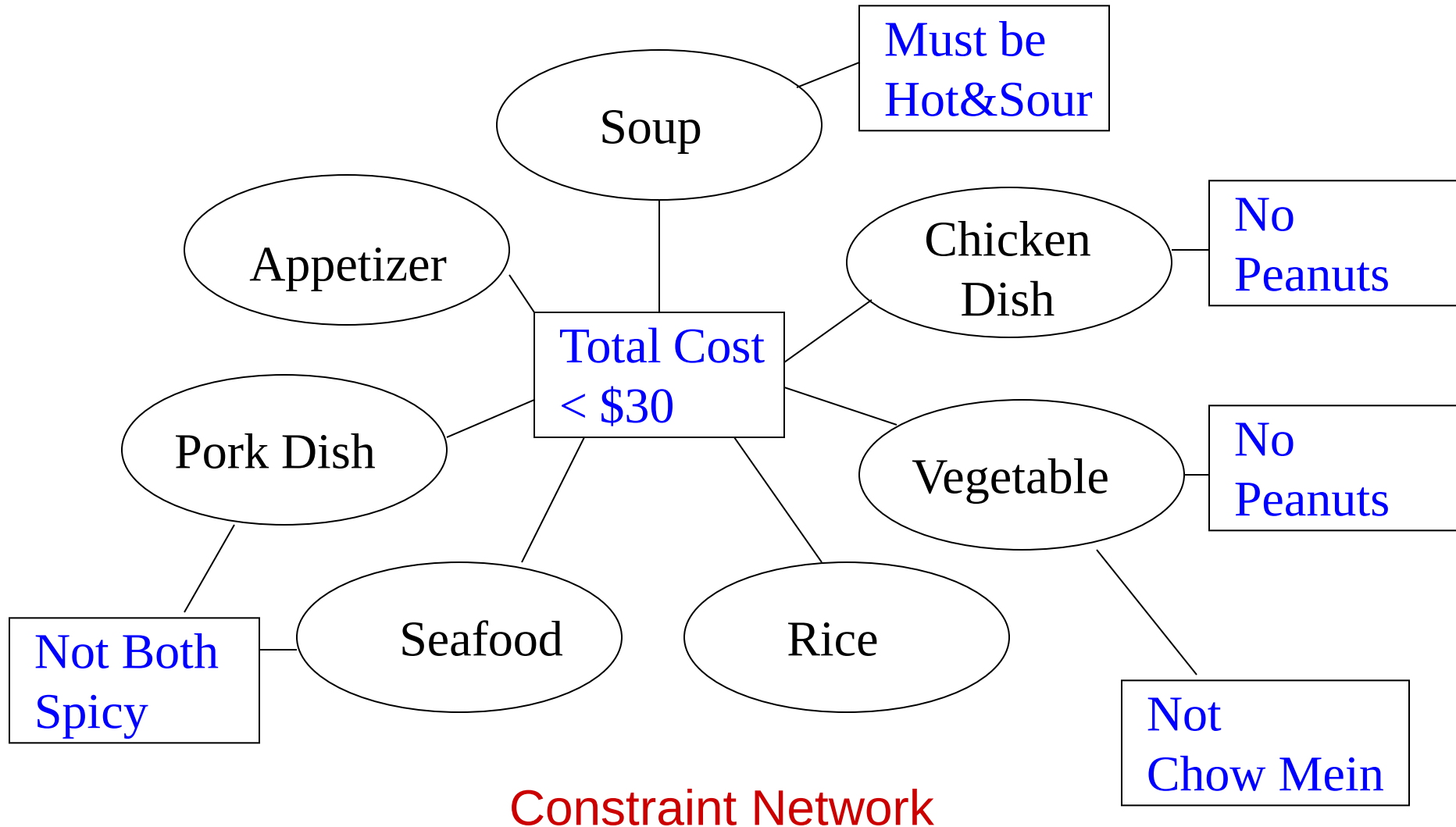


# Constraint Satisfaction Problems



# Formal Definition of CSP

- A constraint satisfaction problem (**CSP**) is a triple **(V, D, C)** where
  - **V** is a set of variables  $X_1, \dots, X_n$ .
  - **D** is the union of a set of domain sets  $D_1, \dots, D_n$ , where  $D_i$  is the domain of possible values for variable  $X_i$ .
  - **C** is a set of constraints on the values of the variables, which can be pairwise (simplest and most common) or **k** at a time.

# CSPs vs. Standard Search Problems

- Standard search problem:
  - **state** is a "black box" – any data structure that supports successor function, heuristic function, and goal test
- CSP:
  - **state** is defined by **variables**  $X_i$  with **values** from **domain**  $D_i$
  - **goal test** is a set of **constraints** specifying allowable combinations of values for subsets of variables
- Simple example of a **formal representation language**
- Allows useful **general-purpose** algorithms with more power than standard search algorithms

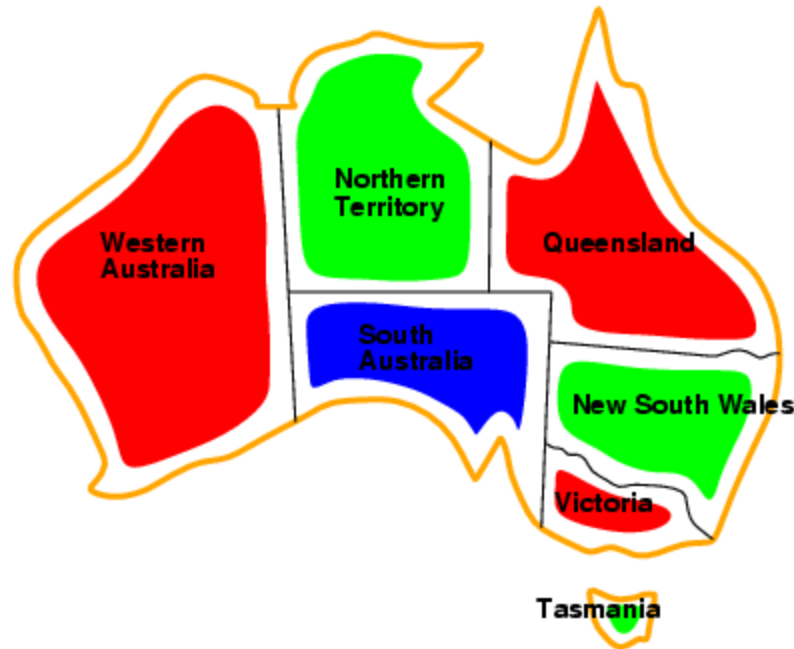
# Example: Map-Coloring



memorize  
the names

- **Variables**  $WA, NT, Q, NSW, V, SA, T$
- **Domains**  $D_i = \{\text{red}, \text{green}, \text{blue}\}$
- **Constraints**: adjacent regions must have different colors
- e.g.,  $WA \neq NT$ , or  $(WA, NT)$  in  $\{(\text{red}, \text{green}), (\text{red}, \text{blue}), (\text{green}, \text{red}), (\text{green}, \text{blue}), (\text{blue}, \text{red}), (\text{blue}, \text{green})\}$

