

Computer-Aided Reasoning for Software

A Survey of Theory Solvers

courses.cs.washington.edu/courses/cse507/16sp/

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Today

Last lecture

- Introduction to Satisfiability Modulo Theories (SMT)

Today

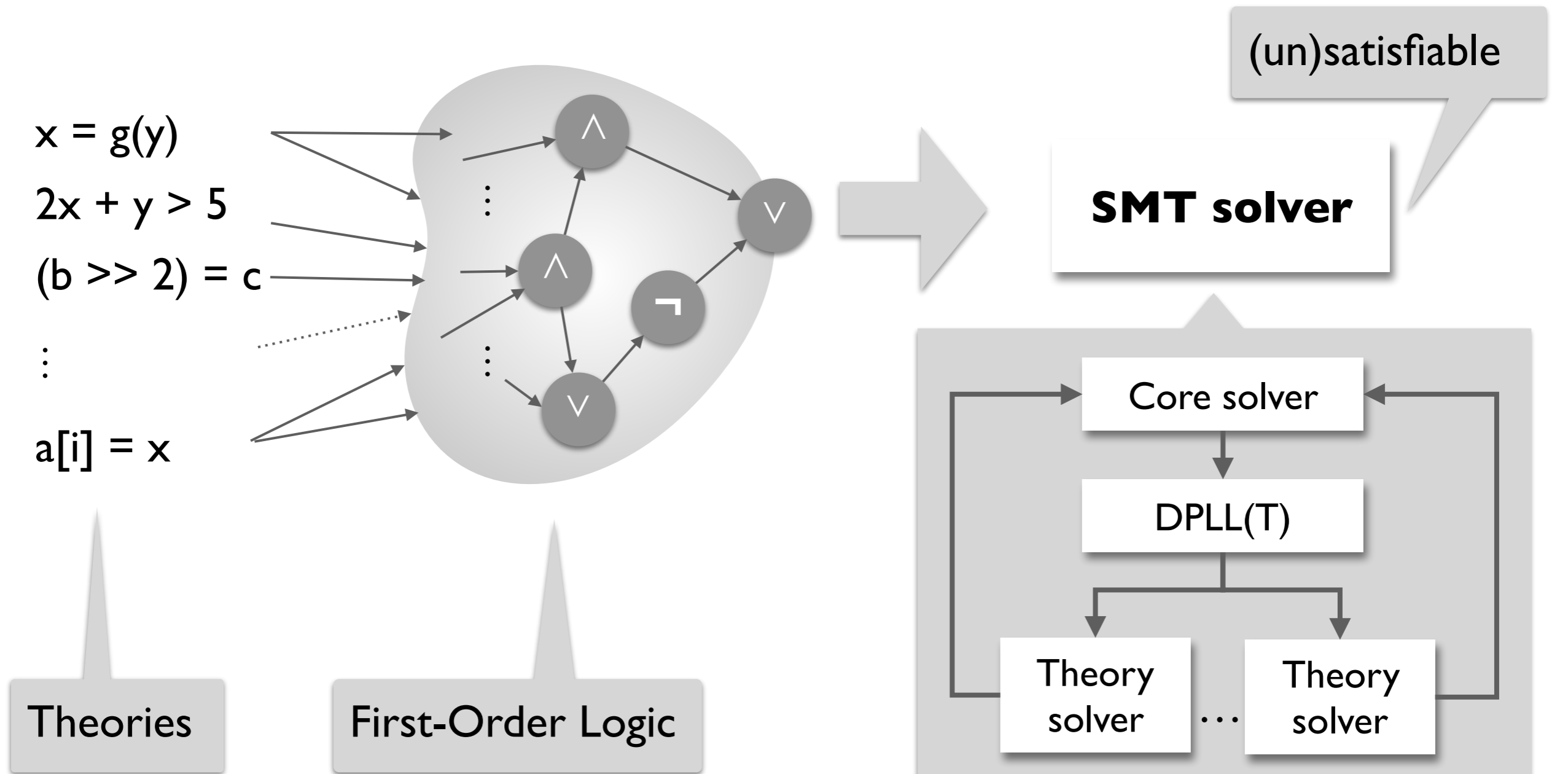
- A quick survey of theory solvers
- An in-depth look at the core theory solver (theory of equality and uninterpreted functions)

Reminder

- Project proposals due today at 11pm



Recall: Satisfiability Modulo Theories (SMT)



A brief survey of common theory solvers

$$x = g(y)$$

Core solver

$$2x + y > 5$$

Theory
solver

$$2i + j > 5$$

Theory
solver

$$(b \gg 2) = c$$

Theory
solver

$$a[i] = x$$

Theory
solver

A brief survey of common theory solvers

$$x = g(y)$$

Equality and UF

$$2x + y > 5$$

Linear Real
Arithmetic

$$2i + j > 5$$

Linear Integer
Arithmetic

$$(b \gg 2) = c$$

Fixed-Width
Bitvectors

$$a[i] = x$$

Arrays

A brief survey of common theory solvers

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Fixed-Width
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Arrays

- **Conjunctions** of linear constraints over \mathbb{R}
 - Can be decided in polynomial time, but in practice solved with the **General Simplex** method (worst case exponential)
 - Can also be decided with **Fourier-Motzkin** elimination (exponential)

A brief survey of common theory solvers

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Equality and UF

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Linear Real
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Fixed-Width
Bitvectors

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Arrays

- **Conjunctions of linear constraints over \mathbb{Z}**
 - **Branch-and-cut** (based on Simplex)
 - **Omega Test** (extension of Fourier-Motzkin)
- **Small-Domain Encoding** used for arbitrary combinations of linear constraints over \mathbb{Z}
- **NP-complete**

A brief survey of common theory solvers

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Fixed-Width
Bitvectors

$$a[i] = x$$

Arrays

- **Arbitrary combination** of constraints over bitvectors
- **Bit blasting** (reduction to SAT)
- NP-complete

A brief survey of common theory solvers

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Equality and UF

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Fixed-Width
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$$a[i] = x$$

Arrays

- **Conjunctions** of constraints over read/write terms in the theory of arrays
- Reduce to $T_{=}$ satisfiability
- NP-complete (because the reduction introduces disjunctions)

A brief survey of common theory solvers

$x = g(y)$
Equality and UF

- Conjunctions of equality constraints over uninterpreted functions
- Congruence closure
- Polynomial time

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Linear Integer
Arithmetic

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Fixed-Width
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$$a[i] = x$$

Arrays

Theory of equality and UF ($T_=$)

Signature (all symbols)

- $\{=, a, b, c, \dots, f, g, \dots, p, q, \dots\}$

Axioms

- reflexivity: $\forall x. x = x$
- symmetry: $\forall x, y. x = y \rightarrow y = x$
- transitivity: $\forall x, y, z. x = y \wedge y = z \rightarrow x = z$
- congruence: $\forall x_1, \dots, x_n, y_1, \dots, y_n. (\bigwedge_{1 \leq i \leq n} x_i = y_i) \rightarrow f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$
- congruence: $\forall x_1, \dots, x_n, y_1, \dots, y_n. (\bigwedge_{1 \leq i \leq n} x_i = y_i) \rightarrow p(x_1, \dots, x_n) \leftrightarrow p(y_1, \dots, y_n)$

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Replace predicates with equality constraints over functions:

- introduce a fresh constant T
- for each predicate p , introduce a fresh function f_p
- $p(x_1, \dots, x_n) \rightsquigarrow f_p(x_1, \dots, x_n) = T$

Theory of equality and UF ($T_=$)

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$T_=$ models

- all structures $\langle U, I \rangle$ that satisfy the axioms of $T_=$

Theory of equality and UF ($T_=$)

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$T_=$ models

- all structures $\langle U, I \rangle$ that satisfy the axioms of $T_=$

$T_=$ models?

$U = \{\odot, \bullet\}$

$I_1[=] : \{\langle \odot, \bullet \rangle, \langle \bullet, \odot \rangle\}$

$I_2[=] : \{\langle \odot, \odot \rangle, \langle \bullet, \bullet \rangle\}$

$I_3[=] : \{\langle \odot, \odot \rangle, \langle \bullet, \bullet \rangle, \langle \odot, \bullet \rangle, \langle \bullet, \odot \rangle\}$

Is a conjunction of $T=$ literals satisfiable?

$$f(f(f(a))) = a \wedge f(f(f(f(a)))) = a \wedge f(a) \neq a$$

Is a conjunction of $T=$ literals satisfiable?

