

Computer-Aided Reasoning for Software

# CSSE507

## Combining Theories

**Emina Torlak**

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# Today

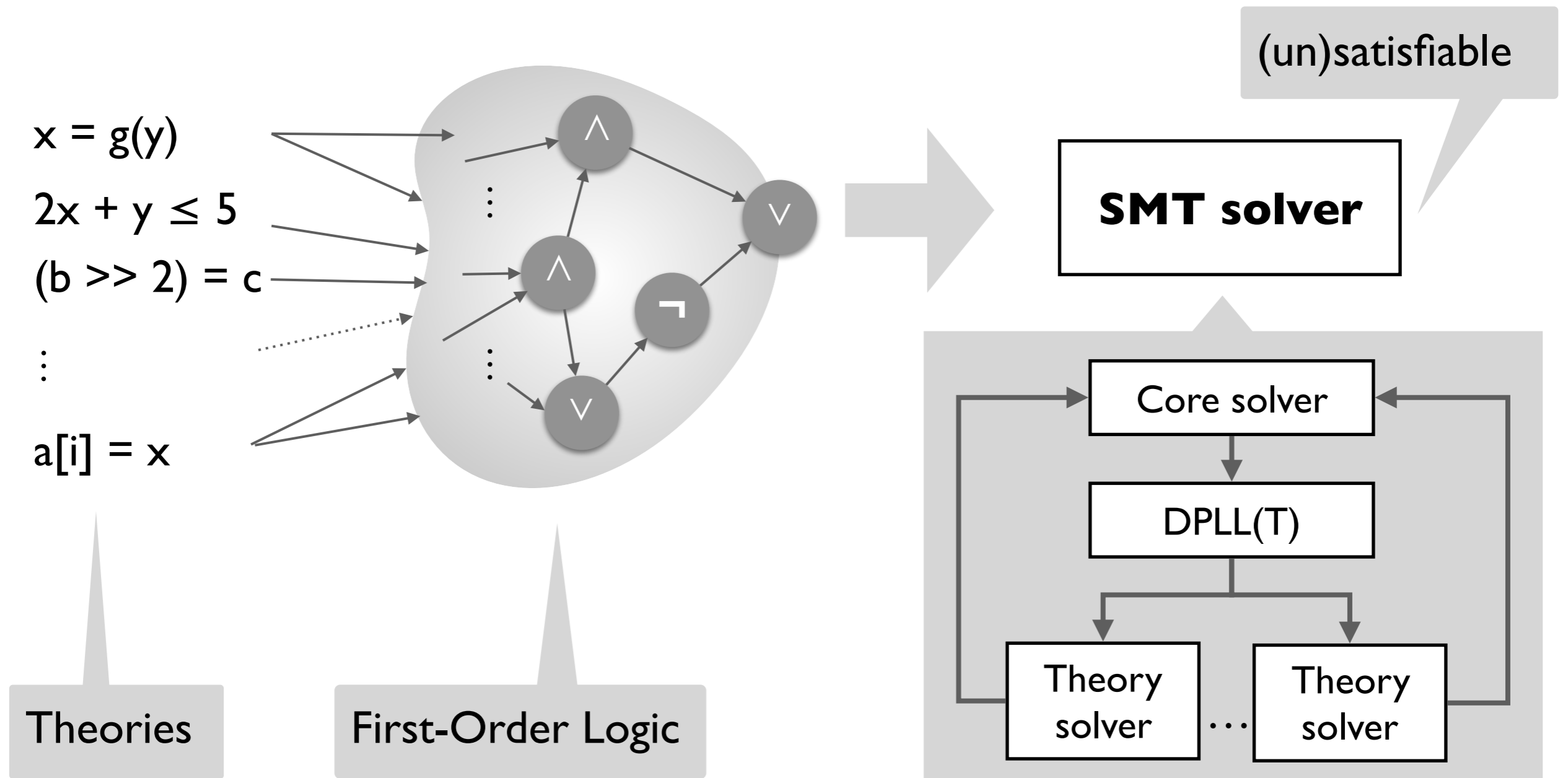
## Last lecture

- A survey of theory solvers and deciding  $T=$  with congruence closure

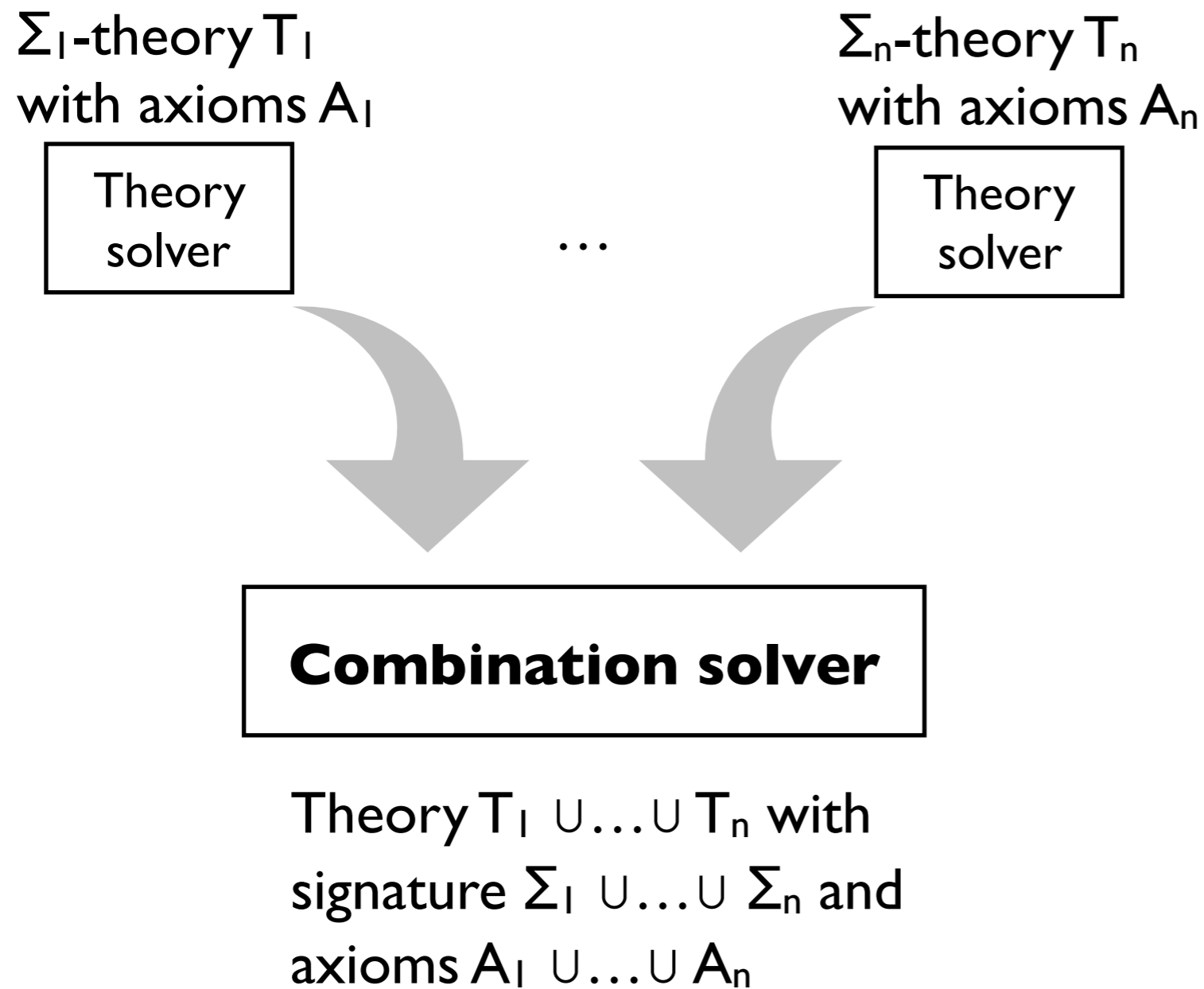
## Today

- Deciding a combination of theories

# Recall: Satisfiability Modulo Theories (SMT)



# Combining theories with Nelson-Oppen



# Combining theories with Nelson-Oppen

$\Sigma_1$ -theory  $T_1$   
with axioms  $A_1$

Theory  
solver

$\Sigma_2$ -theory  $T_2$   
with axioms  $A_2$

Theory  
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We'll see how to  
combine two  
theories. Easy to  
generalize to  $n$ .

