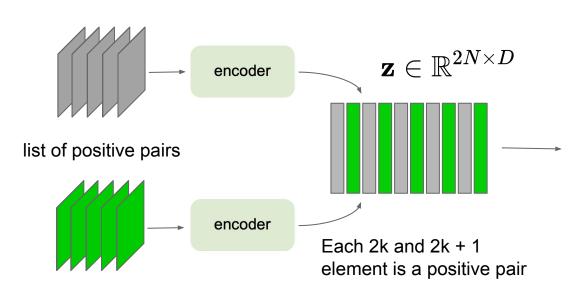
## Lecture 15: LLMs

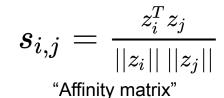
#### Administrative

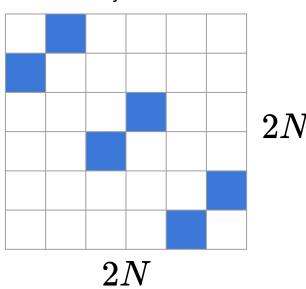
- Assignment 4 due tonight
- A5 out
- Some edits to class schedule
  - RL lecture removed to make room for diffusion
  - Assignments adjusted accordingly
- Last 1.5 lectures done by Vivek (TA + researcher in diffusion)

## SimCLR: mini-batch training



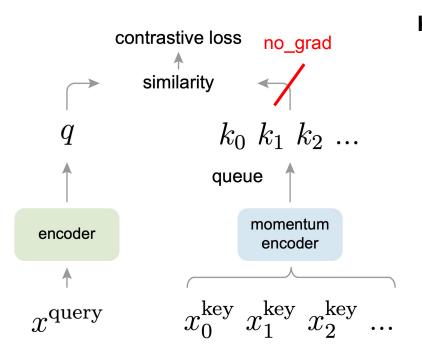
\*We use a slightly different formulation in the assignment. You should follow the assignment instructions.





= classification label for each row

## Momentum Contrastive Learning (MoCo)



#### **Key differences to SimCLR:**

- Keep a running queue of keys (negative samples).
- Compute gradients and update the encoder only through the queries.
- Decouple min-batch size with the number of keys: can support a large number of negative samples.
- The key encoder is slowly progressing through the momentum update rules:

$$\theta_{\mathbf{k}} \leftarrow m\theta_{\mathbf{k}} + (1-m)\theta_{\mathbf{q}}$$

Source: He et al., 2020

#### "MoCo V2"

#### **Improved Baselines with Momentum Contrastive Learning**

Xinlei Chen Haoqi Fan Ross Girshick Kaiming He Facebook AI Research (FAIR)

A hybrid of ideas from SimCLR and MoCo:

- From SimCLR: non-linear projection head and strong data augmentation.
- From MoCo: momentum-updated queues that allow training on a large number of negative samples (no TPU required!).

#### MoCo vs. SimCLR vs. MoCo V2

	unsup. pre-train			ImageNet	VOC detection			
case	MLP	aug+	cos	epochs	acc.	$AP_{50}$	AP	AP <sub>75</sub>
supervised					76.5	81.3	53.5	58.8
MoCo v1				200	60.6	81.5	55.9	62.6
(a)	✓			200	66.2	82.0	56.4	62.6
(b)		$\checkmark$		200	63.4	82.2	56.8	63.2
(c)	✓	$\checkmark$		200	67.3	82.5	57.2	63.9
(d)	✓	√	$\checkmark$	200	67.5	82.4	57.0	63.6
(e)	✓	$\checkmark$	$\checkmark$	800	71.1	82.5	57.4	64.0

Table 1. **Ablation of MoCo baselines**, evaluated by ResNet-50 for (i) ImageNet linear classification, and (ii) fine-tuning VOC object detection (mean of 5 trials). "**MLP**": with an MLP head; "**aug+**": with extra blur augmentation; "**cos**": cosine learning rate schedule.

#### **Key takeaways:**

 Non-linear projection head and strong data augmentation are crucial for contrastive learning.

#### MoCo vs. SimCLR vs. MoCo V2

	unsup. pre-train					ImageNet
case	MLP	aug+	cos	epochs	batch	acc.
MoCo v1 [6]				200	256	60.6
SimCLR [2]	✓	$\checkmark$	$\checkmark$	200	256	61.9
SimCLR [2]	✓	$\checkmark$	$\checkmark$	200	8192	66.6
MoCo v2	✓	$\checkmark$	$\checkmark$	200	256	67.5
results of longer unsupervised training follow:						
SimCLR [2]	<b>√</b>	<b>√</b>	<b>√</b>	1000	4096	69.3
MoCo v2	✓	$\checkmark$	$\checkmark$	800	256	71.1

Table 2. **MoCo** *vs.* **SimCLR**: ImageNet linear classifier accuracy (**ResNet-50, 1-crop 224**×**224**), trained on features from unsupervised pre-training. "aug+" in SimCLR includes blur and stronger color distortion. SimCLR ablations are from Fig. 9 in [2] (we thank the authors for providing the numerical results).

#### **Key takeaways:**

- Non-linear projection head and strong data augmentation are crucial for contrastive learning.
- Decoupling mini-batch size with negative sample size allows MoCo-V2 to outperform SimCLR with smaller batch size (256 vs. 8192).

#### MoCo vs. SimCLR vs. MoCo V2

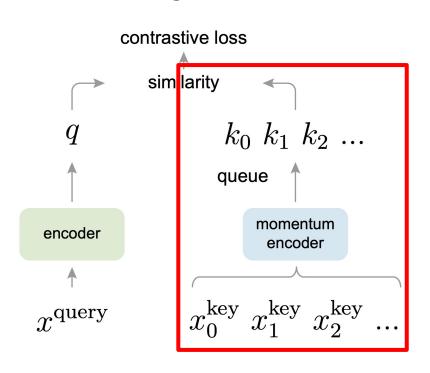
mechanism	batch	memory / GPU	time / 200-ep.
MoCo	256	5.0G	53 hrs
end-to-end	256	7.4G	65 hrs
end-to-end	4096	$93.0G^{\dagger}$	n/a

Table 3. **Memory and time cost** in 8 V100 16G GPUs, implemented in PyTorch. †: based on our estimation.

#### **Key takeaways:**

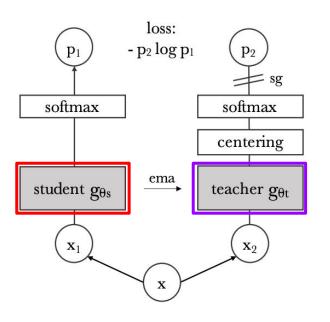
- Non-linear projection head and strong data augmentation are crucial for contrastive learning.
- Decoupling mini-batch size with negative sample size allows MoCo-V2 to outperform SimCLR with smaller batch size (256 vs. 8192).
- ... all with much smaller memory footprint! ("end-to-end" means SimCLR here)

# Problem with MoCoV2: Need to keep around a set of negatives



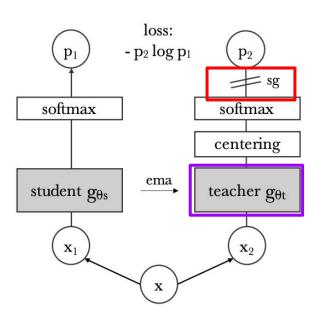
Do we need these negatives?

#### Solution: DINO: self-distillation with no labels

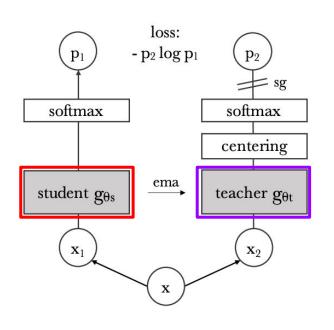


- Similar to SimCLR and MOCO but with one big difference: no negatives
- Reformulates contrastive learning as knowledge distillation between a student and a teacher model.

#### Solution: DINO: self-distillation with no labels



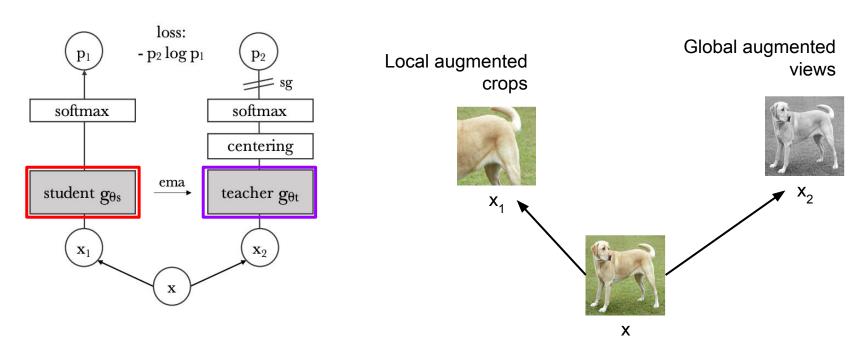
 The teacher model is not trained: sg stands for stop-gradient: meaning that gradients are prevented from flowing back.

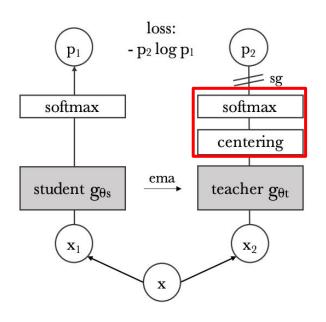


 The teacher model is like the momentum encoder. It is a running average of the student model

$$\theta_t \leftarrow \lambda \theta_t + (1 - \lambda)\theta_s$$

- The teacher sees a global view augmentation of the image
- Student only sees augmented local crops of the image

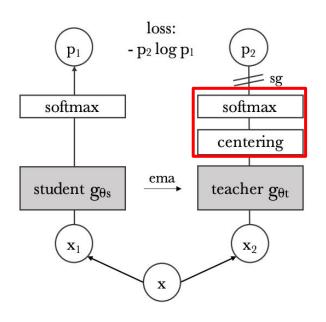




#### Training tricks:

- Centering: prevents one dimension from dominating.
  - A constant value c is added to all dimensions of the teacher's output.
  - c is a running average of outputs

$$g_t(x) \leftarrow g_t(x) + c, c \leftarrow mc + (1-m)\frac{1}{B}\sum_{i=1}^B g_{\theta_t}(x_i)$$

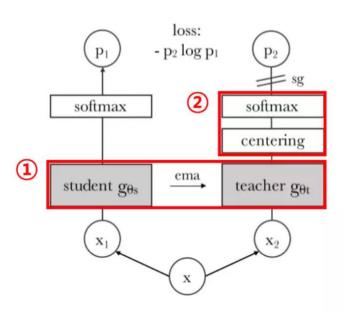


#### Training tricks:

- Sharpening:
  - A temperature (Tau)
     hyperparameter is used to
     sharpen the distributions towards
     one dimension.

