

Computer-Aided Reasoning for Software

# **Combining Theories**

CSE507

[courses.cs.washington.edu/courses/cse507/14au/](https://courses.cs.washington.edu/courses/cse507/14au/)

**Emina Torlak**

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# Today

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## Last lecture

- A survey of theory solvers and deciding  $T=$  with congruence closure

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- Deciding a combination of theories

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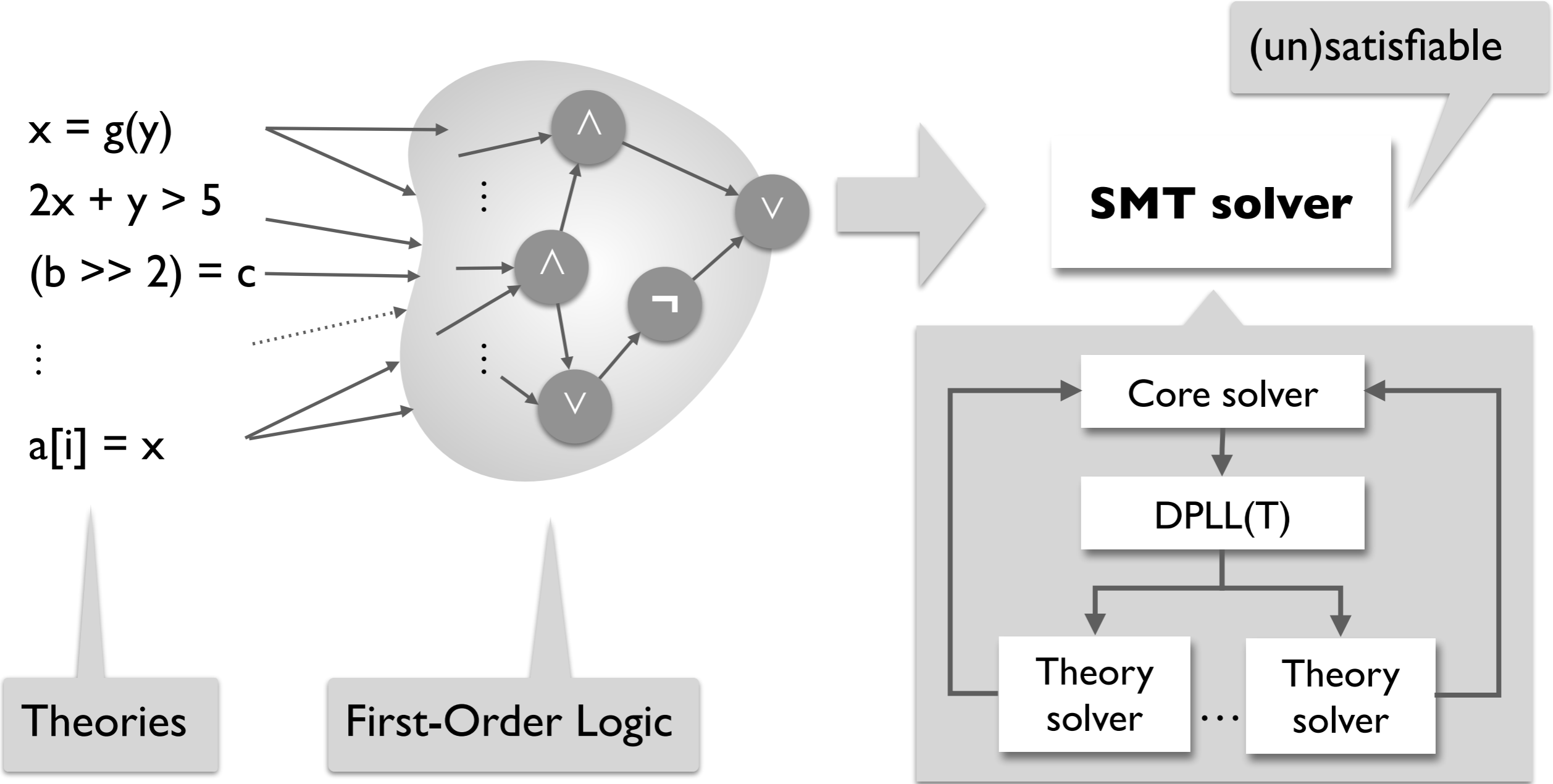
## Today

- Deciding a combination of theories

## Reminders

- Email us your project topic and brief abstract by 11pm today
- **Homework 2** posted
  - Start early
  - Submit self-contained runnable code

# Satisfiability Modulo Theories (SMT)



# Combining T-solvers with Nelson-Oppen

$\Sigma_1$ -theory  $T_1$   
with axioms  $A_1$

Theory  
solver

...

$\Sigma_n$ -theory  $T_n$   
with axioms  $A_n$

Theory  
solver

**Combination solver**

Theory  $T_1 \cup \dots \cup T_n$  with  
signature  $\Sigma_1 \cup \dots \cup \Sigma_n$  and  
axioms  $A_1 \cup \dots \cup A_n$

# Combining T-solvers with Nelson-Oppen

$\Sigma_1$ -theory  $T_1$   
with axioms  $A_1$

Theory  
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$\Sigma_2$ -theory  $T_2$   
with axioms  $A_2$

Theory  
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We'll see how to  
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theories. Easy to  
generalize to  $n$ .

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# Combining T-solvers with Nelson-Oppen

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**Combination solver**

Theory  $T_1 \cup T_2$  with  
signature  $\Sigma_1 \cup \Sigma_2$  and  
axioms  $A_1 \cup A_2$

The combination problem is  
undecidable for arbitrary  
(decidable) theories. It  
becomes decidable under  
**Nelson-Oppen restrictions.**

# Nelson-Oppen restrictions

## $T_1$ and $T_2$ can be combined when

- Both are quantifier-free (conjunctive) fragments
- Equality (=) is the only symbol in the intersection of their signatures
- Both are **stably infinite**

# Nelson-Oppen restrictions

## $T_1$ and $T_2$ can be combined when

- Both are quantifier-free (conjunctive) fragments
- Equality (=) is the only symbol in the intersection of their signatures
- Both are stably infinite

A theory  $T$  is stably infinite iff for every satisfiable  $\Sigma_T$ -formula  $F$ , there is a  $T$ -model that satisfies  $F$  and that has a universe of infinite cardinality.