$$f^3(a) = a \wedge f^5(a) = a \wedge f(a) \neq a$$

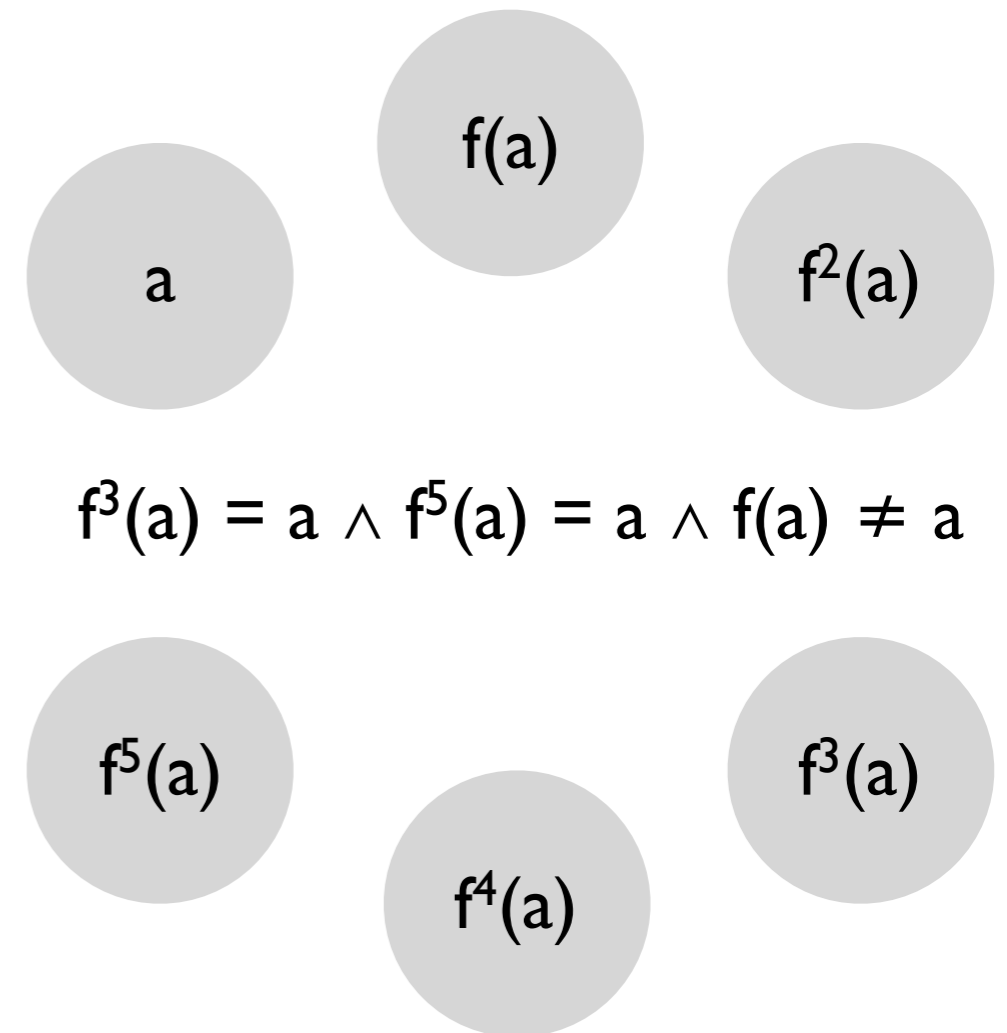
Congruence closure algorithm: example



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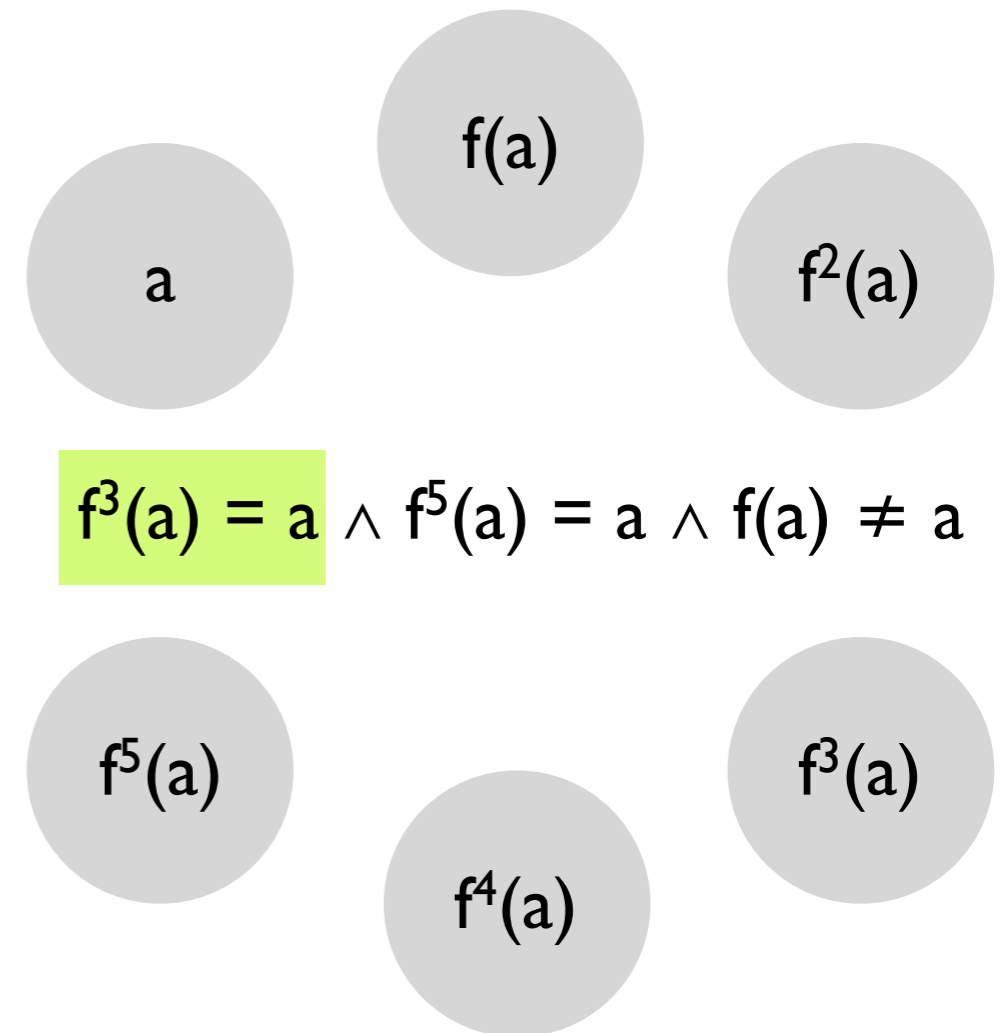
Congruence closure algorithm: example

- Place each subterm of F into its own congruence class



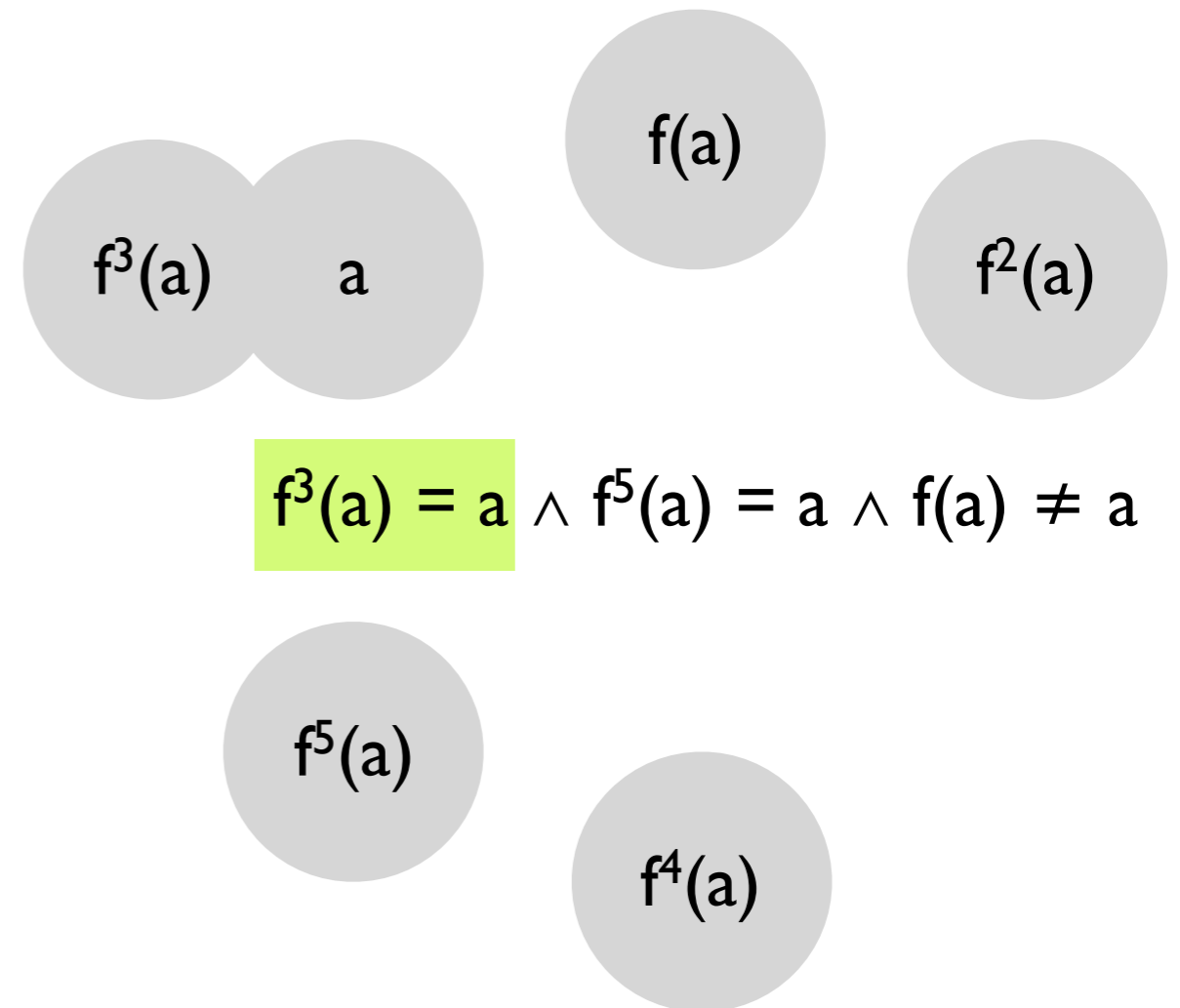
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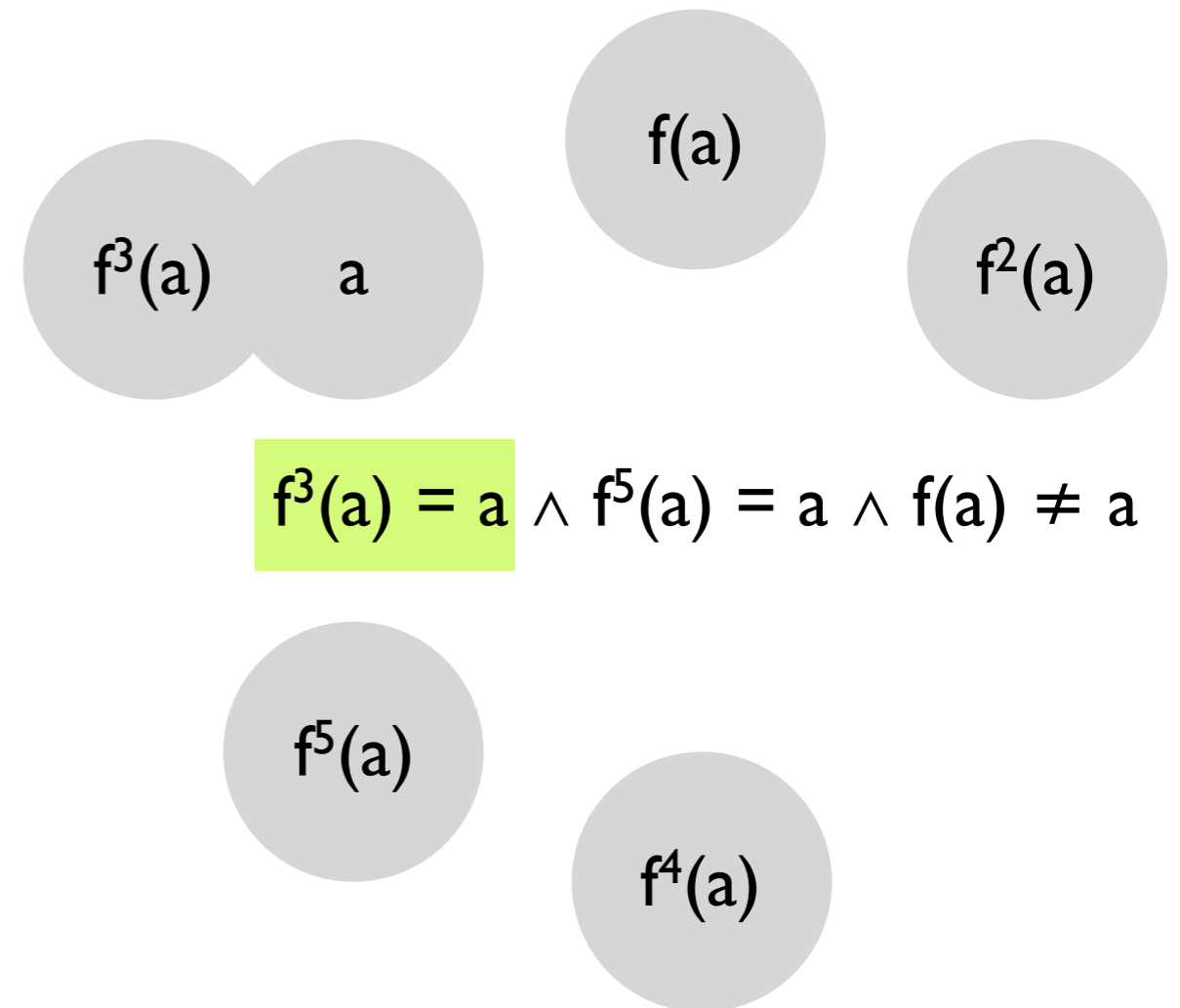
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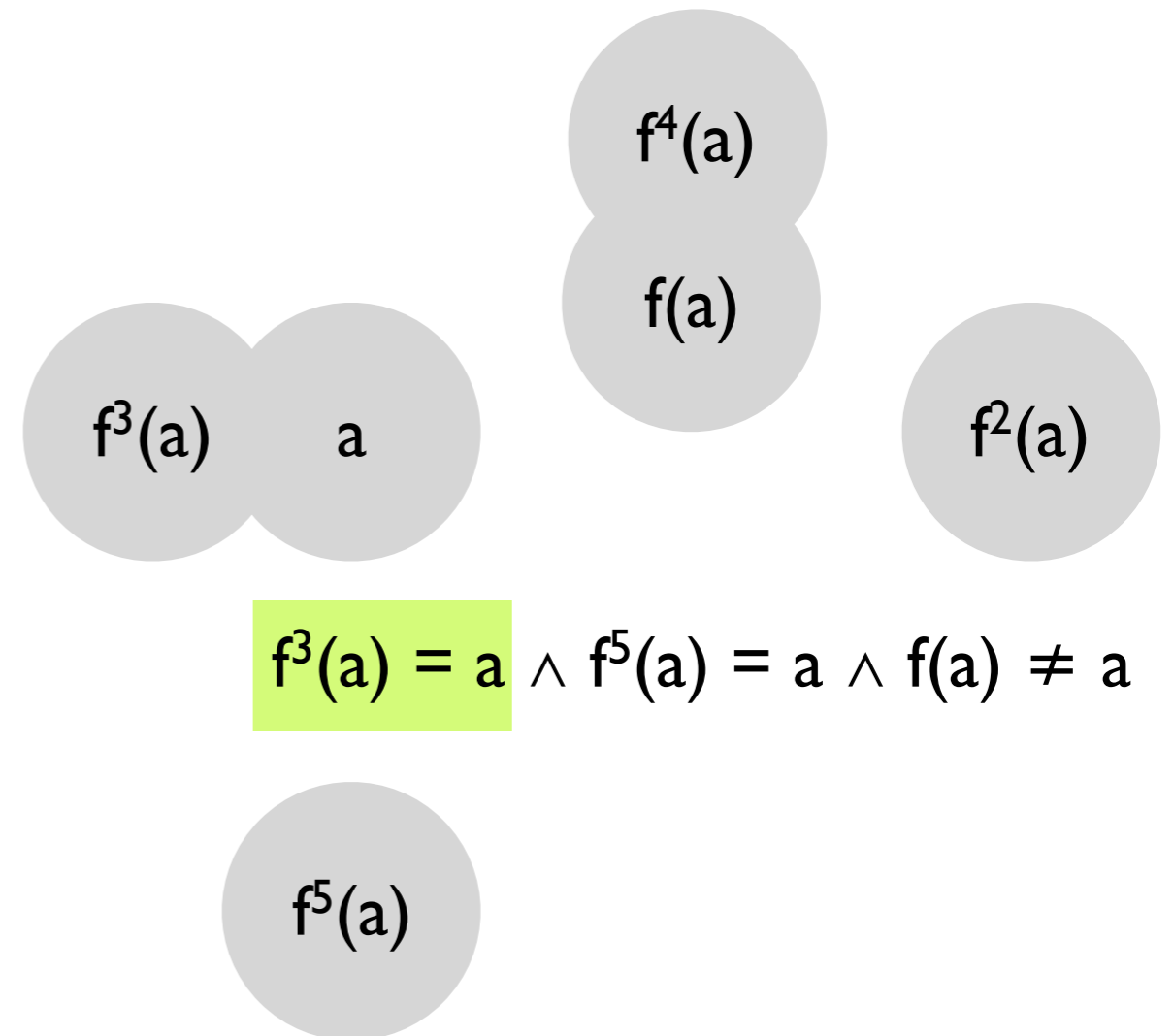
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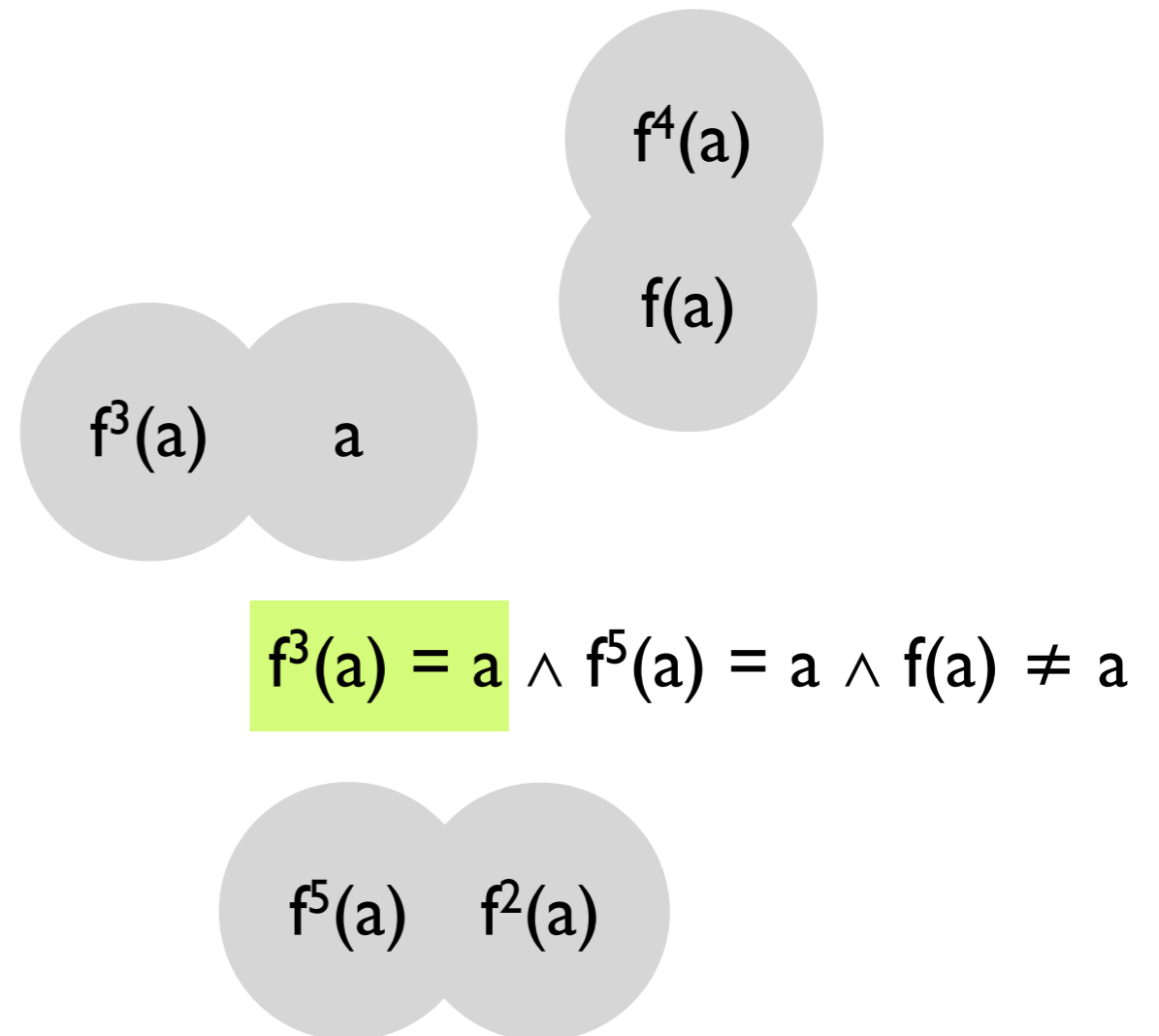
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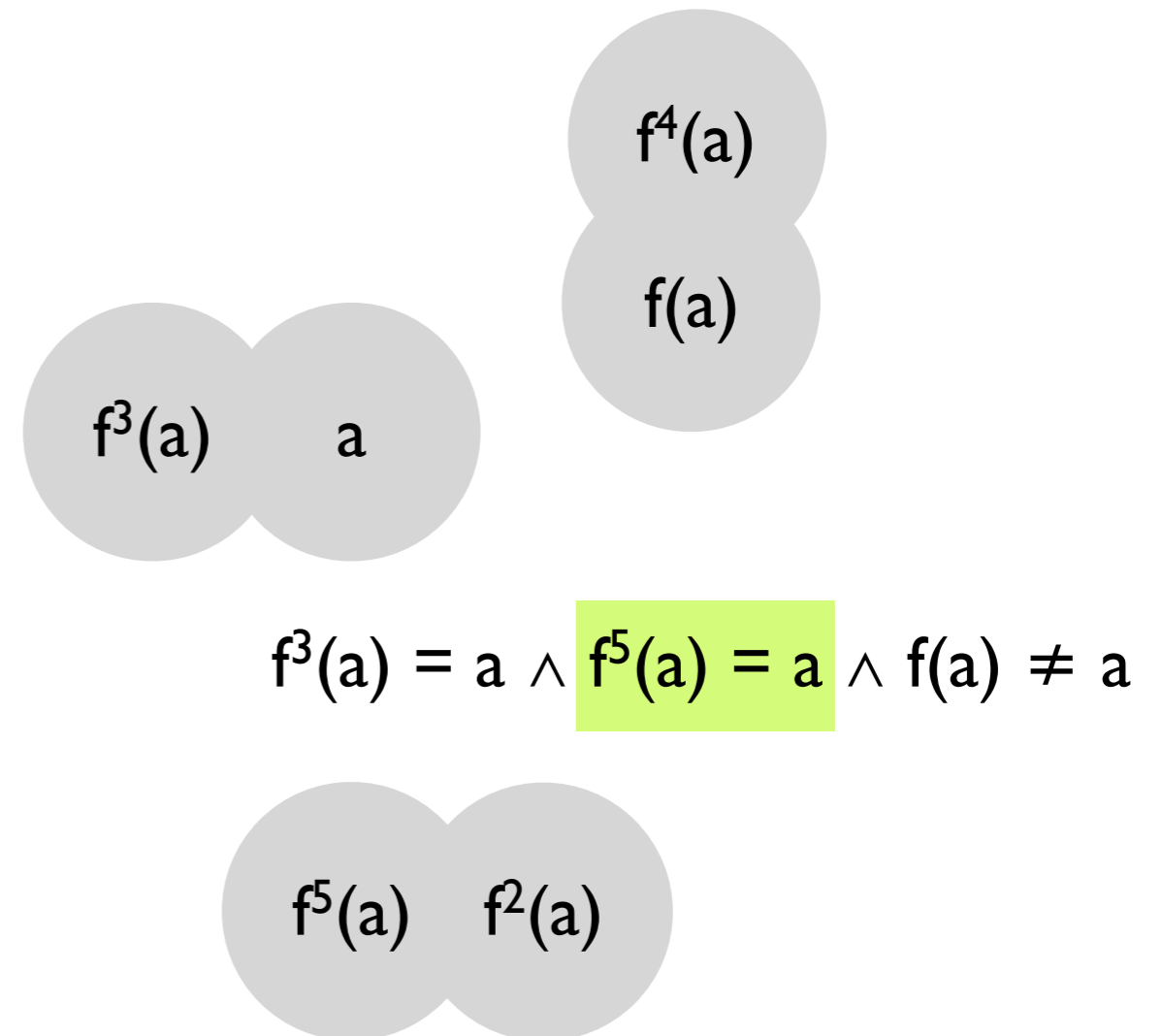
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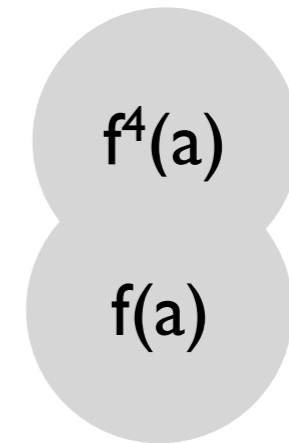
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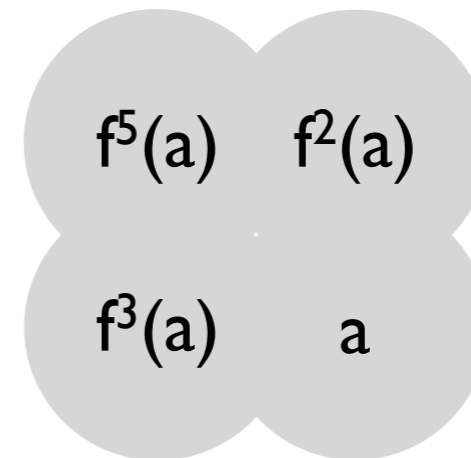