$$\frac{\exp(g_{\theta_s}(x)^{(i)}/\tau_s)}{\sum_{k=1}^{K} \exp(g_{\theta_s}(x)^{(k)}/\tau_s)}$$

#### **DINO** code



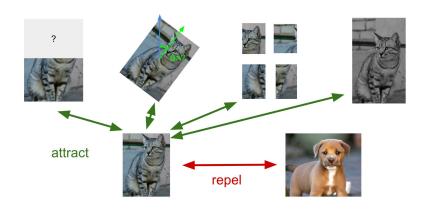
#### Algorithm 1 DINO PyTorch pseudocode w/o multi-crop.

```
gs, gt: student and teacher networks
 C: center (K)
 tps, tpt: student and teacher temperatures
# 1, m: network and center momentum rates
gt.params = gs.params
for x in loader: # load a minibatch x with n samples
   x1, x2 = augment(x), augment(x) # random views
   s1, s2 = gs(x1), gs(x2) # student output n-by-K
   t1, t2 = gt(x1), gt(x2) # teacher output n-by-K
   loss = H(t1, s2)/2 + H(t2, s1)/2
   loss.backward() # back-propagate
    # student, teacher and center updates
   update(gs) # SGD
   gt.params = 1*gt.params + (1-1)*gs.params
   C = m*C + (1-m)*cat([t1, t2]).mean(dim=0)
def H(t, s):
    t = t.detach() # stop gradient
    s = softmax(s / tps, dim=1)
   t = softmax((t - C) / tpt, dim=1) # center + sharpen
   return - (t * log(s)).sum(dim=1).mean()
```

#### Results: DINO

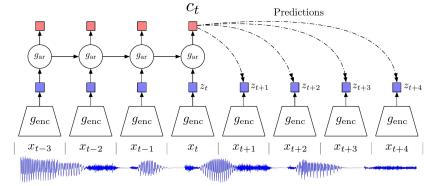
Method	Arch.	Param.	im/s	Linear	k-NN
Supervised	RN50	23	1237	79.3	79.3
SCLR [12]	RN50	23	1237	69.1	60.7
MoCov2 [15]	RN50	23	1237	71.1	61.9
InfoMin [67]	RN50	23	1237	73.0	65.3
BarlowT [81]	RN50	23	1237	73.2	66.0
OBoW [27]	RN50	23	1237	73.8	61.9
BYOL [30]	RN50	23	1237	74.4	64.8
DCv2 [10]	RN50	23	1237	75.2	67.1
SwAV [10]	RN50	23	1237	<b>75.3</b>	65.7
DINO	RN50	23	1237	75.3	67.5

#### Instance vs. Sequence Contrastive Learning



#### **Instance-level contrastive learning:**

contrastive learning based on positive & negative instances. Examples: SimCLR, MoCo

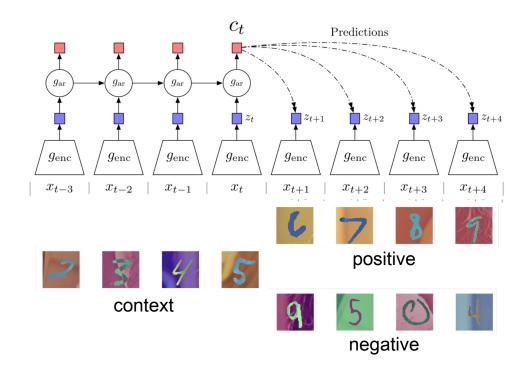


Source: van den Oord et al., 2018

#### Sequence-level contrastive learning:

contrastive learning based on sequential / temporal orders.

Example: Contrastive Predictive Coding (CPC)

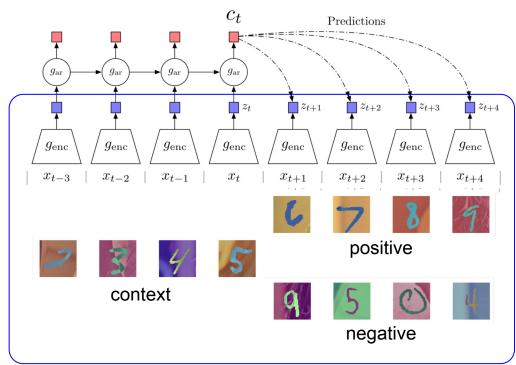


**Contrastive**: contrast between "right" and "wrong" sequences using contrastive learning.

**Predictive**: the model has to predict future patterns given the current context.

**Coding**: the model learns useful feature vectors, or "code", for downstream tasks, similar to other self-supervised methods.

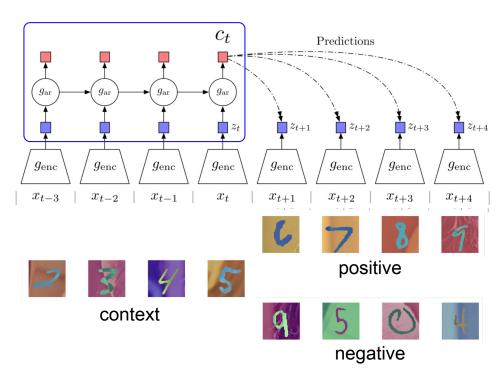
Figure source



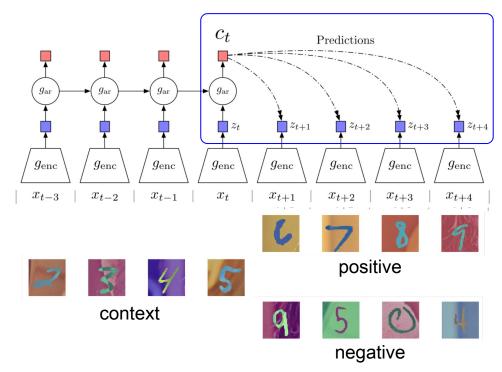
1. Encode all samples in a sequence into vectors  $z_t = g_{enc}(x_t)$ 

Source: van den Oord et al., 2018,

Figure source



- 1. Encode all samples in a sequence into vectors  $\mathbf{z}_t = \mathbf{g}_{enc}(\mathbf{x}_t)$
- 2. Summarize context (e.g., half of a sequence) into a context code  $\boldsymbol{c_t}$  using an auto-regressive model ( $\boldsymbol{g_{ar}}$ ). The original paper uses GRU-RNN here.

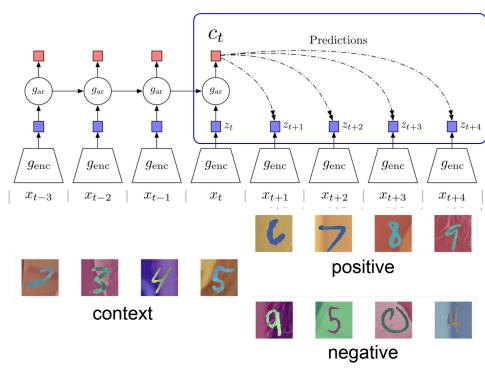


- 1. Encode all samples in a sequence into vectors  $z_t = g_{enc}(x_t)$
- 2. Summarize context (e.g., half of a sequence) into a context code  $c_t$  using an auto-regressive model ( $g_{ar}$ )
- 3. Compute InfoNCE loss between the context  $c_t$  and future code  $z_{t+k}$  using the following time-dependent score function:

$$s_k(z_{t+k},c_t)=z_{t+k}^TW_kc_t$$

, where  $W_k$  is a trainable matrix.

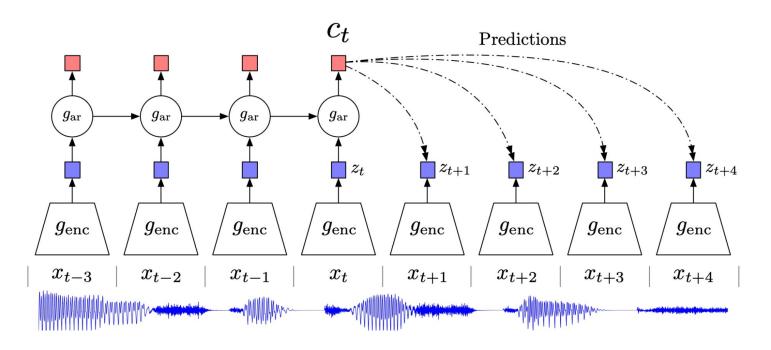
Figure source



- 1. Encode all samples in a sequence into vectors  $z_t = g_{enc}(x_t)$
- 2. Summarize context (e.g., half of a sequence) into a context code  $c_t$  using an auto-regressive model  $(g_{ar})$
- 3. Predict  $\mathbf{z}_{t+k}$  using  $\mathbf{c}$  and trainable weights. Loss is similarity to true  $\mathbf{z}_{t+k}$  value over similarity to constrasting option

Figure source: van den Oord et al., 2018,

### CPC example: modeling audio sequences



#### CPC example: modeling audio sequences

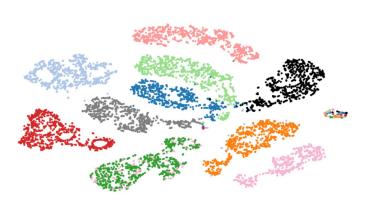


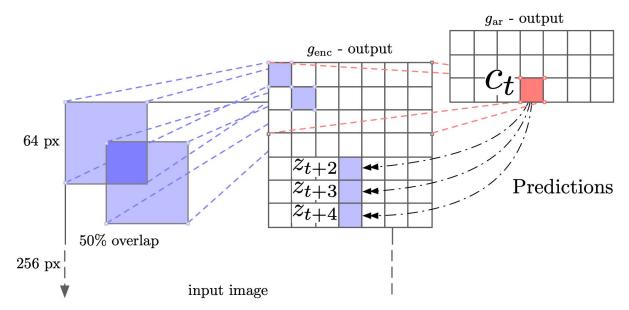
Figure 2: t-SNE visualization of audio (speech) representations for a subset of 10 speakers (out of 251). Every color represents a different speaker.

Method	ACC
Phone classification	
Random initialization	27.6
MFCC features	39.7
CPC	64.6
Supervised	74.6
Speaker classification	
Random initialization	1.87
MFCC features	17.6
CPC	97.4
Supervised	98.5

Linear classification on trained representations (LibriSpeech dataset)

### CPC example: modeling visual context

**Idea**: split image into patches, model rows of patches from top to bottom as a sequence. I.e., use top rows as context to predict bottom rows.

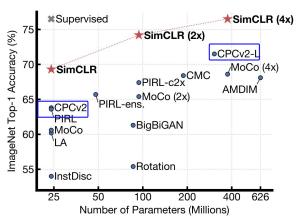


#### CPC example: modeling visual context

Method	Top-1 ACC
Using AlexNet conv5	
Video [28]	29.8
Relative Position [11]	30.4
BiGan [35]	34.8
Colorization [10]	35.2
Jigsaw [29] *	38.1
Using ResNet-V2	
Motion Segmentation [36]	27.6
Exemplar [36]	31.5
Relative Position [36]	36.2
Colorization [36]	39.6
CPC	48.7

Table 3: ImageNet top-1 unsupervised classification results. \*Jigsaw is not directly comparable to the other AlexNet results because of architectural differences.