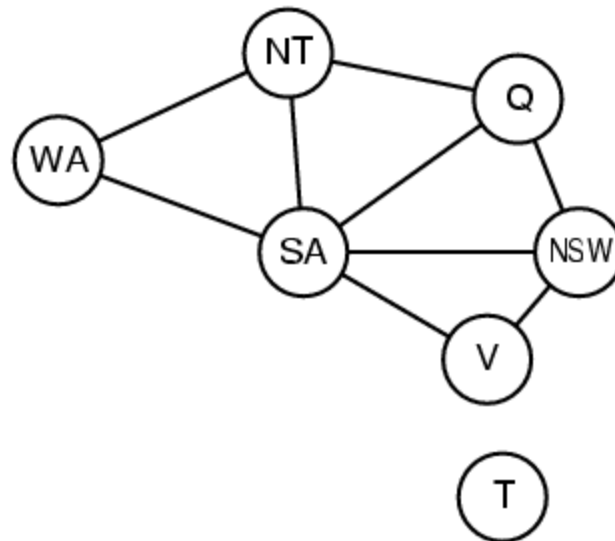
# Example: Map-Coloring



- **Solutions** are **complete** and **consistent** assignments, e.g., WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green

# Constraint graph

- **Binary CSP:** each constraint relates two variables
- **Constraint graph:** nodes are variables, arcs are constraints

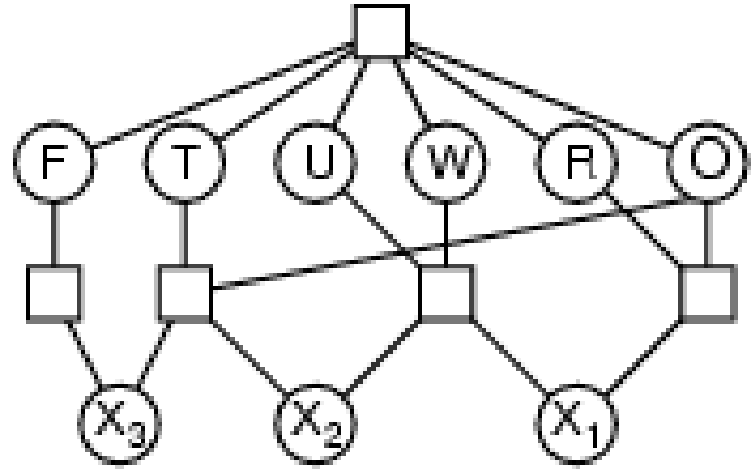


# Varieties of constraints

- **Unary** constraints involve a single variable,
  - e.g.,  $SA \neq \text{green}$
- **Binary** constraints involve pairs of variables,
  - e.g.,  $\text{value}(SA) \neq \text{value}(WA)$
  - More formally,  $R1 \neq R2 \rightarrow \text{value}(R1) \neq \text{value}(R2)$
- **Higher-order** constraints involve 3 or more variables,
  - e.g., cryptarithmic column constraints

# Example: Cryptarithmic

$$\begin{array}{r} \text{TWO} \\ + \text{TWO} \\ \hline \text{FOUR} \end{array}$$



- Variables:  
 $\{F, T, U, W, R, O, X_1, X_2, X_3\}$
- Domains:  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- Constraints: *Alldiff* ( $F, T, U, W, R, O$ )
  - $O + O = R + 10 \cdot X_1$
  - $X_1 + W + W = U + 10 \cdot X_2$
  - $X_2 + T + T = O + 10 \cdot X_3$
  - $X_3 = F, T \neq 0, F \neq 0$



# Example: Latin Squares Puzzle

|          |          |          |          |
|----------|----------|----------|----------|
| $X_{11}$ | $X_{12}$ | $X_{13}$ | $X_{14}$ |
| $X_{21}$ | $X_{22}$ | $X_{23}$ | $X_{24}$ |
| $X_{31}$ | $X_{32}$ | $X_{33}$ | $X_{34}$ |
| $X_{41}$ | $X_{42}$ | $X_{43}$ | $X_{44}$ |

|        |                                                                                     |                                                                                     |                                                                                     |                                                                                     |
|--------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
|        |  |  |  |  |
| red    | RT                                                                                  | RS                                                                                  | RC                                                                                  | RO                                                                                  |
| green  | GT                                                                                  | GS                                                                                  | GC                                                                                  | GO                                                                                  |
| blue   | BT                                                                                  | BS                                                                                  | BC                                                                                  | BO                                                                                  |
| yellow | YT                                                                                  | YS                                                                                  | YC                                                                                  | YO                                                                                  |

Variables

Values

Constraints: In each row, each column, each major diagonal, there must be no two markers of the same color or same shape.

How can we formalize this?

$V: \{X_{il} \mid i=1 \text{ to } 4 \text{ and } l=1 \text{ to } 4\}$

$D: \{(C,S) \mid C \in \{R,G,B,Y\} \text{ and } S \in \{T,S,C,O\}\}$

$C: \text{val}(X_{il}) \neq \text{val}(X_{in}) \text{ if } l \neq n \quad (\text{same row})$

$\text{val}(X_{il}) \neq \text{val}(X_{nl}) \text{ if } i \neq n \quad (\text{same col})$

$\text{val}(X_{ij}) \neq \text{val}(X_{il}) \text{ if } i \neq l \quad (\text{one diag})$

$i+l=n+m=5 \rightarrow \text{val}(X_{il}) \neq \text{val}(X_{nm}), \text{ if } il \neq nm$

# Real-world CSPs

- Assignment problems
  - e.g., who teaches what class
- Timetabling problems
  - e.g., which class is offered when and where?
- Transportation scheduling
- Factory scheduling

Notice that many real-world problems involve real-valued variables

# The Consistent Labeling Problem

- Let  $P = (V, D, C)$  be a constraint satisfaction problem.
- An **assignment** is a partial function  $f : V \rightarrow D$  that assigns a value (from the appropriate domain) to each variable
- A consistent assignment or **consistent labeling** is an assignment  $f$  that satisfies all the constraints.
- A **complete consistent labeling** is a consistent labeling in which every variable has a value.

