Computer-Aided Reasoning for Software

## Practical Applications of SAT

#### **Emina Torlak**

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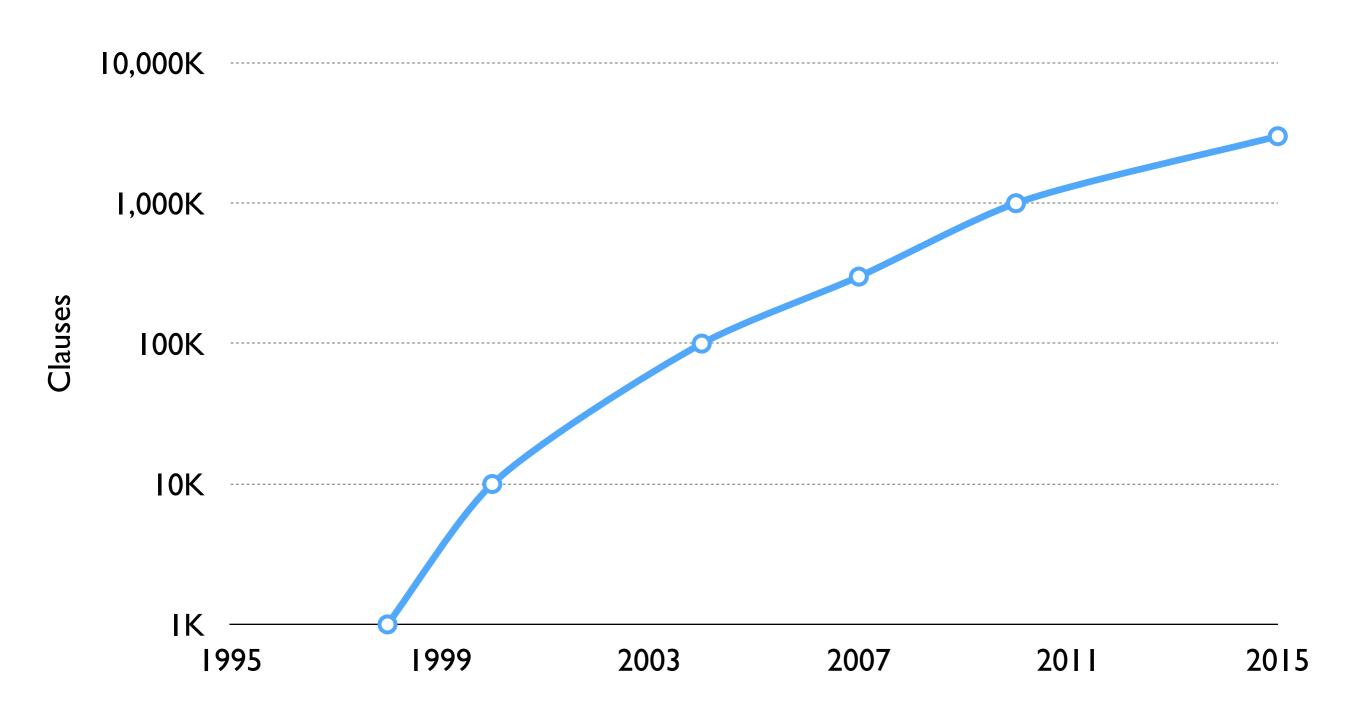
#### **Today**

