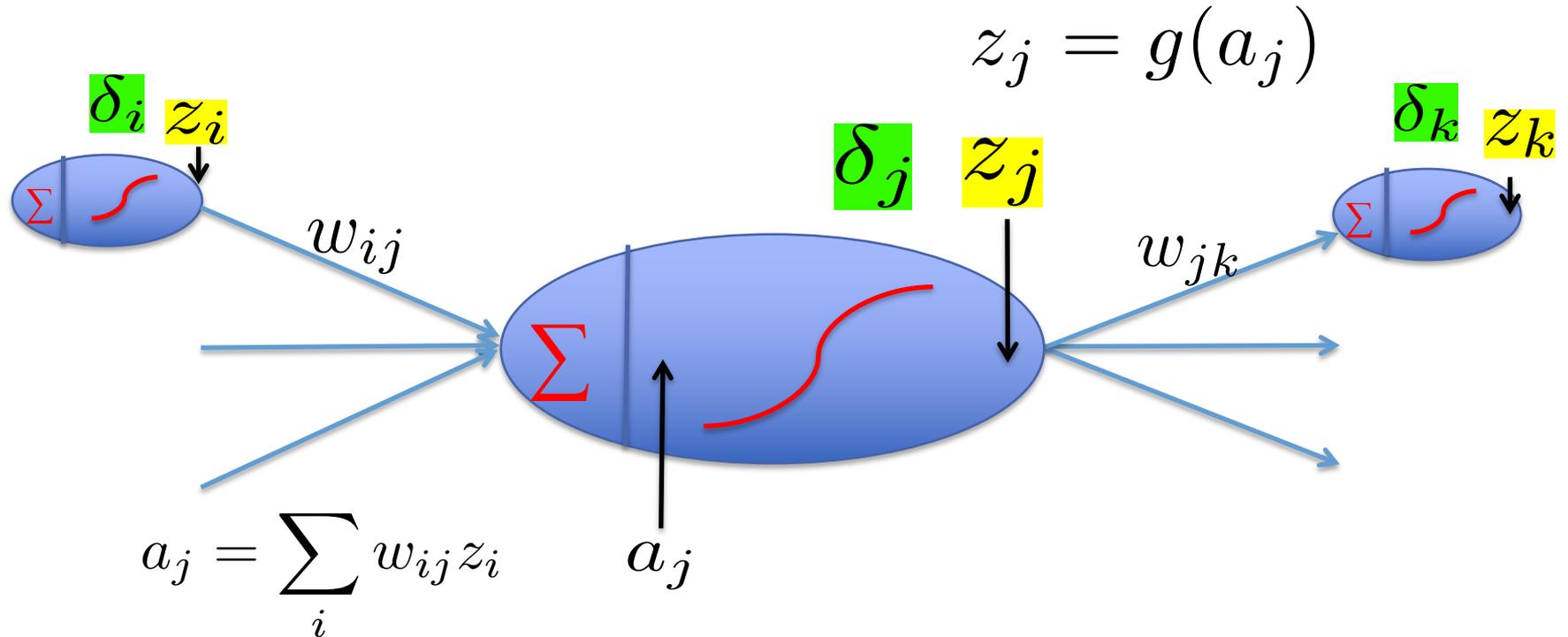
$$\frac{\partial R}{\partial w_{kl}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{l,n}} \right] \left[ \frac{\partial a_{l,n}}{\partial w_{kl}} \right] = \frac{1}{N} \sum_n \left[ -(y_n - z_{l,n}) g'(a_{l,n}) \right] z_{k,n} = \frac{1}{N} \sum_n \delta_{l,n} z_{k,n}$$

$$\frac{\partial R}{\partial w_{jk}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{k,n}} \right] \left[ \frac{\partial a_{k,n}}{\partial w_{jk}} \right] = \frac{1}{N} \sum_n \left[ \sum_l \delta_{l,n} w_{kl} g'(a_{k,n}) \right] z_{j,n} = \frac{1}{N} \sum_n \delta_{k,n} z_{j,n}$$

$$\frac{\partial R}{\partial w_{ij}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{j,n}} \right] \left[ \frac{\partial a_{j,n}}{\partial w_{ij}} \right] = \frac{1}{N} \sum_n \left[ \sum_k \delta_{k,n} w_{jk} g'(a_{j,n}) \right] z_{i,n} = \frac{1}{N} \sum_n \delta_{j,n} z_{i,n}$$



# Backprop Recursion



$$\frac{\partial R}{\partial w_{jk}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{k,n}} \right] \left[ \frac{\partial a_{k,n}}{\partial w_{jk}} \right] = \frac{1}{N} \sum_n \left[ \sum_l \delta_{l,n} w_{kl} g'(a_{k,n}) \right] z_{j,n} = \frac{1}{N} \sum_n \delta_{k,n} z_{j,n}$$

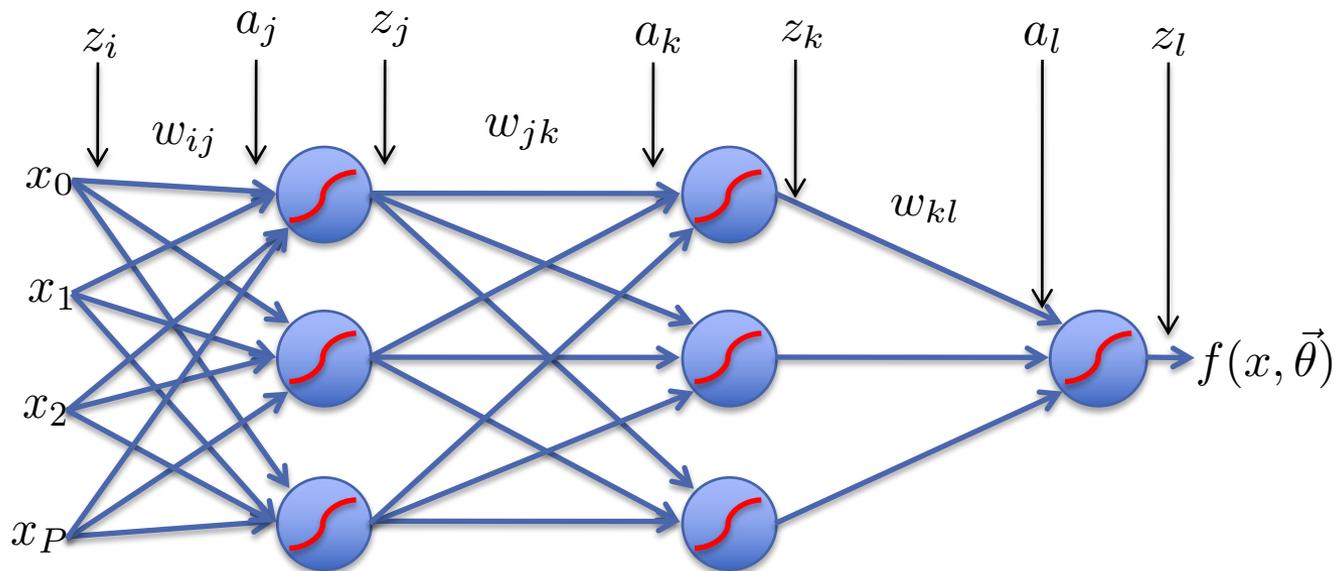
$$\frac{\partial R}{\partial w_{ij}} = \frac{1}{N} \sum_n \left[ \frac{\partial L_n}{\partial a_{j,n}} \right] \left[ \frac{\partial a_{j,n}}{\partial w_{ij}} \right] = \frac{1}{N} \sum_n \left[ \sum_k \delta_{k,n} w_{jk} g'(a_{j,n}) \right] z_{i,n} = \frac{1}{N} \sum_n \delta_{j,n} z_{i,n}$$

# Learning: Gradient Descent

$$w_{ij}^{t+1} = w_{ij}^t - \eta \frac{\partial R}{\partial w_{ij}}$$

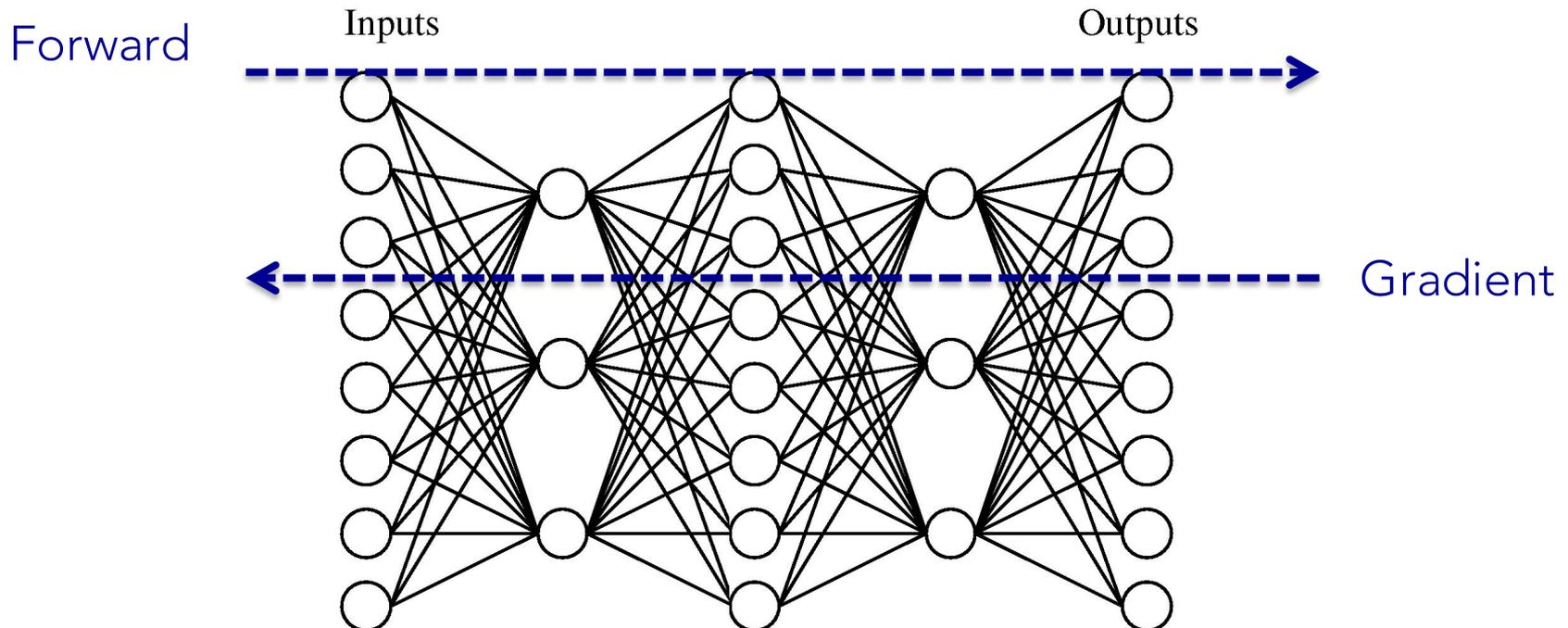
$$w_{jk}^{t+1} = w_{jk}^t - \eta \frac{\partial R}{\partial w_{jk}}$$

$$w_{kl}^{t+1} = w_{kl}^t - \eta \frac{\partial R}{\partial w_{kl}}$$



# Backpropagation

- Starts with a forward sweep to compute all the intermediate function values  $z_i$
- Through backprop, computes the partial derivatives recursively  $\delta_j \frac{\partial R}{\partial w_{ij}}$
- A form of dynamic programming
  - Instead of considering exponentially many paths between a weight  $w_{ij}$  and the final loss (risk), store and reuse intermediate results.
- A type of automatic differentiation. (there are other variants e.g., recursive differentiation only through forward propagation.)

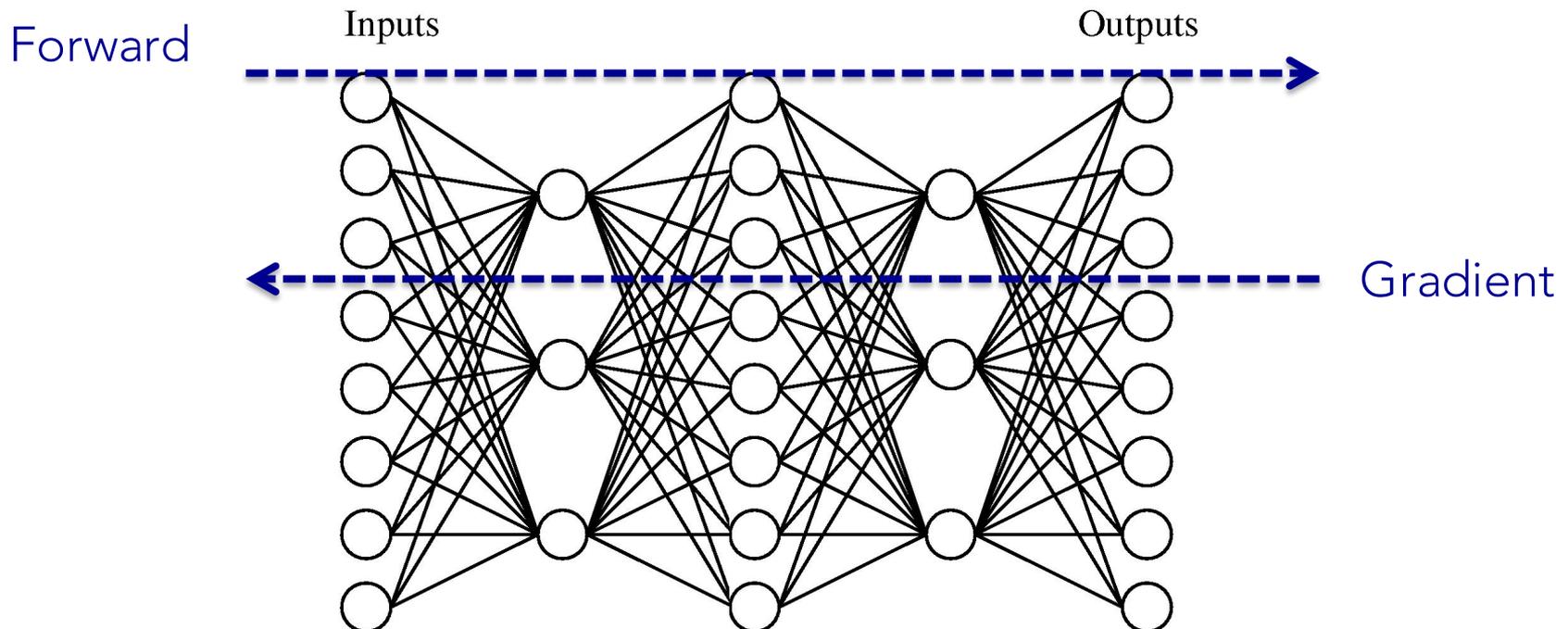


# Backpropagation

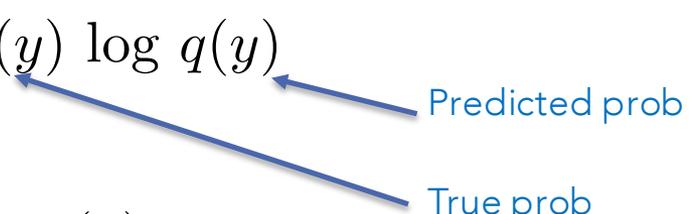
- TensorFlow (<https://www.tensorflow.org/>)
- Torch (<http://torch.ch/>)
- Theano (<http://deeplearning.net/software/theano/>)
- CNTK (<https://github.com/Microsoft/CNTK>)
- cnn (<https://github.com/clab/cnn>)
- Caffe (<http://caffe.berkeleyvision.org/>)

