

# Protocols and Layering

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- We need abstractions to handle all this system complexity

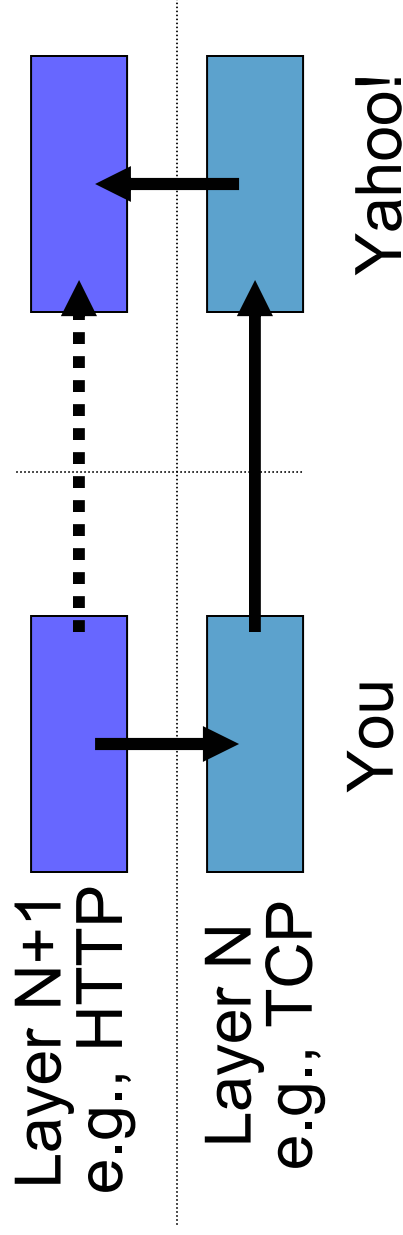
*A protocol is an agreement dictating the form and function of data exchanged between parties to effect communication*

- Two parts:
  - Syntax: format -- where the bits go
  - Semantics: meaning -- what the words mean, what to do with them
- Examples:
  - IP, the Internet protocol; TCP and HTTP, for the Web
  - You can make up your own

# Layering and Protocol Stacks

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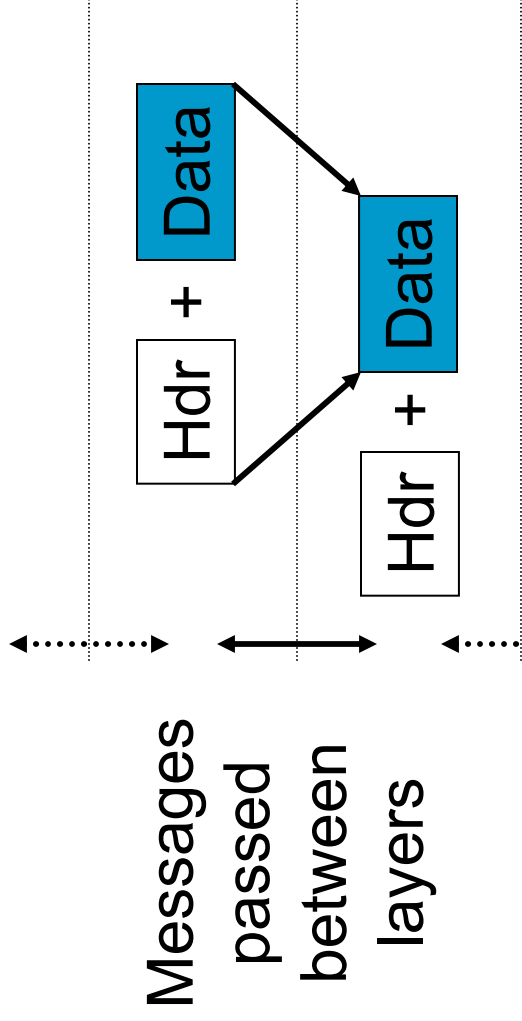
- Layering is how we combine protocols
- Higher level protocols build on services provided by lower levels
- Peer layers communicate with each other



