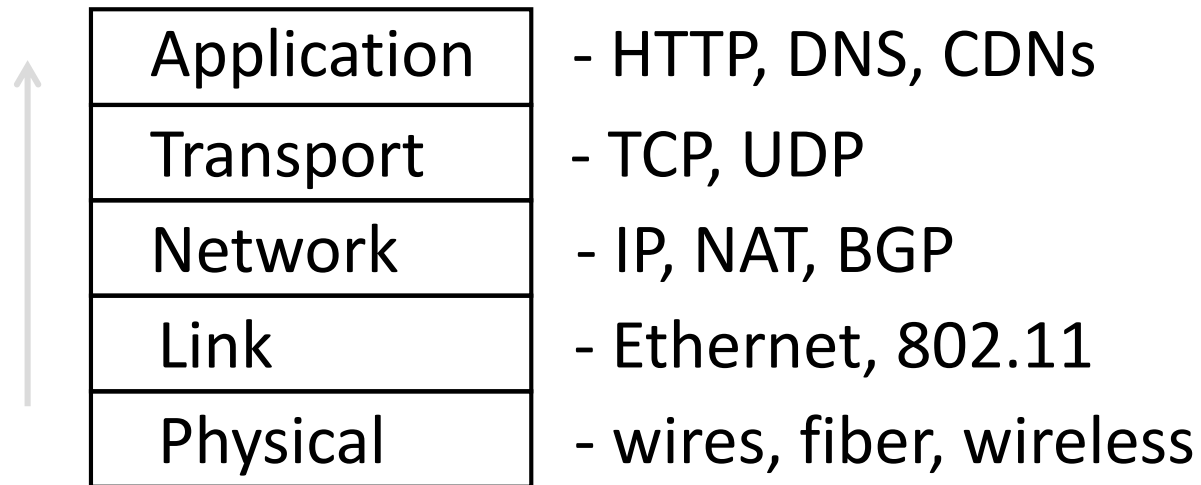


Physical Layer

# Lecture Progression

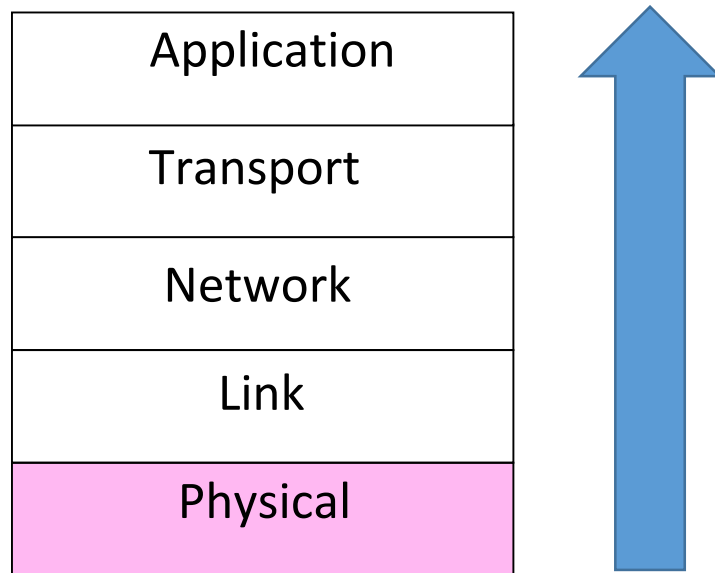
- Bottom-up through the layers:



- Followed by more detail on:
  - Quality of service, Security (VPN, SSL)

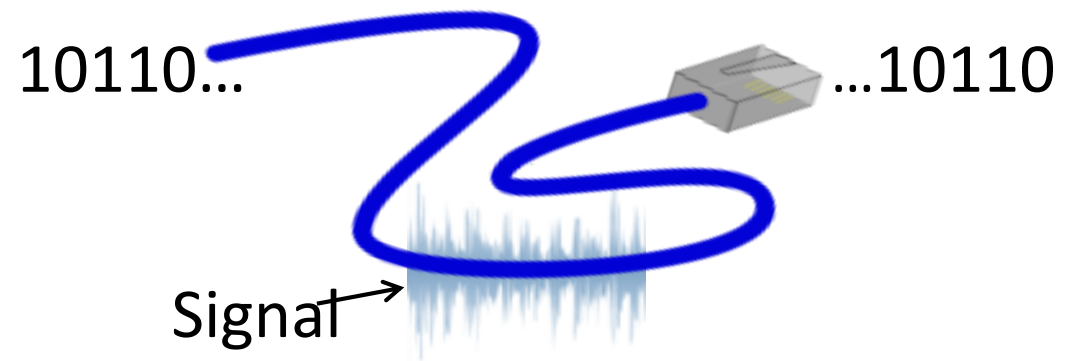
# Where we are in the Course

- Beginning to work our way up starting with the Physical layer



# Scope of the Physical Layer

- Concerns how signals are used to transfer message bits over a link
  - Wires etc. carry analog signals
  - We want to send digital bits



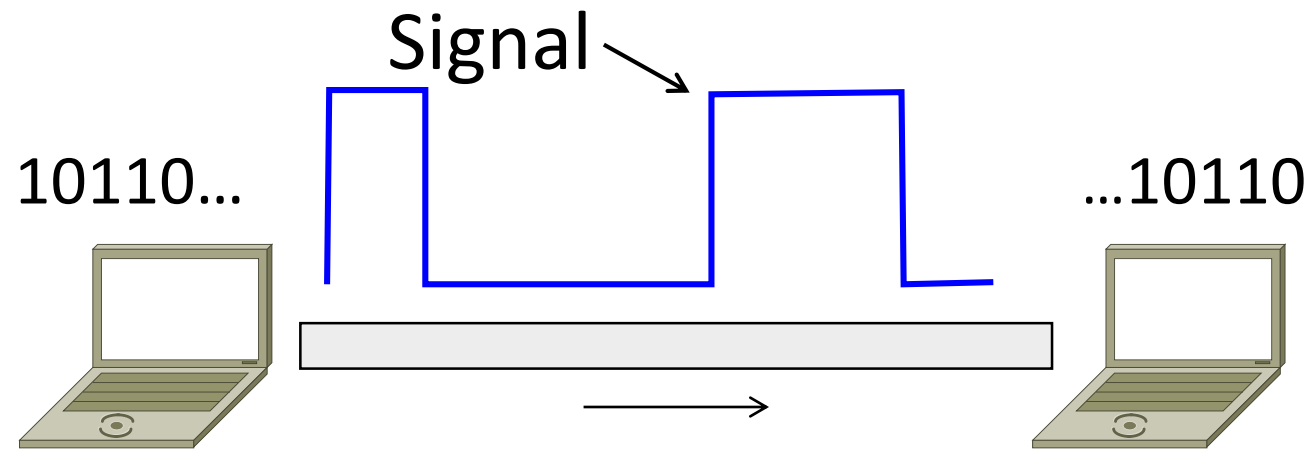
# Topics

1. Coding and Modulation schemes
  - Representing bits, noise
2. Properties of media
  - Wires, fiber optics, wireless, propagation
  - Bandwidth, attenuation, noise
3. Fundamental limits
  - Nyquist, Shannon

# Coding and Modulation

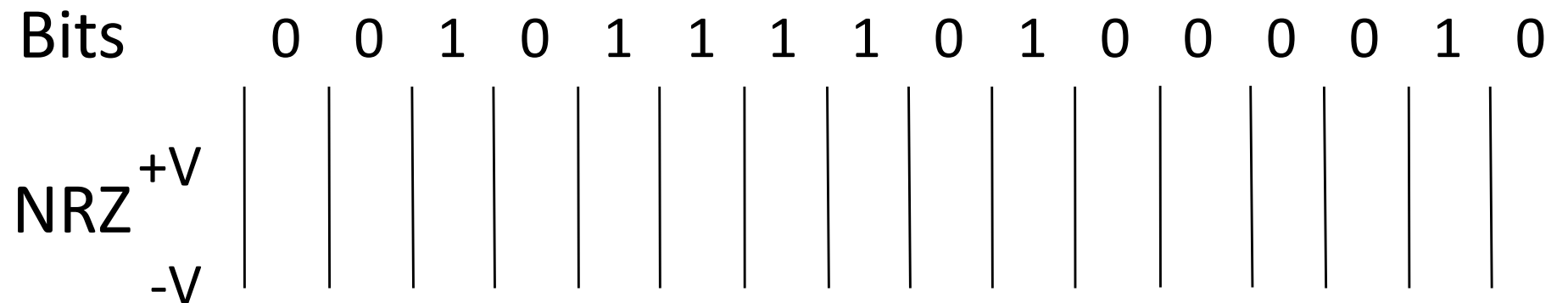
# Topic

- How can we send information across a link?
  - This is the topic of coding and modulation
  - Modem (from modulator–demodulator)