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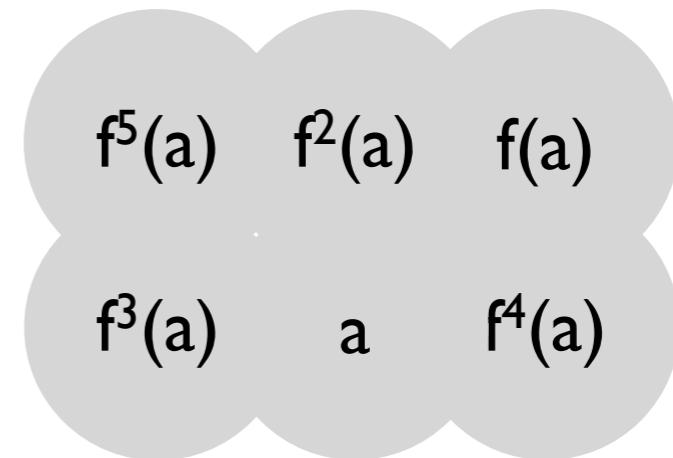
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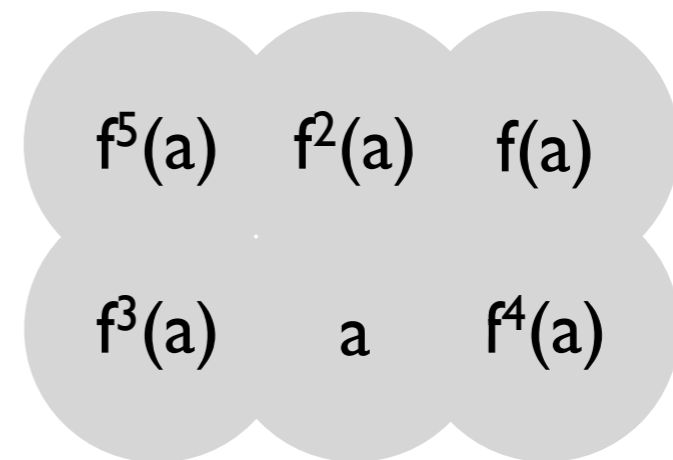
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- Otherwise, output SAT

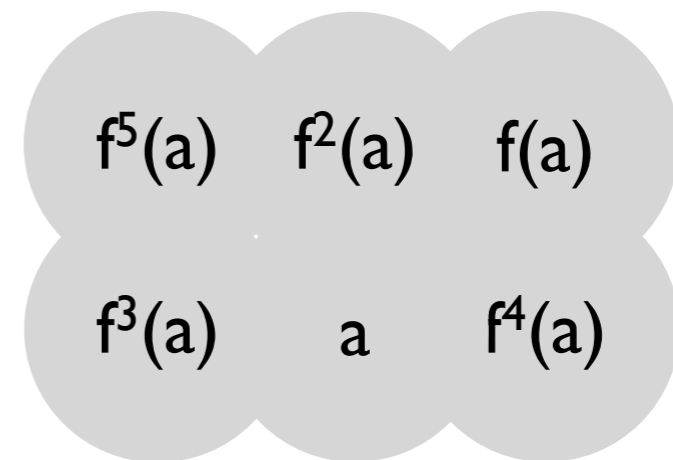
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UNSAT

