

# Lecture 13

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# Segmentation



From [Sandlot Science](#)

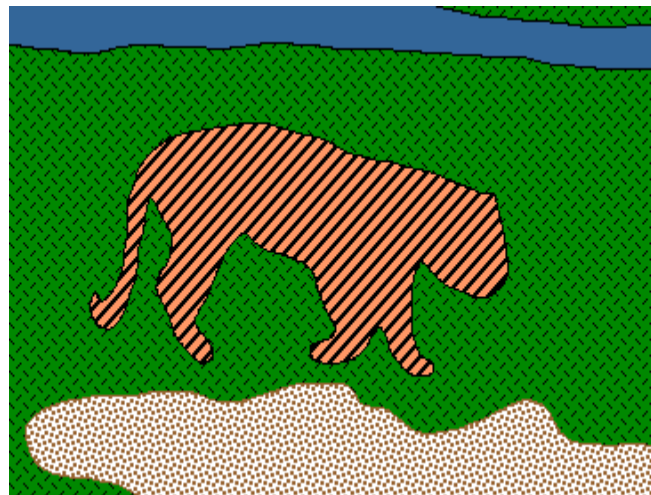
# Segmentation of today's lecture

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- Histogram-based segmentation
- K-means clustering
  - EM algorithm
- Morphological operators
- Graph-cut based segmentation
  
- Last 15 minutes: Class photo session for Project 4

# Image Segmentation

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Goal: Partition an image into its constituent “objects”

# What is an object?

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<http://www.eventspecialistskc.com/>

- Depends on the task
- If no task, must rely on general “bottom-up” image cues
- Gestalt Laws seek to formalize this
  - proximity, similarity, continuation, closure, common fate
  - see [notes](#) by Steve Joordens, U. Toronto

# Image Segmentation

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We will consider different methods

Already covered:

- Intelligent Scissors (contour-based, manual)

Today—automatic methods:

- K-means clustering (color-based)
- Normalized Cuts (region-based)

# Recall: Image histograms

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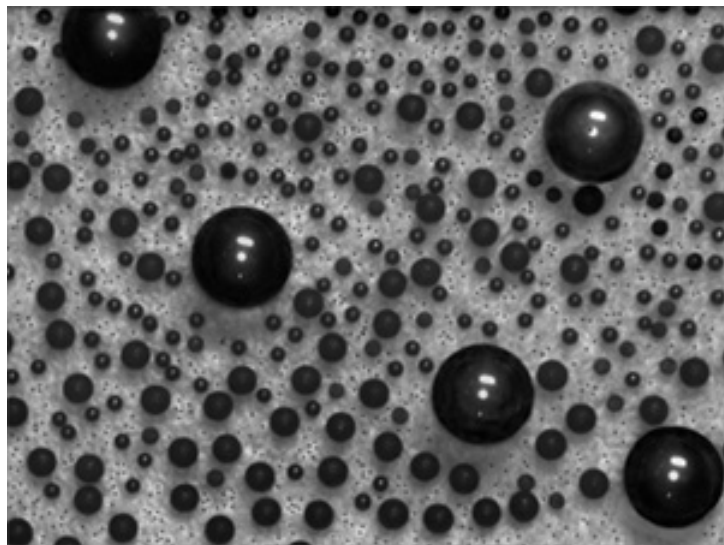
How many “orange” pixels are in this image?

- This type of question answered by looking at the *histogram*
- A histogram counts the number of occurrences of each color
  - Given an image  $F[x, y] \rightarrow RGB$
  - The histogram is  $H_F[c] = |\{(x, y) \mid F[x, y] = c\}|$   
i.e., for each color value  $c$  (x-axis), count # of pixels with that color (y-axis)