# Standard Search Formulation

- **state:** (partial) assignment
  - **initial state:** the empty assignment { }
  - **successor function:** assign a value to an unassigned variable that does not conflict with current assignment  
→ fail if no legal assignments
  - **goal test:** the current assignment is complete  
(and is a consistent labeling)
1. This is the same for all CSPs regardless of application.
  2. Every solution appears at depth  $n$  with  $n$  variables  
→ we can use depth-first search.
  3. Path is irrelevant, so we can also use complete-state formulation.

# What Kinds of Algorithms are used for CSP?

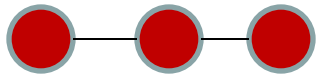
- Backtracking Tree Search
- Tree Search with Forward Checking
- Tree Search with Discrete Relaxation (arc consistency, k-consistency)
- Many other variants
- Local Search using Complete State Formulation

# Backtracking Tree Search

- Variable assignments are **commutative**, i.e.,  
[ WA = red then NT = green ] same as [ NT = green then WA = red ]
- Only need to consider assignments to a single variable at each node.
- Depth-first search for CSPs with single-variable assignments is called **backtracking** search.
- Backtracking search is the basic uninformed algorithm for CSPs.
- Can solve  $n$ -queens for  $n \approx 25$ .

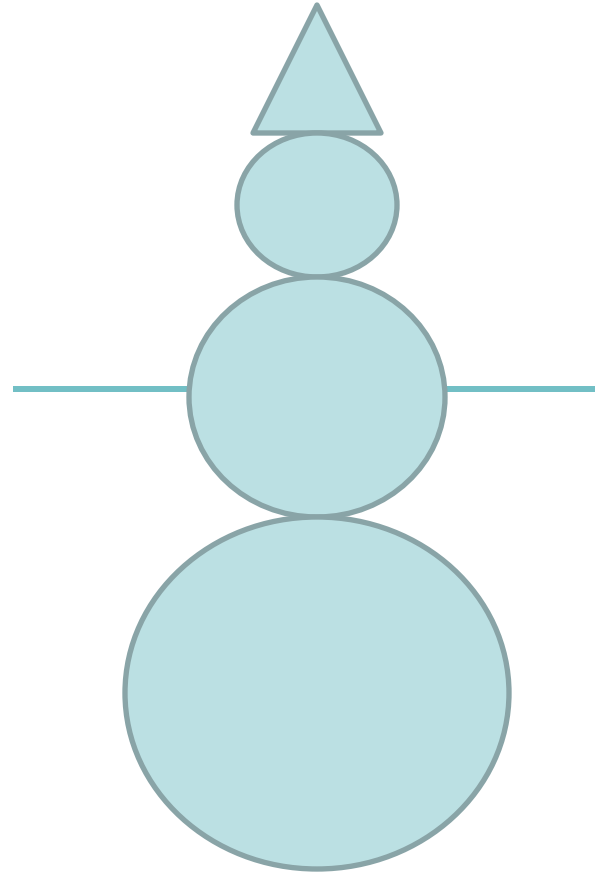
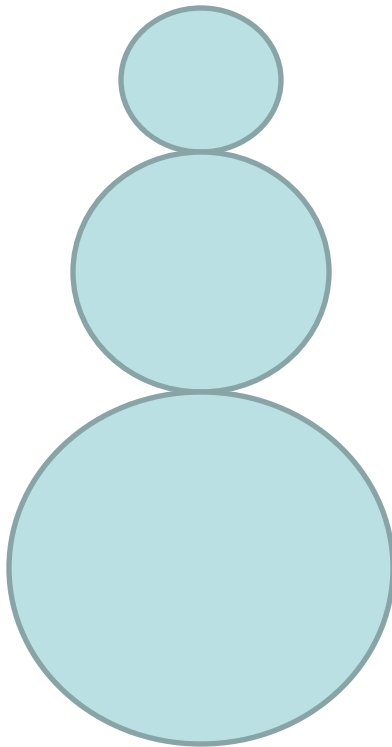
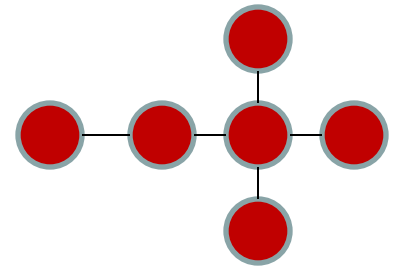
# Subgraph Isomorphisms

- Given 2 graphs  $G1 = (V, E)$  and  $G2 = (W, F)$ .
- Is there a copy of  $G1$  in  $G2$ ?
- $V$  is just itself, the vertices of  $G1$
- $D = W$
- $f: V \rightarrow W$
- $C: (v1, v2) \in E \Rightarrow (f(v1), f(v2)) \in F$



adjacency relation

# Example



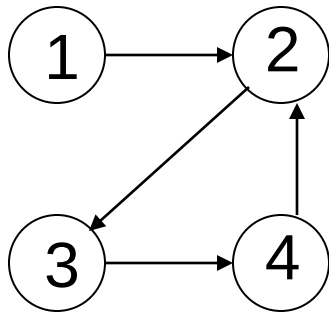
Is there a copy of the snowman on the left in the picture on the right?



# Graph Matching Example

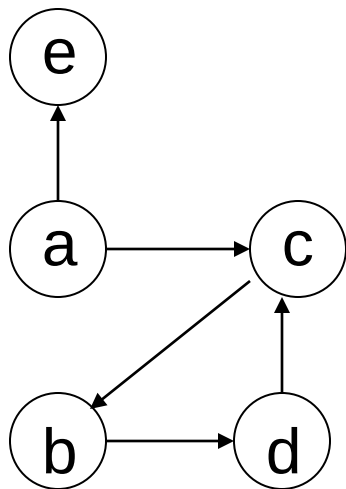
Find a subgraph isomorphism from R to S.

R



“snowman”