# A Simple Coding

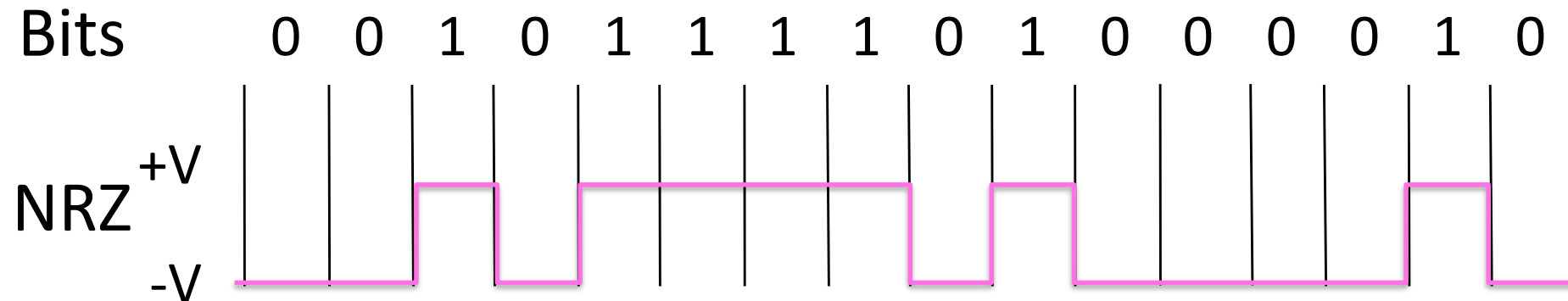
- Let a high voltage (+V) represent a 1, and low voltage (-V) represent a 0
  - This is called NRZ (Non-Return to Zero)





# A Simple Modulation (2)

- Let a high voltage (+V) represent a 1, and low voltage (-V) represent a 0
  - This is called NRZ (Non-Return to Zero)



# A Simple Modulation (3)

- Problems?

# Many Other Schemes

- Can use more signal levels
  - E.g., 4 levels is 2 bits per symbol
- Practical schemes are driven by engineering considerations
  - E.g., clock recovery

# Clock Recovery

- Um, how many zeros was that?
  - Receiver needs frequent signal transitions to decode bits

1 0 0 0 0 0 0 0 0 0 ... 0

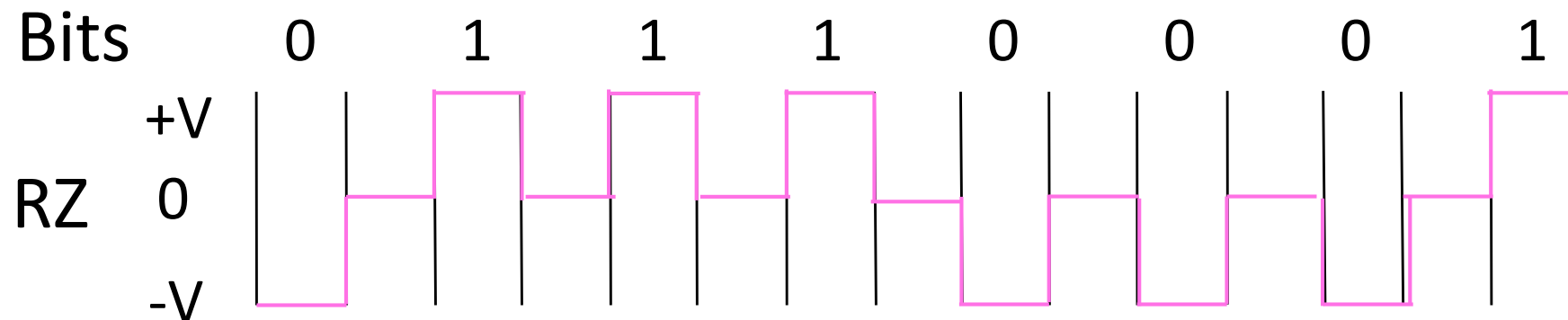


- Several possible designs
  - E.g., Manchester coding and scrambling (§2.5.1)

Ideas?

# Answer 1: A Simple Coding

- Let a high voltage (+V) represent a 1, and low voltage (-V) represent a 0
- Then go back to 0V for a “Reset”
  - This is called RZ (Return to Zero)



## Answer 2: Clock Recovery – 4B/5B

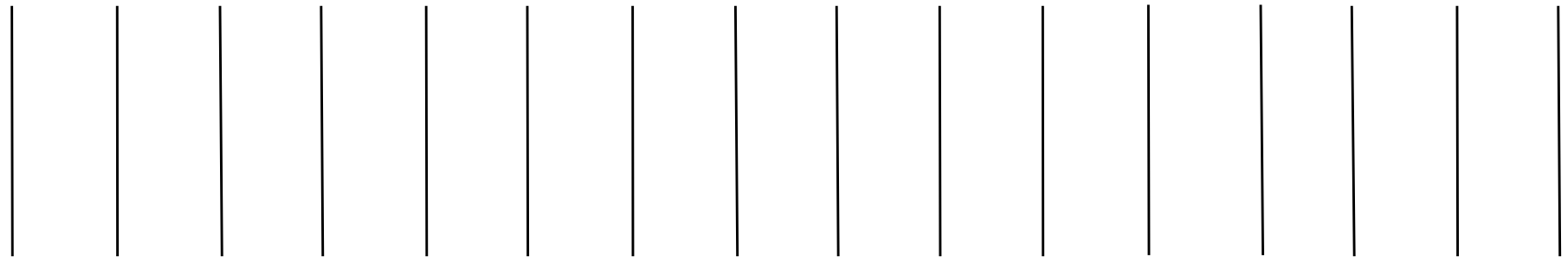
- Map every 4 data bits into 5 code bits without long runs of zeros
  - 0000 → 11110, 0001 → 01001,      1110 → 11100, ...  
1111 → 11101
  - Has at most 3 zeros in a row
  - Also invert signal level on a 1 to break up long runs of 1s (called NRZI, §2.5.1)

## Answer 2: Clock Recovery – 4B/5B (2)

- 4B/5B code for reference:
  - 0000 → 11110, 0001 → 01001, 1110 → 11100, ...  
1111 → 11101
- Message bits: 1 1 1 1 0 0 0 0 0 0 0 1

Coded Bits:

Signal:





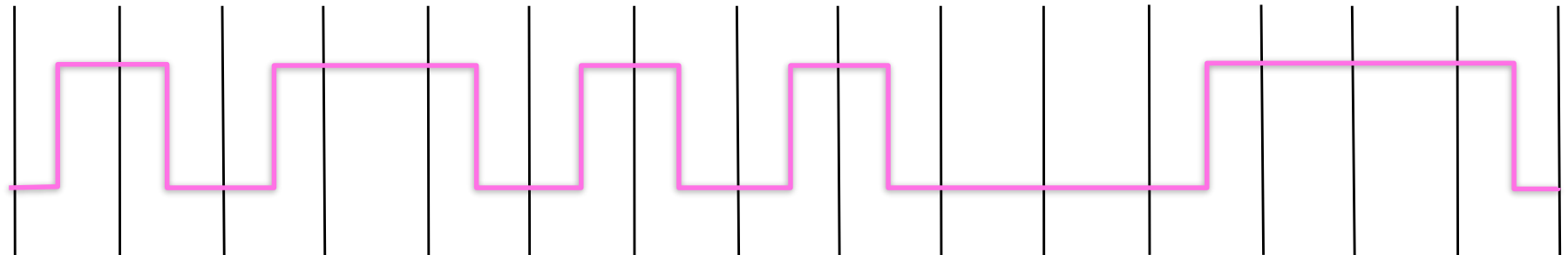
# Clock Recovery – 4B/5B (3)

- 4B/5B code for reference:
  - 0000 → 11110, 0001 → 01001, 1110 → 11100, ...  
1111 → 11101

• Message bits: 1 1 1 1 0 0 0 0 0 0 0 1

Coded Bits: 1 1 1 0 1 1 1 1 1 0 0 1 0 0 1

Signal:

