

Computer-Aided Reasoning for Software

A Modern SAT Solver

courses.cs.washington.edu/courses/cse507/14au/

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Today

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Last lecture

- The DPLL algorithm for deciding satisfiability of propositional formulas.

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- The CDCL algorithm at the core of modern SAT solvers:
 - 3 important extensions of DPLL
 - Engineering matters

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- The CDCL algorithm at the core of modern SAT solvers:
 - 3 important extensions of DPLL
 - Engineering matters

Announcements

- **Homework 1** is out. Due on Oct 14. Start early.
- Form your project team by Oct 09.

A brief review of DPLL

```
// Returns true if the CNF formula F is  
// satisfiable; otherwise returns false.
```

```
DPLL(F)
```

```
  G ← BCP(F)
```

```
  if G =  $\top$  then return true
```

```
  if G =  $\perp$  then return false
```

```
  p ← choose(vars(F'))
```

```
  return DPLL(G{p  $\mapsto$   $\top$ }) = "SAT" ||
```

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    DPLL(G{p  $\mapsto$   $\perp$ })
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    DPLL(G{p  $\mapsto$   $\perp$ })
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Boolean constraint propagation applies unit resolution until fixed point:

$$\frac{\text{lit} \quad \text{clause}[\neg \text{lit}]}{\text{clause}[\perp]}$$

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Okay for randomly generated CNFs, but not on practical ones. Why?

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No learning: throws away all the work performed to conclude that the current partial assignment (PA) is bad. Revisits bad PAs that lead to conflict due to the same root cause.

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Chronological backtracking:

backtracks one level, even if it can be deduced that the current PA became doomed at a lower level.

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No learning: throws away all the work performed to conclude that the current partial assignment (PA) is bad. Revisits bad PAs that lead to conflict due to the same root cause.

Naive decisions: picks an arbitrary variable and assumes it is true. Fails to consider the state of the search to make heuristically better decisions.

Chronological backtracking: backtracks one level, even if it can be deduced that the current PA became doomed at a lower level.

Conflict-Driven Clause Learning (CDCL)

```
CDCL(F)
A ← {}
if BCP(F,A) = conflict then return false
level ← 0
while hasUnassignedVars(F)
  level ← level + 1
  A ← A ∪ { DECIDE(F,A) }
  while BCP(F,A) = conflict
    ⟨b, c⟩ ← ANALYZECONFLICT()
    F ← F ∪ {c}
    if b < 0 then return false
    else BACKTRACK(F,A, b)
       level ← b
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Learning: F augmented with a conflict clause that summarizes the root cause of the conflict.

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Non-chronological backtracking: backtracks b levels, based on the cause of the conflict.

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Decision heuristics choose the next literal to add to the current partial assignment based on the state of the search.

Learning: F augmented with a conflict clause that summarizes the root cause of the conflict.

Non-chronological backtracking: backtracks b levels, based on the cause of the conflict.

CDCL by example

CDCL(F)

$A \leftarrow \{\}$

if **BCP**(F,A) = *conflict* then return *false*

level $\leftarrow 0$

while hasUnassignedVars(F)

level \leftarrow level + 1

$A \leftarrow A \cup \{ \text{DECIDE}(F,A) \}$

while **BCP**(F,A) = *conflict*

$\langle b, c \rangle \leftarrow \text{ANALYZECONFLICT}()$

$F \leftarrow F \cup \{c\}$

if $b < 0$ then return *false*

else **BACKTRACK**(F,A, b)

level $\leftarrow b$

return *true*

$F = \{ c_1, c_2, c_3, c_4, c_5, c_6, \dots, c_9 \}$

$c_1 : \neg x_1 \vee x_2 \vee \neg x_4$

$c_2 : \neg x_1 \vee \neg x_2 \vee x_3$

$c_3 : \neg x_3 \vee \neg x_4$

$c_4 : x_4 \vee x_5 \vee x_6$

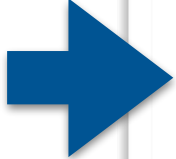
$c_5 : \neg x_5 \vee x_7$

$c_6 : \neg x_6 \vee x_7 \vee \neg x_8$

...

...

CDCL by example



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$c_2: \neg x_1 \vee \neg x_2 \vee x_3$

$c_3: \neg x_3 \vee \neg x_4$

$c_4: x_4 \vee x_5 \vee x_6$

$c_5: \neg x_5 \vee x_7$

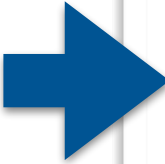
$c_6: \neg x_6 \vee x_7 \vee \neg x_8$

...

...

$x_i @ l$

CDCL by example

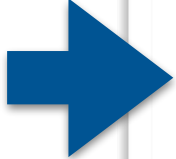


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    F ← F ∪ {c}
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    else BACKTRACK(F,A, b)
      level ← b
return true
```

```
F = { c1, c2, c3, c4, c5, c6, ..., c9 }
c1:  $\neg x_1 \vee x_2 \vee \neg x_4$ 
c2:  $\neg x_1 \vee \neg x_2 \vee x_3$ 
c3:  $\neg x_3 \vee \neg x_4$ 
c4:  $x_4 \vee x_5 \vee x_6$ 
c5:  $\neg x_5 \vee x_7$ 
c6:  $\neg x_6 \vee x_7 \vee \neg x_8$ 
...
...
```

$x_1 @ 1$

CDCL by example



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  A ← A ∪ { DECIDE(F,A) }
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$c_2: \neg x_1 \vee \neg x_2 \vee x_3$

$c_3: \neg x_3 \vee \neg x_4$

$c_4: x_4 \vee x_5 \vee x_6$

$c_5: \neg x_5 \vee x_7$

$c_6: \neg x_6 \vee x_7 \vee \neg x_8$

...

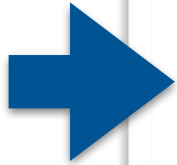
...

$x_8@2$

$x_1@1$

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$c_3 : \neg x_3 \vee \neg x_4$

$c_4 : x_4 \vee x_5 \vee x_6$

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...

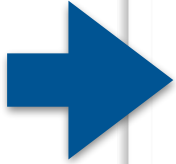
...

$x_8@2$

$x_1@1$

$\neg x_7@3$

CDCL by example



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$c_2 : \neg x_1 \vee \neg x_2 \vee x_3$

$c_3 : \neg x_3 \vee \neg x_4$

$c_4 : x_4 \vee x_5 \vee x_6$

$c_5 : \neg x_5 \vee x_7$

$c_6 : \neg x_6 \vee x_7 \vee \neg x_8$

...

...

$x_8@2$

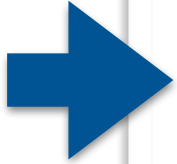
$x_1@1$

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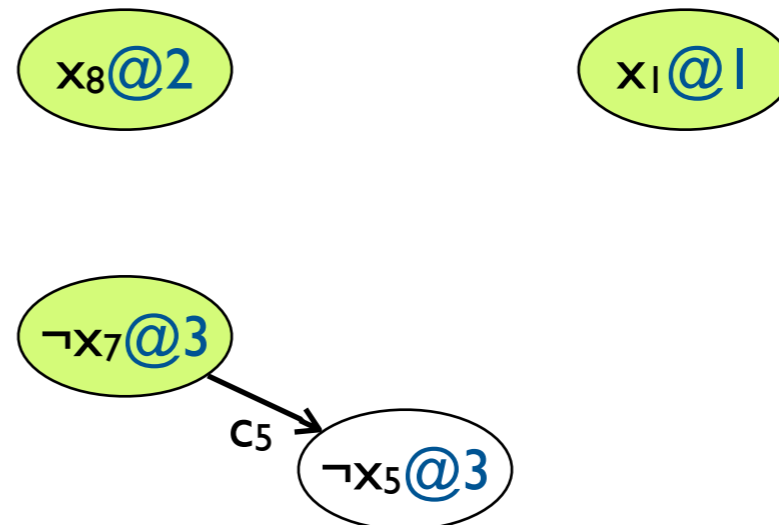
$c_4: x_4 \vee x_5 \vee x_6$

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 A ← A ∪ { **DECIDE**(F,A) }
 while **BCP**(F,A) = *conflict*
 ⟨b, c⟩ ← **ANALYZECONFLICT**()
 F ← F ∪ {c}
 if b < 0 then return *false*
 else **BACKTRACK**(F,A, b)
 level ← b
return *true*

F = { c₁, c₂, c₃, c₄, c₅, c₆, ..., c₉ }

c₁: $\neg x_1 \vee x_2 \vee \neg x_4$

c₂: $\neg x_1 \vee \neg x_2 \vee x_3$

c₃: $\neg x_3 \vee \neg x_4$

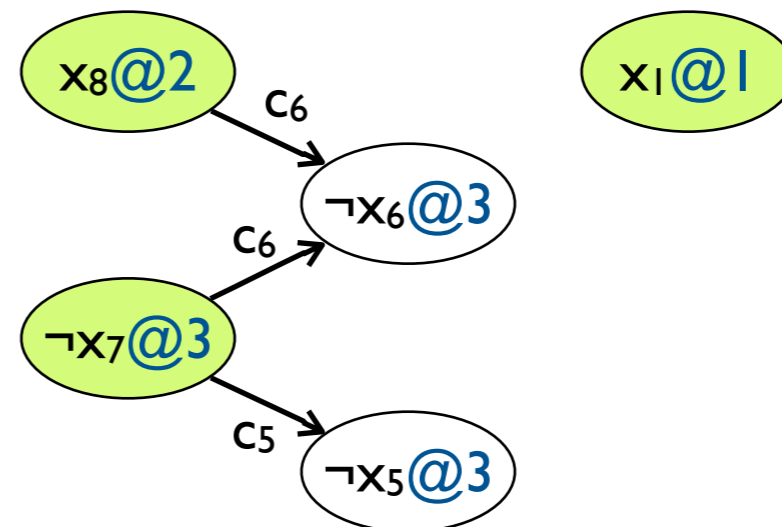
c₄: $x_4 \vee x_5 \vee x_6$

c₅: $\neg x_5 \vee x_7$

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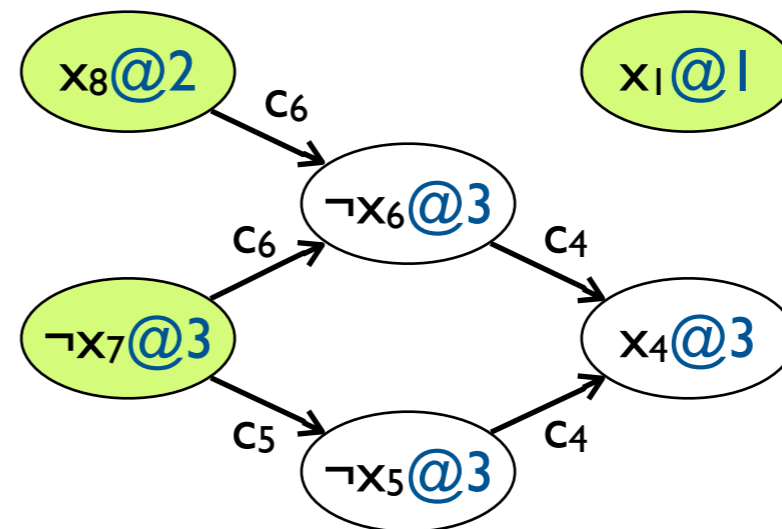
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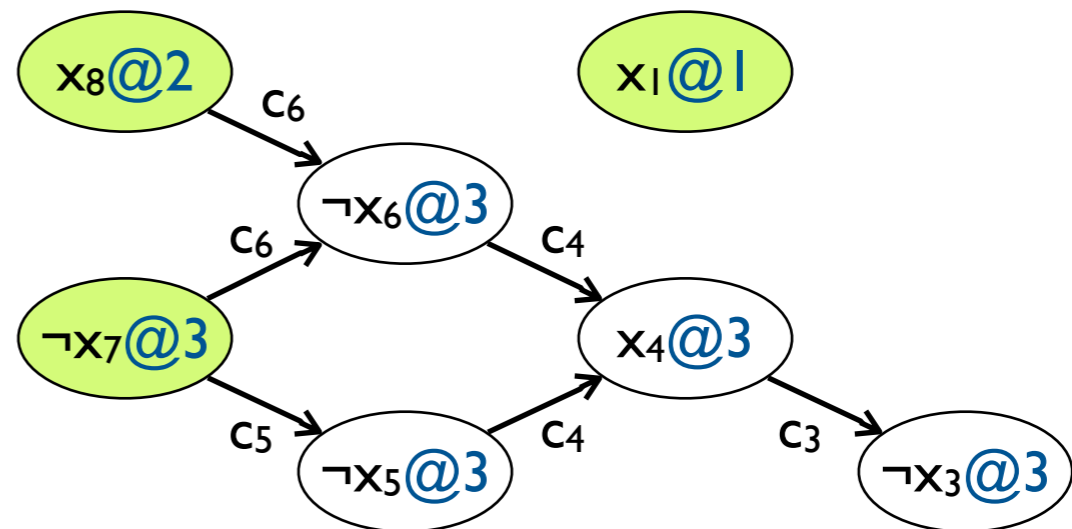
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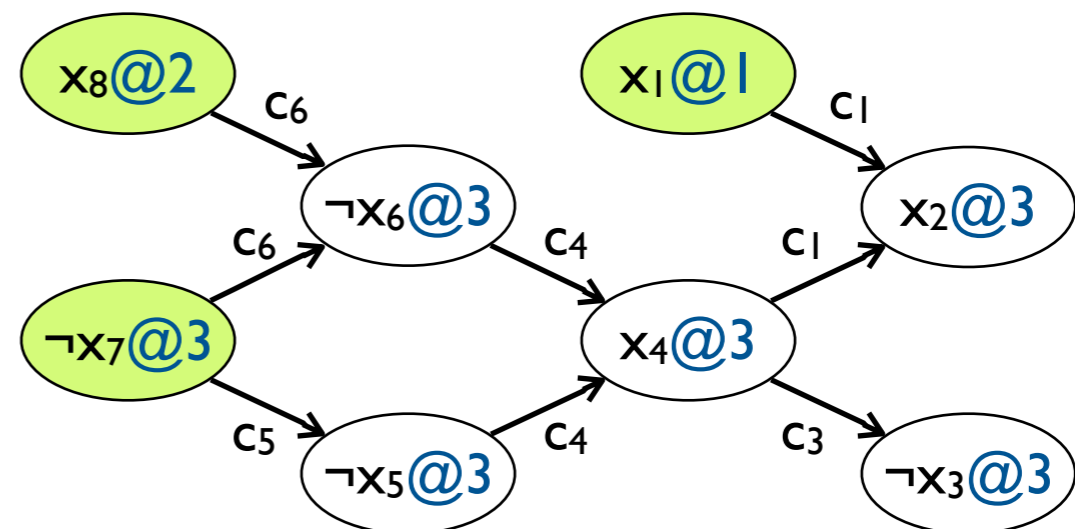
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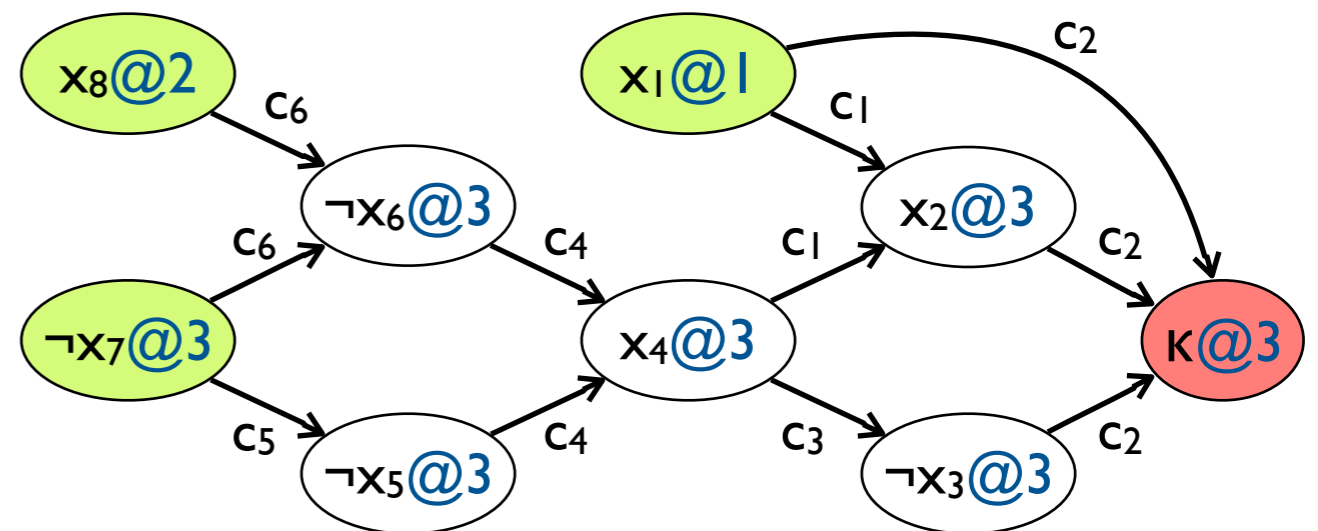
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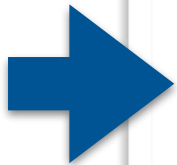
...



