# Lecture 5: Convolutional Neural Networks

Ali Farhadi, Aditya Kusupati

Lecture 5 - 1 October 17, 2023

### Administrative:

### Assignment 1: Due 10/20 11:59pm

In-class Quiz 1: 10/26 in the class (30 mins)

- No cheat sheet
- Reach out to TAs if you can't make it on the day

### Project proposal: Due 10/27 11:59pm

• Start brainstorming and forming teams now!

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### **Recap: Vector derivatives**

### Scalar to Scalar

 $x\in \mathbb{R}, y\in \mathbb{R}$ 

Regular derivative:

 $\frac{\partial y}{\partial x} \in \mathbb{R}$ 

If x changes by a small amount, how much will y change?

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## **Recap: Vector derivatives**

Scalar to Scalar

Vector to Scalar

 $x \in \mathbb{R}, y \in \mathbb{R}$ 

Regular derivative:

Derivative is Gradient:

 $x \in \mathbb{R}^N, y \in \mathbb{R}$ 

 $\frac{\partial y}{\partial x} \in \mathbb{R}$ 

$$\frac{\partial y}{\partial x} \in \mathbb{R}^N \quad \left(\frac{\partial y}{\partial x}\right)_n = \frac{\partial y}{\partial x_n}$$

If x changes by a small amount, how much will y change?

For each element of x, if it changes by a small amount then how much will y change?

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## **Recap: Vector derivatives**

Scalar to Scalar

 $x \in \mathbb{R}, y \in \mathbb{R}$ 

Regular derivative:

 $\frac{\partial y}{\partial x} \in \mathbb{R}$ 

 $\frac{\partial y}{\partial e} \in \mathbb{R}^N$ 

Derivative is **Gradient**:

 $x \in \mathbb{R}^N, y \in \mathbb{R}$ 

Vector to Scalar

$$\frac{\partial y}{\partial x} \in \mathbb{R}^N \quad \left(\frac{\partial y}{\partial x}\right)_n = \frac{\partial y}{\partial x_n}$$

Vector to Vector  $x \in \mathbb{R}^N, y \in \mathbb{R}^M$ 

Derivative is Jacobian:

$$\frac{\partial y}{\partial x} \in \mathbb{R}^{N \times M} \left(\frac{\partial y}{\partial x}\right)_{n,m} = \frac{\partial y_m}{\partial x_n}$$

If x changes by a small amount, how much will y change?

For each element of x, if it changes by a small amount then how much will y change? For each element of x, if it changes by a small amount then how much will each element of y change?

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### Backprop with Vectors



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### **Backprop with Vectors**



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### **Backprop with Matrices**



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[N×M]

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These formulas are

are the only way to

easy to remember: they

make shapes match up!



[N×D] [N×M] [M×D]

By similar logic:

 $[D \times M]$   $[D \times N]$   $[N \times M]$ 

 $\frac{\partial L}{\partial w} = x^T \left( \right.$ 



Matrix Multiply
$$y_{n,m} = \sum_{d} x_{n,d} w_{d,m}$$

9 5 2 dL/dy: [N×M] 2 3 - 3 9 **(-8** 1 4 6 1

N×M

### **Backprop with Matrices**

## Wrapping up: Neural Networks



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### Next: Convolutional Neural Networks



Illustration of LeCun et al. 1998 from CS231n 2017 Lecture 1

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### A bit of history...

The **Mark I Perceptron** machine was the first implementation of the perceptron algorithm.

The machine was connected to a camera that used 20×20 cadmium sulfide photocells to produce a 400-pixel image.  $\begin{pmatrix} 1 & \text{if } w \cdot x + b > 0 \end{pmatrix}$ 

recognized letters of the alphabet

$$f(x) = \begin{cases} 1 & ext{if } w \cdot x + b \\ 0 & ext{otherwise} \end{cases}$$

w<sub>0</sub>

 $w_1x_1$ 

 $w_{2}x_{2}$ 

 $w_0 x_0$ 

10:2:

 $\sum w_i x_i +$ 

activation

output ax

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axon from a neuron

update rule:  $w_i(t+1) = w_i(t) + \alpha(d_j - y_j(t))x_{j,i}$ 

Frank Rosenblatt, ~1957: Perceptron



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### A bit of history...



