

Computer-Aided Reasoning for Software

# **The DPLL(T) Framework**

[courses.cs.washington.edu/courses/cse507/18sp/](https://courses.cs.washington.edu/courses/cse507/18sp/)

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# Today

## Last lecture

- Deciding conjunctions of  $(T_1 \cup T_2)$ -constraints with Nelson-Oppen

## Today

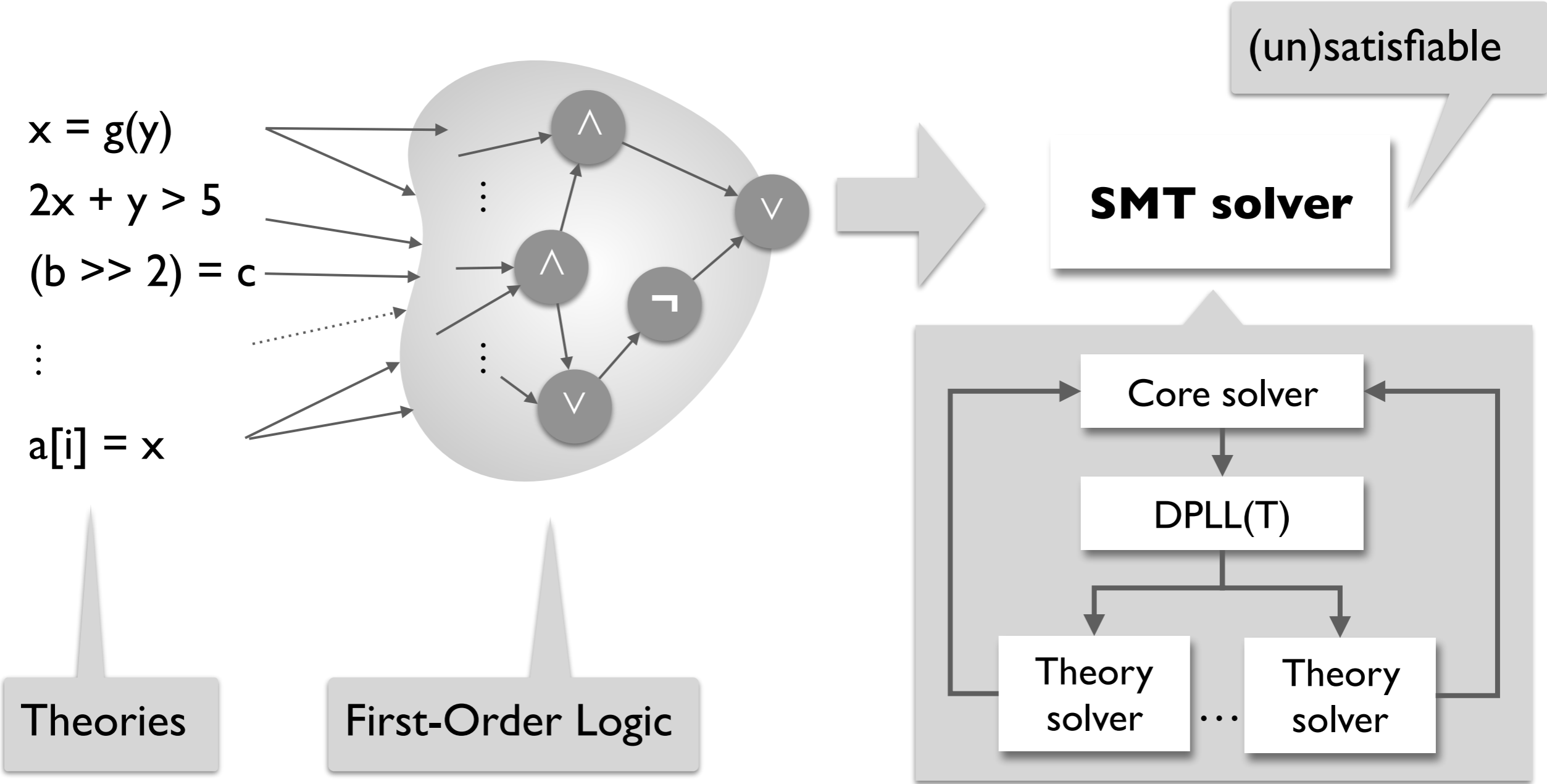
- Deciding arbitrary boolean combinations of theory constraints

## Reminders

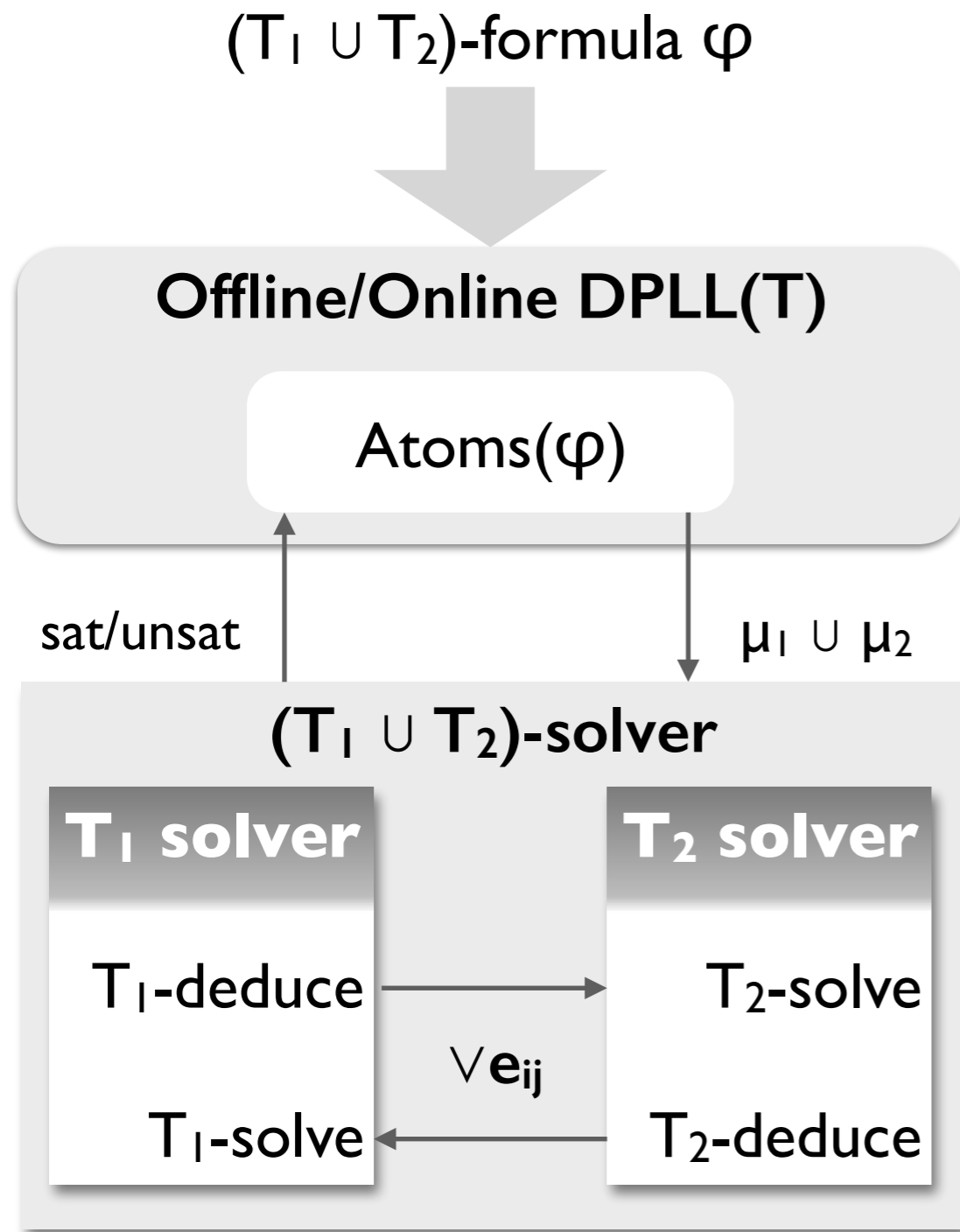
- HW1 due on Friday.
- HW2 out; start early.