CDCL by example

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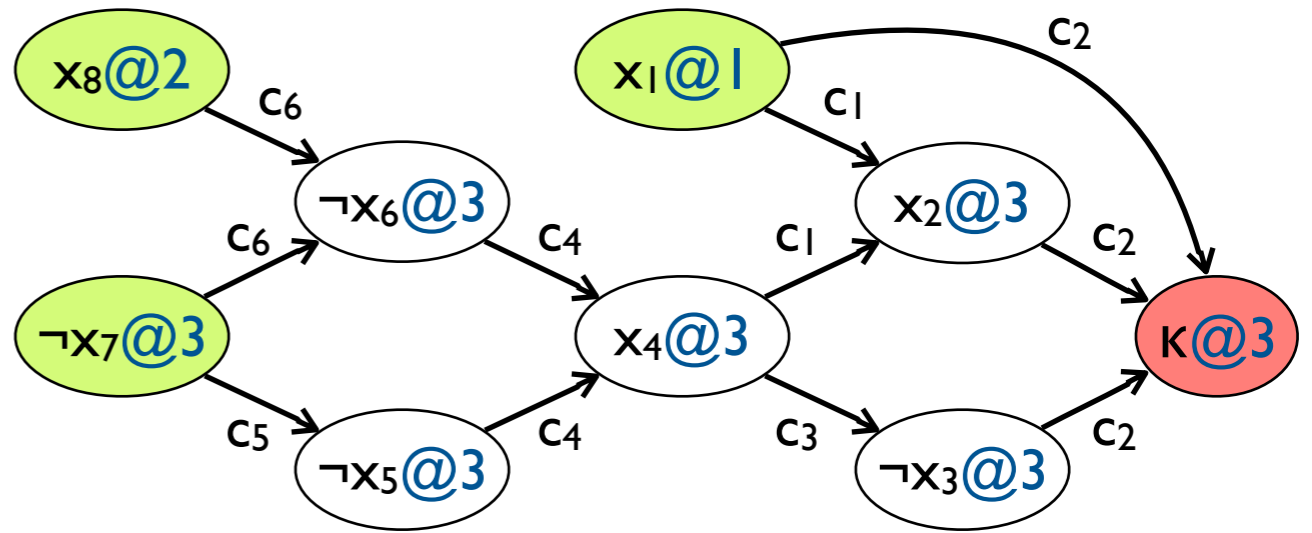
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⟨1, $\neg x_1 \vee \neg x_4$ ⟩

$F = \{ c_1, c_2, c_3, c_4, c_5, c_6, \dots, c_9 \}$

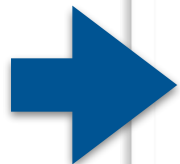
- $c_1 : \neg x_1 \vee x_2 \vee \neg x_4$
- $c_2 : \neg x_1 \vee \neg x_2 \vee x_3$
- $c_3 : \neg x_3 \vee \neg x_4$
- $c_4 : x_4 \vee x_5 \vee x_6$
- $c_5 : \neg x_5 \vee x_7$
- $c_6 : \neg x_6 \vee x_7 \vee \neg x_8$



CDCL by example

```

CDCL(F)
A ← {}
if BCP(F,A) = conflict then return false
level ← 0
while hasUnassignedVars(F)
  level ← level + 1
  A ← A ∪ { DECIDE(F,A) }
  while BCP(F,A) = conflict
    ⟨b, c⟩ ← ANALYZECONFLICT()
    F ← F ∪ {c}
    if b < 0 then return false
    else BACKTRACK(F,A, b)
      level ← b
return true
  
```



⟨1, $\neg x_1 \vee \neg x_4$ ⟩

$F = \{ c_1, c_2, c_3, c_4, c_5, c_6, \dots, c_9, c \}$

$c_1 : \neg x_1 \vee x_2 \vee \neg x_4$

$c_2 : \neg x_1 \vee \neg x_2 \vee x_3$

$c_3 : \neg x_3 \vee \neg x_4$

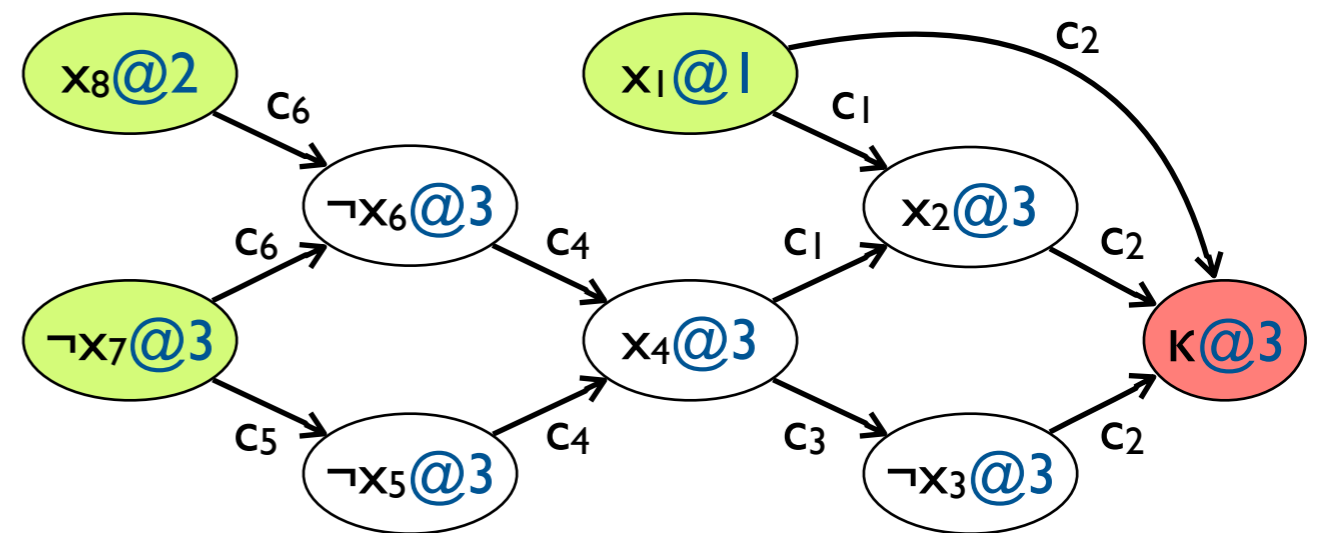
$c_4 : x_4 \vee x_5 \vee x_6$

$c_5 : \neg x_5 \vee x_7$

$c_6 : \neg x_6 \vee x_7 \vee \neg x_8$

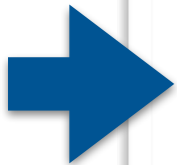
...

$c : \neg x_1 \vee \neg x_4$



CDCL by example

CDCL(F)
A $\leftarrow \{\}$
if **BCP**(F,A) = *conflict* then return *false*
level $\leftarrow 0$
while hasUnassignedVars(F)
 level \leftarrow level + 1
 A \leftarrow A \cup { **DECIDE**(F,A) }
 while **BCP**(F,A) = *conflict*
 <b, c> \leftarrow **ANALYZECONFLICT**()
 F \leftarrow F \cup {c}
 if b < 0 then return *false*
 else **BACKTRACK**(F,A, b)
 level \leftarrow b
return *true*



<1, $\neg x_1 \vee \neg x_4$ >

F = { c₁, c₂, c₃, c₄, c₅, c₆, ..., c₉, c }

c₁: $\neg x_1 \vee x_2 \vee \neg x_4$

c₂: $\neg x_1 \vee \neg x_2 \vee x_3$

c₃: $\neg x_3 \vee \neg x_4$

c₄: $x_4 \vee x_5 \vee x_6$

c₅: $\neg x_5 \vee x_7$

c₆: $\neg x_6 \vee x_7 \vee \neg x_8$

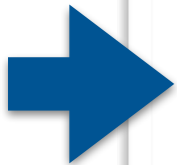
...

c : $\neg x_1 \vee \neg x_4$

x₁@1

CDCL by example

```
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```



⟨1, $\neg x_1 \vee \neg x_4$ ⟩

$F = \{ c_1, c_2, c_3, c_4, c_5, c_6, \dots, c_9, c \}$

$c_1 : \neg x_1 \vee x_2 \vee \neg x_4$

$c_2 : \neg x_1 \vee \neg x_2 \vee x_3$

$c_3 : \neg x_3 \vee \neg x_4$

$c_4 : x_4 \vee x_5 \vee x_6$

$c_5 : \neg x_5 \vee x_7$

$c_6 : \neg x_6 \vee x_7 \vee \neg x_8$

...

$c : \neg x_1 \vee \neg x_4$

Conflict clause
is unit after
backtracking!

$x_1@1$

CDCL in depth