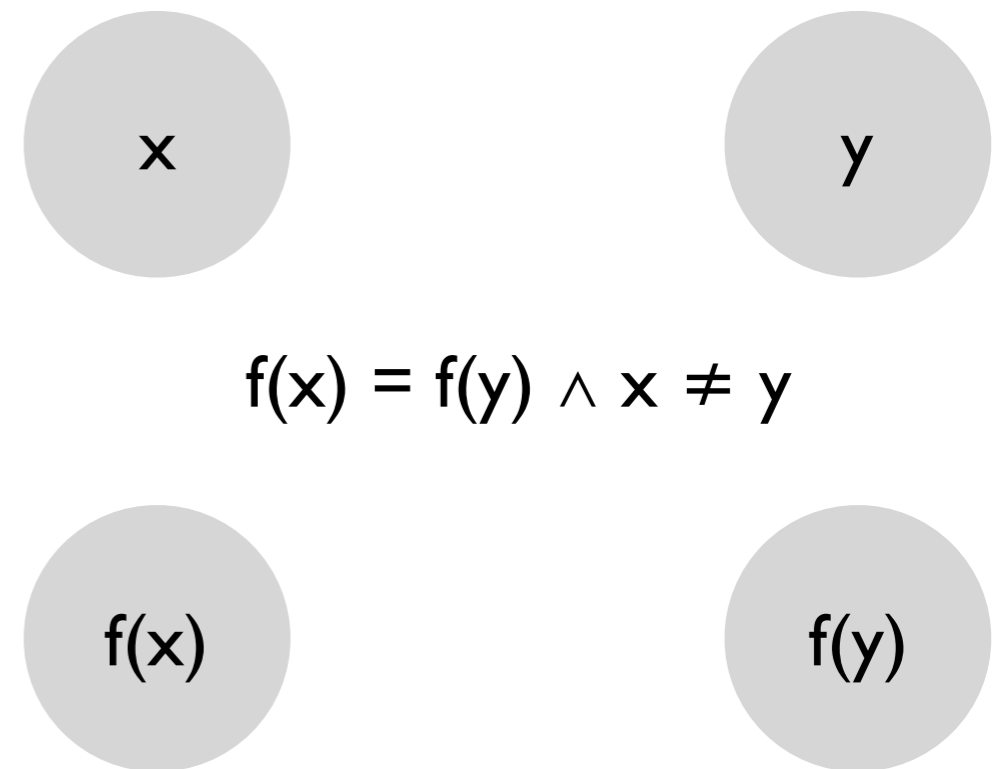
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$$f(x) = f(y) \wedge x \neq y$$

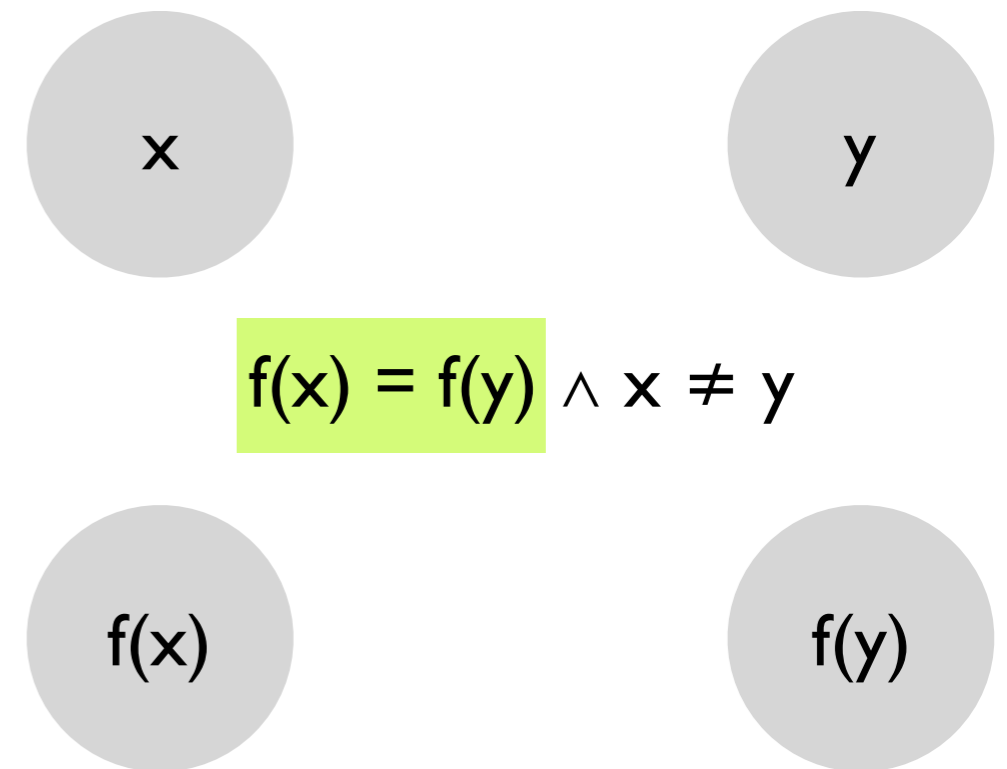
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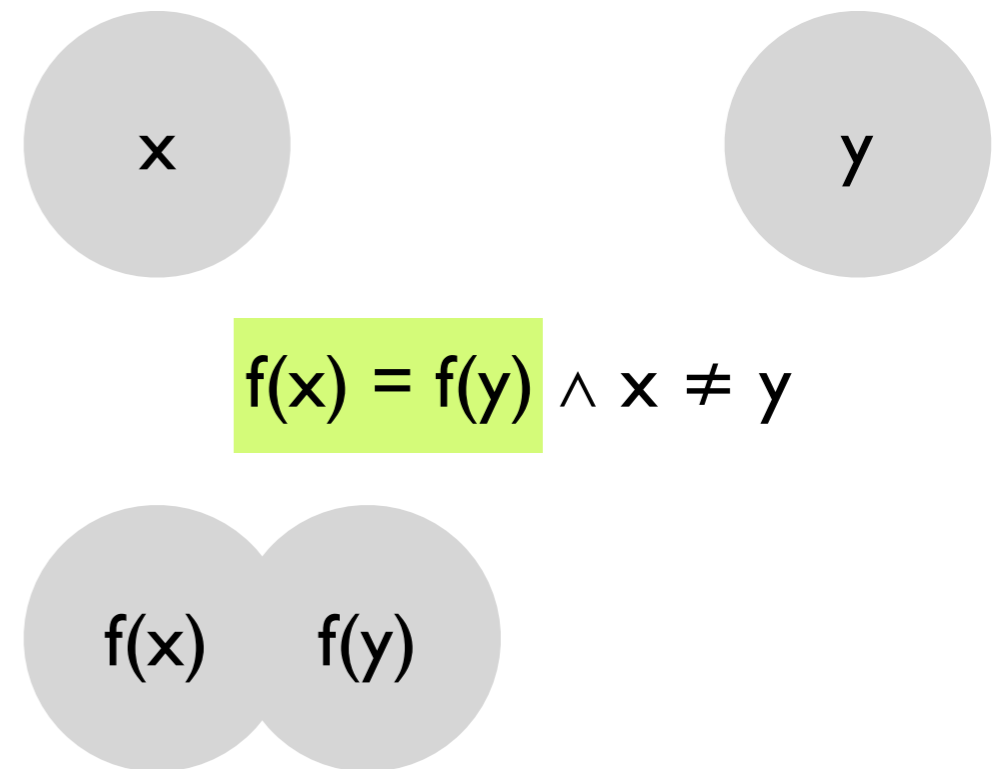
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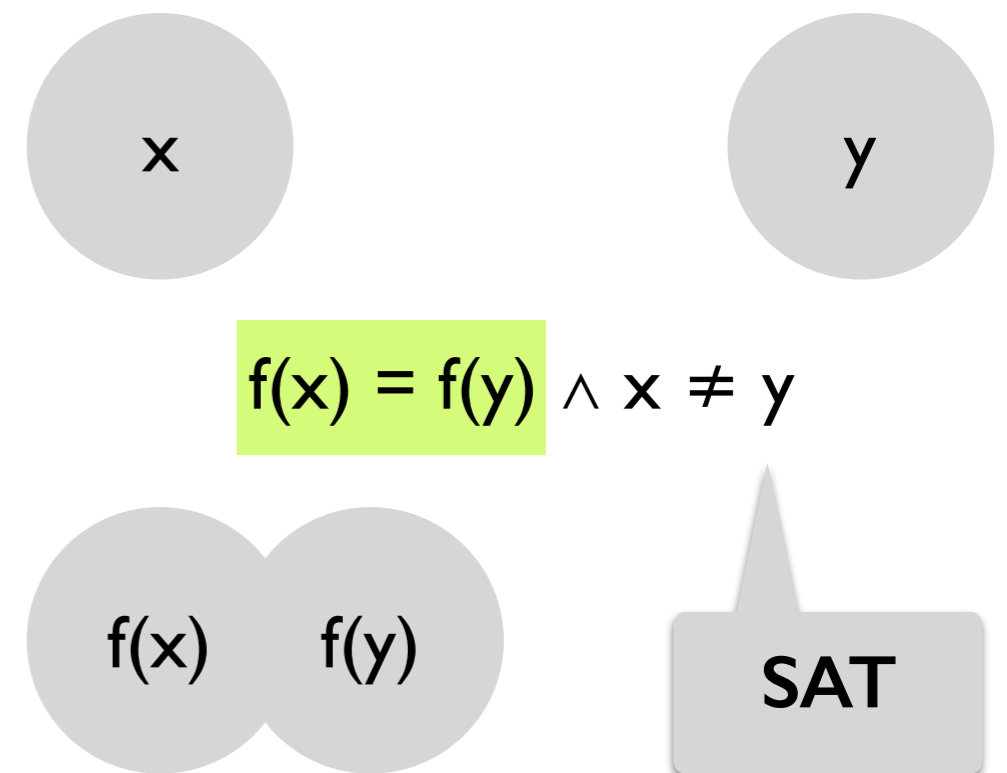
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Congruence closure algorithm: definitions

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An equivalence relation R is a **congruence relation** if for every n -ary function f

$$\forall \bar{x}, \bar{y}. \bigwedge R(x_i, y_i) \rightarrow R(f(\bar{x}), f(\bar{y}))$$

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The **equivalence class** of an element $s \in S$ under an equivalence relation R :

$$\{ s' \in S \mid R(s, s') \}$$

What is the equivalence class of 9 under \equiv_3 ?

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The **equivalence class** of an element $s \in S$ under an equivalence relation R :

$$\{ s' \in S \mid R(s, s') \}$$

An equivalence class is called a **congruence class** if R is a congruence relation.

Congruence closure algorithm: definitions

The equivalence closure R^E of a binary relation R is the smallest equivalence relation that contains R .

What is the equivalence closure of $R = \{\langle a, b \rangle, \langle b, c \rangle, \langle d, d \rangle\}$?

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Congruence closure algorithm: definitions

The **equivalence closure** R^E of a binary relation R is the smallest equivalence relation that contains R .

The **congruence closure** R^C of a binary relation R is the smallest congruence relation that contains R .

The congruence closure algorithm computes the congruence closure of the equality relation over terms asserted by a conjunctive quantifier-free formula in $T=$.