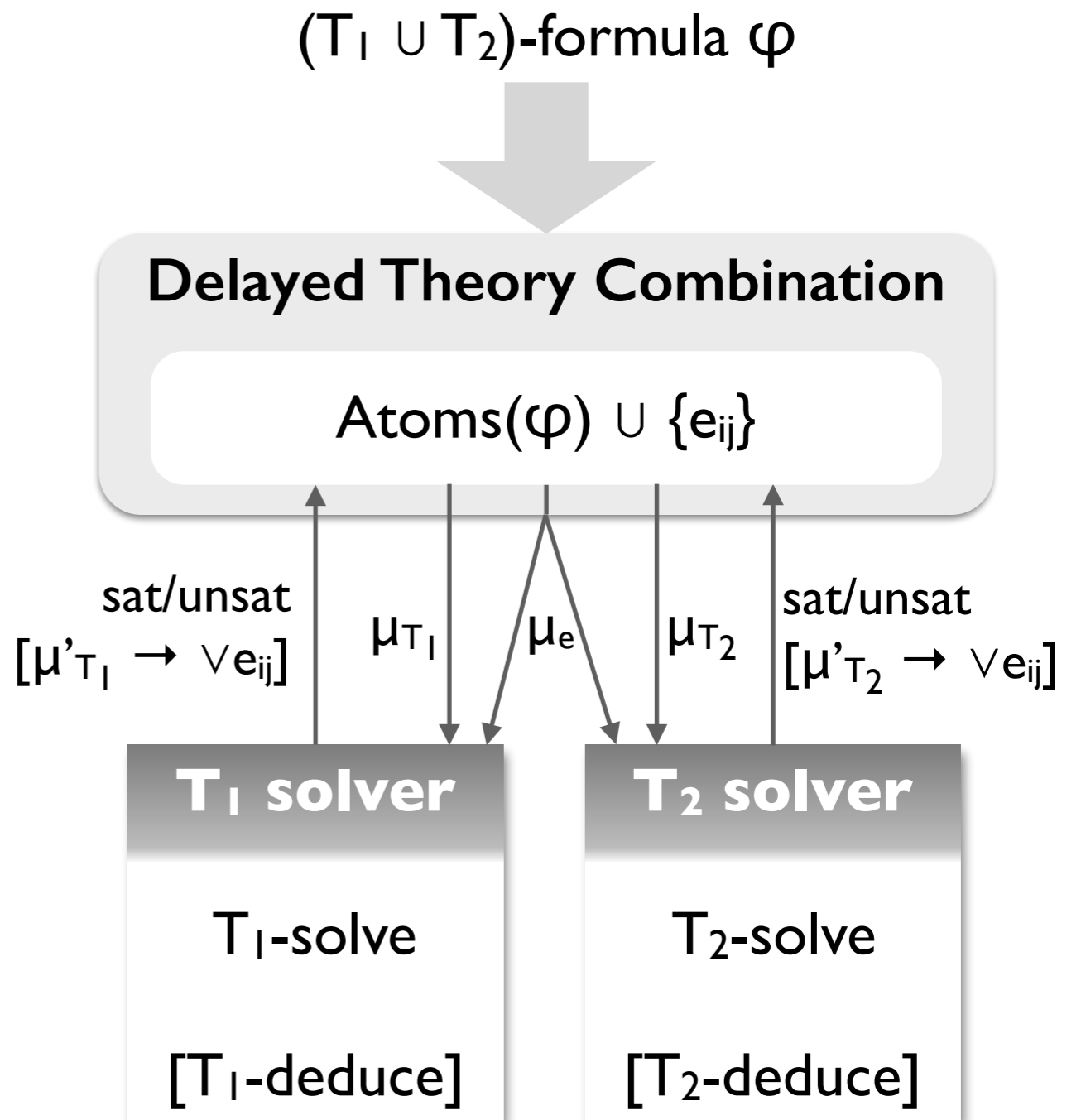
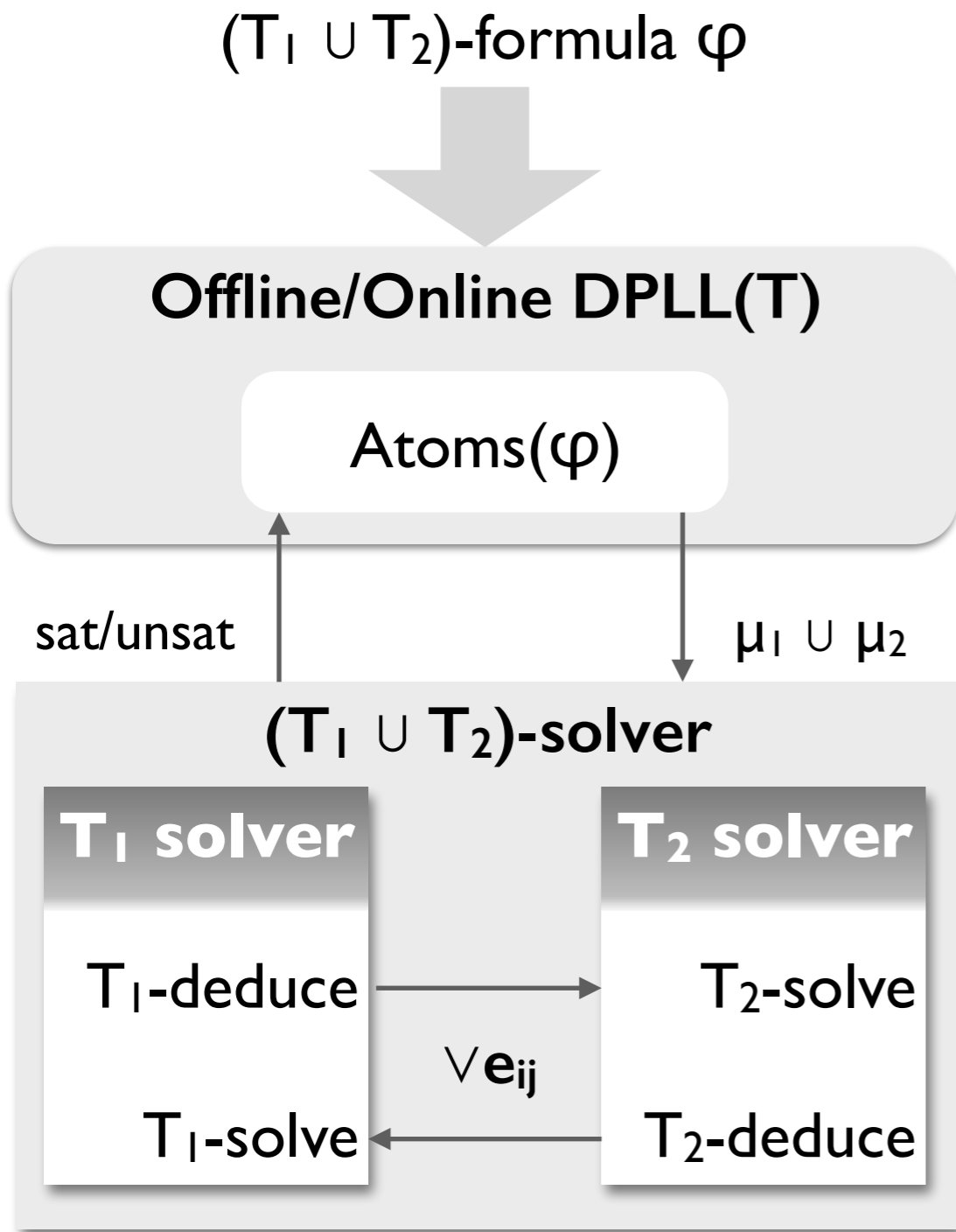
# Satisfiability Modulo Theories (SMT)



# The DPLL(T) Framework



# The DPLL(T) Framework



# Offline DPLL(T)

**Offline-DPLL<sub>T</sub>**(T-formula  $\varphi$ )

$\varphi^P \leftarrow \mathbf{T2B}(\varphi)$

**while** (TRUE) **do**

$\mu^P, \text{res} \leftarrow \mathbf{CDCL}(\varphi^P)$

**if** res = UNSAT **then return** UNSAT

**else**

T-res  $\leftarrow$  T-solve( $\mathbf{B2T}(\mu^P)$ )

**if** T-res = SAT **then return** SAT

**else**  $\varphi^P \leftarrow \varphi^P \wedge \neg \mu^P$

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Assume  $\varphi$  is in CNF.

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T2B computes the *boolean abstraction* (aka *boolean skeleton*) of  $\varphi$  by replacing every atom in  $\varphi$  with a fresh boolean variable.



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B2T computes the *boolean refinement* of the current propositional assignment  $\mu^P$ .

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T2B computes the *boolean abstraction* (aka *boolean skeleton*) of  $\varphi$  by replacing every atom in  $\varphi$  with a fresh boolean variable.

B2T computes the *boolean refinement* of the current propositional assignment  $\mu^P$ .

Theory conflict clause.

# Boolean abstraction (T2B) and refinement (B2T)

## T2B( $\varphi$ )

- $T2B(a_i) = b_i$ , if  $a_i$  is a theory atom and  $b_i$  is a fresh boolean variable
- $T2B(b_j) = b_j$ , if  $b_j$  is a boolean variable
- $T2B(\varphi_1 \wedge \varphi_2) = T2B(\varphi_1) \wedge T2B(\varphi_2)$
- $T2B(\varphi_1 \vee \varphi_2) = T2B(\varphi_1) \vee T2B(\varphi_2)$
- $T2B(\neg\varphi_1) = \neg T2B(\varphi_1)$

$$B2T(\varphi^P) = T2B^{-1}(\varphi^P)$$

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$\varphi: (x = 1) \wedge ((x = 2) \vee (x = 3))$

$$B2T(\varphi^P) = T2B^{-1}(\varphi^P)$$

# Boolean abstraction (T2B) and refinement (B2T)

## T2B( $\varphi$ )

- T2B( $a_i$ ) =  $b_i$ , if  $a_i$  is a theory atom and  $b_i$  is a fresh boolean variable
- T2B( $b_j$ ) =  $b_j$ , if  $b_j$  is a boolean variable
- T2B( $\varphi_1 \wedge \varphi_2$ ) = T2B( $\varphi_1$ )  $\wedge$  T2B( $\varphi_2$ )
- T2B( $\varphi_1 \vee \varphi_2$ ) = T2B( $\varphi_1$ )  $\vee$  T2B( $\varphi_2$ )
- T2B( $\neg\varphi_1$ ) =  $\neg$ T2B( $\varphi_1$ )

$$\varphi: (x = 1) \wedge ((x = 2) \vee (x = 3))$$

$$\text{T2B}(\varphi): b_1 \wedge (b_2 \vee b_3)$$

$$\text{B2T}(\varphi^p) = \text{T2B}^{-1}(\varphi^p)$$

# Boolean abstraction (T2B) and refinement (B2T)

## T2B( $\varphi$ )

- $T2B(a_i) = b_i$ , if  $a_i$  is a theory atom and  $b_i$  is a fresh boolean variable
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$$\varphi: (x = 1) \wedge ((x = 2) \vee (x = 3))$$

$$T2B(\varphi): b_1 \wedge (b_2 \vee b_3)$$

$$B2T(b_1 \wedge b_3): (x = 1) \wedge (x = 3)$$

$$B2T(\varphi^P) = T2B^{-1}(\varphi^P)$$

# Soundness and termination of offline DPLL(T)

**Offline-DPLL<sub>T</sub>**(T-formula  $\varphi$ )

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The algorithm is sound because  $\varphi^P$  overapproximates the satisfiability of  $\varphi$  at the beginning of each loop iteration (so it never returns UNSAT for SAT formulas), and it only returns SAT when  $\mu^P$  both satisfies  $\varphi^P$  and is consistent with the theory axioms.