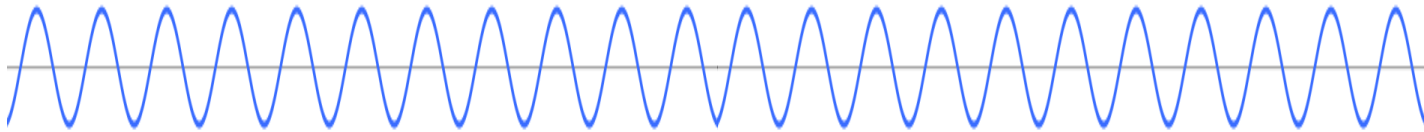


# Modulation vs Coding

- What we have seen so far is called coding
  - Signal is sent directly on a wire
- These signals do not propagate well as RF
  - Need to send at higher frequencies
- Modulation carries a signal by modulating a carrier
  - Baseband is signal pre-modulation
  - Keying is the *digital* form of modulation (equivalent to coding but using modulation)

# Passband Modulation (2)

- Carrier is simply a signal oscillating at a desired frequency:



- We can modulate it by changing:
  - Amplitude, frequency, or phase

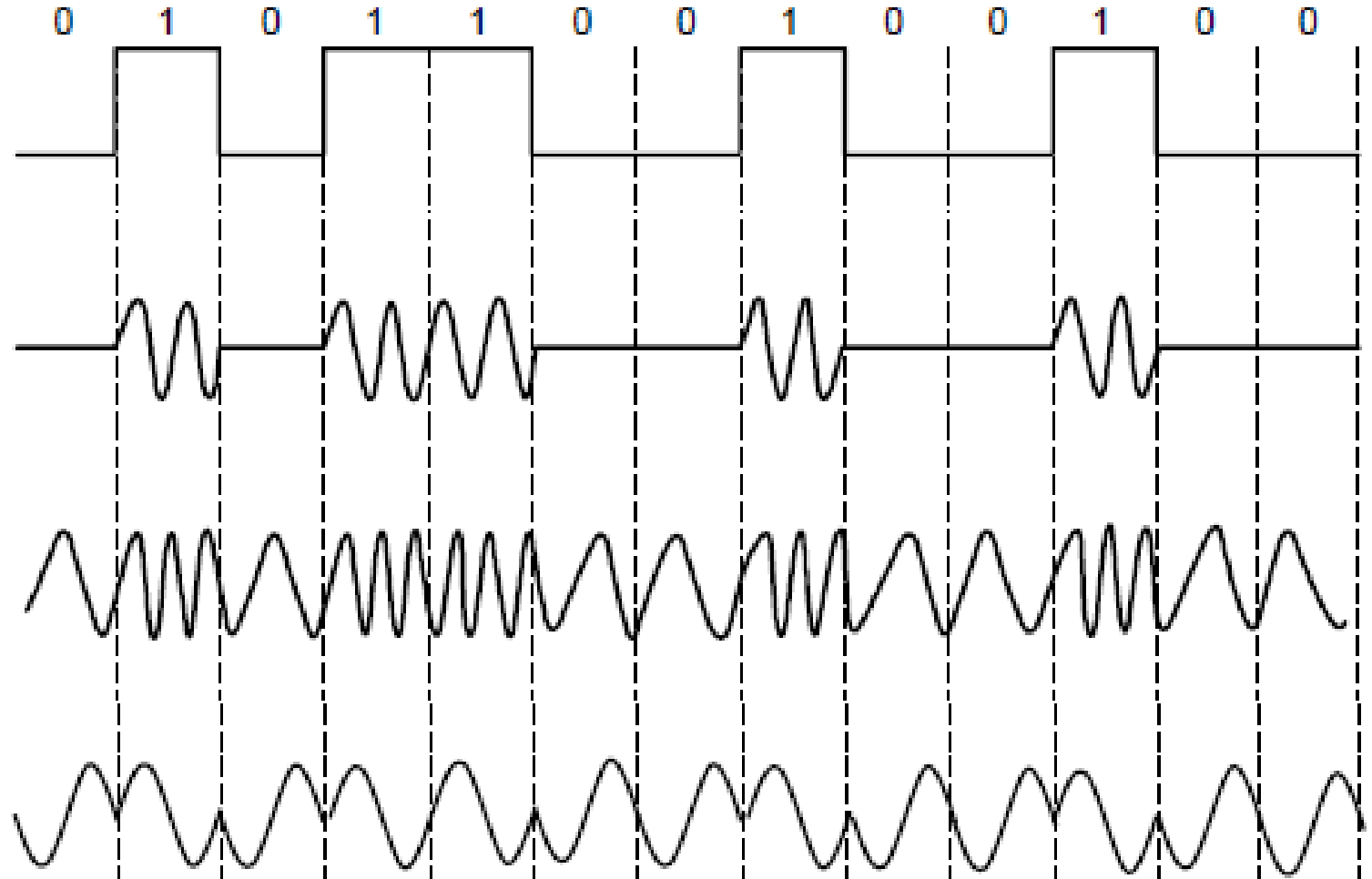
# Comparisons

NRZ signal of bits

Amplitude shift keying

Frequency shift keying

Phase shift keying

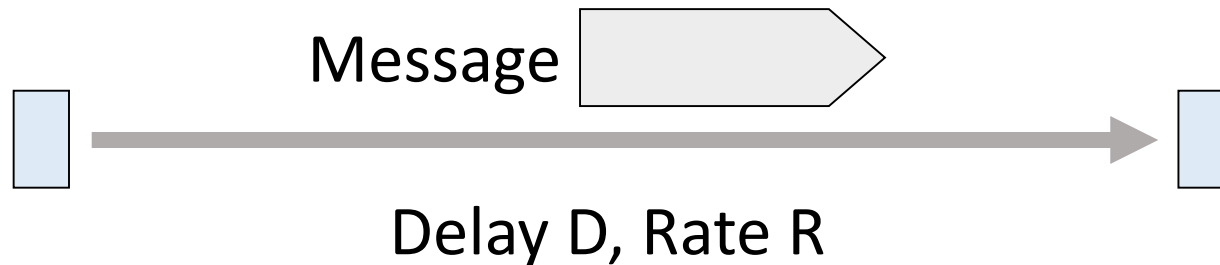


# Philosophical Takeaways

- Everything is analog, even digital signals
- Digital information is a *discrete* concept represented in an analog physical medium
  - A printed book (analog) vs.
  - Words conveyed in the book (digital)

# Simple Link Model

- We'll end with an abstraction of a physical channel
  - Rate (or bandwidth, capacity, speed) in bits/second
  - Delay in seconds, related to length



- Other important properties:
  - Whether the channel is broadcast, and its error rate

# Message Latency

- Latency is the delay to send a message over a link
  - Transmission delay: time to put M-bit message “on the wire”
  - Propagation delay: time for bits to propagate across the wire
- Combining the two terms we have:

# Message Latency (2)

- Latency is the delay to send a message over a link
  - Transmission delay: time to put M-bit message “on the wire”

$$T\text{-delay} = M \text{ (bits)} / \text{Rate (bits/sec)} = M/R \text{ seconds}$$

- Propagation delay: time for bits to propagate across the wire

$$P\text{-delay} = \text{Length} / \text{speed of signals} = \text{Length} / \frac{2}{3}c = D \text{ seconds}$$

- Combining the two terms we have:  $L = M/R + D$



# Latency Examples

Remembering  $L = M/R + D$

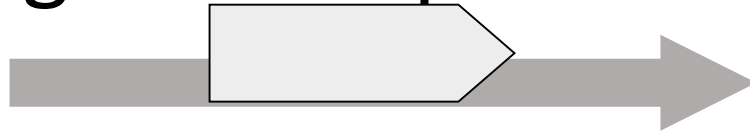
- “Dialup” with a telephone modem:
  - $D = 5 \text{ ms}$ ,  $R = 56 \text{ kbps}$ ,  $M = 1250 \text{ bytes}$
- Broadband cross-country link:
  - $D = 50 \text{ ms}$ ,  $R = 10 \text{ Mbps}$ ,  $M = 1250 \text{ bytes}$

# Latency Examples (2)

- “Dialup” with a telephone modem:
  - $D = 5 \text{ ms}$ ,  $R = 56 \text{ kbps}$ ,  $M = 1250 \text{ bytes}$
  - $L = (1250 \times 8) / (56 \times 10^3) \text{ sec} + 5 \text{ ms} = 184 \text{ ms!}$
- Broadband cross-country link:
  - $D = 50 \text{ ms}$ ,  $R = 10 \text{ Mbps}$ ,  $M = 1250 \text{ bytes}$
  - $L = (1250 \times 8) / (10 \times 10^6) \text{ sec} + 50 \text{ ms} = 51 \text{ ms}$
- A long link or a slow rate means high latency: One component dominates

# Bandwidth-Delay Product

- Messages take space on the wire!