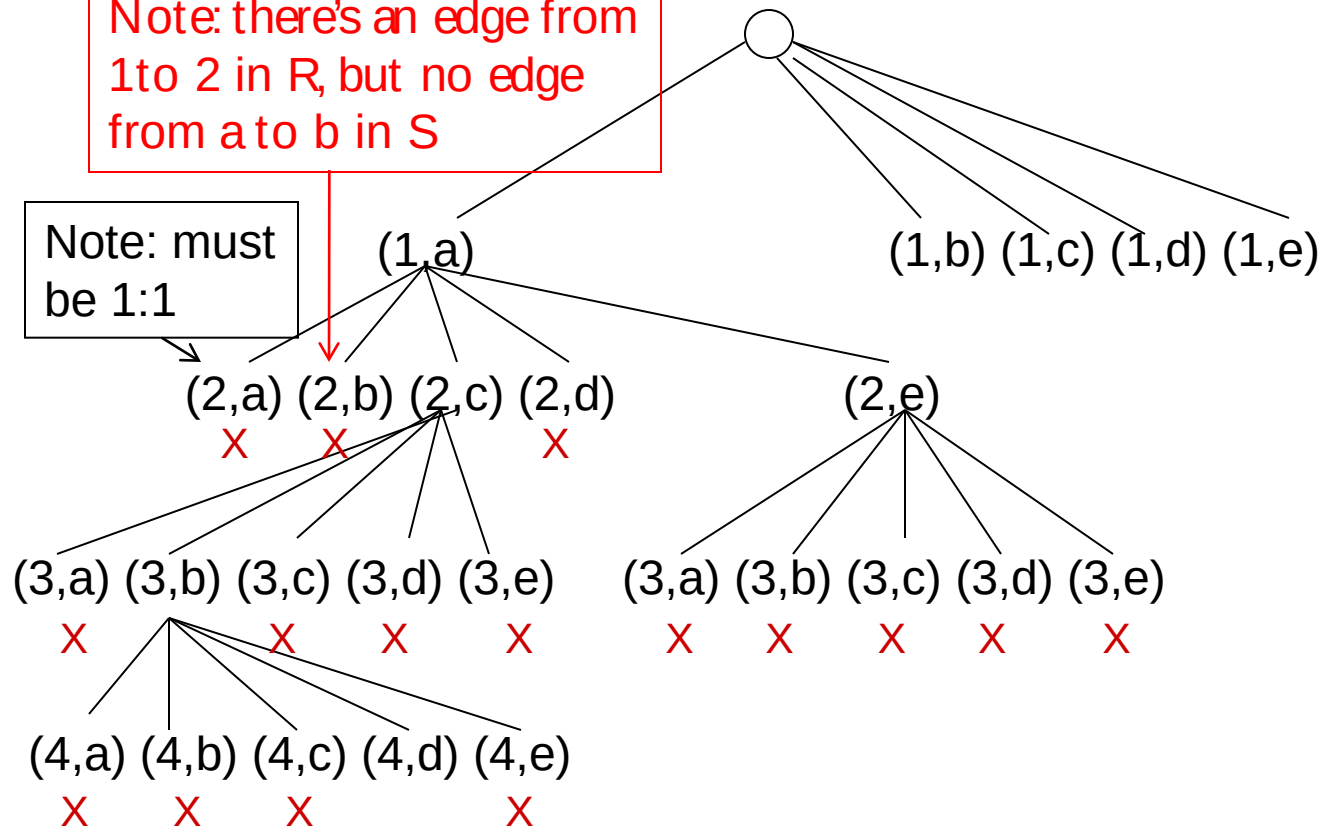
S



“snowman with hat and arms”

Note: there's an edge from 1 to 2 in R, but no edge from a to b in S

Note: must be 1:1



# Backtracking Search

```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return RECURSIVE-BACKTRACKING({}, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns a solution, or failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(Variables[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment according to Constraints[csp] then
      add { var = value } to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove { var = value } from assignment
  return failure
```

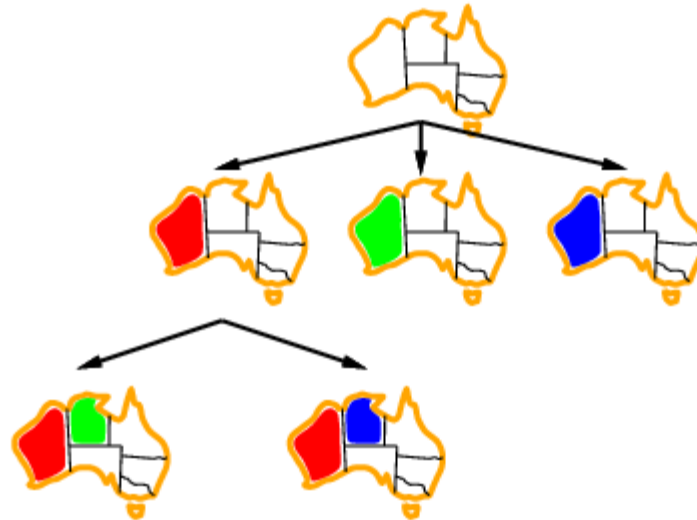
# Backtracking Example



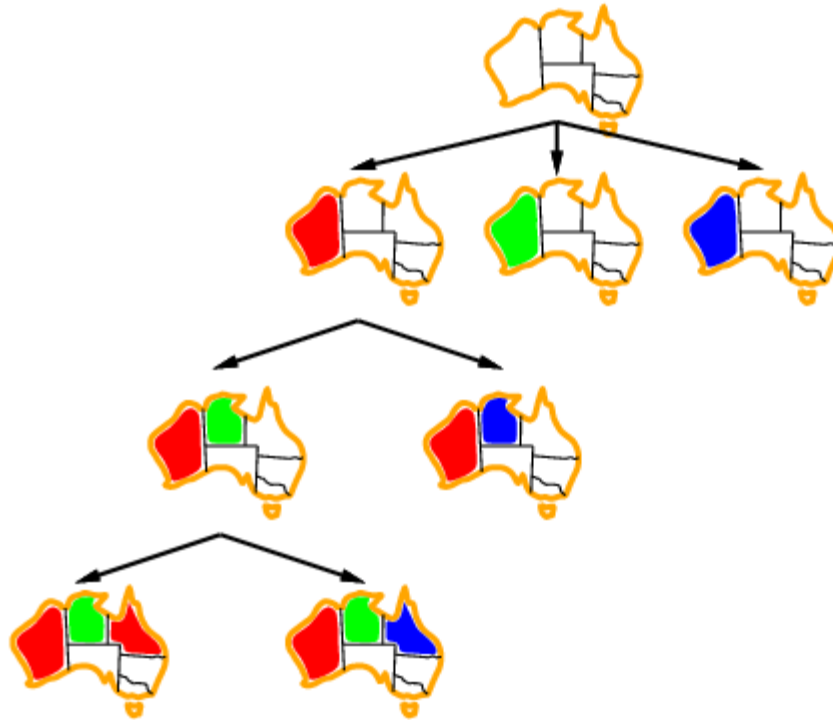
# Backtracking Example



# Backtracking Example



# Backtracking Example

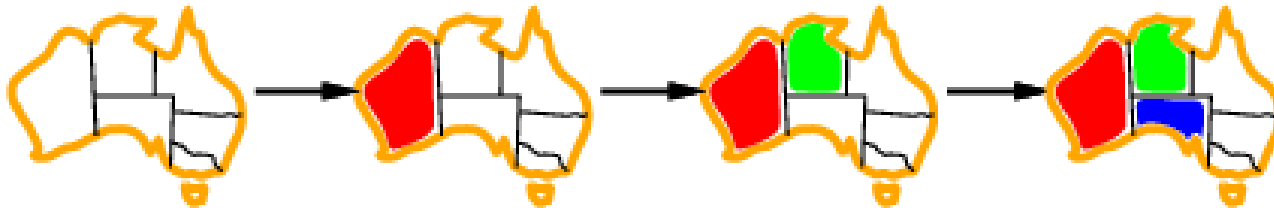


# Improving Backtracking Efficiency

- **General-purpose** methods can give huge gains in speed:
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?

