CSE-505: Programming Languages Lecture 27 — Higher-Order Polymorphism

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# Looking back, looking forward

Have defined System F.

- Metatheory (what properties does it have)
- What (else) is it good for
- How/why ML is more restrictive and implicit
- Recursive types (also use type variables, but differently)
- Existential types (dual to universal types)

Next:

Type operators and type-level "computations"

### System F with Recursive and Existential Types

$$\begin{array}{rcl} e & ::= & c \mid x \mid \lambda x: \tau. \; e \mid e \; e \mid \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ v & ::= & & & & c \mid \lambda x: \tau. \; e \mid \Lambda \alpha. \; e \mid \text{pack}_{\exists \alpha. \; \tau}(\tau, v) \mid \text{roll}_{\mu \alpha. \; \tau}(v) \end{array}$$

 $e \rightarrow_{\mathsf{cbv}} e'$ 

$$\frac{e_f \rightarrow_{\mathsf{cbv}} e'_f}{(\lambda x: \tau. e_b) v_a \rightarrow_{\mathsf{cbv}} e_b[v_a/x]} \qquad \frac{e_f \rightarrow_{\mathsf{cbv}} e'_f}{e_f e_a \rightarrow_{\mathsf{cbv}} e'_f e_a} \qquad \frac{e_a \rightarrow_{\mathsf{cbv}} e'_a}{v_f e_a \rightarrow_{\mathsf{cbv}} v_f e'_a}$$

$$\frac{e_{f} \rightarrow_{\mathsf{cbv}} e'_{f}}{\left(\Lambda \alpha. e_{b}\right) \left[\tau_{a}\right] \rightarrow_{\mathsf{cbv}} e_{b}\left[\tau_{a}/\alpha\right]} \qquad \qquad \frac{e_{f} \rightarrow_{\mathsf{cbv}} e'_{f}}{e_{f} \left[\tau_{a}\right] \rightarrow_{\mathsf{cbv}} e'_{f} \left[\tau_{a}\right]}$$

$$\begin{array}{c} \displaystyle \frac{e_a \rightarrow_{\mathsf{cbv}} e'_a}{\\ \hline \\ pack_{\exists \alpha. \ \tau}(\tau_w, e_a) \rightarrow_{\mathsf{cbv}} pack_{\exists \alpha. \ \tau}(\tau_w, e'_a)} \\ \\ \displaystyle \frac{e_a \rightarrow_{\mathsf{cbv}} e'_a}{\\ \hline \\ \hline \\ \hline \\ unpack \ e_a \ \mathrm{as} \ (\alpha, x) \ \mathrm{in} \ e_b \rightarrow_{\mathsf{cbv}} unpack \ e'_a \ \mathrm{as} \ (\alpha, x) \ \mathrm{in} \ e_b} \end{array}$$

 $\overline{\operatorname{unpack}\operatorname{pack}_{\exists \alpha.\ \tau}(\tau_w,v_a)\operatorname{as}\left(\alpha,x\right)\operatorname{in} e_b \rightarrow_{\operatorname{cbv}} e_b[\tau_w/\alpha][v_a/x]}$ 

### System F with Recursive and Existential Types

$$\begin{array}{ccc} \tau & ::= & \operatorname{int} \mid \tau \to \tau \mid \alpha \mid \forall \alpha. \ \tau \mid \exists \alpha. \ \tau \mid \mu \alpha. \ \tau \\ \Delta & ::= & \cdot \mid \Delta, \alpha \\ \Gamma & ::= & \cdot \mid \Gamma, x: \tau \end{array}$$

 $\Delta;\Gamma\vdash e:\tau$ 

$\overline{\Delta;\Gammadash c:int}$	$rac{\Gamma(x)= au}{\Delta;\Gammadash x: au}$
$\frac{\Delta \vdash \tau_a  \Delta; \Gamma, x: \tau_a \vdash e_b: \tau_r}{\Delta; \Gamma \vdash \lambda x: \tau_a \cdot e_b: \tau_a \to \tau_r}$	$\frac{\Delta; \Gamma \vdash e_f : \tau_a \to \tau_r  \Delta; \Gamma \vdash e_a : \tau_a}{\Delta; \Gamma \vdash e_f e_a : \tau_r}$
$\frac{\Delta, \alpha; \Gamma \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \Delta \alpha, e_l : \forall \alpha, \tau_r}$	$\frac{\Delta; \Gamma \vdash e_f : \forall \alpha. \tau_r  \Delta \vdash \tau_a}{\Delta: \Gamma \vdash e_f : [\tau_r] : \tau_r [\tau_r] / \alpha}$
$\Delta; \Gamma \vdash e_a : \tau[\tau_w/\alpha]$	$\underline{\Delta}; \Gamma \vdash e_a : \exists \alpha. \tau  \Delta, \alpha; \Gamma, x: \tau \vdash e_b : \tau_r  \Delta \vdash \tau_r$
$\Delta; \Gamma \vdash pack_{\exists \alpha. \tau}(\tau_w, e_a) : \exists \alpha. \tau$ $\Delta; \Gamma \vdash e_\alpha : \tau[(\mu\alpha, \tau)/\alpha]$	$\Delta; \Gamma \vdash \text{unpack } e_a \text{ as } (\alpha, x) \text{ in } e_b : \tau_r$ $\Delta; \Gamma \vdash e_a : \mu \alpha, \tau$
$\frac{1}{\Delta; \Gamma \vdash \operatorname{roll}_{\mu\alpha. \tau}(e_{\alpha}) : \mu\alpha. \tau}$	$\overline{\Delta;\Gamma\vdash \texttt{unroll}(e_a):\tau[(\mu\alpha.\tau)/\alpha]}$

### Goal

Understand what this interface means and why it matters:

```
type 'a list
val empty : 'a list
val cons : 'a -> 'a list -> 'a list
val unlist : 'a list -> ('a * 'a list) option
val size : 'a list -> int
val map : ('a -> 'b) -> 'a list -> 'b list
```

Story so far:

- Recursive types to define list data structure
- Universal types to keep element type abstract in library
- Existential types to keep list type abstract in client But, "cheated" when abstracting the list type in client: considered just intlist.