Congruence closure algorithm: data structure

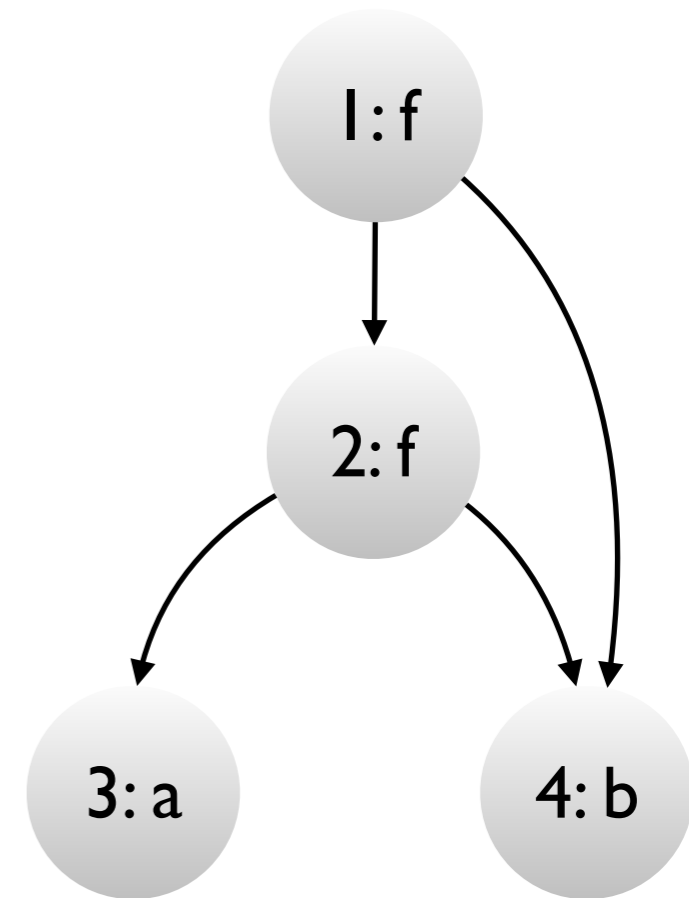


$$f(a, b) = a \wedge f(f(a, b), b) \neq a$$

Congruence closure algorithm: data structure

- Represent subterms with a DAG

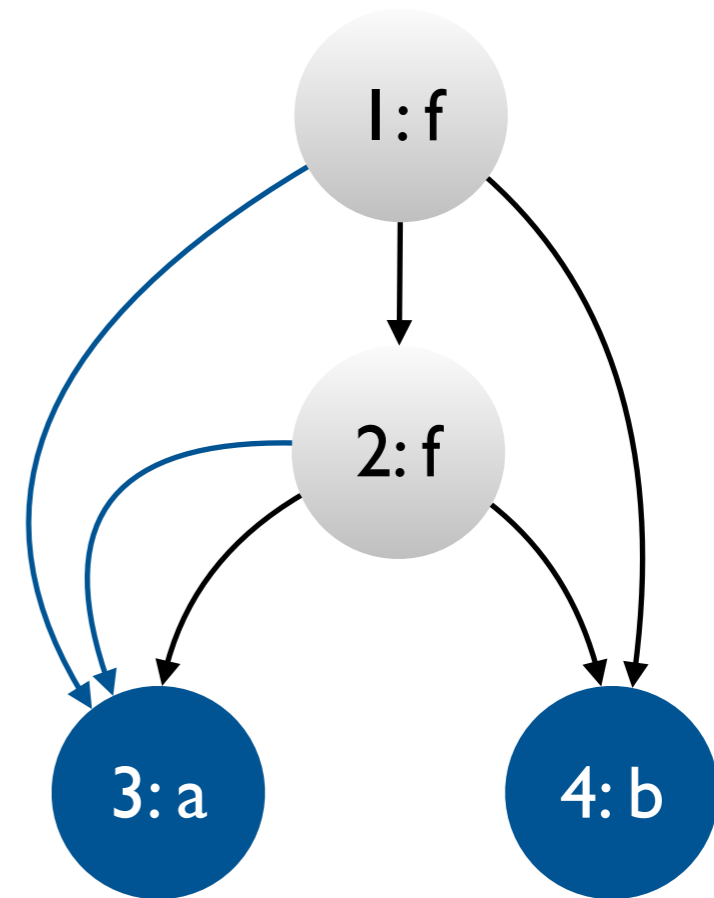
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Congruence closure algorithm: data structure

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- Each node has a **find** pointer to another node in its congruence class (or to itself if it is the **representative**)

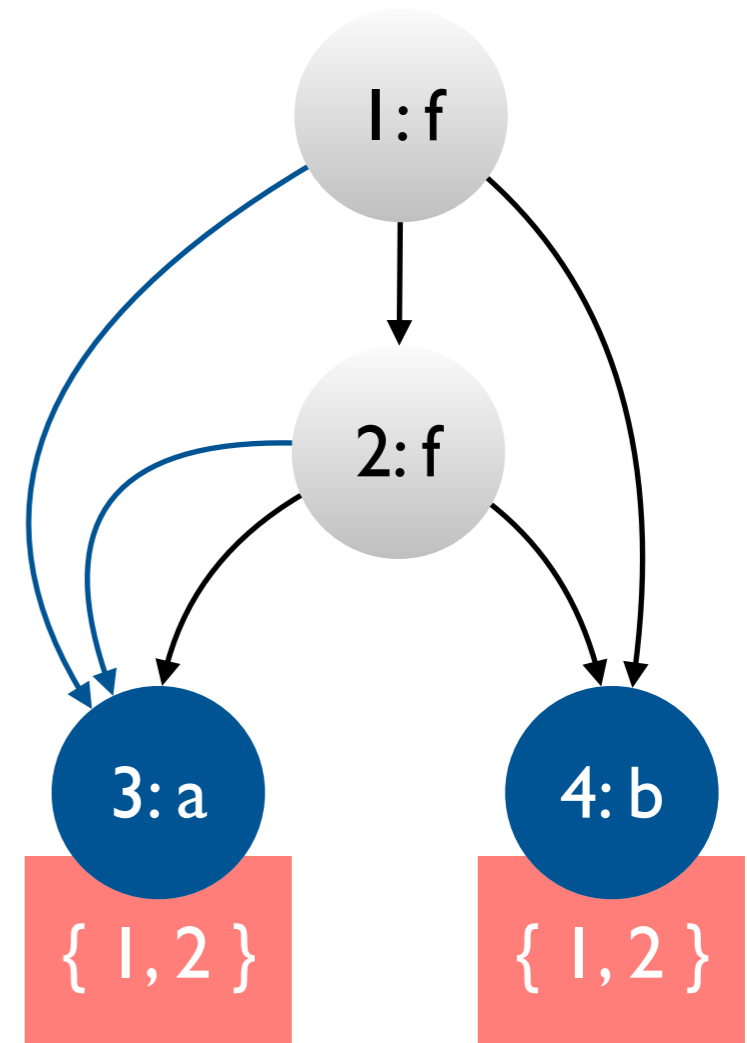
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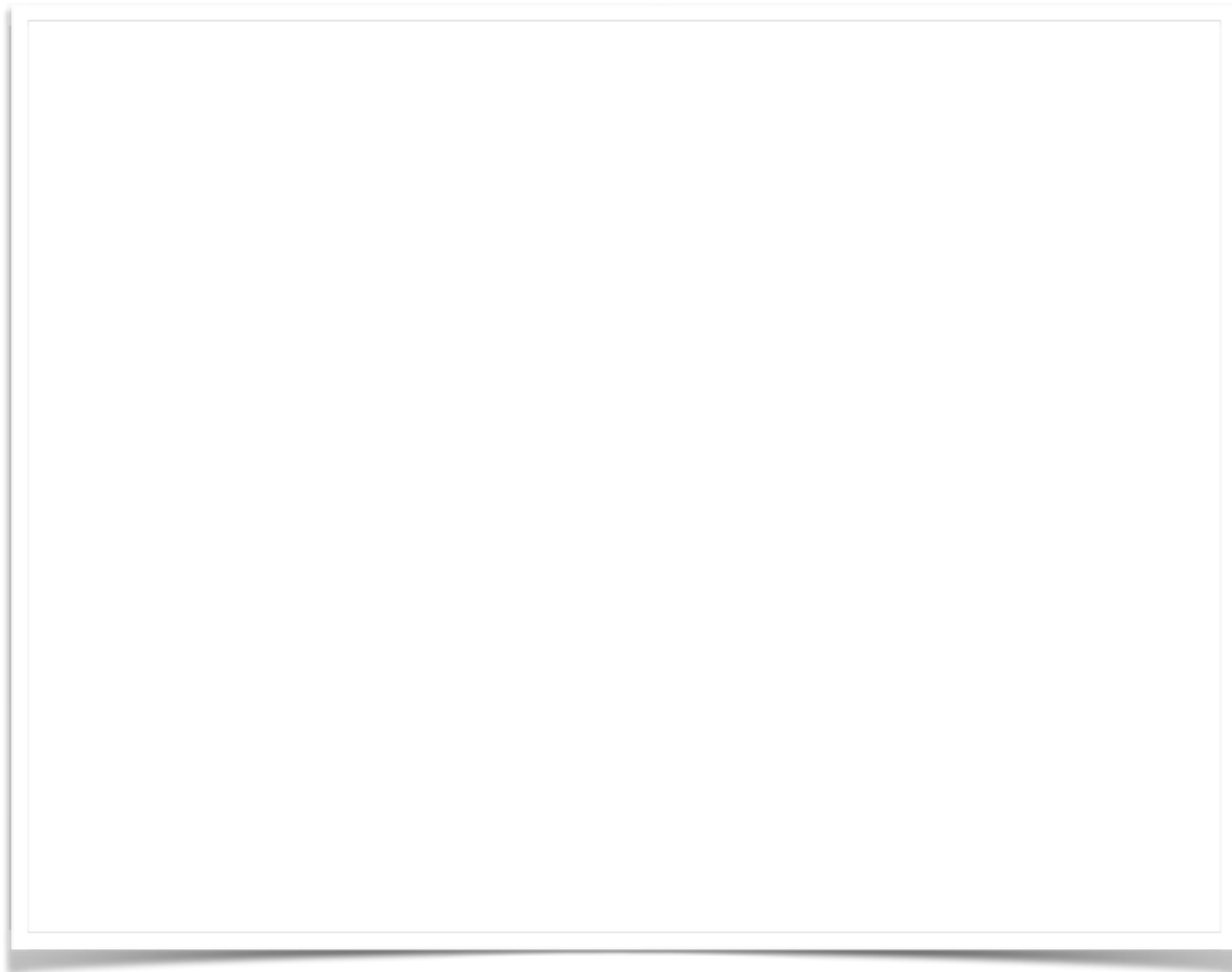
Congruence closure algorithm: data structure

- Represent subterms with a DAG
- Each node has a **find** pointer to another node in its congruence class (or to itself if it is the **representative**)
- Each representative has a **ccp** field that stores all parents of all nodes in its congruence class.

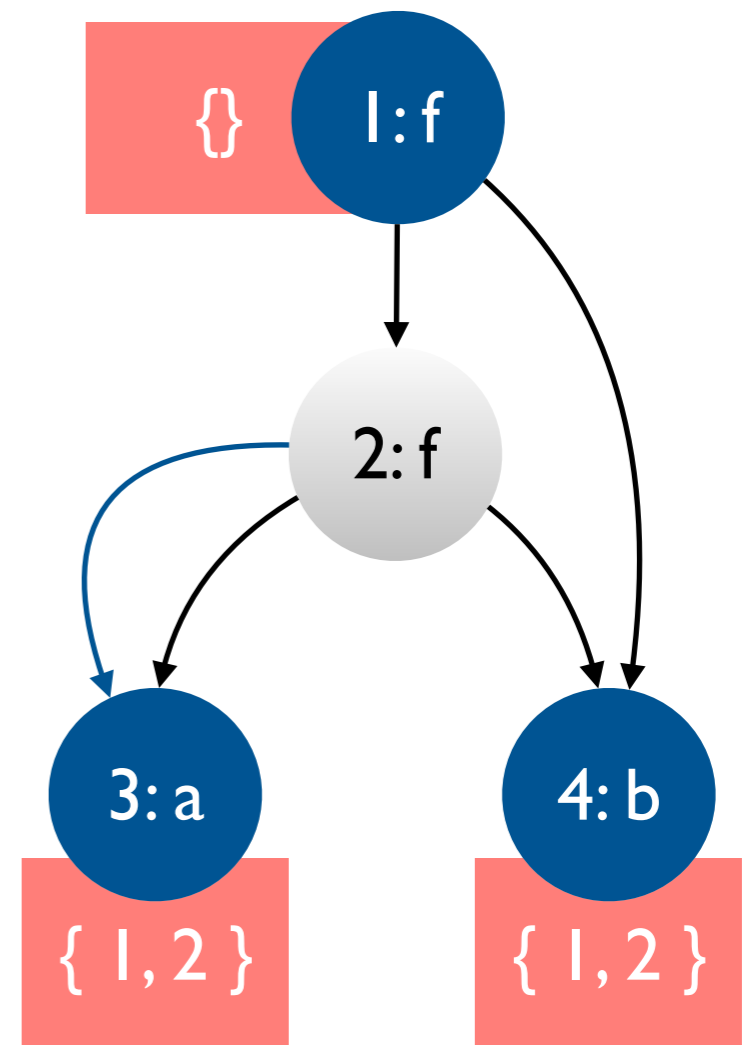
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Congruence closure algorithm: union-find



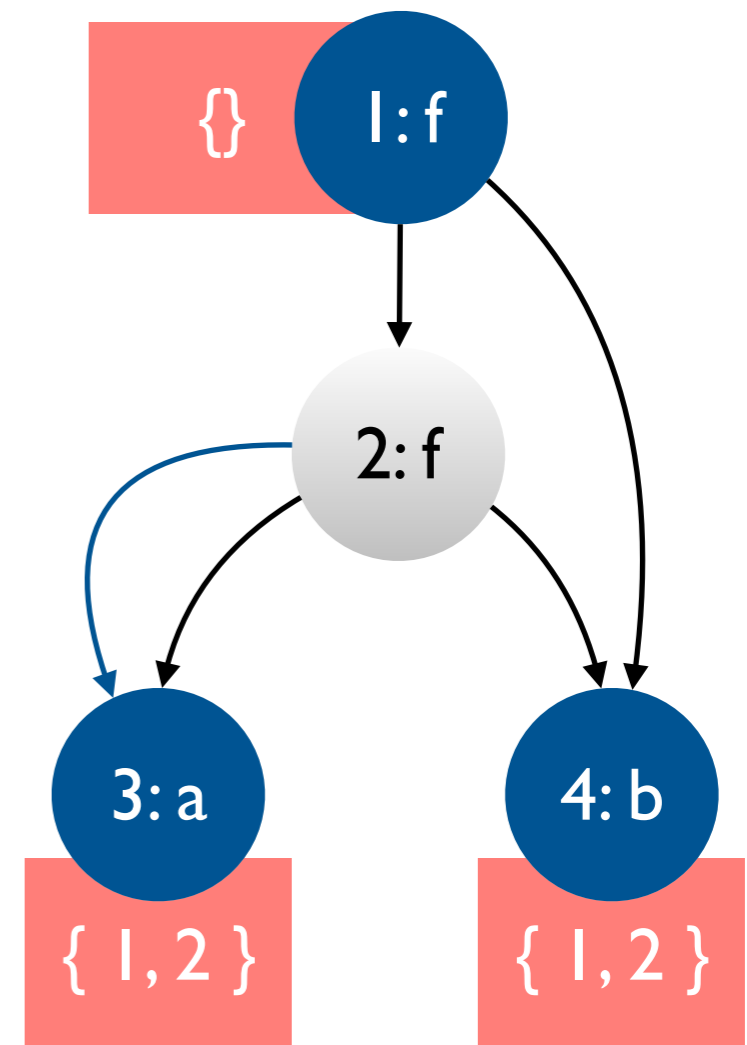
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Congruence closure algorithm: union-find

- FIND returns the representative of a node's equivalence class by following **find** pointers until it finds a self-loop.