- Compares favorably with other pretext task-based self-supervised learning method.
- Doesn't do as well compared to newer instance-based contrastive learning methods on image feature learning.



A general formulation for contrastive learning:

$$\operatorname{score}(f(x),f(x^+)) >> \operatorname{score}(f(x),f(x^-))$$

InfoNCE loss: N-way classification among positive and negative samples

$$L = -\mathbb{E}_X \left[ \log \frac{\exp(s(f(x), f(x^+)))}{\exp(s(f(x), f(x^+)) + \sum_{j=1}^{N-1} \exp(s(f(x), f(x_j^-))))} \right]$$

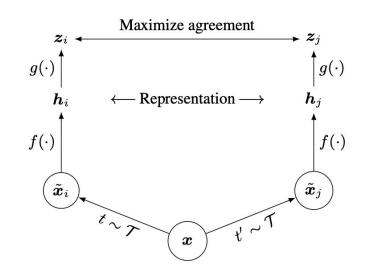
Commonly known as the InfoNCE loss (van den Oord et al., 2018)

A *lower bound* on the mutual information between f(x) and  $f(x^{+})$ 

$$MI[f(x),f(x^+)] - \log(N) \geq -L$$

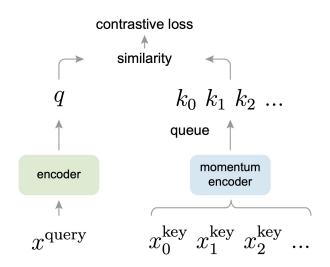
**SimCLR**: a simple framework for contrastive representation learning

- Key ideas: non-linear projection head to allow flexible representation learning
- Simple to implement, effective in learning visual representation
- Requires large training batch size to be effective; large memory footprint



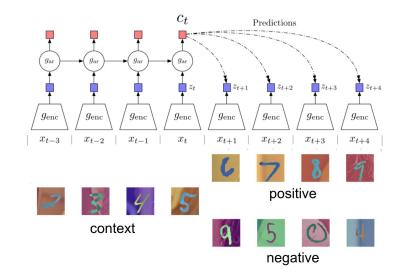
**MoCo** (v1, v2): contrastive learning using momentum sample encoder

- Decouples negative sample size from minibatch size; allows large batch training without TPU
- MoCo-v2 combines the key ideas from SimCLR, i.e., nonlinear projection head, strong data augmentation, with momentum contrastive learning



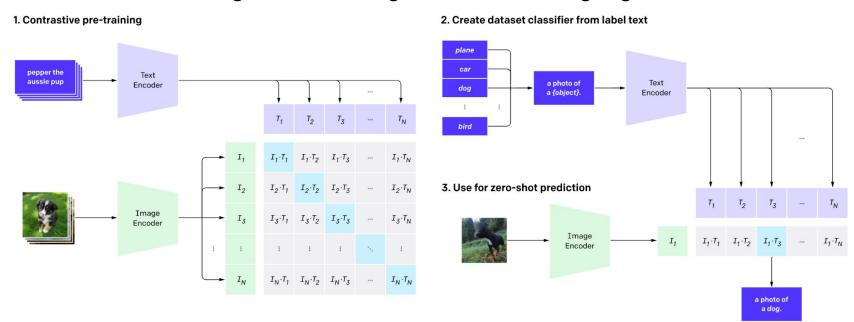
**CPC**: sequence-level contrastive learning

- Contrast "right" sequence with "wrong" sequence.
- InfoNCE loss with a time-dependent score function.
- Can be applied to a variety of learning problems, but not as effective in learning image representations compared to instance-level methods.



#### Other examples: will be covered in next lecture

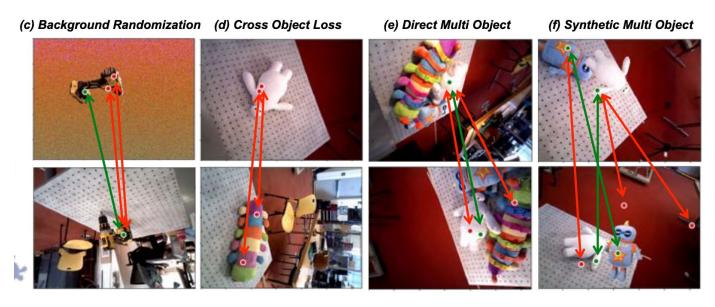
Contrastive learning between image and natural language sentences



CLIP (Contrastive Language–Image Pre-training) Radford et al., 2021

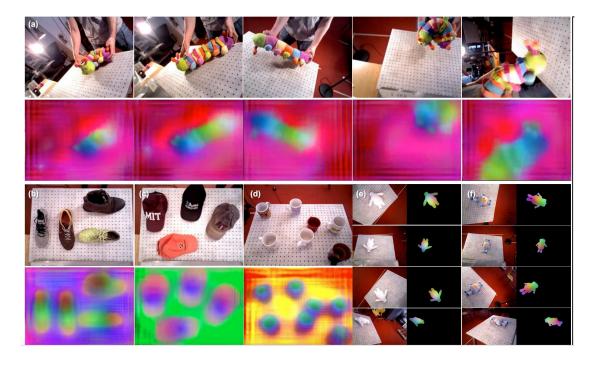
#### Other examples

Contrastive learning on pixel-wise feature descriptors



Dense Object Net, Florence et al., 2018

#### Other examples



Dense Object Net, Florence et al., 2018

#### Other examples



## LLMs

## Recap: Self-Supervised

Skills needed to classify dogs

Identify different colors
Identify which pixels are parts of an object
Understand parts of objects which make up the whole
Understand the context of the object/animal in the image
Understand lighting conditions
Understand objects close up/far away
Have common-sense reasoning skills
Learn which features are associated with which dog

# Recap: Self-Supervised

General visual modelling skills (Can be learned with Self Supervised)

Identify different textures

Identify different colors

Identify which pixels are parts of an object

Understand parts of objects which make up the whole

Understand the context of the object/animal in the image

Understand lighting conditions

Understand objects close up/far away

Have common-sense reasoning skills

Specific (Supervised) | Learn which features are associated with which dog

# Self-Supervised for Language

Skills needed to classify book genres

Knowledge of words/letters
Knowledge of grammar
Meanings of words
Understanding context of words
Keeping track of entities over time
Understanding expressions/idioms
Understanding tone
Learning which features are associated with each genre

# Self-Supervised for Language

General language modelling skills (Can be learned with Self Supervised) Knowledge of words/letters

Knowledge of grammar

Meanings of words

Understanding context of words

Keeping track of entities over time

Understanding expressions/idioms

Understanding tone

Specific (Supervised) | Learning which features are associated with each genre

# Self-Supervised for Language

General language modelling skills (Can be learned with Self Supervised) Knowledge of words/letters
Knowledge of grammar
Meanings of words
Understanding context of words
Keeping track of entities over time
Understanding expressions/idioms
Understanding tone

Specific (Supervised) | Learning which features are associated with each genre

Want: model with general understanding of language (Language model!)

## LLMs

Building LLMs: Pre-training objectives + architectures

- Encoder only
- Decoder only
- Encoder Decoder

**GPT** 

Gradient-Free Performance Improvement

## LLMs

#### **Building LLMs: Pre-training objectives + architectures**

- Encoder only
- Decoder only
- Encoder Decoder

**GPT** 

Gradient-Free Performance Improvement

## Last time

## Pre-training tasks

#### rotation



Gidaris et al. 2018)

## in-painting



Pathak et al., 2016z

#### colorization



Source: Google Al blog post

- Solving the pretext tasks allow the model to learn good features.
- 2. We can automatically generate labels for the pretext tasks.

## Last time

## Pre-training tasks

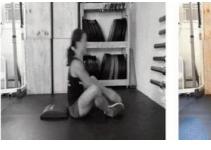
rotation



in-painting



colorization





What to use as pre-training task for language?

It's cold today! Don't forget to wear a \_\_\_\_\_

The \_\_\_\_\_ is a popular tourist attraction in Seattle.

I missed \_\_\_\_ bus.

I had 3 pencils and lost one so now I have \_\_\_\_\_ pencils.

It's cold today! Don't forget to wear a jacket / coat / sweater.

The **Space Needle** is a popular tourist attraction in Seattle.

I missed the bus.

I had 3 pencils and lost one so now I have 2 / two pencils.

It's cold today! Don't forget to wear a jacket / coat / sweater.

The Space Needle is a popular tourist attraction in Seattle.

I missed the bus.

I had 3 pencils and lost one so now I have 2 / two pencils.

**Common Sense** 

**Factual knowledge** 

**Grammar** 

Math/ Reasoning

## LLMs

## **Encoder Only:**

I love cake

## **Decoder Only:**

l love

#### **Encoder-Decoder:**

I love cake me gusta

## LLMs

## **Encoder Only:**

l love cake

## **Decoder Only:**

l love

#### **Encoder-Decoder**:

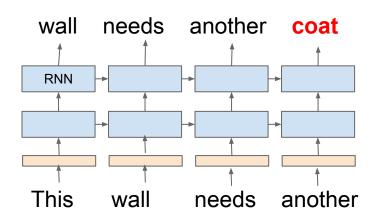
I love cake me gusta

## ELMo (Embeddings from Language Models)

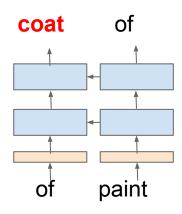
## Pre-training task

This wall needs another **coat** of paint

# Predict words based on previous words



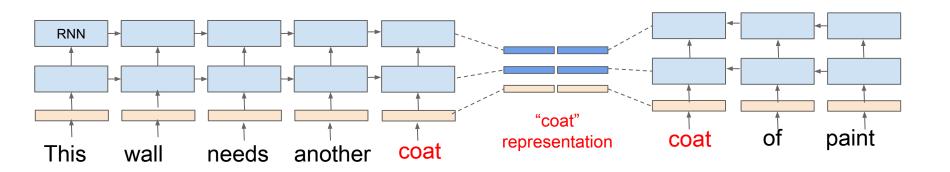
# Predict words based on following words



Peters et al. Deep contextualized word representations. 2018.

# ELMo (Embeddings from Language Models) Application to downstream tasks

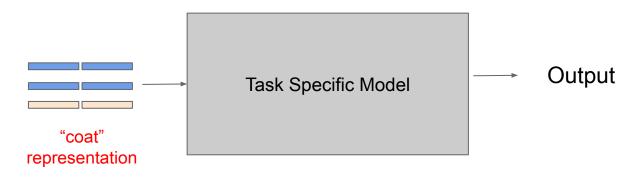
This wall needs another **coat** of paint



Peters et al. Deep contextualized word representations. 2018.