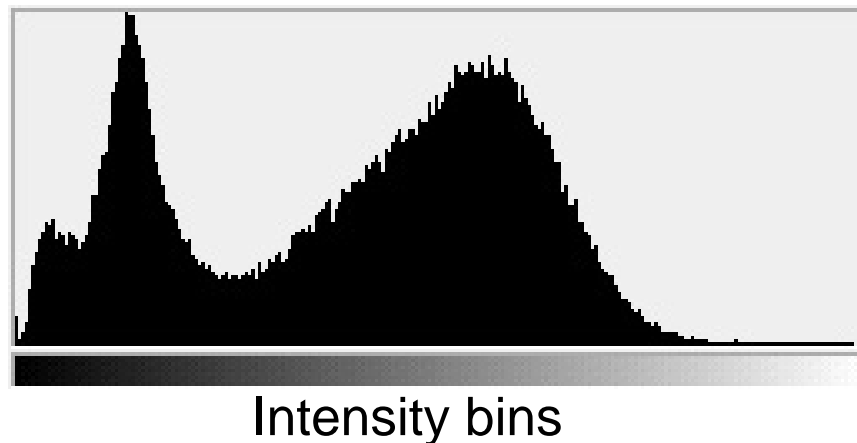
# Histogram of grayscale intensities

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Image



Histogram



How Many Modes Are There?

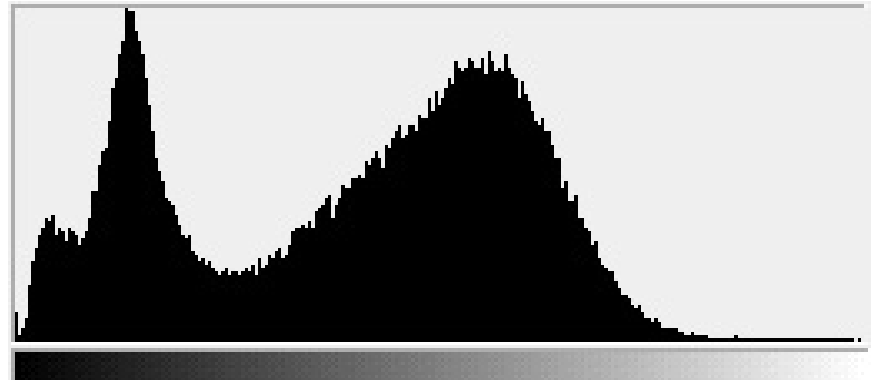
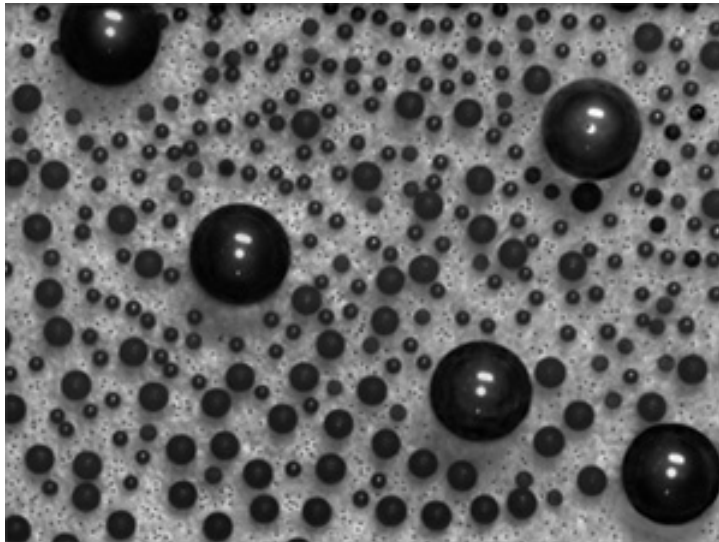
- Easy to see, hard to compute

# Histogram-based segmentation

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Idea:

- Break the image into  $K$  regions (segments) by reducing the number of colors to  $K$  and mapping each pixel to the closest color