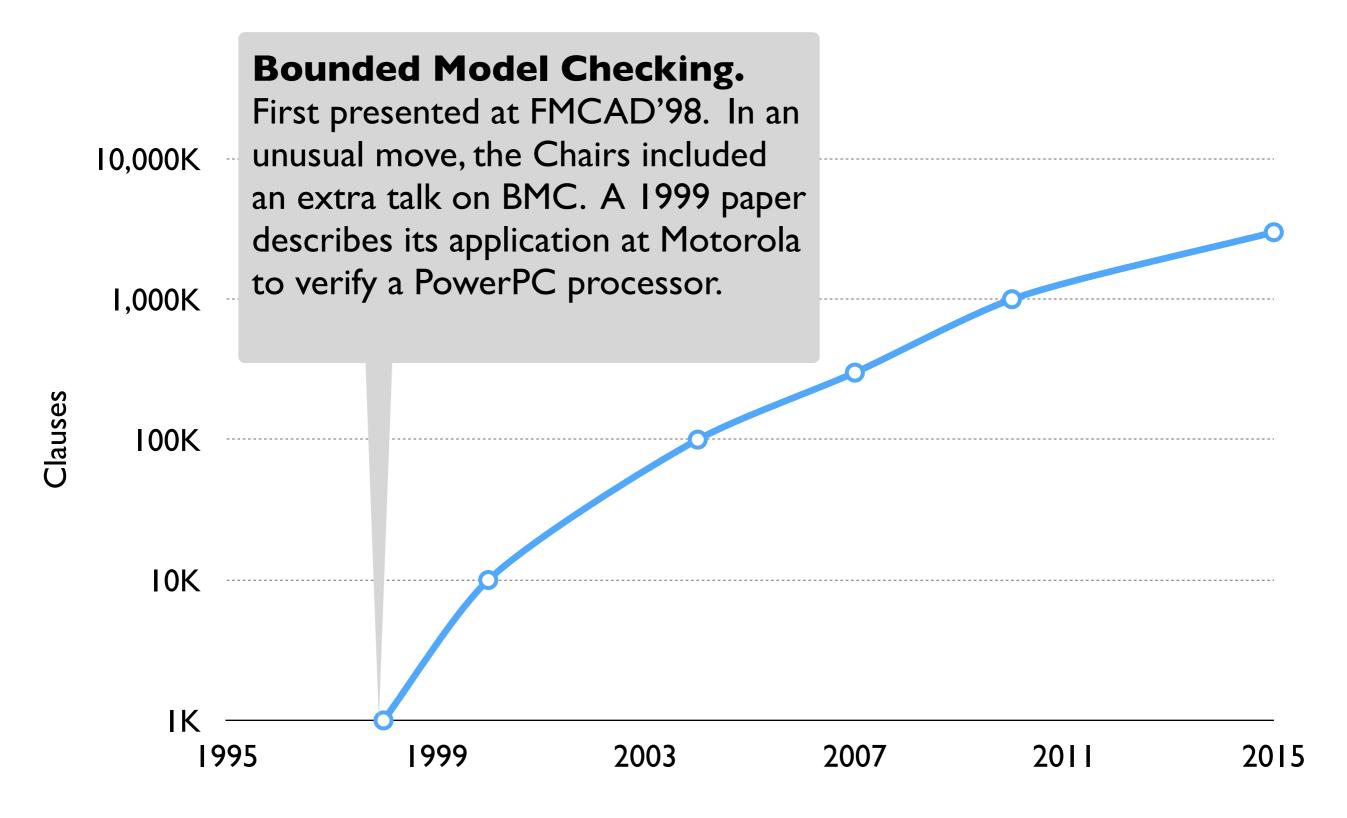
#### **Past 2 lectures**

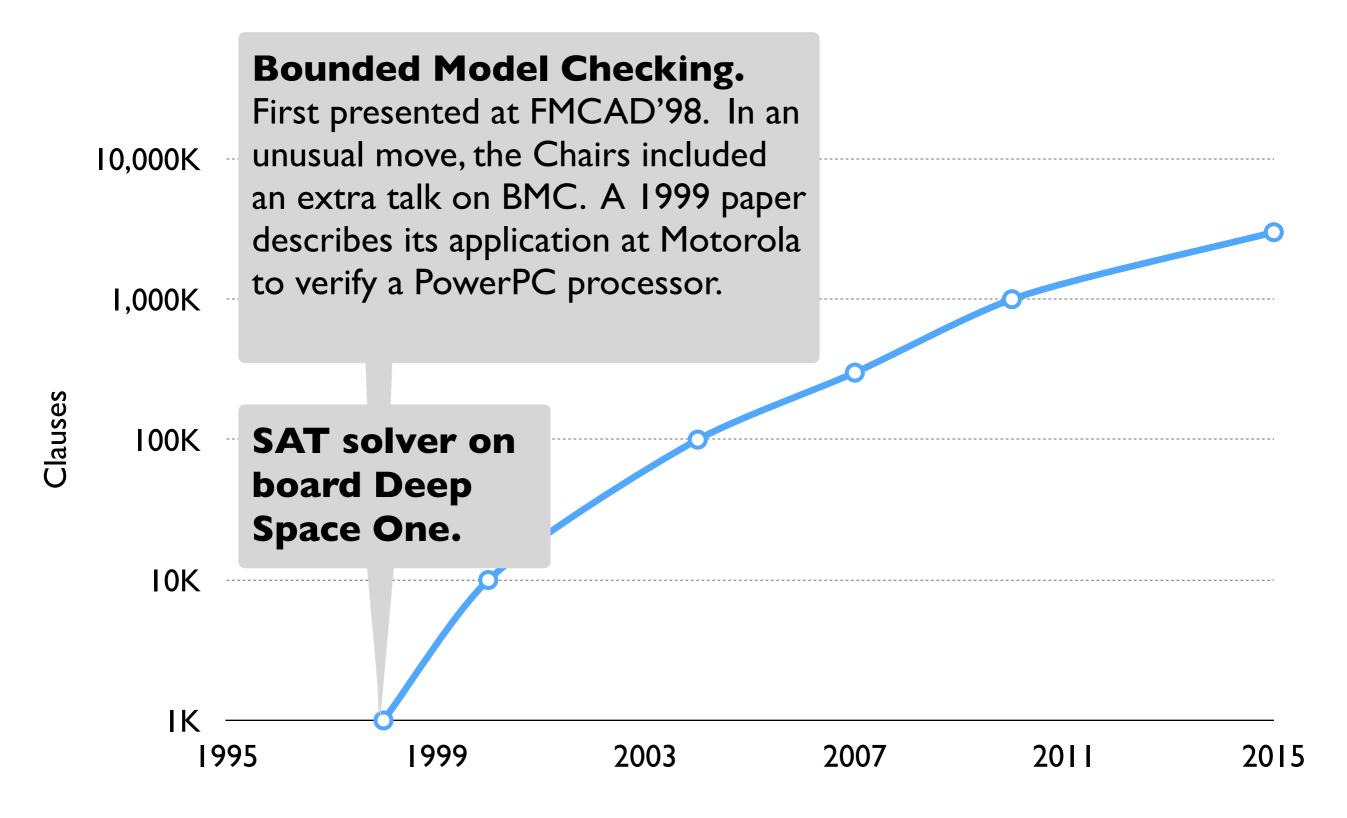
The theory and mechanics of SAT solving

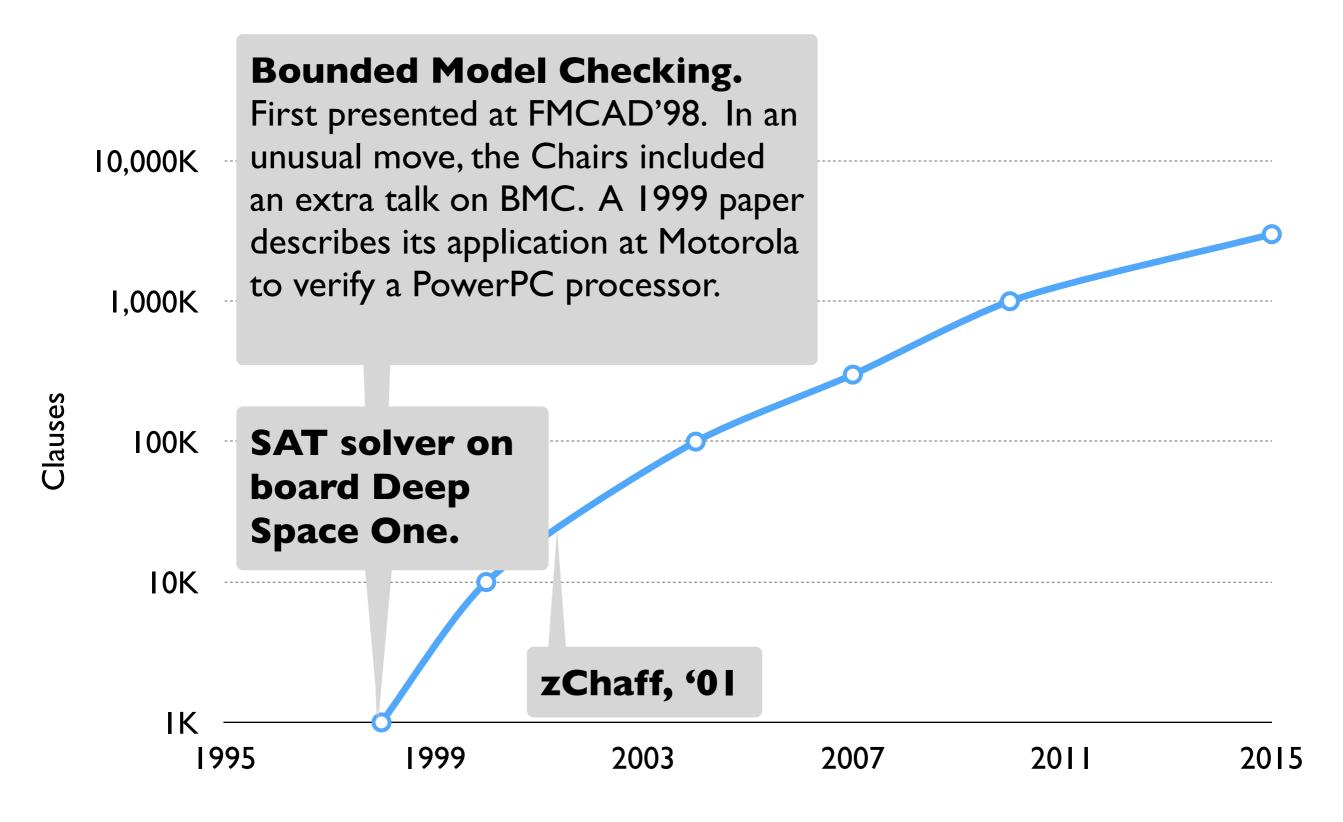
#### **Today**

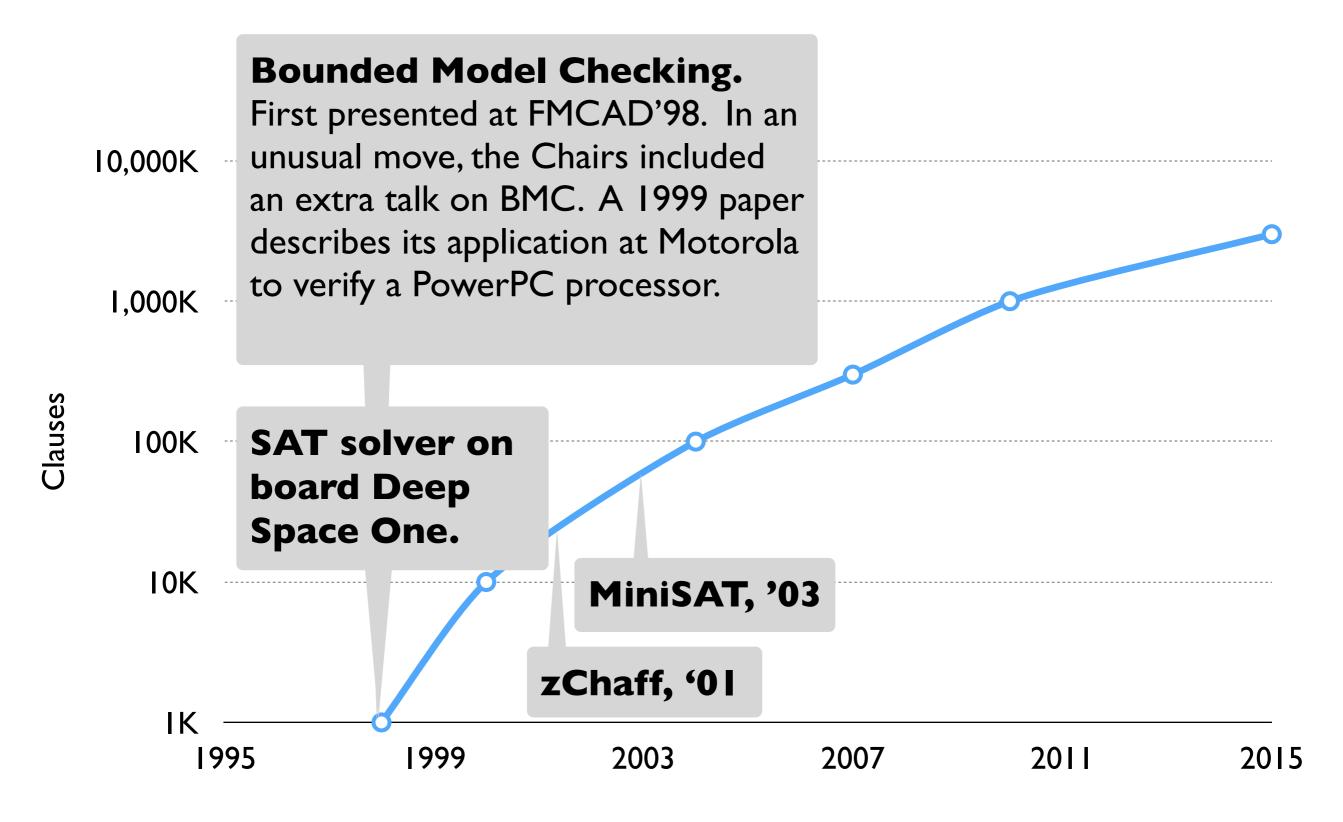
- Practical applications of SAT
- Variants of the SAT problem
- Motivating the next lecture on SMT