**Combination solver**

Theory  $T_1 \cup T_2$  with  
signature  $\Sigma_1 \cup \Sigma_2$  and  
axioms  $A_1 \cup A_2$

# Combining theories with Nelson-Oppen

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Theory  $T_1 \cup T_2$  with  
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We'll see how to combine two theories. Easy to generalize to  $n$ .

The combination problem is undecidable for arbitrary (decidable) theories. It becomes decidable under **Nelson-Oppen restrictions**.

# Nelson-Oppen restrictions

## $T_1$ and $T_2$ can be combined when

- Both are decidable, quantifier-free conjunctive fragments
- Equality (=) is the only interpreted symbol in the intersection of their signatures:  $\Sigma_1 \cap \Sigma_2 = \{ = \}$
- Both are **stably infinite**

# Nelson-Oppen restrictions

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- Both are decidable, quantifier-free conjunctive fragments
- Equality (=) is the only interpreted symbol in the intersection of their signatures:  $\Sigma_1 \cap \Sigma_2 = \{ = \}$
- Both are **stably infinite**

A theory  $T$  is stably infinite if for every satisfiable  $\Sigma_T$ -formula  $F$ , there is a  $T$ -model that satisfies  $F$  and that has a universe of infinite cardinality.



# Examples of (non-)stably infinite theories

$\Sigma_T: \{a, b, =\}$

$A_T: \forall x. x = a \vee x = b$

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Fixed width bit  
vectors ( $T_{bv}$ )

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Equality and  
uninterpreted  
functions ( $T_=$ )

Fixed width bit  
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Fixed width bit  
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Arrays ( $T_A$ )



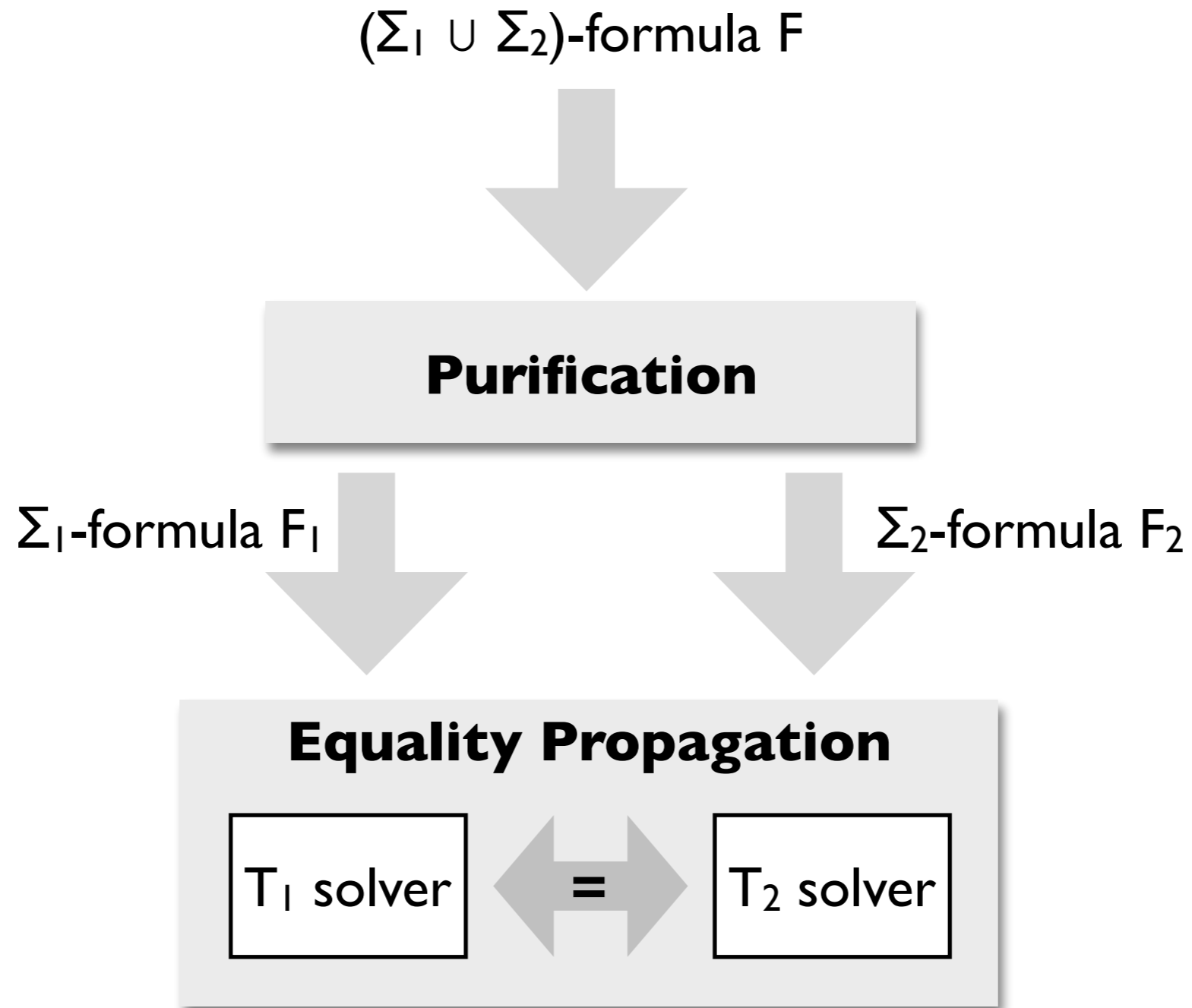
Linear real  
arithmetic ( $T_R$ )



Linear integer  
arithmetic ( $T_R$ )