Primary Interface Language

- Python
- Lua
- Python
- C++
- C++
- C++



# Cross Entropy Loss (aka log loss, logistic loss)

- Cross Entropy  $H(p, q) = - \sum_y p(y) \log q(y)$ 
- Related quantities
  - Entropy  $H(p) = \sum_y p(y) \log p(y)$
  - KL divergence (the distance between two distributions p and q)

$$D_{KL}(p||q) = \sum_y p(y) \log \frac{p(y)}{q(y)}$$

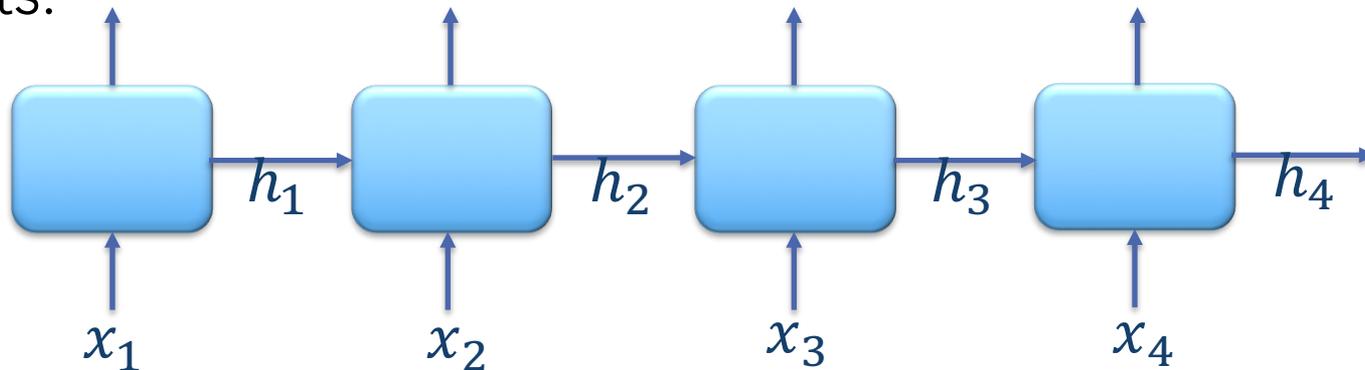
$$H(p, q) = E_p[-\log q] = H(p) + D_{KL}(p||q)$$

- Use Cross Entropy for models that should have more probabilistic flavor (e.g., language models)
- Use **Mean Squared Error** loss for models that focus on correct/incorrect predictions

$$\text{MSE} = \frac{1}{2}(y - f(x))^2$$

# RNN Learning: Backprop Through Time (BPTT)

- Similar to backprop with non-recurrent NNs
- But unlike feedforward (non-recurrent) NNs, each unit in the computation graph repeats the exact same parameters...
- Backprop gradients of the parameters of each unit as if they are different parameters
- When updating the parameters using the gradients, use the average gradients throughout the entire chain of units.



# LEARNING: TRAINING DEEP NETWORKS

# Vanishing / exploding Gradients

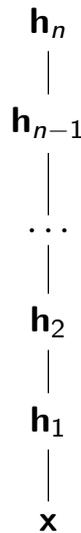
- Deep networks are hard to train
- Gradients go through multiple layers
- The multiplicative effect tends to lead to *exploding* or *vanishing* gradients
- Practical solutions w.r.t.
  - network architecture
  - numerical operations

# Vanishing / exploding Gradients

- Practical solutions w.r.t. network architecture
  - Add skip connections to reduce distance
    - Residual networks, highway networks, ...
  - Add gates (and memory cells) to allow longer term memory
    - LSTMs, GRUs, memory networks, ...

# Gradients of deep networks

$$NN_{layer}(\mathbf{x}) = \text{ReLU}(\mathbf{x}\mathbf{W}^1 + \mathbf{b}^1)$$



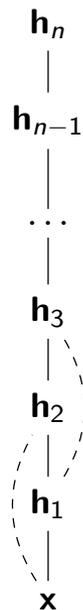
- ▶ Can have similar issues with vanishing gradients.

$$\frac{\partial L}{\partial h_{n-1,j_{n-1}}} = \sum_{j_n} \mathbf{1}(h_{n,j_n} > 0) W_{j_{n-1},j_n} \frac{\partial L}{\partial h_{n,j_n}}$$

# Effects of Skip Connections on Gradients

- Thought Experiment: Additive Skip-Connections

$$NN_{s/1}(\mathbf{x}) = \frac{1}{2} \text{ReLU}(\mathbf{x}\mathbf{W}^1 + \mathbf{b}^1) + \frac{1}{2}\mathbf{x}$$



$$\frac{\partial L}{\partial h_{n-1,j_{n-1}}} = \frac{1}{2} \left( \sum_{j_n} \mathbf{1}(h_{n,j_n} > 0) W_{j_{n-1},j_n} \frac{\partial L}{\partial h_{n,j_n}} \right) + \frac{1}{2} \left( h_{n-1,j_{n-1}} \frac{\partial L}{\partial h_{n,j_{n-1}}} \right)$$

# Effects of Skip Connections on Gradients

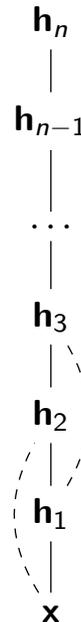
- Thought Experiment: Dynamic Skip-Connections

$$NN_{sl2}(\mathbf{x}) = (1 - t) \text{ReLU}(\mathbf{x}\mathbf{W}^1 + \mathbf{b}^1) + t\mathbf{x}$$

$$t = \sigma(\mathbf{x}\mathbf{W}^t + b^t)$$

$$\mathbf{W}^1 \in \mathbb{R}^{d_{\text{hid}} \times d_{\text{hid}}}$$

$$\mathbf{W}^t \in \mathbb{R}^{d_{\text{hid}} \times 1}$$



# Highway Network (Srivastava et al., 2015)

- A plain feedforward neural network:

$$\mathbf{y} = H(\mathbf{x}, \mathbf{W}_H).$$

- H is a typical affine transformation followed by a non-linear activation

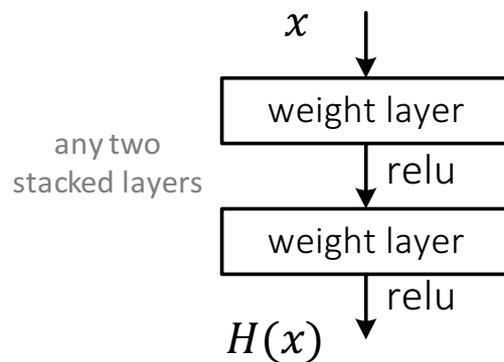
- Highway network:

$$\mathbf{y} = H(\mathbf{x}, \mathbf{W}_H) \cdot T(\mathbf{x}, \mathbf{W}_T) + \mathbf{x} \cdot C(\mathbf{x}, \mathbf{W}_C).$$

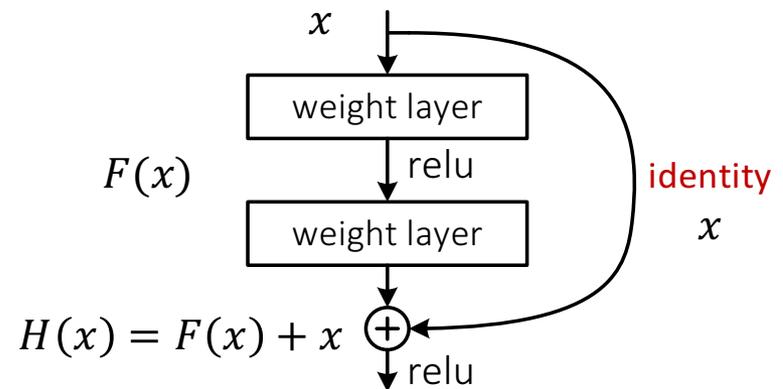
- T is a “transform gate”
- C is a “carry gate”
- Often  $C = 1 - T$  for simplicity

# Residual Networks

- Plain net



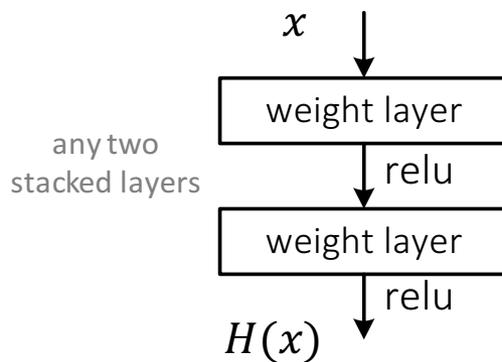
- Residual net



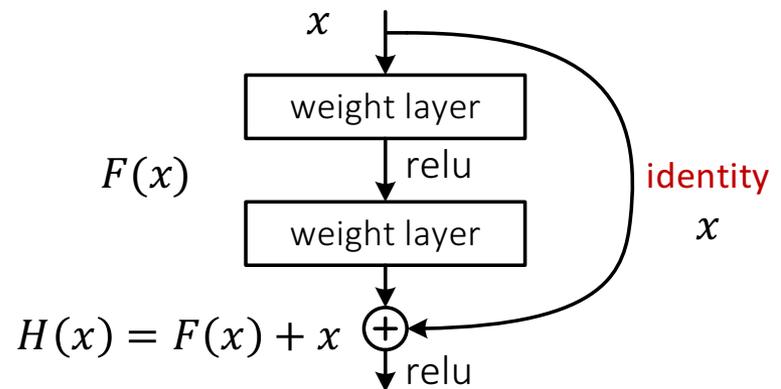
- ResNet (He et al. 2015): first very deep (152 layers) network successfully trained for object recognition

# Residual Networks

- Plain net



- Residual net

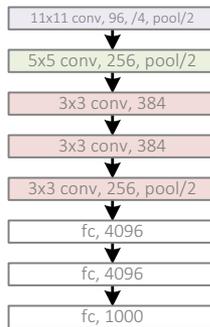


- $F(x)$  is a residual mapping with respect to identity
- Direct input connection  $+x$  leads to a nice property w.r.t. back propagation --- more direct influence from the final loss to any deep layer
- In contrast, LSTMs & Highway networks allow for long distance input connection only through "gates".

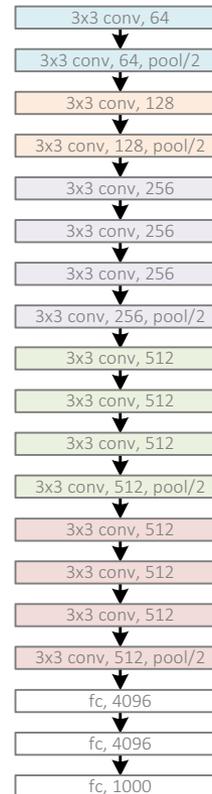
# Residual Networks

## Revolution of Depth

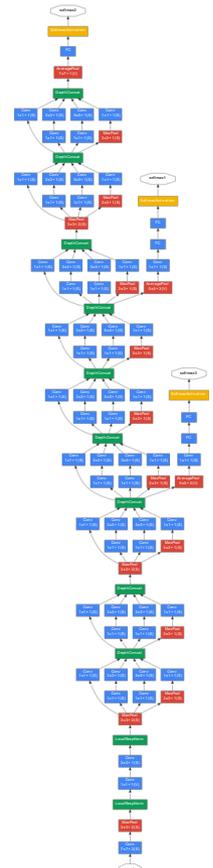
AlexNet, 8 layers  
(ILSVRC 2012)



VGG, 19 layers  
(ILSVRC 2014)



GoogleNet, 22 layers  
(ILSVRC 2014)



Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". CVPR 2016

# Residual Networks

## Revolution of Depth

AlexNet, 8 layers  
(ILSVRC 2012)



VGG, 19 layers  
(ILSVRC 2014)



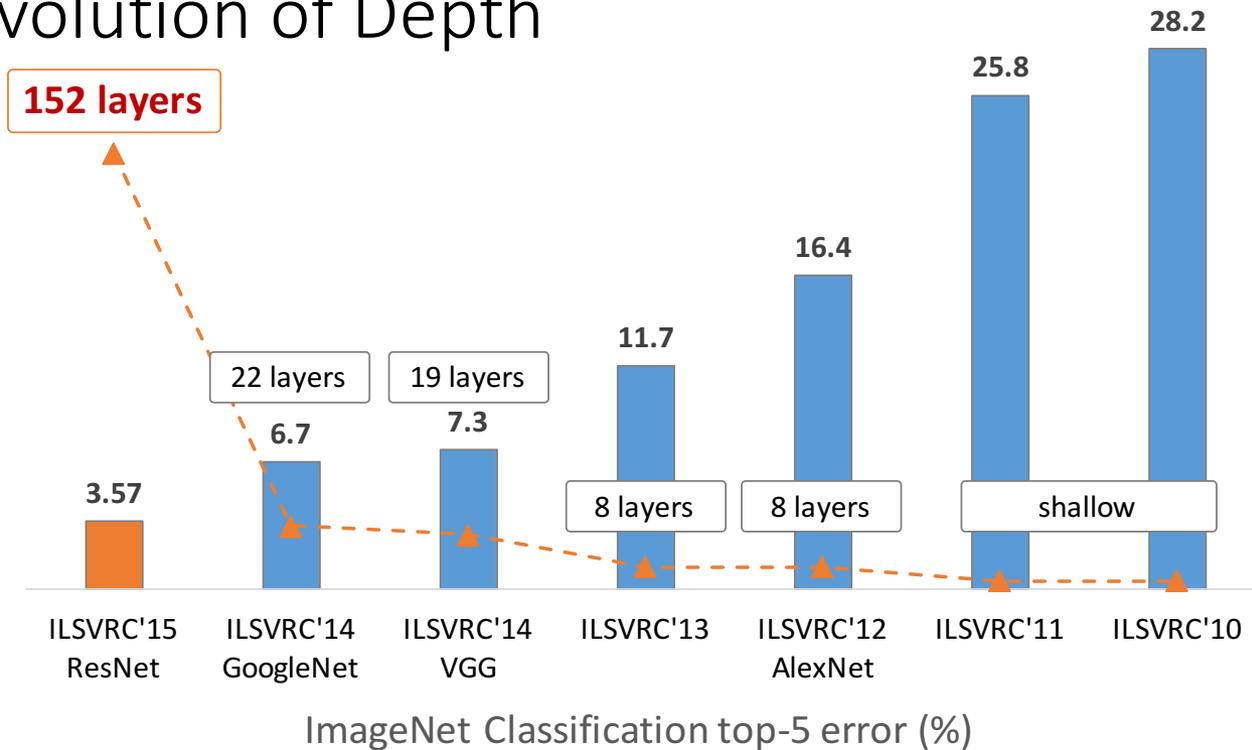
ResNet, 152 layers  
(ILSVRC 2015)



Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". CVPR 2016.

# Residual Networks

## Revolution of Depth



Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". CVPR 2016.