# Examples of (non-)stably infinite theories

$\Sigma_T: \{a, b, =\}$

$A_T: \forall x. x = a \vee x = b$

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Fixed width bit  
vectors ( $T_{bv}$ )

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$\Sigma_T: \{a, b, =\}$

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Equality and  
uninterpreted  
functions ( $T=$ )

Fixed width bit  
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Equality and  
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Fixed width bit  
vectors ( $T_{bv}$ )



Arrays ( $T_A$ )



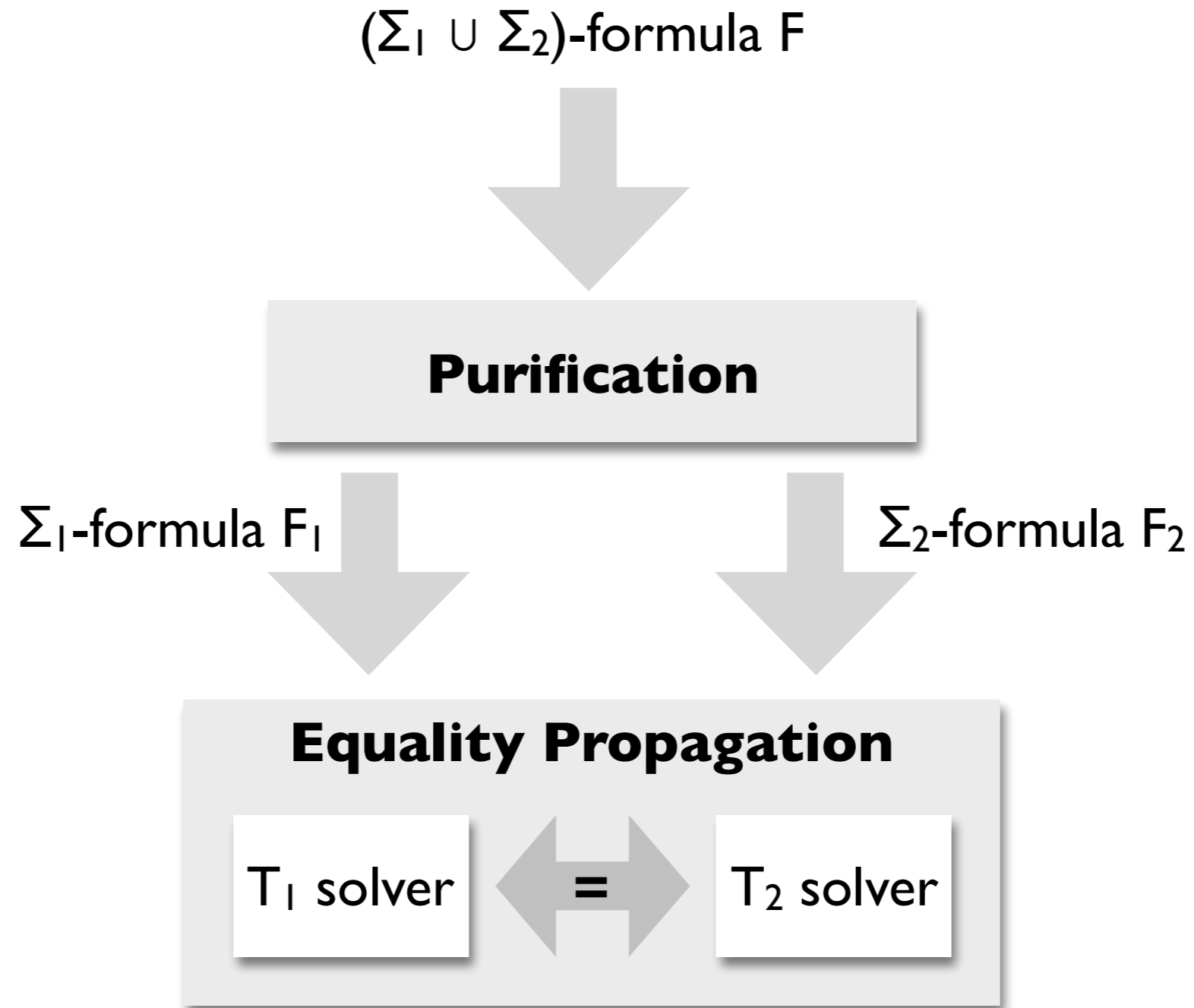
Linear real  
arithmetic ( $T_R$ )



Linear integer  
arithmetic ( $T_R$ )

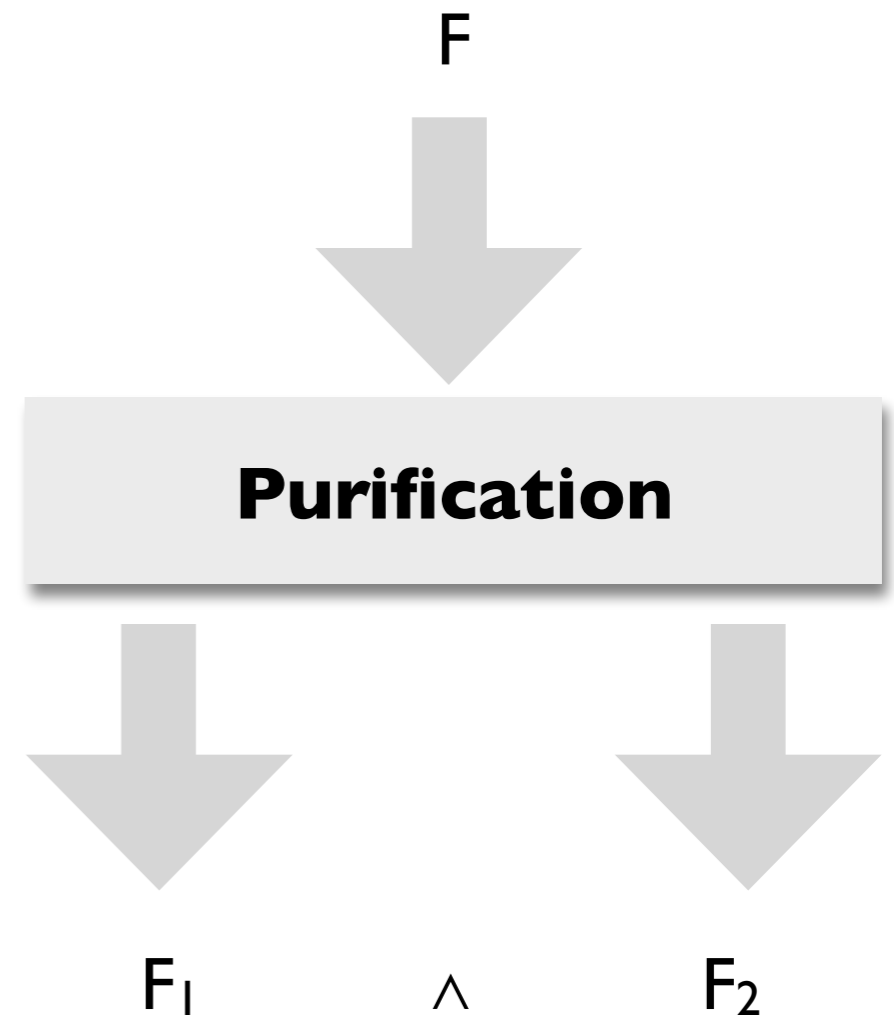


# Overview of Nelson-Oppen



# Overview of purification

Transforms a  $(\Sigma_1 \cup \Sigma_2)$ -formula  $F$  into an equisatisfiable formula  $F_1 \wedge F_2$  with  $F_1$  in  $T_1$  and  $F_2$  in  $T_2$