(Integer) List Library with  $\exists$ 

List library is an existential package:

$$\begin{array}{l} \operatorname{pack}(\mu\xi. \ \operatorname{unit} + (\operatorname{int} * \xi), \mathit{list\_library}) \\ \operatorname{as} \exists L. \ \{\operatorname{empty} : L; \\ \operatorname{cons} : \operatorname{int} \to L \to L; \\ \operatorname{unlist} : L \to \operatorname{unit} + (\operatorname{int} * L); \\ \operatorname{map} : (\operatorname{int} \to \operatorname{int}) \to L \to L; \\ \ldots \} \end{array}$$

The witness type is integer lists:  $\mu \xi$ . unit + (int \*  $\xi$ ).

The existential type variable L represents integer lists.

List operations are monomorphic in element type (int).

The map function only allows mapping integer lists to integer lists.

# (Polymorphic?) List Library with $\forall/\exists$

List library is a type abstraction that yields an existential package:

$$egin{aligned} &\Lambdalpha. ext{ pack}(\mu \xi. ext{ unit } + (lpha * \xi), list\_library) \ & ext{ as } \exists L. \ \{ ext{empty}: L; \ & ext{ cons}: lpha o L o L; \ & ext{ unlist}: L o ext{ unit } + (lpha * L); \ & ext{ map}: (lpha o lpha) o L o L; \ & ext{ ...} \} \end{aligned}$$

The witness type is  $\alpha$  lists:  $\mu \xi$ . unit + ( $\alpha * \xi$ ).

The existential type variable L represents  $\alpha$  lists.

List operations are monomorphic in element type ( $\alpha$ ).

The **map** function only allows mapping  $\alpha$  lists to  $\alpha$  lists.

## Type Abbreviations and Type Operators

Reasonable enough to provide list type as a (parametric) type abbreviation:

$$L \alpha = \mu \xi$$
. unit + ( $\alpha * \xi$ )

replace occurrences of L τ in programs with (μξ. unit + (α ∗ ξ))[τ/α]

Gives an *informal* notion of functions at the type-level.

But, doesn't help with with list library, because this exposes the definition of list type.

▶ How "modular" and "safe" are libraries built from cpp macros?

## Type Abbreviations and Type Operators

Instead, provide list type as a type operator:

a function from types to types

$$\mathsf{L} = \lambda \alpha. \ \mu \xi. \ \mathsf{unit} + (\alpha * \xi)$$

Gives a *formal* notion of functions at the type-level.

- abstraction and application at the type-level
- equivalence of type-level expressions
- well-formedness of type-level expressions

List library will be an existential package that hides a *type operator*, (rather than a *type*).

Abstraction and application at the type level makes it possible to write the *same* type with *different* syntax.

 $\mathsf{Id} = \lambda \alpha. \ \alpha$ 

Abstraction and application at the type level makes it possible to write the *same* type with *different* syntax.

 $\mathsf{Id} = \lambda \alpha. \ \alpha$ 

Require a precise definition of when two types are the same:



. . .

$$\overline{(\lambdalpha.\ au_b)\ au_a\equiv au_b[lpha/ au_a]}$$

. . .

Abstraction and application at the type level makes it possible to write the *same* type with *different* syntax.

 $\mathsf{Id} = \lambda \alpha. \ \alpha$ 

Require a typing rule to exploit types that are the same:

 $\Delta; \Gamma \vdash e : \tau$   $\dots$   $\frac{\Delta; \Gamma \vdash e : \tau \quad \tau \equiv \tau'}{\Delta; \Gamma \vdash e : \tau'} \quad \dots$ 

Abstraction and application at the type level makes it possible to write the *same* type with *different* syntax.

 $\mathsf{Id} = \lambda \alpha. \ \alpha$ 

Admits "wrong/bad/meaningless" types:

... bool int (Id bool) int bool (Id int)

. . .

Abstraction and application at the type level makes it possible to write the *same* type with *different* syntax.

 $\mathsf{Id} = \lambda \alpha. \ \alpha$ 

Require a "type system" for types:

 $\Delta \vdash \tau :: \kappa$ 

. . .

$$rac{\Deltadash au_f::\kappa_a \Rightarrow \kappa_r \quad \Deltadash au_a::\kappa_a}{\Deltadash au_f au_a::\kappa_r} \, .$$

. .

 $\begin{array}{rcl} \text{Terms:} & e & ::= & c \mid x \mid \lambda x : \tau. \; e \mid e \; e \mid \Lambda \alpha :: \kappa. \; e \mid e \; [\tau] \\ v & ::= & c \mid \lambda x : \tau. \; e \mid \Lambda \alpha :: \kappa. \; e \end{array}$ 

- atomic values (e.g., c) and operations (e.g., e + e)
- compound values (e.g., (v,v)) and operations (e.g., e.1)
- value abstraction and application
- type abstraction and application
- classified by types (but not all terms have a type)

 $\begin{array}{rcl} \text{Terms:} & e & ::= & c \mid x \mid \lambda x : \tau. \; e \mid e \; e \mid \Lambda \alpha :: \kappa. \; e \mid e \; [\tau] \\ v & ::= & c \mid \lambda x : \tau. \; e \mid \Lambda \alpha :: \kappa. \; e \end{array}$ 

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Types:  $\tau ::= \text{ int } | \tau \to \tau | \alpha | \forall \alpha :: \kappa. \tau | \lambda \alpha :: \kappa. \tau | \tau \tau$ 

- atomic types (e.g., int) classify the terms that evaluate to atomic values
- compound types (e.g.,  $\tau * \tau$ ) classify the terms that evaluate to compound values
- function types au 
  ightarrow au classify the terms that evaluate to value abstractions
- universal types  $\forall lpha. \ au$  classify the terms that evaluate to type abstractions
- type abstraction and application
  - type abstractions do not classify terms, but can be applied to type arguments to form types that do classify terms
- classified by kinds (but not all types have a kind)

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- classified by kinds (but not all types have a kind)

Kinds  $\kappa ::= \star | \kappa \Rightarrow \kappa$ 

- kind of proper types \* classify the types (that are the same as the types) that classify terms
- arrow kinds κ ⇒ κ classify the types (that are the same as the types) that are type abstractions

- the kind of proper types
- ▶ Bool, Bool  $\rightarrow$  Bool, ...