```
CDCL(F)
A ← {}
if BCP(F,A) = conflict then return false
level ← 0
while hasUnassignedVars(F)
  level ← level + 1
  A ← A ∪ { DECIDE(F,A) }
  while BCP(F,A) = conflict
    ⟨b, c⟩ ← ANALYZECONFLICT()
    F ← F ∪ {c}
    if b < 0 then return false
    else BACKTRACK(F,A, b)
       level ← b
return true
```

The Plan

- Definitions
- **ANALYZECONFLICT**
- **DECIDE** heuristics
- Implementation

Basic definitions

Under a given partial assignment (PA), a variable may be

- **assigned** (true/false literal)
- **unassigned**.

$$F = \{ c_1, c_2, c_3, c_4, c_5, c_6, \dots, c_9 \}$$

$$c_1 : \neg x_1 \vee x_2 \vee \neg x_4$$

$$c_2 : \neg x_1 \vee \neg x_2 \vee x_3$$

...

$$c_8 : x_9 \vee \neg x_2$$

$$c_9 : x_9 \vee x_{10} \vee x_3$$

True literals highlighted in green; false literals highlighted in red.

Basic definitions

Under a given partial assignment (PA), a variable may be

- **assigned** (true/false literal)
- **unassigned**.

A clause may be

- **satisfied** (≥ 1 true literal)
- **unsatisfied** (all false literals)
- **unit** (one unassigned literal, rest false)
- **unresolved** (otherwise)

$$F = \{ c_1, c_2, c_3, c_4, c_5, c_6, \dots, c_9 \}$$

$$c_1 : \neg x_1 \vee x_2 \vee \neg x_4$$

$$c_2 : \neg x_1 \vee \neg x_2 \vee x_3$$

...

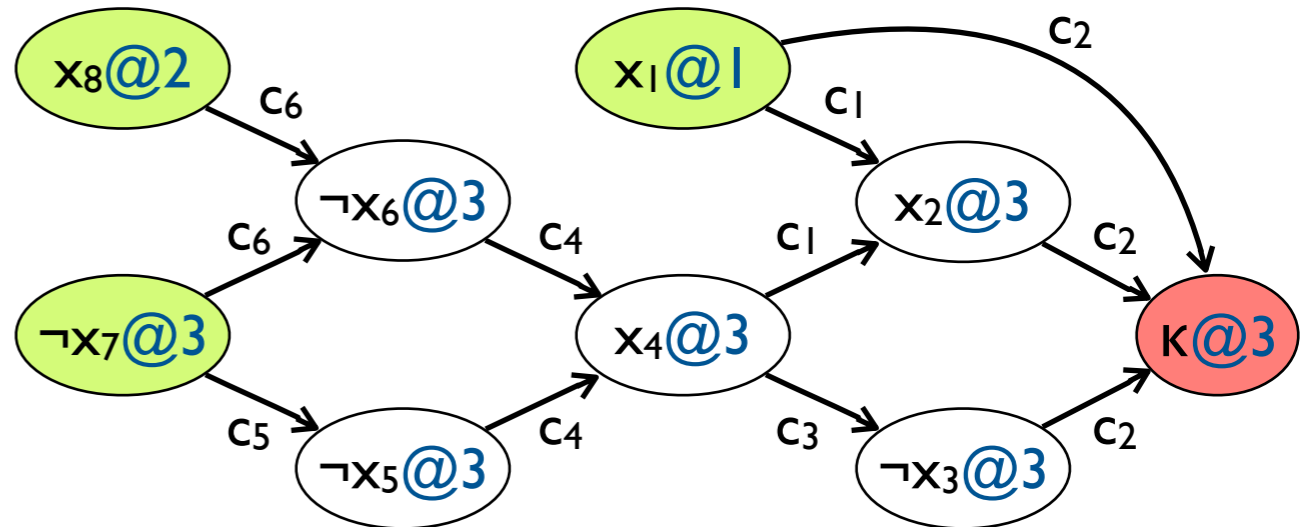
$$c_8 : x_9 \vee \neg x_2$$

$$c_9 : x_9 \vee x_{10} \vee x_3$$

True literals highlighted in green; false literals highlighted in red.

Implication graph

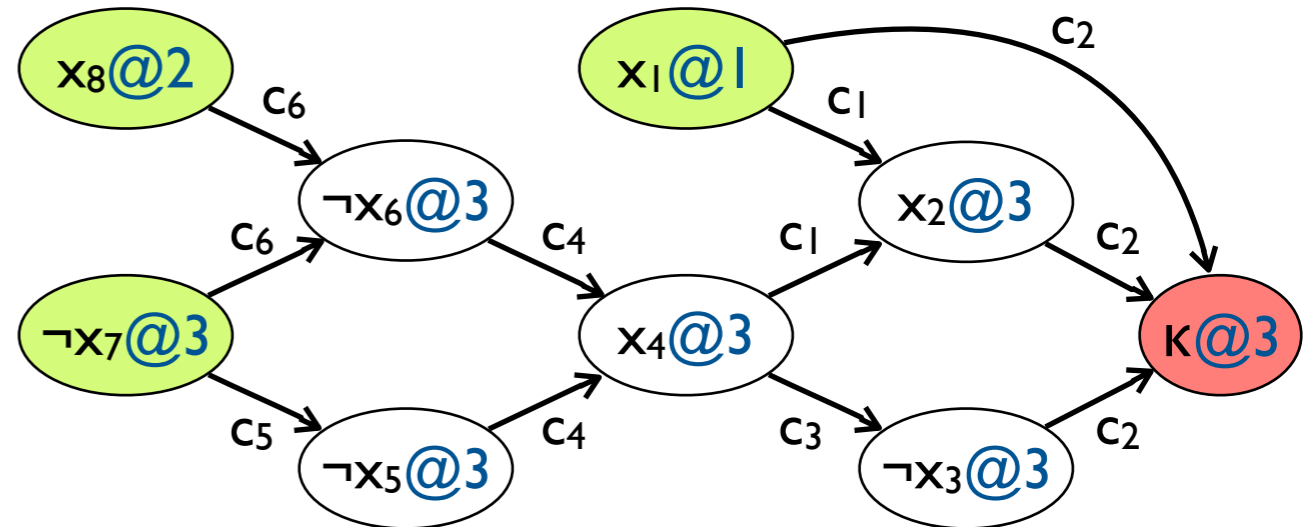
An implication graph $G = (V, E)$ is a DAG that records the history of decisions and the resulting deductions derived with BCP.



Implication graph

