## CSE 490GZ Final Exam Solutions

1) True or false questions
a) True - Blocks of bit-planes are encoded separately, providing the opportunity to spend different amounts of bits on different blocks.
b) False - JPEG uses the DCT transform.
c) True - This is due to auditory masking.
d) False - There are no dependencies across a group of frames.
e) False - The luminance component is more important for visual quality, so it is sampled at a higher rate.
f) False - Using k-d trees, it requires time $O(\log n)$ on average.
g) False - Blocking artifacts are smaller, but are not eliminated.
h) True - Motion vectors can be predicted from other motion vectors. i) True - The lowest subband is transmitted first yielding a small image with high fidelity. Successive subbands yield larger images with high fidelity.
j) True - GT-DCT uses bit plane-coding of DCT coefficients and was shown to outperform JPEG.
k) True - They all use bit-plane encoding which leads to natural embedded codes.
2) False - Decoding each frame requires the previous one, so one lost frame affects the remaining frames in the video sequence.
m) True - Predictive coding using differences is used to code the DC coefficient and the AC coefficients are zig-zag coded.
n) True - PSNR does not accurately reflect audio quality.
o) True - B-frames use both forward and backward prediction.
3) Examining differences in encoding/decoding speed
a) LZ77 - The encoder must search for the longest match to determine the triple that encodes the next portion of the input string, while the decoder is given the triple and does not need to perform the search.
b) Burrows-Wheeler Transform - The encoder must sort the cyclic shifts of the input string while the decoder does not.
C) MPEG-1 - The encoder needs to perform motion compensation which involves searching for the block in the previous frame that leads to the least distortion. The decoder is given the motion vector and does not need to perform the search.
4) Decode: 0010011100010 using LZW with doubling

Dictionary, size $=4$

| Symbol | Code |
| :---: | :---: |
| a | 00 |
| b | 01 |
| c | 10 |
|  | 11 |

Input: 0010011100010
Output: a
Next entry: a?
Dictionary, size $=4$

| Symbol | Code |
| :---: | :---: |
| a | 00 |
| b | 01 |
| c | 10 |
| ac | 11 |

Input: 0010011100010
Output: a c
Next entry: c?

| Dictionary, | size $=8$ |
| :---: | :---: |
| Symbol | $\frac{\text { Code }}{}$ |
| a | 000 |
| b | 001 |
| c | 010 |
| ac | 011 |
| ca | 100 |
|  | 101 |
|  | 110 |
|  | 111 |

Input: 0010011100010
Output: a c ac
Next entry: ac?

| Dictionary, | size $=8$ |
| :---: | :---: |
| Symbol | $\frac{\text { Code }}{}$ |
| a | 000 |
| b | 001 |
| c | 010 |
| ac | 011 |
| ca | 100 |
| acc | 101 |
|  | 110 |
|  | 111 |

Input: 0010011100010
Output: a c ac ca Next entry: ca?

Dictionary, size = 8

| Symbol | Code |
| :---: | :---: |
| a | $\mathbf{0 0 0}$ |
| b | 001 |
| c | 010 |
| ac | 011 |
| ca | 100 |
| acc | 101 |
| cac | 110 |
|  | 111 |

Input: 0010011100010
Output: a c ac ca c
Next entry: c?

Decoded string: a c ac ca c
4) Using the Burrows-Wheeler Transform decode the following:

L = bbabbaa
$\mathrm{X}=2$

- Compute the mapping $T$ by using the following rule:

If $F[i]$ is the $k$-th $x$ in $F$, then $T[i]$ is the index for the $k$-th $x$ in
L. In other words, $\mathrm{F}[\mathrm{i}]=\mathrm{L}[\mathrm{T}[\mathrm{i}]$ ].
index: 01223456
F: $\quad$ a a a b b b b
L: b b a b b a a
T: $\quad \begin{array}{lllllll}2 & 5 & 6 & 0 & 1 & 3 & 4\end{array}$

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    - Decode the original string by using T[i] as the next index to read
    from F (that is, i' = T[i]) where initially i = X.
    index: 0 1 2 3 4 5 6
    F: a a a b b b b
    T: 2 5 6 0 1 3 4
    output: a
    index: 0 1 2 3 4 5 6
    F: a a a b b b b
    T: 2 5 6 0 1 3 4
    output: a b
    index: 0 1 2 3 4 5 6
    F: a a a b b b b
    T: 2 5 6 0 1 3 4
    output: a b b
    index: 0 1 2 3 4 5 6
    F: a a a b b b b
    T: 2 5 6 0 1 3 4
    output: a b b a
    index: 0 1 2 3 4 5 6
F: a a a b b b b
T: }\quad2560013
output: a b b a b
index: 0
F: a a a b b b b
T: }\quad25660143
output: a b b a b b
index: 
F: }\quad\overline{\textrm{a}}\mathrm{ a a b b b b
T: }\quad2560013
output: a b b a b b a
Decoded string: a b b a b b a
5) P(a) = 1/4, P(b) = 3/4
C(a) = 0, C(b) = 1/4
Decode "O11" using arithmetic coding with scaling (assume that we
are decoding 4 symbols)
Tag =.011 = 3/8
\begin{tabular}{llllll} 
W & L & R & Tag & Output & \\
& 0 & 1 & \(3 / 8\) & & \\
1 & \(1 / 4\) & 1 & \(3 / 8\) & b & \\
\(3 / 4\) & \(4 / 16\) & \(7 / 16\) & \(3 / 8\) & a & scale by \(2 x-0.5\) \\
& 0 & \(3 / 8\) & \(2 / 8\) & & scale by 2 x \\
& 0 & \(6 / 8\) & \(4 / 8\) & & \\
\(6 / 8\) & \(6 / 32\) & \(24 / 32\) & \(4 / 8\) & b &
\end{tabular}
Decoded string: babb
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6) Do one iteration of the Lloyd algorithm with initial codewords $c(0)=2$ and $c(1)=3$ on the data:

| pixel value | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| frequency | 200 | 100 | 100 | 50 | 50 | 100 | 200 | 200 |