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  - List, Maybe, ...

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  - the kind of (binary) type operators
  - Either, Map, ...

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  - ▶ List, Maybe, Map Int, Either (List Bool), ...
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  - the kind of (binary) type operators
  - Either, Map, ...
- $\blacktriangleright \ (\star \Rightarrow \star) \Rightarrow \star$ 
  - the kind of higher-order type operators taking unary type operators to proper types
  - ▶ ???, ...

#### ▶ ★

- the kind of proper types
- ▶ Bool, Bool → Bool, Maybe Bool, Maybe Bool → Maybe Bool, . . .
- ▶ ★ ⇒ ★
  - the kind of (unary) type operators
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 $\blacktriangleright \ (\star \Rightarrow \star) \Rightarrow \star$ 

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 ???....

 $\blacktriangleright \ (\star \Rightarrow \star) \Rightarrow \star \Rightarrow \star$ 

- the kind of higher-order type operators taking unary type operators to unary type operators
- MaybeT, ListT, ...

#### ▶ ★

- the kind of proper types
- ▶ Bool, Bool → Bool, Maybe Bool, Maybe Bool → Maybe Bool, . . .
- ▶ ★ ⇒ ★
  - the kind of (unary) type operators
  - ▶ List, Maybe, Map Int, Either (List Bool), ListT Maybe, ...
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 ???....

 $\blacktriangleright (\star \Rightarrow \star) \Rightarrow \star \Rightarrow \star$ 

- the kind of higher-order type operators taking unary type operators to unary type operators
- MaybeT, ListT, ...

System  $F_{\omega}$ : Syntax

$$e ::= c | x | \lambda x:\tau. e | e e | \Lambda \alpha::\kappa. e | e [\tau]$$
  

$$v ::= c | \lambda x:\tau. e | \Lambda \alpha::\kappa. e$$
  

$$\Gamma ::= \cdot | \Gamma, x:\tau$$
  

$$\tau ::= int | \tau \to \tau | \alpha | \forall \alpha::\kappa. \tau | \lambda \alpha::\kappa. \tau | \tau \tau$$
  

$$\Delta ::= \cdot | \Delta, \alpha::\kappa$$
  

$$\kappa ::= \star | \kappa \Rightarrow \kappa$$

New things:

- Types: type abstraction and type application
- Kinds: the "types" of types
  - ► ★: kind of proper types
  - $\kappa_a \Rightarrow \kappa_r$ : kind of type operators

## System $F_{\omega}$ : Operational Semantics

Small-step, call-by-value (CBV), left-to-right operational semantics:

$$e \to_{\mathsf{cbv}} e'$$

$$\frac{e_{f} \rightarrow_{\mathsf{cbv}} e'_{f}}{(\lambda x; \tau. e_{b}) v_{a} \rightarrow_{\mathsf{cbv}} e_{b}[v_{a}/x]} \qquad \frac{e_{f} \rightarrow_{\mathsf{cbv}} e'_{f}}{e_{f} e_{a} \rightarrow_{\mathsf{cbv}} e'_{f} e_{a}} \\
\frac{e_{a} \rightarrow_{\mathsf{cbv}} e'_{a}}{v_{f} e_{a} \rightarrow_{\mathsf{cbv}} v_{f} e'_{a}} \qquad \overline{(\Lambda \alpha :: \kappa_{a}. e_{b}) [\tau_{a}] \rightarrow_{\mathsf{cbv}} e_{b}[\tau_{a}/\alpha]} \\
\frac{e_{f} \rightarrow_{\mathsf{cbv}} e'_{f}}{e_{f} [\tau_{a}] \rightarrow_{\mathsf{cbv}} e'_{f} [\tau_{a}]}$$

Unchanged! All of the new action is at the type-level.

In the context  $\Delta$  the type au has kind  $\kappa$ :

 $\frac{\overline{\Delta \vdash \operatorname{int} :: \star}}{\overline{\Delta \vdash \operatorname{int} :: \star}} \qquad \frac{\overline{\Delta \vdash \tau_a :: \star} \quad \Delta \vdash \tau_r :: \star}{\overline{\Delta \vdash \tau_a \to \tau_r :: \star}} \\
\frac{\underline{\Delta(\alpha) = \kappa}}{\overline{\Delta \vdash \alpha :: \kappa}} \qquad \frac{\underline{\Delta, \alpha :: \kappa_a \vdash \tau_r :: \star}}{\overline{\Delta \vdash \forall \alpha :: \kappa_a . \tau_r :: \star}} \\
\frac{\underline{\Delta, \alpha :: \kappa_a \vdash \tau_b :: \kappa_r}}{\overline{\Delta \vdash \lambda \alpha :: \kappa_a . \tau_b :: \kappa_a \Rightarrow \kappa_r}} \qquad \frac{\underline{\Delta \vdash \tau_f :: \kappa_a \Rightarrow \kappa_r} \quad \Delta \vdash \tau_a :: \kappa_a}{\overline{\Delta \vdash \tau_f \tau_a :: \kappa_r}}$ 

Should look familiar:

 $\Delta \vdash \tau :: \kappa$ 

In the context  $\Delta$  the type au has kind  $\kappa$ :

 $\Delta \vdash \tau :: \kappa$ 

	$\Deltadash  au_a::\star \qquad \Deltadash  au_r::\star$
$\overline{\Delta \vdash int :: \star}$	$\Deltadash au_a o au_r::\star$
$\Delta(lpha)=\kappa$	$\Delta, \alpha :: \kappa_a \vdash \tau_r :: \star$
$\overline{\Delta \vdash \alpha :: \kappa}$	$\overline{\Delta \vdash orall lpha ::: \kappa_a. \  au_r ::: \star}$
$\kappa, lpha :: \kappa_a \vdash  au_b :: \kappa_r$	$\Delta \vdash  au_f :: \kappa_a \Rightarrow \kappa_r \qquad \Delta \vdash  au_a :: \kappa_a$
$\lambda \alpha :: \kappa_a. \ \tau_b :: \kappa_a \Rightarrow \kappa_r$	$\Delta \vdash \tau_f \; \tau_a :: \kappa_r$