# ELMo (Embeddings from Language Models) Application to downstream tasks

This wall needs another coat of paint

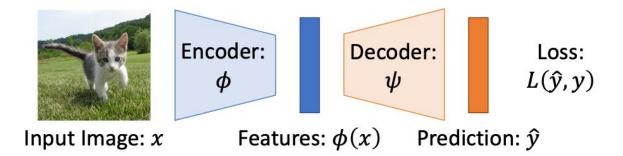


Fine-tune

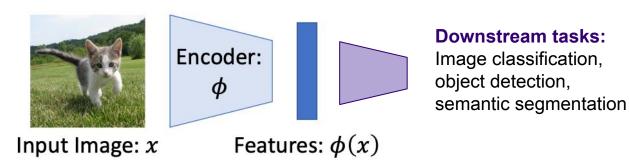
Peters et al. Deep contextualized word representations. 2018.

## Vision: Pre-train and then fine-tune

Step 1: Pretrain a network on a pretext task that doesn't require supervision

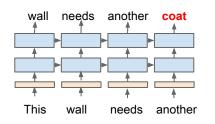


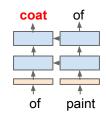
Step 2: Transfer encoder to downstream tasks via linear classifiers, KNN, finetuning



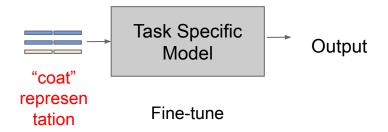
## Language: Pre-train and then fine-tune

Step 1: Pretrain a network on a pretext task that doesn't require supervision





Step 2: Transfer encoder to downstream tasks via linear classifiers, KNN, finetuning



#### **Downstream tasks:**

Sentiment classification, NLI,

. . .

It's cold today! Don't forget to wear a coat.

This wall needs another <u>coat</u> of paint

coat = 0.286 0.792 -0.177 -0.107 0.109 -0.542 0.349 0.271

Glove embeddings use the same vector for every instance of a word, no matter the context!

## Elmo Results

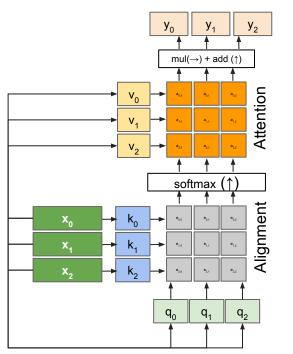
TASK	PREVIOUS SOTA		OUR BASELINE	ELMO + BASELINE	INCREASE (ABSOLUTE/ RELATIVE)
SQuAD	Liu et al. (2017)	84.4	81.1	85.8	4.7 / 24.9%
<b>SNLI</b>	Chen et al. (2017)	88.6	88.0	$88.7 \pm 0.17$	0.7 / 5.8%
SRL	He et al. (2017)	81.7	81.4	84.6	3.2 / 17.2%
Coref	Lee et al. (2017)	67.2	67.2	70.4	3.2 / 9.8%
<b>NER</b>	Peters et al. (2017)	$91.93 \pm 0.19$	90.15	$92.22 \pm 0.10$	2.06 / 21%
SST-5	McCann et al. (2017)	53.7	51.4	$54.7 \pm 0.5$	3.3 / 6.8%

Source: <u>Peters et al. Deep contextualized word representations. 2018.</u>

## Encoder Only: Bert (Bidirectional Encoder Representations from Transformers)

**Input:** Text sequence

**Output:** Feature Vector

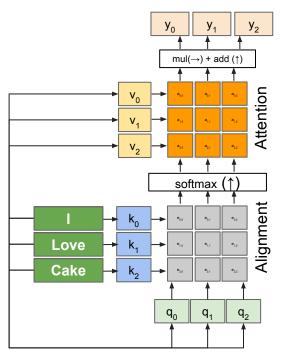


**Outputs:** 

context vectors: **y** (shape: □<sub>v</sub>)

**Input:** Text sequence

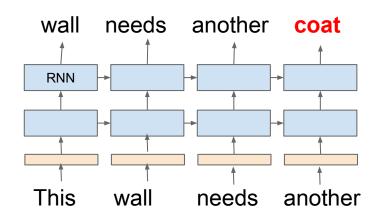
**Output:** Feature Vector

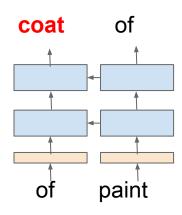


**Outputs:** 

context vectors: **y** (shape: D<sub>j</sub>)

## ELMo (Embeddings from Language Models)

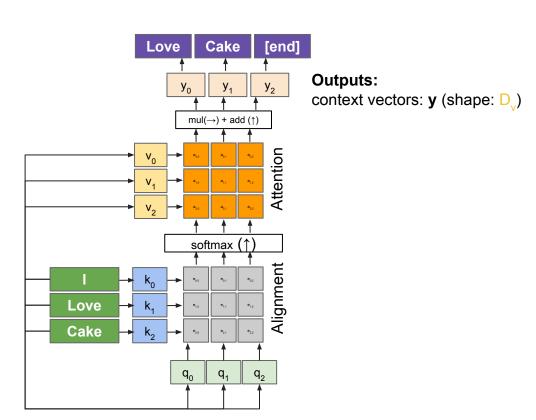




**Input:** Text sequence

**Output:** Feature Vector

What information do the y vectors contain?

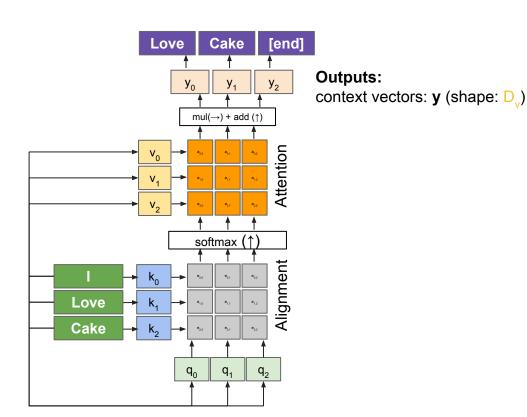


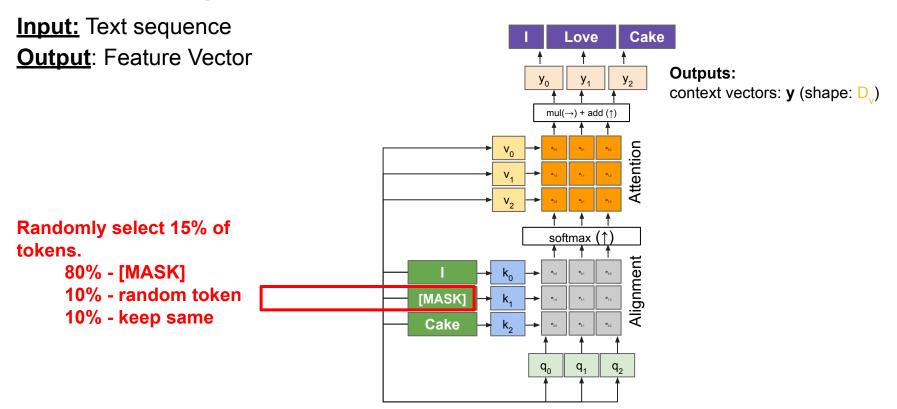
**Input:** Text sequence

**Output:** Feature Vector

What information do the y vectors contain?

**Just copying input** 

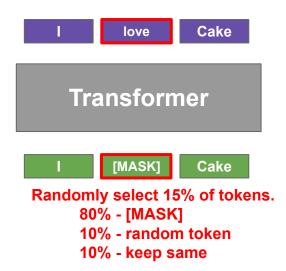




**Input:** Text sequence

**Output:** Feature Vector

1 Masked Language Model



**Input:** Text sequence

**Output:** Feature Vector

- 1 Masked Language Model
- 2. Next Sentence Prediction

#### Does sentence 2 follow sentence 1?



Randomly select 15% of tokens.

80% - [MASK]

10% - random token

10% - keep same

**Input:** Text sequence

**Output**: Feature Vector

- 1 Masked Language Model
- 2. Next Sentence Prediction

#### Does sentence 2 follow sentence 1?



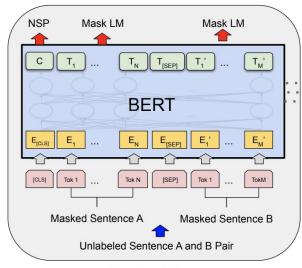
Randomly select 15% of tokens.

80% - [MASK]

10% - random token

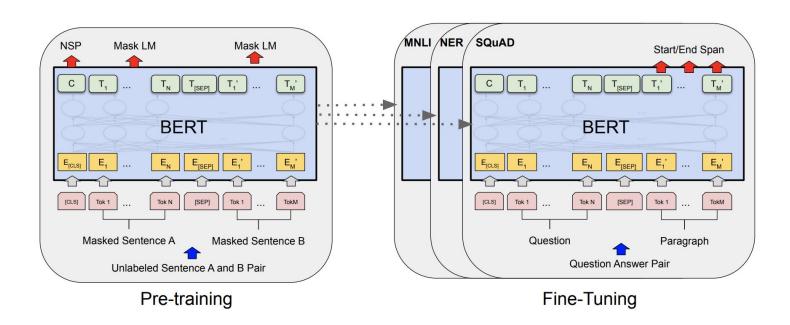
10% - keep same

## Application to downstream tasks



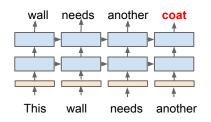
Pre-training

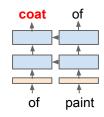
## Application to downstream tasks



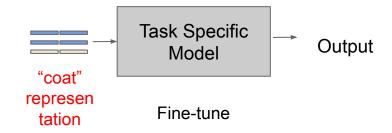
## ELMO: Two step process

Step 1: Pretrain a network on a pretext task that doesn't require supervision





Step 2: Transfer encoder to downstream tasks via linear classifiers, KNN, finetuning



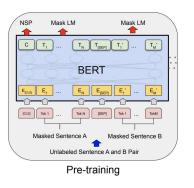
#### Downstream tasks:

Sentiment classification, NLI,

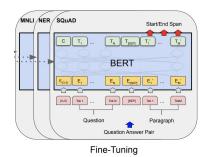
. . .

## BERT: Two step process

Step 1: Pretrain a network on a pretext task that doesn't require supervision



Step 2: Transfer encoder to downstream tasks via linear classifiers, KNN, finetuning



#### **Downstream tasks:**

Sentiment classification, NLI,

. .