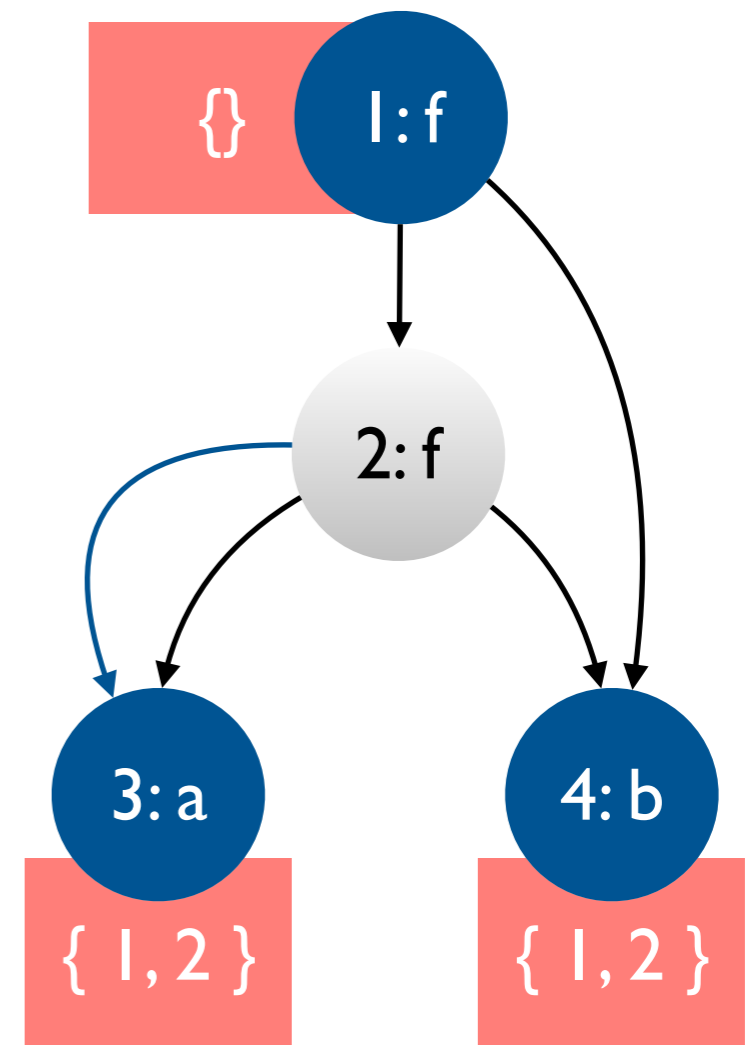
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Congruence closure algorithm: union-find

- FIND returns the representative of a node's equivalence class by following **find** pointers until it finds a self-loop.
- UNION combines equivalence classes for nodes i_1 and i_2 :
 - $n_1, n_2 \leftarrow \text{FIND}(i_1), \text{FIND}(i_2)$
 - $n_1.\text{find} \leftarrow n_2$
 - $n_2.\text{ccp} \leftarrow n_1.\text{ccp} \cup n_2.\text{ccp}$
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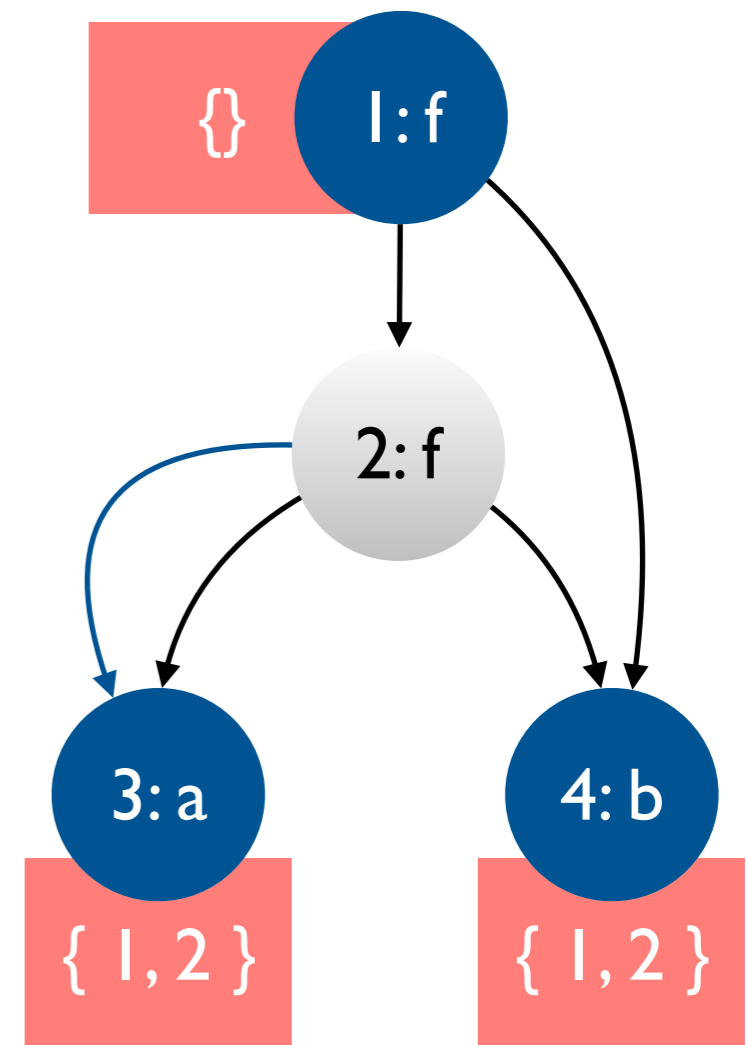
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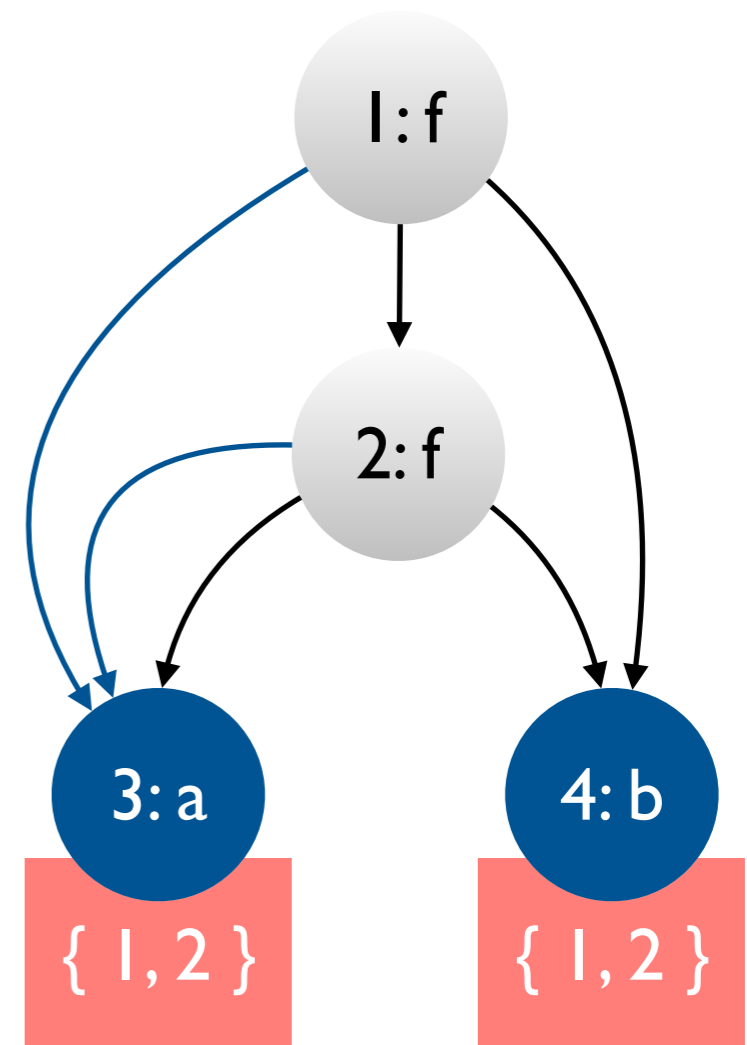


What is UNION(1, 2)?

Congruence closure algorithm: union-find

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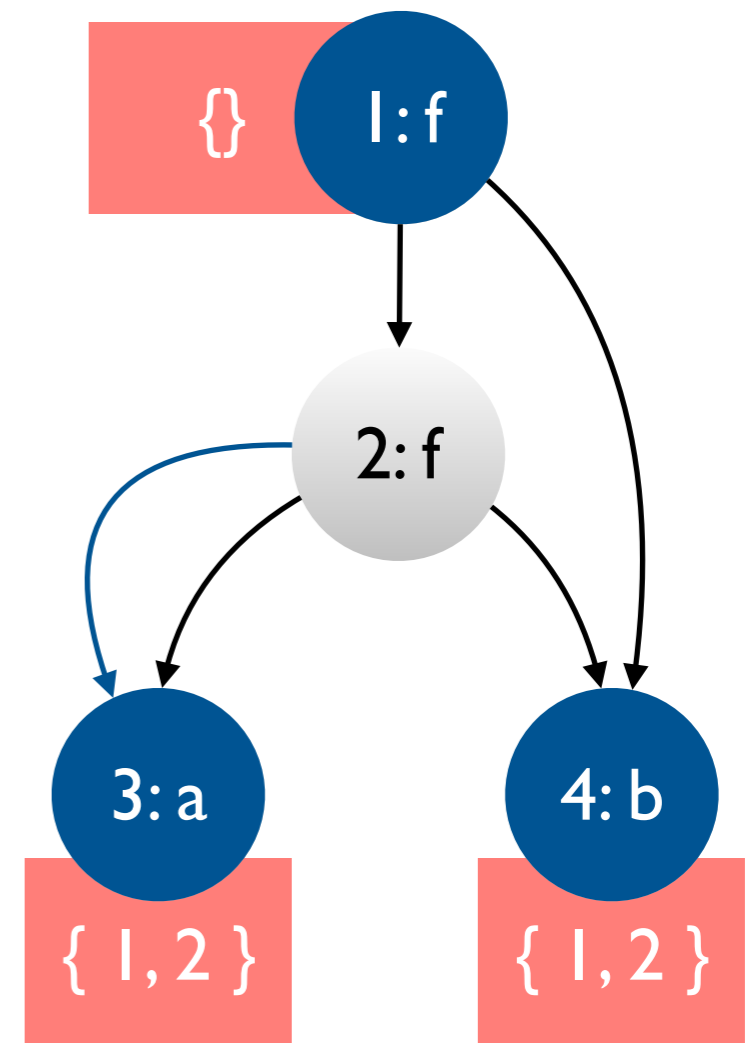
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Congruence closure algorithm: congruent

- CONGRUENT takes as input two nodes and returns true iff their
 - functions are the same
 - corresponding arguments are in the same congruence class

$$f(a, b) = a \wedge f(f(a, b), b) \neq a$$

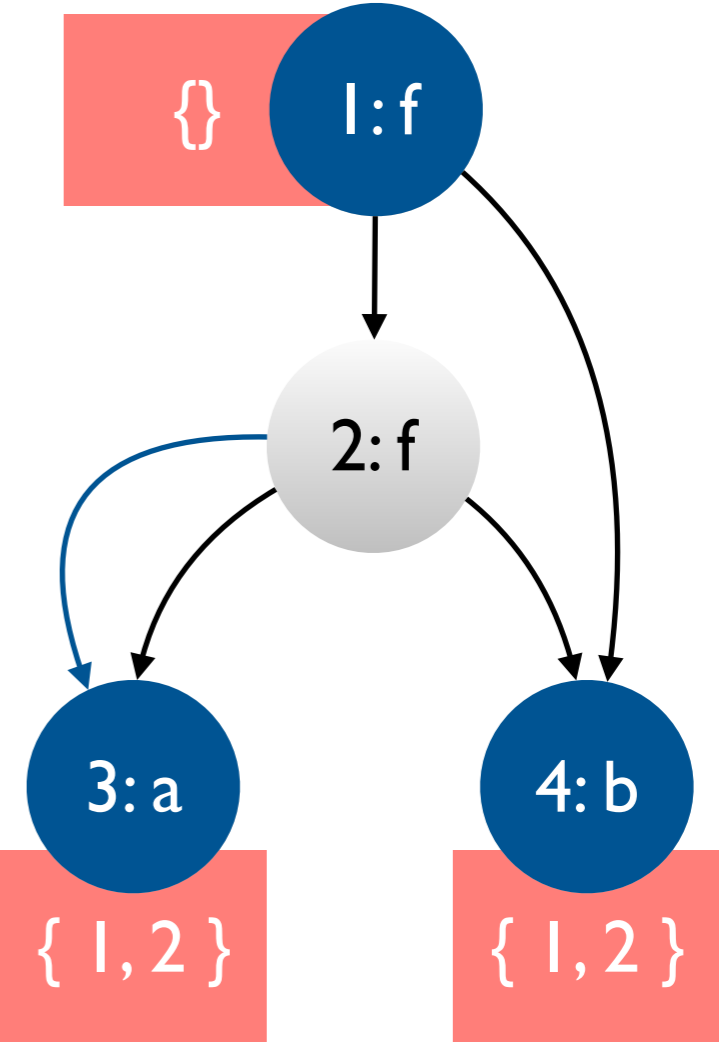


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CONGRUENT(1, 2)?