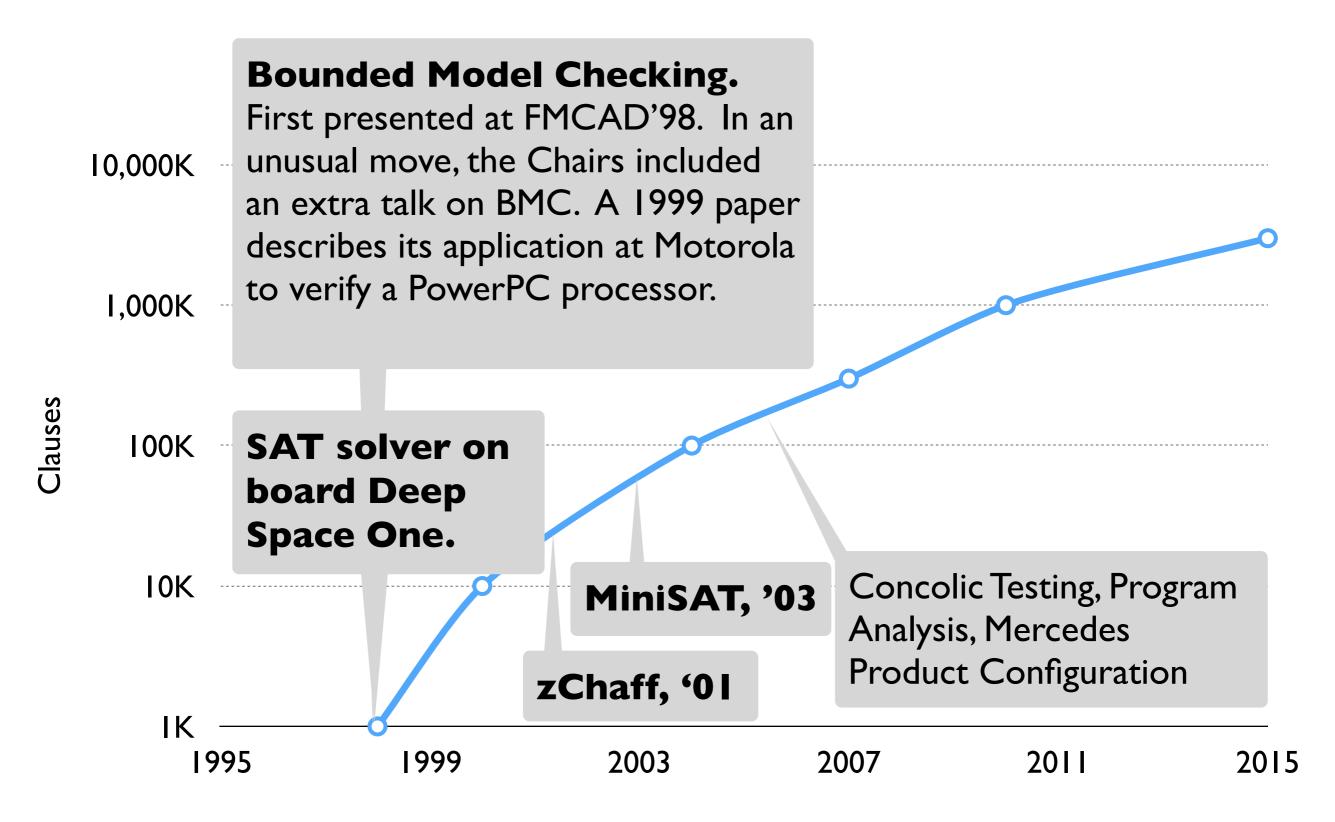


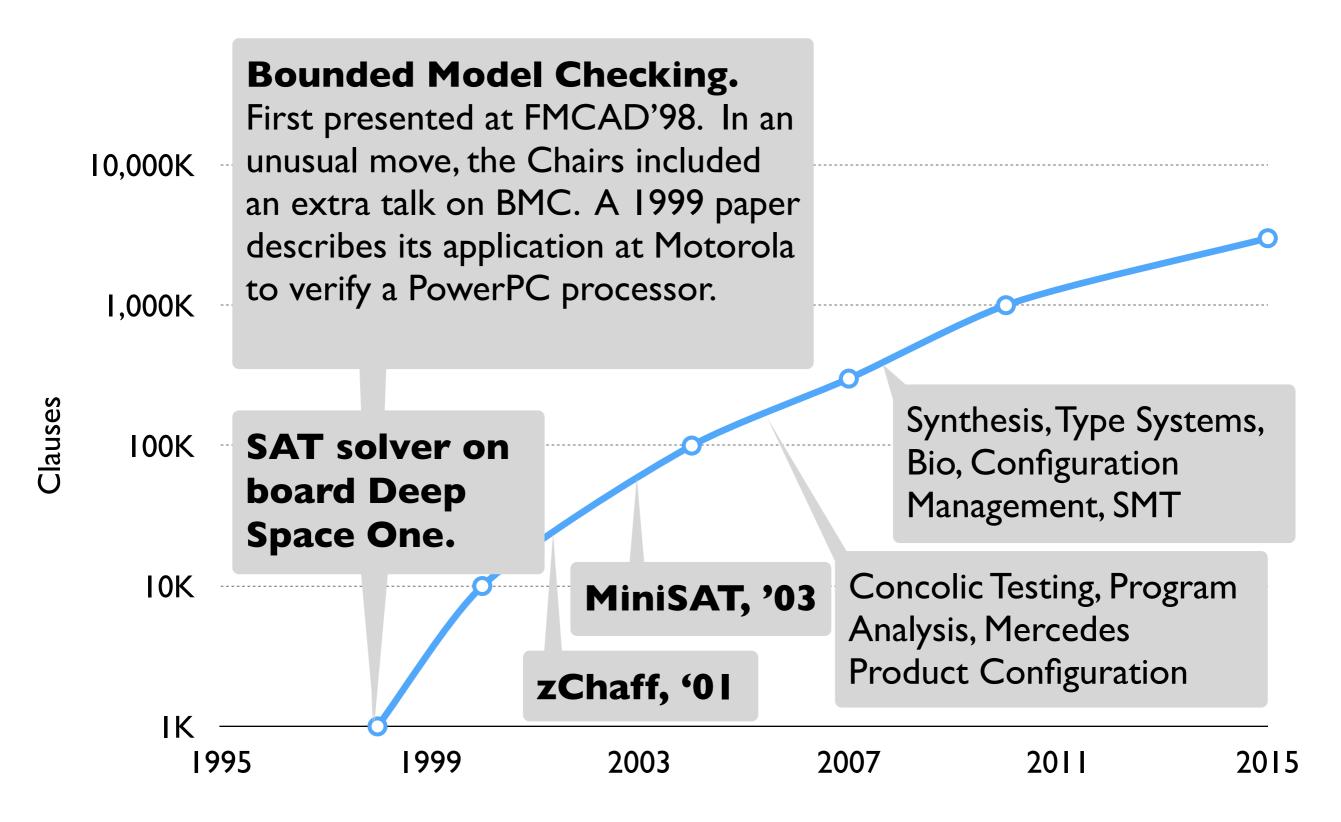










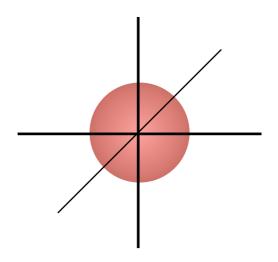


# Bounded Model Checking (BMC) & Configuration Management

Given a system and a property, BMC checks if the property is satisfied by all executions of the system with ≤k steps, on all inputs of size ≤n.