## **BERT Results**

System	Dev	Test	
ESIM+GloVe ESIM+ELMo		52.7 59.2	
OpenAI GPT	-	78.0	
${f BERT_{BASE}} \ {f BERT_{LARGE}}$	81.6 <b>86.6</b>	- 86.3	
		<b>86.3</b> 85.0	

Table 4: SWAG Dev and Test accuracies. †Human performance is measured with 100 samples, as reported in the SWAG paper.

System	Dev		Test					
•	EM	F1	EM	F1				
Ton Leaderhoard Systems (Dec 10th, 2018)								
Human		- 1	82.3	91.2				
#1 Ensemble - nlnet		-	86.0	91.7				
#2 Ensemble - QANet	=	-	84.5	90.5				
Published								
BiDAF+ELMo (Single)	-	85.6	-	85.8				
R.M. Reader (Ensemble)	81.2	87.9	82.3	88.5				
Ours								
BERT <sub>BASE</sub> (Single)	80.8	88.5	-	-				
BERT <sub>LARGE</sub> (Single)	84.1	90.9	-	-				
RERT, ARGE (Ensemble)	85.8	91 8						
BERT <sub>LARGE</sub> (Sgl.+TriviaQA)		91.1	85.1	91.8				
BERT <sub>LARGE</sub> (Ens.+TriviaQA)		92.2	87.4	93.2				

Table 2: SQuAD 1.1 results. The BERT ensemble is 7x systems which use different pre-training checkpoints and fine-tuning seeds.

## LLMs

#### **Encoder Only:**

l love cake

**ELMO:** Bi-directional next word prediction,

**BERT:** Masked language objective, Next Sentence Prediction

#### **Decoder Only:**

l love

**Encoder-Decoder:** 

I love cake me gusta

### LLMs

#### **Encoder Only:**

l love cake

**ELMO:** Bi-directional next word prediction,

**BERT:** Masked language objective, Next Sentence Prediction

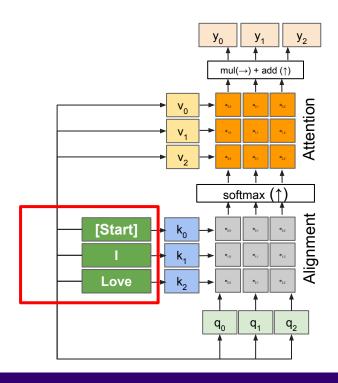
### **Decoder Only:**



#### **Encoder-Decoder:**

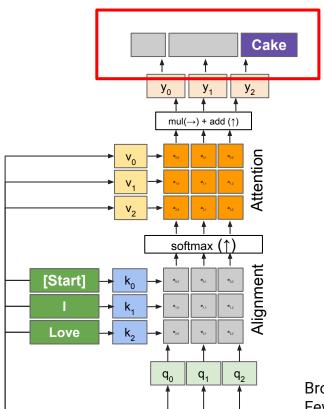


**Input:** Text sequence



**Input:** Text sequence

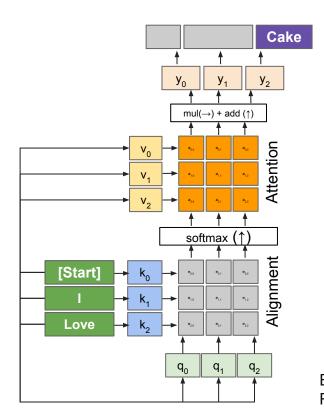
**Output**: Completed text sequence



**Input:** Text sequence

**Output**: Completed text sequence

Cons: Need to process entire sentence in order to get loss from one word - not very much signal for the amount of processing

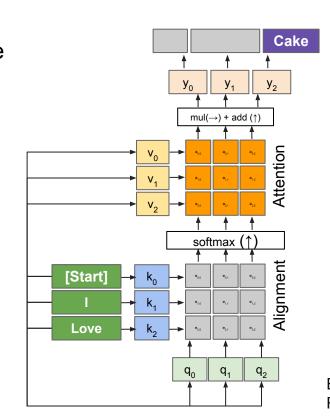


**Input:** Text sequence

**Output**: Completed text sequence

Cons: Need to process entire sentence in order to get loss from one word - not very much signal for the amount of processing

Solution: predict each word given previous words so far

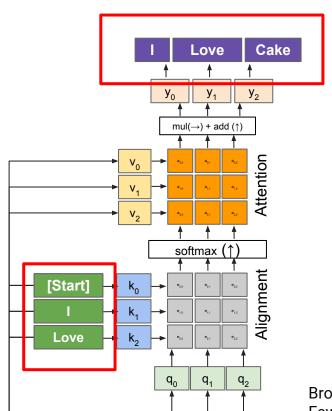


**Input:** Text sequence

**Output**: Completed text sequence

Cons: Need to process entire sentence in order to get loss from one word - not very much signal for the amount of processing

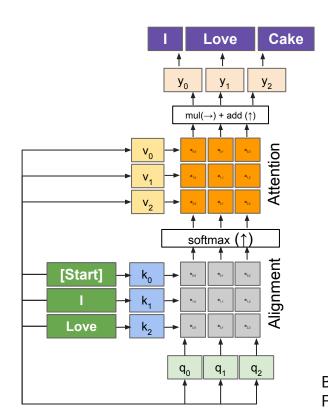
Solution: predict each word given previous words so far



**Input:** Text sequence

**Output**: Completed text sequence

What's wrong with this?

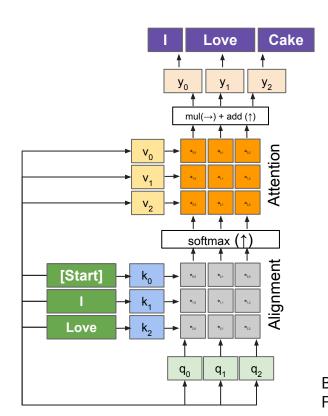


**Input:** Text sequence

**Output**: Completed text sequence

What's wrong with this?

It can see the answer!



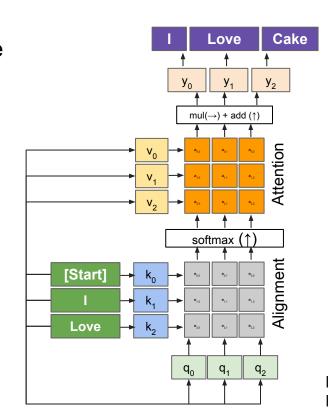
**Input:** Text sequence

**Output**: Completed text sequence

What's wrong with this?

It can see the answer!

Solution: zero out values from future words



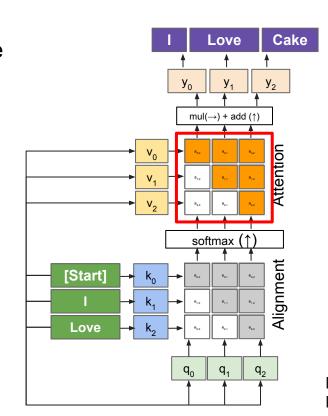
**Input:** Text sequence

**Output**: Completed text sequence

What's wrong with this?

It can see the answer!

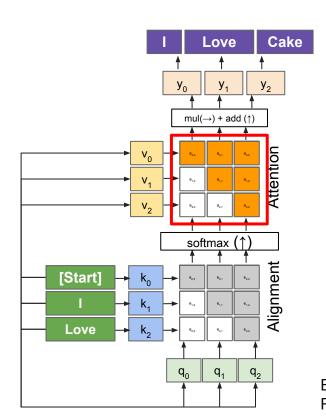
Solution: zero out values from future words



**Input:** Text sequence

**Output:** Completed text sequence

To pre-train: predict next words from previous words for large text corpus



### LLMs

#### **Encoder Only:**

l love cake

**ELMO:** Bi-directional next word prediction,

BERT: Masked language objective, Next Sentence Prediction

#### **Decoder Only:**



**GPT:** next token prediction (autoregressive)

#### **Encoder-Decoder:**



me gusta

### LLMs

#### **Encoder Only:**

l love cake

**ELMO:** Bi-directional next word prediction,

**BERT:** Masked language objective, Next Sentence Prediction

#### **Decoder Only:**

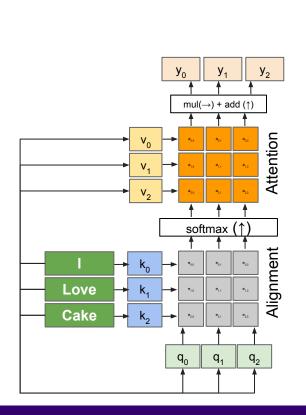
l love

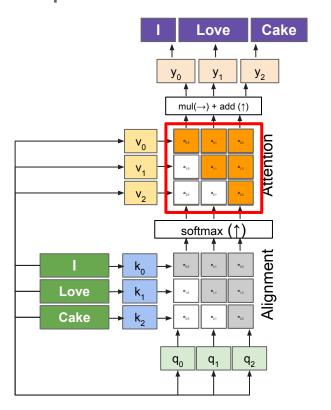
**GPT:** next token prediction (autoregressive)