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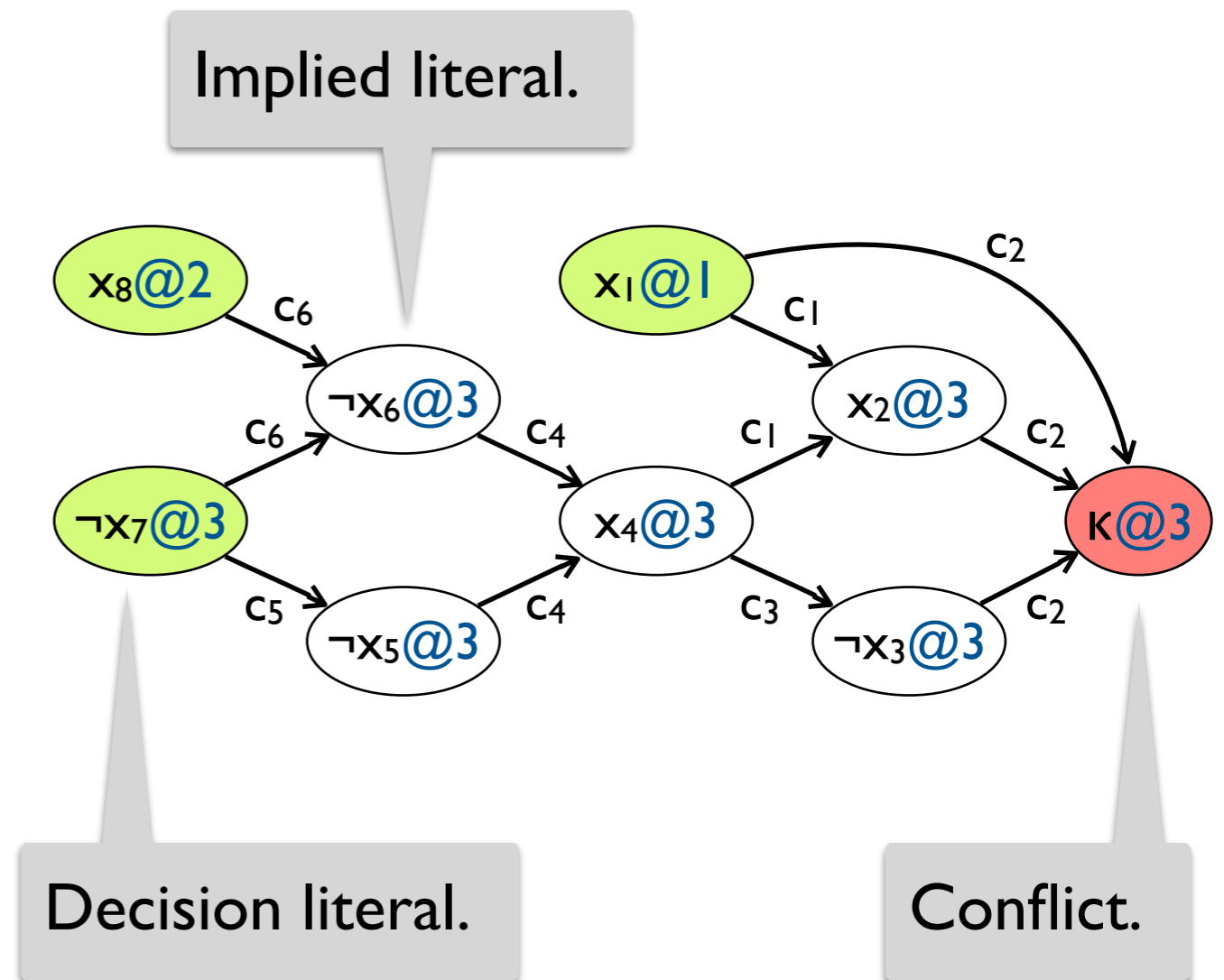
- $v \in V$ is a literal (or κ) and the decision level at which it entered the current PA.



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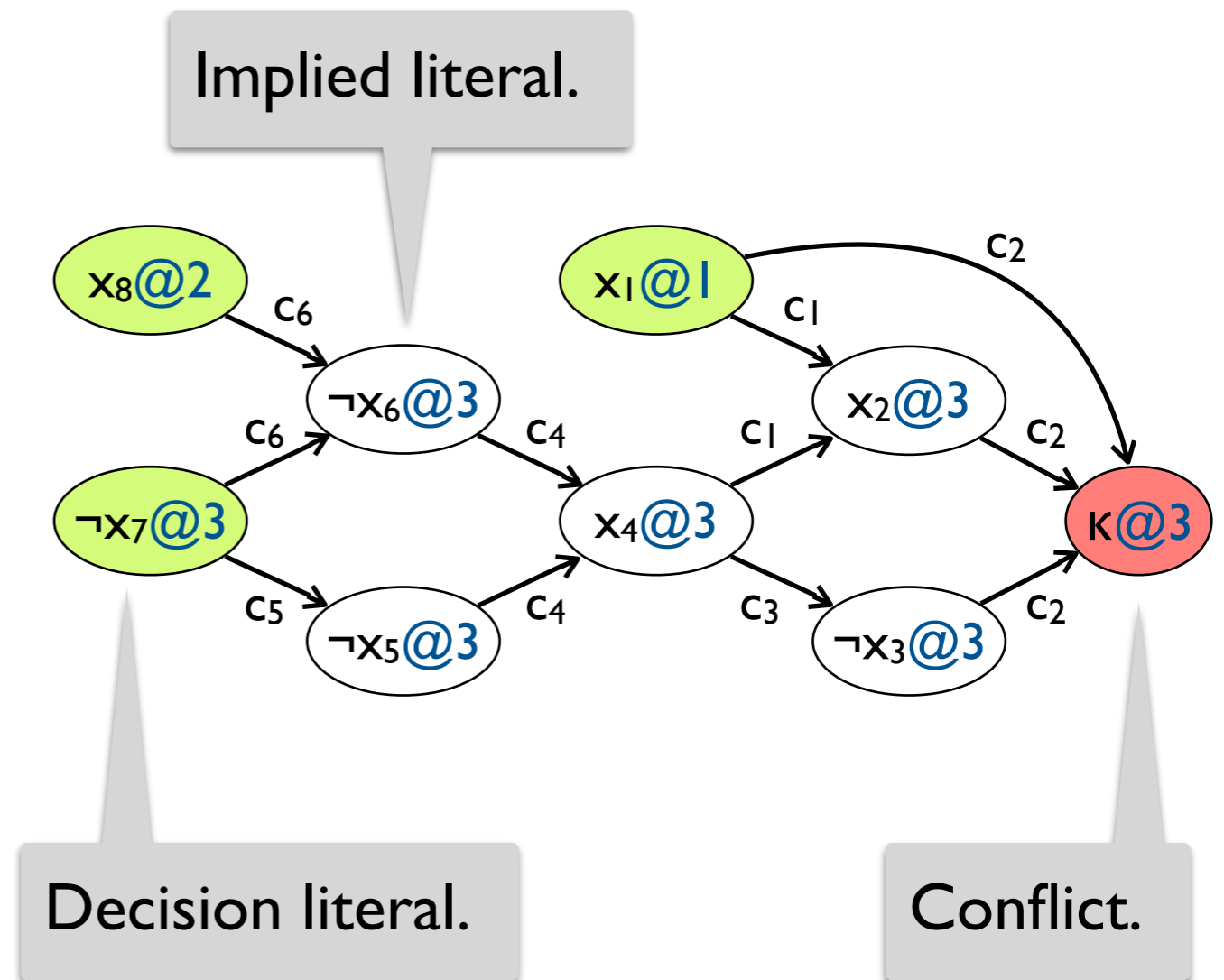
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- $v \in V$ is a literal (or κ) and the decision level at which it entered the current PA.
- $\langle v, w \rangle \in E$ iff $v \neq w$, $v \in \text{antecedent}(w)$, and $\langle v, w \rangle$ is labeled with $\text{antecedent}(w)$

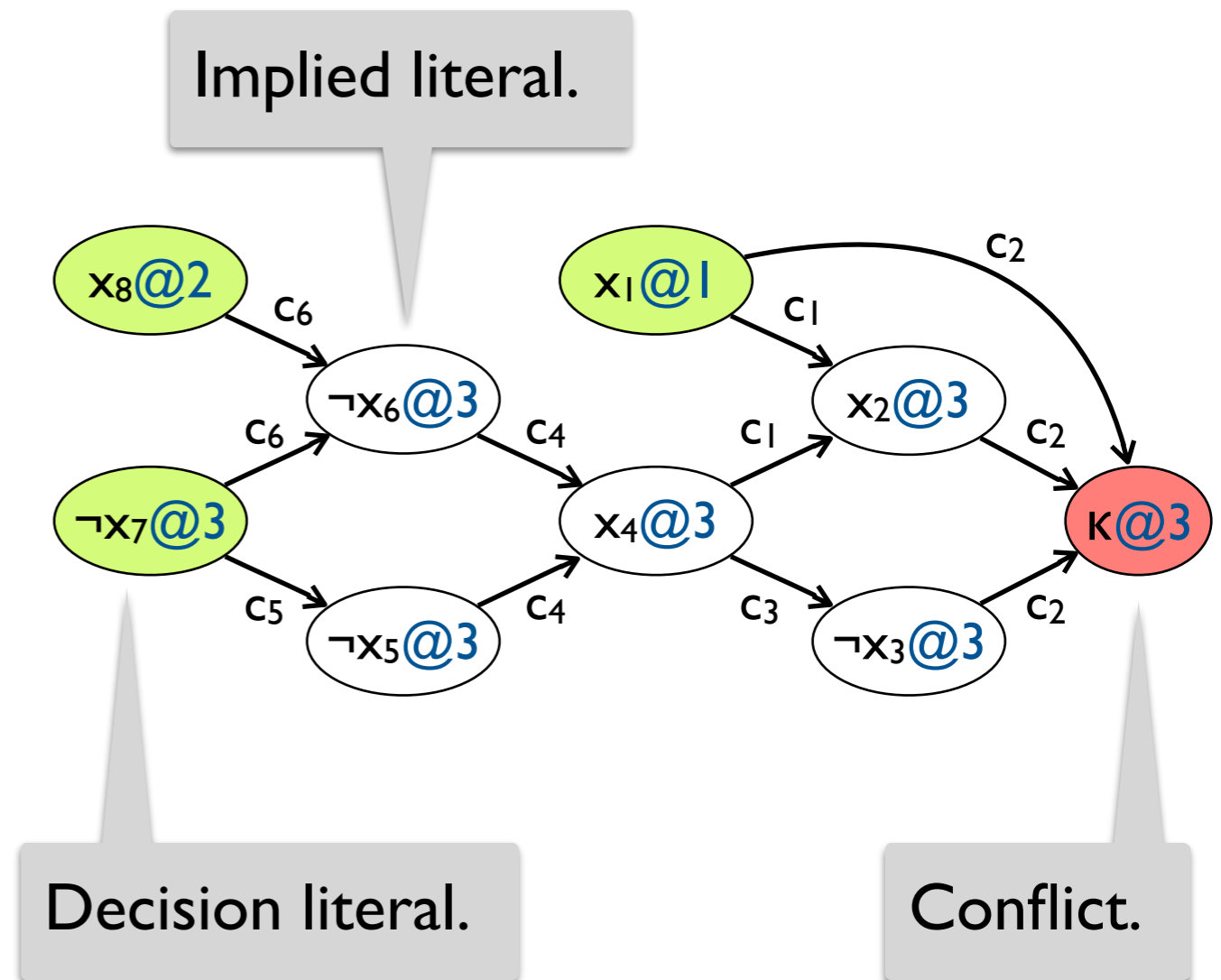


Implication graph

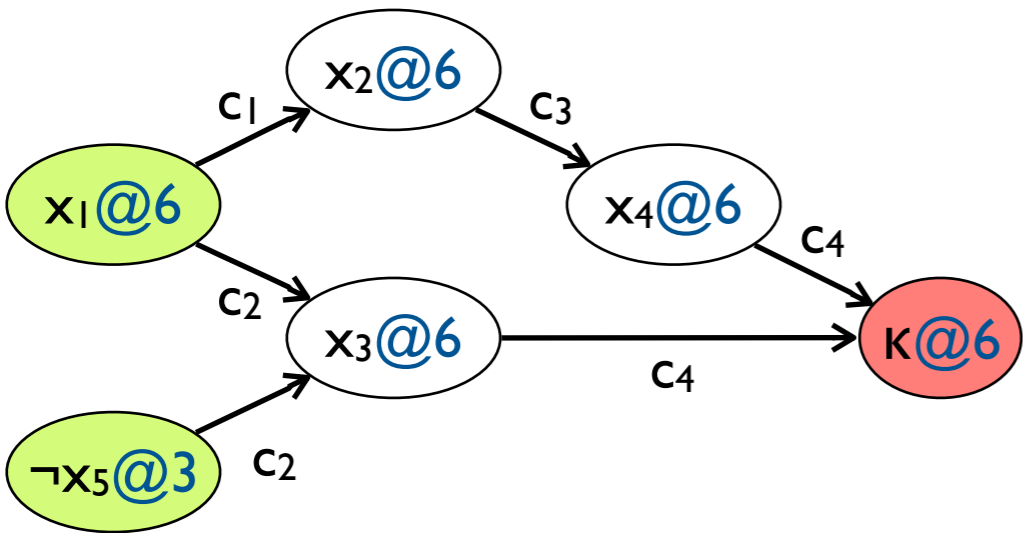
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- $\langle v, w \rangle \in E$ iff $v \neq w$, $v \in \text{antecedent}(w)$, and $\langle v, w \rangle$ is labeled with $\text{antecedent}(w)$

A unit clause c is an antecedent of its sole unassigned literal.



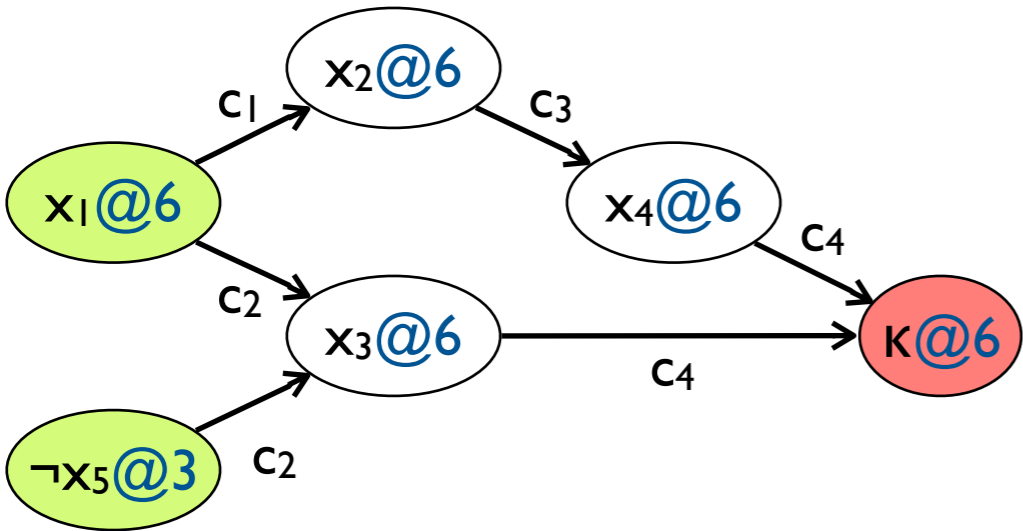
Implication graph: a quick exercise



What clauses gave rise to this implication graph?

- c_1 :
- c_2 :
- c_3 :
- c_4 :

Implication graph: a quick exercise



What clauses gave rise to this implication graph?

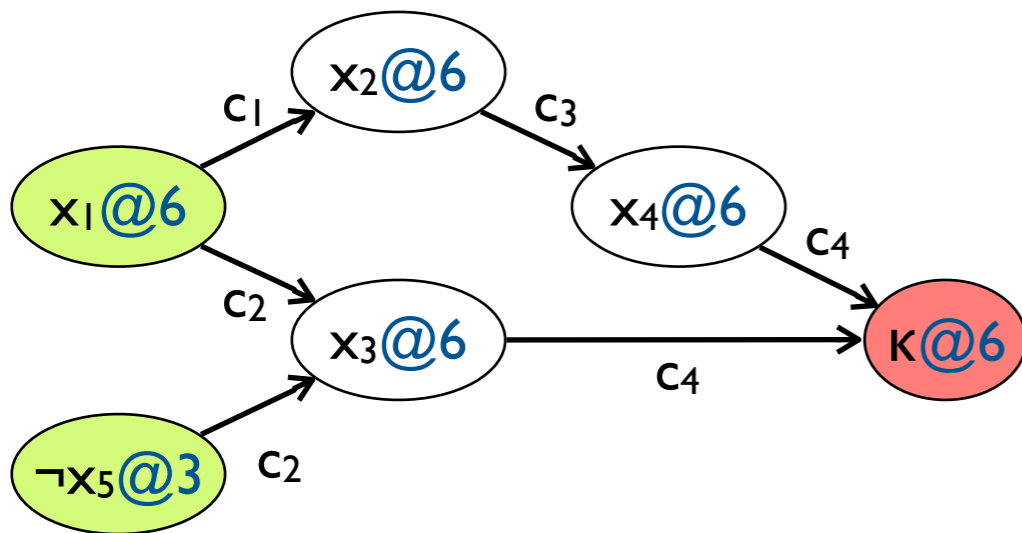
$c_1 : \neg x_1 \vee x_2$

$c_2 :$

$c_3 :$

$c_4 :$

Implication graph: a quick exercise



What clauses gave rise to this implication graph?

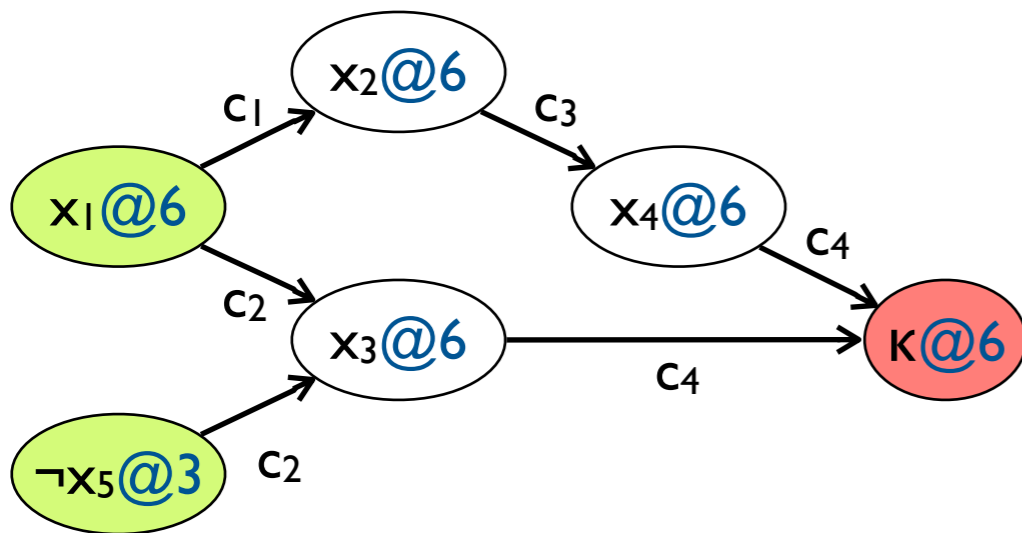
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$$c_2 : \neg x_1 \vee x_3 \vee x_5$$

$$c_3 :$$

$$c_4 :$$

Implication graph: a quick exercise



What clauses gave rise to this implication graph?