Should look familiar:

the typing rules of the Simply-Typed Lambda Calculus "one level up"

 $\Delta \vdash$ 

Definitional Equivalence of  $\tau$  and  $\tau'$ :



	$ au_2 \equiv  au_1$	$ au_1 \equiv  au_2$	$ au_2 \equiv  au_3$
$ au \equiv  au$	$ au_1\equiv au_2$	$ au_1$ $\equiv$	${}_{\Xi} au_3$
$ au_{a1} \equiv  au_{a2} \qquad  au$	$ au_{r1} \equiv  au_{r2}$	$ au_{r1} \equiv$	$ au_{r2}$
$ au_{a1}  o  au_{r1} \equiv  au_{a1}$	$_{n2} ightarrow au_{r2}$	$\forall \alpha :: \kappa_a. \ \tau_{r1} \equiv$	$\forall \alpha :: \kappa_a . \ \tau_{r2}$
$ au_{b1}\equiv  au_{b1}$	Γ <sub>b2</sub>	$ au_{f1}\equiv au_{f2}$	$ au_{a1}\equiv au_{a2}$
$\lambda \alpha :: \kappa_a \cdot \tau_{b1} \equiv \lambda$	$\lambda lpha :: \kappa_a. \  au_{b2}$	$ au_{f1}  au_{a1}$ :	$\equiv  au_{f2} \;  au_{a2}$

 $(\lambdalpha{::}\kappa_a.\ au_b)\ au_a\equiv au_b[lpha/ au_a]$ 

Should look familiar:

Definitional Equivalence of  $\tau$  and  $\tau'$ :



	$ au_2 \equiv  au_1$	$ au_1 \equiv  au_2$	$ au_2\equiv au_3$
$ au \equiv  au$	$\overline{ au_1 \equiv  au_2}$	$ au_1 \equiv$	E $ au_3$
$ au_{a1}\equiv au_{a2}$	$ au_{r1} \equiv  au_{r2}$	$ au_{r1} \equiv$	$ au_{r2}$
$ au_{a1}  o  au_{r1} \equiv  au$	$ au_{a2}  o  au_{r2}$	$\forall \alpha :: \kappa_a. \ \tau_{r1} \equiv$	$\forall \alpha :: \kappa_a. \ \tau_{r2}$
$ au_{b1} \equiv$	$ au_{b2}$	$ au_{f1}\equiv au_{f2}$	$ au_{a1}\equiv au_{a2}$
$\lambda \alpha :: \kappa_a. \ \tau_{b1} \equiv \lambda \alpha :: \kappa_a. \ \tau_{b2}$		$ au_{f1}  au_{a1} \equiv  au_{f2}  au_{a2}$	

 $(\lambda lpha :: \kappa_a. au_b) au_a \equiv au_b [lpha / au_a]$ 

Should look familiar: the full reduction rules of the Lambda Calculus "one level up"

Matthew Fluet

In the contexts  $\Delta$  and  $\Gamma$  the expression e has type  $\tau$ :

 $\Delta;\Gammadash e: au$ 

$\overline{\Delta;\Gammadash c:int}$	$rac{\Gamma(x)= au}{\Delta;\Gammadash x: au}$
$\Delta \vdash \tau_a :: \star \qquad \Delta; \Gamma, x : \tau_a \vdash e_b : \tau_r$	$\Delta; \Gamma \vdash e_f :  au_a  o  au_r \qquad \Delta; \Gamma \vdash e_a :  au_a$
$\Delta; \Gamma \vdash \lambda x :  au_a. \ e_b :  au_a  o  au_r$	$\Delta;\Gamma\vdash e_f\;e_a:\tau_r$
$\Delta, lpha :: \kappa_{a}; \Gamma \vdash e_{b} :  au_{r}$	$\Delta; \Gamma \vdash e_f : \forall \alpha :: \kappa_a. \  au_r \qquad \Delta \vdash  au_a :: \kappa_a$
$\Delta; \Gamma \vdash \Lambda lpha. e_b : orall lpha : \kappa_a.  au_r$	$\boldsymbol{\Delta};\Gamma\vdash e_f\;[\tau_a]:\tau_r[\tau_a/\alpha]$
$\boldsymbol{\Delta};\Gamma\vdash e:\tau$	$ au \equiv  au' \qquad \Delta dash  au' :: \star$
	$\cdot \Gamma \vdash \rho \cdot \tau'$

In the contexts  $\Delta$  and  $\Gamma$  the expression e has type  $\tau$ :

 $\Delta; \Gamma \vdash e : \tau$  $\Gamma(x) = au$  $\overline{\Delta \colon \Gamma \vdash x : \tau}$  $\Delta: \Gamma \vdash c: int$  $\Delta \vdash \tau_a :: \star \qquad \Delta; \Gamma, x : \tau_a \vdash e_b : \tau_r \qquad \Delta; \Gamma \vdash e_f : \tau_a \to \tau_r \qquad \Delta; \Gamma \vdash e_a : \tau_a$  $\Delta: \Gamma \vdash \lambda x: \tau_a, e_b: \tau_a \to \tau_r$  $\Delta; \Gamma \vdash e_f e_a : \tau_r$  $\underline{\Delta}, \alpha :: \kappa_a; \Gamma \vdash e_b : \tau_r \qquad \underline{\Delta}; \Gamma \vdash e_f : \forall \alpha :: \kappa_a, \tau_r \qquad \underline{\Delta} \vdash \tau_a :: \kappa_a$  $\Delta; \Gamma \vdash e_f [\tau_a] : \tau_r[\tau_a/\alpha]$  $\Delta; \Gamma \vdash \Lambda \alpha. e_h : \forall \alpha :: \kappa_a. \tau_r$  $\Delta; \Gamma \vdash e: au$   $au \equiv au'$   $\Delta \vdash au':: \star$  $\Delta: \Gamma \vdash e: \tau'$ 