# Layering Mechanics

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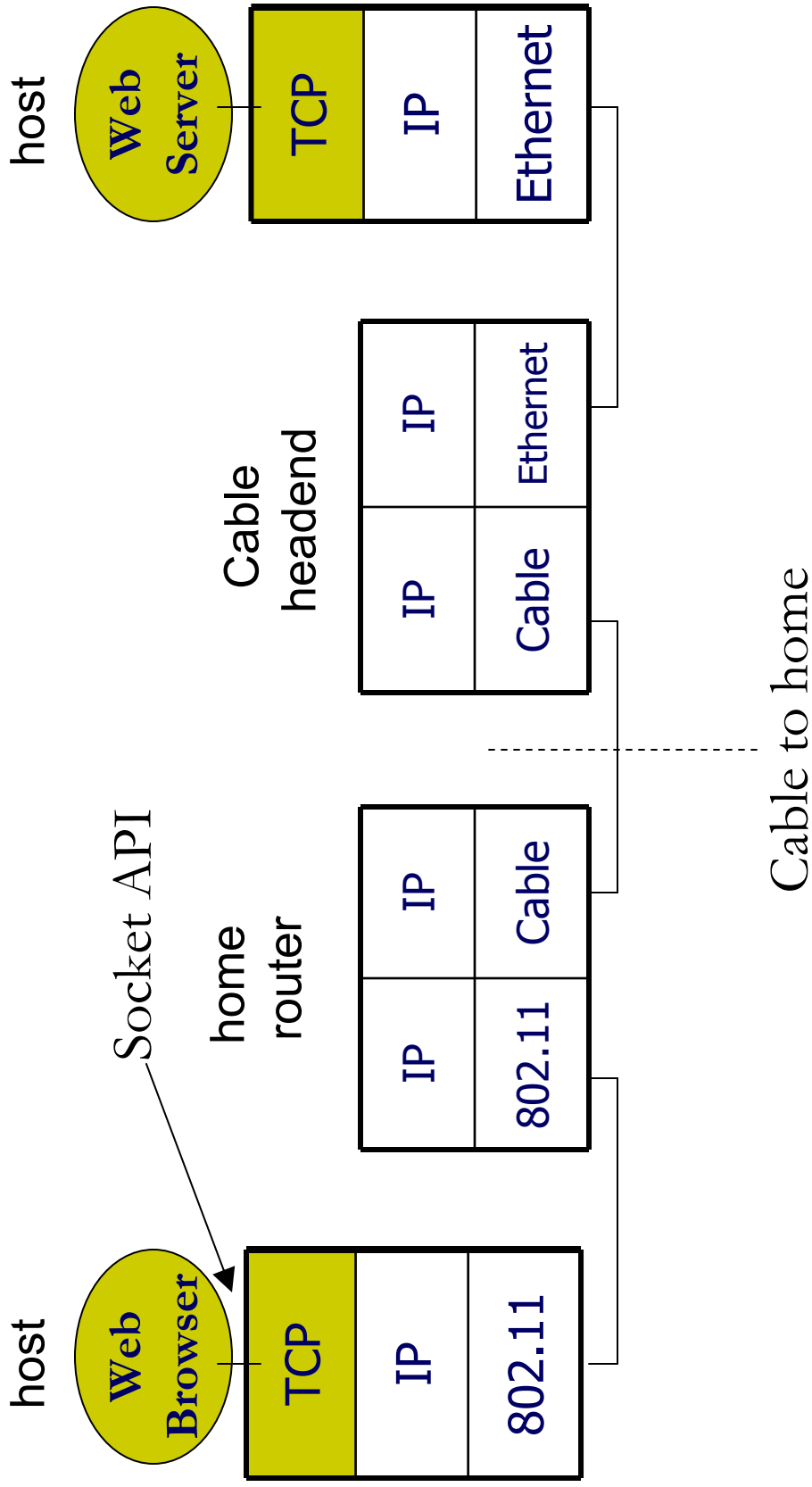
- Encapsulation and de(en)apsulation



Analogy: A packet is an **envelope**.