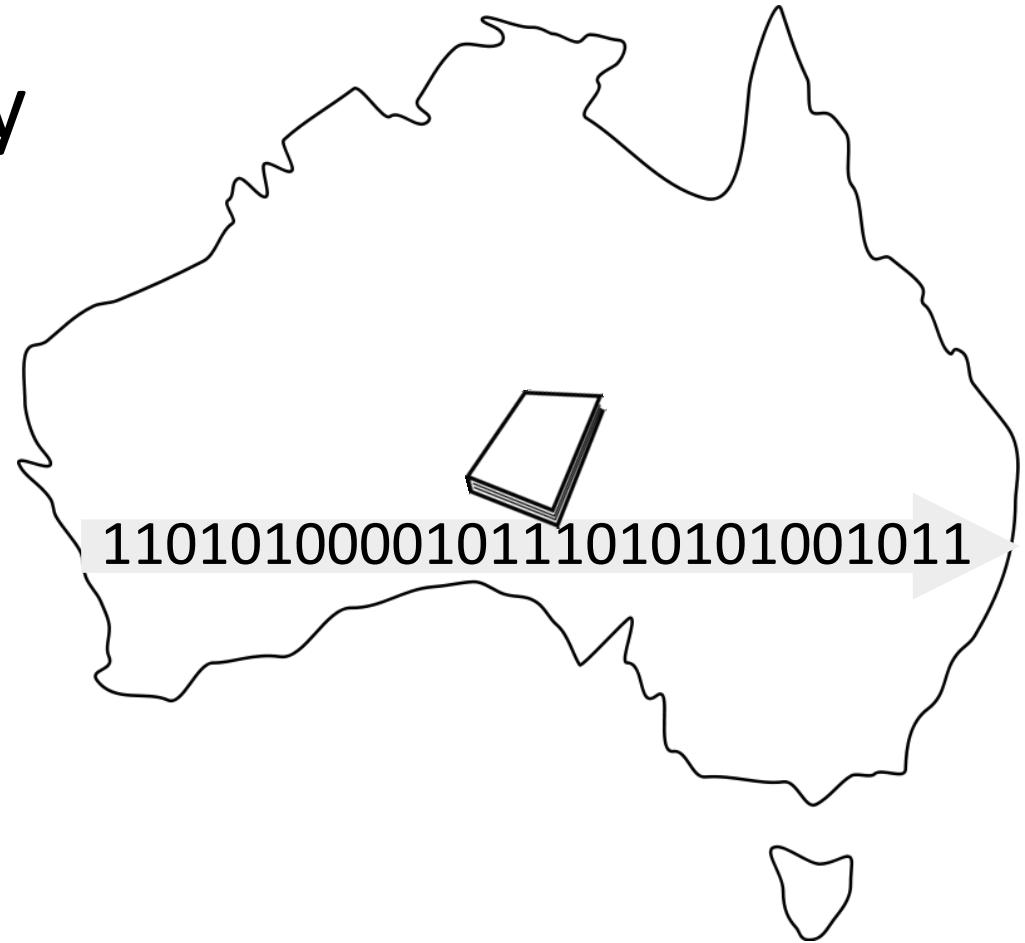
- The amount of data in flight is the bandwidth-delay (BD) product

$$BD = R \times D$$

- Measure in bits, or in messages
- Small for LANs, big for “long fat” pipes

# Bandwidth-Delay Example

- Fiber at home, cross-country  
 $R=40$  Mbps,  $D=50$  ms



# Bandwidth-Delay Example (2)

- Fiber at home, cross-country

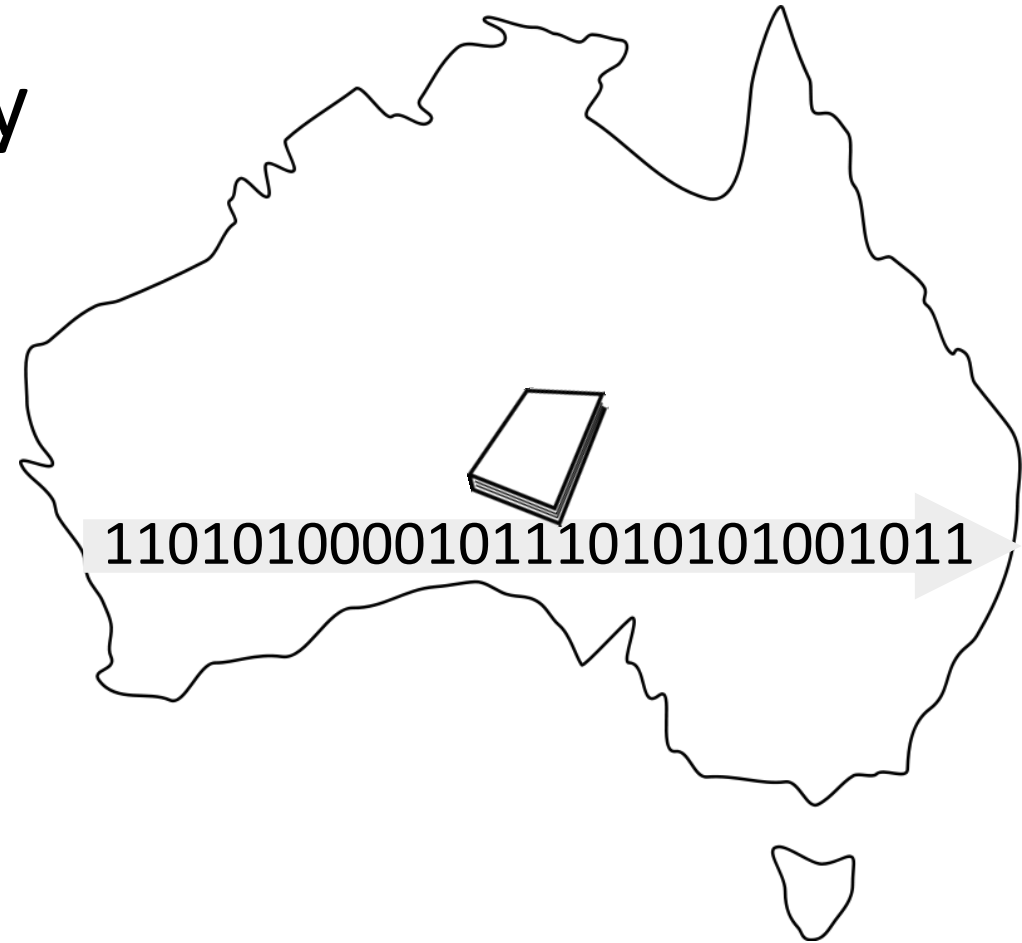
$R=40$  Mbps,  $D=50$  ms

$BD = 40 \times 10^6 \times 50 \times 10^{-3}$  bits

= 2000 Kbit

= 250 KB

- That's quite a lot of data in the network”!



Media

# <sup>2</sup> media

*noun, often attributive*

## Definition of MEDIA

*plural medias*

- 1 : a **medium** of cultivation, conveyance, or expression • Air is a *media* that conveys sound.;  
*especially* : **MEDIUM** 2b

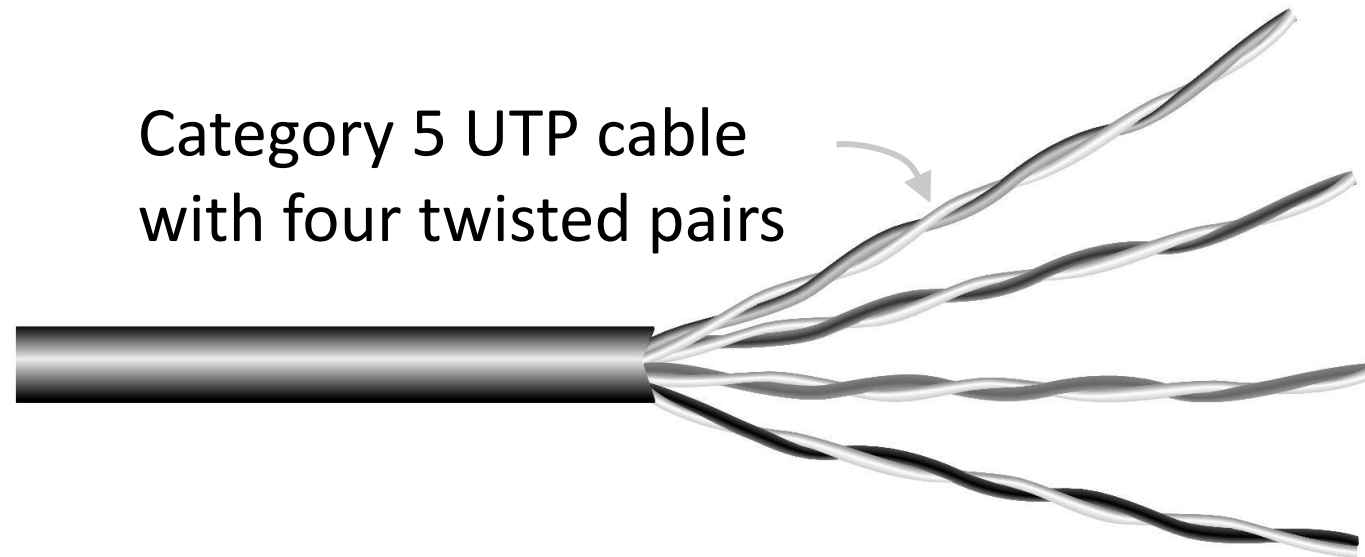
# Types of Media

- Media propagate signals that carry bits of information
- We'll look at some common types:
  - Wires
  - Fiber (fiber optic cables)
  - Wireless