# Most Constrained Variable

- Most constrained variable:  
choose the variable with the fewest legal values

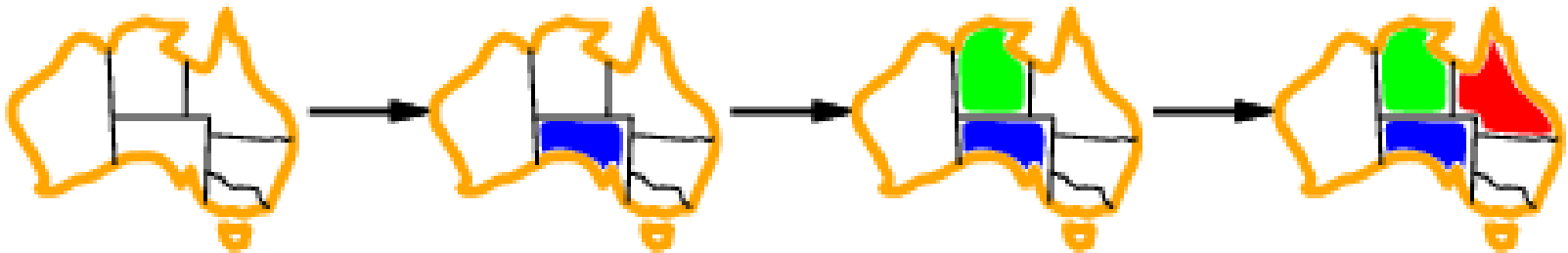


- a.k.a. **minimum remaining values (MRV)**  
heuristic



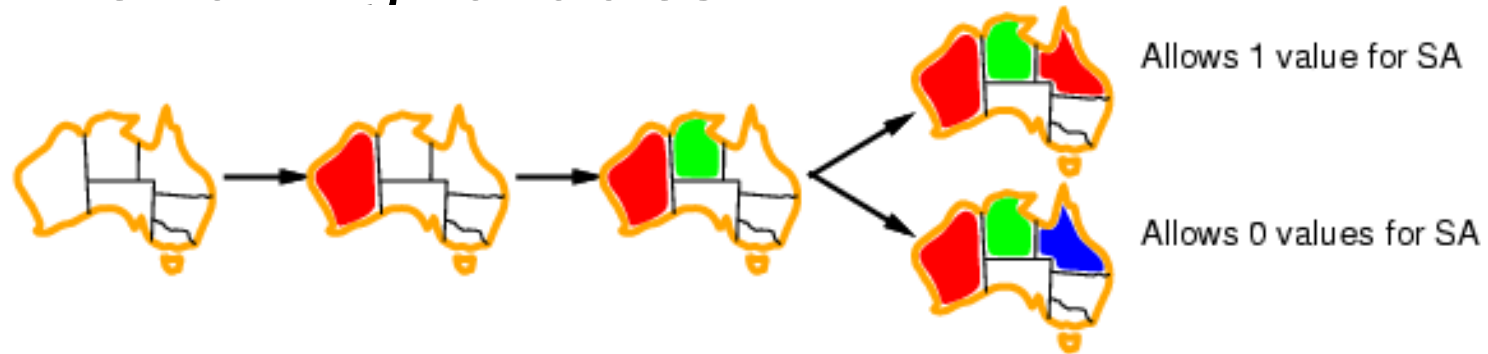
# Most Constraining Variable

- Tie-breaker among most constrained variables
- Most constraining variable:
  - choose the variable with the most constraints on remaining variables



# Least Constraining Value

- Given a variable, choose the least constraining value:
  - the one that rules out the fewest values in the remaining variables



- Combining these heuristics makes 1000 queens feasible

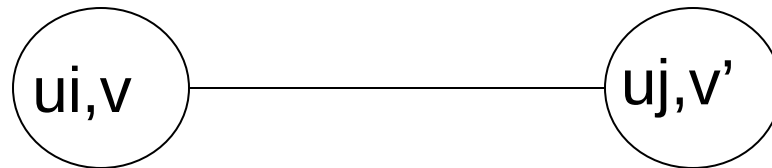
# Forward Checking

(Haralick and Elliott, 1980)

Variables:  $U = \{u_1, u_2, \dots, u_n\}$

Values:  $V = \{v_1, v_2, \dots, v_m\}$

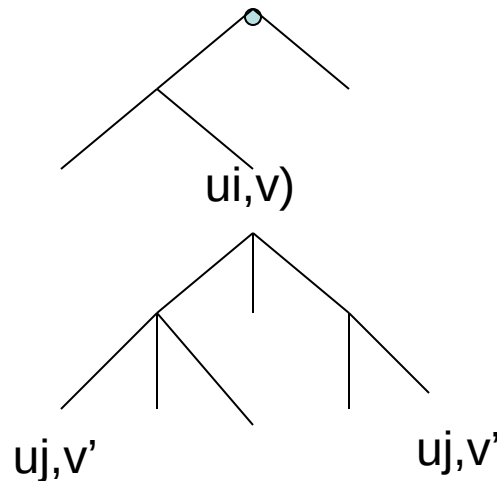
Constraint Relation:  $R = \{(u_i, v, u_j, v') \mid u_i \text{ having value } v \text{ is compatible with } u_j \text{ having label } v'\}$



If  $(u_i, v, u_j, v')$  is not in  $R$ , they are incompatible, meaning if  $u_i$  has value  $v$ ,  $u_j$  cannot have value  $v'$ .

# Forward Checking

Forward checking is based on the idea that once variable  $u_i$  is assigned a value  $v$ , then certain future variable-value pairs  $(u_j, v')$  become impossible.



Instead of finding this out at many places on the tree,  
we can rule it out in advance.

# Data Structure for Forward Checking

## Future error table (FTAB)

One per level of the tree (ie. a stack of tables)

|    | v1 | v2 | ... | vm |
|----|----|----|-----|----|
| u1 |    |    |     |    |
| u2 |    |    |     |    |
| :  |    |    |     |    |
| un |    |    |     |    |

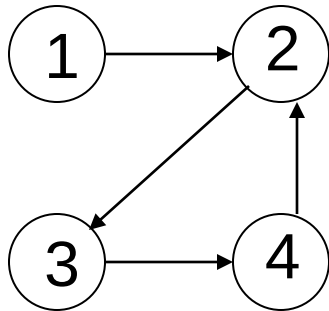
What does it mean if a whole row becomes 0?