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The algorithm terminates because there are finitely many satisfying assignments to the boolean abstraction, and we get a different one every time.

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- $\varphi \leftarrow (x = 1) \wedge ((x = 2) \vee (x = 3))$

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- UNSAT

# Offline DPLL(T): improvements

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**if** res = UNSAT **then return** UNSAT

**else**

T-res  $\leftarrow$  T-solve(**B2T**( $\mu^P$ ))

**if** T-res = SAT **then return** SAT

**else**  $\varphi^P \leftarrow \varphi^P \wedge \neg\mu^P$

- $\varphi \leftarrow (x = 1) \wedge ((x = 2) \vee (x = 3))$
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# Offline DPLL(T): improvements

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**else**

T-res  $\leftarrow$  T-solve( $\mathbf{B2T}(\mu^P)$ )

**if** T-res = SAT **then return** SAT

**else**  $\varphi^P \leftarrow \varphi^P \wedge \neg\mu^P$

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- UNSAT

The *theory conflict clause*  $\neg\mu^P$  is too weak; it blocks one assignment at a time. What is a better clause?

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  - $\varphi^P \leftarrow b_1 \wedge (b_2 \vee b_3) \wedge (\neg b_1 \vee \neg b_2 \vee \neg b_3) \wedge (\neg b_1 \vee b_2 \vee \neg b_3)$
- $\mu^P \leftarrow b_1 \wedge b_2 \wedge \neg b_3$ 
  - $\varphi^P \leftarrow b_1 \wedge (b_2 \vee b_3) \wedge (\neg b_1 \vee \neg b_2 \vee \neg b_3) \wedge (\neg b_1 \vee b_2 \vee \neg b_3) \wedge (\neg b_1 \vee \neg b_2 \vee b_3)$
- UNSAT

The *theory conflict clause*  $\neg\mu^P$  is too weak; it blocks one assignment at a time. What is a better clause?

$\neg\mathbf{T2B}(\mathbf{MINIMALUNSATCORE}(\mathbf{B2T}(\mu^P)))$

# Offline DPLL(T): improvements

**Offline-DPLL<sub>T</sub>**(T-formula  $\varphi$ )

$\varphi^P \leftarrow \mathbf{T2B}(\varphi)$

**while** (TRUE) **do**

$\mu^P, \text{res} \leftarrow \mathbf{CDCL}(\varphi^P)$

**if** res = UNSAT **then return** UNSAT

**else**

T-res  $\leftarrow$  T-solve(**B2T**( $\mu^P$ ))

**if** T-res = SAT **then return** SAT

**else**

$\tau \leftarrow \mathbf{T2B}(\mathbf{MINUNSATCORE}(\mathbf{B2T}(\mu^P)))$

$\varphi^P \leftarrow \varphi^P \wedge \neg \tau$

- $\varphi \leftarrow (x = 1) \wedge ((x = 2) \vee (x = 3))$
- $\varphi^P \leftarrow b_1 \wedge (b_2 \vee b_3)$
- $\mu^P \leftarrow b_1 \wedge b_2 \wedge b_3$ 
  - $\varphi^P \leftarrow b_1 \wedge (b_2 \vee b_3) \wedge (\neg b_1 \vee \neg b_2)$
- $\mu^P \leftarrow b_1 \wedge \neg b_2 \wedge b_3$ 
  - $\varphi^P \leftarrow b_1 \wedge (b_2 \vee b_3) \wedge (\neg b_1 \vee \neg b_2) \wedge (\neg b_1 \vee \neg b_3)$
- UNSAT

# Offline DPLL(T): improvements

**Offline-DPLL<sub>T</sub>**(T-formula  $\varphi$ )

$\varphi^P \leftarrow \mathbf{T2B}(\varphi)$

**while** (TRUE) **do**

$\mu^P, \text{res} \leftarrow \mathbf{CDCL}(\varphi^P)$

**if** res = UNSAT **then return** UNSAT

**else**

T-res  $\leftarrow$  T-solve(**B2T**( $\mu^P$ ))

**if** T-res = SAT **then return** SAT

**else**

$\tau \leftarrow \mathbf{T2B}(\mathbf{MINUNSATCORE}(\mathbf{B2T}(\mu^P)))$

$\varphi^P \leftarrow \varphi^P \wedge \neg\tau$

- $\varphi \leftarrow (x = 1) \wedge ((x = 2) \vee (x = 3))$
- $\varphi^P \leftarrow b_1 \wedge (b_2 \vee b_3)$
- $\mu^P \leftarrow b_1 \wedge b_2 \wedge b_3$ 
  - $\varphi^P \leftarrow b_1 \wedge (b_2 \vee b_3) \wedge (\neg b_1 \vee \neg b_2)$
- $\mu^P \leftarrow b_1 \wedge \neg b_2 \wedge b_3$ 
  - $\varphi^P \leftarrow b_1 \wedge (b_2 \vee b_3) \wedge (\neg b_1 \vee \neg b_2) \wedge (\neg b_1 \vee \neg b_3)$
- UNSAT

Better but still need a *full assignment* to the boolean abstraction in order to generate a conflict clause.

Online DPLL(T) address this issue.



# Online DPLL( $\mathcal{T}$ )

```
Online-DPLL $\mathcal{T}$ ( $\mathcal{T}$ -formula  $\varphi$ ,  $\mathcal{T}$ -assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $\text{res} \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $\text{res} = \text{SAT}$  then return SAT  
      else if  $\text{res} = \text{CONFLICT}$   
         $\text{blevel} \leftarrow$  T-ANALZCONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $\text{blevel} < 0$ ) then return UNSAT  
        else T-BACKTRACK( $\text{blevel}$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

# Online DPLL(T)

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
  return UNSAT  
 $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
while (TRUE) do  
  T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
  while (TRUE) do  
     $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
    if  $res = SAT$  then return SAT  
    else if  $res = CONFLICT$   
       $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
      if ( $blevel < 0$ ) then return UNSAT  
      else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
    else break
```

Everything passed by reference.

All procedures have access to T2B and B2T.

# Online DPLL(T): T-PREPROCESS

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Simplifies  $\varphi$  and updates  $\mu$ , if needed, so that equisatisfiability is preserved.

Common simplifications:

- Drop dual operators
- Exploit associativity
- Sort arguments
- Exploit theory-specific properties

# Online DPLL(T): T-PREPROCESS

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Simplifies  $\varphi$  and updates  $\mu$ , if needed, so that equisatisfiability is preserved.

Common simplifications:

- Drop dual operators
- Exploit associativity
- Sort arguments
- Exploit theory-specific properties

# Online DPLL( $\mathcal{T}$ ): $\mathcal{T}$ -DECIDE

```
Online-DPLL $\mathcal{T}$ ( $\mathcal{T}$ -formula  $\varphi$ ,  $\mathcal{T}$ -assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^{\mathcal{P}}$ ,  $\mu^{\mathcal{P}} \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^{\mathcal{P}}$ ,  $\mu^{\mathcal{P}}$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^{\mathcal{P}}$ ,  $\mu^{\mathcal{P}}$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^{\mathcal{P}}$ ,  $\mu^{\mathcal{P}}$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^{\mathcal{P}}$ ,  $\mu^{\mathcal{P}}$ )  
      else break
```

Analogous to DECIDE in CDCL:

- Selects an unassigned literal and adds it to  $\mu^{\mathcal{P}}$ .
- May consider the semantics of literals in  $\mathcal{T}$ .