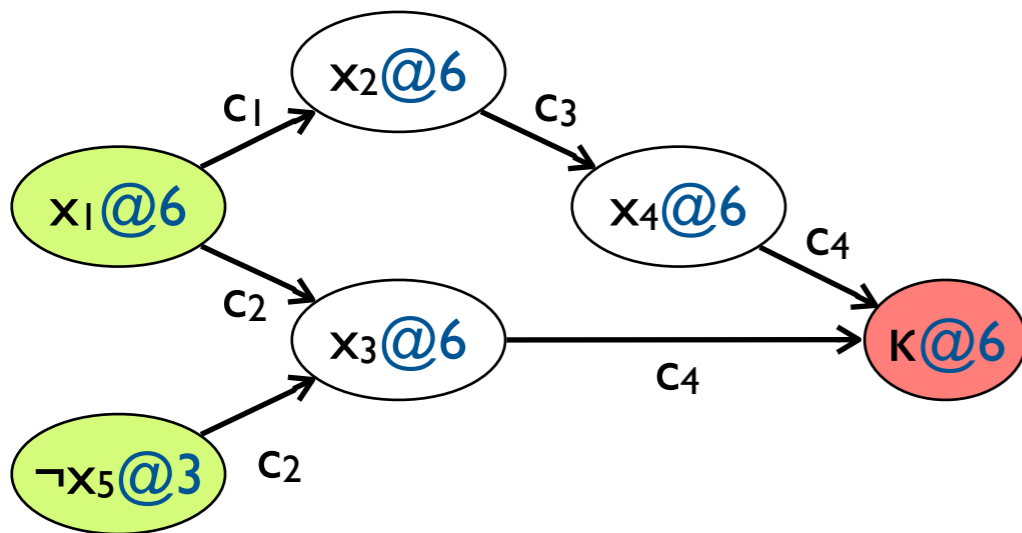
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$$c_4 :$$

Implication graph: a quick exercise



What clauses gave rise to this implication graph?

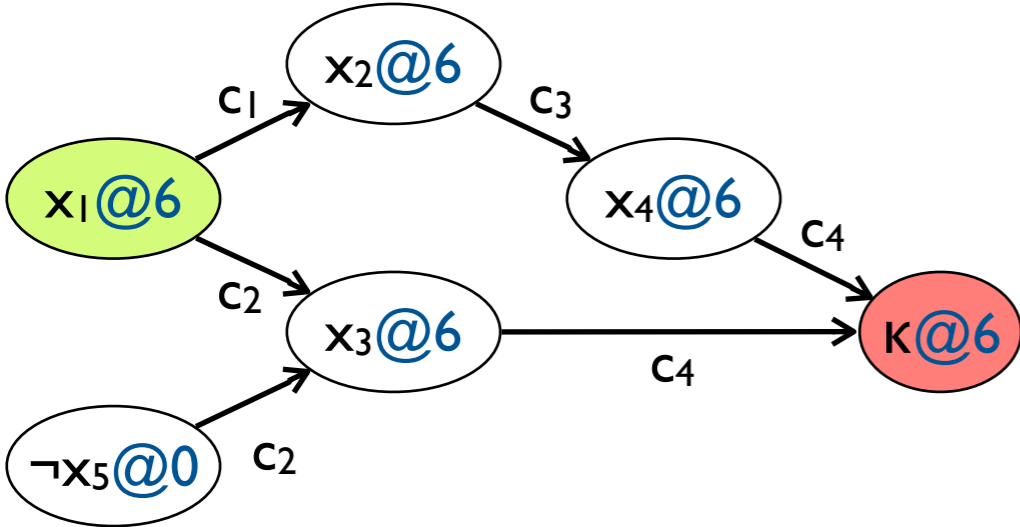
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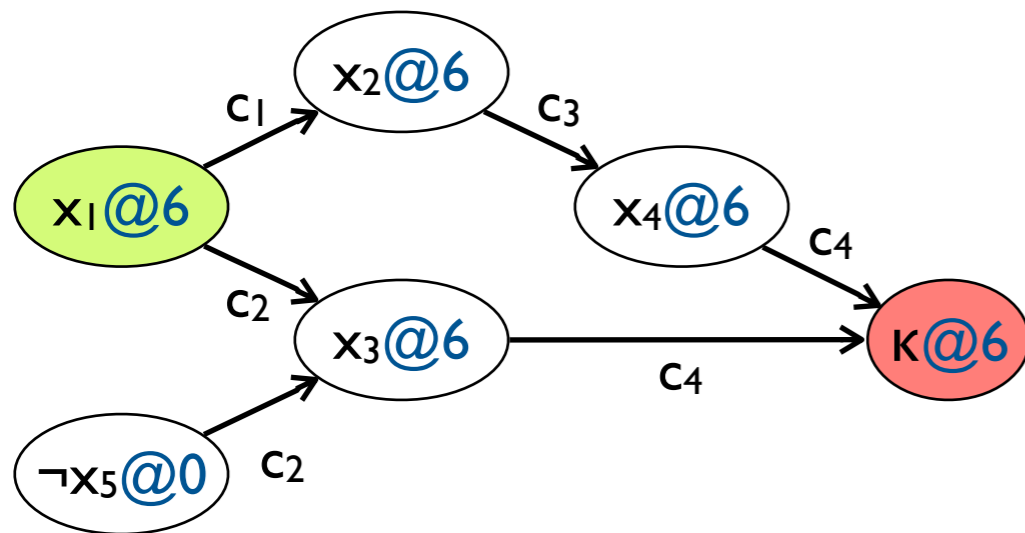
Implication graph: an even quicker exercise



What clauses gave rise to this implication graph?

- c_1 :
- c_2 :
- c_3 :
- c_4 :

Implication graph: an even quicker exercise



Assignments at ground (0) level are implied by unary clauses.

What clauses gave rise to this implication graph?

$$c_1 : \neg x_1 \vee x_2$$

$$c_2 : \neg x_1 \vee x_3 \vee x_5$$

$$c_3 : \neg x_2 \vee x_4$$

$$c_4 : \neg x_3 \vee \neg x_4$$

$$c_k : \neg x_5$$

Using an implication graph to analyze a conflict

CDCL(F)

$A \leftarrow \{\}$

if **BCP**(F,A) = *conflict* then return *false*

level $\leftarrow 0$

while hasUnassignedVars(F)

level \leftarrow level + 1

$A \leftarrow A \cup \{ \text{DECIDE}(F,A) \}$

while **BCP**(F,A) = *conflict*

$\langle b, c \rangle \leftarrow \text{ANALYZECONFLICT}()$

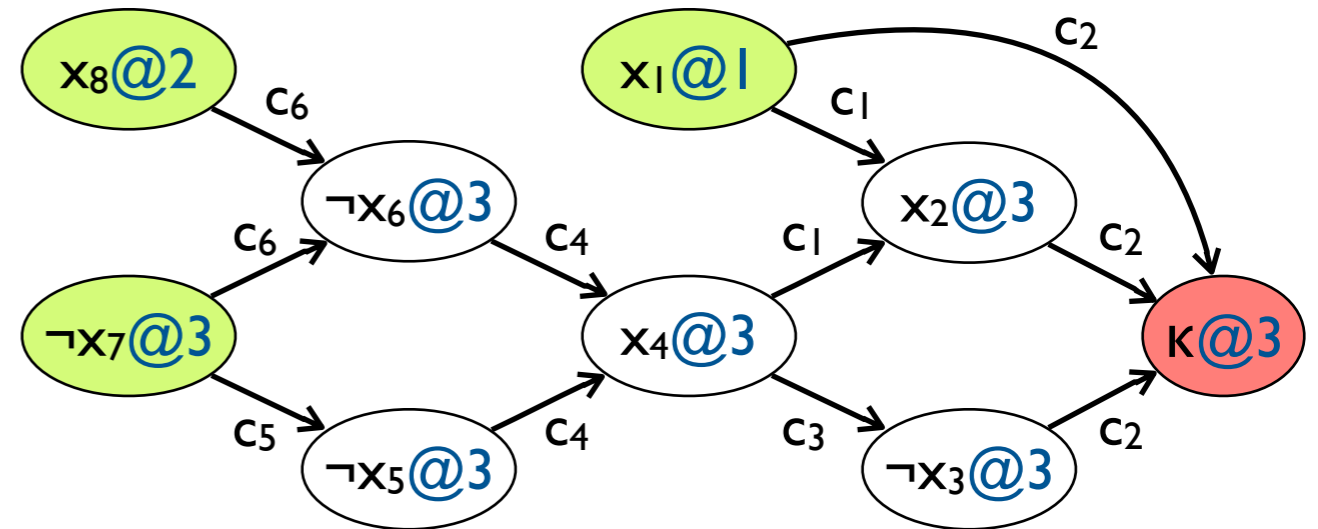
$F \leftarrow F \cup \{c\}$

if $b < 0$ then return *false*

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return *true*



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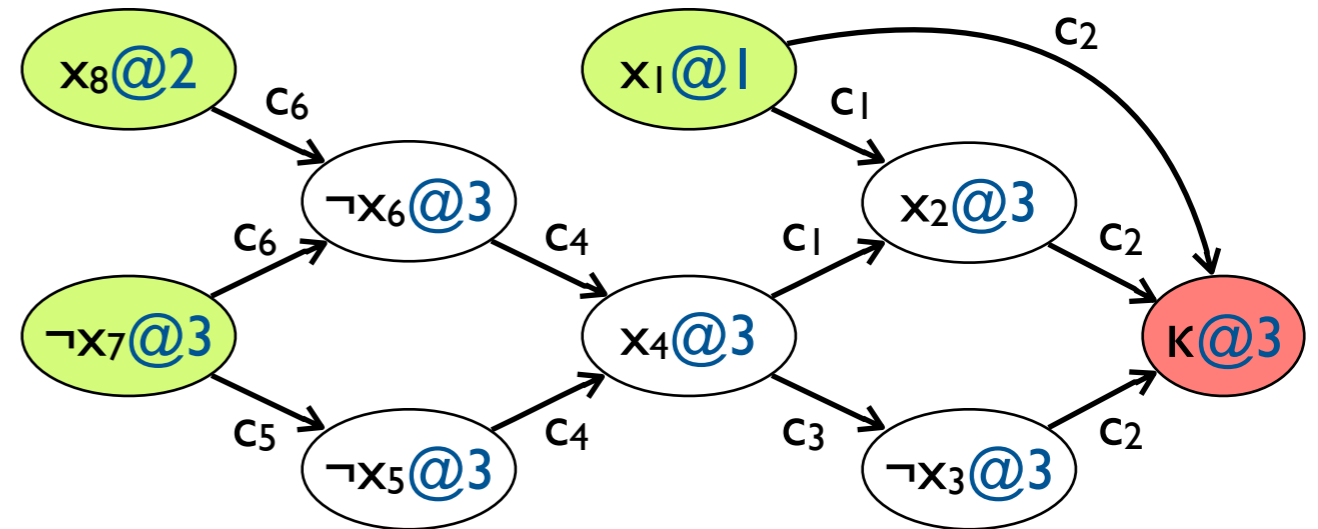
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A conflict clause is implied by F and it blocks PAs that lead to the current conflict.

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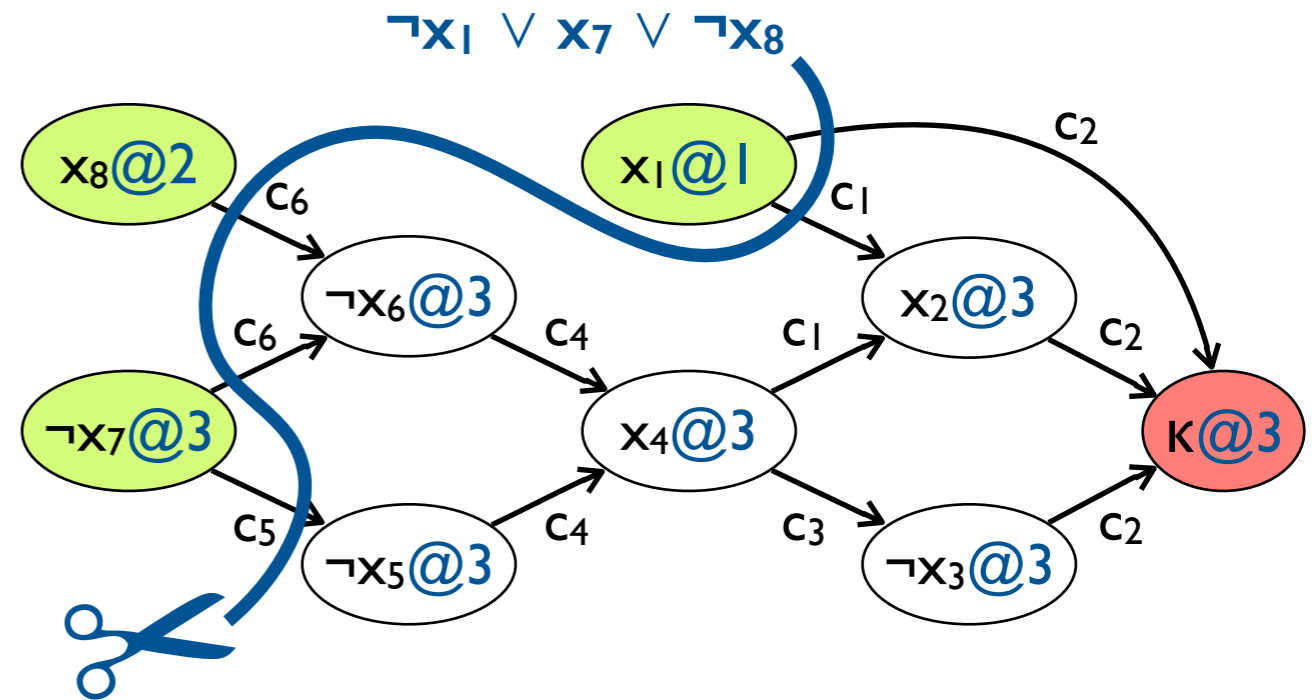