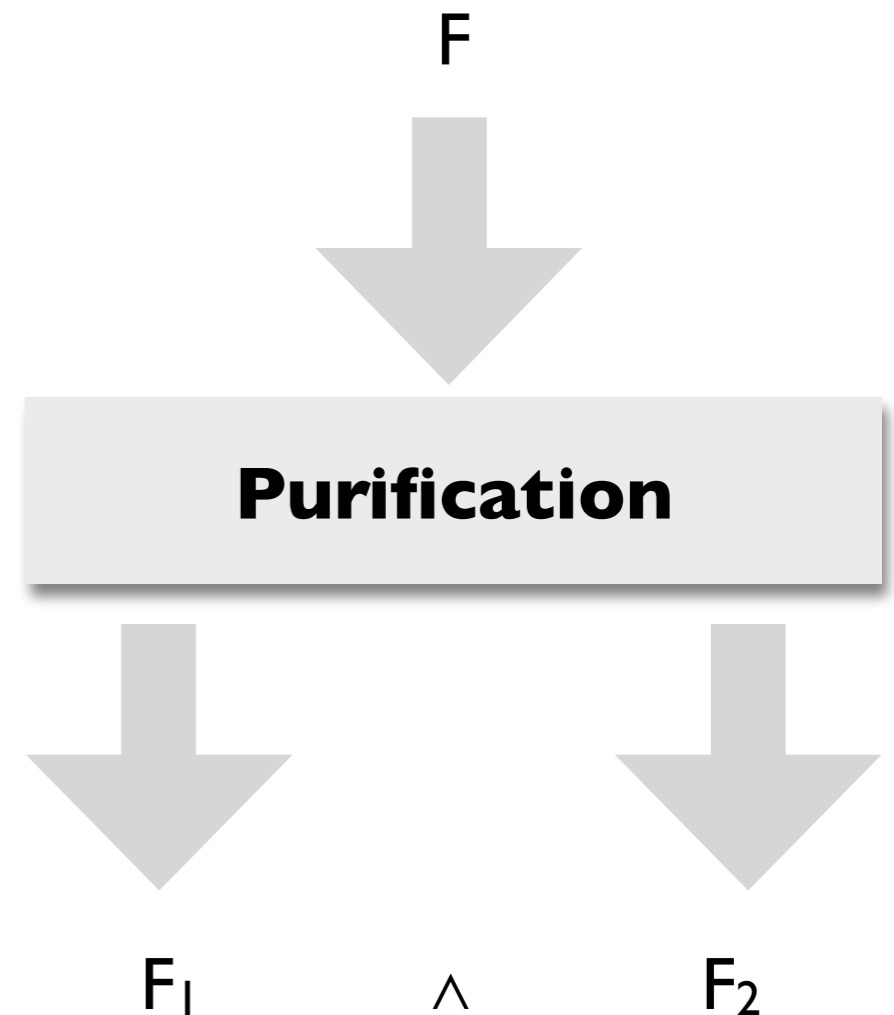


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Repeat until fix point:

- If  $f$  is in  $T_i$  and  $t$  is not, and  $u$  is fresh:  
 $F[f(\dots, t, \dots)] \rightsquigarrow F[f(\dots, u, \dots)] \wedge u = t$
- If  $p$  is in  $T_i$  and  $t$  is not, and  $v$  is fresh:  
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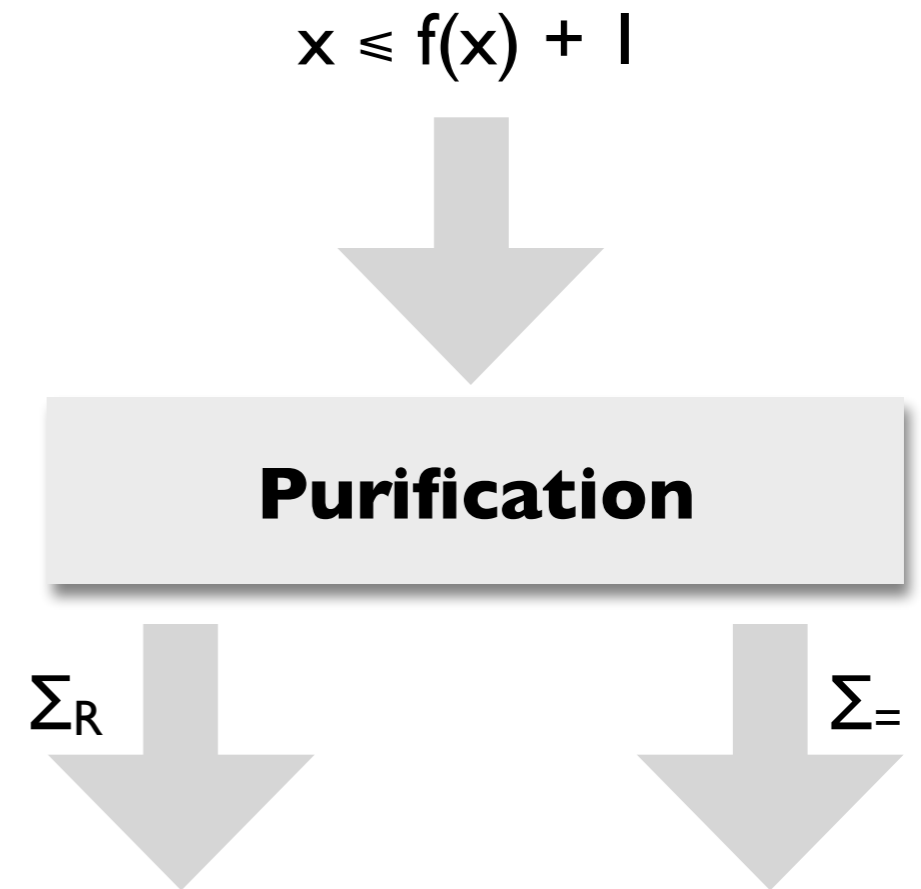


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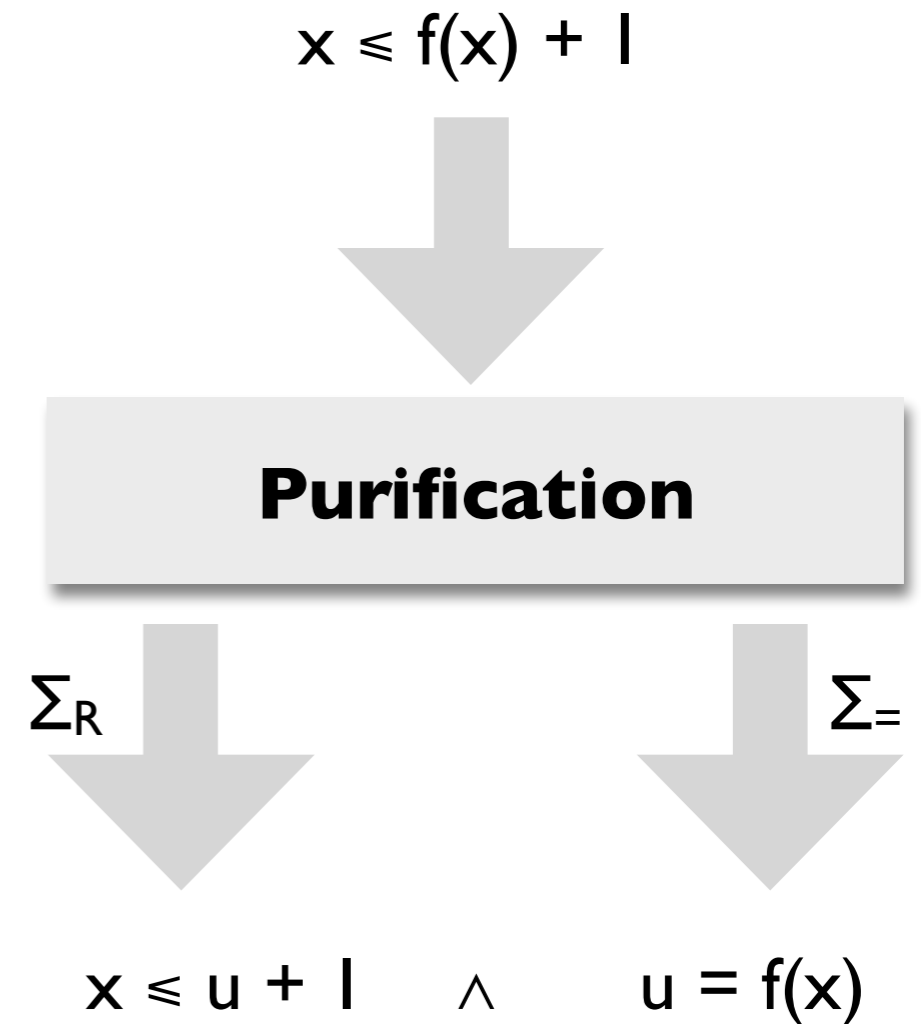