- What's written on the outside is the header
- What's contained on the inside is the payload
- The payload may, itself, be another envelope
- Each layer understands (and acts on) the writing on the outside, but doesn't understand what it contains – just delivers it.

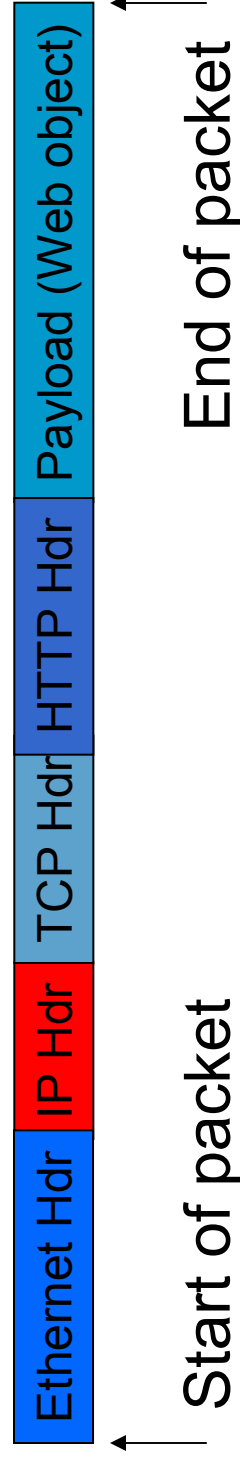
# Example – Layering at work



# A Packet on the Wire

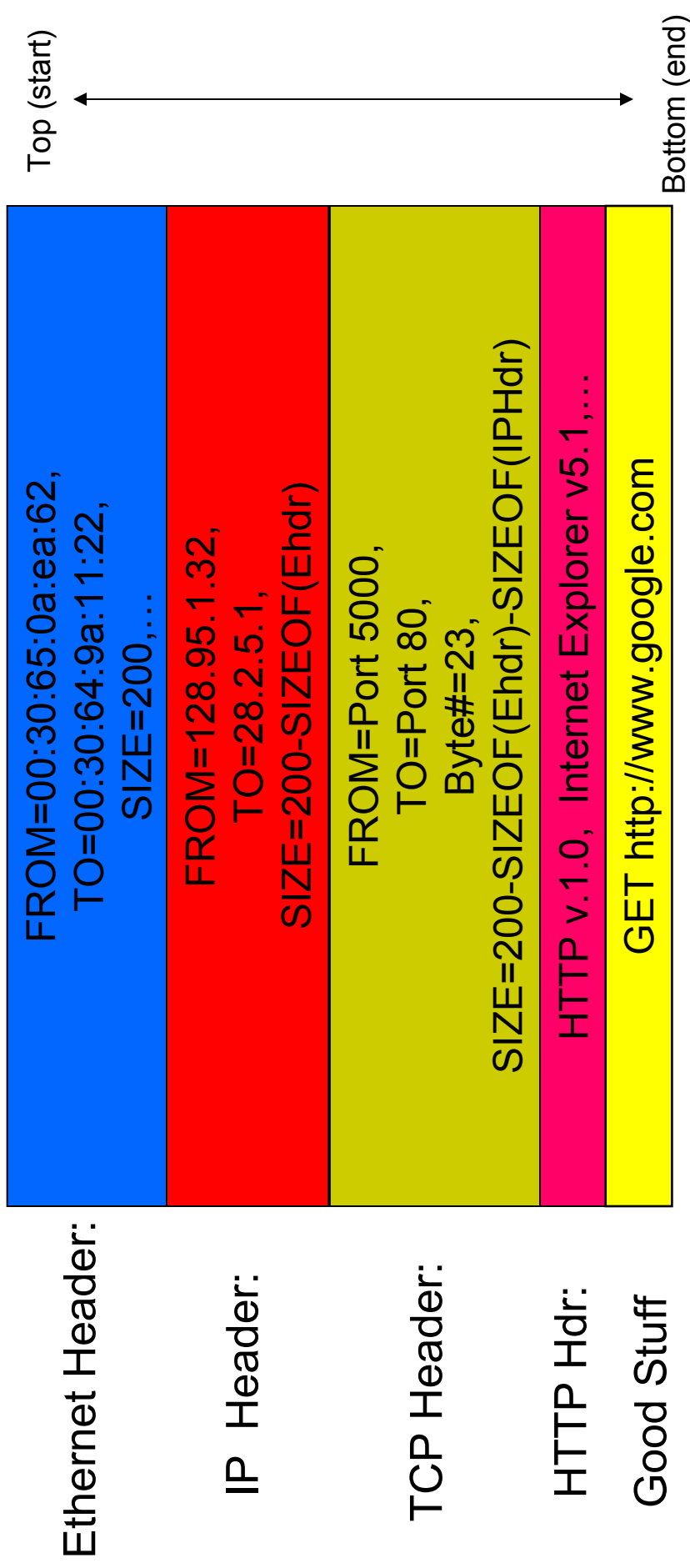
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- Starts looking like an onion!