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We will focus on **safety properties** (i.e., making sure a bad state, such as an assertion violation, is not reached).

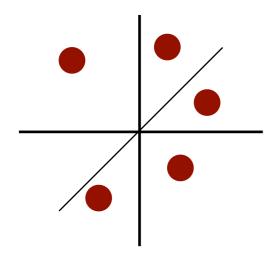


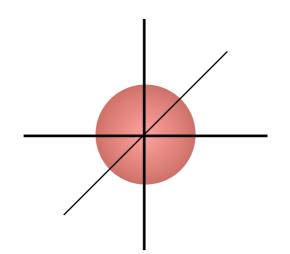
BMC: checks all executions of size ≤k

low confidence

high confidence

low human labor





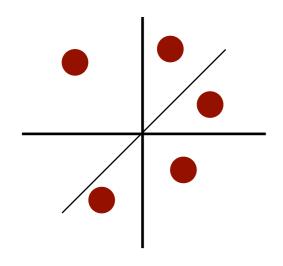
Testing: checks a few executions of arbitrary size

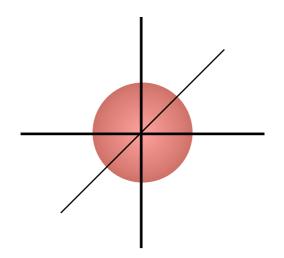
BMC: checks all executions of size ≤k

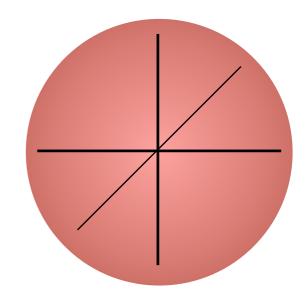
low confidence

high confidence

low human labor







Testing: checks a few executions of arbitrary size

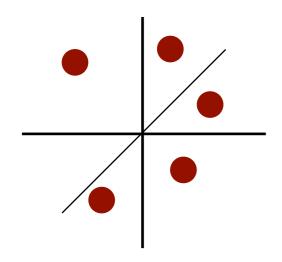
BMC: checks all executions of size ≤k

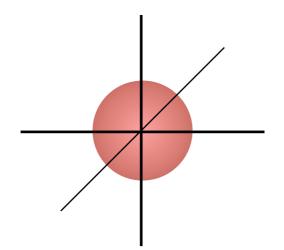
Verification: checks all executions of every size

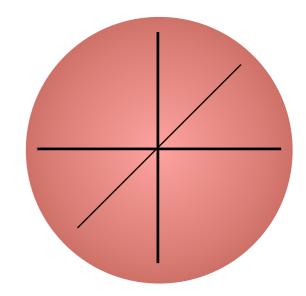
low confidence

high confidence

low human labor







Testing: checks a few executions of arbitrary size

BMC: checks all executions of size ≤k

Verification: checks all executions of every size

low confidence

The **small scope hypothesis**: most bugs
can be triggered with small
inputs and executions.

high confidence

low human labor



```
int daysToYear(int days) {
  int year = 1980;
 while (days > 365) {
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
        year += 1;
    } else {
      days -= 365;
      year += 1;
  return year;
```

The Zune Bug: on December 31, 2008, all first generation Zune players from Microsoft became unresponsive because of this code. What's wrong?

```
int daysToYear(int days) {
  int year = 1980;
 while (days > 365) {
    if (isLeapYear(year)) {
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    } else {
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      year += 1;
  return year;
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The Zune Bug: on December 31, 2008, all first generation Zune players from Microsoft became unresponsive because of this code. What's wrong?

Infinite loop triggered on the last day of every leap year.

```
int daysToYear(int days) {
  int year = 1980;
  while (days > 365) {
    int oldDays = days;
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
        year += 1;
    } else {
      days -= 365;
      year += 1;
    assert days < oldDays;</pre>
  return year;
```

The Zune Bug: on December 31, 2008, all first generation Zune players from Microsoft became unresponsive because of this code. What's wrong?

Infinite loop triggered on the last day of every leap year.

A desired safety property: the value of the days variable decreases in every loop iteration.

```
int daysToYear(int days) {
  int year = 1980;
 while (days > 365) {
    int oldDays = days;
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
       year += 1;
    } else {
      days -= 365;
     year += 1;
    assert days < oldDays;</pre>
  return year;
```

```
int daysToYear(int days) {
  int year = 1980;
  if (days > 365) {
    int oldDays = days;
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
        year += 1;
    } else {
      days -= 365;
      year += 1;
    assert days < oldDays;</pre>
    assert days <= 365;</pre>
  return year;
```

Unwind all loops k times (e.g., k=1), and add an unwinding assertion at the end.

```
int daysToYear(int days) {
  int year = 1980;
  if (days > 365) {
    int oldDays = days;
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
        year += 1;
    } else {
      days -= 365;
      year += 1;
    assert days < oldDays;</pre>
    assert days <= 365;</pre>
  return year;
```

- Unwind all loops k times (e.g., k=1), and add an unwinding assertion at the end.
- If a CEX violates a program assertion, we have found a buggy behavior of length ≤k.

```
int daysToYear(int days) {
  int year = 1980;
  if (days > 365) {
    int oldDays = days;
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
        year += 1;
    } else {
      days -= 365;
      year += 1;
    assert days < oldDays;</pre>
    assert days <= 365;</pre>
  return year;
```

- Unwind all loops k times (e.g., k=1), and add an unwinding assertion at the end.
- If a CEX violates a program assertion, we have found a buggy behavior of length ≤k.
- If a CEX violates an unwinding assertion, the program has no buggy behavior of length ≤k, but it may have a longer one.

```
int daysToYear(int days) {
  int year = 1980;
  if (days > 365) {
    int oldDays = days;
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
        year += 1;
    } else {
      days -= 365;
      year += 1;
    assert days < oldDays;</pre>
    assert days <= 365;</pre>
  return year;
```

- Unwind all loops k times (e.g., k=1), and add an unwinding assertion at the end.
- If a CEX violates a program assertion, we have found a buggy behavior of length ≤k.
- If a CEX violates an unwinding assertion, the program has no buggy behavior of length ≤k, but it may have a longer one.
- If there is no CEX, the program is correct for all k!

#### BMC step I of 4: finitize loops & inline calls

```
int daysToYear(int days) {
  int year = 1980;
  if (days > 365) {
    int oldDays = days;
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
        year += 1;
    } else {
      days -= 365;
      year += 1;
    assert days < oldDays;</pre>
    assert days <= 365;</pre>
  return year;
```

Assume call to isLeapYear is inlined (replaced with the procedure body). We'll keep it for readability.

```
int daysToYear(int days) {
  int year = 1980;
  if (days > 365) {
    int oldDays = days;
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
        year += 1;
    } else {
      days -= 365;
      year += 1;
    assert days < oldDays;</pre>
    assert days <= 365;</pre>
  return year;
```

```
int days;
int year = 1980;
if (days > 365) {
  int oldDays = days;
  if (isLeapYear(year)) {
    if (days > 366) {
      days = days - 366;
      year = year + 1;
  } else {
    days = days - 365;
   year = year + 1;
  assert days < oldDays;</pre>
  assert days <= 365;</pre>
return year;
```

Convert to **Static Single Assignment** (SSA) form:

```
int days0;
int year<sub>0</sub> = 1980;
if (days_0 > 365) {
  int oldDays0 = days0;
  if (isLeapYear(year<sub>0</sub>)) {
     if (days_0 > 366) {
       days_1 = days_0 - 366;
       year_1 = year_0 + 1;
  } else {
     days_3 = days_0 - 365;
    year_3 = year_0 + 1;
  assert days4 < oldDays0;</pre>
  assert days4 <= 365;</pre>
return year<sub>5</sub>;
```

# Convert to **Static Single Assignment** (SSA) form:

- Replace each assignment to a variable v with a definition of a fresh variable v<sub>i</sub>.
- Change uses of variables so that they refer to the correct definition (version).