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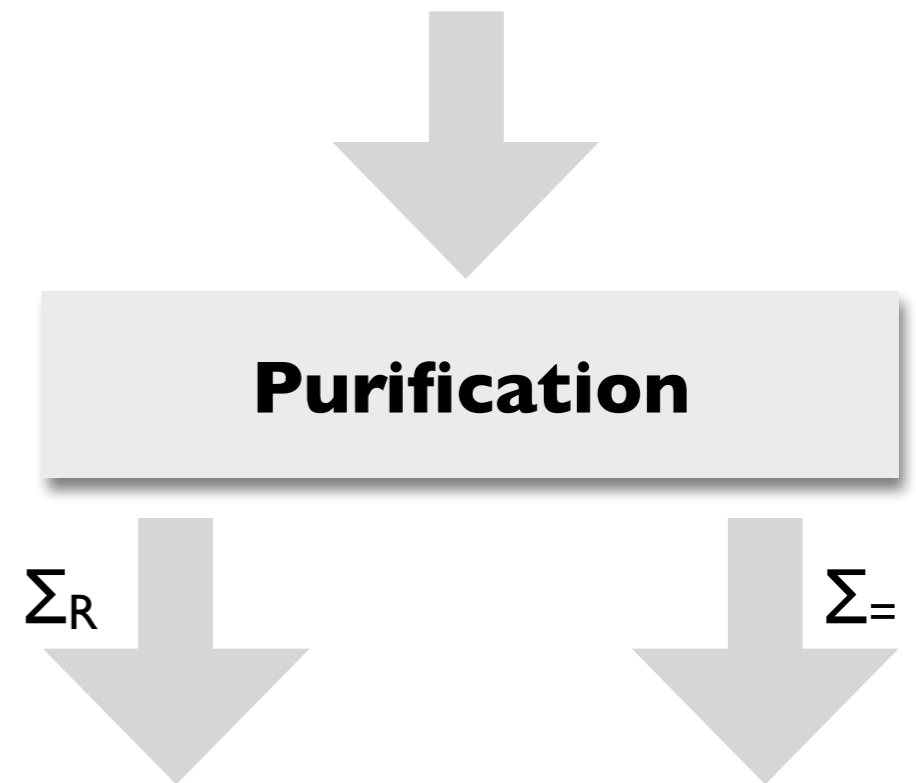
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$$f(x + g(y)) \leq g(a) + f(b)$$