Syntax and type system easily extended with recursive and existential types.
#### Polymorphic List Library with higher-order $\exists$

List library is an existential package:

$$\begin{array}{l} \operatorname{pack}(\lambda\alpha{::}\star.\ \mu\xi{::}\star.\ \operatorname{unit} + (\alpha * \xi), list\_library) \\ \operatorname{as} \exists L{::}\star \Rightarrow \star. \ \{\operatorname{empty}: \forall \alpha{::}\star.\ L\ \alpha; \\ \operatorname{cons}: \forall \alpha{::}\star.\ \alpha \to L\ \alpha \to L\ \alpha; \\ \operatorname{unlist}: \forall \alpha{::}\star.\ L\ \alpha \to \operatorname{unit} + (\alpha * L\ \alpha); \\ \operatorname{map}: \forall \alpha{::}\star.\ \forall \beta{::}\star.\ (\alpha \to \beta) \to L\ \alpha \to L\ \beta; \\ \ldots \} \end{array}$$

The witness *type operator* is poly.lists:  $\lambda \alpha :: \star . \mu \xi :: \star . unit + (\alpha * \xi)$ .

The existential type operator variable L represents poly. lists.

List operations are polymorphic in element type.

The **map** function only allows mapping  $\alpha$  lists to  $\beta$  lists.

# Other Kinds of Kinds

Kinding systems for checking and tracking properties of type expressions:

- Record kinds
  - records at the type-level; define systems of mutually recursive types
- Polymorphic kinds
  - kind abstraction and application in types; System F "one level up"
- Dependent kinds
  - dependent types "one level up"
- Row kinds
  - describe "pieces" of record types for record polymorphism
- Power kinds
  - alternative presentation of subtyping
- Singleton kinds
  - formalize module systems with type sharing

System  $F_{\omega}$  is type safe.

System  $F_{\omega}$  is type safe.

- Preservation: Induction on typing derivation, using substitution lemmas:
  - Term Substitution:

 $\begin{array}{l} \text{if } \Delta_1, \Delta_2; \Gamma_1, x: \tau_x, \Gamma_2 \vdash e_1: \tau \text{ and } \Delta_1; \Gamma_1 \vdash e_2: \tau_x, \\ \text{then } \Delta_1, \Delta_2; \Gamma_1, \Gamma_2 \vdash e_1[e_2/x]: \tau. \end{array}$ 

Type Substitution: if Δ<sub>1</sub>, α::κ<sub>α</sub>, Δ<sub>2</sub> ⊢ τ<sub>1</sub> :: κ and Δ<sub>1</sub> ⊢ τ<sub>2</sub> :: κ<sub>α</sub>, then Δ<sub>1</sub>, Δ<sub>2</sub> ⊢ τ<sub>1</sub>[τ<sub>2</sub>/α] :: κ.
Type Substitution:

if 
$$au_1\equiv au_2$$
, then  $au_1[ au/lpha]\equiv au_2[ au/lpha].$ 

► Type Substitution: if  $\Delta_1, \alpha :: \kappa_{\alpha}, \Delta_2; \Gamma_1, \Gamma_2 \vdash e_1 : \tau$  and  $\Delta_1 \vdash \tau_2 :: \kappa_{\alpha}$ , then  $\Delta_1, \Delta_2; \Gamma_1, \Gamma_2[\tau_2/\alpha] \vdash e_1[\tau_2/\alpha] : \tau$ .

All straightforward inductions, using various weakening and exchange lemmas.

System  $F_{\omega}$  is type safe.

 Progress: Induction on typing derivation, using canonical form lemmas:

• If 
$$\cdot; \cdot \vdash v : \mathsf{int}$$
, then  $v = c$ .

- If  $\cdot; \cdot \vdash v : \tau_a \to \tau_r$ , then  $v = \lambda x : \tau_a \cdot e_b$ .
- If  $\cdot; \cdot \vdash v : \forall \alpha :: \kappa_a \cdot \tau_r$ , then  $v = \Lambda \alpha :: \kappa_a \cdot e_b$ .
- Complicated by typing derivations that end with:

$$\frac{\Delta; \Gamma \vdash e: \tau \quad \tau \equiv \tau' \quad \Delta \vdash \tau':: \star}{\Delta; \Gamma \vdash e: \tau'}$$

(just like with subtyping and subsumption).

Parallel Reduction of  $\tau$  to  $\tau'$ :

au 
i au 
arrow au'

$$\overline{\tau \Rightarrow \tau}$$

$$\frac{\tau_{a1} \Rightarrow \tau_{a2} \quad \tau_{r1} \Rightarrow \tau_{r2}}{\tau_{a1} \to \tau_{r1} \Rightarrow \tau_{a2} \to \tau_{r2}} \qquad \frac{\tau_{r1} \Rightarrow \tau_{r2}}{\forall \alpha :: \kappa_{a}. \ \tau_{r1} \Rightarrow \forall \alpha :: \kappa_{a}. \ \tau_{r2}}$$

$$\frac{\tau_{b1} \Rightarrow \tau_{b2}}{\lambda \alpha :: \kappa_{a}. \ \tau_{b1} \Rightarrow \lambda \alpha :: \kappa_{a}. \ \tau_{b2}} \qquad \frac{\tau_{f1} \Rightarrow \tau_{f2} \quad \tau_{a1} \Rightarrow \tau_{a2}}{\tau_{f1} \ \tau_{a1} \Rightarrow \tau_{f2} \ \tau_{a2}}$$

$$\frac{\tau_{b} \Rightarrow \tau_{b}'}{(\lambda \alpha :: \kappa_{a}. \ \tau_{b}) \ \tau_{a} \Rightarrow \tau_{b}' [\alpha/\tau_{a}']}$$

A more "computational" relation.