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$\langle b, c \rangle \leftarrow \text{ANALYZECONFLICT}()$

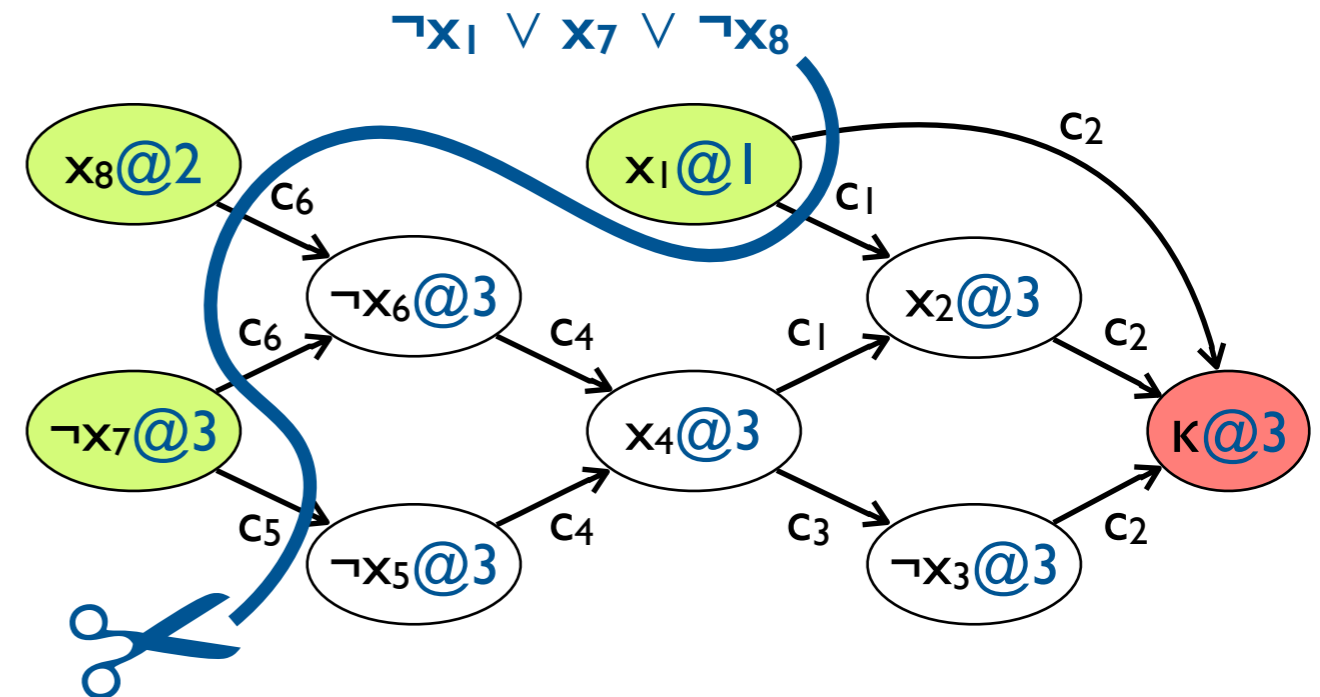
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A **conflict clause** is implied by F and it blocks PAs that lead to the current conflict.

Every cut that separates sources from the sink defines a valid conflict clause.

Using an implication graph to analyze a conflict

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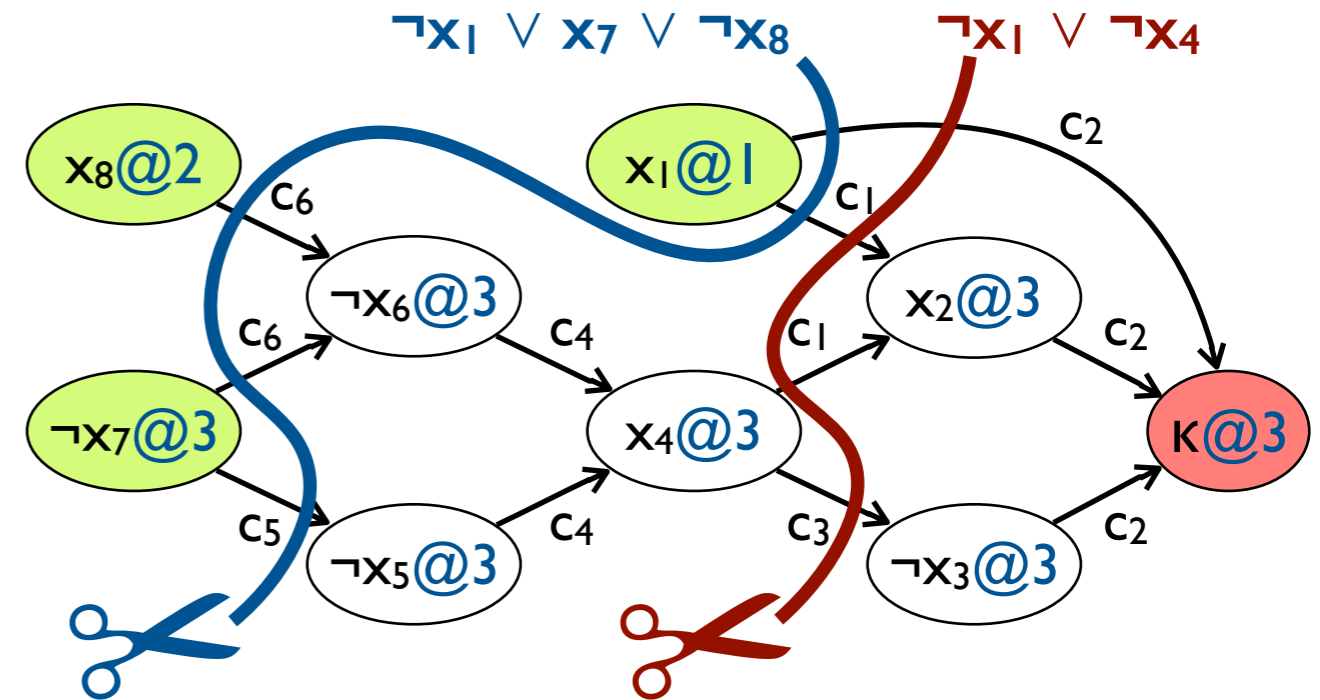
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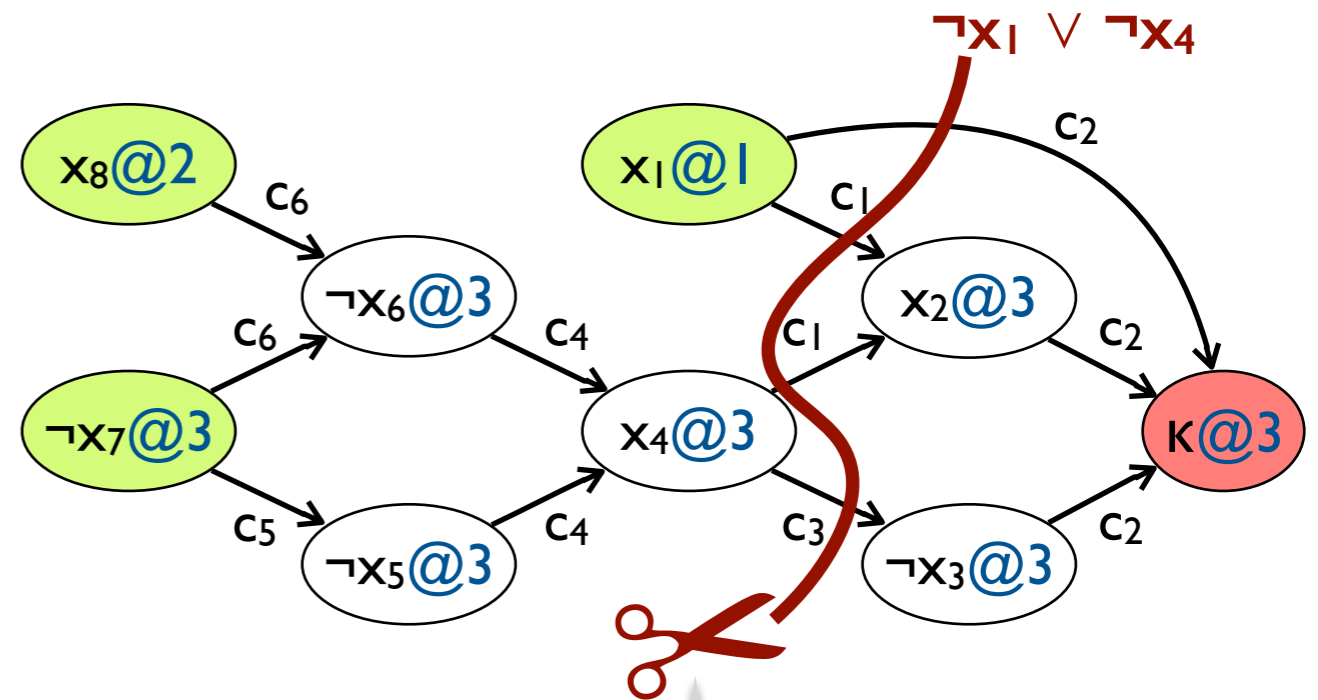
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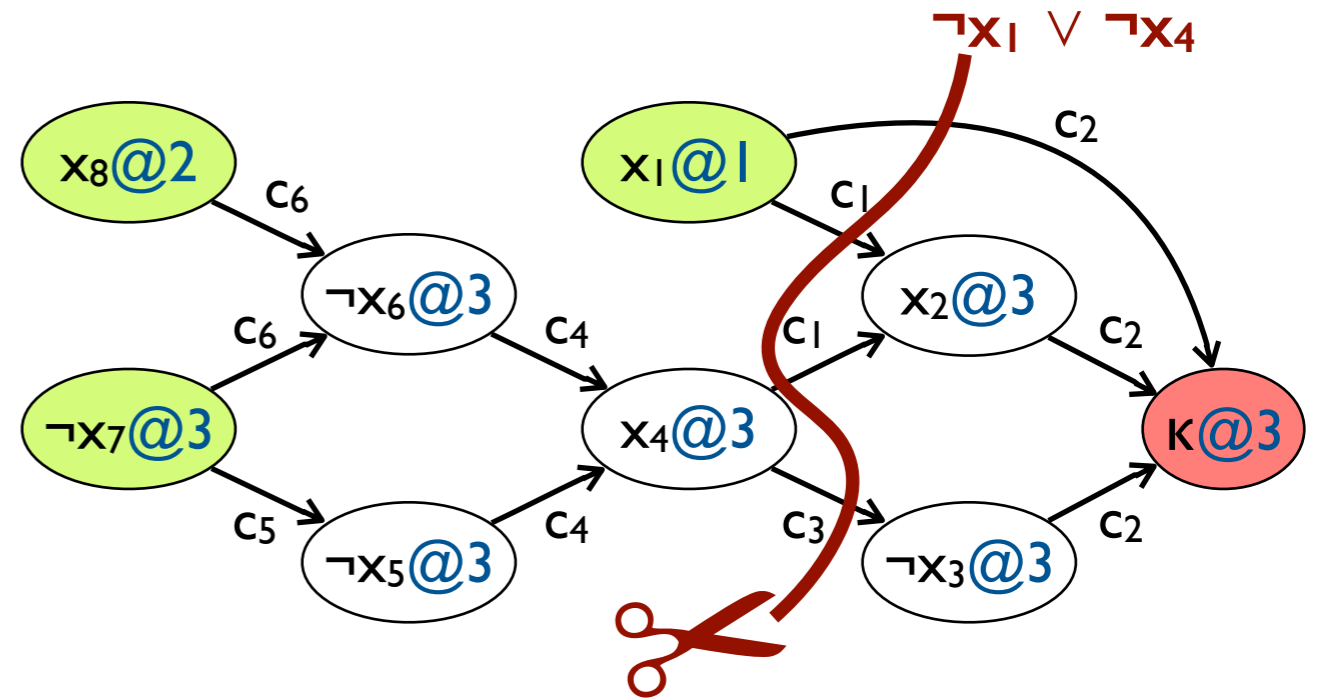


Cut after the first unique implication point to get the shortest conflict clause.

Unique implication points (UIPs)

A unique implication point (UIP) is any node in the implication graph other than the conflict that is on all paths from the current decision literal ($lit@d$) to the conflict ($\kappa@d$).

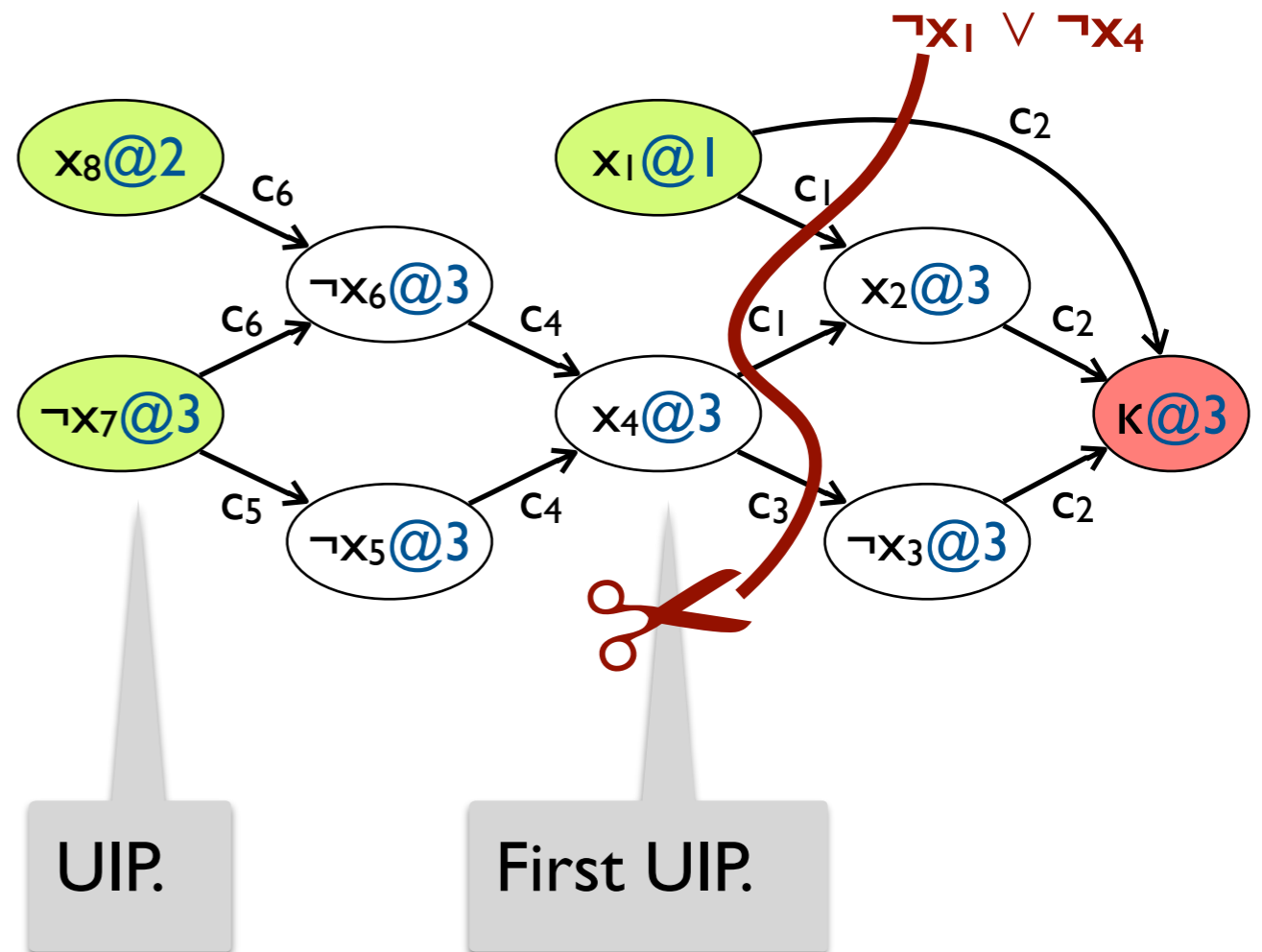
A first UIP is the UIP that is closest to the conflict.



Unique implication points (UIPs)

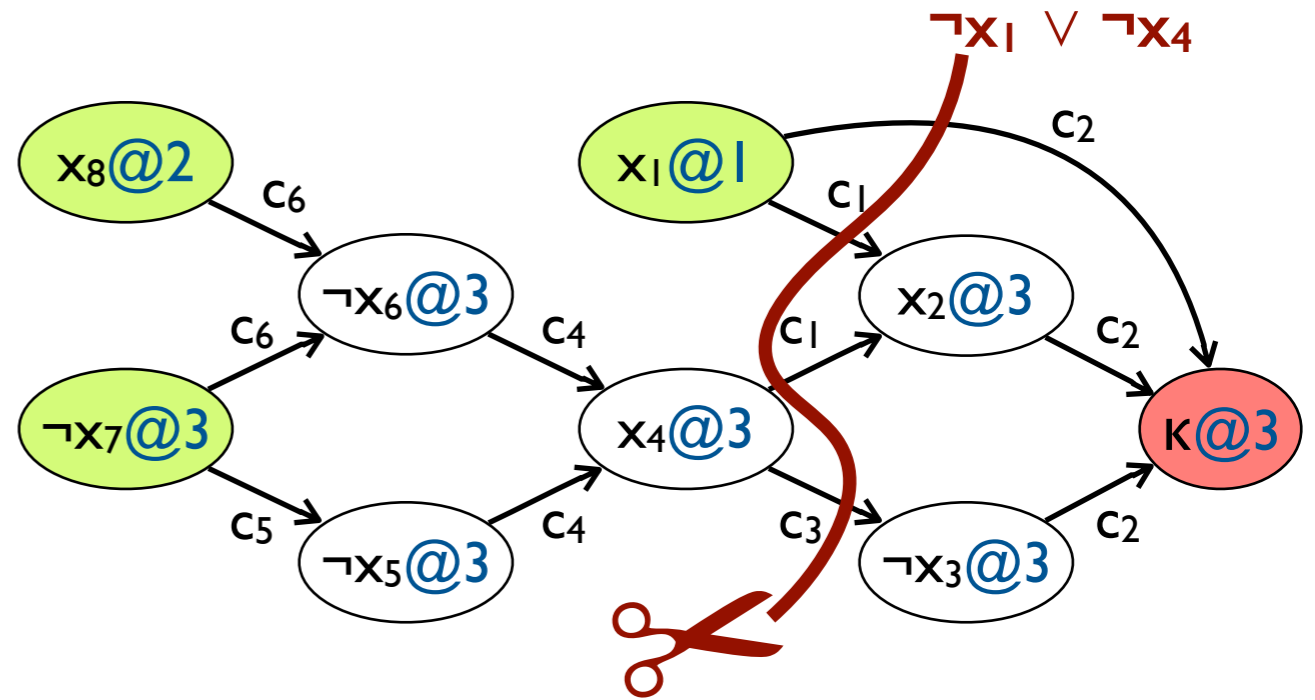
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ANALYZECONFLICT: computing the conflict clause

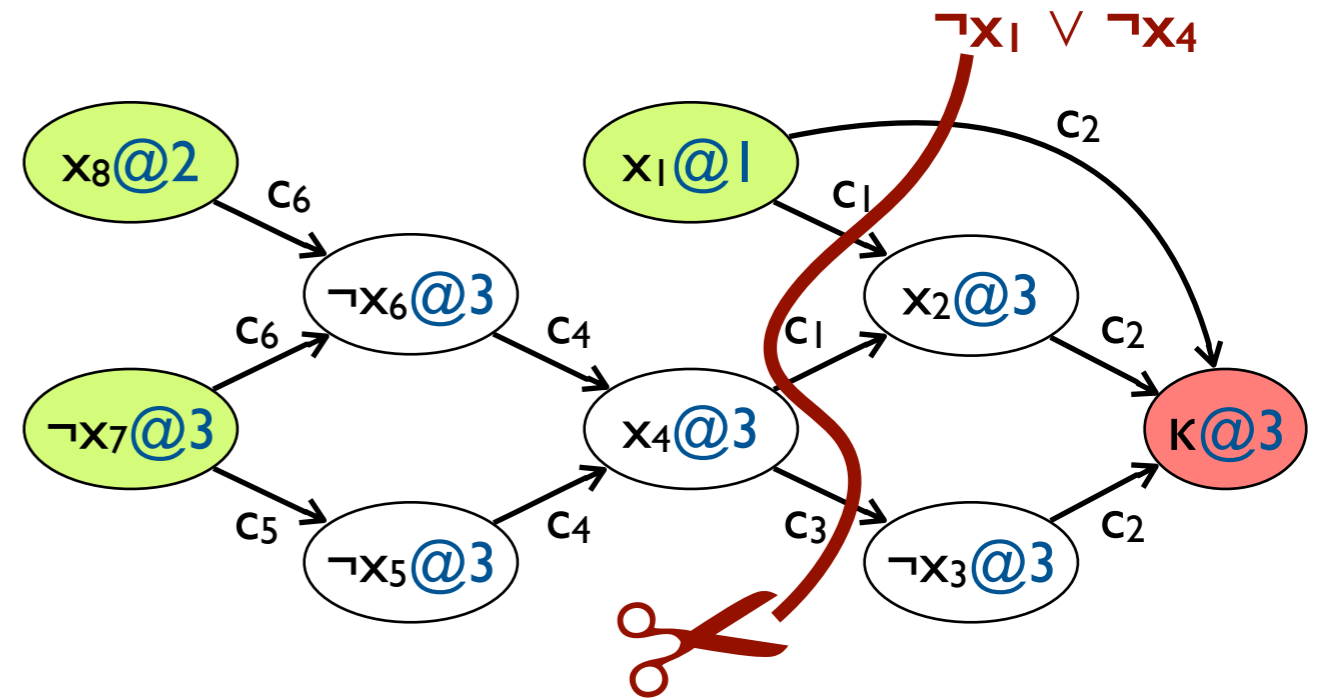
```
ANALYZECONFLICT()
  d ← level(conflict)
  if d = 0 then return -1
  c ← antecedent(conflict)
  s ← ¬firstUIP()
  repeat
    t ← lastAssignedLitAtLevel(c, d)
    v ← varOfLit(t)
    ante ← antecedent(t)
    c ← resolve(ante, c, v)
  until soleLitAtLevel(s, c, d)
  b ← ...
  return ⟨b, c⟩
```



ANALYZECONFLICT: computing the conflict clause