```
int days0;
int year<sub>0</sub> = 1980;
boolean g_0 = (days_0 > 365);
int oldDays0 = days0;
boolean g_1 = isLeapYear(year_0);
boolean g_2 = days_0 > 366;
days_1 = days_0 - 366;
year_1 = year_0 + 1;
days_2 = \varphi(g_1 \&\& g_2, days_1, days_0);
year_2 = \phi(g_1 \&\& g_2, year_1, year_0);
days_3 = days_0 - 365;
year_3 = year_0 + 1;
days_4 = \varphi(g_1, days_2, days_3);
year_4 = \phi(g_1, year_2, year_3);
assert days4 < oldDays0;</pre>
assert days4 <= 365;</pre>
year_5 = \phi(g_0, year_4, year_0);
return year<sub>5</sub>;
```

# Convert to **Static Single Assignment** (SSA) form:

- Replace each assignment to a variable v with a definition of a fresh variable v<sub>i</sub>.
- Change uses of variables so that they refer to the correct definition (version).
- Make conditional dependences explicit with gated φ nodes.

```
int days0;
int year<sub>0</sub> = 1980;
boolean g_0 = (days_0 > 365);
int oldDays0 = days0;
boolean g_1 = isLeapYear(year_0);
boolean g_2 = days_0 > 366;
days_1 = days_0 - 366;
year_1 = year_0 + 1;
days_2 = \varphi(g_1 \&\& g_2, days_1, days_0);
year_2 = \phi(g_1 \&\& g_2, year_1, year_0);
days_3 = days_0 - 365;
year_3 = year_0 + 1;
days_4 = \varphi(g_1, days_2, days_3);
year_4 = \phi(g_1, year_2, year_3);
assert days4 < oldDays0;</pre>
assert days4 <= 365;</pre>
year_5 = \phi(g_0, year_4, year_0);
return year<sub>5</sub>;
```

```
int days0;
int year<sub>0</sub> = 1980;
if (days_0 > 365) {
  int oldDays0 = days0;
  if (isLeapYear(year<sub>0</sub>)) {
     if (days_0 > 366)  {
       days_1 = days_0 - 366;
       year_1 = year_0 + 1;
  } else {
     days_3 = days_0 - 365;
    year_3 = year_0 + 1;
  assert days4 < oldDays0;</pre>
  assert days4 <= 365;</pre>
return year<sub>5</sub>;
```

```
int days0;
int year<sub>0</sub> = 1980;
boolean g_0 = (days_0 > 365);
int oldDays_0 = days_0;
boolean g_1 = isLeapYear(year_0);
boolean g_2 = days_0 > 366;
days_1 = days_0 - 366;
year_1 = year_0 + 1;
days_2 = \varphi(g_1 \&\& g_2, days_1, days_0);
year_2 = \phi(g_1 \&\& g_2, year_1, year_0);
days_3 = days_0 - 365;
year_3 = year_0 + 1;
days_4 = \varphi(g_1, days_2, days_3);
year_4 = \phi(g_1, year_2, year_3);
assert days4 < oldDays0;</pre>
assert days4 <= 365;</pre>
year_5 = \phi(g_0, year_4, year_0);
return year<sub>5</sub>;
```

We can now read off the equations that encode the program semantics, and the assertions to be checked.

```
int year<sub>0</sub> = 1980;
boolean g_0 = (days_0 > 365);
int oldDays_0 = days_0;
boolean g_1 = isLeapYear(year_0);
boolean g_2 = days_0 > 366;
days_1 = days_0 - 366;
year_1 = year_0 + 1;
days_2 = \varphi(g_1 \&\& g_2, days_1, days_0);
year_2 = \phi(g_1 \&\& g_2, year_1, year_0);
days_3 = days_0 - 365;
year_3 = year_0 + 1;
days_4 = \varphi(g_1, days_2, days_3);
year_4 = \phi(g_1, year_2, year_3);
assert days4 < oldDays0;</pre>
assert days4 <= 365;</pre>
```

```
year_0 = 1980;
g_0 = (days_0 > 365);
oldDays_0 = days_0;
g_1 = isLeapYear(year_0);
g_2 = days_0 > 366;
days_1 = days_0 - 366;
year_1 = year_0 + 1;
days_2 = \varphi(g_1 \&\& g_2, days_1, days_0);
year_2 = \phi(g_1 \&\& g_2, year_1, year_0);
days_3 = days_0 - 365;
year_3 = year_0 + 1;
days_4 = \varphi(g_1, days_2, days_3);
year_4 = \phi(g_1, year_2, year_3);
assert days4 < oldDays0;</pre>
assert days4 <= 365;</pre>
```

```
year_0 = 1980 \land
g_0 = (days_0 > 365) \land
oldDays_0 = days_0 \land
q_1 = isLeapYear(year_0) \land
g_2 = days_0 > 366 \wedge
days_1 = days_0 - 366 \wedge
year_1 = year_0 + 1 \wedge
days_2 = \varphi(g_1 \wedge g_2, days_1, days_0) \wedge
year_2 = \varphi(g_1 \wedge g_2, year_1, year_0) \wedge
days_3 = days_0 - 365 \wedge
year_3 = year_0 + 1 \wedge
days_4 = \varphi(g_1, days_2, days_3) \wedge
year_4 = \varphi(g_1, year_2, year_3) \land
assert days4 < oldDays0;</pre>
assert days4 <= 365;</pre>
```

```
year_0 = 1980 \land
g_0 = (days_0 > 365) \land
oldDays_0 = days_0 \land
q_1 = isLeapYear(year_0) \land
g_2 = days_0 > 366 \wedge
days_1 = days_0 - 366 \wedge
year_1 = year_0 + 1 \wedge
days_2 = ite(g_1 \wedge g_2, days_1, days_0) \wedge
year_2 = ite(g_1 \land g_2, year_1, year_0) \land
days_3 = days_0 - 365 \wedge
year_3 = year_0 + 1 \wedge
days_4 = ite(g_1, days_2, days_3) \wedge
year_4 = ite(g_1, year_2, year_3) \land
assert days4 < oldDays0;</pre>
assert days4 <= 365;</pre>
```

### BMC step 3 of 4: convert into equations

```
year_0 = 1980 \land
g_0 = (days_0 > 365) \land
oldDays_0 = days_0 \land
q_1 = isLeapYear(year_0) \land
g_2 = days_0 > 366 \land
days_1 = days_0 - 366 \wedge
year_1 = year_0 + 1 \wedge
days_2 = ite(g_1 \wedge g_2, days_1, days_0) \wedge
year_2 = ite(g_1 \land g_2, year_1, year_0) \land
days_3 = days_0 - 365 \wedge
year_3 = year_0 + 1 \wedge
days_4 = ite(g_1, days_2, days_3) \wedge
year_4 = ite(g_1, year_2, year_3) \land
(\neg(days_4 < oldDays_0) \lor
 \neg(days_4 <= 365))
```

We can now read off the equations that encode the program semantics, and the assertions to be checked.

### BMC step 3 of 4: convert into equations

