

**CSE/EE 461**  
**TCP and network congestion**

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**Last Time ...**

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- More on the Transport Layer
- Focus
  - How do we manage connections?
- Topics
  - Three-Way Handshake
  - Close and TIME\_WAIT

Application
Presentation
Session
<b>Transport</b>
Network
Data Link
Physical

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**This Lecture**

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- Focus
  - How should senders pace themselves to avoid stressing the network?
- Topics
  - congestion collapse
  - congestion control

Application
Presentation
Session
<b>Transport</b>
Network
Data Link
Physical

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## Deciding When to Retransmit

- How do you know when a packet has been lost?  
again:  

```
Send(p);  
Wait(t);  
if (!p.acked)  
    goto again;
```
- How long should the timer  $t$  be?
  - Too big: inefficient (large delays, poor use of bandwidth)
  - Too small: may retransmit unnecessarily (causing extra traffic)
  - A good retransmission timer is important for good performance
- Right timer is based on the round trip time (RTT)
  - Which varies greatly in the wide area (path length and queuing)

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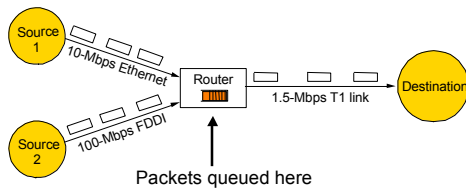
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## Congestion from in the network



- Buffers at routers used to absorb bursts when input rate  $>$  output
- Loss (drops) occur when sending rate is persistently  $>$  drain rate

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## Congestion Collapse

- In the limit, early retransmissions lead to congestion collapse
  - e.g., 1000x drop in effective bandwidth of network
  - sending more packets into the network when it is overloaded exacerbates the problem of congestion (overflow router queues)
  - network stays busy but very little useful work is being done
- This happened in real life ~1987
  - Led to Van Jacobson's TCP algorithms
    - these form the basis of congestion control in the Internet today
    - [See "Congestion Avoidance and Control", SIGCOMM '88]
  - Researchers asked two questions:
    - Was TCP misbehaving?
    - Could TCP be "trained" to work better under 'abysmal network conditions'?

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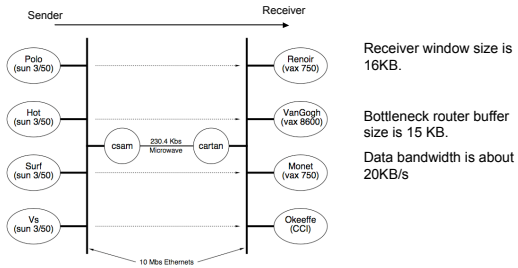
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## A Scenario



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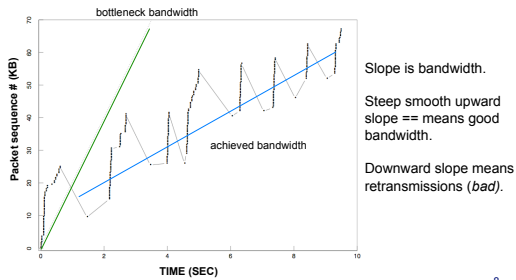
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## Effects of early retransmission



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## If only...

- We knew RTT and Current Router Queue Size,
  - then we would send:
 
$$\text{MIN}(\text{Router Queue Size, Effective Window Size})$$
  - and not retransmit a packet until it had been sent RTT ago.
- But we don't know these things
  - so we have to estimate them
- They change over time because of other data sources
  - so we have to continually adapt them

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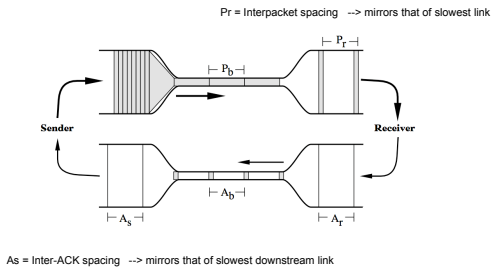
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## Ideal packet flow: stable equilibrium



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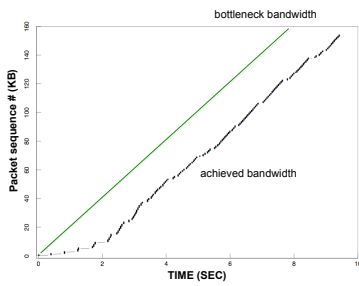
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## Modern TCP in previous scenario



Notice:

- no retransmissions, (and thus no packet loss)
- achieved BW = bottleneck BW

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## 1988 Observations on Congestion Collapse

- Implementation, not the protocol, leads to collapse
  - choices about when to retransmit, when to "back off" because of losses
- "Obvious" ways of doing things lead to non-obvious and undesirable results
  - "send effective-window-size # packets, wait rtt, try again"
- Remedial algorithms achieve network stability by forcing the transport connection to obey a 'packet conservation' principle.
  - for connection in equilibrium (stable with full window in transit), packet flow is conservative
    - a new packet not put in network until an old packet leaves

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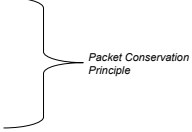
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## Resulting TCP/IP Improvements

- *Slow-start*
  - *Round-trip time variance estimation*
  - *Exponential retransmit timer backoff*
  - *More aggressive receiver ack policy*
  - *Dynamic window sizing on congestion*
  - *Clamped retransmit backoff (Karn)*
  - *Fast Retransmit*
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- Packet Conservation Principle

*Congestion control means: "Finding places that violate the conservation of packets principle and then fixing them."*

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## Key ideas

- Routers queue packets
  - if queue overflows, packet loss occurs
  - happens when network is "congested"
- Retransmissions deal with loss
  - need to retransmit sensibly
    - too early: needless retransmission
    - too late: lost bandwidth
- Senders must control their transmission pace
  - flow control: send no more than receiver can handle
  - congestion control: send no more than network can handle

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