Initialization:
$\mathrm{X}(0)=[0,1,2]$
$X(1)=[3,4,5,6,7]$
$D(0)=100 * 1^{2}+200 * 2^{2}=900$
$D(1)=50 * 1^{2}+100 * 2^{2}+200 * 3^{2}+200 * 4^{2}=5450$
$D=D(0)+D(1)=6350$

First iteration:
$c^{\prime}(0)=$ round ((200*0 + 100*1 + 100*2) / 400) = 1
$c^{\prime}(1)=$ round $((50 * 3+50 * 4+100 * 5+200 * 6+200 * 7) / 600)=6$
$X^{\prime}(0)=[0,1,2,3]$
$X^{\prime}(1)=[4,5,6,7]$
$D^{\prime}(0)=300 * 1^{2}+50 * 2^{2}=500$
$D^{\prime}(1)=300 * 1^{2}+50 * 2^{2}=500$
$D^{\prime}=D^{\prime}(0)+D^{\prime}(1)=1000$

7a) Optimal Huffman tree

b) $\mathrm{ABR}=(5 * 3+3 * 8+2 * 10+5 * 1+3 * 5+3 * 7+2 * 20+4 * 4) / 58=2.69$
a b c
d
ef
g
h
8) Show the remaining steps in the Sequitur algorithm. abaab aaba

S -> AaA
A -> ab
abaaba aba

S -> AaAa Enforce diagram uniqueness.
$A \quad->\mathrm{ab}$ Aa occurs twice. Create new rule B -> Aa
abaaba aba

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    S -> BB Enforce rule utility.
    A -> ab A only occurs once.
    B -> Aa Remove A -> ab
    abaaba aba
    S -> BB
    B -> aba
    abaabaa ba
    S -> BBa
    B -> aba
    abaabaab a
    S -> BBab
    B -> aba
    abaabaaba
    S -> BBaba Use rule B -> aba
    B -> aba
    a b a a b a a b a
    S -> BBB
    B -> aba
```

9) Show how the class version of SPIHT processes the following 6 bits
(assuming the signs of the coefficients in $S$ have already been processed
from the encoded bit stream)
Encoded bit stream: 101100
$S=(0,0),(0,1),(1,0),(1,1)$
$Z=(R, 0,1),(R, 1,0),(R, 1,1)$
$Z^{\prime}=$
Encoded bit stream: 101100
( $\mathrm{R}, 0,1$ ) is significant
$S=(0,0),(0,1),(1,0),(1,1)$
$(0,2),(0,3),(1,2),(1,3)$
Encoded bit stream: 101100
$(0,2)$ has negative sign
$(0,3)$ has positive sign
$(1,2)$ has positive sign
$(1,3)$ has negative sign
$Z=(R C, 0,1),(R, 1,0),(R, 1,1)$
$Z^{\prime}=$

Encoded bit stream: 101100
( $\mathrm{RC}, 0,1$ ) is insignificant
$Z=(R, 1,0),(R, 1,1)$
$Z^{\prime}=(R C, 0,1)$
10) Move-to-front coding followed by arithmetic coding uses the fewest number of bits. The move-to-front coding will produce at least $2 \mathrm{n}-2$ zeros. Only the first "a" and first "b" may not have an index of 0 . This highly skewed alphabet will be coded very efficiently using arithmetic coding. Indeed it can be shown that the arithmetic code would have O(log n) bits.

The two other methods use about the same number of bits.
Arithmetic coding using the frequencies of the symbols as a first-order model uses about 2 n bits. Since $\mathrm{a}^{\prime} \mathrm{s}$ and $\mathrm{b}^{\prime} \mathrm{s}$ are equally likely then the arithmetic coding interval has size (1/2) ${ }^{2 n}$.

Adaptive arithmetic coding yields an arithmetic coding interval of
$\begin{array}{llllllllll}1 & 2 & 3 & \ldots & n & 1 & 2 & 3 & \ldots & n\end{array}$

-     - $\quad$.. - $\quad$ - $\quad$-.
$23 \quad 4 \quad \ldots \quad n+1 \quad n+2 n+3 n+4 \ldots . \quad 2 n+1$
which equals $n!n!/(2 n+1)!$.
Taking the $\log _{2}$ of the reciprocal yields $\log _{2}\left((2 n+1)!/(n!)^{2}\right)$ bits approximately. It can be shown by induction on $n$ that
$\log _{2}\left((2 n+1)!/(n!)^{2}\right)>2 n$ so that the adaptive arithmetic coding is no better than arithmetic coding using the frequencies. A little more work shows that in the limit $\log _{2}\left((2 n+1)!/(n!)^{2}\right) / n=2$ so that in the limit the two methods use about $2 n$ bits (no real compression).