$$f(a, b) = a \wedge f(f(a, b), b) \neq a$$



Congruence closure algorithm: merge

MERGE (i_1, i_2)

$n_1, n_2 \leftarrow \text{FIND}(i_1), \text{FIND}(i_2)$

if $n_1 = n_2$ **then return**

$p_1, p_2 \leftarrow n_1.\text{ccp}, n_2.\text{ccp}$

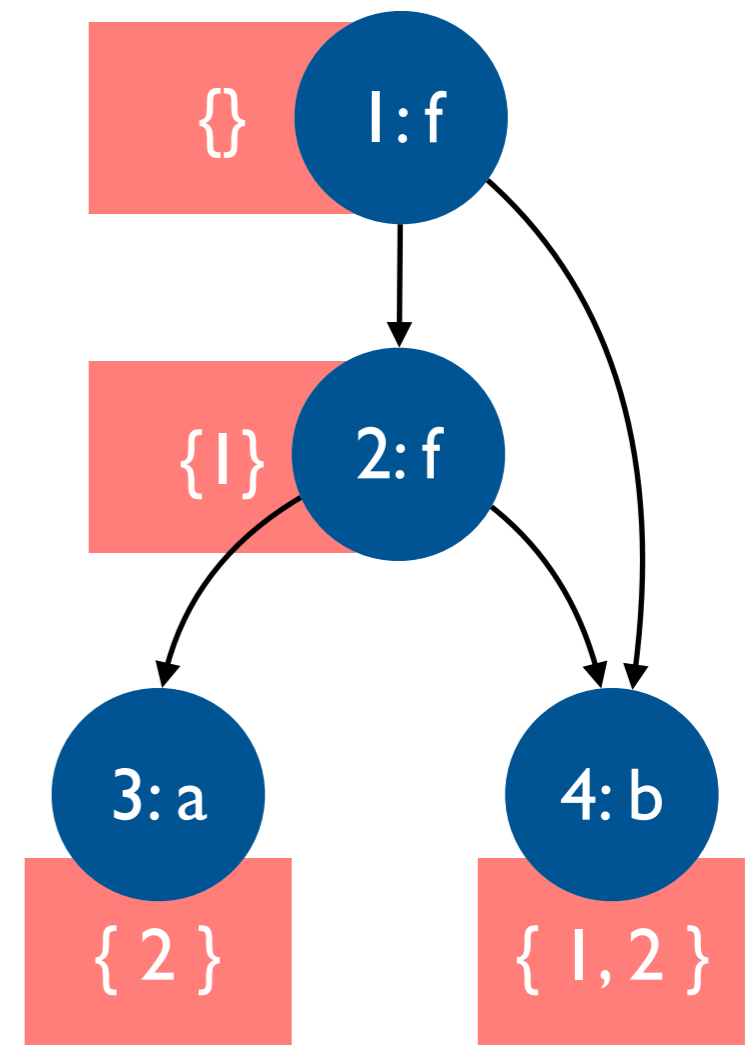
UNION(n_1, n_2)

for each $t_1, t_2 \in p_1 \times p_2$

if $\text{FIND}(t_1) \neq \text{FIND}(t_2) \wedge \text{CONGRUENT}(t_1, t_2)$

then MERGE(t_1, t_2)

$$f(a, b) = a \wedge f(f(a, b), b) \neq a$$



Congruence closure algorithm: merge

MERGE (i_1, i_2)

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if $n_1 = n_2$ **then return**

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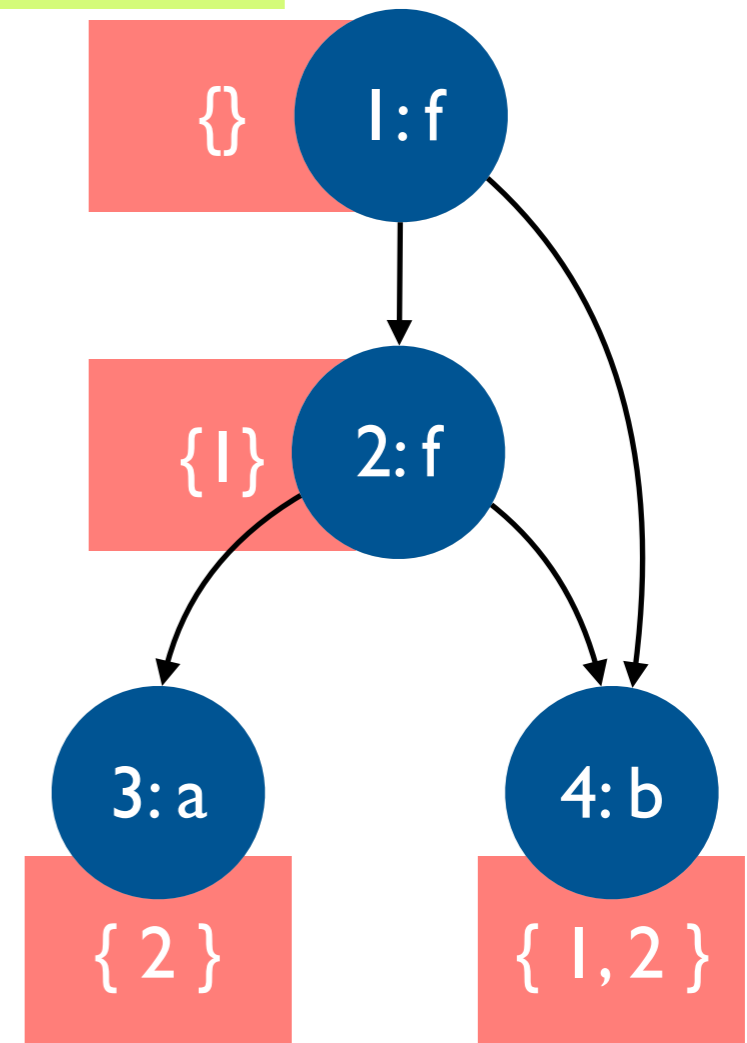
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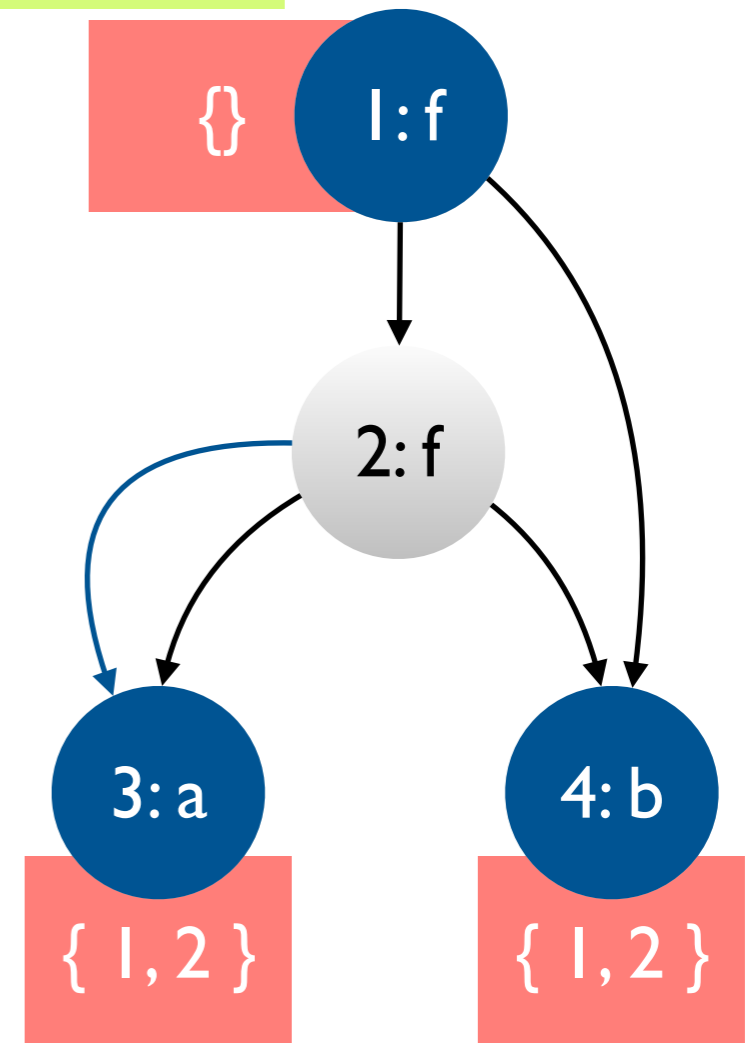
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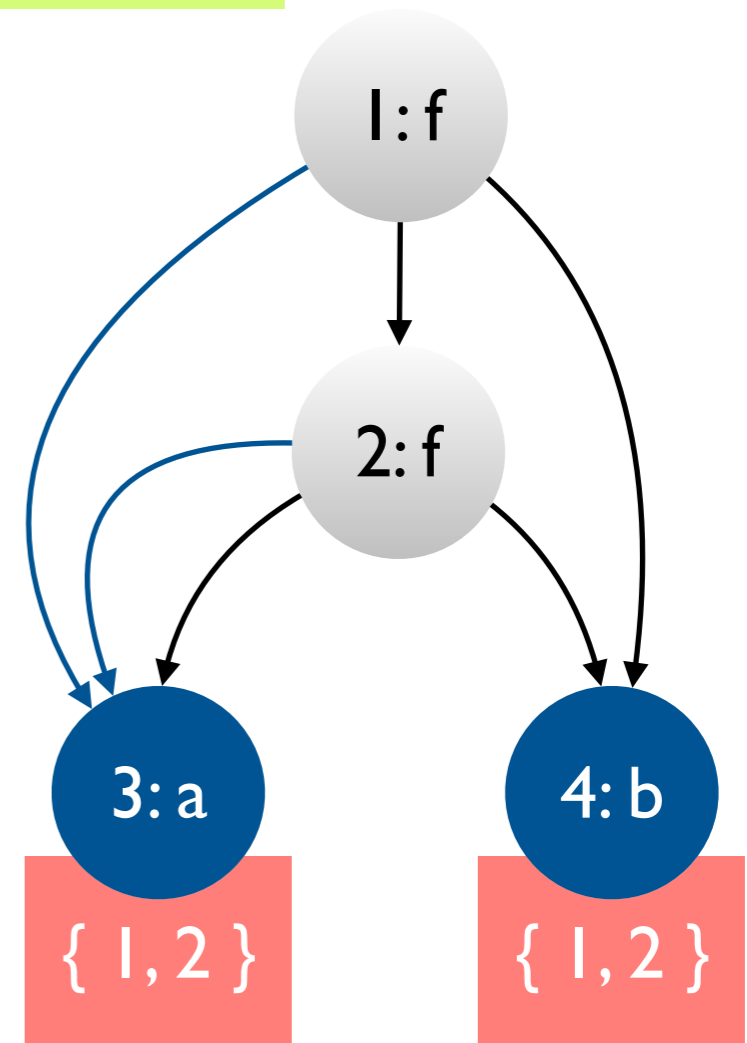
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Congruence closure algorithm: deciding $T=$