Widrow and Hoff, ~1960: Adaline/Madaline

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output

switch

on-off-on

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Rumelhart et al., 1986: First time back-propagation became popular

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### A bit of history...

[Hinton and Salakhutdinov 2006]

### Reinvigorated research in Deep Learning



Illustration of Hinton and Salakhutdinov 2006 by Lane McIntosh, copyright CS231n 2017

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### First strong results

Acoustic Modeling using Deep Belief Networks Abdel-rahman Mohamed, George Dahl, Geoffrey Hinton, 2010 Context-Dependent Pre-trained Deep Neural Networks for Large Vocabulary Speech Recognition George Dahl, Dong Yu, Li Deng, Alex Acero, 2012

#### Imagenet classification with deep convolutional neural networks

Alex Krizhevsky, Ilya Sutskever, Geoffrey E Hinton, 2012





Illustration of Dahl et al. 2012 by Lane McIntosh, copyright CS231n 2017

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A bit of history:

## Hubel & Wiesel, 1959

RECEPTIVE FIELDS OF SINGLE NEURONES IN THE CAT'S STRIATE CORTEX

## 1962

RECEPTIVE FIELDS, BINOCULAR INTERACTION AND FUNCTIONAL ARCHITECTURE IN THE CAT'S VISUAL CORTEX

1968...



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<u>Cat image</u> by CNX OpenStax is licensed under CC BY 4.0; changes made

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## A bit of history

### **Topographical mapping in the cortex:** nearby cells in cortex represent nearby regions in the visual field





Retinotopy images courtesy of Jesse Gomez in the Stanford Vision & Perception Neuroscience Lab.

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## **Hierarchical organization**

LGN and V1 Retinal ganglion cell receptive fields simple cells . . Visual stimulus

Illustration of hierarchical organization in early visual pathways by Lane McIntosh, copyright CS231n 2017

Simple cells: Response to light orientation

Complex cells: Response to light orientation and movement

Hypercomplex cells: response to movement with an end point



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## A bit of history:

# **Neocognitron** [Fukushima 1980]

"sandwich" architecture (SCSCSC...) simple cells: modifiable parameters complex cells: perform pooling



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### A bit of history: Gradient-based learning applied to document recognition [LeCun, Bottou, Bengio, Haffner 1998]



LeNet-5

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### A bit of history: ImageNet Classification with Deep Convolutional Neural Networks [Krizhevsky, Sutskever, Hinton, 2012]



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"AlexNet"

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#### Classification

Retrieval



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#### Detection



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Segmentation

[Farabet et al., 2012]

[Faster R-CNN: Ren, He, Girshick, Sun 2015]

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**NVIDIA** Tesla line

Note that for embedded systems a typical setup would involve NVIDIA Tegras, with integrated GPU and ARM-based CPU cores.

#### self-driving cars

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**RGB** channels Original image [Taigman et al. 2014]

-	Spatial stream ConvNet							
single frame	conv1 7x7x96 stride 2 norm. pool 2x2	conv2 5x5x256 stride 2 norm. pool 2x2	conv3 3x3x512 stride 1	conv4 3x3x512 stride 1	conv5 3x3x512 stride 1 pool 2x2	full6 4096 dropout	full7 2048 dropout	softmax
-		Tei	npor	al str	eam (	Convl	Net	
	conv1 7x7x96	conv2 5x5x256	conv3 3x3x512	conv4 3x3x512	conv5 3x3x512	full6 4096	full7 2048	softmax

[Simonyan et al. 2014]

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Activations of inception-v3 architecture [Szegedy et al. 2015] to image of Emma McIntosh, used with permission. Figure and architecture not from Taigman et al. 2014.

Score 10<sup>-1</sup>

Class id, ranked



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Images are examples of pose estimation, not actually from Toshev & Szegedy 2014. Copyright Lane McIntosh.