```
year_0 = 1980 \land
g_0 = (days_0 > 365) \land
oldDays_0 = days_0 \land
g_1 = isLeapYear(year_0) \land
g_2 = days_0 > 366 \land
days_1 = days_0 - 366 \wedge
year_1 = year_0 + 1 \wedge
days_2 = ite(g_1 \wedge g_2, days_1, days_0) \wedge
year_2 = ite(g_1 \wedge g_2, year_1, year_0) \wedge
days_3 = days_0 - 365 \wedge
year_3 = year_0 + 1 \wedge
days_4 = ite(g_1, days_2, days_3) \wedge
year_4 = ite(g_1, year_2, year_3) \land
(\neg(days_4 < oldDays_0) \lor
 \neg(days_4 <= 365))
```

We can now read off the equations that encode the program semantics, and the assertions to be checked.

A solution to this formula is a sound *counterexample*: an interpretation for all logical variables that satisfies the program semantics (for up to k unwindings) but violates at least one of the assertions.

$$year_1 = year_0 + 1$$

$$year_1 = year_0 + 1$$

$$year_0 = 000 \dots 000$$

Represent numbers as arrays of bits.

$$year_1 = year_0 + 1$$

$$year_0 = 000 \dots 000$$

Represent numbers as arrays of bits.
Use one boolean variable per bit for each number.

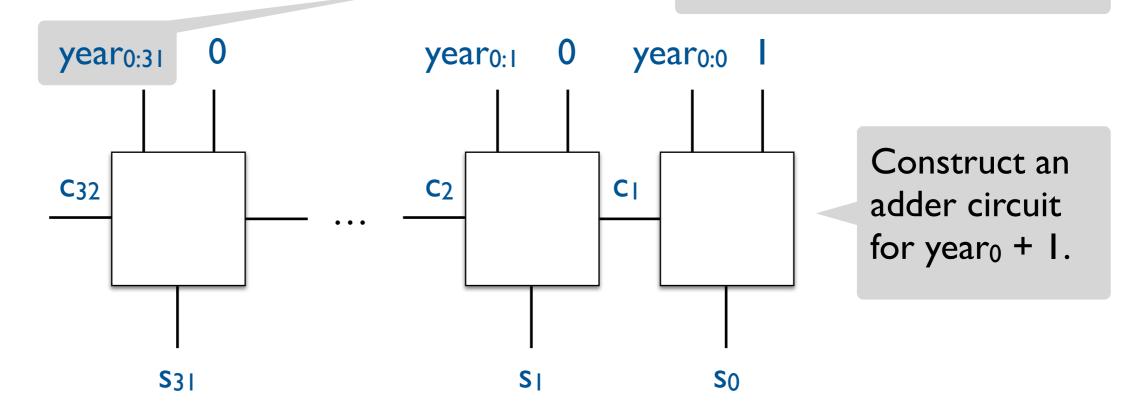
year<sub>0:31</sub>

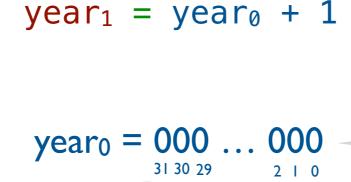
$$year_1 = year_0 + 1$$

$$year_0 = 000 \dots 000$$

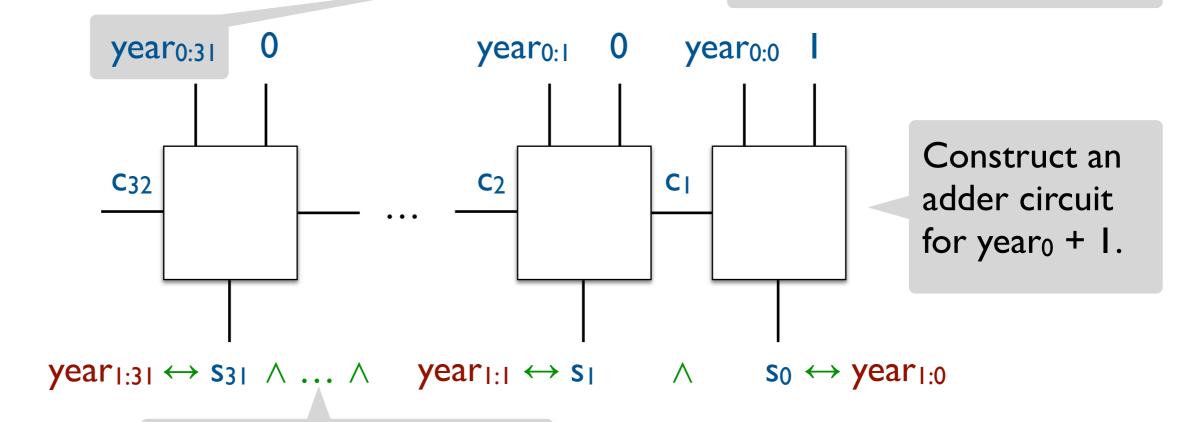
Represent numbers as arrays of bits.

Use one boolean variable per bit for each number.





Represent numbers as arrays of bits.
Use one boolean variable per bit for each number.



Introduce new clauses to constrain bits in year to match bits in the sum.

### BMC counterexample for k=1