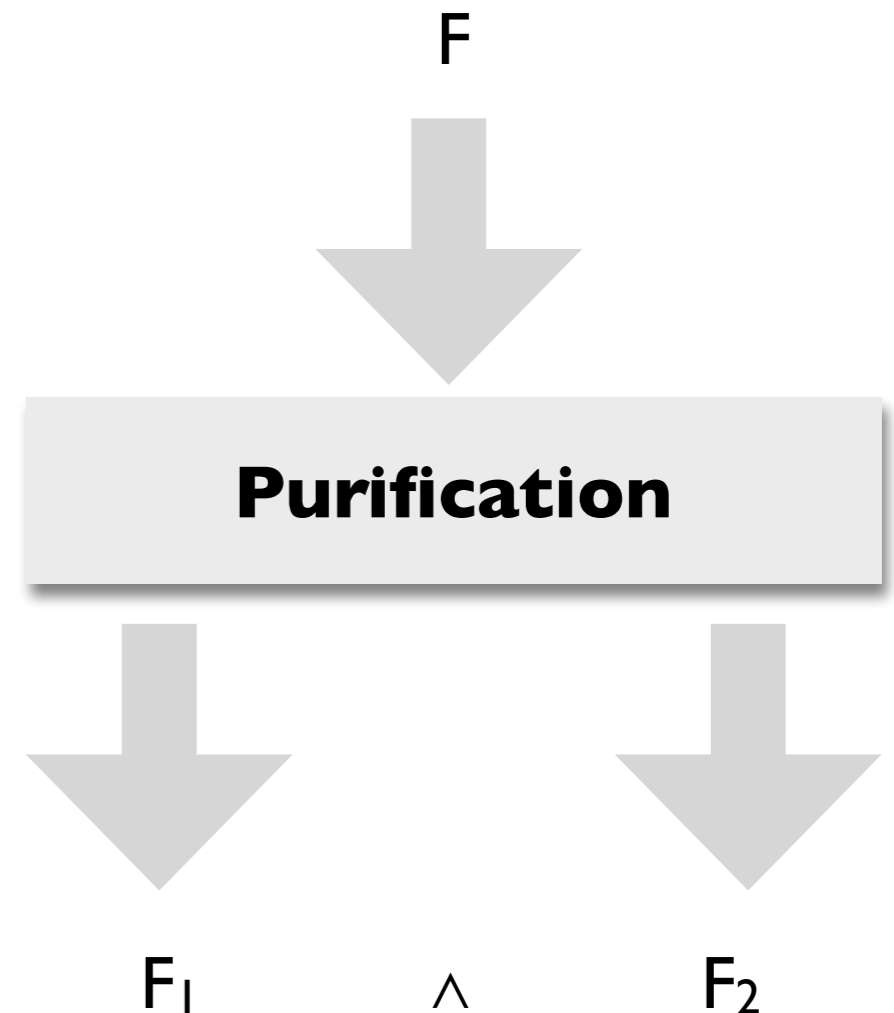
# Overview of Nelson-Oppen





# Overview of purification

Transforms a  $(\Sigma_1 \cup \Sigma_2)$ -formula  $F$  into an equisatisfiable formula  $F_1 \wedge F_2$  with  $F_1$  in  $T_1$  and  $F_2$  in  $T_2$

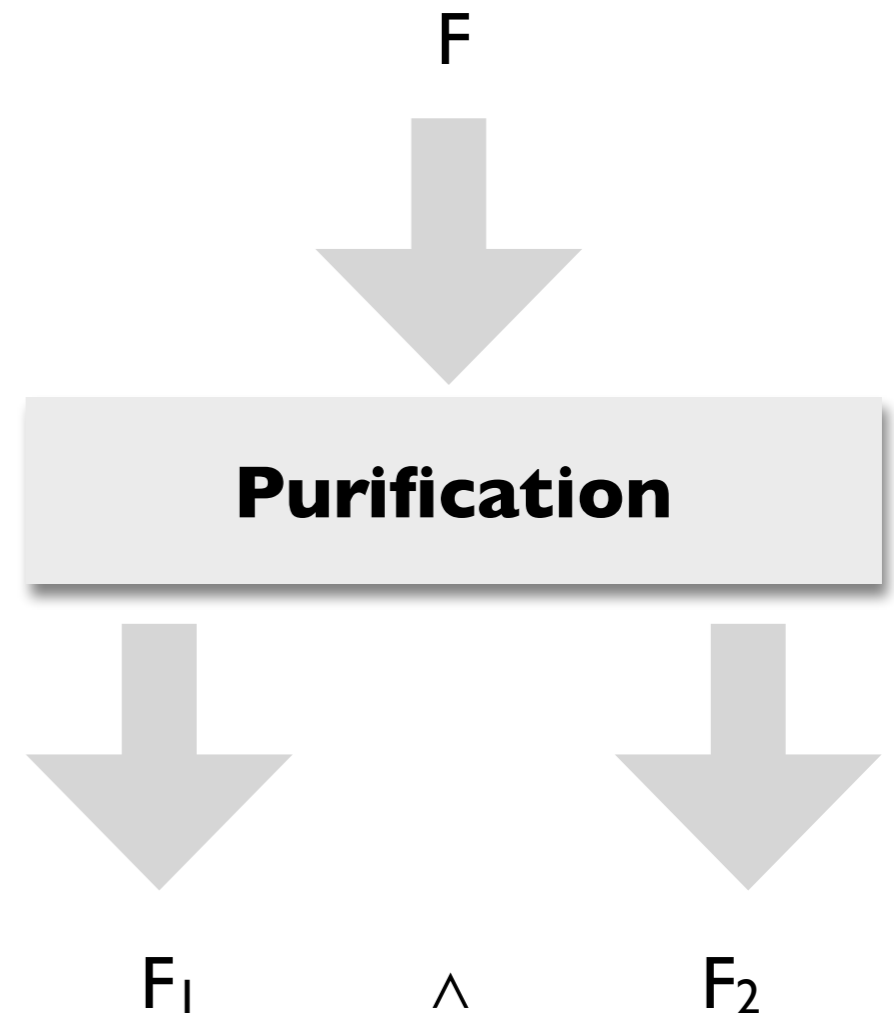


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Repeat until fix point:

- If  $f$  is in  $T_i$  and  $t$  is not, and  $u$  is fresh:  
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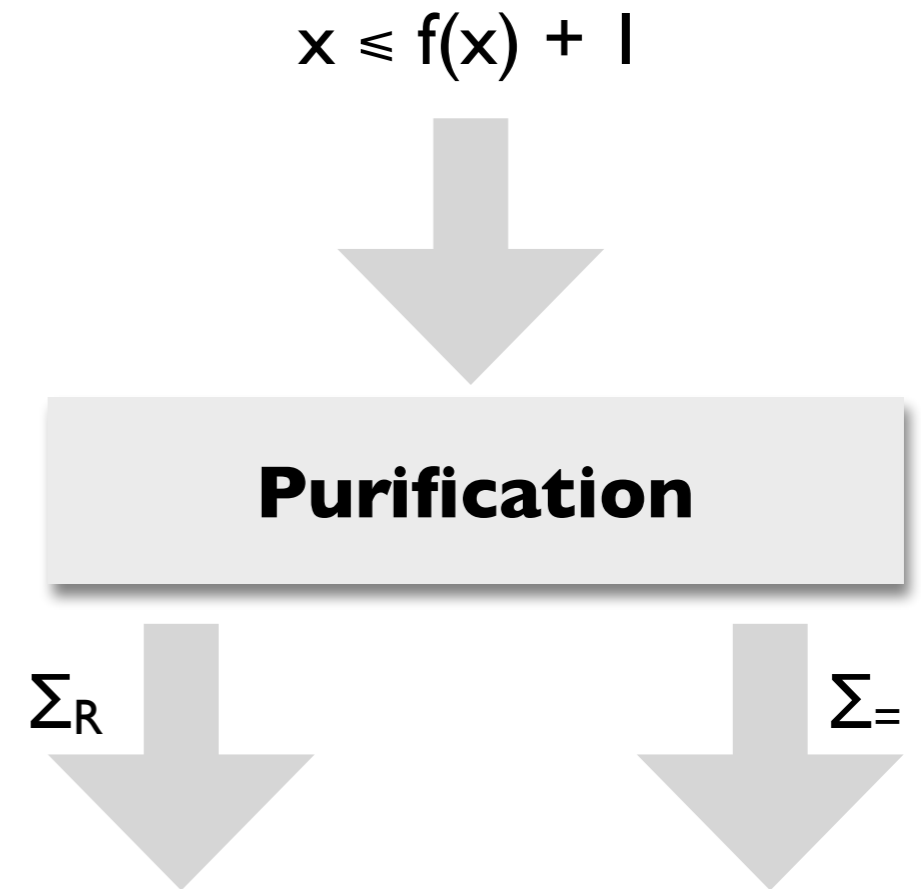