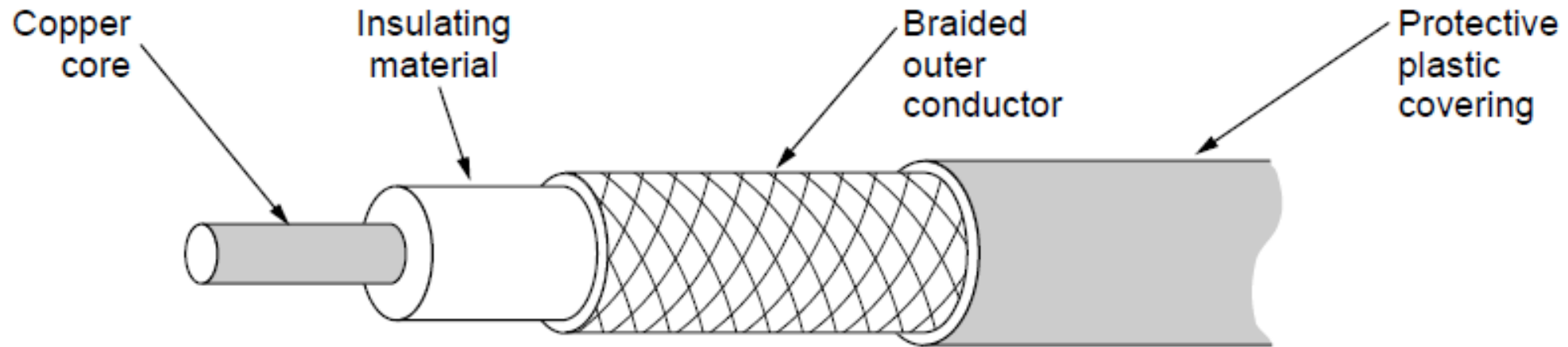
# Wires – Twisted Pair

- Very common; used in LANs and telephone lines
  - Twists reduce radiated signal



# Wires – Coaxial Cable

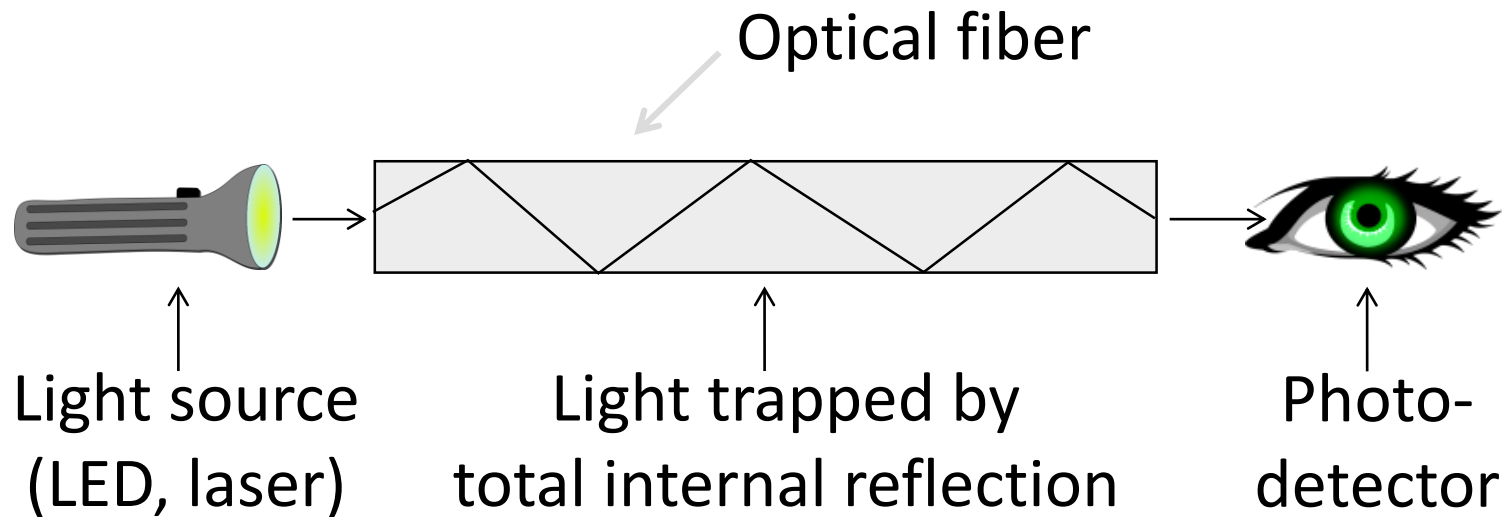
- Also common. Better shielding for better performance



- Other kinds of wires too: e.g., electrical power (§2.2.4)

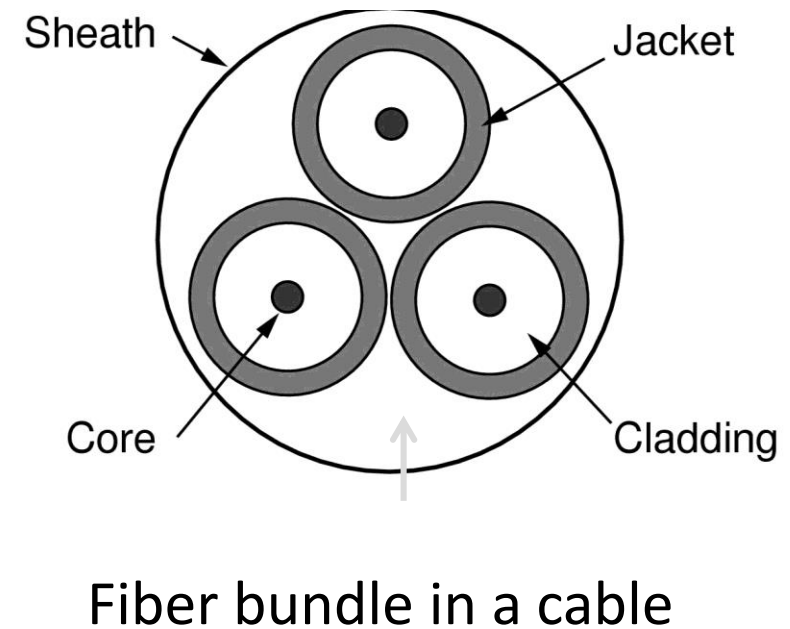
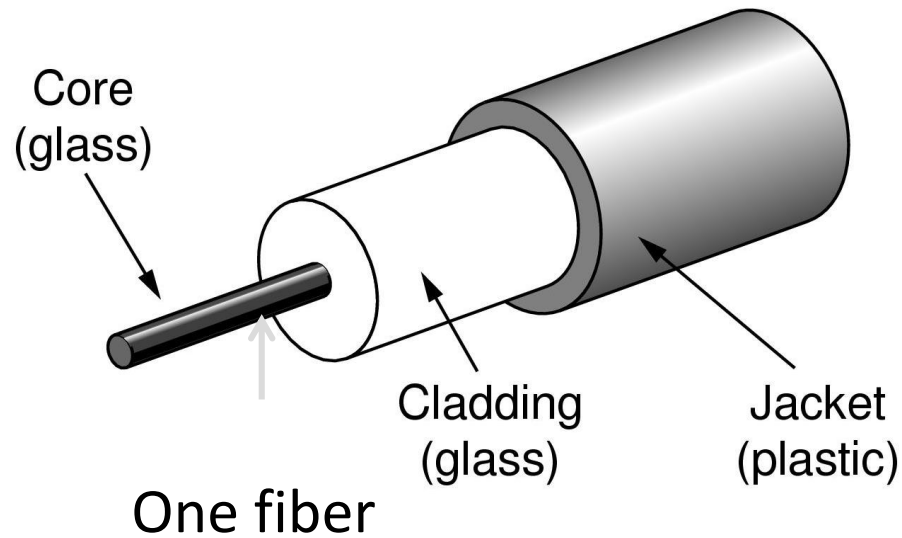
# Fiber