```
int daysToYear(int days) {
                                   days = 366
  int year = 1980;
 while (days > 365) {
    if (isLeapYear(year)) {
      if (days > 366) {
        days -= 366;
       year += 1;
    } else {
      days -= 365;
     year += 1;
  return year;
```

# Bounded Model Checking (BMC) & Configuration Management

Given a configuration, consisting of a set of components, their dependencies, and conflicts:

- Decide if a new component can be added to the configuration.
- Add the component while optimizing some linear function.
- If the component cannot be added, find a way to add it by removing as few conflicting components from the current configuration as possible.





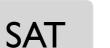


Given a configuration, consisting of a set of components, their dependencies, and conflicts:

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SAT

Pseudo-Boolean Constraints

Given a configuration, consisting of a set of components, their dependencies, and conflicts:

- Decide if a new component can be added to the configuration.
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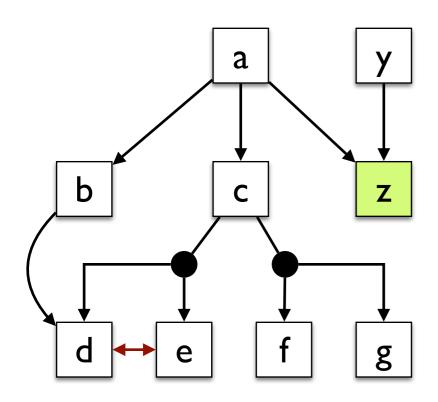


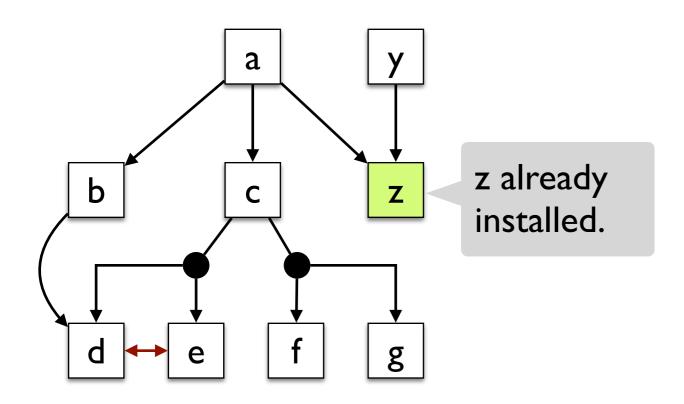


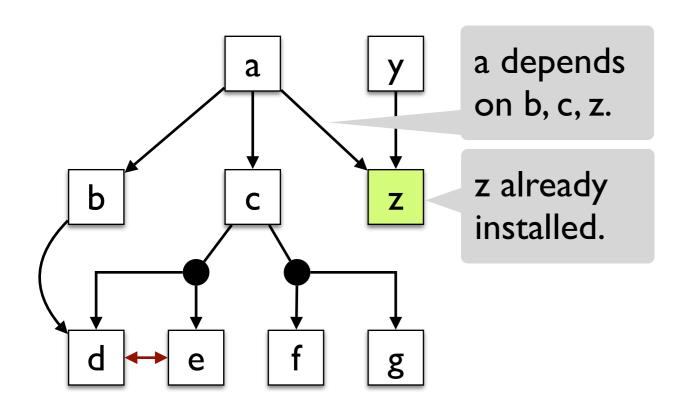
SAT

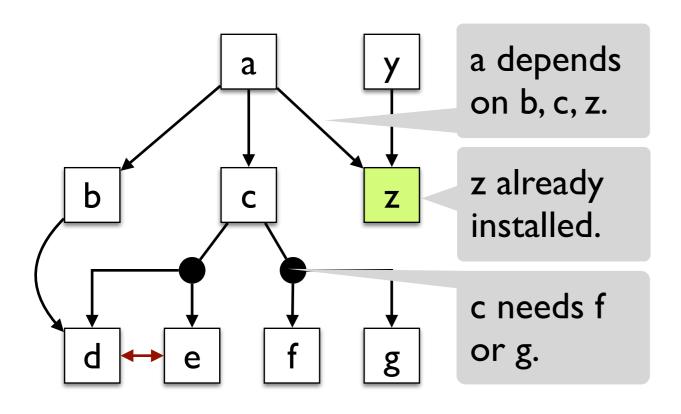
Pseudo-Boolean Constraints

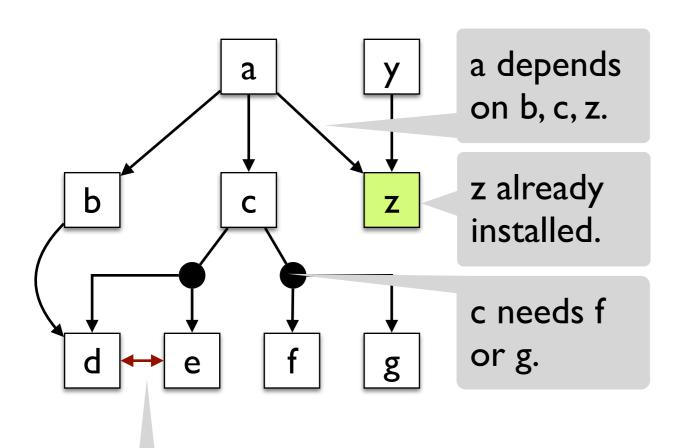
Partial (Weighted) MaxSAT

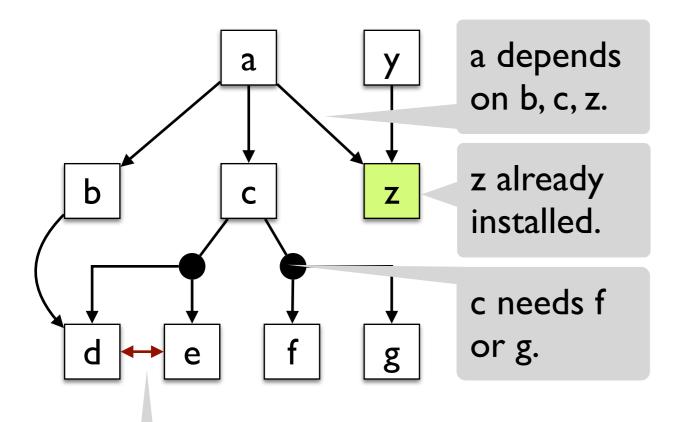




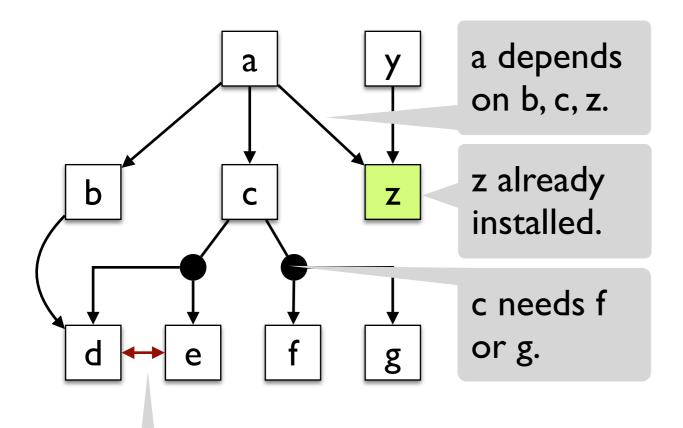






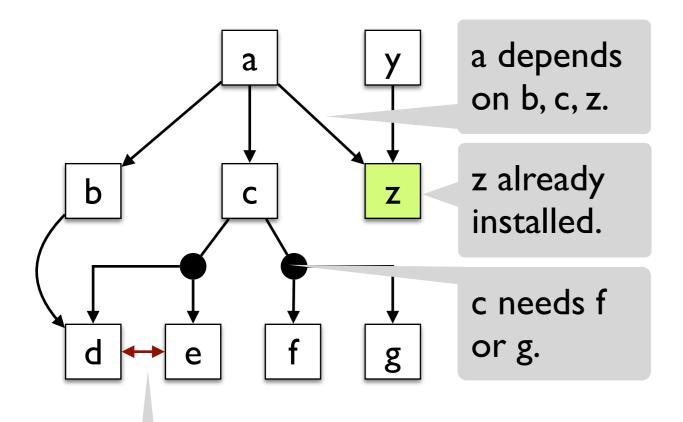


To install a, CNF constraints are:



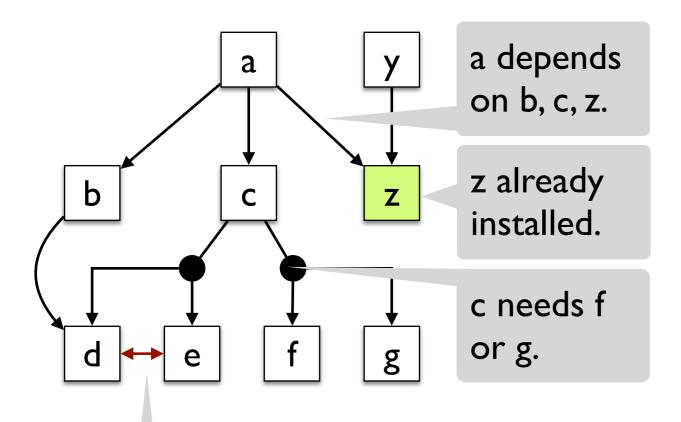
To install a, CNF constraints are:

$$(\neg a \lor b) \land (\neg a \lor c) \land (\neg a \lor z) \land$$



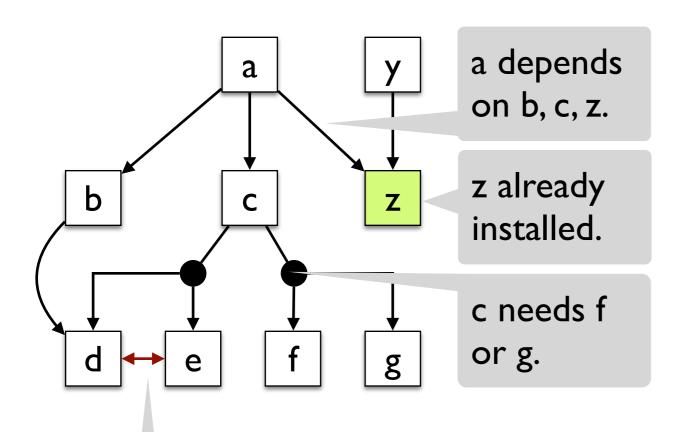
To install a, CNF constraints are:

$$(\neg a \lor b) \land (\neg a \lor c) \land (\neg a \lor z) \land (\neg b \lor d) \land$$



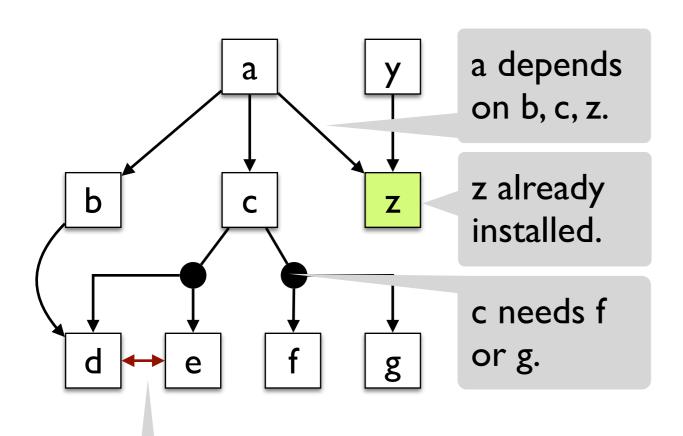
To install a, CNF constraints are:

$$\begin{array}{l} (\neg a \lor b) \land (\neg a \lor c) \land (\neg a \lor z) \land \\ (\neg b \lor d) \land \\ (\neg c \lor d \lor e) \land (\neg c \lor f \lor g) \land \end{array}$$



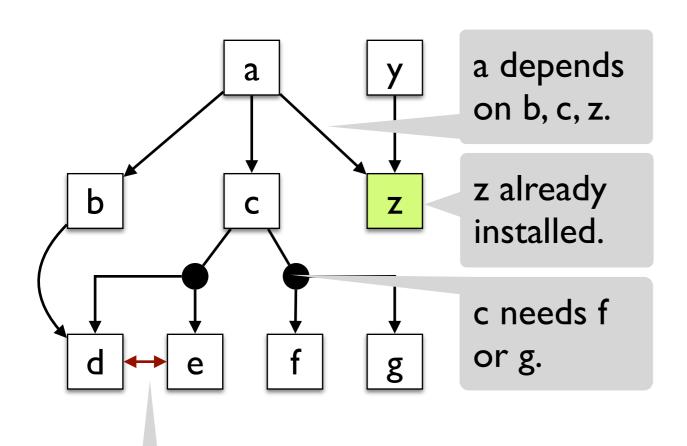
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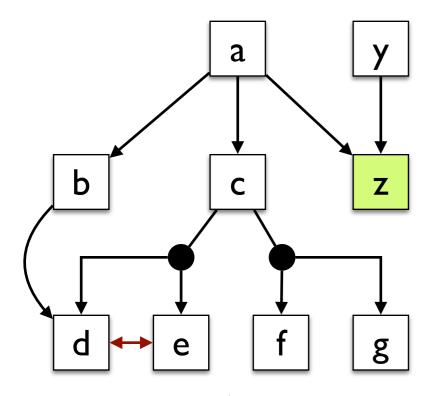
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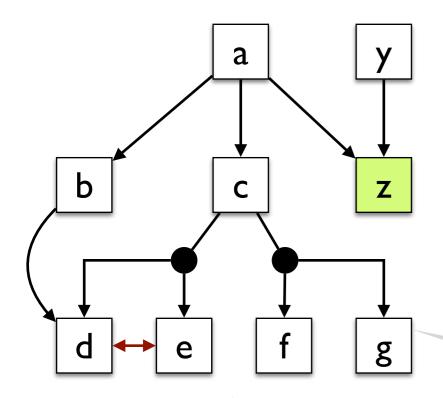
#### **Optimal installation**



Assume f and g are 5MB and 2MB each, and all other components are 1MB. How to install a, while minimizing total size?