#### [Toshev, Szegedy 2014]



[Guo et al. 2014]

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[Sermanet et al. 2011] [Ciresan et al.] Photos by Lane McIntosh. Copyright CS231n 2017.

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[Dieleman et al. 2014]

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Whale recognition, Kaggle Challenge

Photo and figure by Lane McIntosh; not actual example from Mnih and Hinton, 2010 paper.



Mnih and Hinton, 2010

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#### No errors

#### Minor errors

#### Somewhat related



A white teddy bear sitting in the grass



A man riding a wave on top of a surfboard



A man in a baseball uniform throwing a ball



A cat sitting on a suitcase on the floor



A woman is holding a cat in her hand



A woman standing on a beach holding a surfboard

### Image Captioning

[Vinyals et al., 2015] [Karpathy and Fei-Fei, 2015]

All images are CC0 Public domain: https://pixabay.com/en/luggage-antique-cat-1643010/ https://pixabay.com/en/leddy-plush-bears-cute-teddy-bear-1623436/ https://pixabay.com/en/surf-wave-summer-sport-litoral-1668716/ https://pixabay.com/en/woman-female-model-portrait-adult-983967/ https://pixabay.com/en/handstand-lake-meditation-496008/ https://pixabay.com/en/haseball-player-shortstop-infield-1045263/

Captions generated by Justin Johnson using Neuraltalk2

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Original image is CCO public domain Starry Night and Tree Roots by Van Gogh are in the public domain Bokeh image is in the public domain Stylized images copyright Justin Johnson, 2017; reproduced with permission





Gatys et al, "Image Style Transfer using Convolutional Neural Networks", CVPR 2016 Gatys et al, "Controlling Perceptual Factors in Neural Style Transfer", CVPR 2017

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# **Convolutional Neural Networks**

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# **Recap: Fully Connected Layer**

32x32x3 image -> stretch to 3072 x 1



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# **Fully Connected Layer**

32x32x3 image -> stretch to 3072 x 1



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# **Convolution Layer**

32x32x3 image -> preserve spatial structure



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**Convolution Layer** 

### 32x32x3 image



### 5x5x3 filter

**Convolve** the filter with the image i.e. "slide over the image spatially, computing dot products"

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32x32x3 image

Filters always extend the full depth of the input volume

32 32

5x5x3 filter

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**Convolve** the filter with the image i.e. "slide over the image spatially, computing dot products"

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activation map

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### consider a second, green filter



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For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



We stack these up to get a "new image" of size 28x28x6!

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**Preview:** ConvNet is a sequence of Convolution Layers, interspersed with activation functions



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**Preview:** ConvNet is a sequence of Convolution Layers, interspersed with activation functions



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Preview

[Zeiler and Fergus 2013]

Visualization of VGG-16 by Lane McIntosh. VGG-16 architecture from [Simonyan and Zisserman 2014].



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preview:



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# 7x7 input (spatially) assume 3x3 filter

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# 7x7 input (spatially) assume 3x3 filter

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# 7x7 input (spatially) assume 3x3 filter

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# 7x7 input (spatially) assume 3x3 filter

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7x7 input (spatially) assume 3x3 filter

=> 5x5 output

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7x7 input (spatially) assume 3x3 filter applied **with stride 2** 

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7x7 input (spatially) assume 3x3 filter applied **with stride 2** 

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7x7 input (spatially) assume 3x3 filter applied with stride 2 => 3x3 output!

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7x7 input (spatially) assume 3x3 filter applied **with stride 3**?

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7x7 input (spatially) assume 3x3 filter applied **with stride 3?** 

### doesn't fit! cannot apply 3x3 filter on 7x7 input with stride 3.

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Output size: (N - F) / stride + 1

e.g. N = 7, F = 3:  
stride 1 => 
$$(7 - 3)/1 + 1 = 5$$
  
stride 2 =>  $(7 - 3)/2 + 1 = 3$   
stride 3 =>  $(7 - 3)/3 + 1 = 2.33$  :\

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## In practice: Common to zero pad the border



e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

(recall:) (N - F) / stride + 1

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## In practice: Common to zero pad the border



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e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

7x7 output!