- Long, thin, pure strands of glass
  - Enormous bandwidth (high speed) over long distances



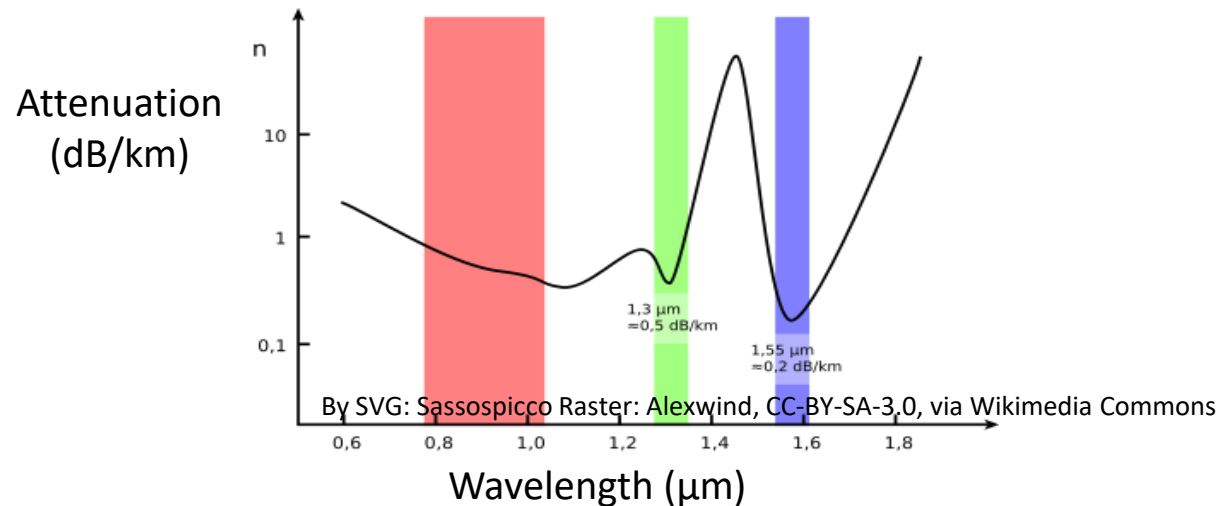
## Fiber (2)

- Two varieties: multi-mode (shorter links, cheaper) and single-mode (up to ~100 km)



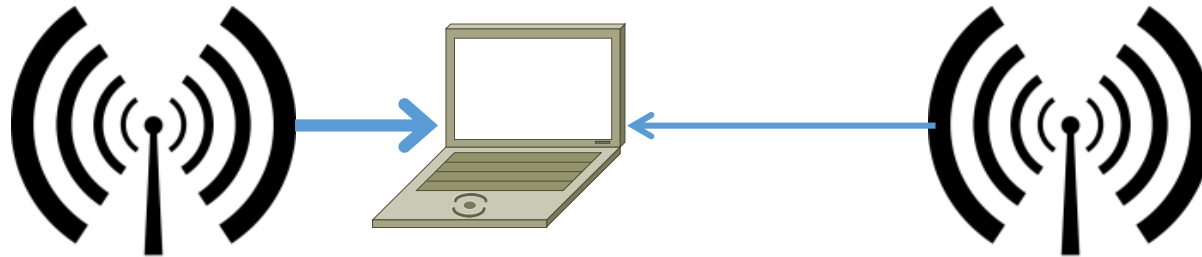
# Signals over Fiber

- Light propagates with very low loss in three very wide frequency bands
  - Use a carrier to send information

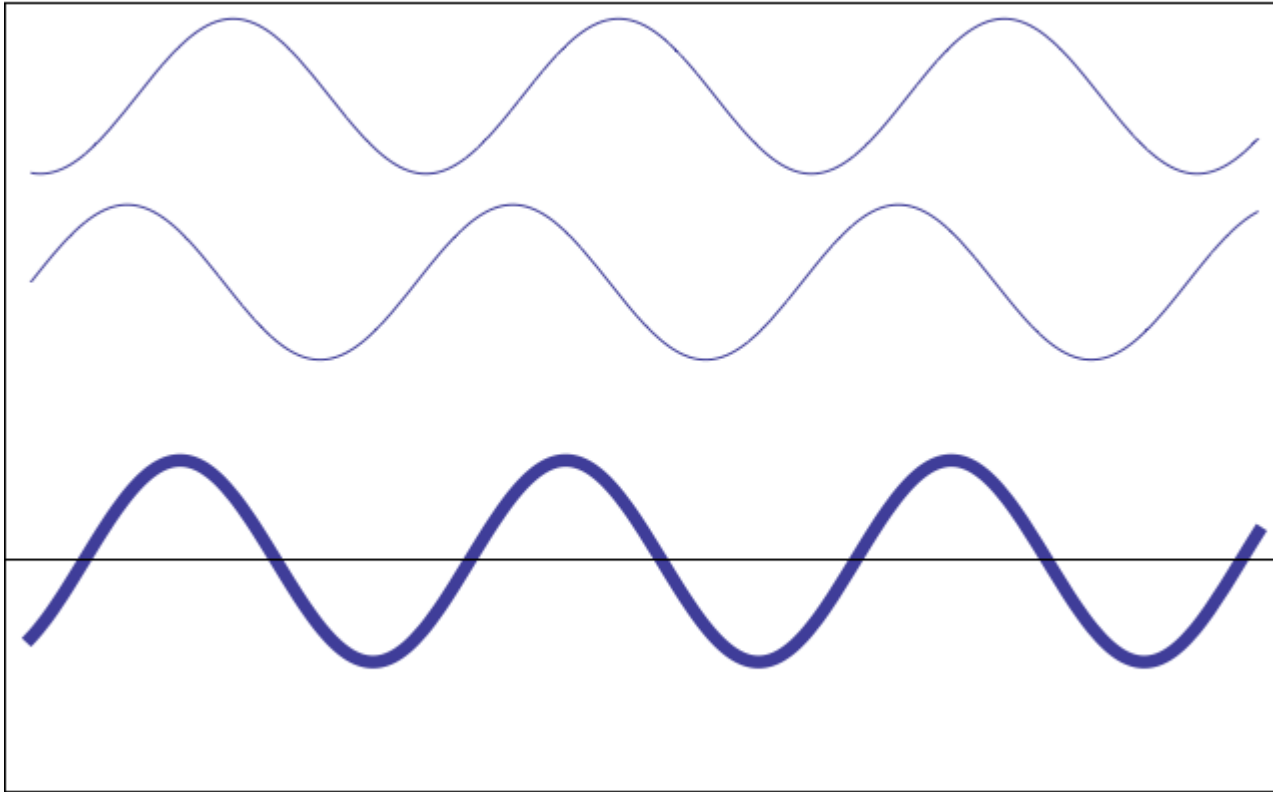


# Wireless

- Sender radiates signal over a region
  - In many directions, unlike a wire, to potentially many receivers
  - Nearby signals (same freq.) interfere at a receiver; need to coordinate use