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- ► Parallel reduction has the Church-Rosser property:
  - $$\label{eq:theta} \begin{split} \bullet \mbox{ If } \tau \Rrightarrow^* \tau_1 \mbox{ and } \tau \Rrightarrow^* \tau_2, \\ \mbox{ then there exists } \tau' \mbox{ such that } \tau_1 \Rrightarrow^* \tau' \mbox{ and } \tau_2 \Rrightarrow^* \tau' \end{split}$$

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- Equivalent types share a common reduct:
  - If  $au_1 \equiv au_2$ , then there exists au' such that  $au_1 \Rrightarrow^* au'$  and  $au_2 \Rrightarrow^* au'$
- Reduction preserves shapes:
  - If int  $\Rightarrow^* \tau'$ , then  $\tau' = int$
  - If  $au_a o au_r \Rrightarrow^* au'$ , then  $au' = au'_a o au'_r$  and  $au_a \Rrightarrow^* au'_a$  and  $au_r \Rrightarrow^* au'_r$
  - If  $\forall \alpha :: \kappa_a . \tau_r \Rightarrow^* \tau'$ , then  $\tau' = \forall \alpha :: \kappa_a . \tau'_r$  and  $\tau_r \Rightarrow^* \tau'_r$

If  $\cdot; \cdot \vdash v : \tau_a \to \tau_r$ , then  $v = \lambda x : \tau_a \cdot e_b$ . Proof:

By cases on the form of v:

If  $\cdot; \cdot \vdash v : \tau_a \to \tau_r$ , then  $v = \lambda x : \tau_a \cdot e_b$ . Proof:

By cases on the form of v:

•  $v = \lambda x : \tau_a. e_b.$ We have that  $v = \lambda x : \tau_a. e_b.$ 

If  $\cdot; \cdot \vdash v : \tau_a \to \tau_r$ , then  $v = \lambda x : \tau_a \cdot e_b$ . Proof:

By cases on the form of v:

$$\triangleright v = c$$

Derivation of  $\cdot; \cdot \vdash v : \tau_a \to \tau_r$  must be of the form:

$$\begin{array}{c} \vdots \\ \hline \hline \vdots \\ \hline \cdot; \cdot \vdash c: \operatorname{int} & \operatorname{int} \equiv \tau_1 \\ \hline & \vdots \\ \hline & \vdots \\ \hline & \vdots \\ \hline \cdot; \cdot \vdash c: \tau_{n-1} & \tau_{n-1} \equiv \tau_n \\ \hline & \hline & \vdots \\ \hline & \vdots \\ \hline & \cdot; \cdot \vdash c: \tau_n & \tau_n \equiv \tau_a \to \tau_r \end{array}$$

Therefore, we can construct the derivation  $\operatorname{int} \equiv \tau_a \to \tau_r$ . We can find a common reduct:  $\operatorname{int} \Rightarrow^* \tau^{\dagger}$  and  $\tau_a \to \tau_r \Rightarrow^* \tau^{\dagger}$ . Reduction preserves shape:  $\operatorname{int} \Rightarrow^* \tau^{\dagger}$  implies  $\tau^{\dagger} = \operatorname{int}$ . Reduction preserves shape:  $\tau_a \to \tau_r \Rightarrow^* \tau^{\dagger}$  implies  $\tau^{\dagger} = \tau'_a \to \tau'_r$ . But,  $\tau^{\dagger} = \operatorname{int}$  and  $\tau^{\dagger} = \tau'_a \to \tau'_r$  is a contradiction.

If  $\cdot; \cdot \vdash v : \tau_a \to \tau_r$ , then  $v = \lambda x : \tau_a \cdot e_b$ . Proof:

By cases on the form of v:

• 
$$v = \Lambda \alpha :: \kappa_a . e_b$$
.  
Derivation of  $\cdot; \cdot \vdash v : \tau_a \to \tau_r$  must be of the form:

Therefore, we can construct the derivation  $\forall \alpha :: \kappa_a. \tau_z \equiv \tau_a \to \tau_r$ . We can find a common reduct:  $\forall \alpha :: \kappa_a. \tau_z \Rightarrow^* \tau^{\dagger}$  and  $\tau_a \to \tau_r \Rightarrow^* \tau^{\dagger}$ . Reduction preserves shape:  $\forall \alpha :: \kappa_a. \tau_z \Rightarrow^* \tau^{\dagger}$  implies  $\tau^{\dagger} = \forall \alpha :: \kappa_a. \tau'_z$ . Reduction preserves shape:  $\tau_a \to \tau_r \Rightarrow^* \tau^{\dagger}$  implies  $\tau^{\dagger} = \tau'_a \to \tau'_r$ . But,  $\tau^{\dagger} = \forall \alpha :: \kappa_a. \tau'_z$  and  $\tau^{\dagger} = \tau'_a \to \tau'_r$  is a contradiction.

System  $F_{\omega}$  is type safe.

Where was the  $\Delta \vdash \tau :: \kappa$  judgement used in the proof?

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After weeks of thinking about type systems, kinding seems natural; but kinding is not required for type safety!

- $e \quad ::= \quad c \mid x \mid \lambda x : \tau. \; e \mid e \; e \mid \Lambda \alpha. \; e \mid e \; [\tau]$
- $v ::= c \mid \lambda x : \tau. e \mid \Lambda \alpha. e$
- $\tau \quad ::= \quad \text{int} \mid \tau \to \tau \mid \alpha \mid \forall \alpha. \ \tau \mid \lambda \alpha. \ \tau \mid \tau \ \tau$