# Online DPLL( $\mathcal{T}$ ): $\mathcal{T}$ -DECIDE

```
Online-DPLL $\mathcal{T}$ ( $\mathcal{T}$ -formula  $\varphi$ ,  $\mathcal{T}$ -assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Analogous to DECIDE in CDCL:

- Selects an unassigned  $l^P$  literal and adds it to  $\mu^P$ .
- May consider the semantics of literals in  $\mathcal{T}$ .

# Online DPLL(T): T-DEDUCE

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Applies BCP to  $\varphi^P$  and  $\mu^P$  until

- $\mu^P$  propositionally violates  $\varphi^P$ : returns CONFLICT.
- $\mu^P$  propositionally satisfies  $\varphi^P$ : invokes T-solver on  $B2T(\mu^P)$  and returns SAT if T-solver does. Otherwise returns CONFLICT.
- no more literals can be deduced: invokes T-solver on partial assignment  $B2T(\mu^P)$  and returns CONFLICT if T-solver returns UNSAT. This is *early pruning*. May also do *theory propagation*.

# Online DPLL(T): T-DEDUCE

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Applies BCP to  $\varphi^P$  and  $\mu^P$  until

- $\mu^P$  propositionally violates  $\varphi^P$ : returns CONFLICT.
- $\mu^P$  propositionally satisfies  $\varphi^P$ : invokes T-solver on  $B2T(\mu^P)$  and returns SAT if T-solver does. Otherwise returns CONFLICT.
- no more literals can be deduced: invokes T-solver on partial assignment  $B2T(\mu^P)$  and returns CONFLICT if T-solver returns UNSAT. This is *early pruning*. May also do *theory propagation*.



# Online DPLL(T): T-DEDUCE

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Applies BCP to  $\varphi^P$  and  $\mu^P$  until

- $\mu^P$  propositionally violates  $\varphi^P$ : returns CONFLICT.
- $\mu^P$  propositionally satisfies  $\varphi^P$ : invokes T-solver on  $B2T(\mu^P)$  and returns SAT if T-solver does. Otherwise returns CONFLICT.
- no more literals can be deduced: invokes T-solver on partial assignment  $B2T(\mu^P)$  and returns CONFLICT if T-solver returns UNSAT. This is *early pruning*. May also do *theory propagation*.

# Online DPLL(T): T-DEDUCE

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Applies BCP to  $\varphi^P$  and  $\mu^P$  until

- $\mu^P$  propositionally violates  $\varphi^P$ : returns CONFLICT.
- $\mu^P$  propositionally satisfies  $\varphi^P$ : invokes T-solver on  $B2T(\mu^P)$  and returns SAT if T-solver does. Otherwise returns CONFLICT.
- no more literals can be deduced: invokes T-solver on partial assignment  $B2T(\mu^P)$  and returns CONFLICT if T-solver returns UNSAT. This is *early pruning*. May also do *theory propagation*.

# Online DPLL(T): T-ANALYZECONFLICT

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res = SAT$  then return SAT  
      else if  $res = CONFLICT$   
         $blevel \leftarrow$  T-ANALYZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Extends ANALYZECONFLICT from CDCL:

- if the conflict is caused by a boolean (BCP) failure, returns the same level and conflict clause as ANALYZECONFLICT
- if the conflict is caused by a theory failure, returns a mixed boolean+theory conflict clause

# Online DPLL(T): T-ANALYZECONFLICT

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALYZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Extends ANALYZECONFLICT from CDCL:

- if the conflict is caused by a boolean (BCP) failure, returns the same level and conflict clause as ANALYZECONFLICT
- if the conflict is caused by a theory failure, returns a mixed boolean+theory conflict clause

# Online DPLL(T): T-ANALYZECONFLICT

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
      res  $\leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if res = SAT then return SAT  
      else if res = CONFLICT  
        blevel  $\leftarrow$  T-ANALYZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if (blevel < 0) then return UNSAT  
        else T-BACKTRACK(blevel,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Extends ANALYZECONFLICT from CDCL:

- if the conflict is caused by a boolean (BCP) failure, returns the same blevel and conflict clause as ANALYZECONFLICT
- if the conflict is caused by a theory failure, returns a mixed boolean+theory conflict clause

# Online DPLL(T): T-BACKTRACK

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Analogous to BACKTRACK in CDCL:

- adds learned clause to  $\varphi^P$  (*T-learning*).
- backtracks to blevel by undoing all the assignments  $>$  blevel (*T-backjumping*).

# Online DPLL(**T**): **T**-BACKTRACK

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
  if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
    return UNSAT  
   $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
  while (TRUE) do  
    T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
    while (TRUE) do  
       $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
      if  $res =$  SAT then return SAT  
      else if  $res =$  CONFLICT  
         $blevel \leftarrow$  T-ANALZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
        if ( $blevel < 0$ ) then return UNSAT  
        else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
      else break
```

Analogous to BACKTRACK in CDCL:

- adds learned clause to  $\varphi^P$  (*T-learning*).
- backtracks to blevel by undoing all the assignments  $>$  blevel (*T-backjumping*).

# Online DPLL(T) example

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee$   
 $\neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1,$   
 $b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$



# Online DPLL(T) example: T-Decide

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$

$\neg b_5@1$

$\neg b_1@4$

$b_6@3$

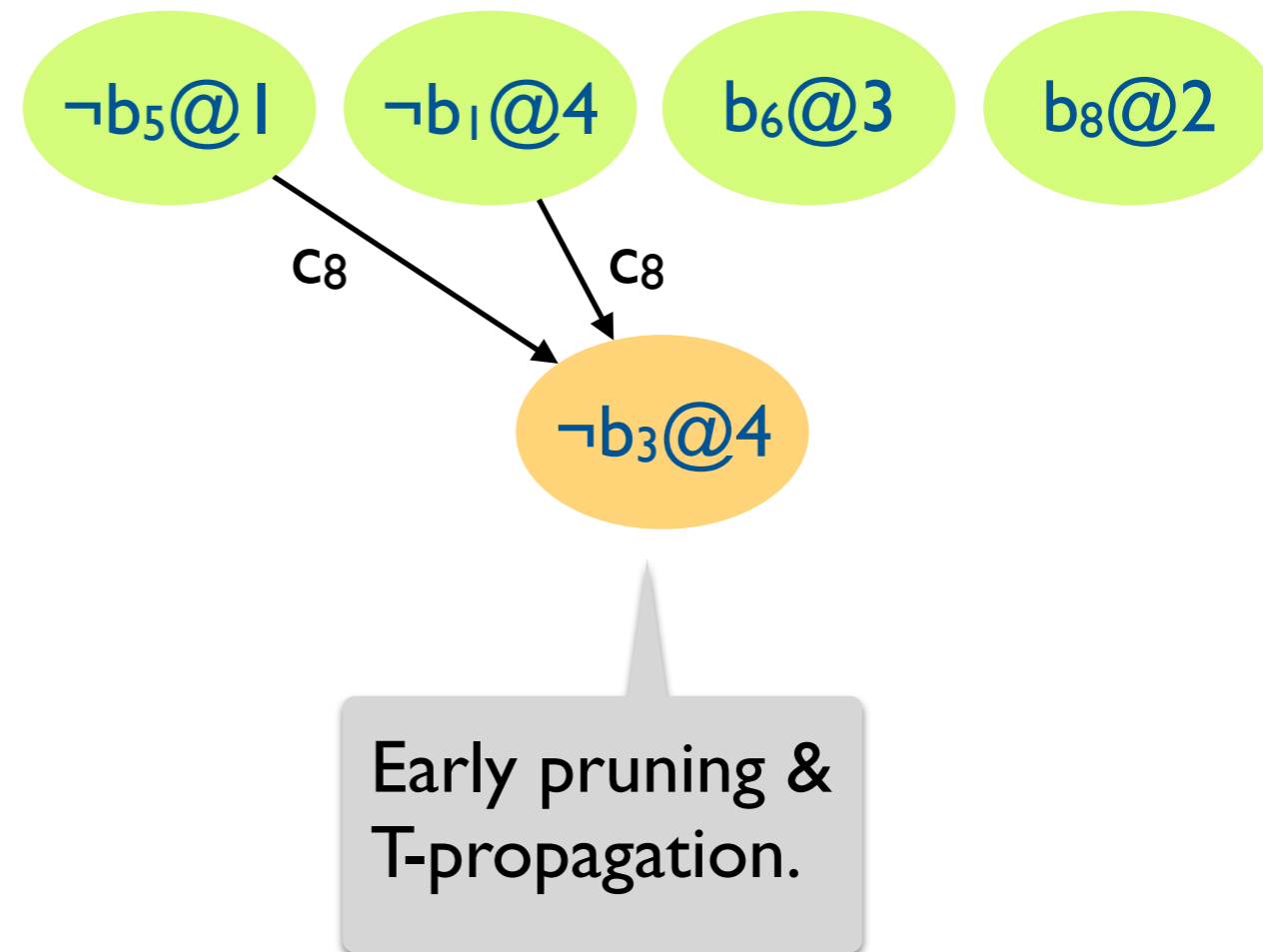
$b_8@2$

T-DECIDE makes 4 decisions.