# Highway Network (Srivastava et al., 2015)

- A plain feedforward neural network:

$$\mathbf{y} = H(\mathbf{x}, \mathbf{W}_H).$$

- H is a typical affine transformation followed by a non-linear activation

- Highway network:

$$\mathbf{y} = H(\mathbf{x}, \mathbf{W}_H) \cdot T(\mathbf{x}, \mathbf{W}_T) + \mathbf{x} \cdot C(\mathbf{x}, \mathbf{W}_C).$$

- T is a “transform gate”
- C is a “carry gate”
- Often  $C = 1 - T$  for simplicity

@Schmidhubered



# Vanishing / exploding Gradients

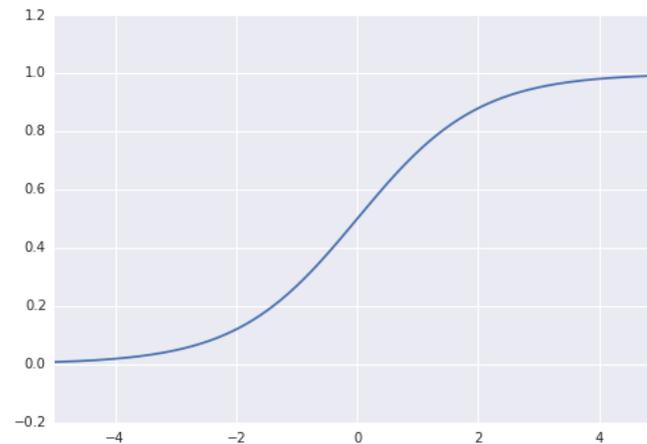
- Practical solutions w.r.t. numerical operations
  - **Gradient Clipping**: bound gradients by a max value
  - **Gradient Normalization**: renormalize gradients when they are above a fixed norm
  - Careful initialization, smaller learning rates
  - Avoid saturating nonlinearities (like tanh, sigmoid)
    - ReLU or hard-tanh instead

# Sigmoid

- Often used for gates
- Pro: neuron-like, differentiable
- Con: gradients saturate to zero almost everywhere except  $x$  near zero => vanishing gradients
- Batch normalization helps

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$

$$\sigma'(x) = \sigma(x)(1 - \sigma(x))$$



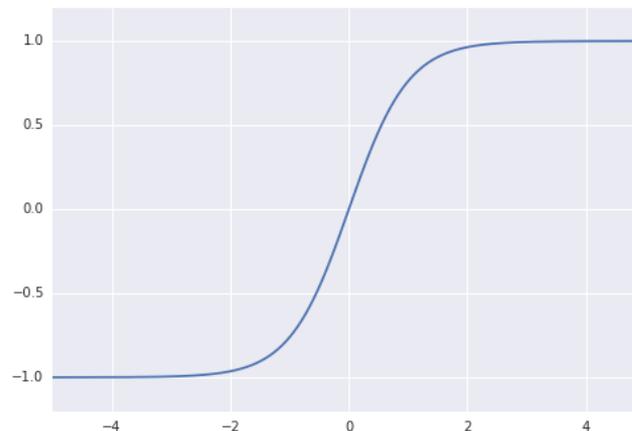
# Tanh

- Often used for hidden states & cells in RNNs, LSTMs
- Pro: differentiable, often converges faster than sigmoid
- Con: gradients easily saturate to zero => vanishing gradients

$$\tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

$$\tanh'(x) = 1 - \tanh^2(x)$$

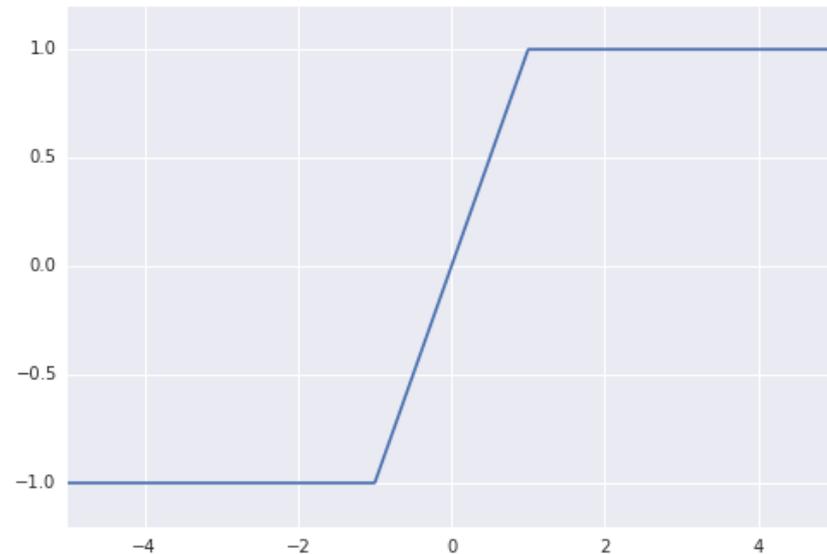
$$\tanh(x) = 2\sigma(2x) - 1$$



# Hard Tanh

- Pro: computationally cheaper
- Con: saturates to zero easily, doesn't differentiate at 1, -1

$$\text{hardtanh}(t) = \begin{cases} -1 & t < -1 \\ t & -1 \leq t \leq 1 \\ 1 & t > 1 \end{cases}$$

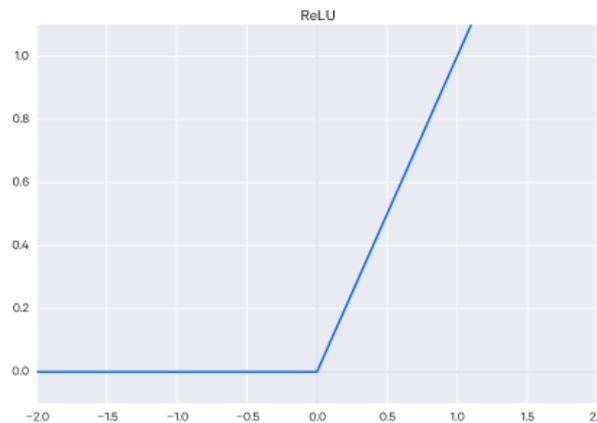


# ReLU

- Pro: doesn't saturate for  $x > 0$ , computationally cheaper, induces sparse NNs
- Con: non-differentiable at 0
- Used widely in deep NN, but not as much in RNNs
- We informally use subgradients:

$$\text{ReLU}(x) = \max(0, x)$$

$$\frac{d \text{ReLU}(x)}{dx} = \begin{cases} 1 & x > 0 \\ 0 & x < 0 \\ 1 \text{ or } 0 & \text{o.w} \end{cases}$$



# Vanishing / exploding Gradients

- Practical solutions w.r.t. numerical operations
  - **Gradient Clipping**: bound gradients by a max value
  - **Gradient Normalization**: renormalize gradients when they are above a fixed norm
  - Careful initialization, smaller learning rates
  - Avoid saturating nonlinearities (like tanh, sigmoid)
    - ReLU or hard-tanh instead
  - **Batch Normalization**: add intermediate input normalization layers

# Batch Normalization

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_{1\dots m}\}$ ;  
Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{ mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \quad // \text{ mini-batch variance}$$

$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{ normalize}$$

$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{ scale and shift}$$

# Regularization

- Regularization by objective term

$$\mathcal{L}(\theta) = \sum_{i=1}^n \max\{0, 1 - (\hat{y}_c - \hat{y}_{c'})\} + \lambda \|\theta\|^2$$

- Modify loss with L1 or L2 norms
- Less depth, smaller hidden states, early stopping
- **Dropout**
  - Randomly delete parts of network during training
  - Each node (and its corresponding incoming and outgoing edges) dropped with a probability  $p$
  - $P$  is higher for internal nodes, lower for input nodes
  - The full network is used for testing
  - Faster training, better results
  - Vs. Bagging

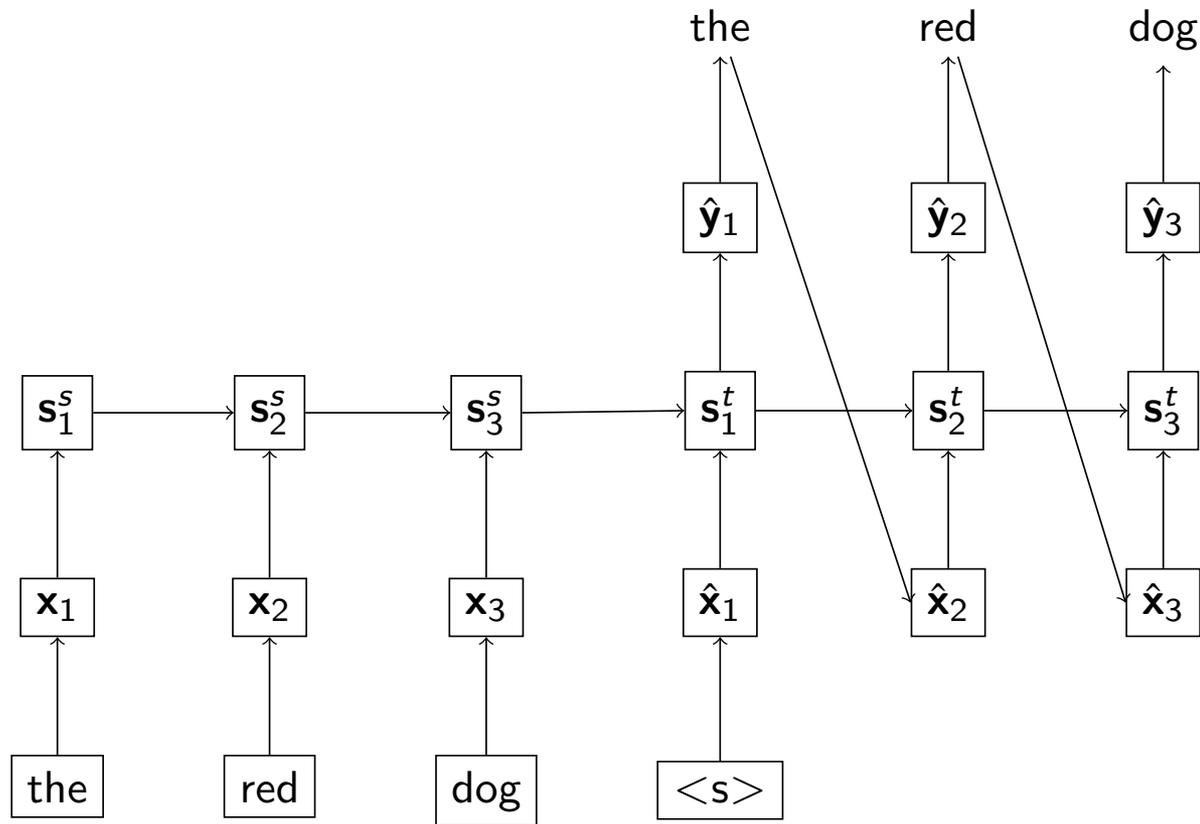
# Convergence of backprop

- Without non-linearity or hidden layers, learning is convex optimization
  - Gradient descent reaches **global minima**
- Multilayer neural nets (with nonlinearity) are **not convex**
  - Gradient descent gets stuck in local minima
  - Selecting number of hidden units and layers = fuzzy process
  - NNs have made a HUGE comeback in the last few years
    - Neural nets are back with a new name
      - Deep belief networks
      - Huge error reduction when trained with lots of data on GPUs

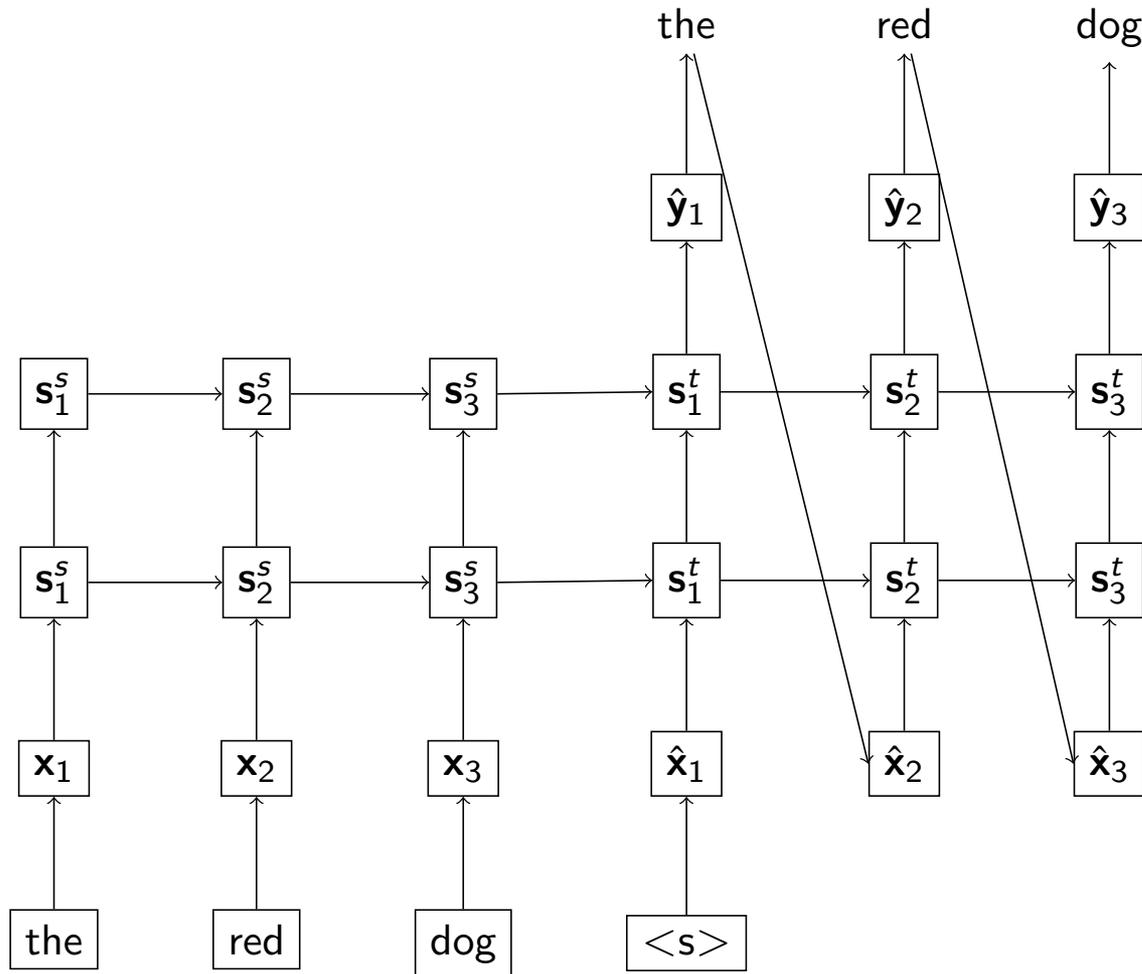
**ATTENTION!**

# Encoder – Decoder Architecture

## Sequence-to-Sequence



# Encoder – Decoder Architecture



# Trial: Hard Attention

- At each step generating the target word  $\mathbf{s}_i^t$
- Compute the best alignment to the source word  $\mathbf{s}_j^s$
- And incorporate the source word to generate the target word

$$w_{i+1}^t = \operatorname{argmax}_w O(w, s_{i+1}^t, s_j^s)$$

- Contextual *hard* alignment. How?

$$z_j = \tanh([s_i^t, s_j^s]W + b)$$

$$j = \operatorname{argmax}_j z_j$$

- Problem?

# Attention: Soft Alignments

- At each step generating the target word  $\mathbf{s}_i^t$
- Compute the **attention**  $\mathbf{c}$  to the source sequence  $\mathbf{s}^s$
- And incorporate the **attention** to generate the target word  
$$w_{i+1}^t = \operatorname{argmax}_w O(w, s_{i+1}^t, c)$$

- Contextual *attention* as soft alignment. How?