- This isn't entirely accurate
  - ignores segmentation and reassembly, Ethernet trailers, etc.
- But you can see that:
  - layering adds overhead
  - one protocol's header is another protocol's data

# What's Inside a Packet (detailed view)

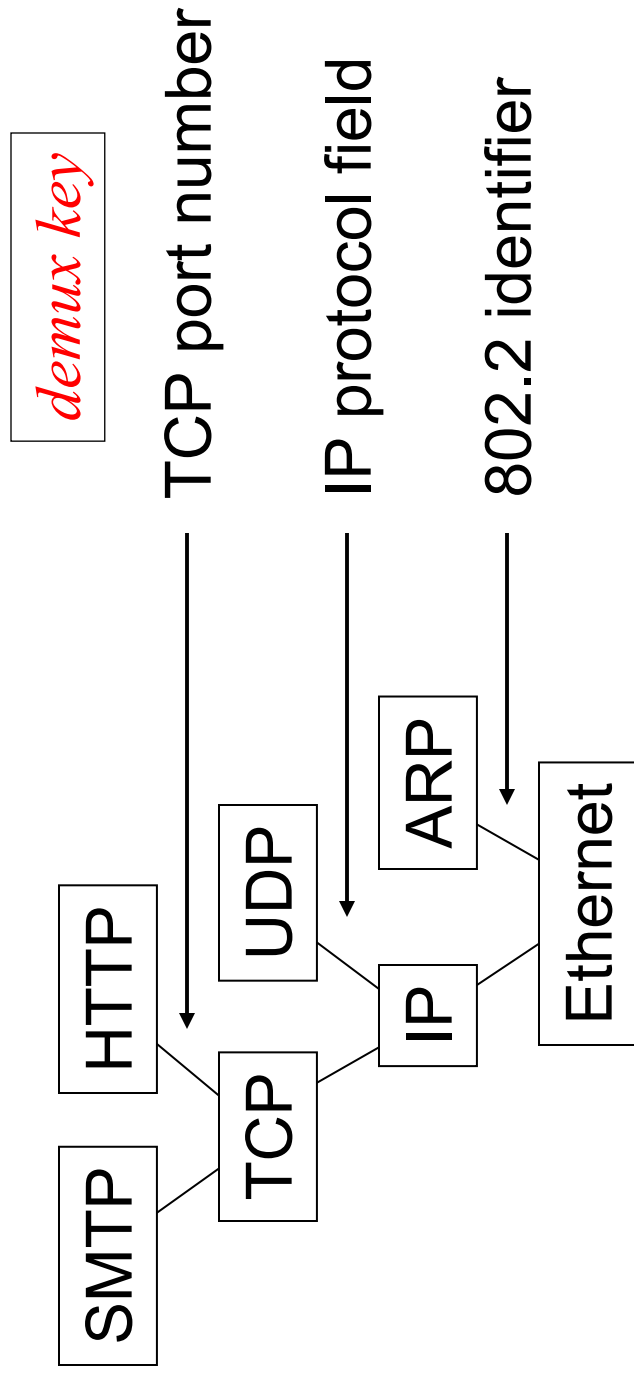


Read rows  
Left to Right

# More Layering Mechanics

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- Multiplexing and demultiplexing in a protocol graph