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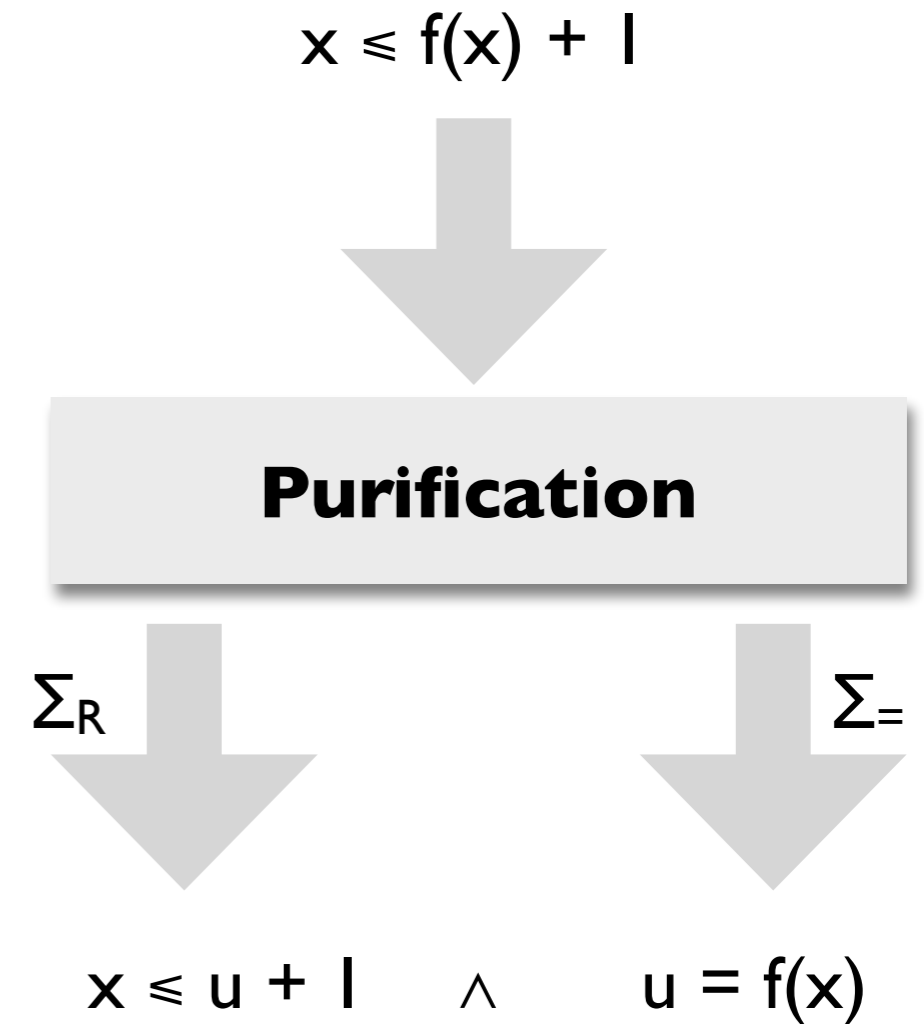


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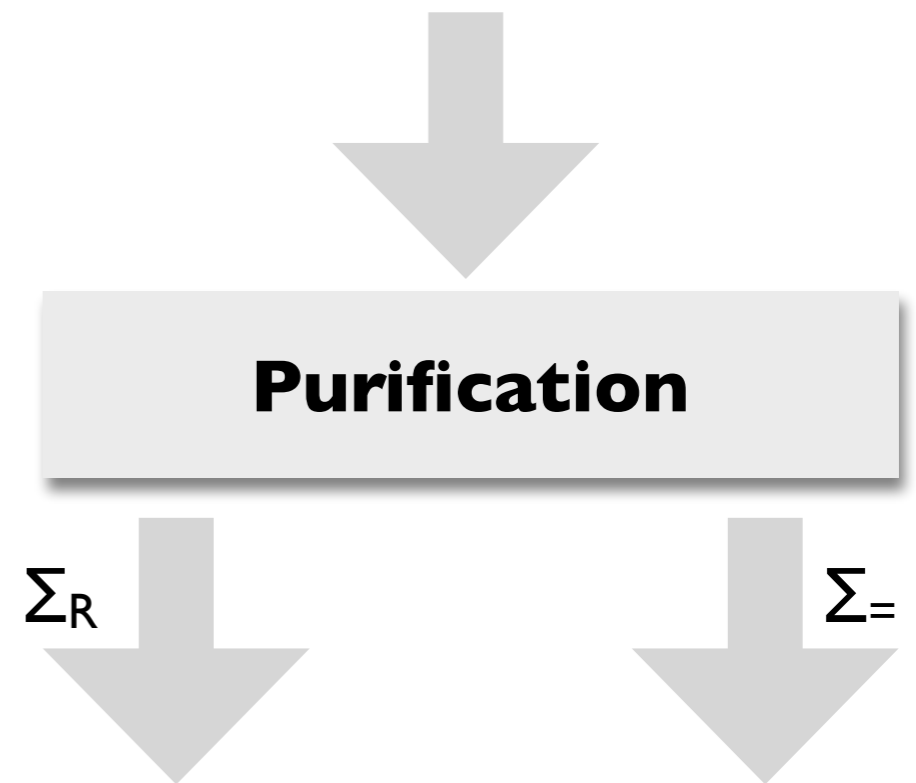
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$$f(x + g(y)) \leq g(a) + f(b)$$



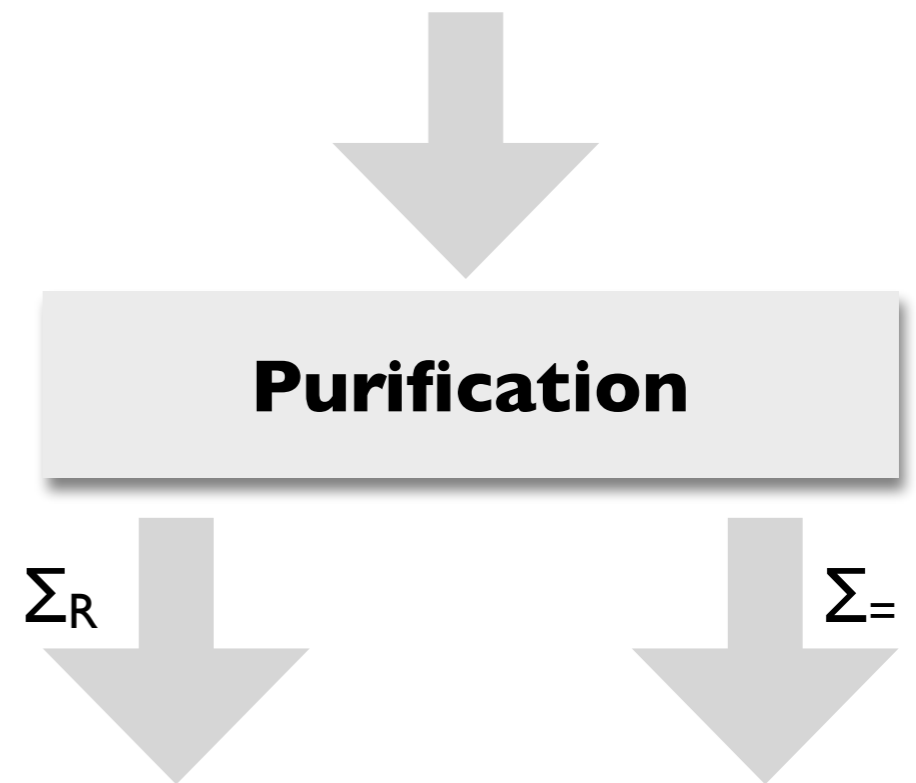
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$$f(x + u_1) \leq u_2 + u_3$$