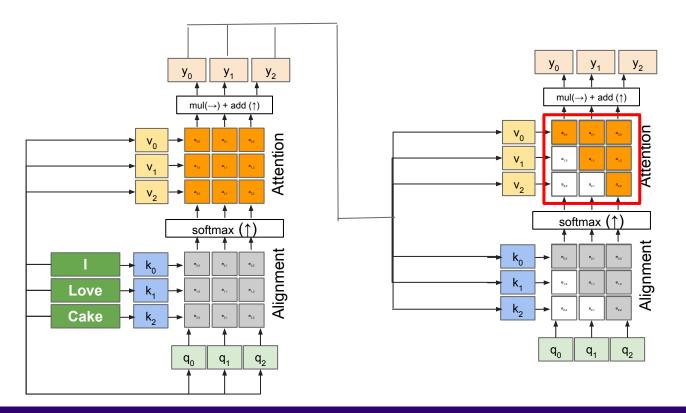
#### **Encoder-Decoder:**

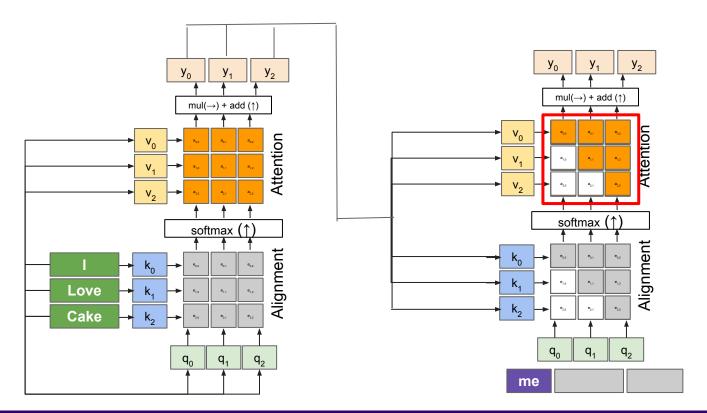


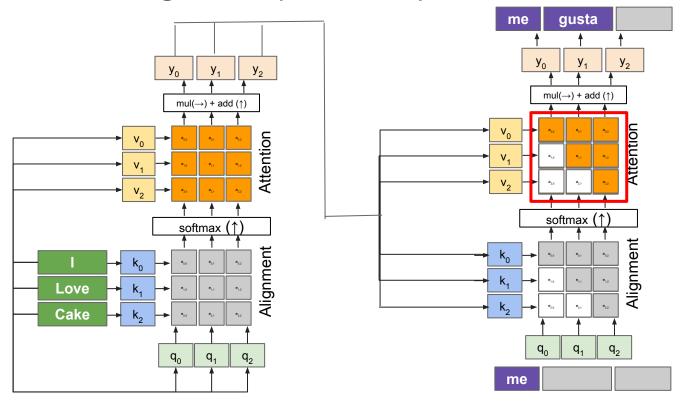
me gusta

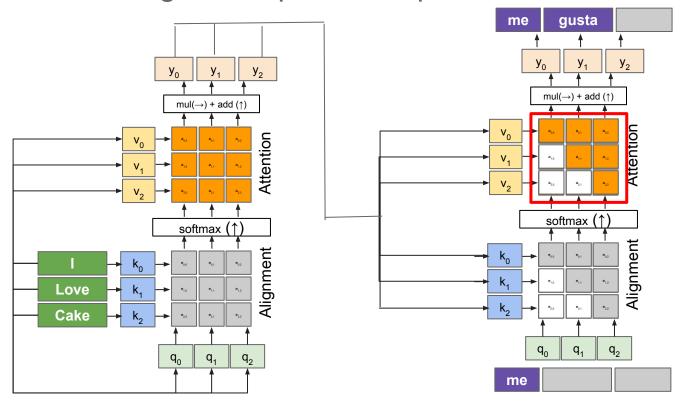












Objective	Inputs	Targets
Prefix language modeling BERT-style Devlin et al. (2018) Deshuffling MASS-style Song et al. (2019) I.i.d. noise, replace spans I.i.d. noise, drop tokens Random spans	Thank you for inviting Thank you <m> <m> me to your party apple week . party me for your to . last fun you inviting week Thank Thank you <m> <m> me to your party <m> week . Thank you <x> me to your party <y> week . Thank you me to your party week . Thank you <x> to <y> week .</y></x></y></x></m></m></m></m></m>	me to your party last week .  (original text) (original text) (original text) <x> for inviting <y> last <z> for inviting last <x> for inviting me <y> your party last <z></z></y></x></z></y></x>

Image Source: Raffel et al. Exploring the Limits of Transfer Learning with a Unified Text-to-Text Transformer. 2019

### LLMs

#### **Encoder Only:**

l love cake

**ELMO:** Bi-directional next word prediction,

**BERT:** Masked language objective, Next Sentence Prediction

#### **Decoder Only:**



**GPT:** text token prediction

#### **Encoder-Decoder:**

T5: Masked language objective

I love cake

me

gusta

### LLMs

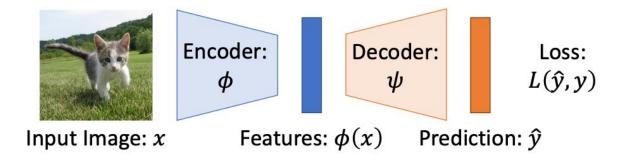
Building LLMs: Pre-training objectives + architectures

- Encoder only
- Decoder only
- Encoder Decoder

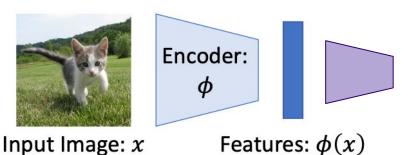
#### **GPT**

Gradient-Free Performance Improvement

Step 1: Pretrain a network on a pretext task that doesn't require supervision



Step 2: Transfer encoder to downstream tasks via linear classifiers, KNN, finetuning

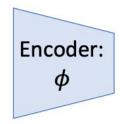


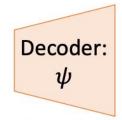
Downstream tasks: Image classification, object detection, semantic segmentation

Step 1: Pretrain a network on a pretext task that doesn't require supervision

reference frame







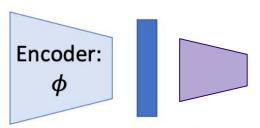


Source: Vondrick et al., 2018

Step 2: Transfer encoder to downstream tasks via linear classifiers, KNN, finetuning



Input Image: x



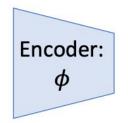
Features:  $\phi(x)$ 

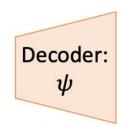
#### Downstream tasks: Image classification, object detection, semantic segmentation

Step 1: Pretrain a network on a pretext task that doesn't require supervision

reference frame









Source: Vondrick et al., 2018

**Step 2:** Use the model out of the box in a creative way!





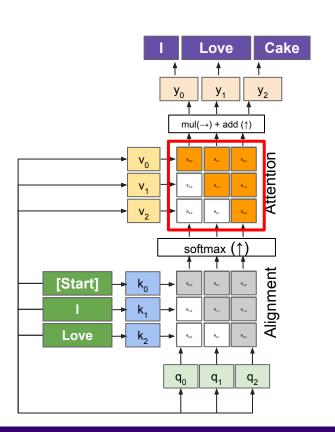


Source: Google Al blog post

**Input:** Text sequence

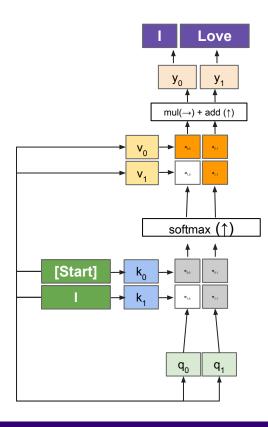
**Output:** Completed text sequence

To pre-train: predict next words from previous words for large text corpus

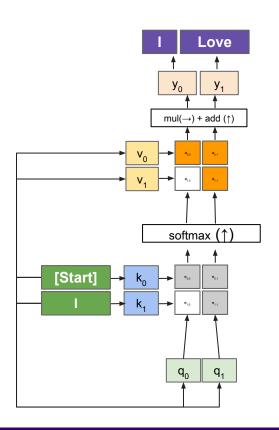


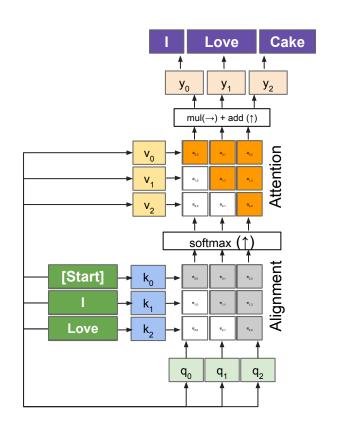
### **Decoder Only:** Inference

### **Decoder Only:** Inference

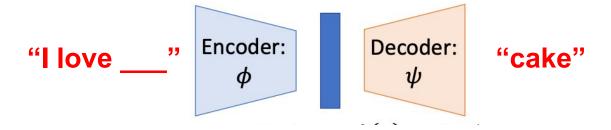


### **Decoder Only:** Inference





Step 1: Pretrain a network on a pretext task that doesn't require supervision

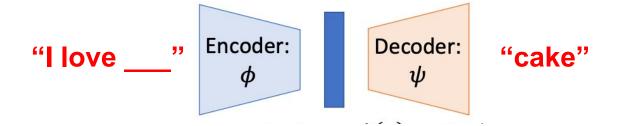


Step 2: Transfer encoder to downstream tasks via linear classifiers, KNN, finetuning

"I hated the movie"

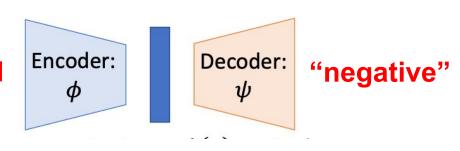
Encoder:  $\phi$ Features:  $\phi(x)$ 

Step 1: Pretrain a network on a pretext task that doesn't require supervision

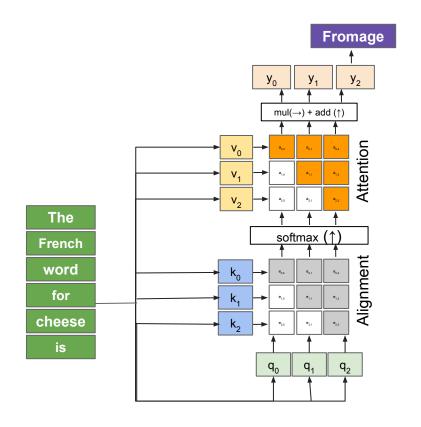


**Step 2:** Use the model out of the box in a creative way!

"The movie review 'I hated the movie' is



### Zero-shot



### **GPT-3** Results

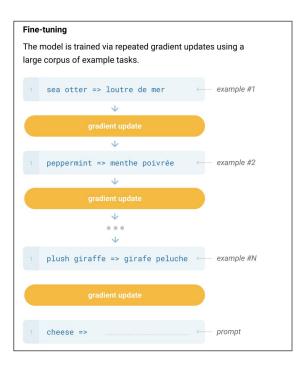


Image Source: <u>Language Models are Few-Shot Learners</u>, <u>Brown et al</u>

### **GPT-3 Results**

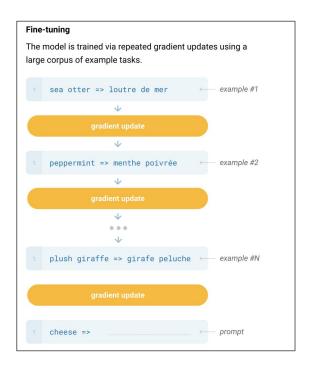




Image Source: <u>Language Models are Few-Shot Learners</u>, <u>Brown et al</u>

# In-Context Learning Fromage $mul(\rightarrow) + add (\uparrow)$ Attention context softmax (↑) Alignment Translate English to French: sea otter => loutre de mer cheese =>

Image Source: Language Models are Few-Shot Learners, Brown et al

## In-Context Learning

#### Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.

```
1 Translate English to French: ← task description
2 cheese => ← prompt
```

#### One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.

```
1 Translate English to French: ← task description
2 sea otter => loutre de mer ← example
3 cheese => ← prompt
```

#### Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

```
Translate English to French: 

task description

sea otter => loutre de mer examples

peppermint => menthe poivrée

plush girafe => girafe peluche

cheese => prompt
```