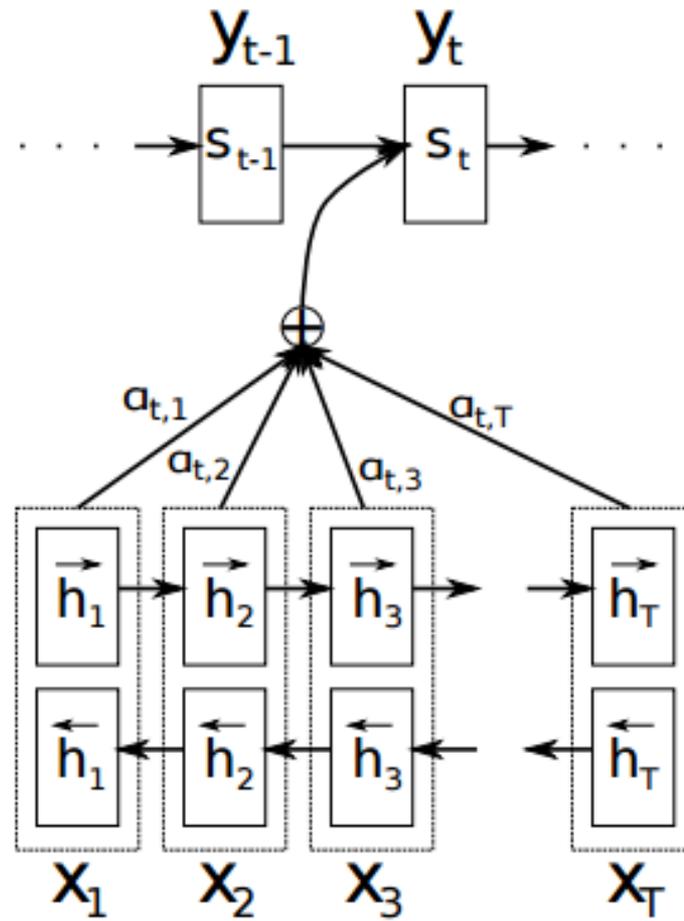
$$z_j = \tanh([s_i^t, s_j^s]W + b)$$

$$\alpha = \operatorname{softmax}(z)$$

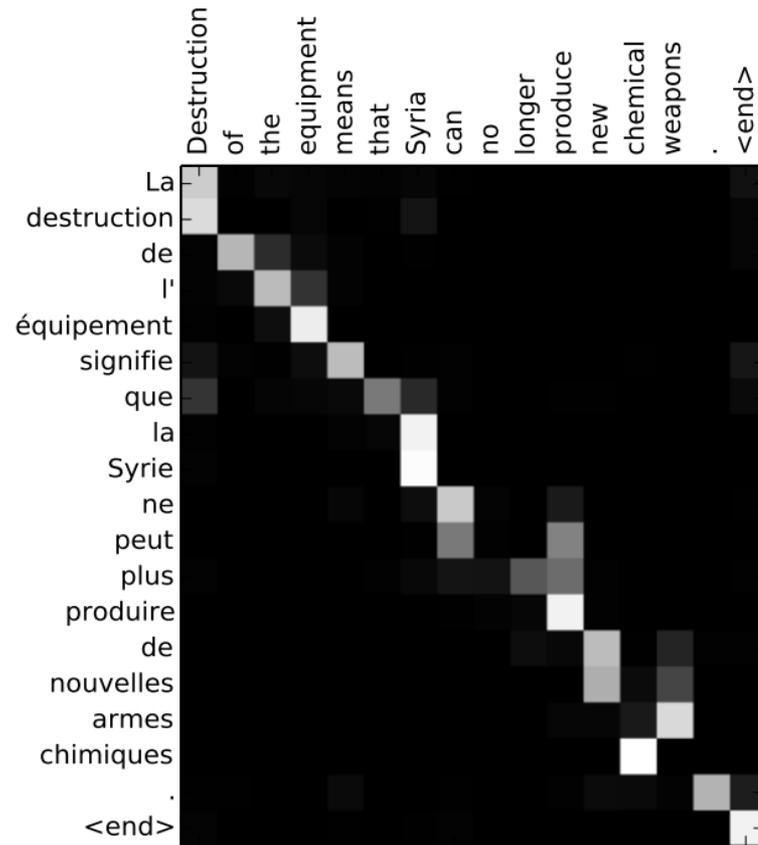
$$c = \sum_j \alpha_j s_j^s$$

- Step-1: compute the attention weights
- Step-2: compute the attention vector as interpolation

# Attention



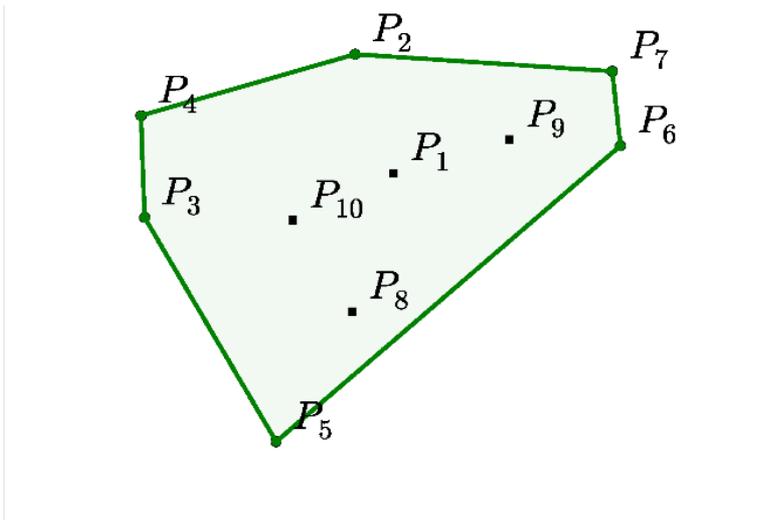
# Learned Attention!



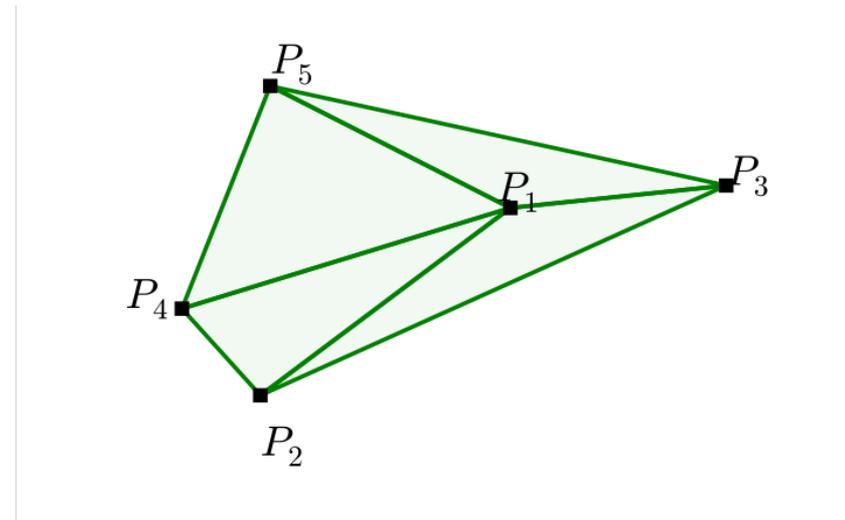
# POINTER NETWORKS

# Pointer Networks! (Vinyals et al. 2015)

- NNs with attention: content-based attention to input
- Pointer networks: location-based attention to input
- Applications: Convex hull, Delaunay Triangulation, Traveling Salesman

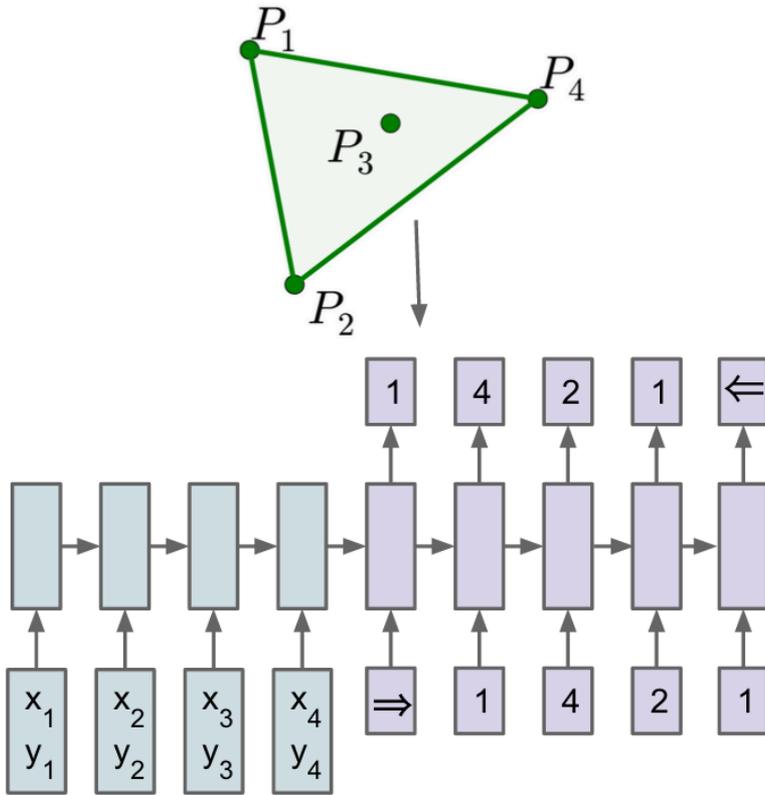


(a) Input  $\mathcal{P} = \{P_1, \dots, P_{10}\}$ , and the output sequence  $\mathcal{C}^{\mathcal{P}} = \{\Rightarrow, 2, 4, 3, 5, 6, 7, 2, \Leftarrow\}$  representing its convex hull.

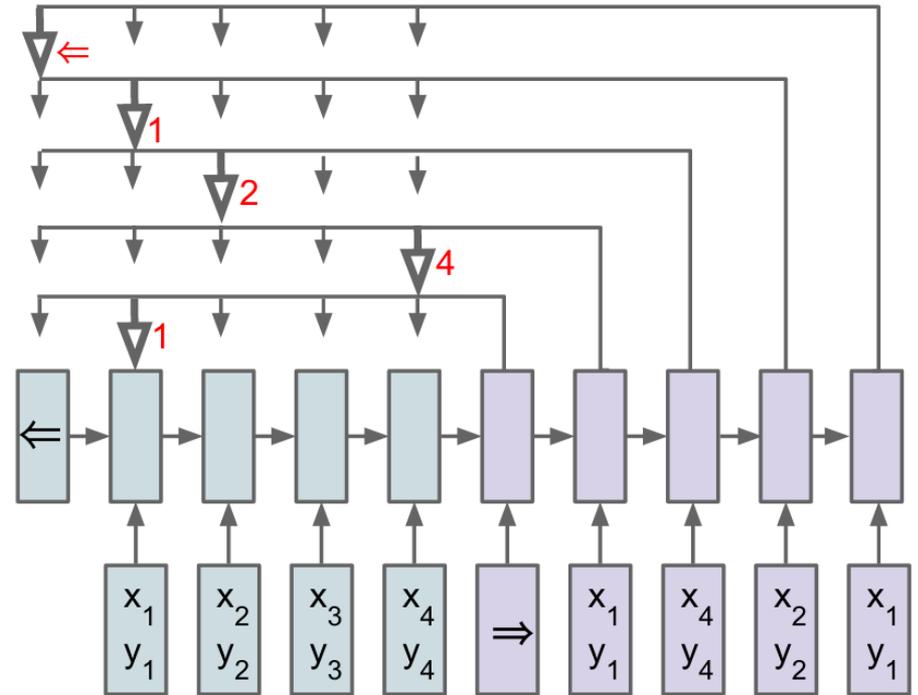


(b) Input  $\mathcal{P} = \{P_1, \dots, P_5\}$ , and the output  $\mathcal{C}^{\mathcal{P}} = \{\Rightarrow, (1, 2, 4), (1, 4, 5), (1, 3, 5), (1, 2, 3), \Leftarrow\}$  representing its Delaunay Triangulation.

# Pointer Networks



(a) Sequence-to-Sequence



(b) Ptr-Net

# Pointer Networks

## Attention Mechanism vs Pointer Networks

$$e_{ij} = v_a^\top \tanh(W_a s_{i-1} + U_a h_j)$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_x} \exp(e_{ik})}$$

$$c_i = \sum_{j=1}^{T_x} \alpha_{ij} h_j$$

Attention mechanism

$$e_{ij} = v_a^\top \tanh(W_a s_{i-1} + U_a h_j)$$

$$p(C_i | C_1, \dots, C_{i-1}, \mathcal{P}) = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_x} \exp(e_{ik})}$$

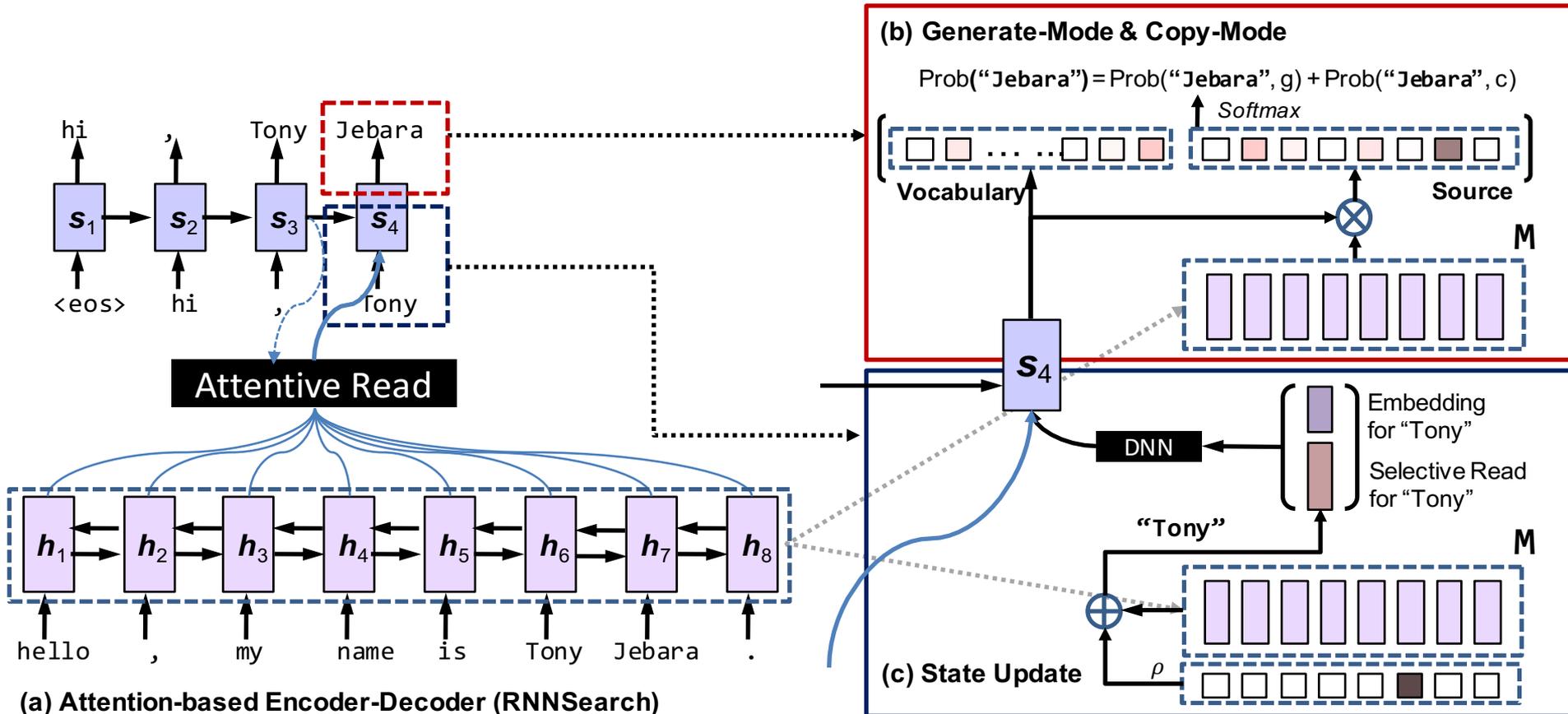
Ptr-Net

Softmax normalizes the vector  $e_{ij}$  to be an **output distribution over the dictionary of inputs**