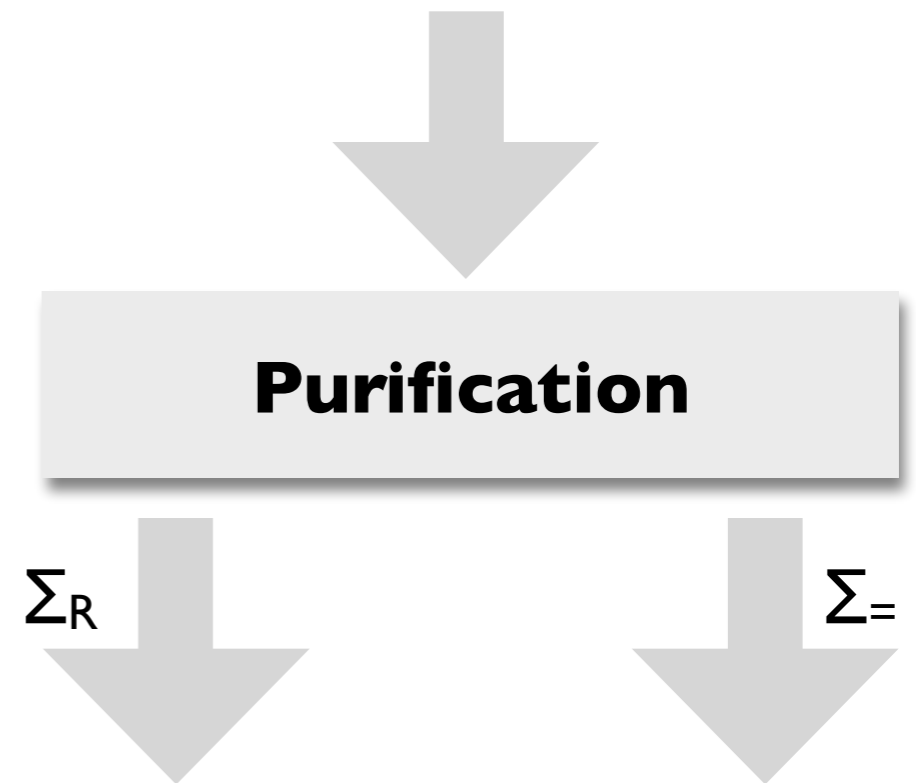
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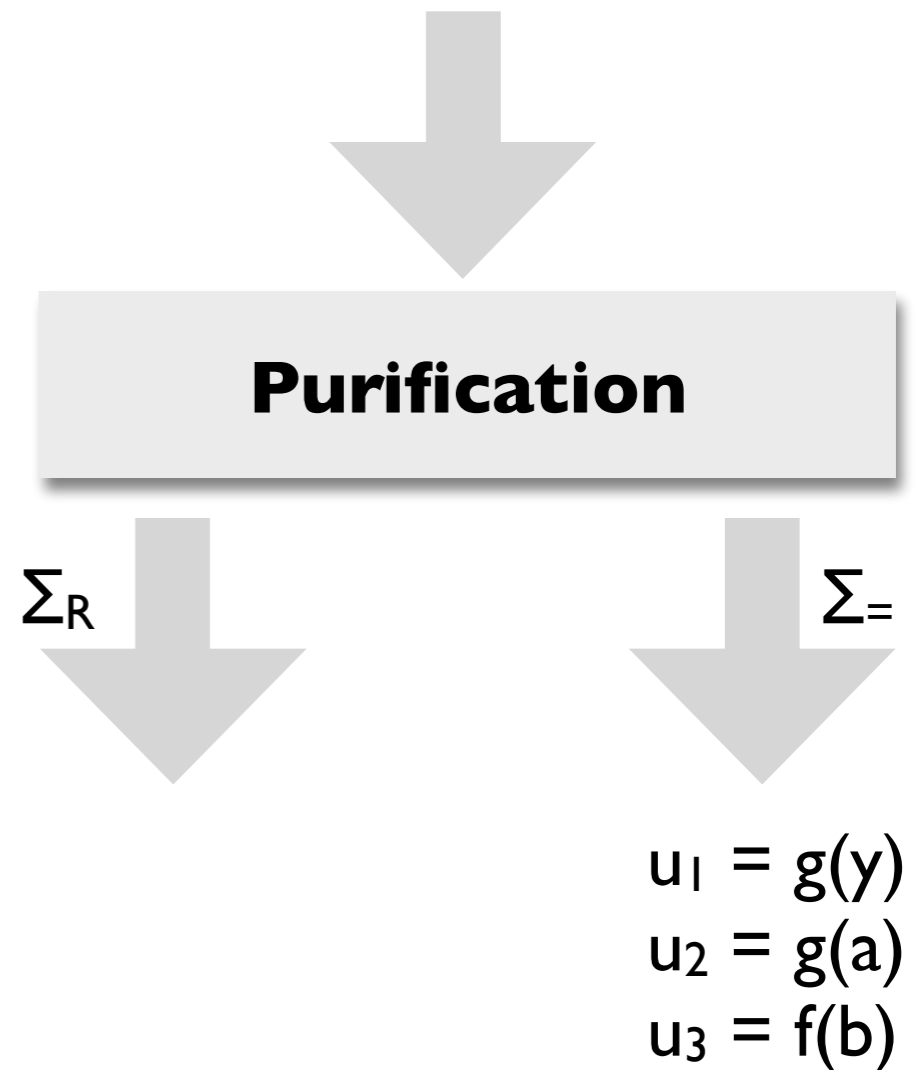
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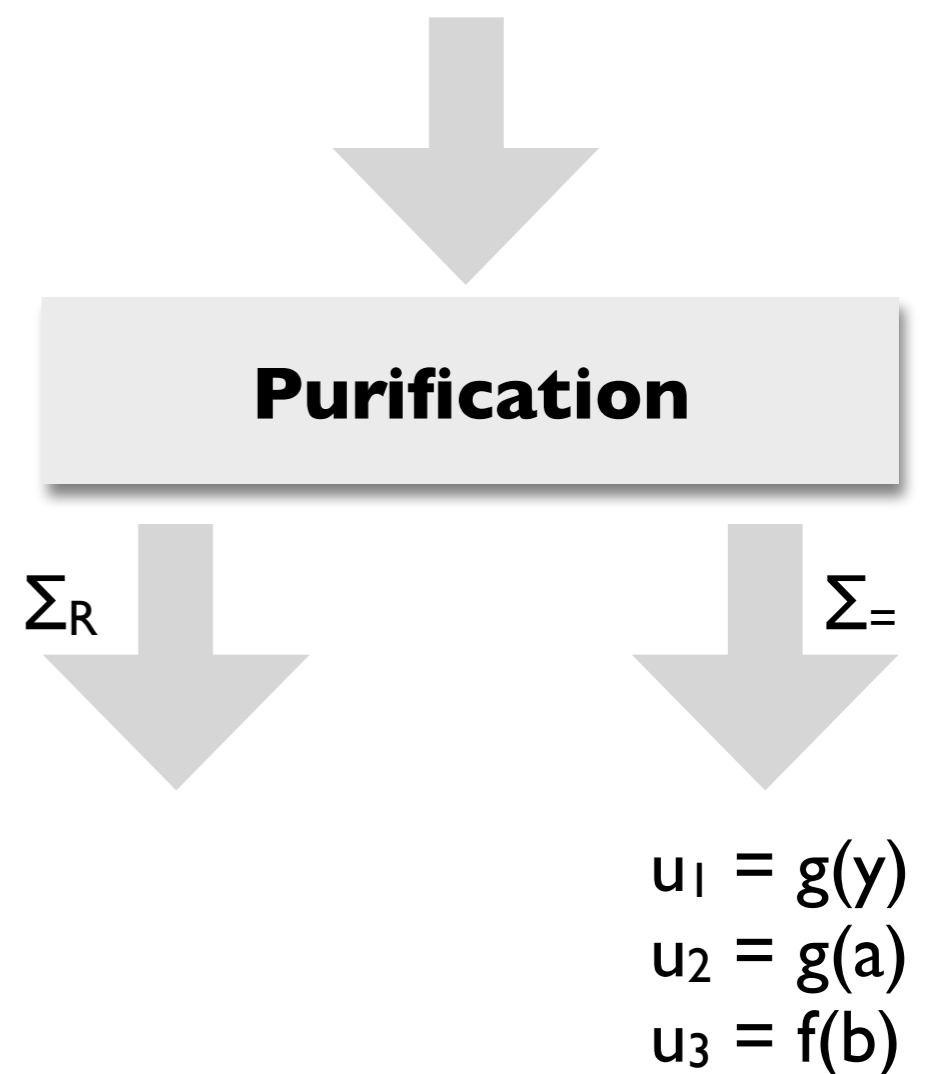
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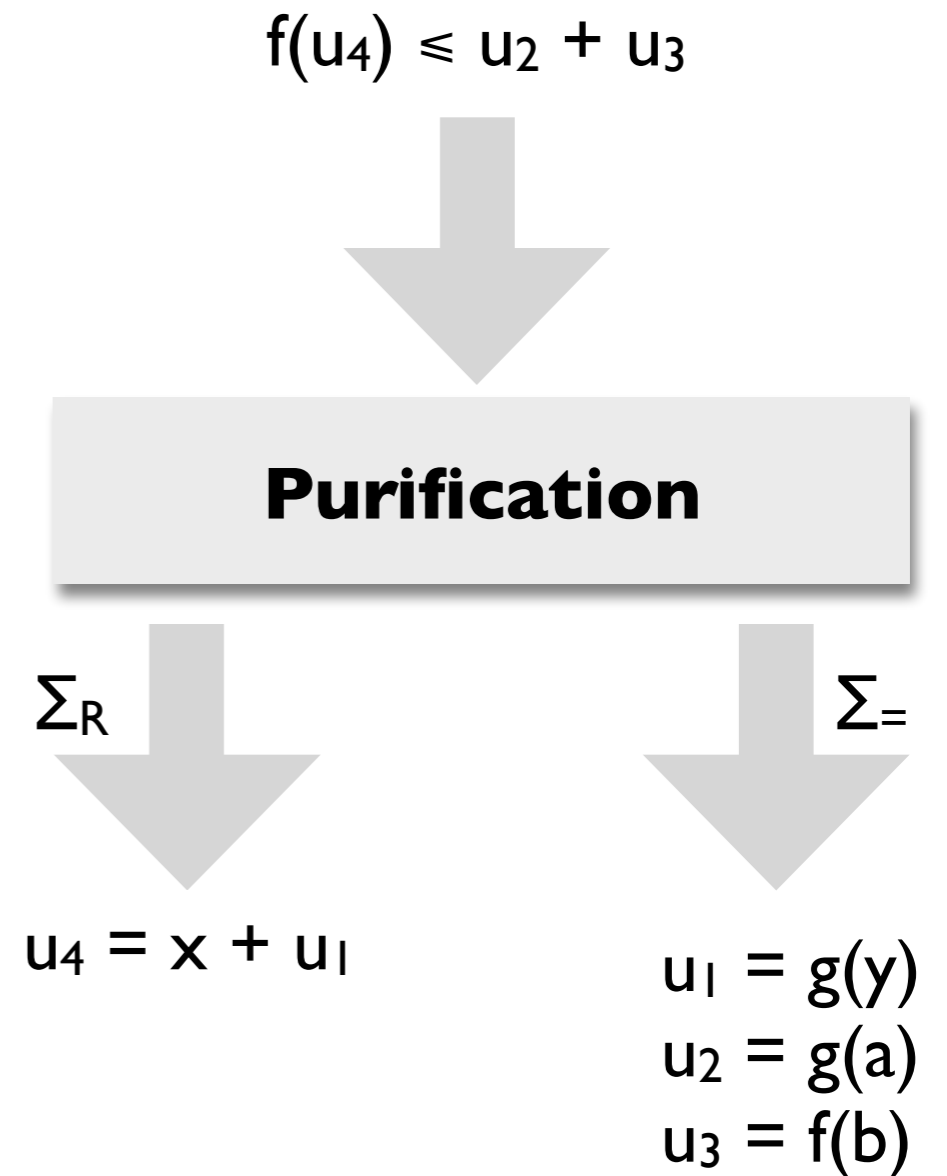