Image Source: Language Models are Few-Shot Learners, Brown et al

# Effect of In-Context Learning

s.u!c/c!e.s s i/o/n = succession

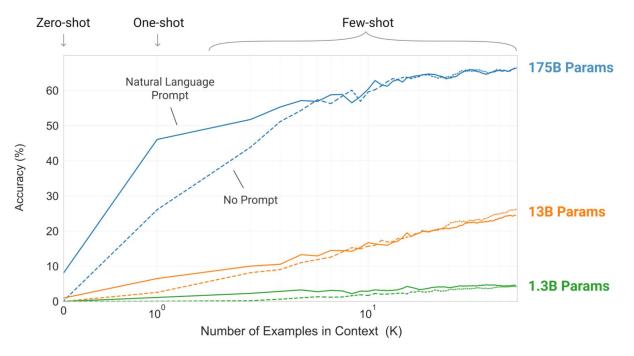


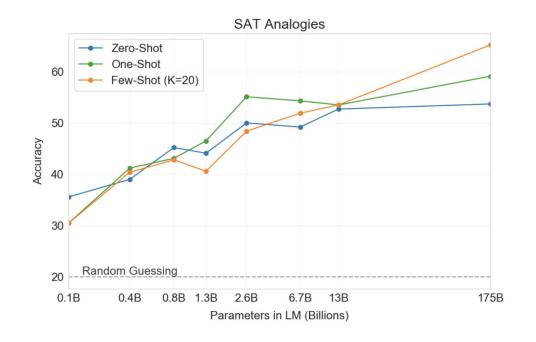
Image Source: Language Models are Few-Shot Learners, Brown et al

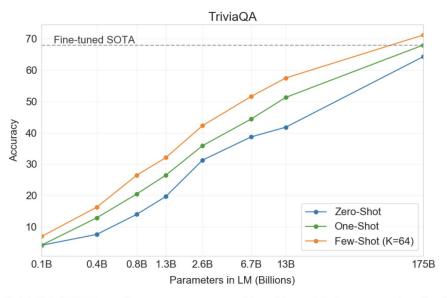
# Effect of In-Context Learning

#### **Example Question:**

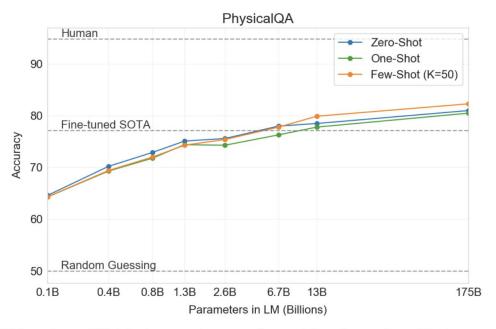
audacious is to boldness as

- (a) sanctimonious is to hypocrisy,
- (b) anonymous is to identity,
- (c) remorseful is to misdeed,
- (d) deleterious is to result,
- (e) impressionable is to temptation





**Figure 3.3:** On TriviaQA GPT3's performance grows smoothly with model size, suggesting that language models continue to absorb knowledge as their capacity increases. One-shot and few-shot performance make significant gains over zero-shot behavior, matching and exceeding the performance of the SOTA fine-tuned open-domain model, RAG [LPP+20]



**Figure 3.6:** GPT-3 results on PIQA in the zero-shot, one-shot, and few-shot settings. The largest model achieves a score on the development set in all three conditions that exceeds the best recorded score on the task.

	SuperGLUI Average	E BoolQ Accuracy	CB y Accurac	CB y F1	COPA Accuracy	RTE Accuracy
Fine-tuned SOTA	89.0	91.0	96.9	93.9	94.8	92.5
Fine-tuned BERT-Large	69.0	77.4	83.6	75.7	70.6	71.7
GPT-3 Few-Shot	71.8	76.4	75.6	52.0	92.0	69.0
	WiC Accuracy	WSC Accuracy	MultiRC Accuracy	MultiRC F1a	ReCoRD Accuracy	ReCoRD F1
Fine-tuned SOTA	76.1	93.8	62.3	88.2	92.5	93.3
Fine-tuned BERT-Large	69.6	64.6	24.1	70.0	71.3	72.0
GPT-3 Few-Shot	49.4	80.1	30.5	75.4	90.2	91.1

**Table 3.8:** Performance of GPT-3 on SuperGLUE compared to fine-tuned baselines and SOTA. All results are reported on the test set. GPT-3 few-shot is given a total of 32 examples within the context of each task and performs no gradient updates.

	SuperGLUI Average	E BoolQ Accuracy	CB y Accurac	CB y F1	COPA Accuracy	RTE Accuracy
Fine-tuned SOTA	89.0	91.0	96.9	93.9	94.8	92.5
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GPT-3 Few-Shot	71.8	76.4	75.6	52.0	92.0	69.0
	WiC Accuracy	WSC Accuracy	MultiRC Accuracy	MultiRC F1a	ReCoRD Accuracy	ReCoRD F1
Fine-tuned SOTA	76.1	93.8	62.3	88.2	92.5	93.3
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**Table 3.8:** Performance of GPT-3 on SuperGLUE compared to fine-tuned baselines and SOTA. All results are reported on the test set. GPT-3 few-shot is given a total of 32 examples within the context of each task and performs no gradient updates.

All GPT was trained to do was predict the next token, how is it so good???

Scale! (in params)



BERT (Large)
340 million params

**GPT - 3 175 billion params** 

# Scale! (In data)



- All of english wikipedia
- 11,000 Books

**GPT - 3** ~300 billion tokens - Common Crawl (Much of the internet)

<sup>1</sup>https://aclanthology.org/W19-4828.pdf

### Loss vs Model and Dataset Size

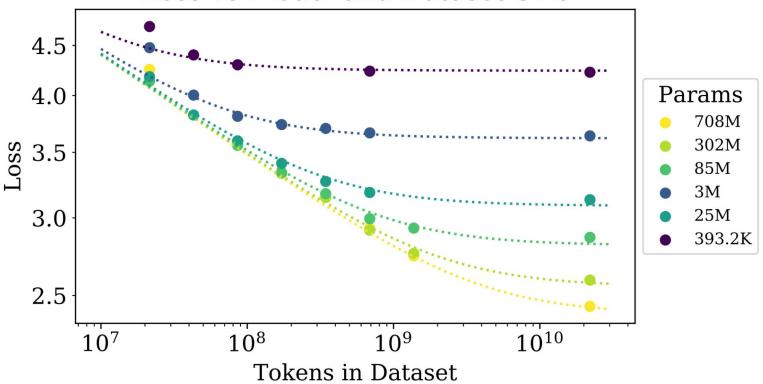


Image source: Kaplan et al. Scaling Laws for Neural Language Models. 2020.

#### The Bitter Lesson

#### **Rich Sutton**

#### March 13, 2019

The biggest lesson that can be read from 70 years of AI research is that general methods that leverage computation are ultimately the most effective, and by a large margin. The ultimate reason for this is Moore's law, or rather its generalization of continued exponentially falling cost per unit of computation. Most AI research has been conducted as if the computation available to the agent were constant (in which case leveraging human knowledge would be one of the only ways to improve performance) but, over a slightly longer time than a typical research project, massively more computation inevitably becomes available. Seeking an improvement that makes a difference in the shorter term, researchers seek to leverage their human knowledge of the domain, but the only thing that matters in the long run is the leveraging of computation. These two need not run counter to each other, but in practice they tend to. Time spent on one is time not spent on the other. There are psychological commitments to investment in one approach or the other. And the human-knowledge approach tends to complicate methods in ways that make them less suited to taking advantage of general methods leveraging computation. There were many examples of AI researchers' belated learning of this bitter lesson, and it is instructive to review some of the most prominent.

http://www.incompleteideas.net/Incldeas/BitterLesson.html

## LLMs

Building LLMs: Pre-training objectives + architectures

- Encoder only
- Decoder only
- Encoder Decoder

**GPT** 

**Gradient-Free Performance Improvement** 

# Improving accuracy without fine-tuning

In-context learning is better than zero-shot with no additional training

Can we do better than in context learning with no additional training?

# Chain of thought prompting

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

. . .

Q: John takes care of 10 dogs. Each dog takes .5 hours a day to walk and take care of their business. How many hours a week does he spend taking care of dogs?

A:

GPT (or similar)

The answer is 50.

	GSM8K
Finetuned GPT-3 175B	33%
Finetuned GPT-3 175B + verifier (prior SOTA)	55%
9–12 year olds (Cobbe et al., 2021)	60%
PaLM 540B: standard prompting	17.9%

# Chain of thought prompting

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.

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GPT (or similar)

John takes care of 10 dogs. Each dog takes .5 hours a day to walk and take care of their business. So that is 10 x .5 = 5 hours a day. 5 hours a day x 7 days a week = 35 hours a week. 

The answer is 35 hours a week. ✓

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9–12 year olds (Cobbe et al., 2021)	60%
PaLM 540B: standard prompting	17.9%
PaLM 540B: chain of thought prompting	58.1%

# Chain of thought for Math Problems

Table 20: Few-shot exemplars for full chain of thought prompt for math word problems. This set of exemplars was used for all math word problem datasets except AQuA.

#### PROMPT FOR MATH WORD PROBLEMS

**Q:** There are 15 trees in the grove. Grove workers will plant trees in the grove today. After they are done, there will be 21 trees. How many trees did the grove workers plant today?

A: There are 15 trees originally. Then there were 21 trees after some more were planted. So there must have been 21 - 15 = 6. The answer is 6.

Q: If there are 3 cars in the parking lot and 2 more cars arrive, how many cars are in the parking lot?

A: There are originally 3 cars. 2 more cars arrive. 3 + 2 = 5. The answer is 5.

Q: Leah had 32 chocolates and her sister had 42. If they are 35, how many pieces do they have left in total?

A: Originally, Leah had 32 chocolates. Her sister had 42. So in total they had 32 + 42 = 74. After eating 35, they had 74 - 35 = 39. The answer is 39.

**Q:** Jason had 20 lollipops. He gave Denny some lollipops. Now Jason has 12 lollipops. How many lollipops did Jason give to Denny?

A: Jason started with 20 lollipops. Then he had 12 after giving some to Denny. So he gave Denny 20 - 12 = 8. The answer is 8.

# Chain of thought for Math Problems

Table 8: Example of correct chains of thought produced by the model for the GSM8K dataset.

**QUESTION:** James decides to run 3 sprints 3 times a week. He runs 60 meters each sprint. How many total meters does he run a week?

MODEL ANSWER (CORRECT): James decides to run 3 sprints 3 times a week. He runs 60 meters each sprint. So he runs 60 meters x 3 sprints x 3 times a week. That is 60 meters x 9. The answer is 540. ✓

**QUESTION:** Brandon's iPhone is four times as old as Ben's iPhone. Ben's iPhone is two times older than Suzy's iPhone. If Suzy's iPhone is 1 year old, how old is Brandon's iPhone?