**Purification**

$\Sigma_R$

$\Sigma_+$

$$\begin{aligned} u_1 &= g(y) \\ u_2 &= g(a) \\ u_3 &= f(b) \end{aligned}$$

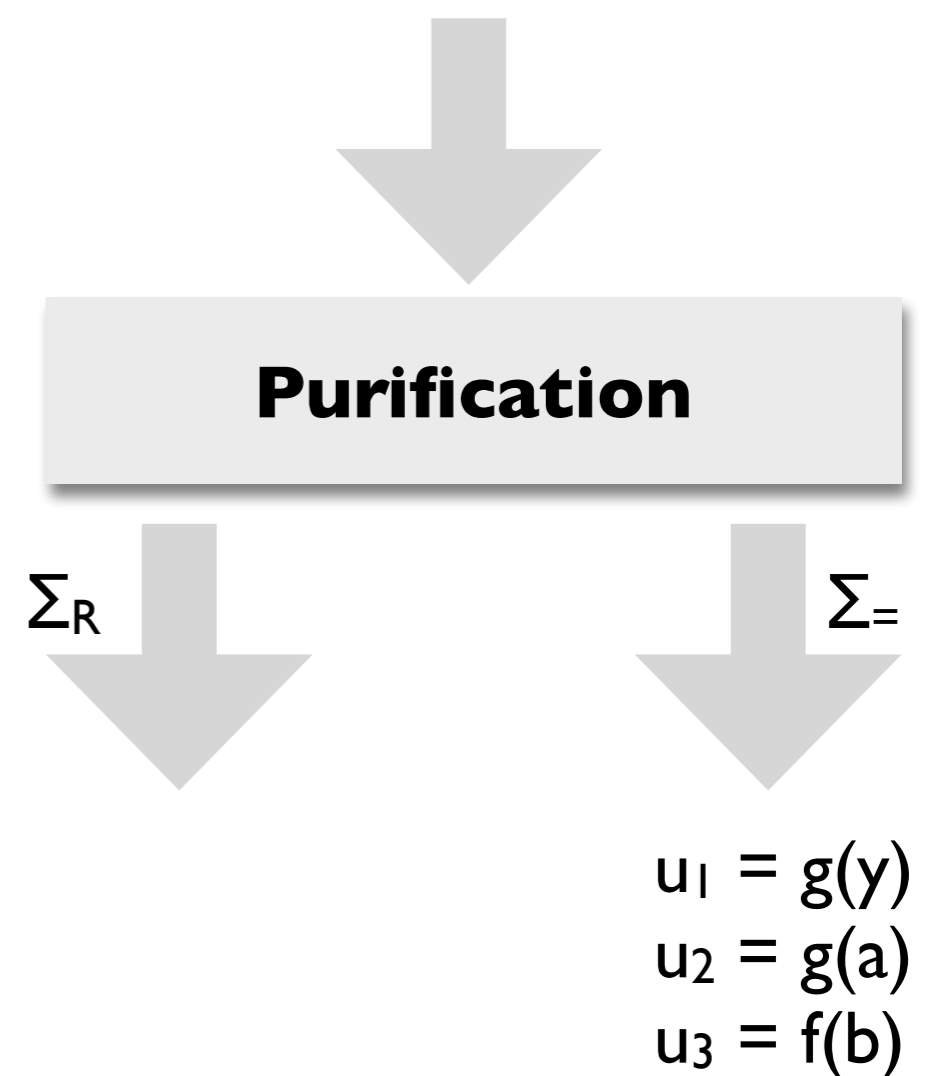
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$$f(u_4) \leq u_2 + u_3$$

**Purification**

$\Sigma_R$

$$u_4 = x + u_1$$

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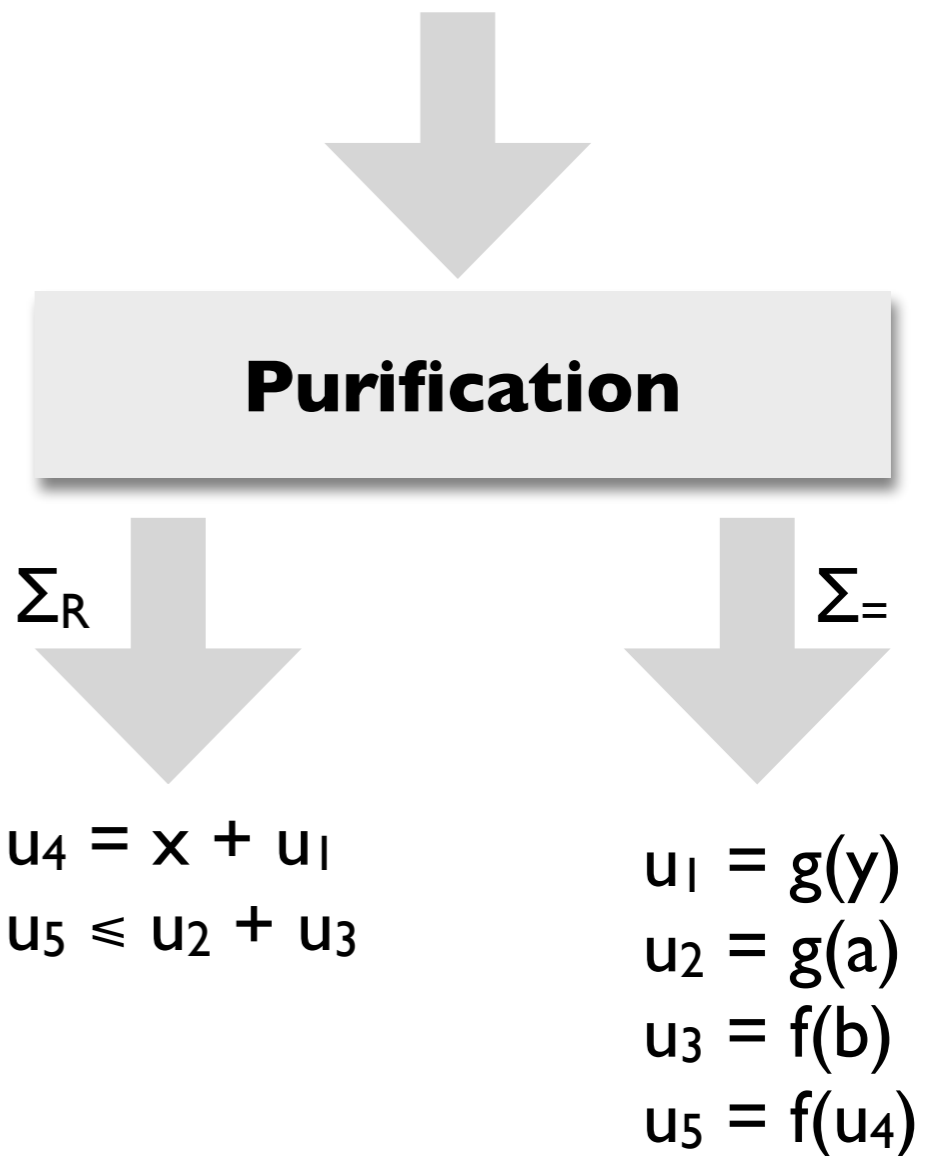
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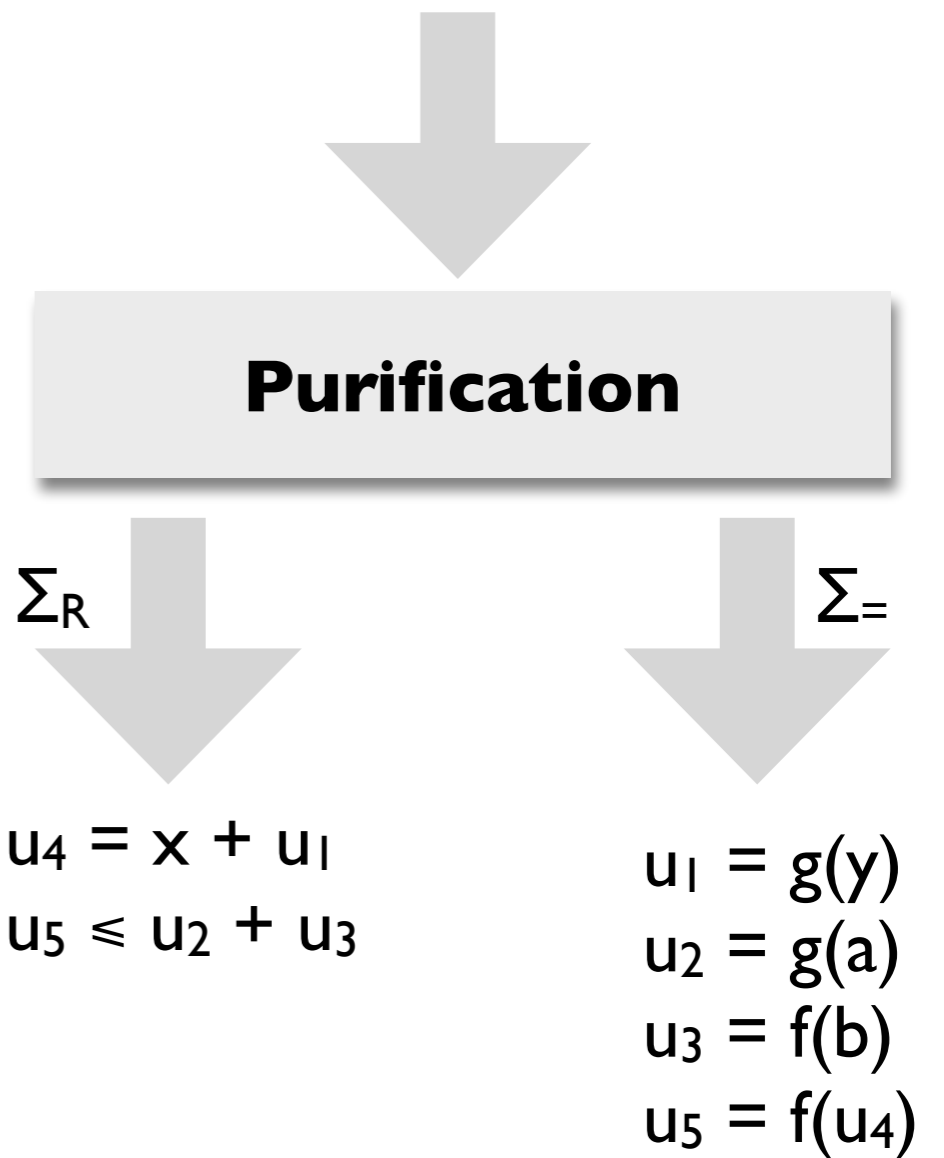
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# Shared and local constants