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$$f(u_4) \leq u_2 + u_3$$

**Purification**

$\Sigma_R$

$$u_4 = x + u_1$$

$\Sigma_2$

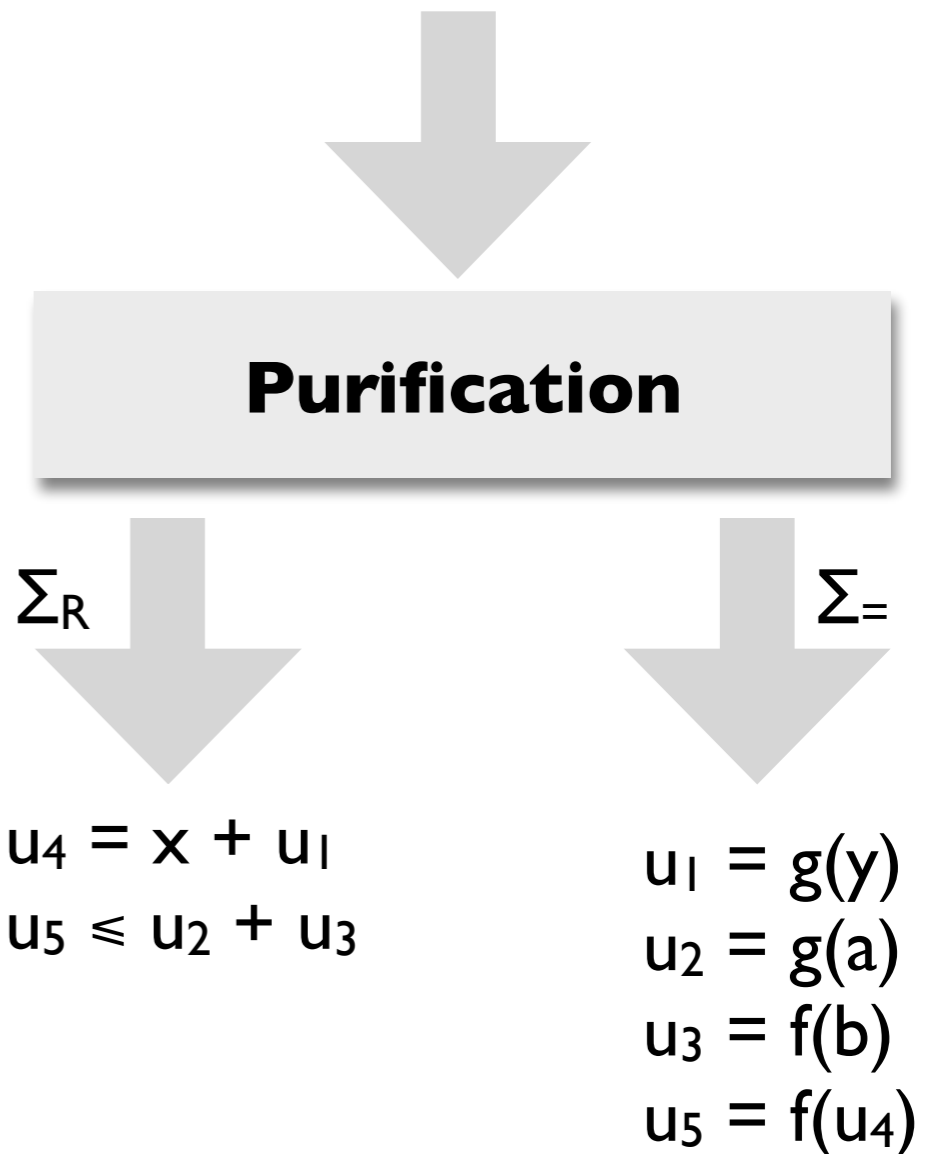
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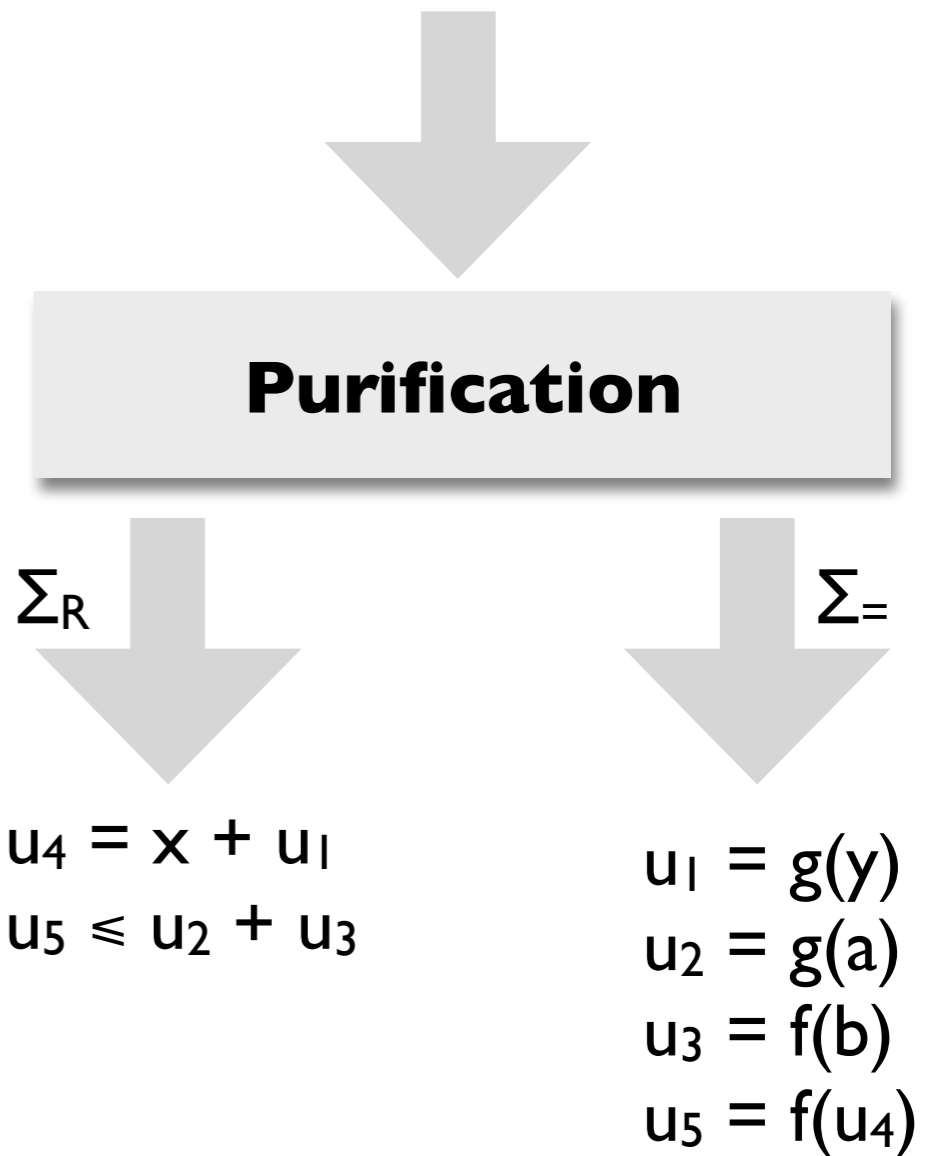
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# Shared and local variables