# Wireless Interference



# UNITED STATES FREQUENCY ALLOCATIONS THE RADIO SPECTRUM

## RADIO SERVICES COLOR LEGEND

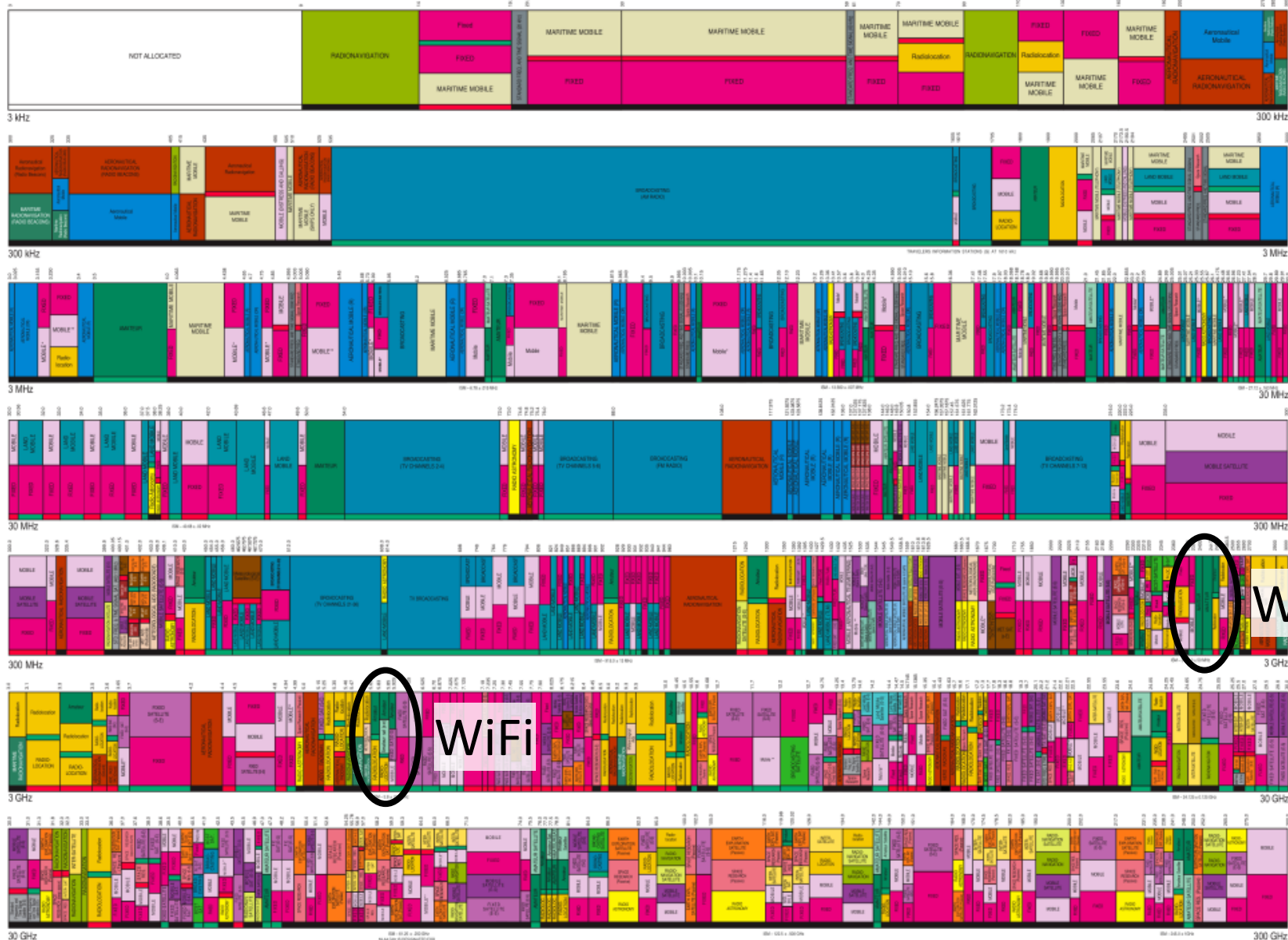

## ACTIVITY CODE


## ALLOCATION USAGE DESIGNATION

Service	Example	Description
Primary	Fixed	Capital Letters
Secondary	Mobile	1st Capital with lower case letters

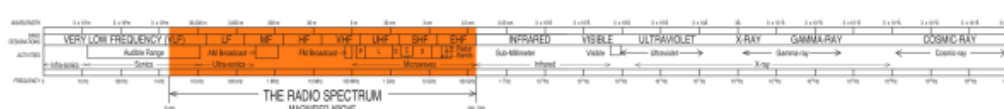
This chart is a graphic single-point-in-time portrait of the Table of Frequency Allocations used by the FCC and NITSA. As such, it does not completely reflect all changes, i.e., additions and deletions, made to the Table of Frequency Allocations. Therefore, for complete information, users should consult the Table to determine the current status of all allocations.

**NITSA**  
U.S. DEPARTMENT OF COMMERCE  
National Telecommunications and Information Administration  
Office of Spectrum Management  
October 2003



\* EXCEPT WHERE NOTED, (N)

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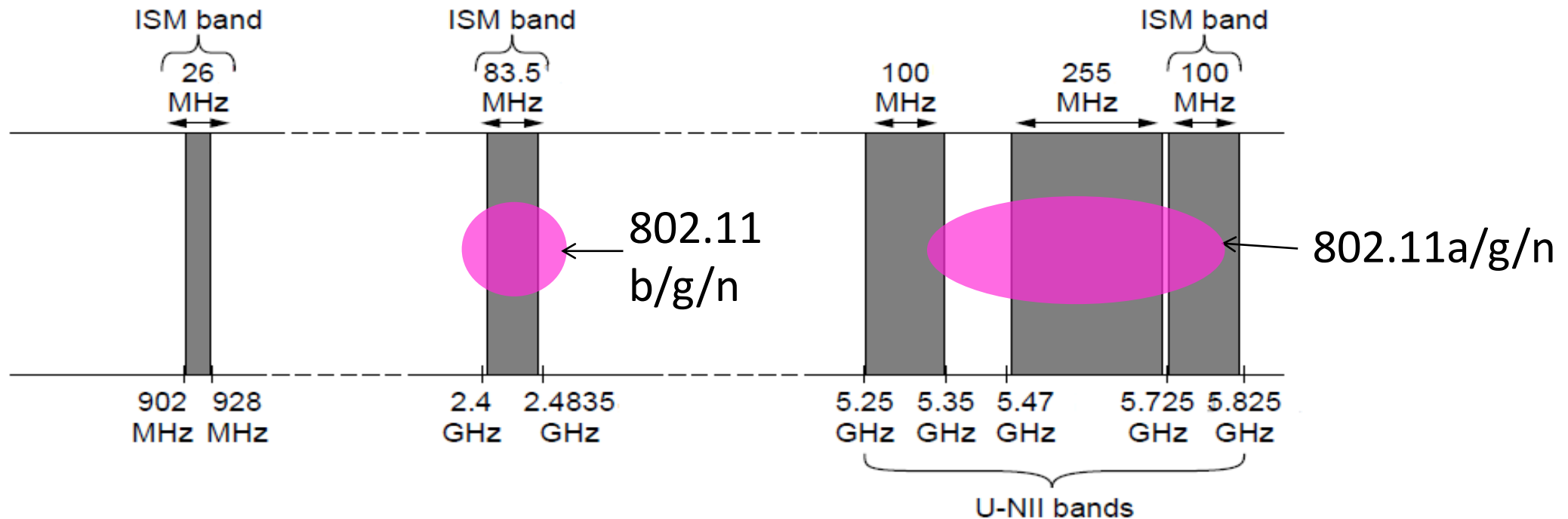


PLEASE NOTE: THE SPACING ALLOTTED TO THE SERVICES IN THE SPEC-TRUM REPRESENTS AN APPROXIMATE PROPORTION TO THE ACTUAL AMOUNT OF SPECTRUM OCCUPIED.



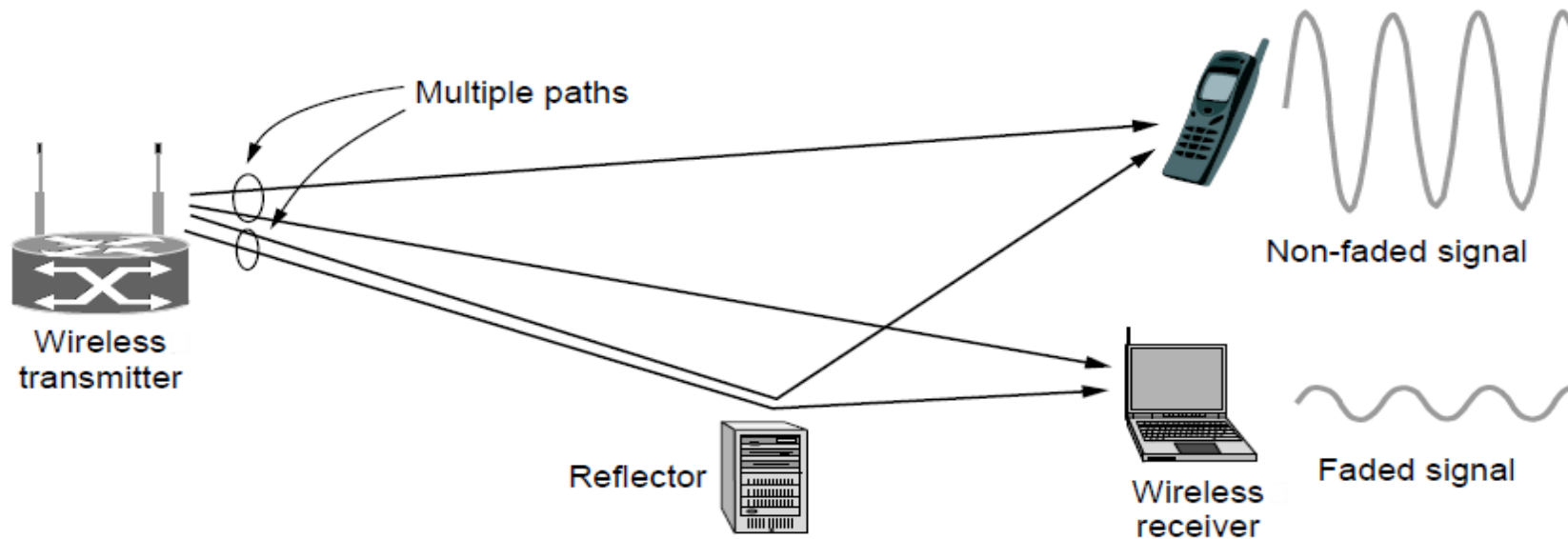
# Wireless (2)