$$\begin{array}{l} (\neg a \lor b) \land (\neg a \lor c) \land (\neg a \lor z) \land \\ (\neg b \lor d) \land \\ (\neg c \lor d \lor e) \land (\neg c \lor f \lor g) \land \\ (\neg d \lor \neg e) \land \\ (\neg y \lor z) \land \\ a \land z \end{array}$$

#### **Optimal installation**



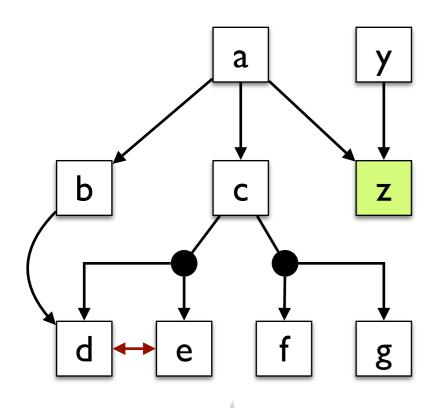
Assume f and g are 5MB and 2MB each, and all other components are 1MB. How to install a, while minimizing total size?

Pseudo-boolean solvers accept a linear function to minimize, in addition to a (weighted) CNF.

min 
$$c_1x_1 + ... + c_nx_n$$
  
 $a_{11}x_1 + ... + a_{1n}x_n \ge b_1 \land ... \land$   
 $a_{k1}x_1 + ... + a_{kn}x_n \ge b_k$ 

$$\begin{array}{l} (\neg a \lor b) \land (\neg a \lor c) \land (\neg a \lor z) \land \\ (\neg b \lor d) \land \\ (\neg c \lor d \lor e) \land (\neg c \lor f \lor g) \land \\ (\neg d \lor \neg e) \land \\ (\neg y \lor z) \land \\ a \land z \end{array}$$

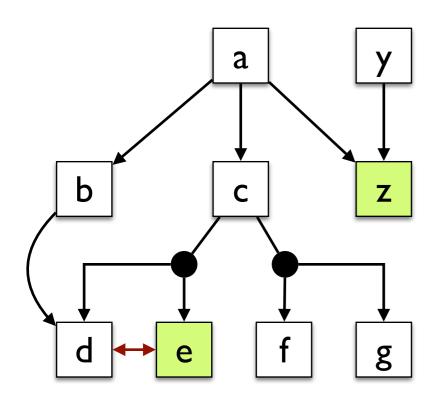
#### **Optimal installation**

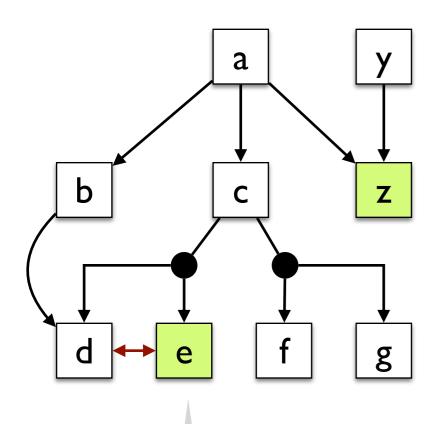


Assume f and g are 5MB and 2MB each, and all other components are 1MB. How to install a, while minimizing total size?

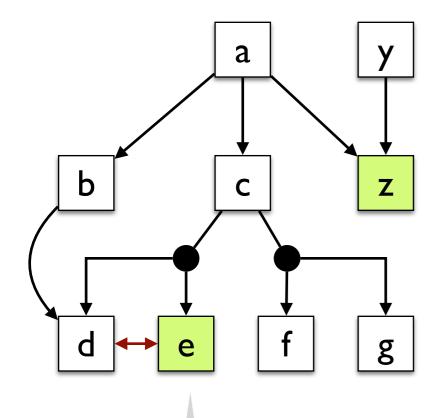
Pseudo-boolean solvers accept a linear function to minimize, in addition to a (weighted) CNF.

min 
$$a + b + c + d + e + 5f + 2g + y + 0z$$
  
 $(-a + b \ge 0) \land (-a + c \ge 0) \land (-a + z \ge 0) \land$   
 $(-b + d \ge 0) \land$   
 $(-c + d + e \ge 0) \land (-c + f + g \ge 0) \land$   
 $(-d + -e \ge -1) \land$   
 $(-y + z \ge 0) \land$   
 $(a \ge 1) \land (z \ge 1)$ 

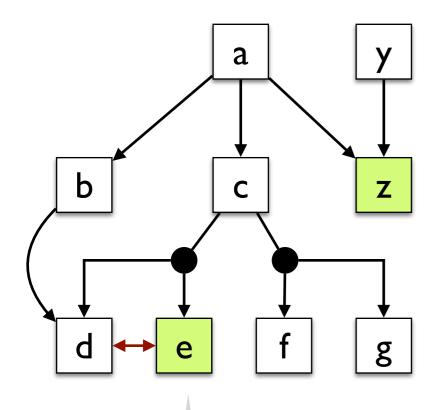




a cannot be installed because it requires b, which requires d, which conflicts with e.



a cannot be installed because it requires b, which requires d, which conflicts with e. Partial MaxSAT solver takes as input a set of **hard** clauses and a set of **soft** clauses, and it produces an assignment that satisfies all hard clauses and the greatest number of soft clauses.



a cannot be installed because it requires b, which requires d, which conflicts with e. Partial MaxSAT solver takes as input a set of **hard** clauses and a set of **soft** clauses, and it produces an assignment that satisfies all hard clauses and the greatest number of soft clauses.

To install a, while minimizing the number of removed components, Partial MaxSAT constraints are:

#### Summary

#### **Today**

- SAT solvers have been used successfully in many applications & domains
- But reducing problems to SAT is a lot like programming in assembly ...
- We need higher-level logics!

#### **Next lecture**

• On to richer logics: introduction to Satisfiability Modulo Theories (SMT)