(recall:) (N + 2P - F) / stride + 1

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## In practice: Common to zero pad the border



e.g. input 7x7 **3x3** filter, applied with stride 1
pad with 1 pixel border => what is the output?

#### 7x7 output!

in general, common to see CONV layers with
stride 1, filters of size FxF, and zero-padding with
(F-1)/2. (will preserve size spatially)
e.g. F = 3 => zero pad with 1
F = 5 => zero pad with 2
F = 7 => zero pad with 3

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#### Remember back to...

E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially! (32 -> 28 -> 24 ...). Shrinking too fast is not good, doesn't work well.



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Output volume size: ?



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Examples time:

## Output volume size: (32+2\*2-5)/1+1 = 32 spatially, so 32x32x10



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Number of parameters in this layer?

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Examples time:



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Number of parameters in this layer? each filter has 5\*5\*3 + 1 = 76 params (+1 for bias) => 76\*10 = 760

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## Convolution layer: summary

Let's assume input is  $W_1 \times H_1 \times C$ Conv layer needs 4 hyperparameters:

- Number of filters K
- The filter size **F**
- The stride S
- The zero padding P

This will produce an output of  $W_2 \times H_2 \times K$  where:

- $W_2 = (W_1 F + 2P)/S + 1$
- $H_2 = (H_1 F + 2P)/S + 1$

Number of parameters: F<sup>2</sup>CK and K biases

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# Convolution layer: summary

Let's assume input is  $W_1 \times H_1 \times C$ Conv layer needs 4 hyperparameters:

- Number of filters K
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- The zero padding P

This will produce an output of  $W_2 \times H_2 \times K$  where:

- $W_2 = (W_1 F + 2P)/S + 1$
- $H_2 = (H_1 F + 2P)/S + 1$

Number of parameters: F<sup>2</sup>CK and K biases

Common settings:

K = (powers of 2, e.g. 32, 64, 128, 512)

- F = 3, S = 1, P = 1

- F = 5, S = 2, P = ? (whatever fits)

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- F = 1, S = 1, P = 0

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## (btw, 1x1 convolution layers make perfect sense)



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## (btw, 1x1 convolution layers make perfect sense)



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# Example: CONV layer in PyTorch

Conv2d

CLASS torch.nn.Conv2d(in\_channels, out\_channels, kernel\_size, stride=1, padding=0, dilation=1, groups=1, bias=True)

[SOURCE]

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{\rm in}, H, W)$  and output  $(N, C_{\rm out}, H_{\rm out}, W_{\rm out})$  can be precisely described as:

$$\operatorname{out}(N_i, C_{\operatorname{out}_j}) = \operatorname{bias}(C_{\operatorname{out}_j}) + \sum_{k=0}^{C_{\operatorname{in}}-1} \operatorname{weight}(C_{\operatorname{out}_j}, k) \star \operatorname{input}(N_i, k)$$

where  $\star$  is the valid 2D cross-correlation operator, N is a batch size, C denotes a number of channels, H is a height of input planes in pixels, and W is width in pixels.

- stride controls the stride for the cross-correlation, a single number or a tuple.
- padding controls the amount of implicit zero-paddings on both sides for padding number of points for each dimension.
- dilation controls the spacing between the kernel points; also known as the à trous algorithm. It is harder to
  describe, but this link has a nice visualization of what dilation does.
- groups controls the connections between inputs and outputs. in\_channels and out\_channels must both be divisible by groups.For example,
  - At groups=1, all inputs are convolved to all outputs.
  - At groups=2, the operation becomes equivalent to having two conv layers side by side, each seeing half the input channels, and producing half the output channels, and both subsequently concatenated.
  - At groups= in\_channels, each input channel is convolved with its

own set of filters, of size:  $\begin{bmatrix} C_{\text{out}} \\ C_{\text{in}} \end{bmatrix}$ .