```

ANALYZECONFLICT()
  d ← level(conflict)
  if d = 0 then return -l
  c ← antecedent(conflict)
  s ← ¬firstUIP()
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    t ← lastAssignedLitAtLevel(c, d)
    v ← varOfLit(t)
    ante ← antecedent(t)
    c ← resolve(ante, c, v)
  until soleLitAtLevel(s, c, d)
  b ← ...
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```



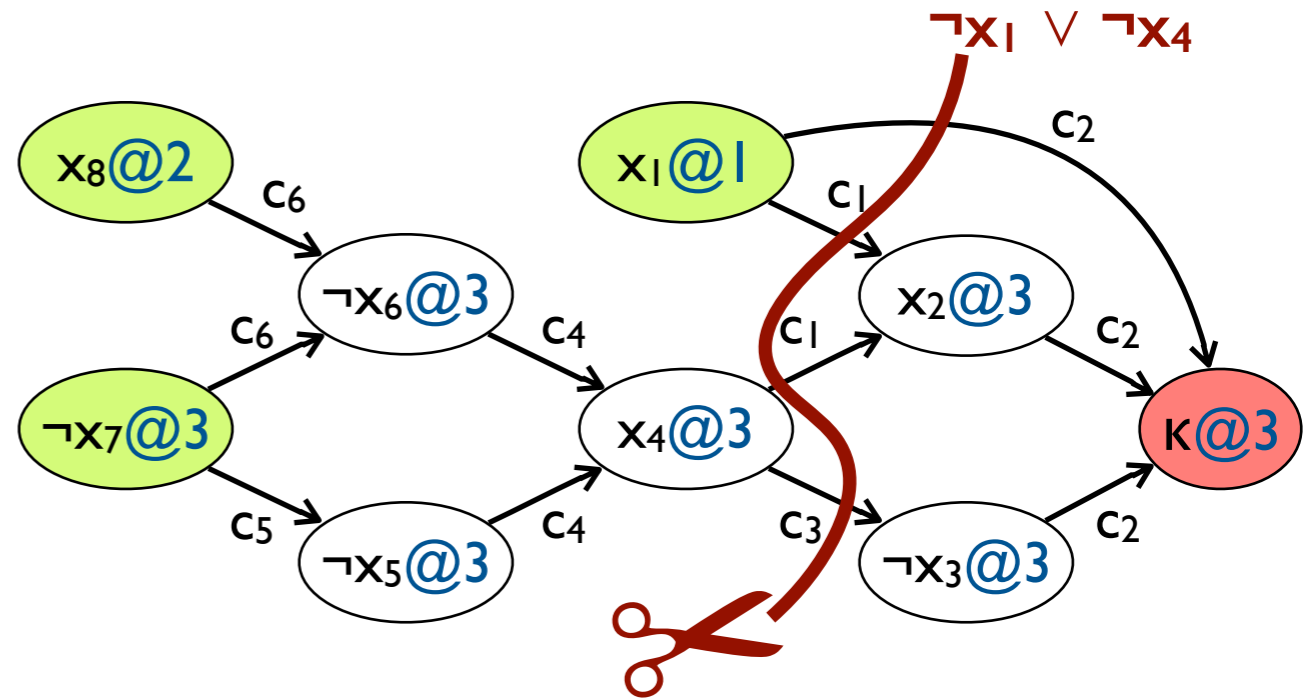
Binary resolution rule

$$\frac{(a_1 \vee \dots \vee a_n \vee \beta) \quad (b_1 \vee \dots \vee b_m \vee \neg\beta)}{(a_1 \vee \dots \vee a_n \vee b_1 \vee \dots \vee b_m)}$$

ANALYZECONFLICT: computing the conflict clause

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    c ← resolve(ante, c, v)
  until soleLitAtLevel(s, c, d)
  b ← ...
  return ⟨b, c⟩
  
```

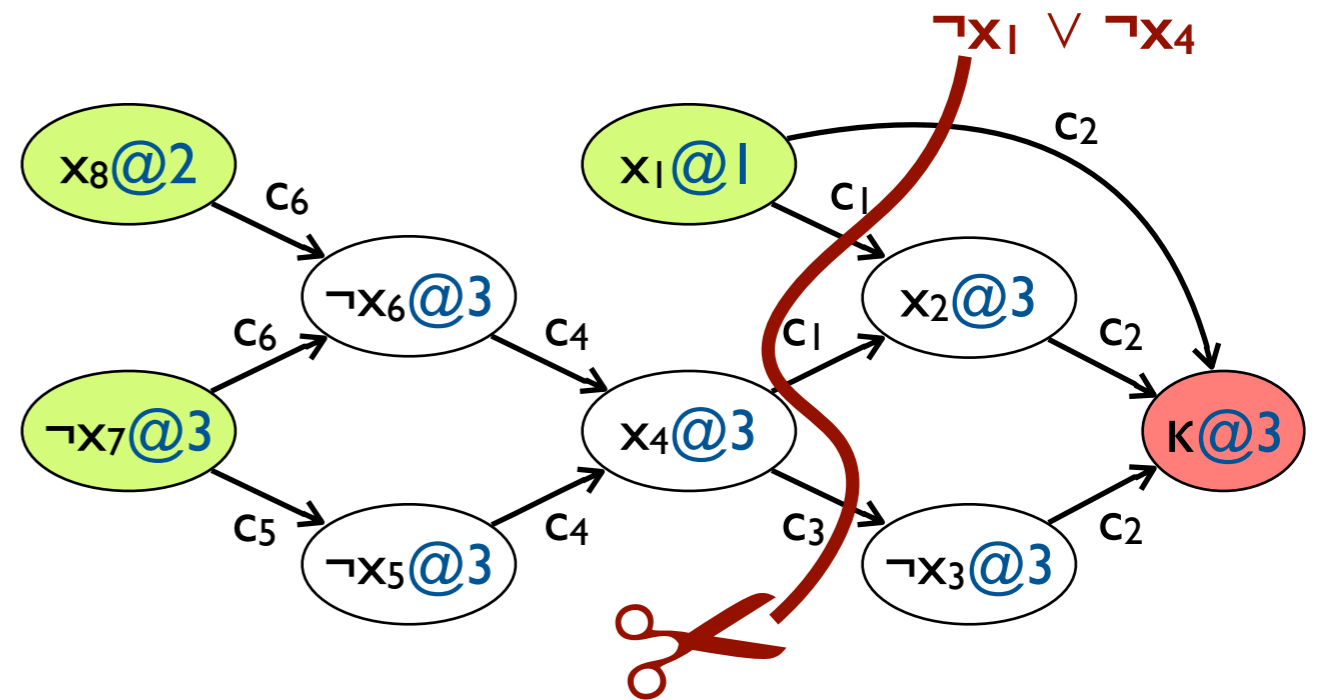


Example:

ANALYZECONFLICT: computing the conflict clause

```

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```



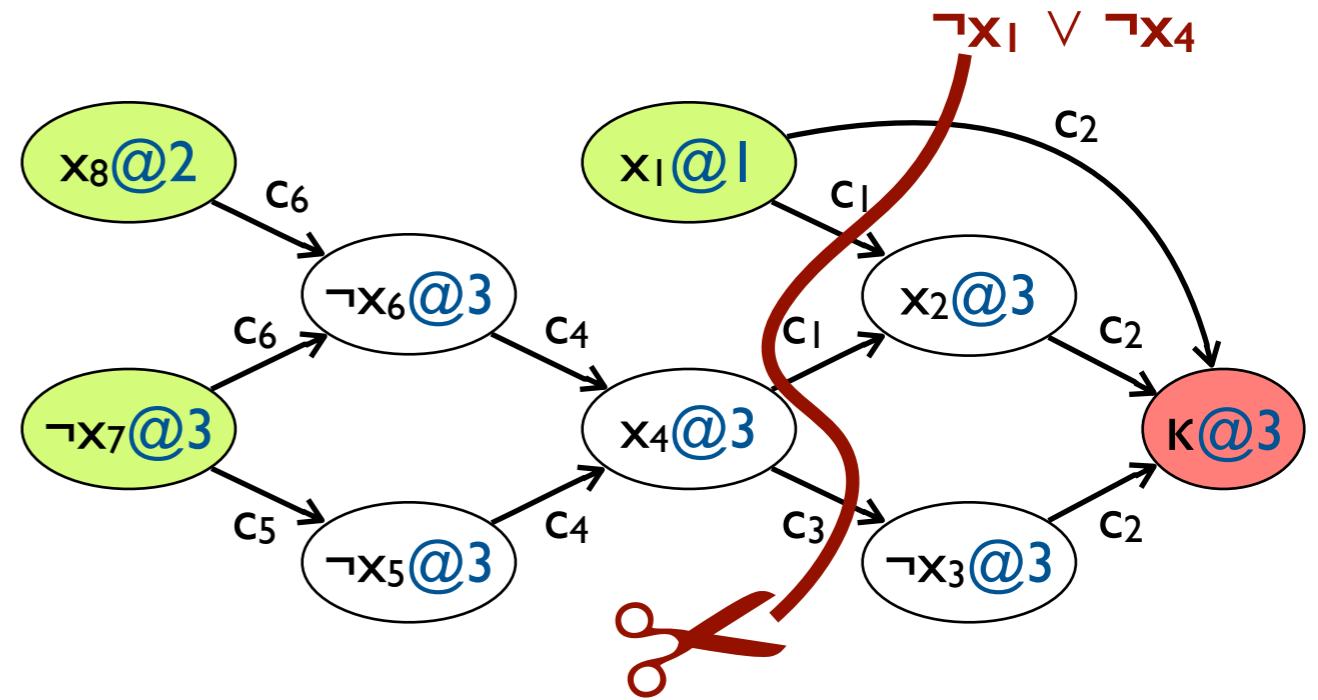
Example:

- $c = c_2, t = x_2, v = x_2, \text{ante} = c_1$

ANALYZECONFLICT: computing the conflict clause

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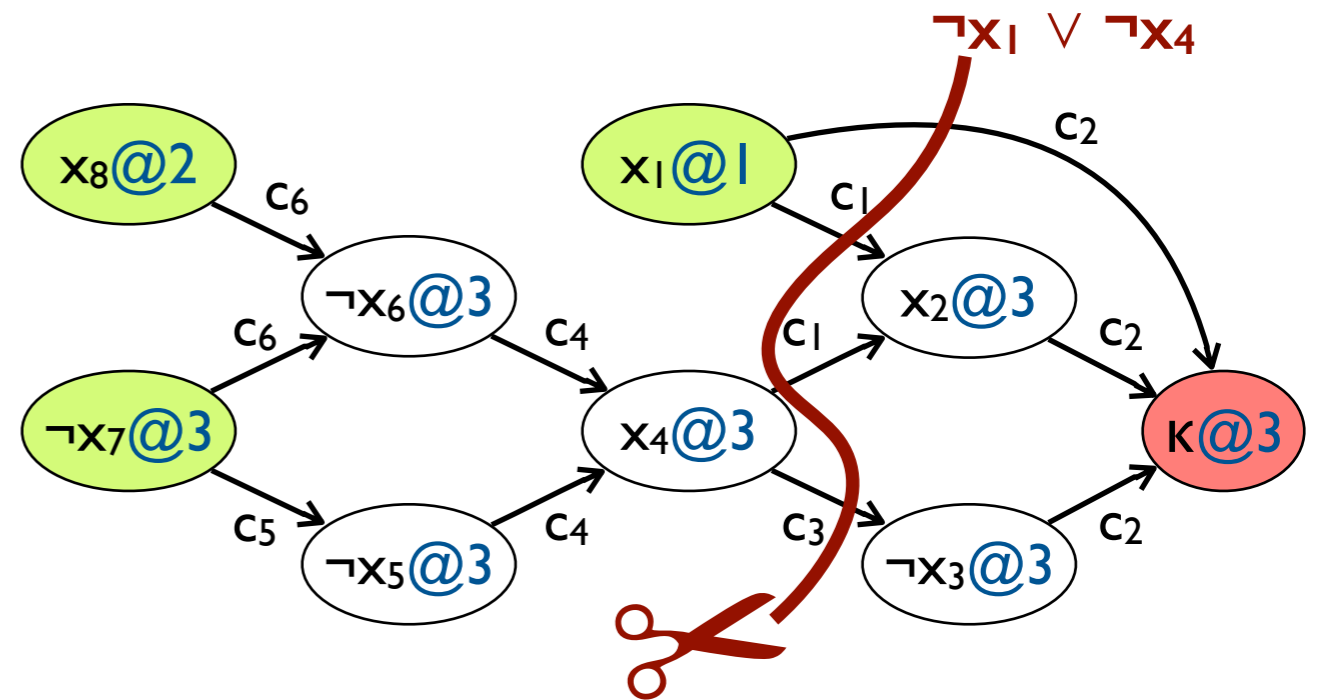
Example:

- $c = c_2, t = x_2, v = x_2, \text{ante} = c_1$
- $c = \neg x_1 \vee x_3 \vee \neg x_4, t = x_3, v = x_3, \text{ante} = c_3$

ANALYZECONFLICT: computing the conflict clause

```

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  return ⟨b, c⟩
    
```



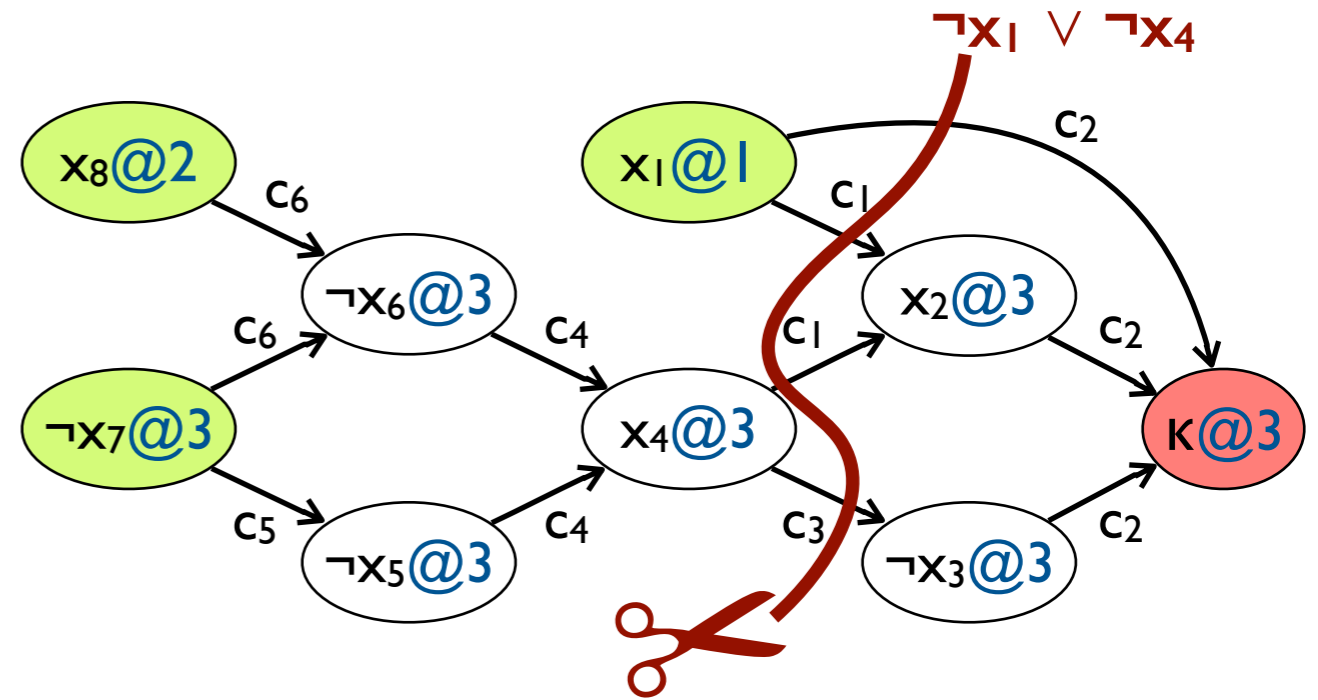
Example:

- $c = c_2, t = x_2, v = x_2, \text{ante} = c_1$
- $c = \neg x_1 \vee x_3 \vee \neg x_4, t = x_3, v = x_3, \text{ante} = c_3$
- $c = \neg x_1 \vee \neg x_4, \text{done!}$

ANALYZECONFLICT: computing backtracking level

```

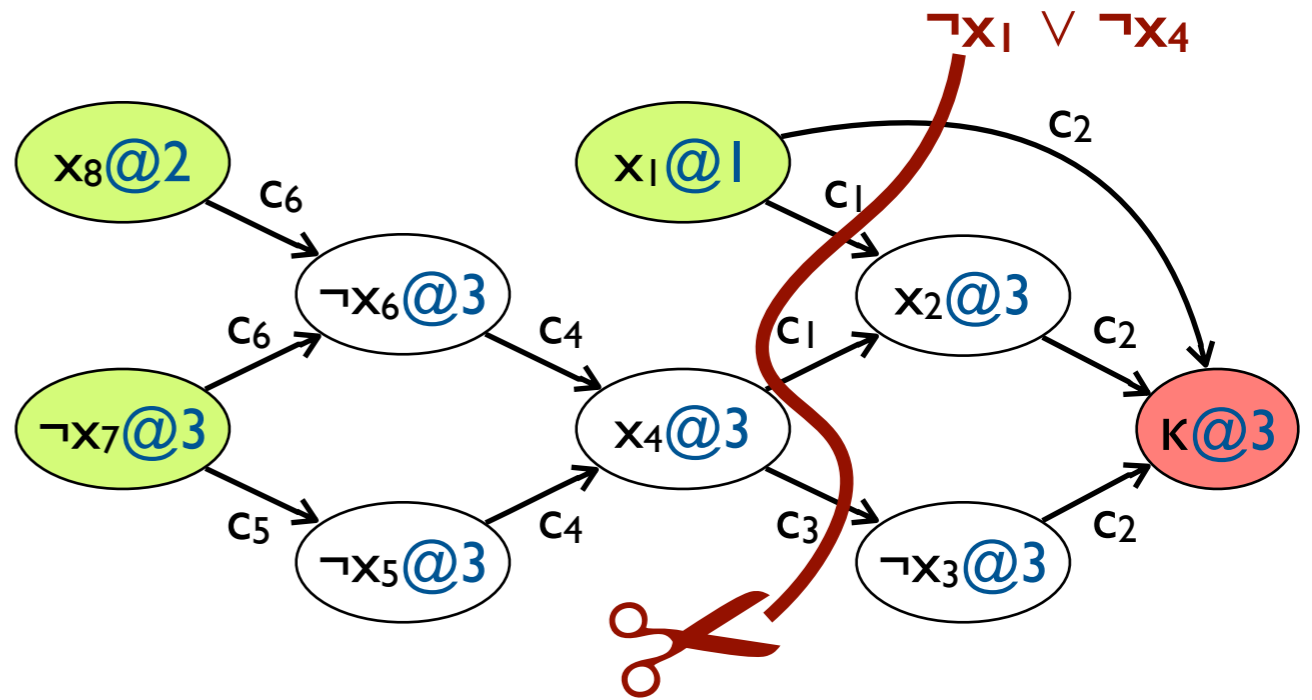
ANALYZECONFLICT()
  d ← level(conflict)
  if d = 0 then return -1
  c ← antecedent(conflict)
  s ← ¬firstUIP()
  repeat
    t ← lastAssignedLit(c)
    v ← varOfLit(t)
    ante ← antecedent(t)
    c ← resolve(ante, c, v)
  until soleLitAtLevel(s, c, d)
  b ← assertingLevel(c)
  return ⟨b, c⟩
    
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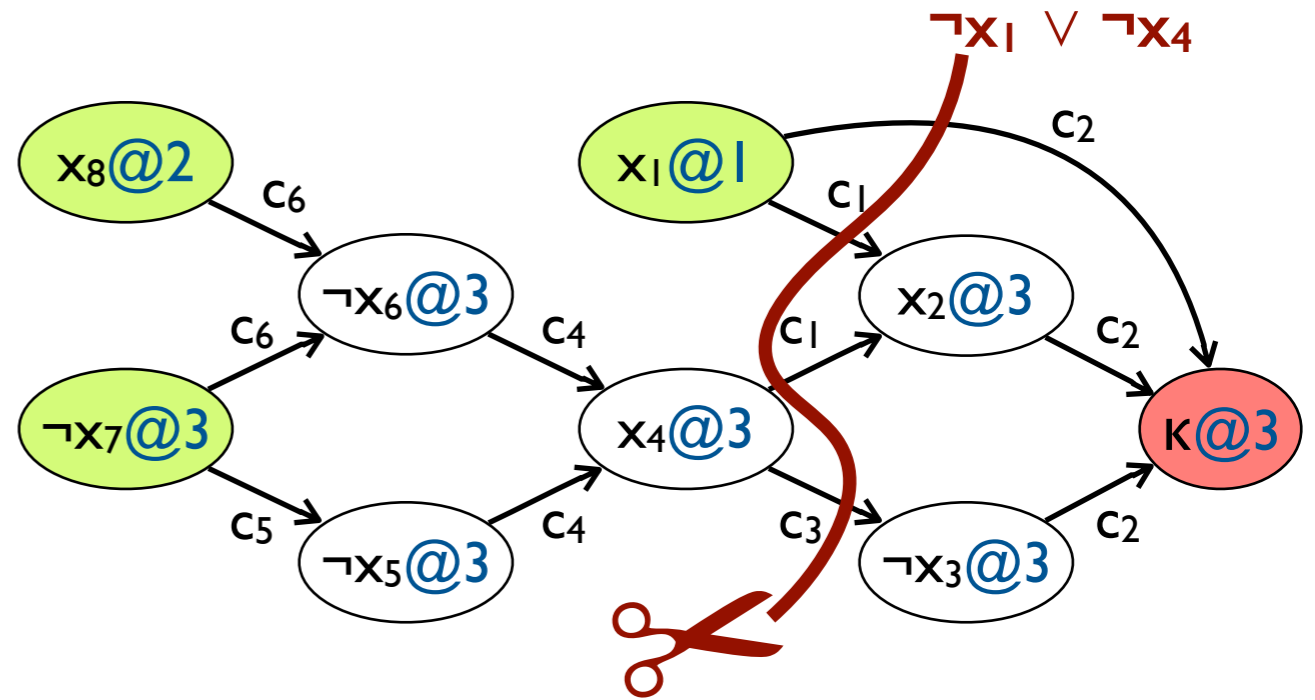


Second highest decision level for any literal in c , unless c is unary. In that case, its asserting level is zero.

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  return ⟨b, c⟩
    
```



By construction, c is unit at b (since it has only one literal at the current level d).

Decision heuristics

CDCL(F)

$A \leftarrow \{\}$

if **BCP**(F,A) = *conflict* then return *false*

level $\leftarrow 0$

while hasUnassignedVars(F)

level \leftarrow level + 1

$A \leftarrow A \cup \{ \text{DECIDE}(F,A) \}$

while **BCP**(F,A) = *conflict*

$\langle b, c \rangle \leftarrow \text{ANALYZECONFLICT}()$

$F \leftarrow F \cup \{c\}$

if $b < 0$ then return *false*

else **BACKTRACK**(F,A, b)

level $\leftarrow b$

return *true*

Example heuristics:

- Dynamic Largest Individual Sum (DLIS)
- Variable State Independent Decaying Sum (VSIDS)

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- Choose the literal that satisfies the most unresolved clauses.

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- Choose the literal that satisfies the most unresolved clauses.
- Simple and intuitive.
- But expensive: complexity of making a decision proportional to the number of clauses.

Decision heuristics: VSIDS (zChaff)

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Engineering matters (a lot)

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CDCL(F)
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Most solvers heuristically discard conflict clauses that are old, long, irrelevant, etc. (Why won't this cause the solver to run forever?)

BCP with watched literals (zChaff)

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- So, pick two unassigned literals per clause to watch.
- If a watched literal is assigned, pick another unassigned literal to watch in its place.
- If there is only one unassigned literal, it is implied by BCP.

Summary

Today

- The CDCL algorithm at the core of modern solvers extends DPLL with
 - Non-chronological backtracking
 - Learning
 - Decision heuristics
 - Engineering matters

Next lecture

- Practical applications of SAT solving to software engineering.