 $\begin{array}{ccc} \Gamma & ::= & \cdot \mid \Gamma, x{:}\tau \\ \Delta & ::= & \cdot \mid \Delta, \alpha \end{array}$ 

$$e \rightarrow_{\mathsf{cbv}} e'$$

$$\frac{e_{f} \rightarrow_{\mathsf{Cbv}} e'_{f}}{(\lambda x: \tau. e_{b}) v_{a} \rightarrow_{\mathsf{Cbv}} e_{b}[v_{a}/x]} \qquad \frac{e_{f} \rightarrow_{\mathsf{Cbv}} e'_{f}}{e_{f} e_{a} \rightarrow_{\mathsf{Cbv}} e'_{f} e_{a}} \qquad \frac{e_{a} \rightarrow_{\mathsf{Cbv}} e'_{a}}{v_{f} e_{a} \rightarrow_{\mathsf{Cbv}} v_{f} e'_{a}}$$
$$\frac{e_{f} \rightarrow_{\mathsf{Cbv}} e'_{f}}{e_{f} [\tau_{a}] \rightarrow_{\mathsf{Cbv}} e'_{f} [\tau_{a}]}$$

$$\Delta \vdash \tau :: \checkmark$$

$$\begin{array}{ccc} & \underline{\Delta \vdash \tau_a :: \checkmark \quad \Delta \vdash \tau_r :: \checkmark} \\ & \underline{\Delta \vdash \operatorname{int} :: \checkmark} \\ & \underline{\alpha \in \Delta} \\ & \underline{\Delta \vdash \alpha :: \checkmark} \end{array} & \begin{array}{c} \underline{\Delta \vdash \tau_a :: \checkmark \quad \Delta \vdash \tau_r :: \checkmark} \\ & \underline{\Delta, \alpha \vdash \tau_r :: \checkmark} \\ & \underline{\Delta \vdash \forall \alpha. \ \tau_r :: \checkmark} \\ \\ & \underline{\Delta \vdash \lambda \alpha. \ \tau_b :: \checkmark} \end{array} & \begin{array}{c} \underline{\Delta \vdash \tau_f :: \checkmark \quad \Delta \vdash \tau_a :: \checkmark} \\ & \underline{\Delta \vdash \tau_f \ \tau_a :: \checkmark} \end{array}$$

Check that free type variables of au are in  $\Delta$ , but nothing else.

$$au \equiv au'$$

$$\frac{\tau_{2} \equiv \tau}{\tau \equiv \tau} \qquad \frac{\tau_{2} \equiv \tau_{1}}{\tau_{1} \equiv \tau_{2}} \qquad \frac{\tau_{1} \equiv \tau_{2} \equiv \tau_{3}}{\tau_{1} \equiv \tau_{3}}$$

$$\frac{\tau_{a1} \equiv \tau_{a2}}{\tau_{a1} \to \tau_{r1} \equiv \tau_{a2} \to \tau_{r2}} \qquad \frac{\tau_{r1} \equiv \tau_{r2}}{\forall \alpha. \ \tau_{r1} \equiv \forall \alpha. \ \tau_{r2}}$$

$$\frac{\tau_{b1} \equiv \tau_{b2}}{\lambda \alpha. \tau_{b1} \equiv \lambda \alpha. \tau_{b2}} \qquad \qquad \frac{\tau_{f1} \equiv \tau_{f2}}{\tau_{f1} \tau_{a1} \equiv \tau_{f2} \tau_{a2}}$$

$$(\lambda lpha. au_b) au_a \equiv au_b [lpha / au_a]$$

$$\Delta;\Gammadash e: au$$

Δ

$$\begin{array}{c} \overline{\Delta; \Gamma \vdash c: \mathsf{int}} & \overline{\Delta; \Gamma \vdash x: \tau} \\ \hline \overline{\Delta; \Gamma \vdash \lambda: \tau_a \vdash e_b: \tau_r} & \overline{\Delta; \Gamma \vdash e_f: \tau_a \to \tau_r \quad \Delta; \Gamma \vdash e_a: \tau_a} \\ \hline \overline{\Delta; \Gamma \vdash \lambda: \tau_a. e_b: \tau_a \to \tau_r} & \overline{\Delta; \Gamma \vdash e_f e_a: \tau_r} \\ \hline \frac{\Delta, \alpha; \Gamma \vdash e_b: \tau_r}{\Delta; \Gamma \vdash \Lambda \alpha. e_b: \forall \alpha. \tau_r} & \overline{\Delta; \Gamma \vdash e_f: \forall \alpha. \tau_r \quad \Delta \vdash \tau_a:: \checkmark} \\ \hline \frac{\Delta; \Gamma \vdash e: \tau \quad \tau \equiv \tau'}{\Delta; \Gamma \vdash e: \tau'} \end{array}$$

 $\Gamma(x) = \tau$ 

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- Preservation: Induction on typing derivation, using substitution lemmas:
  - Term Substitution:

 $\begin{array}{l} \text{if } \Delta_1, \Delta_2; \Gamma_1, x: \tau_x, \Gamma_2 \vdash e_1: \tau \text{ and } \Delta_1; \Gamma_1 \vdash e_2: \tau_x, \\ \text{then } \Delta_1, \Delta_2; \Gamma_1, \Gamma_2 \vdash e_1[e_2/x]: \tau. \end{array}$ 

- Type Substitution: if Δ<sub>1</sub>, α, Δ<sub>2</sub> ⊢ τ<sub>1</sub> :: √ and Δ<sub>1</sub> ⊢ τ<sub>2</sub> :: √, then Δ<sub>1</sub>, Δ<sub>2</sub> ⊢ τ<sub>1</sub>[τ<sub>2</sub>/α] :: √.
  Type Substitution:
- if  $\tau_1 \equiv \tau_2$ , then  $\tau_1[\tau/\alpha] \equiv \tau_2[\tau/\alpha]$ .
- Type Substitution: if  $\Delta_1, \alpha, \Delta_2; \Gamma_1, \Gamma_2 \vdash e_1 : \tau$  and  $\Delta_1 \vdash \tau_2 :: \checkmark$ , then  $\Delta_1, \Delta_2; \Gamma_1, \Gamma_2[\tau_2/\alpha] \vdash e_1[\tau_2/\alpha] : \tau$ .
- All straightforward inductions, using various weakening and exchange lemmas.

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Progress: Induction on typing derivation, using canonical form lemmas:

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- If  $\cdot; \cdot \vdash v : \forall \alpha. \tau_r$ , then  $v = \Lambda \alpha. e_b$ .
- Using parallel reduction relation.

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The typing derivation  $\cdot; \cdot \vdash e : \tau$ includes definitional-equivalence sub-derivations  $\tau \equiv \tau'$ , which are explicit evidence that  $\tau$  and  $\tau'$  are the same.