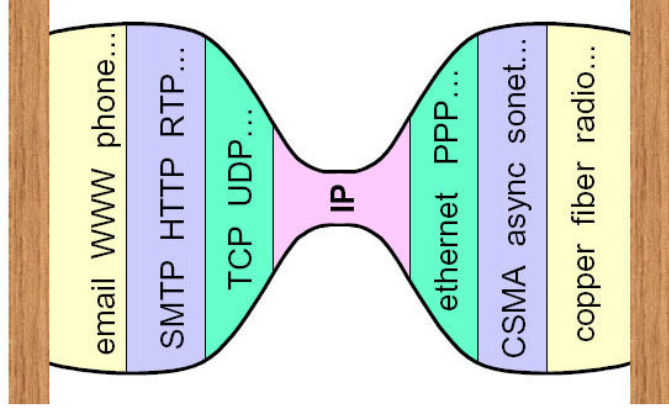


# Internet Protocol Framework

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Application
Transport
Network
Link

Many (HTTP,SMTP)
TCP / UDP
IP
Many (Ethernet, ...)



Model

Protocols

The “narrow waist”



# OSI “Seven Layer” Reference Model

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Application
Presentation
Session
Transport
Network
Link
Physical

Their functions:

- Up to the application
- Encode/decode messages
- Manage connections
- Reliability, congestion control
- Routing
- Framing, multiple access
- Symbol coding, modulation

# Protocol Standards

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- Different functions require different protocols
- A “standard” protocol is one that has been carefully specified so that different implementations can interoperate.
  - Standardized: screws, batteries
  - Not standardized: Appliances, furniture
- Thus there are many protocol standards
  - E.g., IP, TCP, UDP, HTTP, DNS, FTP, SMTP, NNTP, ARP, Ethernet/802.3, 802.11, RIP, OPSF, 802.1D, NFS, ICMP, IGMP, DVMRP, IPSEC, PIM-SM, BGP, ...
- Organizations: IETF, IEEE, ITU
- IETF ([www.ietf.org](http://www.ietf.org)) specifies Internet-related protocols
  - RFCs (Requests for Comments)
  - “We reject kings, presidents and voting. We believe in rough consensus and running code.” – Dave Clark.

# What goes in a standard?

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- Is it, e.g., for 802.11:
  - A) The messages exchanged and their meaning
  - B) The services that the higher layer can invoke
  - C) What you need to know to implement it well
  - D) All of the above.

## Key Design Question

### What functionality goes in which layer?

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- The “End to End Argument” (Reed, Saltzer, Clark, 1984):  
*Functionality should be implemented at a lower layer only if it can be correctly and completely implemented. (Sometimes an incomplete implementation can be useful as a performance optimization.)*
- Example: reliability needs to be done by the endpoints for correctness; add it over individual links just to help performance
- Tends to push functions to the endpoints, which has aided the extensibility of the Internet.

# Pros of the End-to-End principle

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- **Fosters innovation by *anyone* without being a “big player”**
  - The middle of the network is (historically) hard to change
- Don't impose functionality on applications that don't need it
  - E.g., VoIP doesn't \*want\* reliability inside the network
- Favors simplicity. Complex middle = complex interface.
  - Can improve robustness
  - Plus many complicated things fail ..

# Cons of the End-to-End principle

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- Doesn't work well with security, e.g., firewalls
  - Articulated in a time when we trusted each other 😊
- End-points are also hard to change *en masse*
  - New versions of TCP can't "just be deployed"
  - Leads to middleboxes in the Internet
- Loss of efficiency or duplication of effort if taken literally
  - Just relax a bit ...