# Online DPLL(T) example: T-Deduce

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

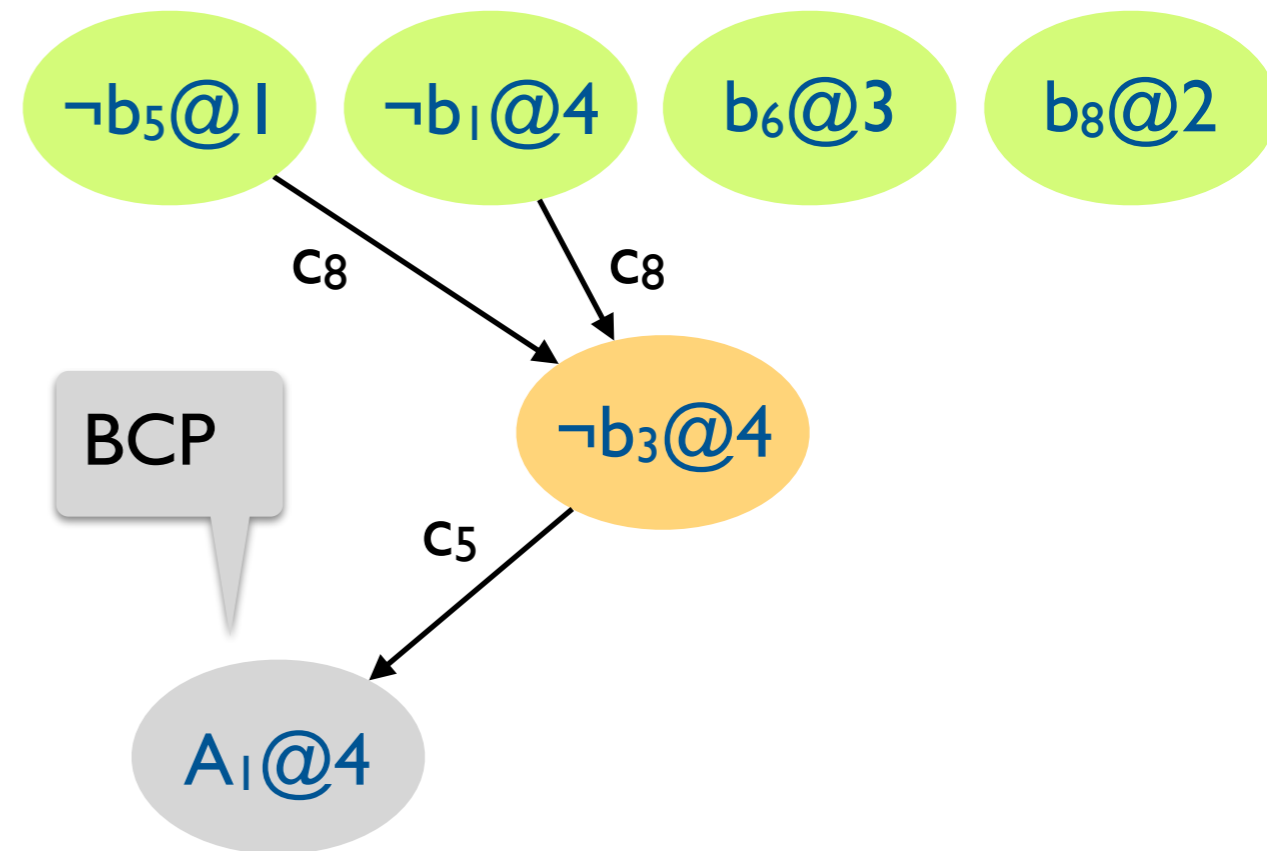
1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$



# Online DPLL(T) example: T-Deduce

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

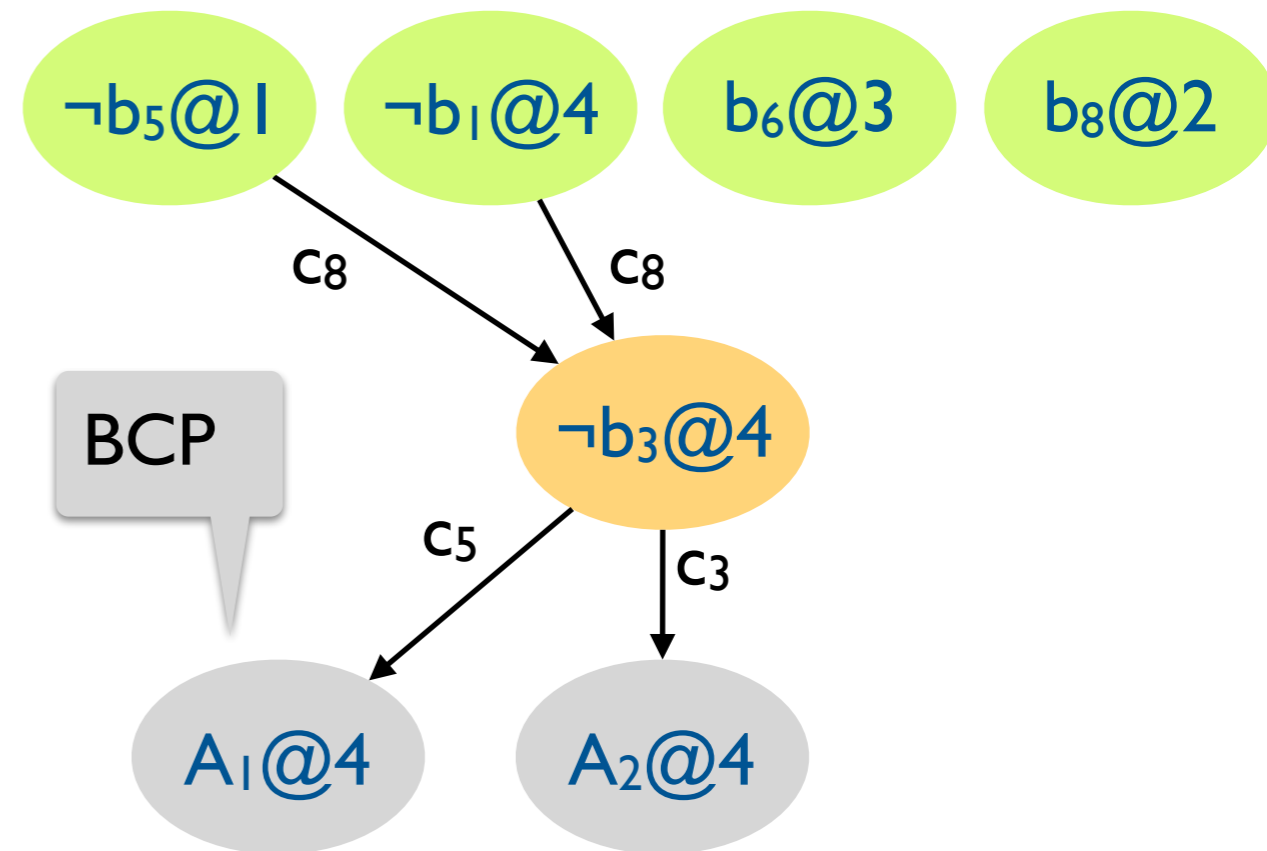
1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$



# Online DPLL(T) example: T-Deduce

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

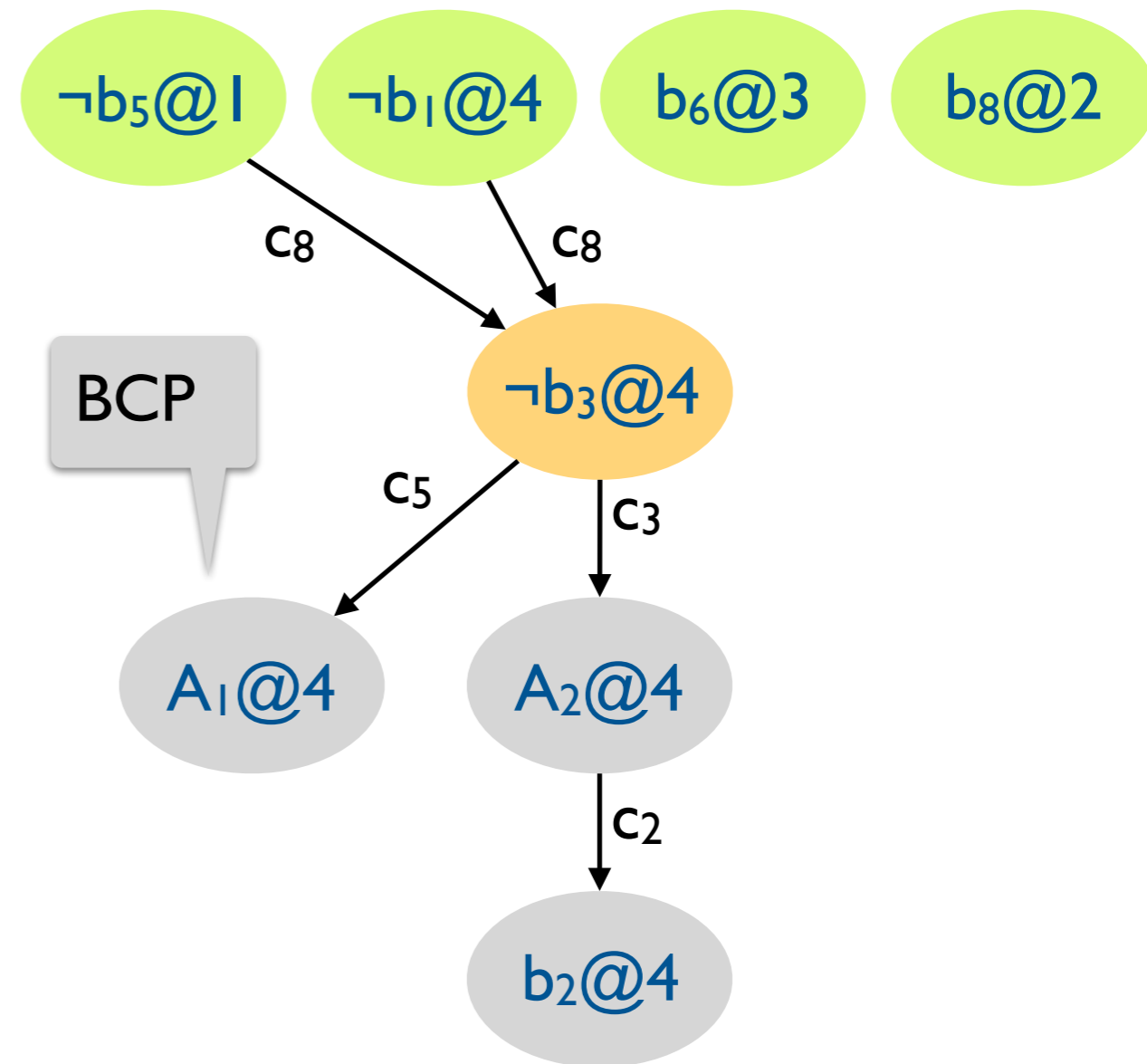
1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$