A variable is *shared* if it occurs in both  $F_1$  and  $F_2$ , and it is *local* otherwise.



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Shared:  $\{u_1, u_2, u_3, u_4, u_5\}$

Local:  $\{x, y, a, b\}$

**Purification**

$\Sigma_R$

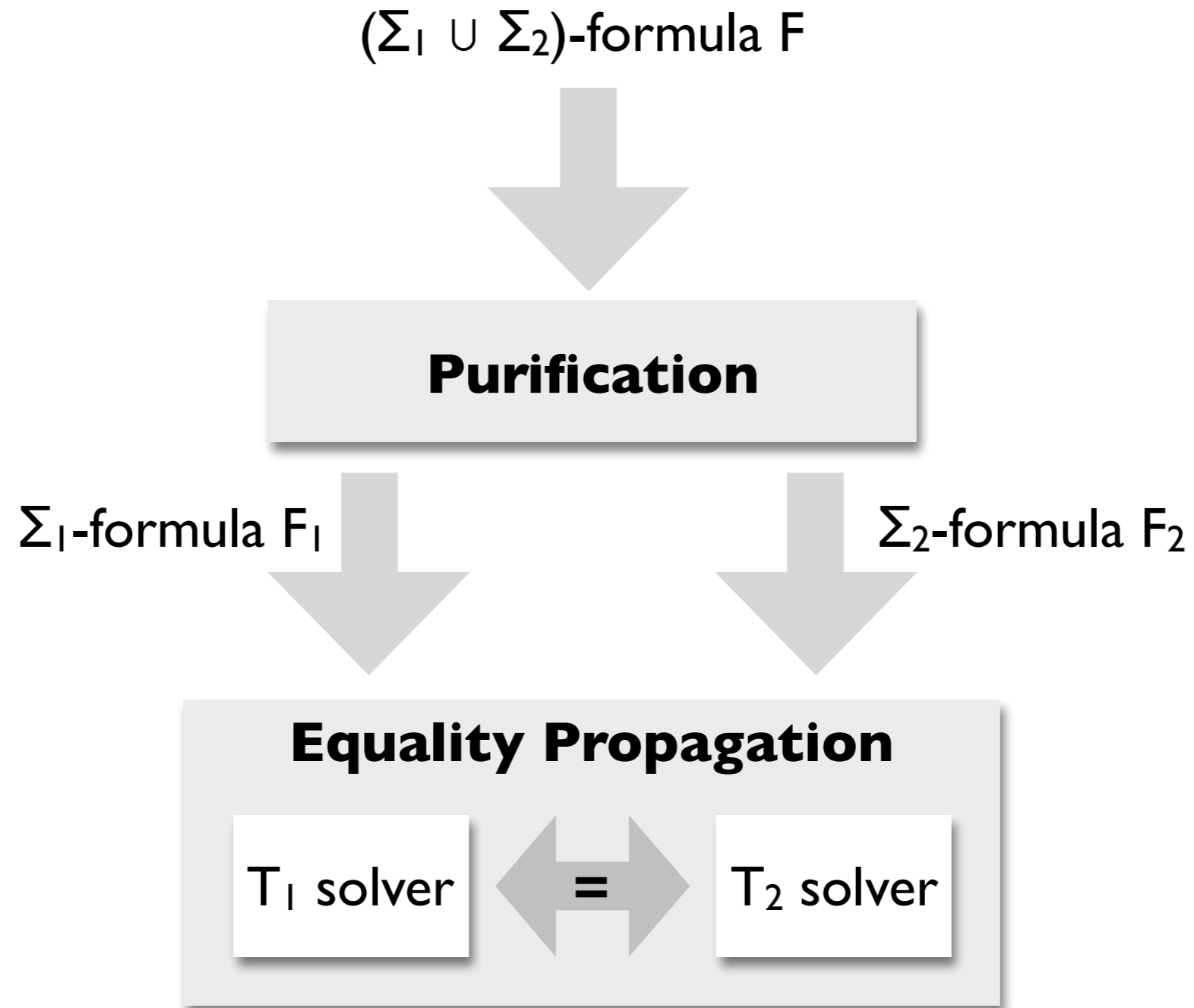
$$\begin{aligned}u_4 &= x + u_1 \\ u_5 &\leq u_2 + u_3\end{aligned}$$