# CopyNet (Gu et al. 2016)

- Conversation
  - I: Hello Jack, my name is Chandralekha
  - R: Nice to meet you, Chandralekha
  - I: This new guy doesn't perform exactly as expected.
  - R: what do you mean by "doesn't perform exactly as expected?"
- Translation

# CopyNet (Gu et al. 2016)



# CopyNet (Gu et al. 2016)

- Key idea: interpolation between generation model & copy model

$$p(y_t | \mathbf{s}_t, y_{t-1}, \mathbf{c}_t, \mathbf{M}) = p(y_t, \mathbf{g} | \mathbf{s}_t, y_{t-1}, \mathbf{c}_t, \mathbf{M}) + p(y_t, \mathbf{c} | \mathbf{s}_t, y_{t-1}, \mathbf{c}_t, \mathbf{M}) \quad (4)$$

$$p(y_t, \mathbf{g} | \cdot) = \begin{cases} \frac{1}{Z} e^{\psi_g(y_t)}, & y_t \in \mathcal{V} \\ 0, & y_t \in \mathcal{X} \cap \bar{\mathcal{V}} \\ \frac{1}{Z} e^{\psi_g(\text{UNK})}, & y_t \notin \mathcal{V} \cup \mathcal{X} \end{cases} \quad (5)$$

$$p(y_t, \mathbf{c} | \cdot) = \begin{cases} \frac{1}{Z} \sum_{j: x_j = y_t} e^{\psi_c(x_j)}, & y_t \in \mathcal{X} \\ 0, & \text{otherwise} \end{cases} \quad (6)$$

**Generate-Mode:** The same scoring function as in the generic RNN encoder-decoder (Bahdanau et al., 2014) is used, i.e.

$$\psi_g(y_t = v_i) = \mathbf{v}_i^\top \mathbf{W}_o \mathbf{s}_t, \quad v_i \in \mathcal{V} \cup \text{UNK} \quad (7)$$

where  $\mathbf{W}_o \in \mathbb{R}^{(N+1) \times d_s}$  and  $\mathbf{v}_i$  is the one-hot indicator vector for  $v_i$ .

**Copy-Mode:** The score for “copying” the word  $x_j$  is calculated as

$$\psi_c(y_t = x_j) = \sigma \left( \mathbf{h}_j^\top \mathbf{W}_c \right) \mathbf{s}_t, \quad x_j \in \mathcal{X}_{103} \quad (8)$$

# CONVOLUTION NEURAL NETWORK

Next several slides borrowed from Alex Rush

# Models with Sliding Windows

- Classification/prediction with sliding windows
  - E.g., neural language model
- Feature representations with sliding window
  - E.g., sequence tagging with CRFs or structured perceptron

$$[w_1 \ w_2 \ w_3 \ w_4 \ w_5] \ w_6 \ w_7 \ w_8$$

$$w_1 \ [w_2 \ w_3 \ w_4 \ w_5 \ w_6] \ w_7 \ w_8$$

$$w_1 \ w_2 \ [w_3 \ w_4 \ w_5 \ w_6 \ w_7] \ w_8$$

⋮

# Sliding Windows w/ Convolution

Let our input be the embeddings of the full sentence,  $\mathbf{X} \in \mathbb{R}^{n \times d^0}$

$$\mathbf{X} = [v(w_1), v(w_2), v(w_3), \dots, v(w_n)]$$

Define a window model as  $NN_{window} : \mathbb{R}^{1 \times (d_{win} d^0)} \mapsto \mathbb{R}^{1 \times d_{hid}}$ ,

$$NN_{window}(\mathbf{x}_{win}) = \mathbf{x}_{win} \mathbf{W}^1 + \mathbf{b}^1$$

The convolution is defined as  $NN_{conv} : \mathbb{R}^{n \times d^0} \mapsto \mathbb{R}^{(n-d_{win}+1) \times d_{hid}}$ ,

$$NN_{conv}(\mathbf{X}) = \tanh \begin{bmatrix} NN_{window}(\mathbf{X}_{1:d_{win}}) \\ NN_{window}(\mathbf{X}_{2:d_{win}+1}) \\ \vdots \\ NN_{window}(\mathbf{X}_{n-d_{win}+1:n}) \end{bmatrix}$$

# Pooling Operations

► Pooling “over-time” operations  $f : \mathbb{R}^{n \times m} \mapsto \mathbb{R}^{1 \times m}$

1.  $f_{max}(\mathbf{X})_{1,j} = \max_i X_{i,j}$
2.  $f_{min}(\mathbf{X})_{1,j} = \min_i X_{i,j}$
3.  $f_{mean}(\mathbf{X})_{1,j} = \sum_i X_{i,j} / n$

$$f(\mathbf{X}) = \begin{bmatrix} \Downarrow & \Downarrow & \dots \\ \Downarrow & \Downarrow & \dots \\ \vdots & \vdots & \vdots \\ \Downarrow & \Downarrow & \dots \end{bmatrix} = [ \dots ]$$

# Convolution + Pooling

$$\hat{y} = \text{softmax}(f_{\max}(NN_{\text{conv}}(\mathbf{X}))\mathbf{W}^2 + \mathbf{b}^2)$$

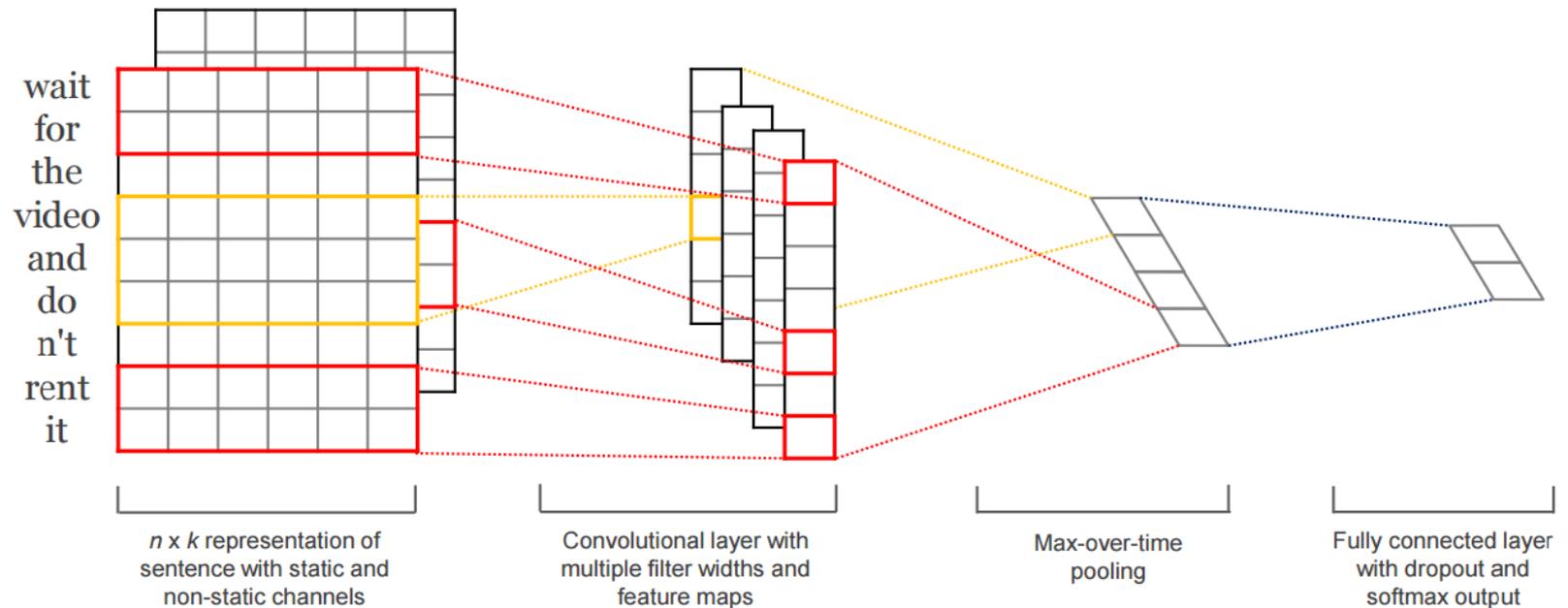
- ▶  $\mathbf{W}^2 \in \mathbb{R}^{d_{\text{hid}} \times d_{\text{out}}}$ ,  $\mathbf{b}^2 \in \mathbb{R}^{1 \times d_{\text{out}}}$
- ▶ Final linear layer  $\mathbf{W}^2$  uses learned window features

# Multiple Convolutions

$$\hat{y} = \text{softmax}([f(NN_{conv}^1(\mathbf{X})), f(NN_{conv}^2(\mathbf{X})), \dots, f(NN_{conv}^f(\mathbf{X}))]\mathbf{W}^2 + \mathbf{b}^2)$$

- ▶ Concat several convolutions together.
- ▶ Each  $NN^1$ ,  $NN^2$ , etc uses a different  $d_{\text{win}}$
- ▶ Allows for different window-sizes (similar to multiple n-grams)

# Convolution Diagram (kim 2014)



▶  $n = 9$ ,  $d_{\text{hid}} = 4$ ,  $d_{\text{out}} = 2$

▶ **red-**  $d_{\text{win}} = 2$ , **blue-**  $d_{\text{win}} = 3$ , (ignore back channel)

# Text Classification (Kim 2014)

Model	MR	SST-1	SST-2	Subj	TREC	CR	MPQA
CNN-rand	76.1	45.0	82.7	89.6	91.2	79.8	83.4
CNN-static	81.0	45.5	86.8	93.0	92.8	84.7	<b>89.6</b>
CNN-non-static	<b>81.5</b>	48.0	87.2	93.4	93.6	84.3	89.5
CNN-multichannel	81.1	47.4	<b>88.1</b>	93.2	92.2	<b>85.0</b>	89.4
RAE (Socher et al., 2011)	77.7	43.2	82.4	—	—	—	86.4
MV-RNN (Socher et al., 2012)	79.0	44.4	82.9	—	—	—	—
RNTN (Socher et al., 2013)	—	45.7	85.4	—	—	—	—
DCNN (Kalchbrenner et al., 2014)	—	48.5	86.8	—	93.0	—	—
Paragraph-Vec (Le and Mikolov, 2014)	—	<b>48.7</b>	87.8	—	—	—	—

# AlexNet (krizhevsky et al., 2012)

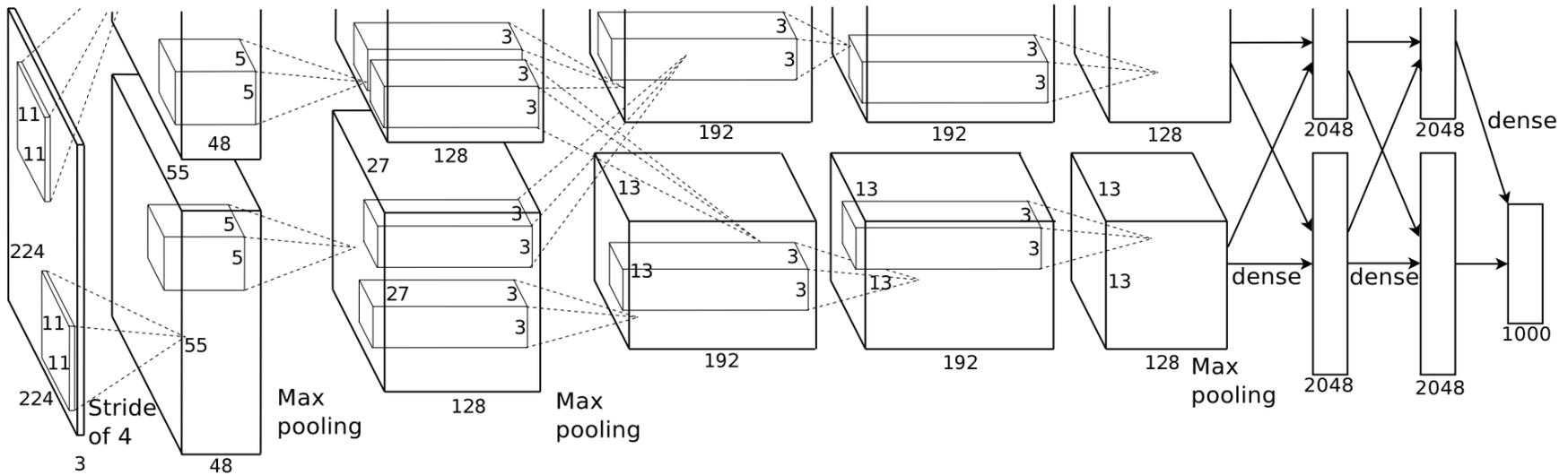


Figure 2: An illustration of the architecture of our CNN, explicitly showing the delineation of responsibilities between the two GPUs. One GPU runs the layer-parts at the top of the figure while the other runs the layer-parts at the bottom. The GPUs communicate only at certain layers. The network's input is 150,528-dimensional, and the number of neurons in the network's remaining layers is given by 253,440–186,624–64,896–64,896–43,264–4096–4096–1000.

# Discussion Points

- Strength and challenges of deep learning?

*... what do NNs think about this?*

# Hafez: Neural Sonnet Writer

(Ghazvininejad et al. 2016)

Hafez v0.9

Auto

Advanced

Language  English  Español

#Line  2 lines  4 lines  14 lines

Genre  Lyrical  Newswire

Meter  Iambic  None

Format  User-defined  Shakespearean sonnet  Petrarchan sonnet

haiku  couplet  random

Vocabulary

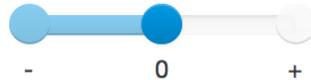
Encourage words

discourage words

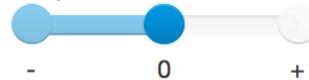
Reset Style

Style

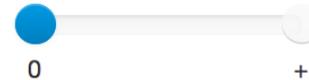
curse words



repetition



alliteration



word length



topical words



monosyllable words



sentiment



concrete words



machine comprehension

Generate

Re-generate with same rhyme words

Ready

Poem



# Neural Sonnets

## Deep Convolution Network

Outrageous channels on the wrong *connections*,  
An empty space without an open *layer*,  
A closet full of black and blue *extensions*,  
Connections by the *closure operator*.

## Theory

Another way to reach the wrong *conclusion!*  
A vision from a total *transformation*,  
Created by the great *magnetic fusion*,  
Lots of people need an *explanation*.