E.g., to show that the "natural" type of the function expression in an application is equivalent to an arrow type:

$$\begin{array}{ccc} \underbrace{\overline{\Delta; \Gamma \vdash e_{f}: \tau_{f}}}_{\Delta; \Gamma \vdash e_{f}: \tau_{a} \rightarrow \tau_{r}} & \\ \hline \\ \hline \\ \underline{\Delta; \Gamma \vdash e_{f}: \tau_{a} \rightarrow \tau_{r}} \\ \Delta; \Gamma \vdash e_{f} e_{a}: \tau_{r} \end{array} \qquad \begin{array}{c} \vdots \\ \hline \\ \overline{\Delta; \Gamma \vdash e_{a}: \tau_{a}} \end{array}$$

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Definitional equivalence ( $\tau \equiv \tau'$ ) and parallel reduction ( $\tau \Rightarrow \tau'$ ) do not require well-kinded types (although they preserve the kinds of well-kinded types).

► E.g., 
$$(\lambda \alpha . \alpha \rightarrow \alpha)$$
 (int int)  $\equiv$  (int int)  $\rightarrow$  (int int)

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Definitional equivalence ( $\tau \equiv \tau'$ ) and parallel reduction ( $\tau \Rightarrow \tau'$ ) do not require well-kinded types (although they preserve the kinds of well-kinded types).

Type (and kind) erasure means that "wrong/bad/meaningless" types do not affect run-time behavior.

Ill-kinded types can't make well-typed terms get stuck.

Kinds aren't for type safety:

Because a typing derivation (even with ill-kinded types), carries enough evidence to guarantee that expressions don't get stuck.

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Two issues:  
• 
$$\frac{\Delta; \Gamma \vdash e : \tau \quad \tau \equiv \tau' \quad \Delta \vdash \tau' :: \star}{\Delta; \Gamma \vdash e : \tau'}$$
 is a non-syntax-directed rule  
•  $\tau \equiv \tau'$  is a non-syntax-directed relation  
One non-issue:

• 
$$\Delta \vdash \tau :: \kappa$$
 is a syntax-directed relation (STLC "one level up")
Remove non-syntax-directed rules and relations:

 $\Delta;\Gammadash e: au$ 

 $\Gamma(x) = \tau$  $\Delta: \Gamma \vdash x : \tau$  $\Delta: \Gamma \vdash c: int$  $\Delta \vdash \tau_a :: \star \qquad \Delta; \Gamma, x : \tau_a \vdash e_b : \tau_r$  $\Delta, \alpha :: \kappa_a; \Gamma \vdash e_b : \tau_r$  $\Delta; \Gamma \vdash \lambda x : \tau_a. e_b : \tau_a \to \tau_r$  $\Delta: \Gamma \vdash \Lambda \alpha. e_h : \forall \alpha :: \kappa_a. \tau_r$  $\Delta; \Gamma \vdash e_f : \tau_f \qquad \tau_f \Rightarrow^{\Downarrow} \tau_f' \qquad \tau_f' = \tau_{fa}' \to \tau_{fr}'$  $\Delta; \Gamma \vdash e_a : \tau_a \qquad \tau_a \Rightarrow^{\Downarrow} \tau'_a \qquad \tau'_{fa} = \tau'_a$  $\Delta; \Gamma \vdash e_f e_a : \tau'_{f_m}$  $\Delta; \Gamma \vdash e_f : \tau_f \qquad \tau_f \Rightarrow^{\Downarrow} \tau_f' \qquad \tau_f' = \forall \alpha :: \kappa_{fa} \cdot \tau_{fr}$  $\Delta \vdash \tau_a :: \kappa_a \qquad \kappa_{fa} = \kappa_a$  $\Delta; \Gamma \vdash e_f [\tau_a] : \tau_{fr} [\tau_a / \alpha]$ 

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Given  $\Delta$ ,  $\Gamma$ , and e, does there exist  $\tau$  such that  $\Delta$ ;  $\Gamma \vdash e : \tau$ .

- Well-kinded types don't get stuck.
  - If Δ ⊢ τ :: κ and τ ⇒\* τ', then either τ' is in (weak-head) normal form (i.e., a type-level "value") or τ' ⇒ τ".
  - Proofs by Progress and Preservation on kinding and parallel reduction derivations.

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  - Proofs by Progress and Preservation on kinding and parallel reduction derivations.
  - But, irrelevant for type checking of expressions.
    If τ<sub>f</sub> ⇒\* τ'<sub>f</sub> "gets stuck" at a type τ'<sub>f</sub> that is not an arrow type, then the application typing rule does not apply and a typing derivation does not exist.

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- Well-kinded types terminate.
  - If  $\Delta \vdash \tau :: \kappa$ , then there exists  $\tau'$  such that  $\tau \Rightarrow^{\Downarrow} \tau'$ .
  - Proof is similar to that of termination of STLC.

Kinds are for type checking.

Given  $\Delta$ ,  $\Gamma$ , and e, does there exist  $\tau$  such that  $\Delta$ ;  $\Gamma \vdash e : \tau$ .

Metatheory for kind system:

- Well-kinded types don't get stuck.
  - If  $\Delta \vdash \tau :: \kappa$  and  $\tau \Rightarrow^* \tau'$ , then either  $\tau'$  is in (weak-head) normal form (i.e., a type-level "value") or  $\tau' \Rightarrow \tau''$ .
  - But, irrelevant for type checking of expressions.
- Well-kinded types terminate.
  - If  $\Delta \vdash \tau :: \kappa$ , then there exists  $\tau'$  such that  $\tau \Rightarrow^{\Downarrow} \tau'$ .
  - Proof is similar to that of termination of STLC.

Type checking for System  $F_{\omega}$  is decidable.

# Going Further

This is just the tip of an iceberg.

- Pure type systems
  - Why stop at three levels of expressions (terms, types, and kinds)?
  - Allow abstraction and application at the level of kinds, and introduce *sorts* to classify kinds.
  - Why stop at four levels of expressions?

▶ ...

"For programming languages, however, three levels have proved sufficient."