$\Sigma_=\$

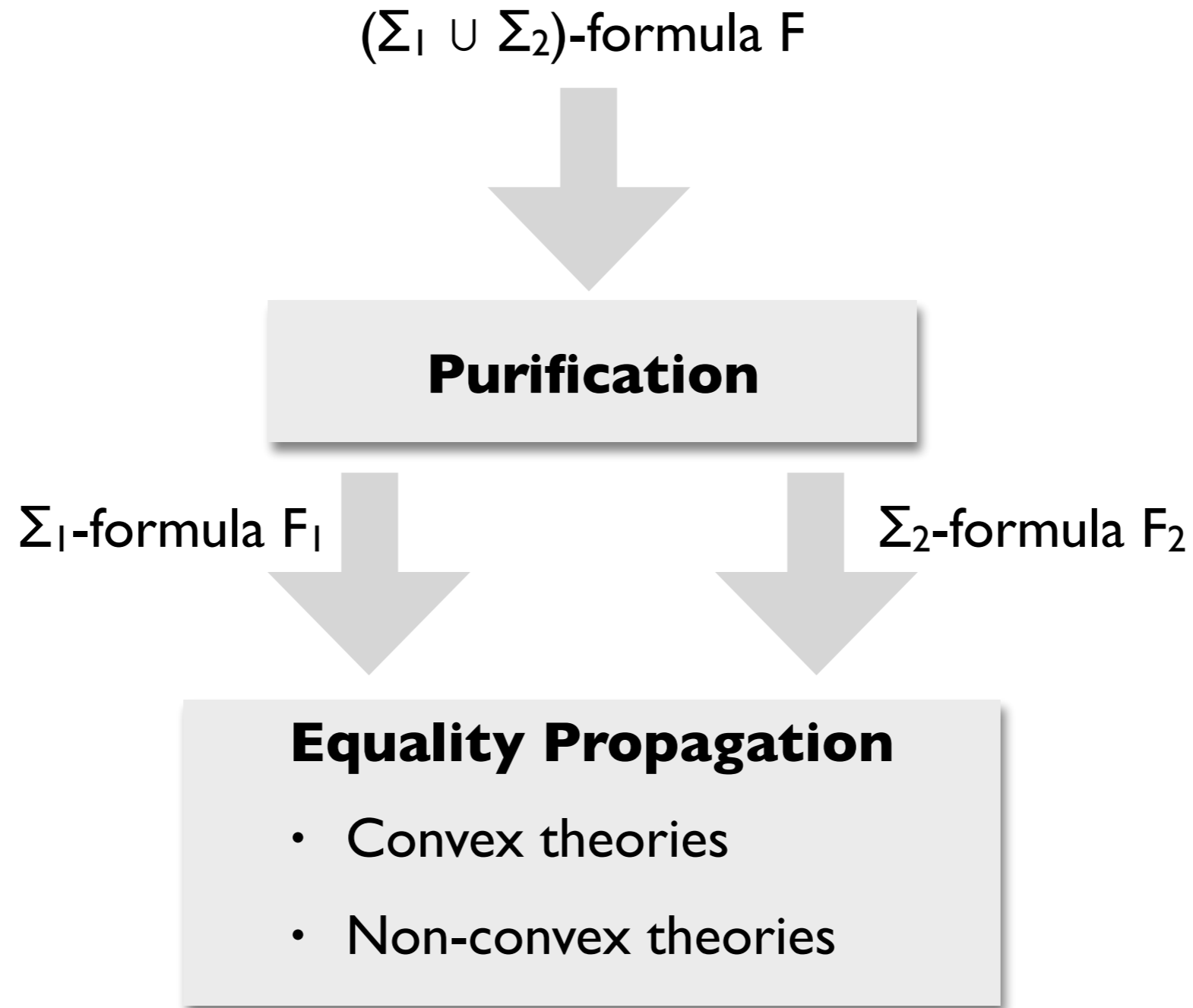
$$\begin{aligned}u_1 &= g(y) \\ u_2 &= g(a) \\ u_3 &= f(b) \\ u_5 &= f(u_4)\end{aligned}$$



# Overview of Nelson-Oppen



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# Convex theories

A theory  $T$  is *convex* if for every conjunctive formula  $F$ , the following holds:

If  $F \Rightarrow x_1 = y_1 \vee \dots \vee x_n = y_n$  for a finite  $n > 1$ ,  
then  $F \Rightarrow x_i = y_i$  for some  $i \in \{1, \dots, n\}$ .

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If  $F$  implies a disjunction of equalities, then it also implies at least one of the equalities.

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Linear arithmetic over integers ( $T_Z$ )

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$1 \leq x \wedge x \leq 2 \Rightarrow x = 1 \vee x = 2$  but

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# Examples of (non-)convex theories

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Equality and uninterpreted functions ( $T_=$ )



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Linear real arithmetic ( $T_R$ )



# Nelson-Oppen method for convex theories

NELSON-OPPEN-CONVEX(F)



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Is F satisfiable if both  $F_1$  and  $F_2$  are satisfiable? **No:**

$$x = 1 \wedge 2 = x + y \wedge f(x) \neq f(y)$$

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# Nelson-Oppen for convex theories: example

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$$f(f(x) - f(y)) \neq f(z) \wedge x \leq y \\ \wedge y + z \leq x \wedge 0 \leq z$$

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# Nelson-Oppen for convex theories: example

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1. Purify F into  $F_1 \wedge F_2$
2. Run  $T_1$ -solver on  $F_1$  and  $T_2$ -solver on  $F_2$  and return UNSAT if either is unsatisfiable
3. If there are shared variables  $x$  and  $y$  such that  $F_i \Rightarrow x = y$  but  $F_j$  does not
  1.  $F_j \leftarrow F_j \wedge x = y$
  2. Go to step 2.
4. Return SAT

$$f(f(x) - f(y)) \neq f(z) \wedge x \leq y \\ \wedge y + z \leq x \wedge 0 \leq z$$

$x \leq y \wedge$ $y + z \leq x \wedge$ $0 \leq z \wedge$ $w = u - v$	$f(w) \neq f(z) \wedge$ $u = f(x) \wedge$ $v = f(y)$
$x = y \wedge$ $u = v \wedge$ $w = z \wedge$	$x = y \wedge$ $u = v \wedge$ $w = z \wedge$
$\Sigma_R$	$\Sigma_+$

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<del style="color: red; font-size: 2em; vertical-align: middle;">X</del> $1 \leq x \wedge x \leq 2 \wedge$ $f(x) \neq f(1) \wedge f(x) \neq f(2)$	
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If  $T$  is non-convex, it may imply a disjunction of equalities without implying any single equality.

We have to propagate disjunctions as well as individual equalities. Why is this possible? How do we propagate disjunctions to theory solvers which only reason about conjunctions?

# Nelson-Oppen method for non-convex theories

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  2. Go to step 2.
4. If  $F_i \Rightarrow x_1 = y_1 \vee \dots \vee x_n = y_n$  but  $F_j$  does not, then if NELSON-OPPEN( $F_i \wedge F_j \wedge x_k = y_k$ ) outputs SAT for any  $k$ , return SAT. Otherwise, return UNSAT.
5. Return SAT

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5. Return SAT

Propagate a *minimal* disjunction.

# Nelson-Oppen for non-convex theories: example

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$(x=z_1 \vee x=z_2) \wedge$ $\Sigma_Z$	$\Sigma_1$

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# Complexity of Nelson-Oppen

If decision procedures for convex theories  $T_1$  and  $T_2$  have polynomial time complexity, so does their Nelson-Oppen combination.

If decision procedures for convex theories  $T_1$  and  $T_2$  have NP time complexity, so does their Nelson-Oppen combination.

# Summary

## Today

- Sound and complete procedure for a combination of restricted theories
- Stably infinite, conjunctive, quantifier-free, signatures disjoint except for =

## Next lecture

- Deciding satisfiability of arbitrary boolean combinations of quantifier-free first-order formulas