DECIDE (F)

construct the DAG for F's subterms

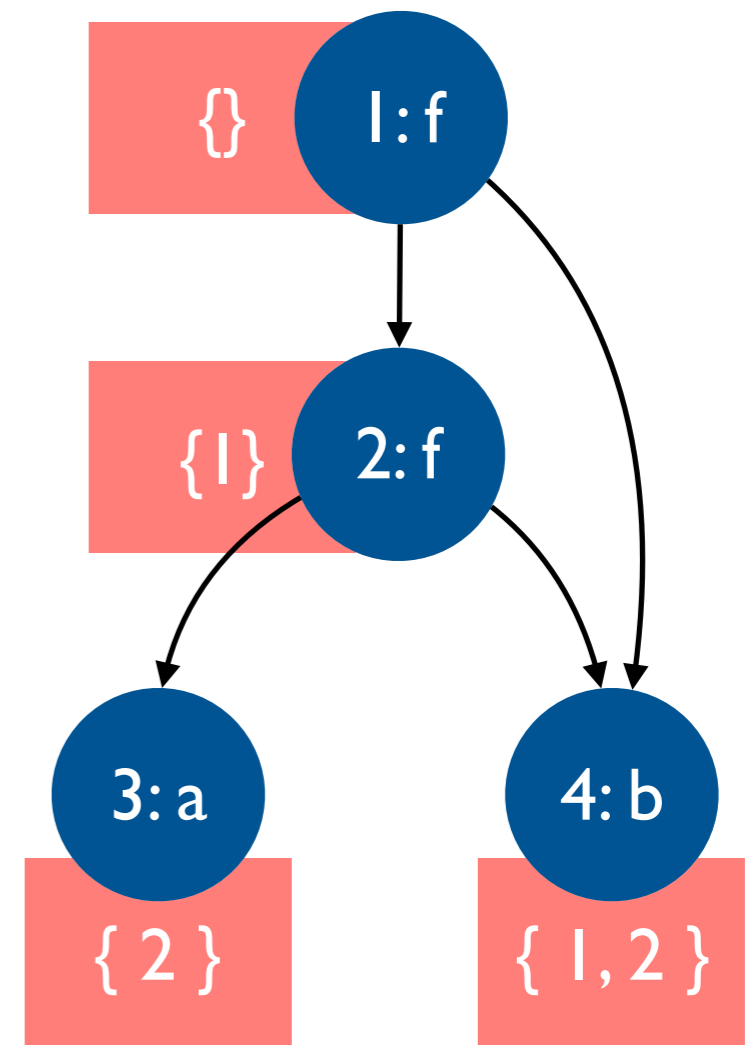
for $s_i = t_i \in F$

MERGE(s_i, t_i)

for $s_i \neq t_i \in F$

if FIND(s_i) = FIND(t_i) then return UNSAT
return SAT

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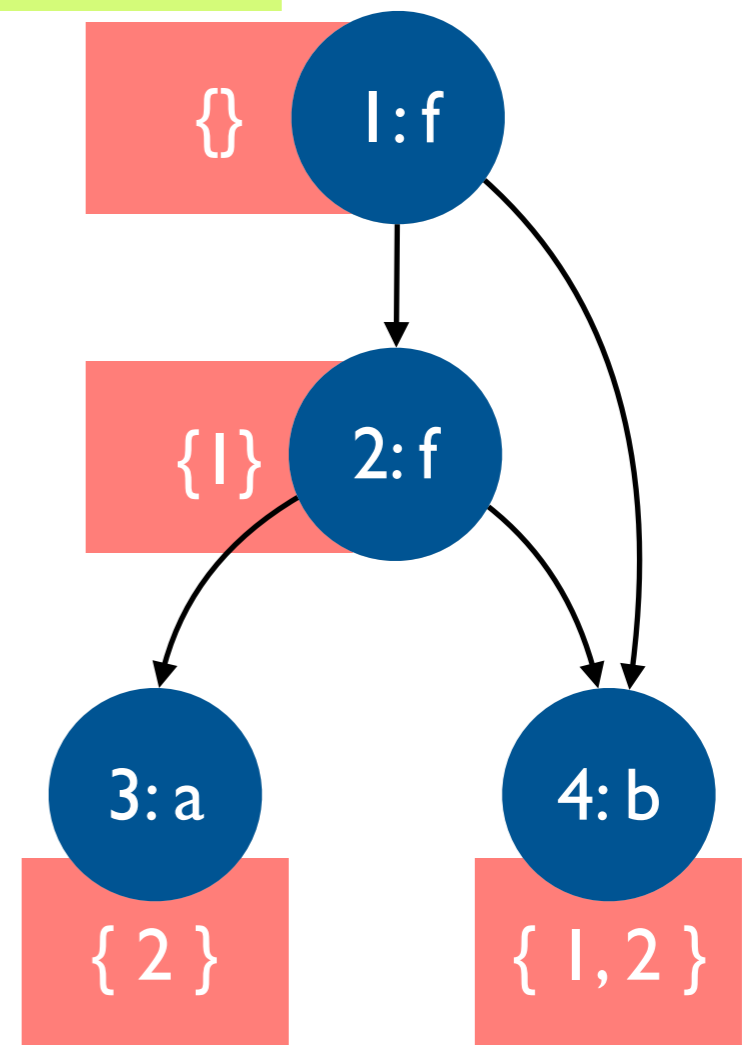
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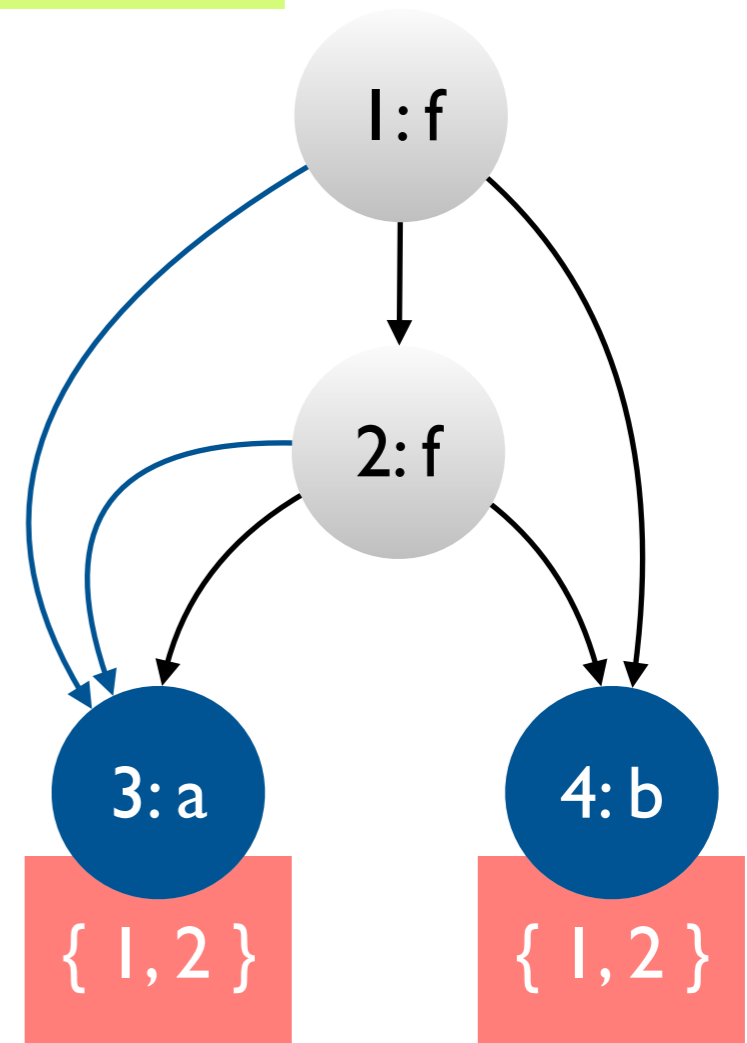
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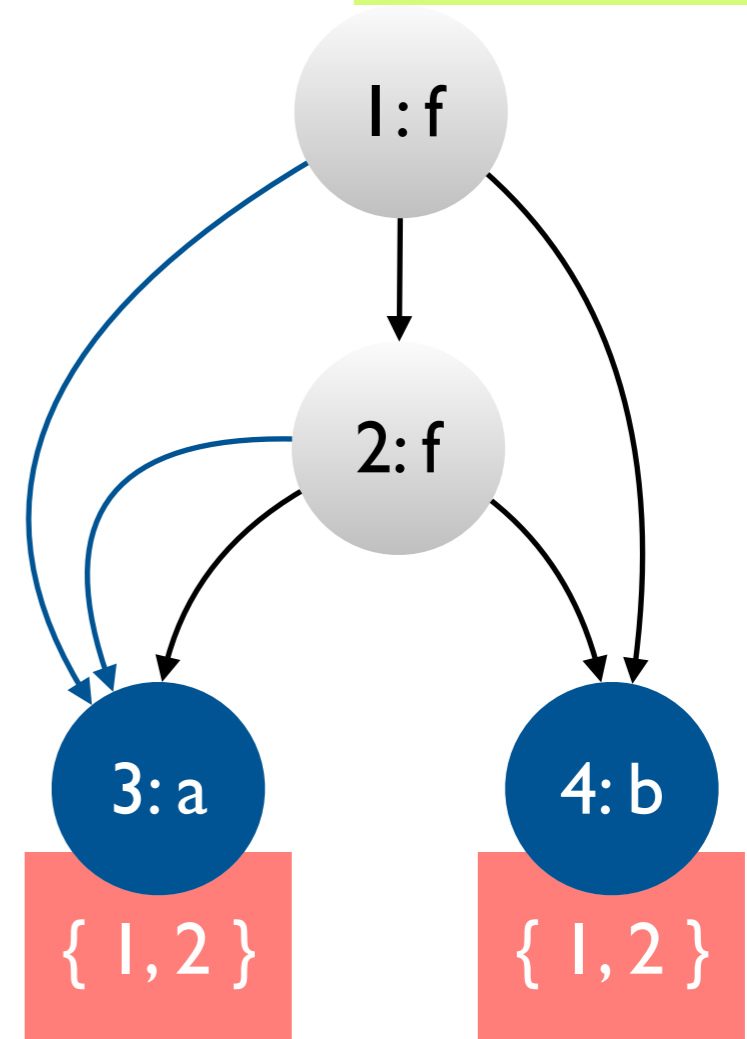
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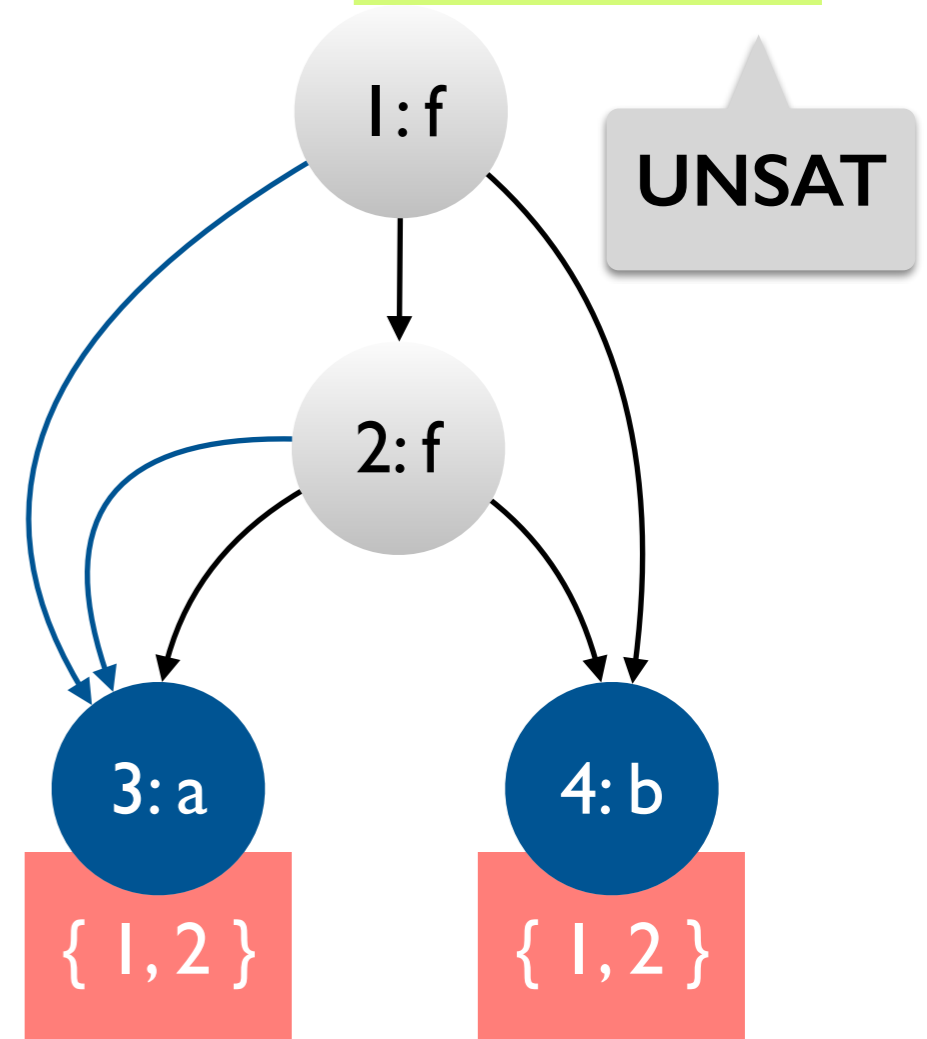
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Summary

Today

- A brief survey of theory solvers
- Congruence closure algorithm for deciding conjunctive $T=$ formulas

Next lecture

- Combining (decision procedures for different) theories