- Unlicensed (ISM) frequencies, e.g., WiFi, are widely used for computer networking



# Multipath (3)

- Signals bounce off objects and take multiple paths
  - Some frequencies attenuated at receiver, varies with location



# Wireless (4)

- Various other effects too!
  - Wireless propagation is complex, depends on environment
- Some key effects are highly frequency dependent,
  - E.g., multipath at microwave frequencies

# Limits

# Topic

- How rapidly can we send information over a link?
  - Nyquist limit (~1924)
  - Shannon capacity (1948)
- Practical systems are devised to approach these limits

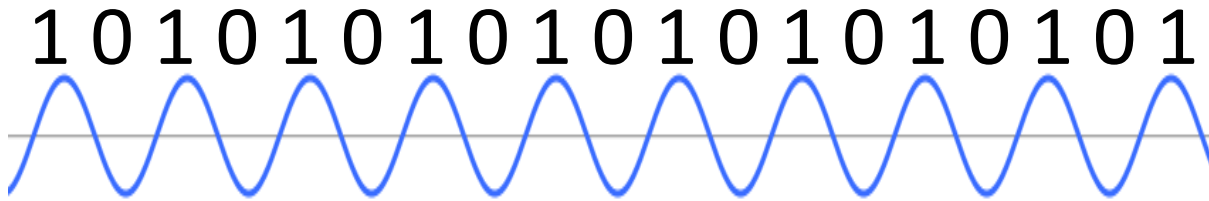
# Key Channel Properties

- The bandwidth (B), signal strength (S), and noise (N)
  - B (in hertz) limits the rate of transitions
  - S and N limit how many signal levels we can distinguish



# Nyquist Limit

- The maximum symbol rate is  $2B$



- Thus if there are  $V$  signal levels, ignoring noise, the maximum bit rate is:

$$R = 2B \log_2 V \text{ bits/sec}$$

# Claude Shannon (1916-2001)

- Father of information theory
  - “A Mathematical Theory of Communication”, 1948
- Fundamental contributions to digital computers, security, and communications

Electromechanical mouse  
that “solves” mazes!

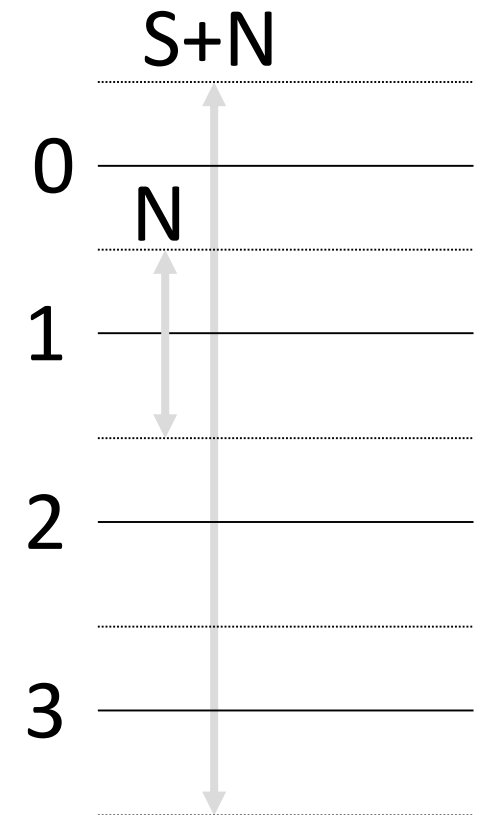


Credit: Courtesy MIT Museum



# Shannon Capacity

- How many levels we can distinguish depends on S/N
  - Or SNR, the Signal-to-Noise Ratio
  - Note noise is random, hence some errors
- SNR given on a log-scale in deciBels:
  - $\text{SNR}_{\text{dB}} = 10\log_{10}(S/N)$



## Shannon Capacity (2)

- Shannon limit is for capacity (C), the maximum information carrying rate of the channel:

$$C = B \log_2(1 + S/N) \text{ bits/sec}$$

# Shannon Capacity Takeaways

$$C = B \log_2(1 + S/N) \text{ bits/sec}$$

- There is some rate at which we can transmit data **without loss** over a random channel
- Assuming noise fixed, increasing the signal power yields diminishing returns : (
- Assuming signal is fixed, increasing bandwidth increases capacity linearly!

# Wired/Wireless Perspective (2)

- Wires, and Fiber
  - Engineer link to have requisite SNR and B
  - Can fix data rate

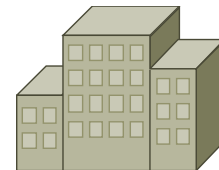
Engineer SNR for data rate

- Wireless
  - Given B, but SNR varies greatly, e.g., up to 60 dB!
  - Can't design for worst case, must adapt data rate

Adapt data rate to SNR

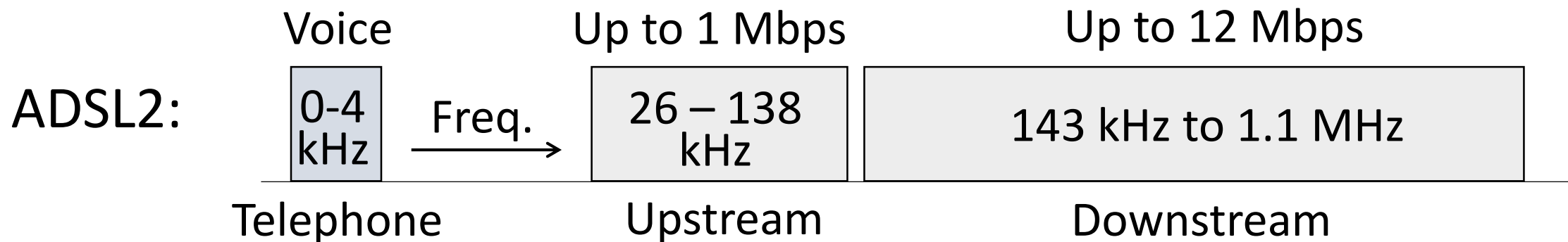
# Putting it all together – DSL

- DSL (Digital Subscriber Line, see §2.6.3) is widely used for broadband; many variants offer 10s of Mbps
  - Reuses twisted pair telephone line to the home; it has up to  $\sim 2$  MHz of bandwidth but uses only the lowest  $\sim 4$  kHz



## DSL (2)

- DSL uses passband modulation (called OFDM)
  - Separate bands for upstream and downstream (larger)
  - Modulation varies both amplitude and phase (QAM)
  - High SNR, up to 15 bits/symbol, low SNR only 1 bit/symbol

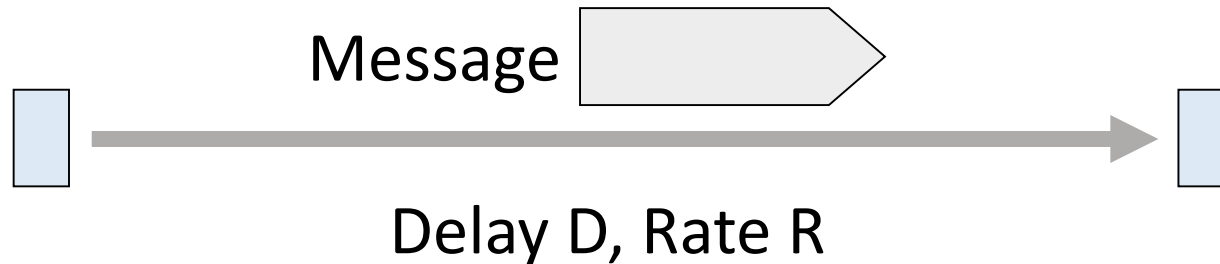


# Phy Layer Innovation Still Happening!

- **Backscatter** “zero power” wireless
- **mm wave** 30GHz+ radio equipment
- Free space optical (**FSO**)
- Cooperative **interference management**
- **Massive MIMO** and beamforming
- Powerline Networking

# All distilled to a simple link model

- Rate (or bandwidth, capacity, speed) in bits/second
- Delay in seconds, related to length



- Other important properties:
  - Whether the channel is broadcast, and its error rate