# Discussion Points

- Strength and challenges of deep learning?
- Representation learning
  - Less efforts on feature engineering (at the cost of more hyperparameter tuning!)
  - In computer vision: NN learned representation is significantly better than human engineered features
  - In NLP: often NN induced representation is concatenated with additional human engineered features.
- Data
  - Most success from massive amount of clean (expensive) data
  - Recent surge of data creation type papers (especially AI challenge type tasks)
  - Which significantly limits the domains & applications
  - Need stronger models for unsupervised & distantly supervised approaches

# Discussion Points

- Strength and challenges of deep learning?
- Architecture
  - allows for flexible, expressive, and creative modeling
- Easier entry to the field
  - Recent breakthrough from engineering advancements than theoretic advancements
  - Several NN platforms, code sharing culture

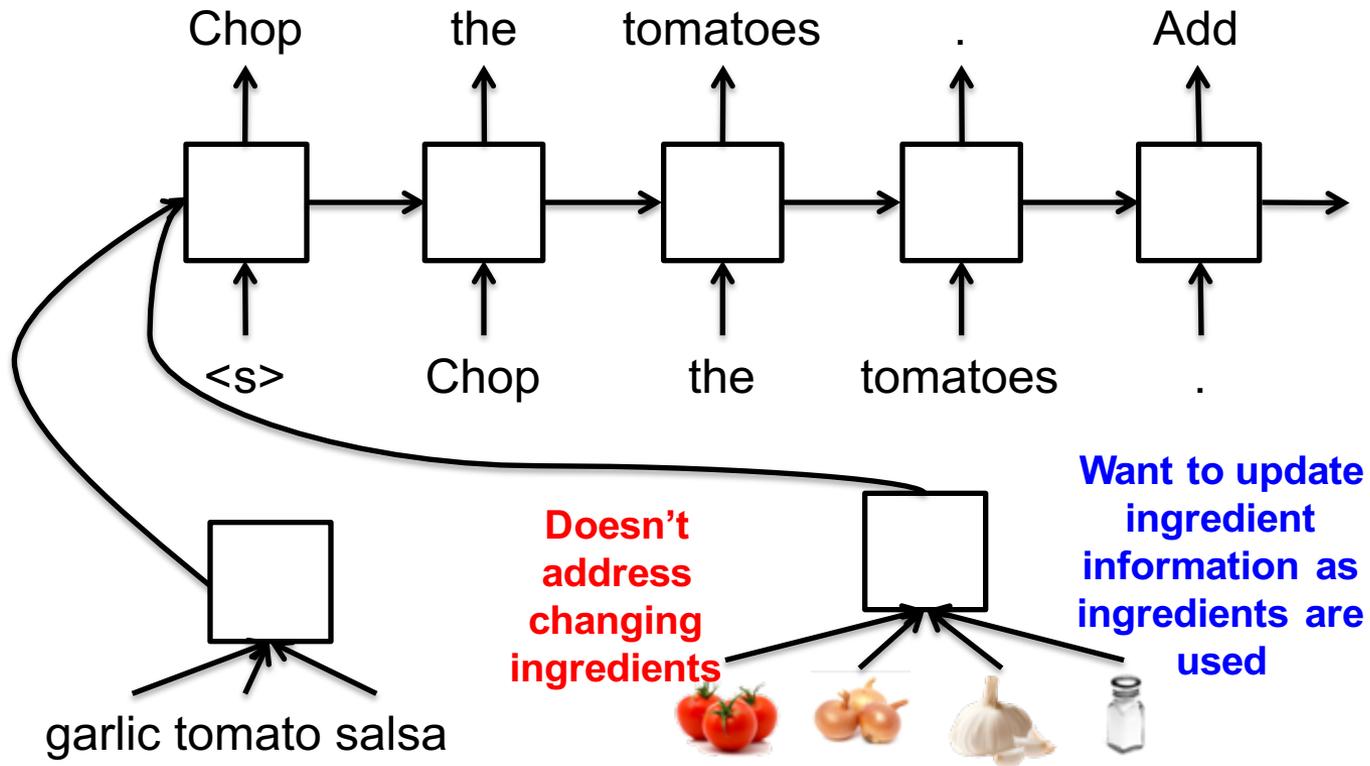
# NEURAL CHECK LIST

# Neural Checklist Models

(Kiddon et al., 2016)

- What can we do with gating & attention?

# Encoder-Decoder Architecture

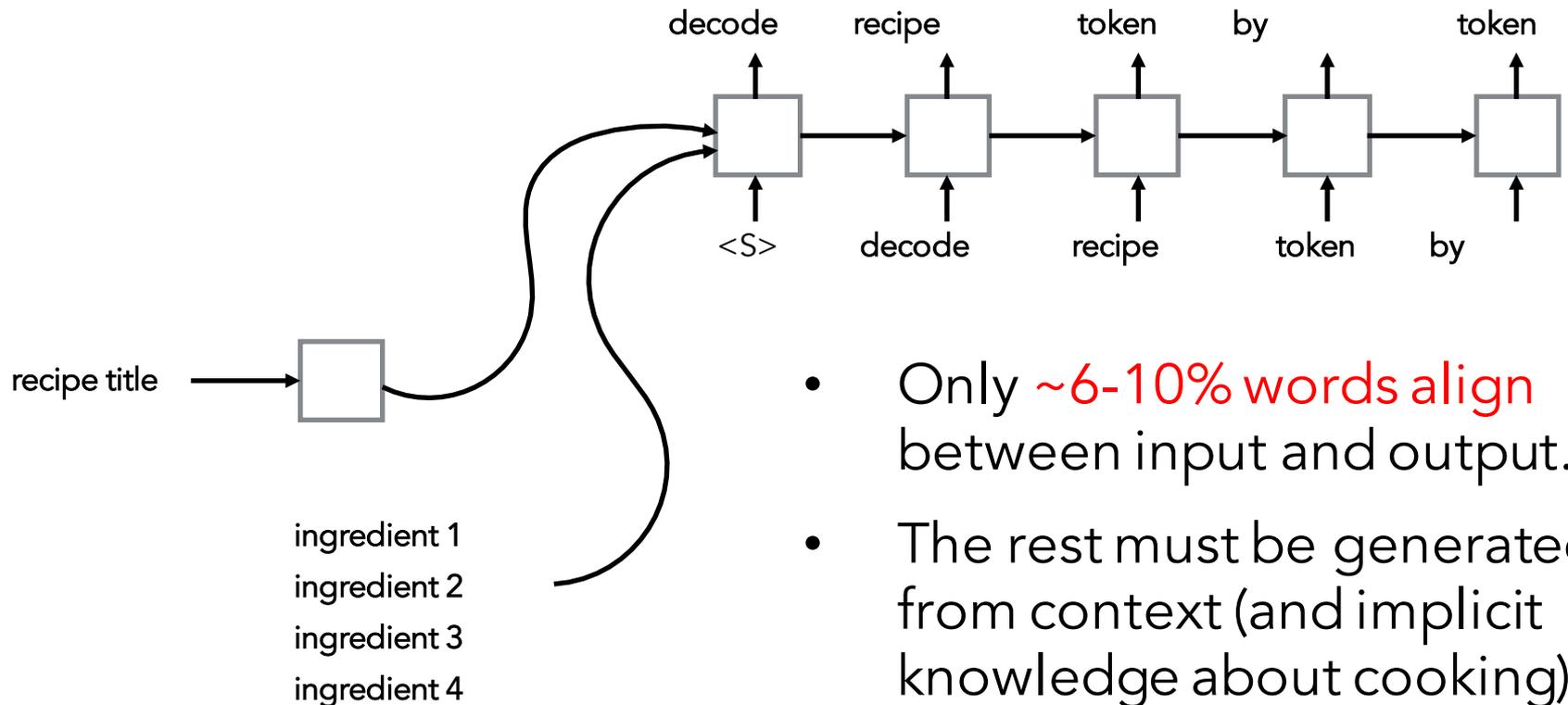


# Encode title - decode recipe

sausage sandwiches

→ Cut each sandwich in halves.  
Sandwiches with sandwiches.  
Sandwiches, sandwiches, Sandwiches,  
sandwiches, sandwiches  
sandwiches, sandwiches, sandwiches,  
sandwiches, sandwiches, sandwiches, or  
sandwiches or triangles, a griddle, each  
sandwich.  
Top each with a slice of cheese, tomato,  
and cheese.  
Top with remaining cheese mixture.  
Top with remaining cheese.  
Broil until tops are bubbly and cheese is  
melted, about 5 minutes.

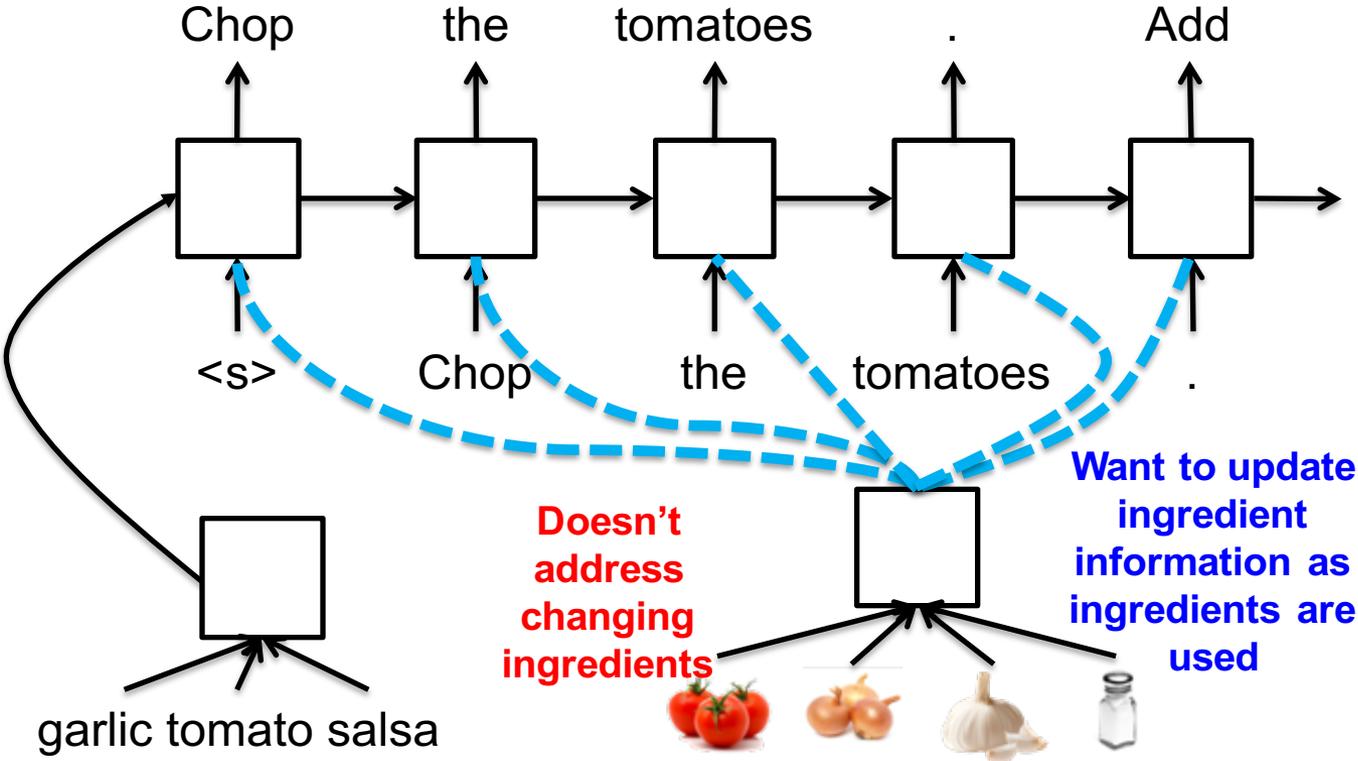
# Recipe generation vs machine translation



- Only ~6-10% words align between input and output.
- The rest must be generated from context (and implicit knowledge about cooking)
- Contextual switch between two different input sources

Two input sources

# Encoder--Decoder **with Attention**



# Neural checklist model

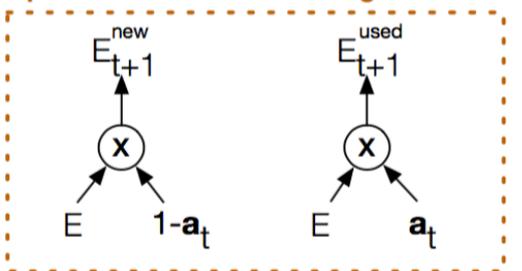
update checklist

language model

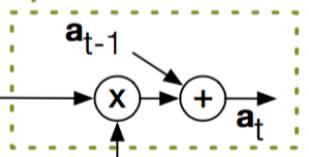
**key**

- ⊖ gate
- ⊕ sum
- σ sigmoid
- linear projection
- ⊗ multiplication
- Ⓢ softmax
- linear interpolation
- ⊖<sub>i</sub> select dimension i

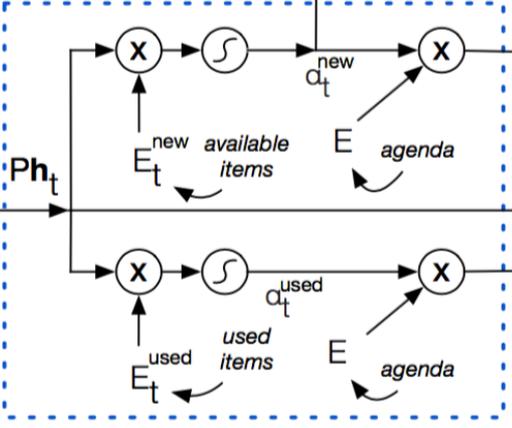
**Update available and used agenda items**



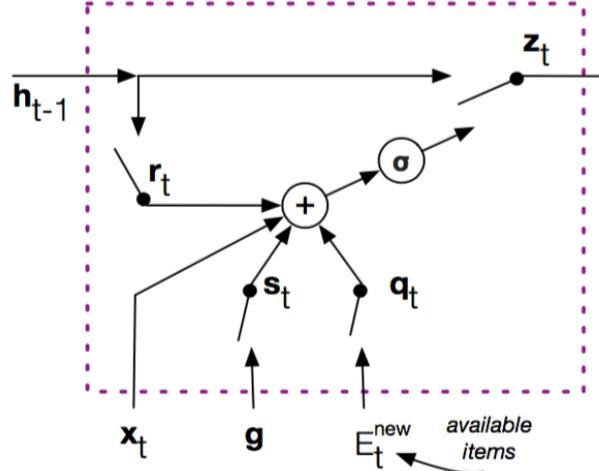
**Update checklist**



**Attention mechanisms**



**GRU language model**



hidden state projected into agenda space

$Ph_t$

$f_t^{new}$  probability of using new item

**Generate output**

hidden state classifier

ref-type( $h_t$ )