A constant is *shared* if it occurs in both  $F_1$  and  $F_2$ , and it is *local* otherwise.

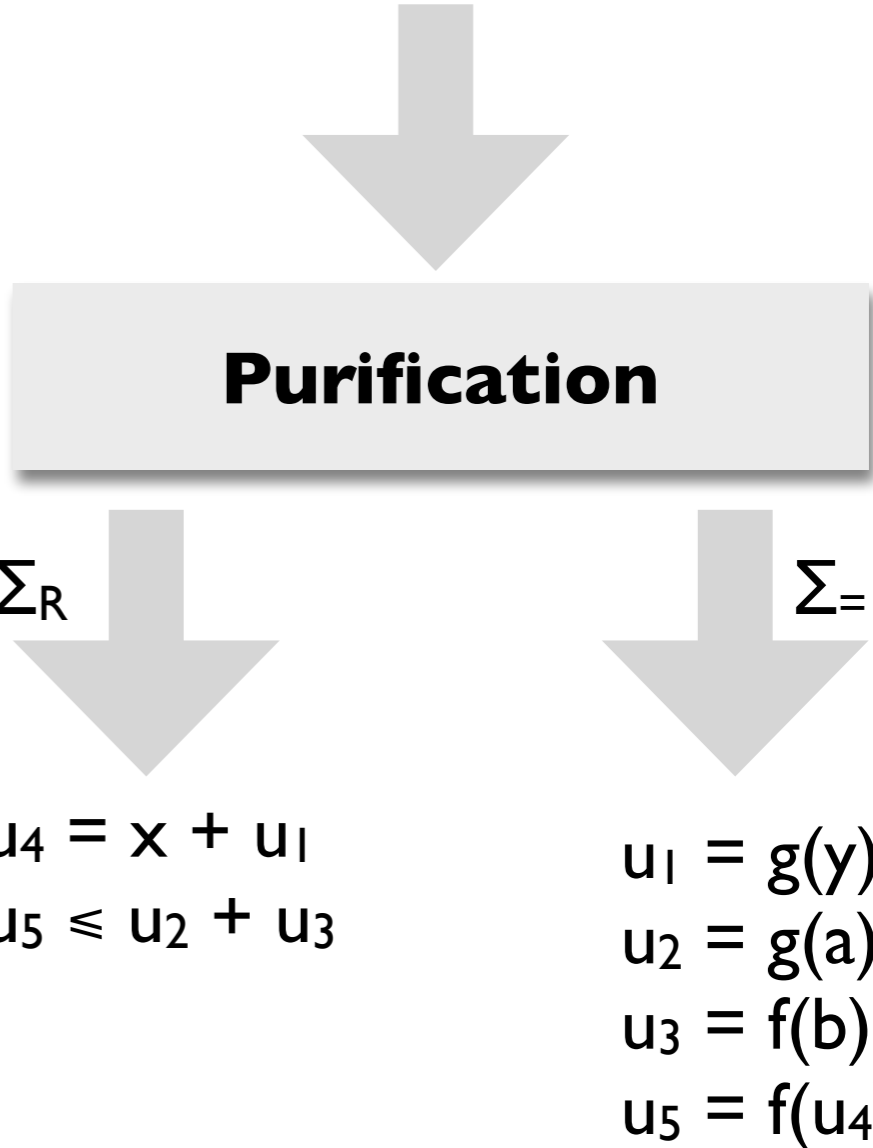


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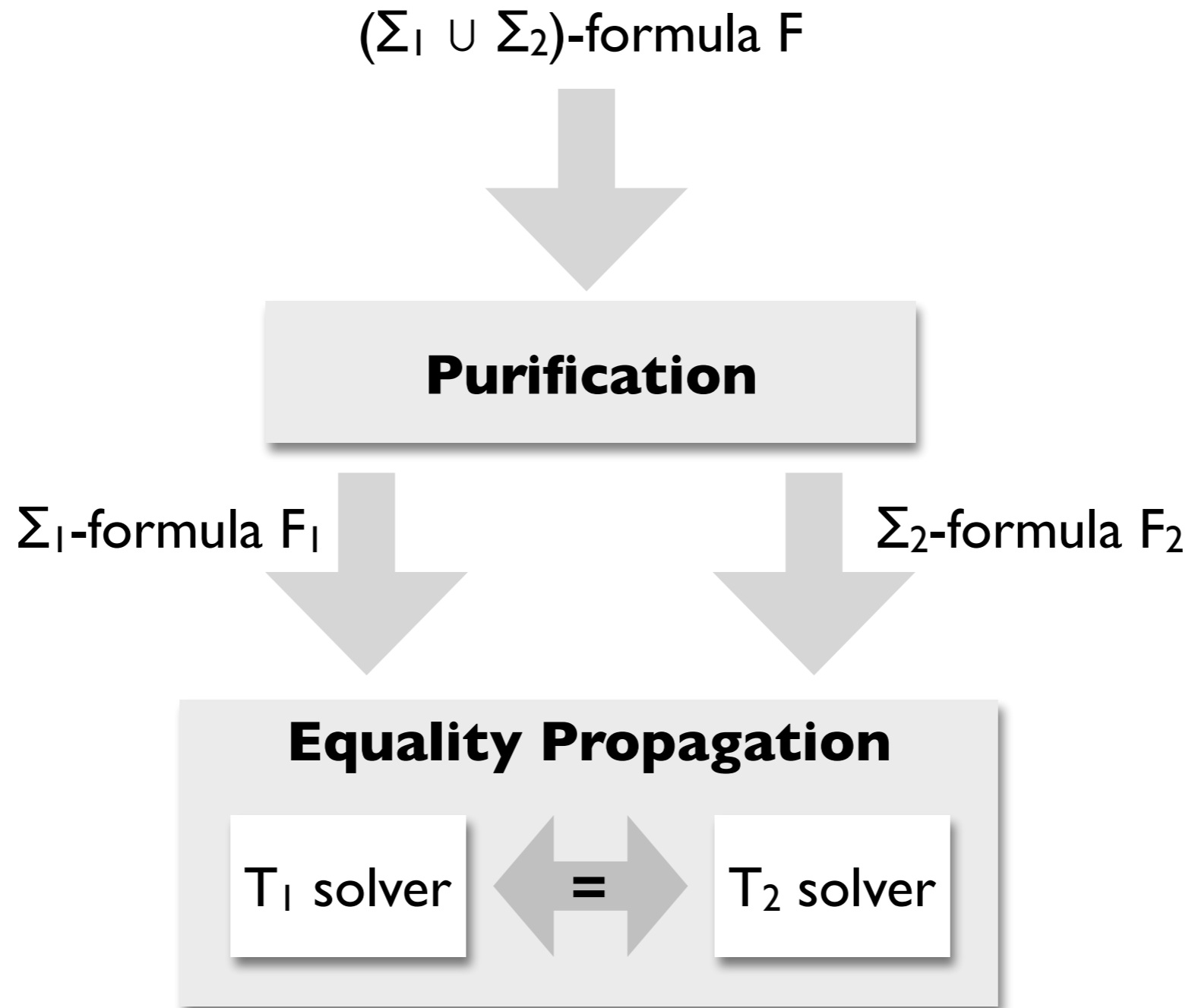
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Shared:  $\{u_1, u_2, u_3, u_4, u_5\}$