# Online DPLL(T) example: T-Deduce

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$



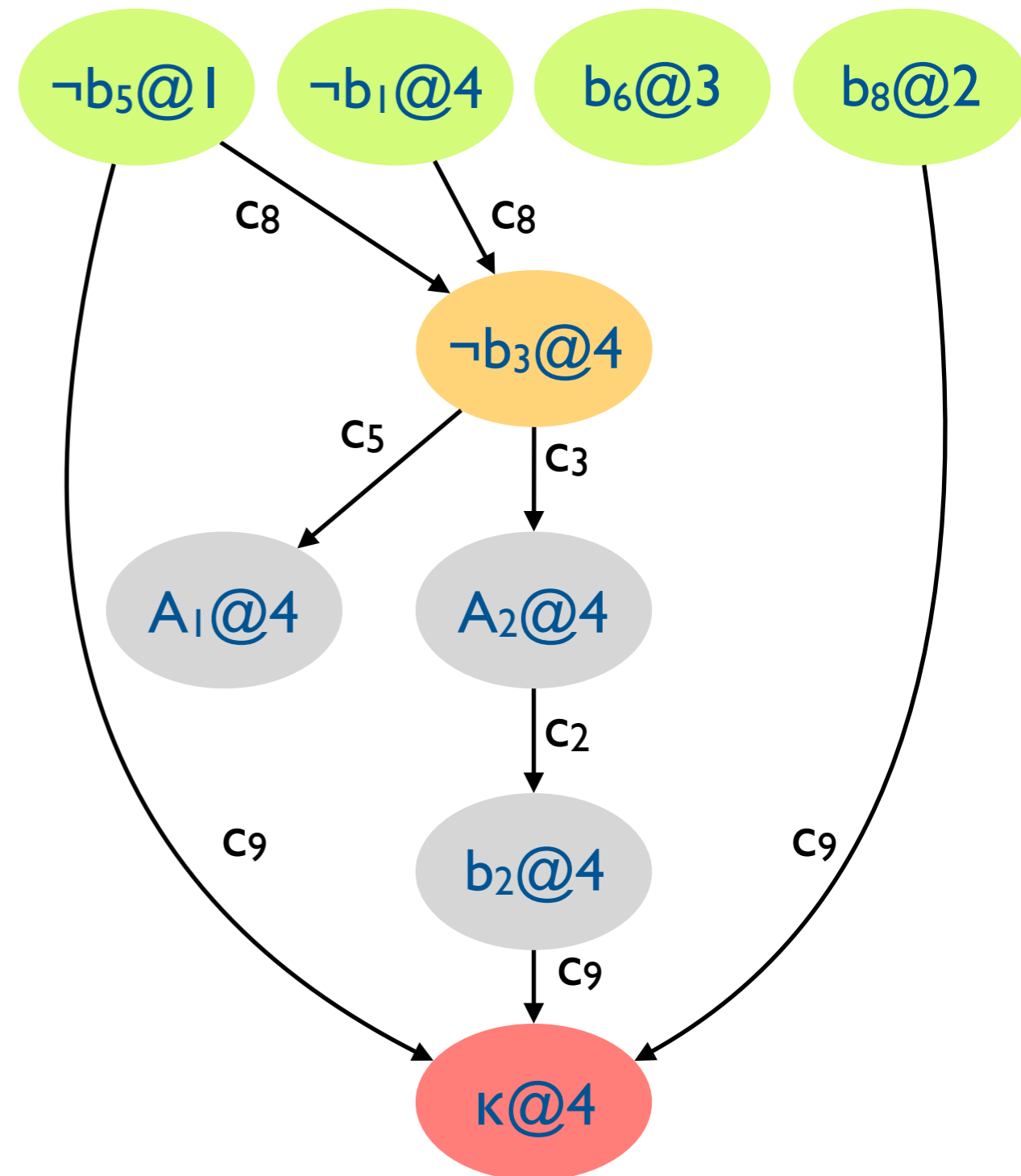
# Online DPLL(T) example: T-Deduce

Current partial assignment is T-UNSAT.

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$
9.  $b_5 \vee \neg b_8 \vee \neg b_2$

Theory conflict.

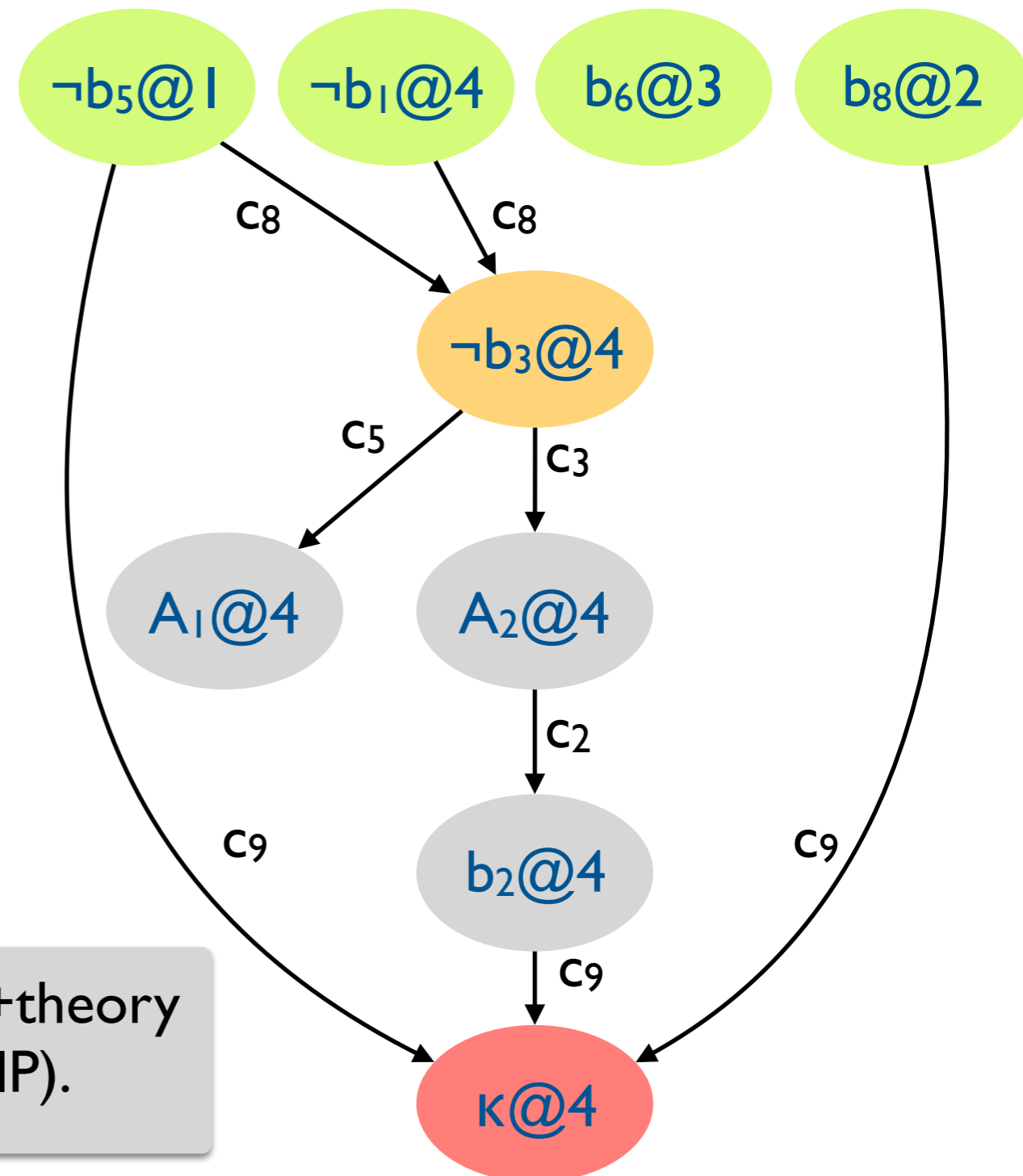


# Online DPLL(T) example: T-AnalyzeConflict

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$
9.  $b_5 \vee \neg b_8 \vee \neg b_2$