$h_t$

$o_t$

$f_t$

# Let's make salsa!

## **Garlic tomato salsa**

tomatoes

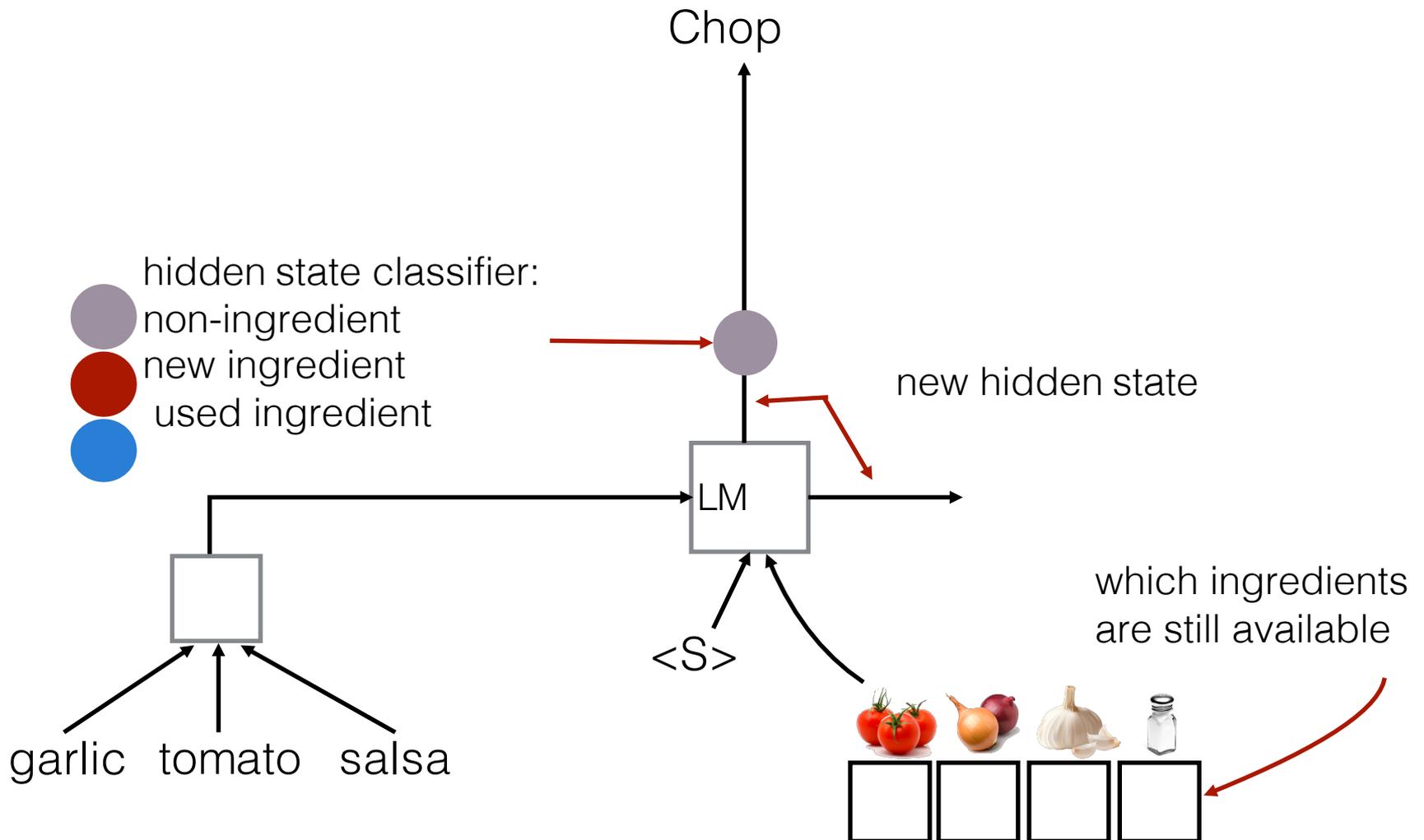
onions

garlic

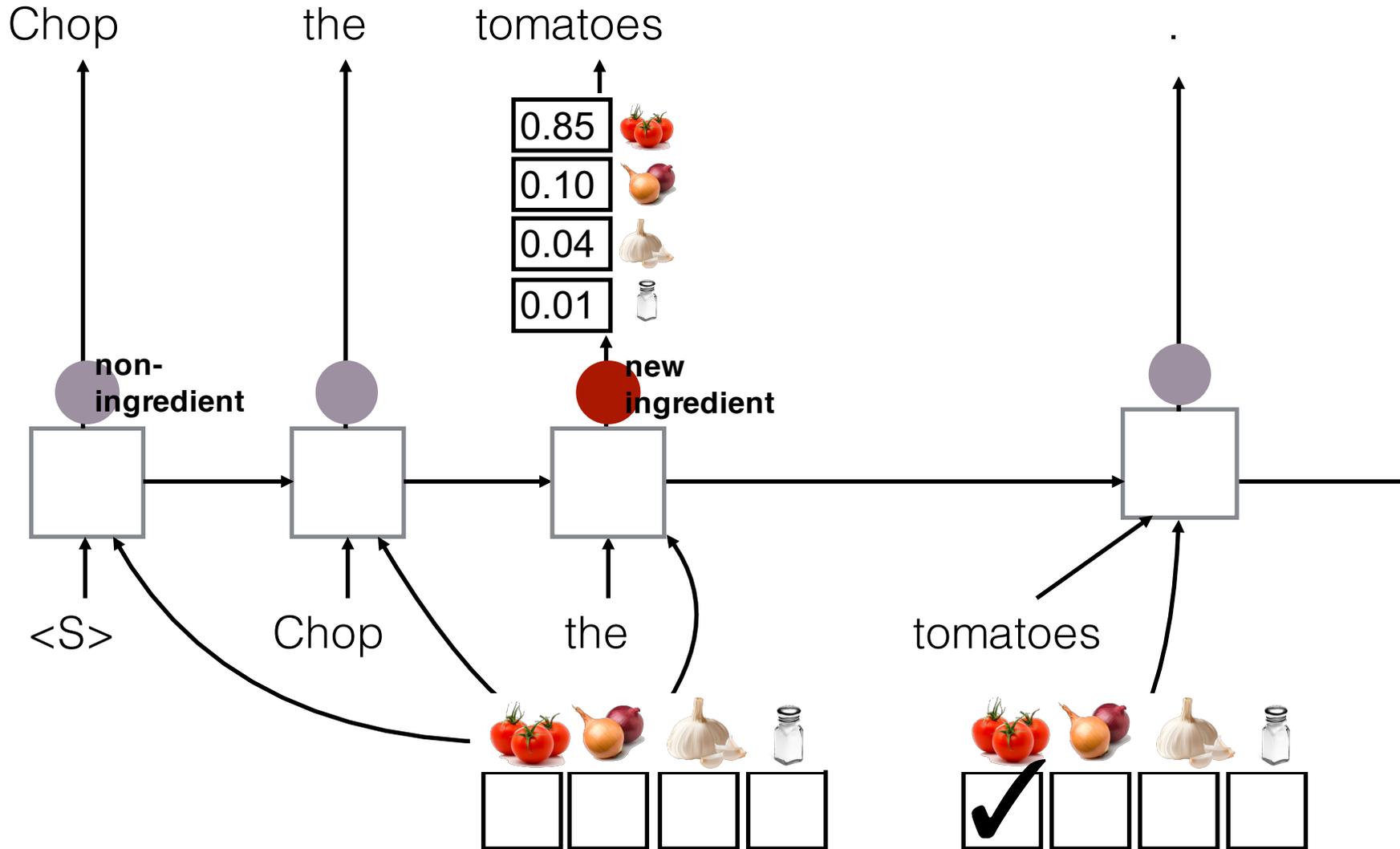
salt



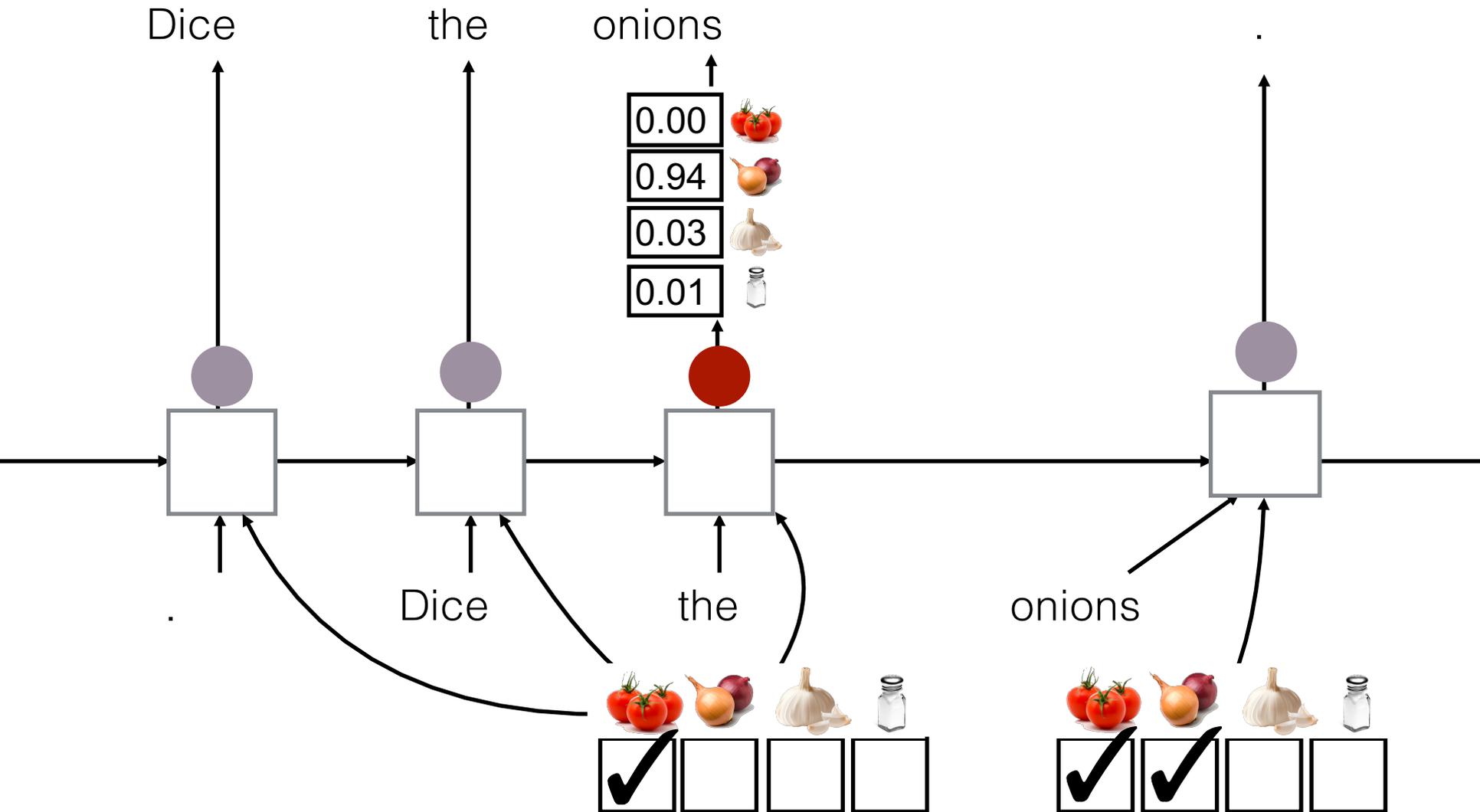
# Neural checklist model



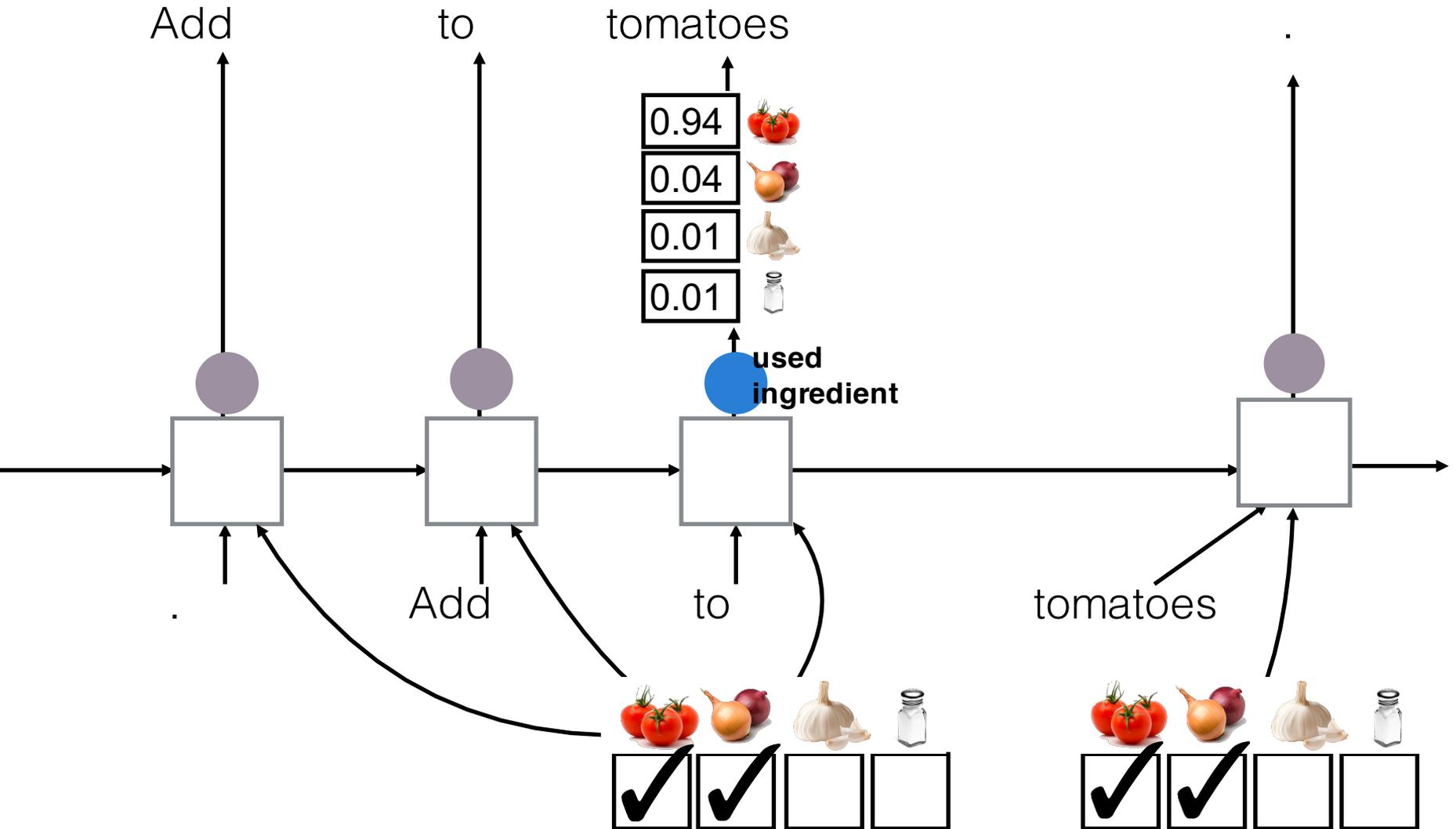
# Neural checklist model



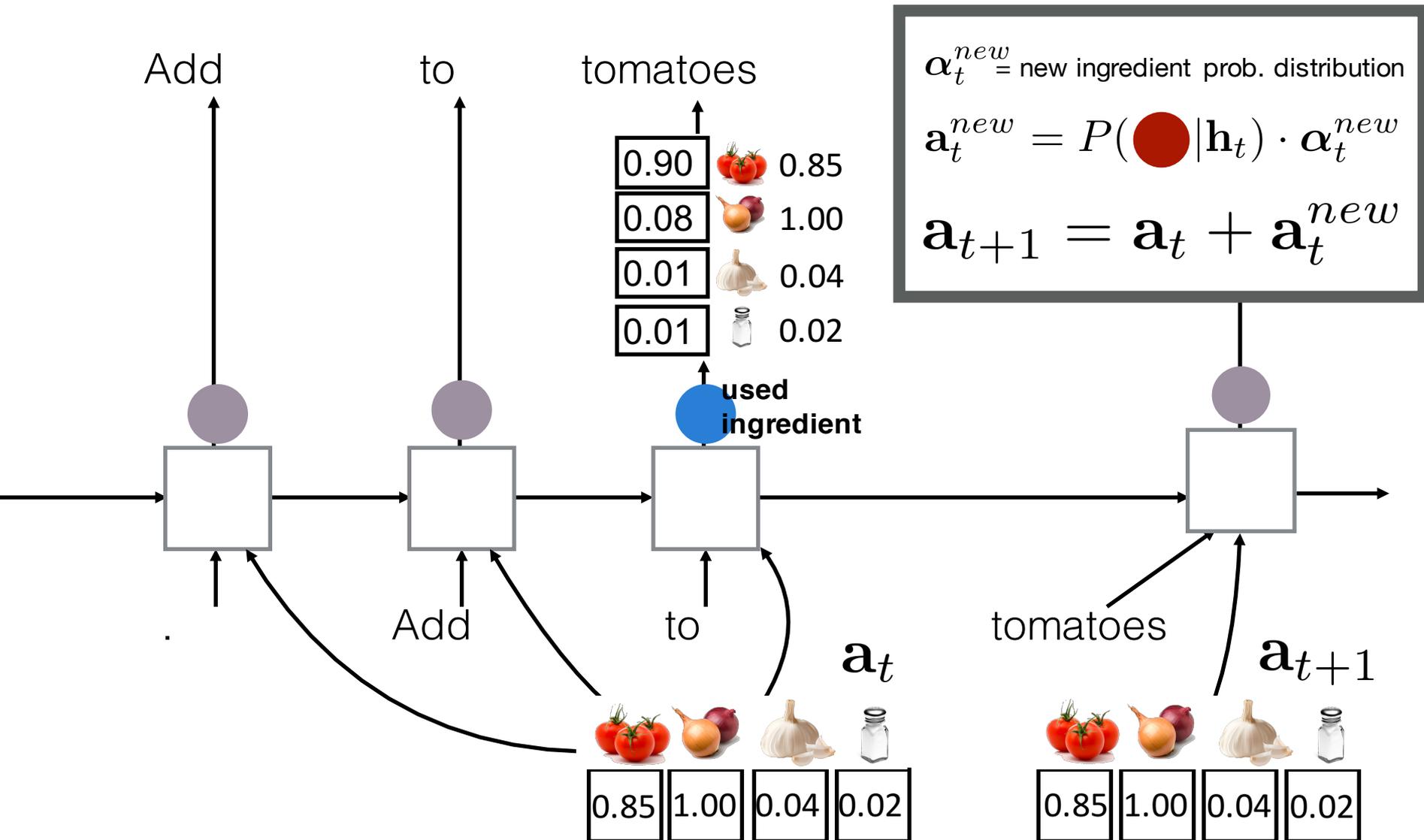
# Neural checklist model



# Neural checklist model

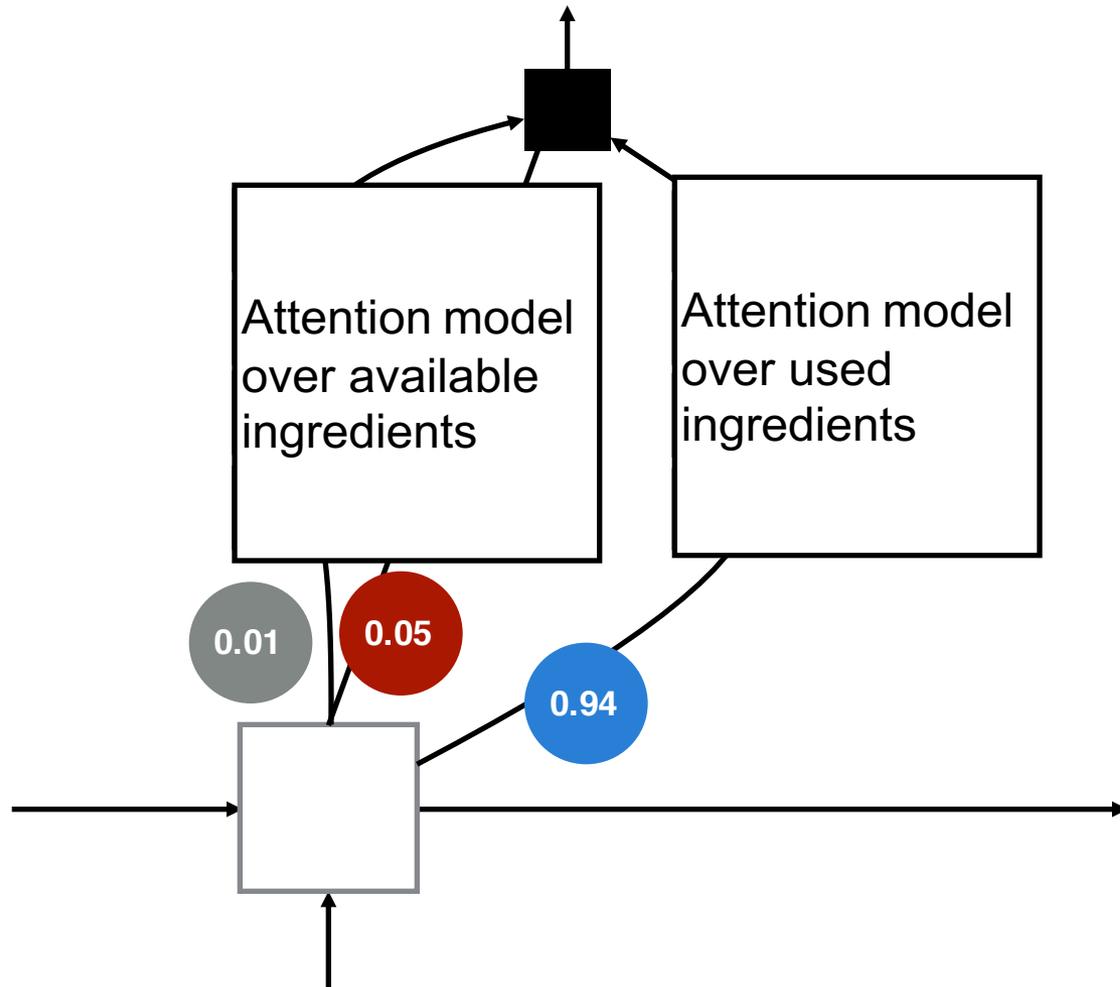
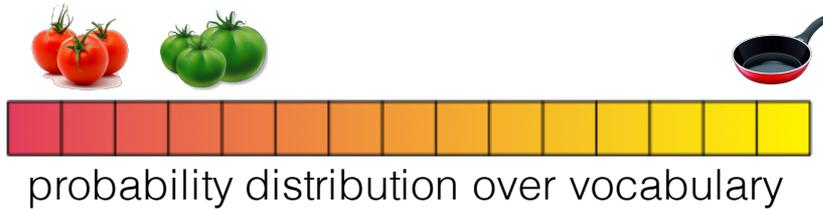


# Checklist is probabilistic





# Interpolation



$$W_o \in \mathbb{R}^{|V| \times k}$$

$$\mathbf{w}_t = \text{softmax}(W_o \mathbf{h}_t)$$

$$\begin{aligned} \mathbf{o}_t &= P(\text{grey circle} | \mathbf{h}_t) \mathbf{c}_t^{LM} \\ &+ P(\text{red circle} | \mathbf{h}_t) \mathbf{c}_t^{new} \\ &+ P(\text{blue circle} | \mathbf{h}_t) \mathbf{c}_t^{used} \end{aligned}$$

$$\mathbf{c}_t^{LM} = W_h \mathbf{h}_t$$

$$W_h \in \mathbb{R}^{k \times k}$$

# Choose ingredient via attention

$$\alpha_t^{new} = \text{softmax}(\gamma E_t^{new} \mathbf{c}_t^{LM})$$

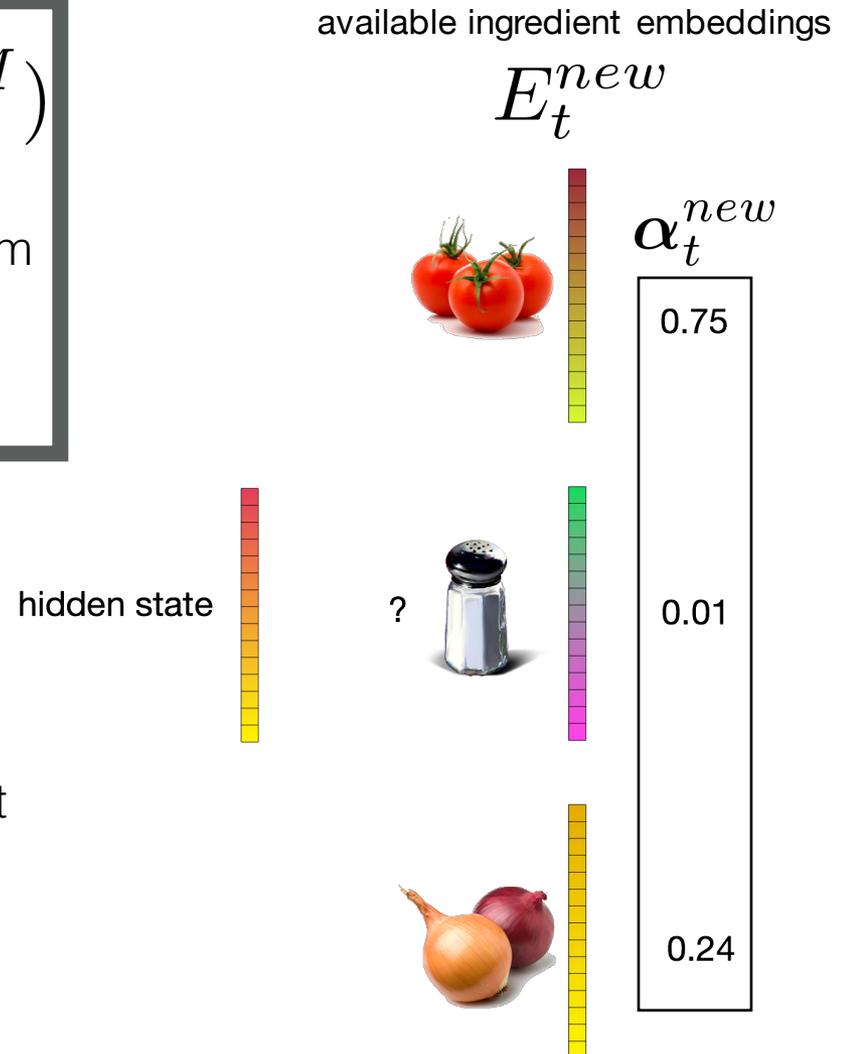
temperature term

available ingredient embeddings

content vector from language model

## Attention models for other NLP tasks

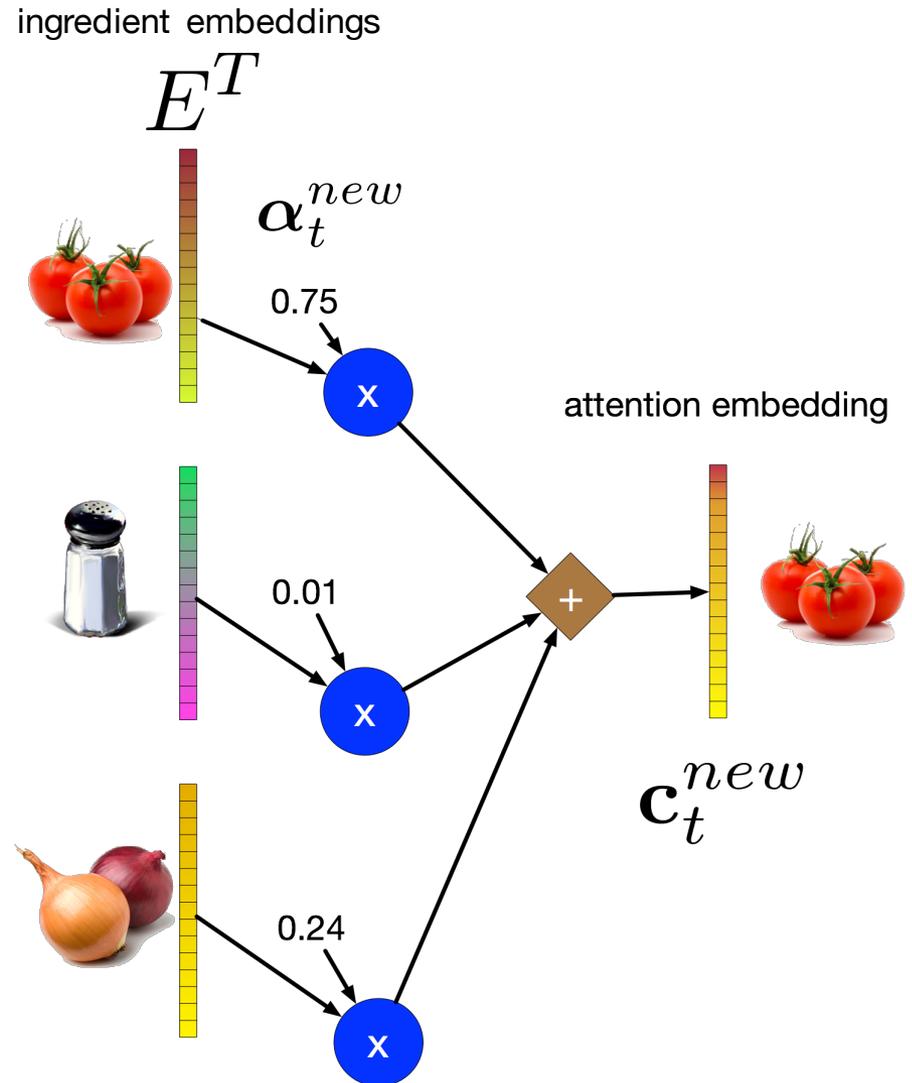