The parameters kernel\_size, stride, padding, dilation can either be:

- a single int in which case the same value is used for the height and width dimension
- a tuple of two ints in which case, the first int is used for the height dimension, and the second int for the width dimension

PyTorch is licensed under BSD 3-clause.

Conv layer needs 4 hyperparameters:

- Number of filters **K**
- The filter size F
- The stride S
- The zero padding **P**

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# Example: CONV layer in Keras

Conv layer needs 4 hyperparameters:

- Number of filters **K**
- The filter size **F**
- The stride S
- The zero padding P

#### Conv2D

#### [source]

keras.layers.Conv2D(filters, kernel\_size, strides=(1, 1), padding='valid', data\_format=None, d:

2D convolution layer (e.g. spatial convolution over images).

This layer creates a convolution kernel that is convolved with the layer input to produce a tensor of outputs. If use\_bias is True, a bias vector is created and added to the outputs. Finally, if activation is not None, it is applied to the outputs as well.

When using this layer as the first layer in a model, provide the keyword argument input\_shape (tuple of integers, does not include the batch axis), e.g. input\_shape=(128, 128, 3) for 128x128 RGB pictures in data\_format="channels\_last".

#### Arguments

- filters: Integer, the dimensionality of the output space (i.e. the number of output filters in the convolution).
- kernel\_size: An integer or tuple/list of 2 integers, specifying the height and width of the 2D convolution window. Can be a single integer to specify the same value for all spatial dimensions.
- strides: An integer or tuple/list of 2 integers, specifying the strides of the convolution along the height and width. Can be a single integer to specify the same value for all spatial dimensions.
   Specifying any stride value != 1 is incompatible with specifying any dilation\_rate value != 1.
- padding: one of "valid" or "same" (case-insensitive). Note that "same" is slightly inconsistent across backends with strides != 1, as described here
- data\_format: A string, one of "channels\_last" or "channels\_first". The ordering of the dimensions in the inputs. "channels\_last" corresponds to inputs with shape (batch, height, width, channels) while "channels\_first" corresponds to inputs with shape (batch, channels, height, width). It defaults to the image\_data\_format value found in your Keras config file at ~/.keras/keras.json. If you never set it, then it will be "channels\_last".

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# The brain/neuron view of CONV Layer



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# The brain/neuron view of CONV Layer





It's just a neuron with local

connectivity...

the result of taking a dot product between the filter and this part of the image (i.e. 5\*5\*3 = 75-dimensional dot product)



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## **Receptive field**



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An activation map is a 28x28 sheet of neuron outputs:

- 1. Each is connected to a small region in the input
- 2. All of them share parameters

"5x5 filter" -> "5x5 receptive field for each neuron"

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# The brain/neuron view of CONV Layer





E.g. with 5 filters, CONV layer consists of neurons arranged in a 3D grid (28x28x5)

There will be 5 different neurons all looking at the same region in the input volume

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#### two more layers to go: POOL/FC



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# **Pooling layer**

- makes the representations smaller and more manageable
- operates over each activation map independently:



224x224x64

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# MAX POOLING

## Single depth slice



y

max pool with 2x2 filters and stride 2



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# Pooling layer: summary

Let's assume input is  $W_1 \times H_1 \times C$ Conv layer needs 2 hyperparameters:

- The spatial extent F
- The stride **S**

This will produce an output of  $W_2 \times H_2 \times C$  where:

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- $W_2 = (W_1 F)/S + 1$
- $H_2^{-} = (H_1 F)/S + 1$

Number of parameters: 0

# Fully Connected Layer (FC layer)

- Contains neurons that connect to the entire input volume, as in ordinary Neural Networks



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# Summary

- ConvNets stack CONV,POOL,FC layers
- Trend towards smaller filters and deeper architectures
- Trend towards getting rid of POOL/FC layers (just CONV)
- Historically architectures looked like [(CONV-RELU)\*N-POOL?]\*M-(FC-RELU)\*K,SOFTMAX where N is usually up to ~5, M is large, 0 <= K <= 2.</li>
  - but recent advances such as ResNet/GoogLeNet have challenged this paradigm

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