At some level in the tree,  
for future (unassigned) variables  $u$

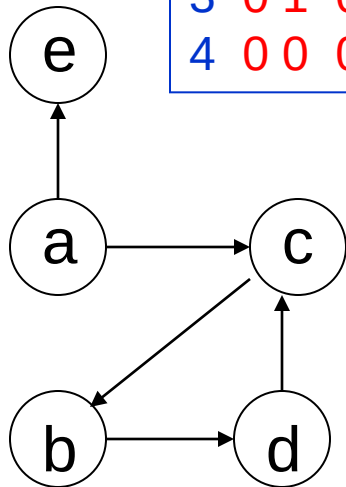
$FTAB(u,v) = 1$  if it is still possible to assign  $v$  to  $u$   
0 otherwise

# Graph Matching Example

R



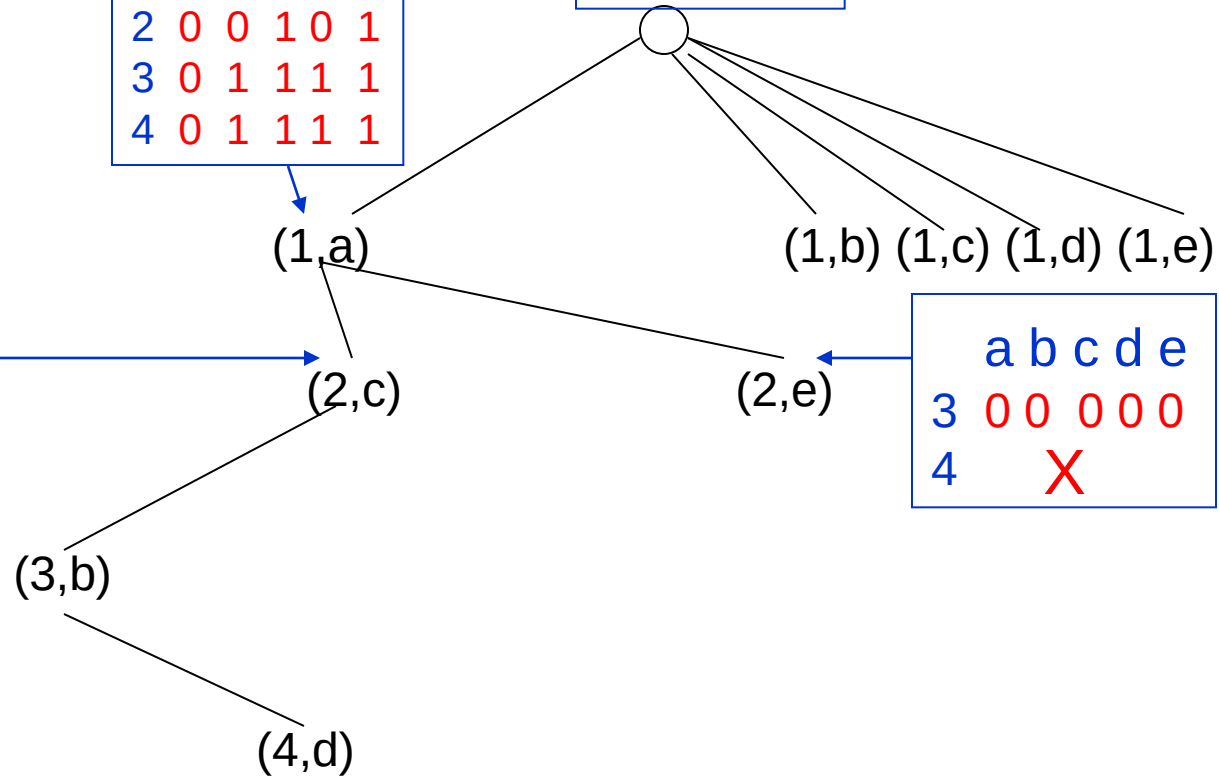
S



|   | a | b | c | d | e |
|---|---|---|---|---|---|
| 3 | 0 | 1 | 0 | 0 | 0 |
| 4 | 0 | 0 | 0 | 1 | 0 |

|   | a | b | c | d | e |
|---|---|---|---|---|---|
| 2 | 0 | 0 | 1 | 0 | 1 |
| 3 | 0 | 1 | 1 | 1 | 1 |
| 4 | 0 | 1 | 1 | 1 | 1 |

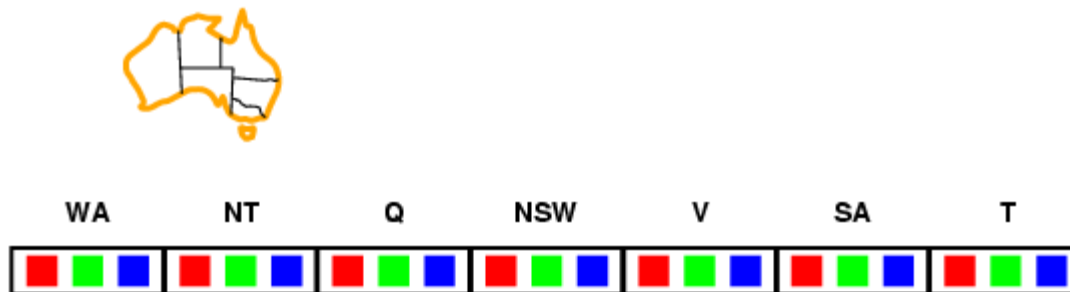
|   | a | b | c | d | e |
|---|---|---|---|---|---|
| 1 | 1 | 1 | 1 | 1 | 1 |
| 2 | 1 | 1 | 1 | 1 | 1 |
| 3 | 1 | 1 | 1 | 1 | 1 |
| 4 | 1 | 1 | 1 | 1 | 1 |



|   | a | b | c | d | e |
|---|---|---|---|---|---|
| 3 | 0 | 0 | 0 | 0 | 0 |
| 4 |   | X |   |   |   |