- MT (Balasubramanian et al. 13, Bahdanau et al. 14)
- Sentence summarization (Rush et al. 15)
- Machine reading (Cheng et al. 16)
- Image captioning (Xu et al. 15)



# Attention-generated embeddings

Can generate an embedding from the attention probabilities

$$\mathbf{c}_t^{new} = E^T \alpha_t^{new}$$



# Neural Recipe Example #1

title: oven eggplant

eggplant  
cheese cottage  
lowfat  
chopped onion  
bay ground leaf  
basil  
oregano  
tomato sauce  
provolone

In a small bowl , combine the cheese , eggplant , basil , oregano , tomato sauce and onion . Mix well . Shape mixture into 6 patties , each about 3/4-inch thick.

Place on baking sheet .

Bake at 350 degrees for 30 minutes or until lightly browned .

Southern living magazine , sometime in 1980 .

Typed for you by nancy coleman .

Cook eggplant in boiling water , covered , for 10 min . Drain and cut in half lengthwise . scoop out insides leaving 1/2 " shell . Mash insides with cottage cheese , onion , bay leaf , basil , oregano and tomato sauce . Preheat oven to 350 ^ stuff eggplant halves , place in casserole dish and bake covered for 15 min .

Add a little water to bottom of pan to keep eggplant moist . top with provolone cheese .

Bake 5 more min uncovered 1 serving =