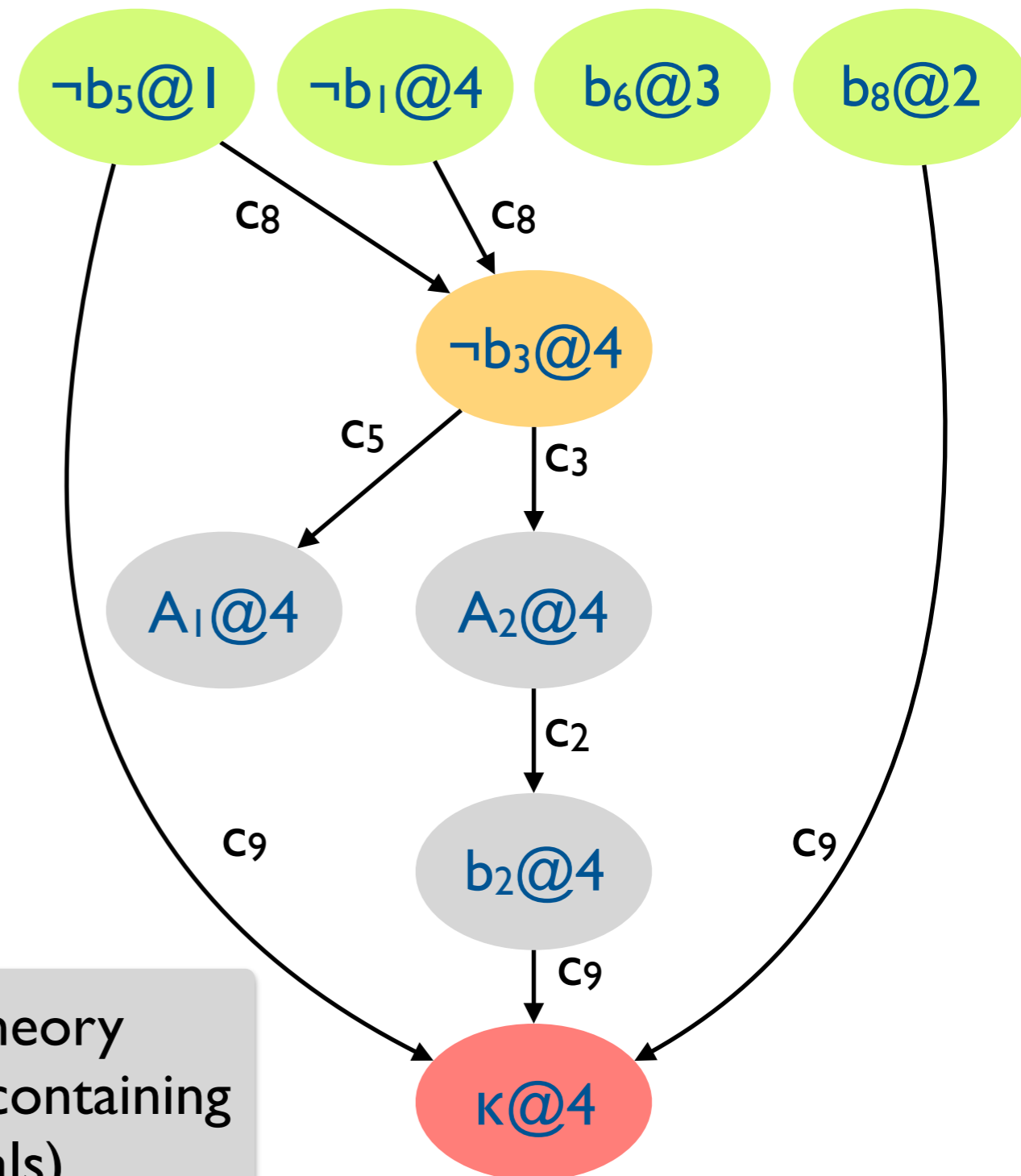
Mixed boolean+theory conflict (first UIP).



# Online DPLL(T) example: T-AnalyzeConflict

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$
9.  $b_5 \vee \neg b_8 \vee \neg b_2$
10.  $b_5 \vee \neg b_8 \vee b_1$



Mixed boolean+theory conflict (last UIP, containing just decision literals).



# Online DPLL(T) example: T-Backtrack

$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$
9.  $b_5 \vee \neg b_8 \vee \neg b_2$
10.  $b_5 \vee \neg b_8 \vee b_1$

$\neg b_5 @ 1$

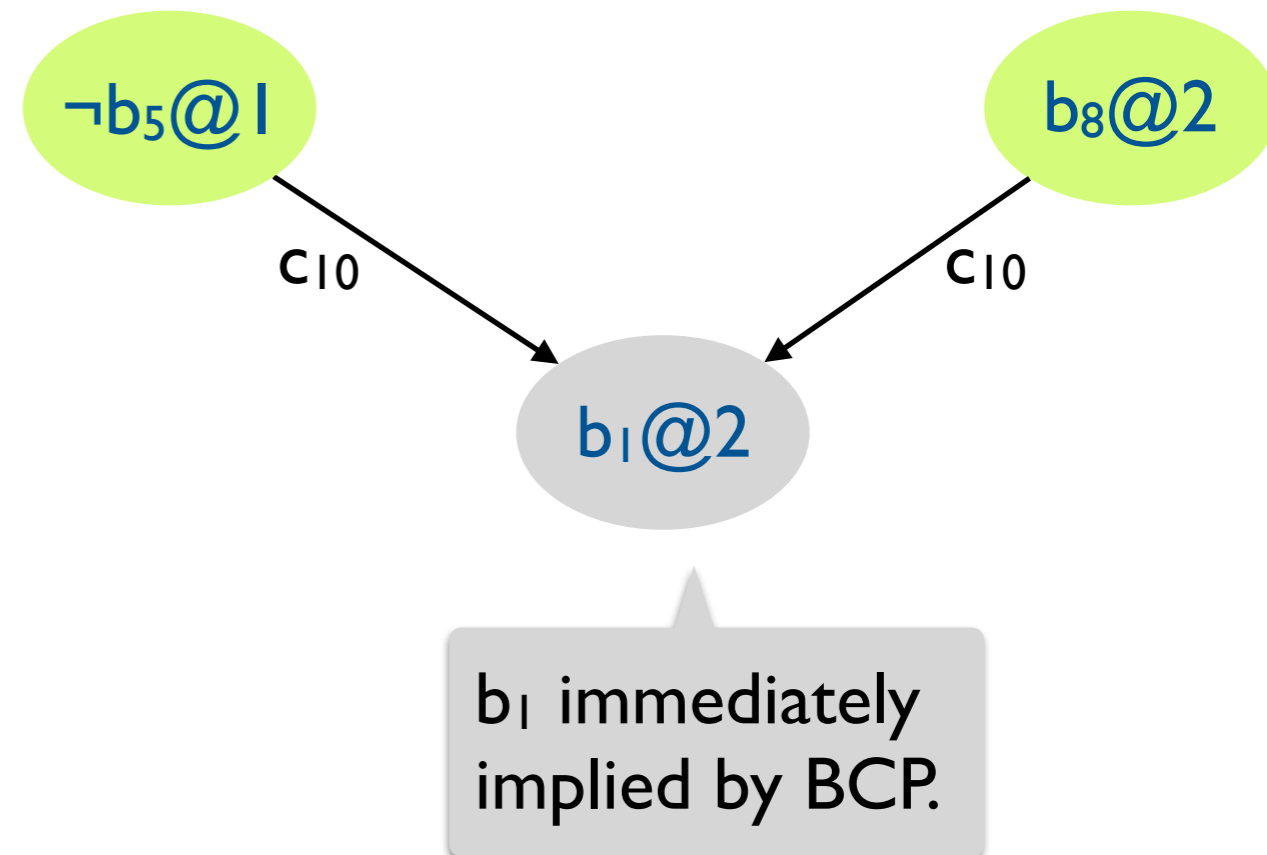
$b_8 @ 2$

Backtrack to the second highest decision level (2).