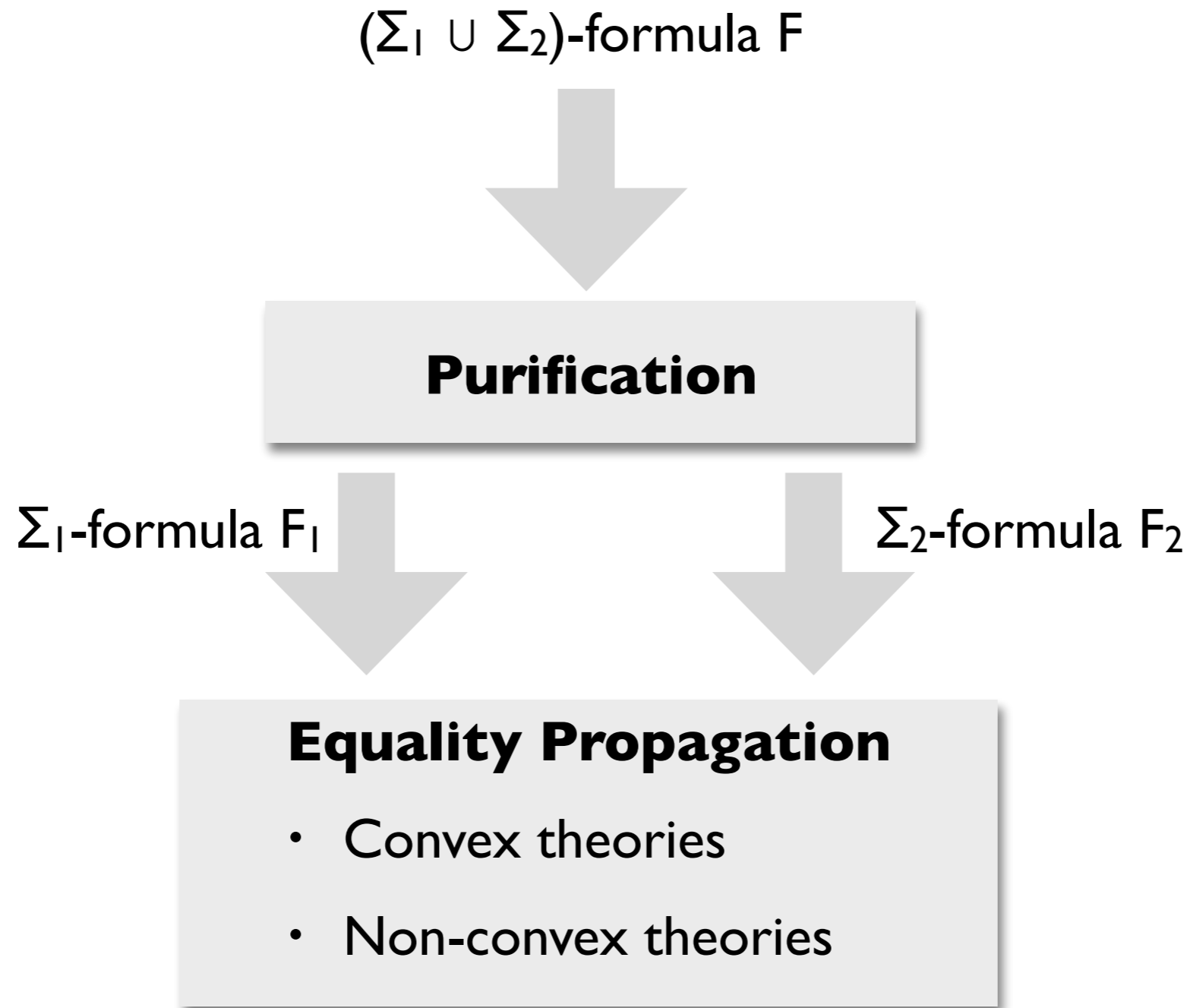
Local:  $\{x, y, a, b\}$



# Overview of Nelson-Oppen



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# Convex theories

A theory  $T$  is *convex* if for every conjunctive formula  $F$ , the following holds:

If  $F \Rightarrow x_1 = y_1 \vee \dots \vee x_n = y_n$  for a finite  $n > 1$ ,  
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If  $F$  implies a disjunction of equalities, then it also implies at least one of the equalities.

# Examples of (non-)convex theories

Linear arithmetic over  
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$1 \leq x \wedge x \leq 2 \Rightarrow x = 1 \vee x = 2$  but

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not  $1 \leq x \wedge x \leq 2 \Rightarrow x = 2$

# Examples of (non-)convex theories

Linear arithmetic over integers ( $T_Z$ )



Equality and uninterpreted functions ( $T_=$ )



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Linear real arithmetic ( $T_R$ )



# Nelson-Oppen for convex theories

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**No:**  $x = 1 \wedge 2 = x + y \wedge f(x) \neq f(y)$

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# Nelson-Oppen for convex theories: example

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$$f(f(x) - f(y)) \neq f(z) \wedge x \leq y \\ \wedge y + z \leq x \wedge 0 \leq z$$

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$$w = u - v$$

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$x \leq y \wedge$	$f(w) \neq f(z) \wedge$
$y + z \leq x \wedge$	$u = f(x) \wedge$
$0 \leq z \wedge$	$v = f(y)$
$w = u - v$	

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$x \leq y \wedge$ $y + z \leq x \wedge$ $0 \leq z \wedge$ $w = u - v$	$f(w) \neq f(z) \wedge$ $u = f(x) \wedge$ $v = f(y)$
--	--

$x = y \wedge$ $u = v \wedge$ $w = z \wedge$	$x = y \wedge$ $u = v \wedge$ $w = z \wedge$
--	--

$\Sigma_R$

$\Sigma_ =$



# Nelson-Oppen for convex theories: example

NELSON-OPPEN-CONVEX(F)

1. Purify F into  $F_1 \wedge F_2$
2. Run  $T_1$ -solver on  $F_1$  and  $T_2$ -solver on  $F_2$  and return UNSAT if either is unsatisfiable
3. If there are shared constants  $x$  and  $y$  such that  $F_i \Rightarrow x = y$  but  $F_j$  does not
  1.  $F_j \leftarrow F_j \wedge x = y$
  2. Go to step 2.
4. Return SAT

$$f(f(x) - f(y)) \neq f(z) \wedge x \leq y \\ \wedge y + z \leq x \wedge 0 \leq z$$

$x \leq y \wedge$ $y + z \leq x \wedge$ $0 \leq z \wedge$ $w = u - v$	$f(w) \neq f(z) \wedge$ $u = f(x) \wedge$ $v = f(y)$
--	--