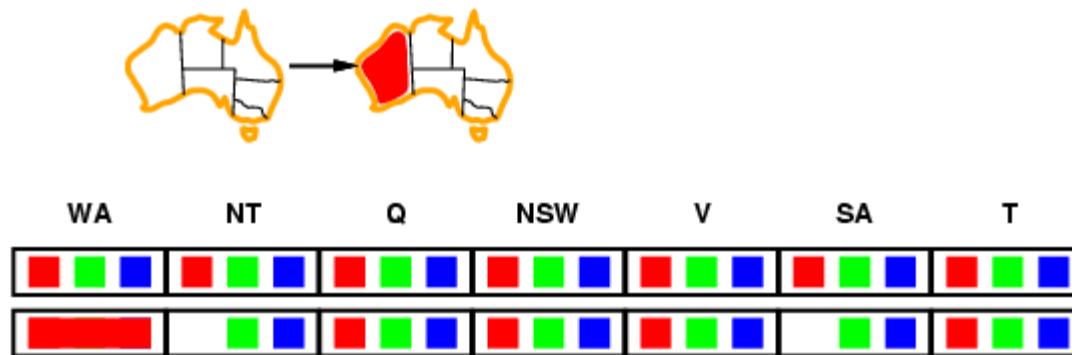
# Book's Forward Checking Example

- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values



# Forward Checking

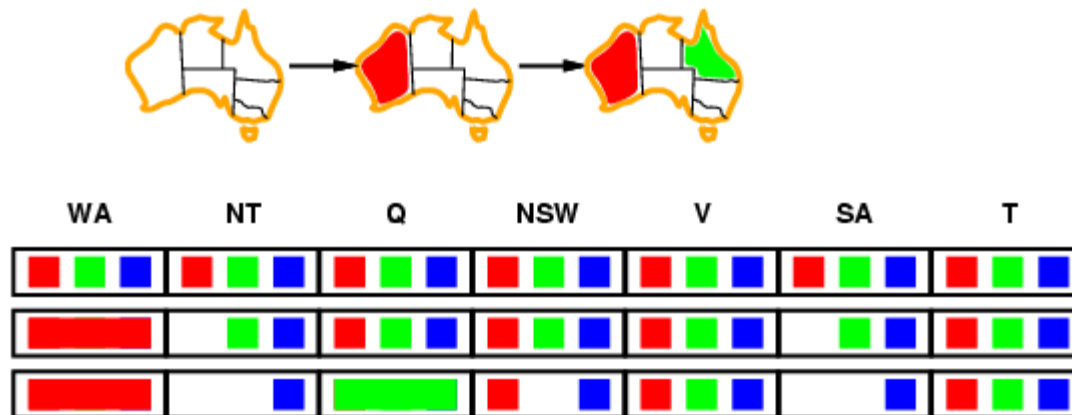
- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values





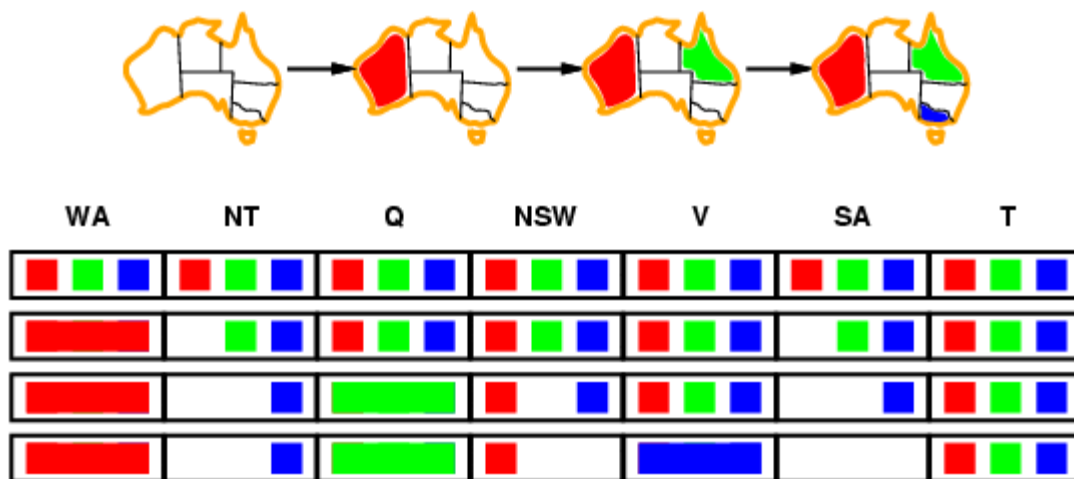
# Forward Checking

- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values



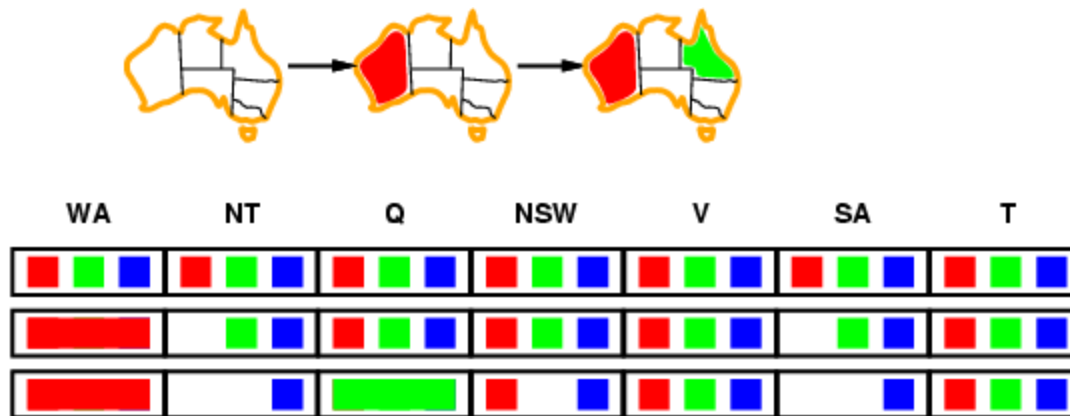
# Forward Checking

- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values



# Constraint Propagation

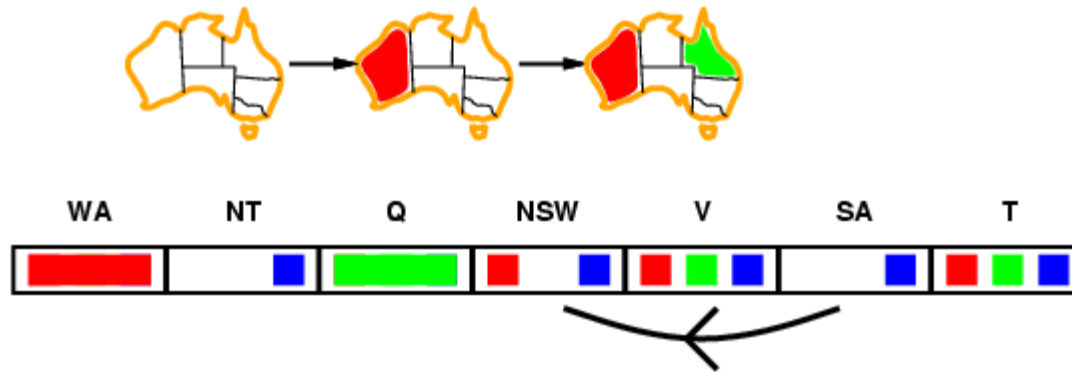
- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



- NT and SA cannot both be blue!
- Constraint propagation** repeatedly enforces constraints locally