# Online DPLL(T) example: T-Backtrack

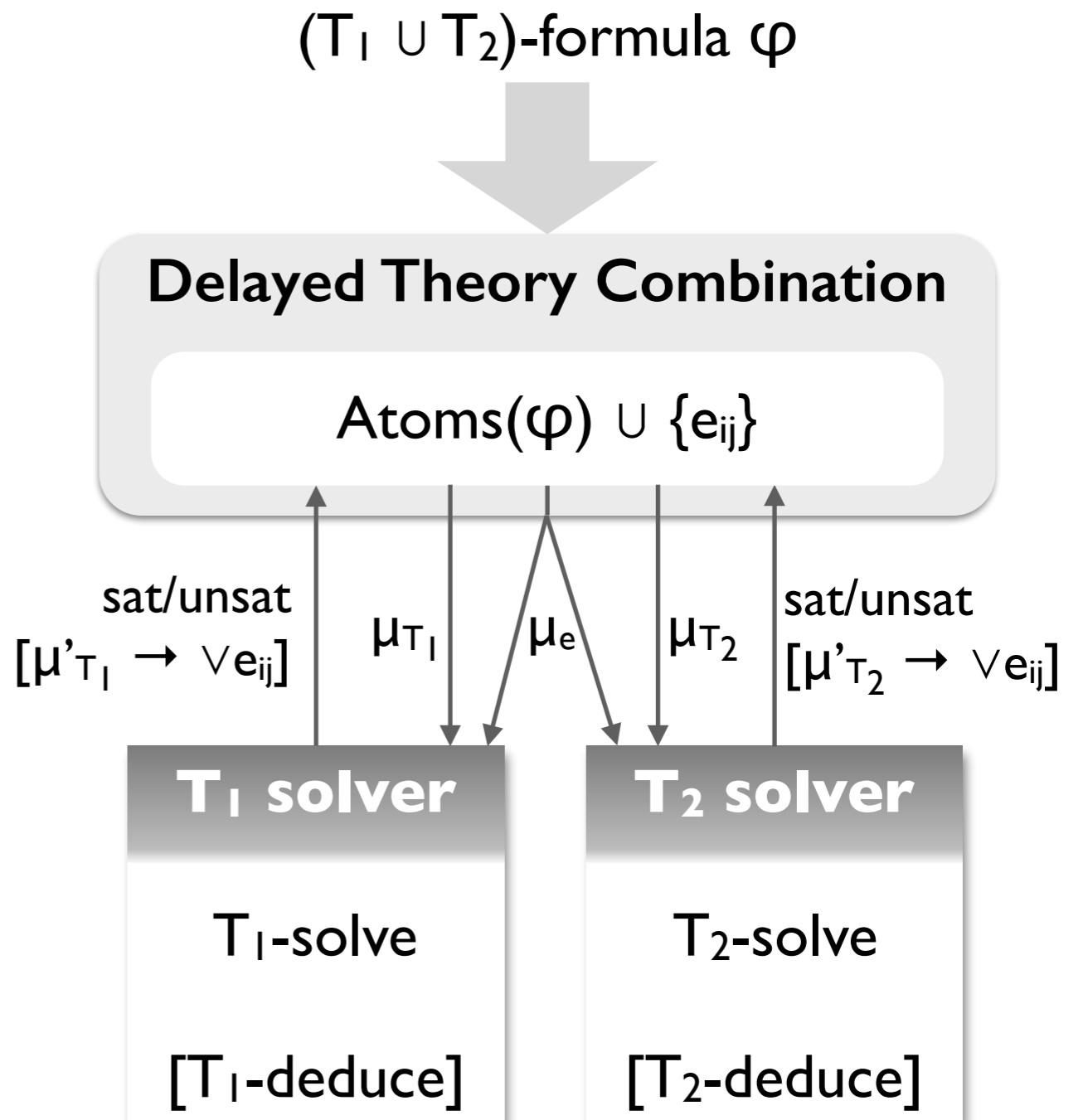
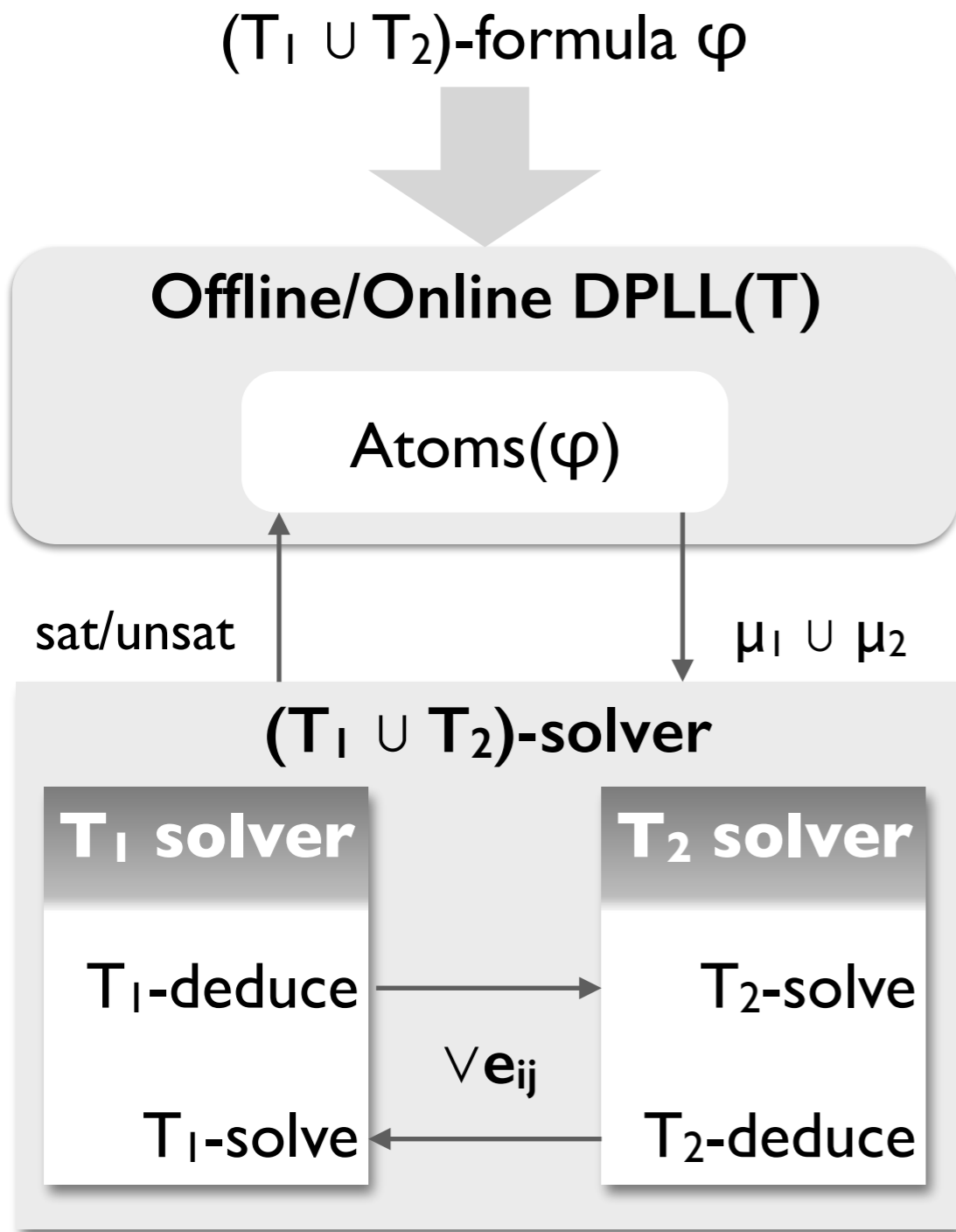
$T_R$ -formula  $\varphi$  and  $\varphi^P$ :

1.  $\neg(2x_2 - x_3 > 2) \vee A_1, \neg b_1 \vee A_1$
2.  $\neg A_2 \vee (x_1 - x_5 \leq 1), \neg A_2 \vee b_2$
3.  $(3x_1 - 2x_2 \leq 3) \vee A_2, b_3 \vee A_2$
4.  $\neg(2x_3 + x_4 \geq 5) \vee \neg(3x_1 - x_3 \leq 6) \vee \neg A_1, \neg b_4 \vee \neg b_5 \vee \neg A_1$
5.  $A_1 \vee (3x_1 - 2x_2 \leq 3), A_1 \vee b_3$
6.  $(x_2 - x_4 \leq 6) \vee (x_5 = 5 - 3x_4) \vee \neg A_1, b_6 \vee b_7 \vee \neg A_1$
7.  $A_1 \vee (x_3 = 3x_5 + 4) \vee A_2, A_1 \vee b_8 \vee A_2$
8.  $b_5 \vee b_1 \vee \neg b_3$
9.  $b_5 \vee \neg b_8 \vee \neg b_2$
10.  $b_5 \vee \neg b_8 \vee b_1$



Backtrack to the second highest decision level (2).

# The DPLL(T) Framework



# Delayed Theory Combination (DTC)

```
Online-DPLLT(T-formula  $\varphi$ , T-assignment  $\mu$ )  
if T-PREPROCESS( $\varphi$ ,  $\mu$ ) = CONFLICT then  
  return UNSAT  
 $\varphi^P$ ,  $\mu^P \leftarrow$  T2B( $\varphi$ ), T2B( $\mu$ )  
while (TRUE) do  
  T-DECIDE( $\varphi^P$ ,  $\mu^P$ )  
  while (TRUE) do  
     $res \leftarrow$  T-DEDUCE( $\varphi^P$ ,  $\mu^P$ )  
    if  $res =$  SAT then return SAT  
    else if  $res =$  CONFLICT  
       $blevel \leftarrow$  T-ANALYZECONFLICT( $\varphi^P$ ,  $\mu^P$ )  
      if ( $blevel < 0$ ) then return UNSAT  
      else T-BACKTRACK( $blevel$ ,  $\varphi^P$ ,  $\mu^P$ )  
    else break
```

To get DTC, modify Online-DPLL<sub>T</sub> so that

- Truth values assigned to both atoms in  $\varphi$  and the *interface equalities*  $e_{ij}$  not in  $\varphi$ .
- T-DECIDE branches on interface equalities  $e_{ij}$  after  $\mu$  propositionally satisfies  $\varphi$ .
- T-DEDUCE passes  $\mu_i \cup \mu_e$  to each  $T_i$ -solver and returns SAT if both return SAT. Otherwise returns CONFLICT.
- T-ANALYZECONFLICT and T-BACKTRACK use the conflict clause (possibly containing interface equalities) from one of the  $T_i$ -solvers.
- Early pruning and T-PROPAGATION are performed.

# Summary

## Today

- The DPLL(T) framework for deciding SMT formulas

## Next lecture

- Finite model finding: reasoning about quantified formulas over finite domains
- Last lecture on **computer-aided reasoning**
- It's all **for software** afterwards!