$x = y \wedge$ $u = v \wedge$ $w = z \wedge$	$x = y \wedge$ $u = v \wedge$ $w = z \wedge$ <b>UNSAT</b>
--	--

$\Sigma_R$

$\Sigma_=$

# This doesn't work for non-convex theories ...

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  1.  $F_j \leftarrow F_j \wedge x = y$
  2. Go to step 2.
4. Return SAT

$$1 \leq x \wedge x \leq 2 \wedge \\ f(x) \neq f(1) \wedge f(x) \neq f(2)$$

# This doesn't work for non-convex theories ...

## NELSON-OPPEN-CONVEX(F)

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  1.  $F_j \leftarrow F_j \wedge x = y$
  2. Go to step 2.
4. Return SAT

$1 \leq x \wedge x \leq 2 \wedge$	
$f(x) \neq f(1) \wedge f(x) \neq f(2)$	
$1 \leq x \wedge$	$f(x) \neq f(z_1) \wedge$
$x \leq 2 \wedge$	$f(x) \neq f(z_2)$
$z_1 = 1 \wedge$	
$z_2 = 2$	
$\Sigma_Z$	$\Sigma_=\$

# This doesn't work for non-convex theories ...

## NELSON-OPPEN-CONVEX(F)

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  1.  $F_j \leftarrow F_j \wedge x = y$
  2. Go to step 2.
4. Return SAT

<del>X</del> $1 \leq x \wedge x \leq 2 \wedge$ $f(x) \neq f(1) \wedge f(x) \neq f(2)$	
$1 \leq x \wedge$ $x \leq 2 \wedge$ $z_1 = 1 \wedge$ $z_2 = 2$	$f(x) \neq f(z_1) \wedge$ $f(x) \neq f(z_2)$
SAT	SAT
$\Sigma_Z$	$\Sigma_ =$

# This doesn't work for non-convex theories ...

## NELSON-OPPEN-CONVEX(F)

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  1.  $F_j \leftarrow F_j \wedge x = y$
  2. Go to step 2.
4. Return SAT

If  $T$  is non-convex, it may imply a disjunction of equalities without implying any single equality.

We have to propagate disjunctions as well as individual equalities. Which disjunctions? How do we propagate disjunctions to theory solvers which reason only about conjunctions?

# Nelson-Oppen for non-convex theories

NELSON-OPPEN(F)

1. Purify F into  $F_1 \wedge F_2$
2. Run  $T_1$ -solver on  $F_1$  and  $T_2$ -solver on  $F_2$  and return UNSAT if either is unsatisfiable
3. If there are shared constants  $x$  and  $y$  such that  $F_i \Rightarrow x = y$  but  $F_j$  does not
  1.  $F_j \leftarrow F_j \wedge x = y$
  2. Go to step 2.
4. If  $F_i \Rightarrow x_1 = y_1 \vee \dots \vee x_n = y_n$  but  $F_j$  does not, then if NELSON-OPPEN( $F_i \wedge F_j \wedge x_k = y_k$ ) outputs SAT for any  $k$ , return SAT. Otherwise, return UNSAT.
5. Return SAT

# Nelson-Oppen for non-convex theories

NELSON-OPPEN(F)

1. Purify F into  $F_1 \wedge F_2$
2. Run  $T_1$ -solver on  $F_1$  and  $T_2$ -solver on  $F_2$  and return UNSAT if either is unsatisfiable
3. If there are shared constants  $x$  and  $y$  such that  $F_i \Rightarrow x = y$  but  $F_j$  does not
  1.  $F_j \leftarrow F_j \wedge x = y$
  2. Go to step 2.
4. If  $F_i \Rightarrow x_1 = y_1 \vee \dots \vee x_n = y_n$  but  $F_j$  does not, then if NELSON-OPPEN( $F_i \wedge F_j \wedge x_k = y_k$ ) outputs SAT for any  $k$ , return SAT. Otherwise, return UNSAT.
5. Return SAT

Propagate a *minimal* disjunction.

# Nelson-Oppen for non-convex theories: example

$$1 \leq x \wedge x \leq 2 \wedge \\ f(x) \neq f(1) \wedge f(x) \neq f(2)$$



# Nelson-Oppen for non-convex theories: example

$$1 \leq x \wedge x \leq 2 \wedge$$

$$f(x) \neq f(1) \wedge f(x) \neq f(2)$$

$$1 \leq x \wedge$$

$$x \leq 2 \wedge$$

$$z_1 = 1 \wedge$$

$$z_2 = 2$$

$$f(x) \neq f(z_1) \wedge$$

$$f(x) \neq f(z_2)$$

 $\Sigma_Z$ 
 $\Sigma_1$

# Nelson-Oppen for non-convex theories: example

$1 \leq x \wedge x \leq 2 \wedge$	
$f(x) \neq f(1) \wedge f(x) \neq f(2)$	
$1 \leq x \wedge$	$f(x) \neq f(z_1) \wedge$
$x \leq 2 \wedge$	$f(x) \neq f(z_2)$
$z_1 = 1 \wedge$	
$z_2 = 2$	
<hr/>	
$(x=z_1 \vee x=z_2) \wedge$	
$\Sigma_Z$	$\Sigma_1$

# Nelson-Oppen for non-convex theories: example

$1 \leq x \wedge x \leq 2 \wedge$ $f(x) \neq f(1) \wedge f(x) \neq f(2)$	
$1 \leq x \wedge$ $x \leq 2 \wedge$ $z_1 = 1 \wedge$ $z_2 = 2$	$f(x) \neq f(z_1) \wedge$ $f(x) \neq f(z_2)$
$(x=z_1 \vee x=z_2) \wedge$ $\Sigma_Z$	
$\Sigma =$	



$1 \leq x \wedge$ $x \leq 2 \wedge$ $z_1 = 1 \wedge$ $z_2 = 2$	$f(x) \neq f(z_1) \wedge$ $f(x) \neq f(z_2)$
$x = z_1$	
$x = z_1 \wedge$ <b>UNSAT</b>	

# Nelson-Oppen for non-convex theories: example

$1 \leq x \wedge x \leq 2 \wedge$ $f(x) \neq f(1) \wedge f(x) \neq f(2)$	
$1 \leq x \wedge$ $x \leq 2 \wedge$ $z_1 = 1 \wedge$ $z_2 = 2$	$f(x) \neq f(z_1) \wedge$ $f(x) \neq f(z_2)$
$(x=z_1 \vee x=z_2) \wedge$ $\Sigma_Z$	$\Sigma_=\$



$1 \leq x \wedge$ $x \leq 2 \wedge$ $z_1 = 1 \wedge$ $z_2 = 2$	$f(x) \neq f(z_1) \wedge$ $f(x) \neq f(z_2)$
$x = z_1$	$x = z_1 \wedge$ <b>UNSAT</b>
$1 \leq x \wedge$ $x \leq 2 \wedge$ $z_1 = 1 \wedge$ $z_2 = 2$	$f(x) \neq f(z_1) \wedge$ $f(x) \neq f(z_2)$
$x = z_2$	$x = z_2 \wedge$ <b>UNSAT</b>

# Soundness and completeness of Nelson-Oppen

If the theories  $T_1$  and  $T_2$  satisfy Nelson-Open restrictions, then the combination procedure returns UNSAT for a formula  $F$  in  $T_1 \cup T_2$  iff  $F$  is unsatisfiable modulo  $T_1 \cup T_2$ .

# Complexity of Nelson-Oppen

If decision procedures for convex theories  $T_1$  and  $T_2$  have polynomial time complexity, so does their Nelson-Oppen combination.

If decision procedures for non-convex theories  $T_1$  and  $T_2$  have NP time complexity, so does their Nelson-Oppen combination.

# Summary

## Today

- Sound and complete procedure for a combination of restricted theories
- Stably infinite, conjunctive, quantifier-free with signatures that are disjoint except for  $=$

## Next lecture

- Deciding satisfiability of arbitrary boolean combinations of quantifier-free first-order formulas