**MODEL ANSWER (CORRECT):** Brandon's iPhone is 4 times as old as Ben's iPhone. Ben's iPhone is 2 times older than Suzy's iPhone. So Brandon's iPhone is  $4 \times 2 = 8$  times older than Suzy's iPhone. Suzy's iPhone is 1 year old. So Brandon's iPhone is  $8 \times 1 = 8$  years old. The answer is  $8 \times 4$ 

# Chain of thought for Symbolic Reasoning

#### PROMPT FOR LAST LETTER CONCATENATION

Q: Take the last letters of the words in "Elon Musk" and concatenate them.

A: The last letter of "Elon" is "n". The last letter of "Musk" is "k". Concatenating them is "nk". The answer is nk.

Q: Take the last letters of the words in "Larry Page" and concatenate them.

A: The last letter of "Larry" is "y". The last letter of "Page" is "e". Concatenating them is "ye". The answer is ye.

**Q:** Take the last letters of the words in "Sergey Brin" and concatenate them.

A: The last letter of "Sergey" is "y". The last letter of "Brin" is "n". Concatenating them is "yn". The answer is yn.

Q: Take the last letters of the words in "Bill Gates" and concatenate them.

A: The last letter of "Bill" is "l". The last letter of "Gates" is "s". Concatenating them is "ls". The answer is ls.

# Chain of thought for Symbolic Reasoning

Table 13: Examples of correct and incorrect chains of thought produced by LaMDA 137B on the letter concatenation task.

QUESTION: Take the last letters of the words in "Waldo Schmidt" and concatenate them.

MODEL ANSWER (CORRECT): The last letter of "Waldo" is "o". The last letter of "Schmidt" is "t". Concatenating them is "ot". So the answer is ot. ✓

# Chain of thought for Physical Reasoning

#### PROMPT FOR COIN FLIP

Q: Q: A coin is heads up. Ka flips the coin. Sherrie flips the coin. Is the coin still heads up?

A: The coin was flipped by Ka and Sherrie. So the coin was flipped 2 times, which is an even number. The coin started heads up, so after an even number of flips, it will still be heads up. So the answer is yes.

Q: A coin is heads up. Jamey flips the coin. Teressa flips the coin. Is the coin still heads up?

A: The coin was flipped by Jamey and Teressa. So the coin was flipped 2 times, which is an even number. The coin started heads up, so after an even number of flips, it will still be heads up. So the answer is yes.

Q: A coin is heads up. Maybelle flips the coin. Shalonda does not flip the coin. Is the coin still heads up?

A: The coin was flipped by Maybelle. So the coin was flipped 1 time, which is an odd number. The coin started heads up, so after an odd number of flips, it will be tails up. So the answer is no.

# Chain of thought for Physical Reasoning

QUESTION: A coin is heads up. Andree flips the coin. Audrie does not flip the coin. Is the coin still heads up?

MODEL ANSWER (CORRECT): The coin was flipped by Andree. So the coin was flipped 1 time, which is an odd number. The coin started heads up, so after an odd number of flips, it will be tails up. So the answer is no.

# Chain of thought results

	GSM8K	SVAMP	ASDiv	MAWPS
Standard prompting	$6.5 \pm 0.4$	$29.5 \pm 0.6$	40.1 ±0.6	43.2 ±0.9
Chain of thought prompting	$14.3 \pm 0.4$	$36.7 \pm 0.4$	$46.6 \pm 0.7$	$57.9 \pm 1.5$

	Commonsense			Symbolic		
	Date Sports		SayCan	Concat	Coin	
Standard prompting	21.5 ±0.6	59.5 ±3.0	80.8 ±1.8	5.8 ±0.6	49.0 ±2.1	
Chain of thought prompting	$26.8 \pm 2.1$	$85.8 \pm 1.8$	$91.7 \pm 1.4$	$77.5 \pm 3.8$	$99.6 \pm 0.3$	

### Think step by step

#### (a) Few-shot

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?

A:

(Output) The answer is 8. X

#### (c) Zero-shot

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?

A: The answer (arabic numerals) is

(Output) 8 X

#### (b) Few-shot-CoT

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?

A:

(Output) The juggler can juggle 16 balls. Half of the balls are golf balls. So there are 16 / 2 = 8 golf balls. Half of the golf balls are blue. So there are 8 / 2 = 4 blue golf balls. The answer is 4. ✓

#### (d) Zero-shot-CoT (Ours)

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?

A: Let's think step by step.

(Output) There are 16 balls in total. Half of the balls are golf balls. That means that there are 8 golf balls. Half of the golf balls are blue. That means that there are 4 blue golf balls.

Image Source: Chowdhery et al. Large Language Models are Zero-Shot Reasoners. 2022.

### Think step by step

	MultiArith	GSM8K
Zero-Shot	17.7	10.4
Few-Shot (2 samples)	33.7	15.6
Few-Shot (8 samples)	33.8	15.6
Zero-Shot-CoT	78.7	40.7
Few-Shot-CoT (2 samples)	84.8	41.3
Few-Shot-CoT (4 samples : First) (*1)	89.2	_
Few-Shot-CoT (4 samples : Second) (*1)	90.5	-
Few-Shot-CoT (8 samples)	93.0	48.7
Zero-Plus-Few-Shot-CoT (8 samples) (*2)	92.8	51.5

Image Source: <u>Chowdhery et al. Large Language Models are Zero-Shot Reasoners. 2022.</u>

## Self Consistency

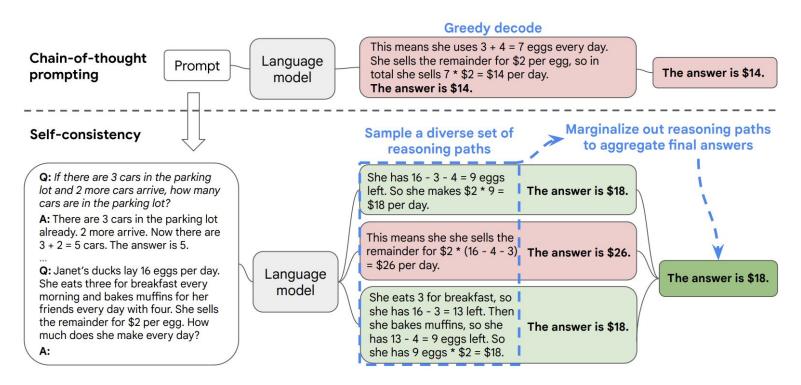


Image Source: Xie et al. Self-Consistency Improves Chain of Thought Reasoning in Language Models. 2022.

# **Self Consistency**

	GSM8K	MultiArith	AQuA	SVAMP	CSQA	ARC-c
Greedy decode	56.5	94.7	35.8	79.0	79.0	85.2
Weighted avg (unnormalized) Weighted avg (normalized)	$56.3 \pm 0.0 \\ 22.1 \pm 0.0$	$90.5 \pm 0.0$ $59.7 \pm 0.0$			$74.8 \pm 0.0 \\ 52.1 \pm 0.0$	
Weighted sum (unnormalized) Weighted sum (normalized)	$\begin{array}{c} 59.9 \pm 0.0 \\ 74.1 \pm 0.0 \end{array}$	$\begin{array}{c} 92.2 \pm 0.0 \\ 99.3 \pm 0.0 \end{array}$			$76.2 \pm 0.0 \\ 80.7 \pm 0.0$	$\begin{array}{c} 83.5 \pm 0.0 \\ 88.7 \pm 0.0 \end{array}$
Unweighted sum (majority vote)	$74.4 \pm 0.1$	$99.3 \pm 0.0$	$48.3 \pm 0.5$	$86.6 \pm 0.1$	$80.7 \pm 0.1$	$88.7 \pm 0.1$

Table 1: Accuracy comparison of different answer aggregation strategies on PaLM-540B.

Image Source: Xie et al. Self-Consistency Improves Chain of Thought Reasoning in Language Models. 2022.

### **Summary Slide**

**Encoder Only:** Capture the meaning of an entire sequence

l love cake

**ELMO:** Bi-directional next word prediction,

**BERT:** Masked language objective, Next Sentence Prediction

**Decoder Only:** Generate text based on previously generated text



**GPT:** next token prediction (autoregressive)

<u>Encoder-Decoder:</u> Generate text based on previously generated text and the meaning of a separate sequence T5: Masked language objective



### Summary

#### Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.

```
1 Translate English to French: ← task description
2 cheese => ← prompt
```

#### One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.

```
Translate English to French: ← task description

sea otter => loutre de mer ← example

cheese => ← prompt
```

#### Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

```
Translate English to French: 

task description

sea otter => loutre de mer examples

peppermint => menthe poivrée

plush girafe => girafe peluche

cheese => prompt
```

### Summary

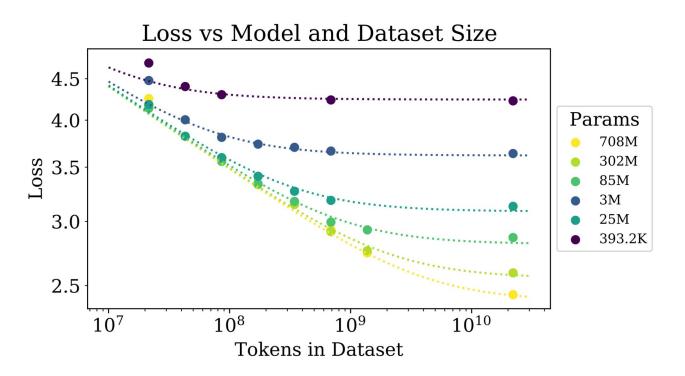


Image source: Kaplan et al. Scaling Laws for Neural Language Models. 2020.

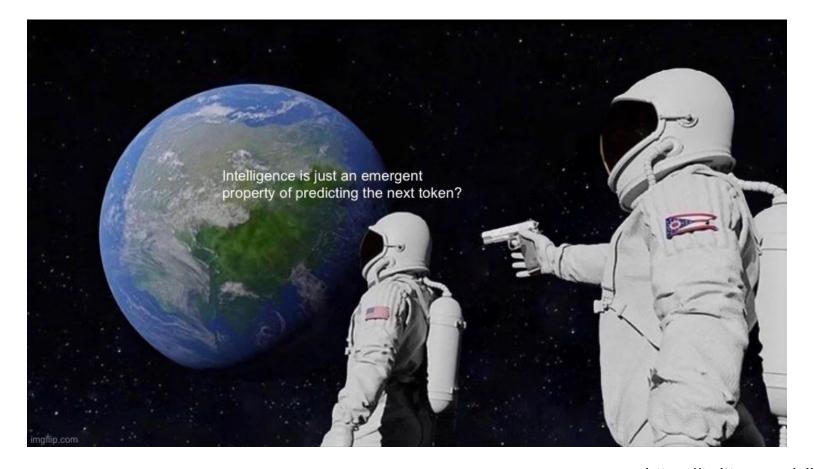
### Summary

### **Inference Only Performance Improvement**

- Chain-of-thought
- Think step by step
- Self consistency

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.



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