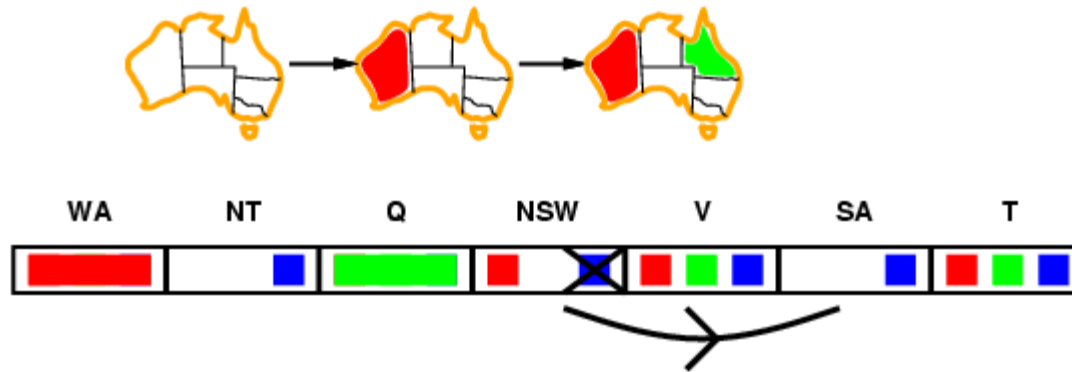
# Arc Consistency

- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent iff  
for **every** value  $x$  of  $X$  there is **some** allowed value  $y$  of  $Y$



# Arc Consistency

- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent iff  
for **every** value  $x$  of  $X$  there is **some** allowed value  $y$  of  $Y$



# Putting It All Together

- backtracking tree search
- with forward checking
- add arc-consistency
  - For each pair of future variables  $(u_i, u_j)$  that constrain one another
  - Check each possible remaining value  $v$  of  $u_i$
  - Is there a compatible value  $w$  of  $u_j$ ?
  - If not, remove  $v$  from possible values for  $u_i$   
(set  $FTAB(u_i, v)$  to 0)

# Comparison of Methods

- Backtracking tree search is a blind search.
- Forward checking checks constraints between the current variable and all future ones.
- Arc consistency then checks constraints between all pairs of future (unassigned) variables.

- What is the complexity of a backtracking tree search?
- How do forward checking and arc consistency affect that?

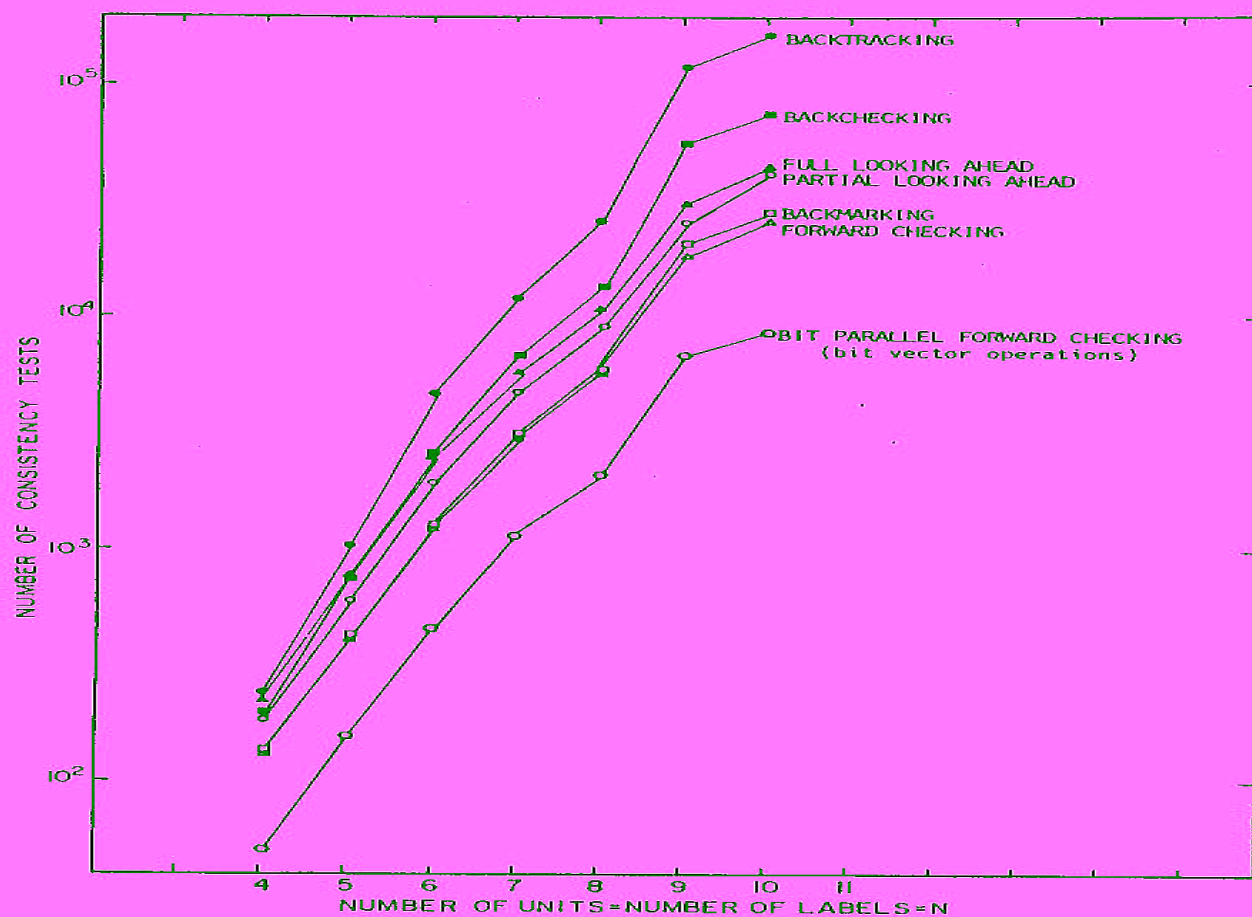


FIG. 8. The number of consistency tests in the average of 5 runs of the indicated programs. Relations are random with consistency check probability  $p = 0.65$  and number of units = number of labels =  $N$ . Each random relation is tested on all 6 methods, using the same 5 different relations generated for each  $N$ . The number of bit-vector operations in bit parallel forward checking is also shown for the same relations.



# Summary

- CSPs are a special kind of problem:
  - states defined by values of a fixed set of variables
  - goal test defined by constraints on variable values
- Backtracking = depth-first search with one variable assigned per node
- Variable ordering and value selection heuristics help significantly
- Forward checking prevents assignments that guarantee later failure
- Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies
- Searches are still worst case exponential, but pruning keeps the time down.