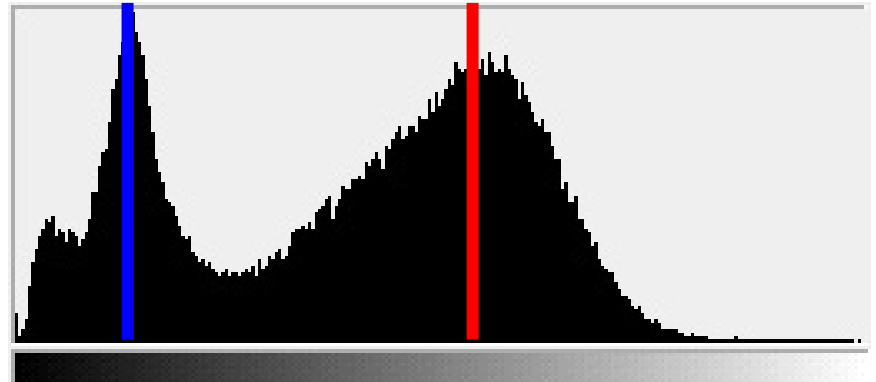
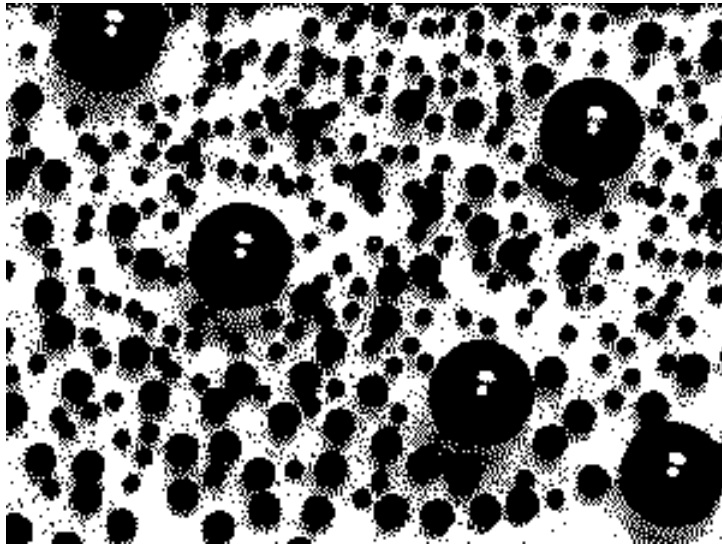
# Histogram-based segmentation

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Idea: Break the image into  $K$  regions (segments) by

- reducing the number of colors to  $K$  and
- assigning each pixel to the closest color

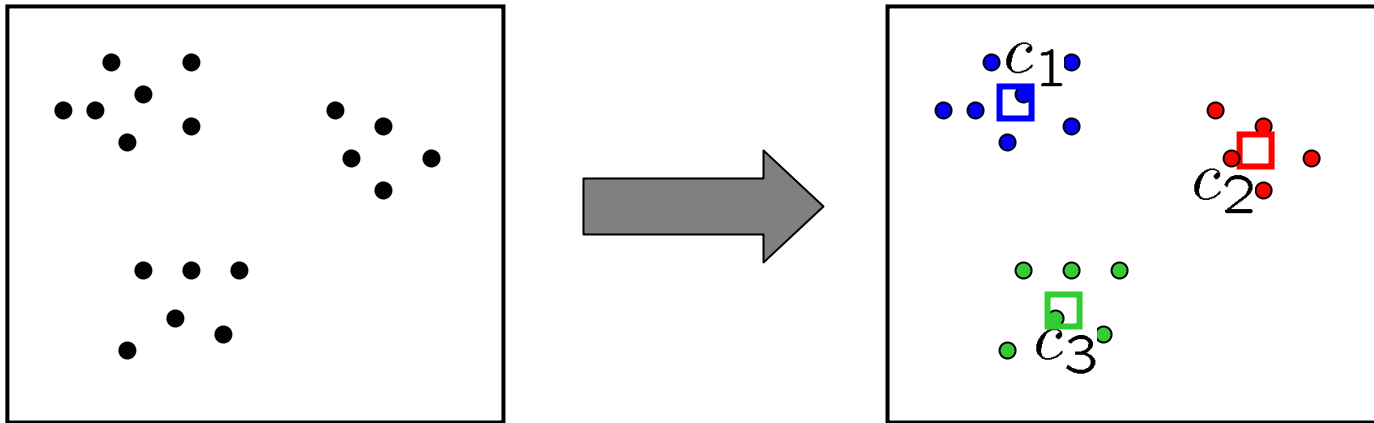
Here's what our image looks like if we use two colors (intensities)



# Clustering

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The idea in the previous slide can be formalized as a clustering problem



Objective

Minimize sum squared distance of each point to closest center

$$\sum_{\text{clusters } i} \sum_{\text{points } p \text{ in cluster } i} \|p - c_i\|^2$$

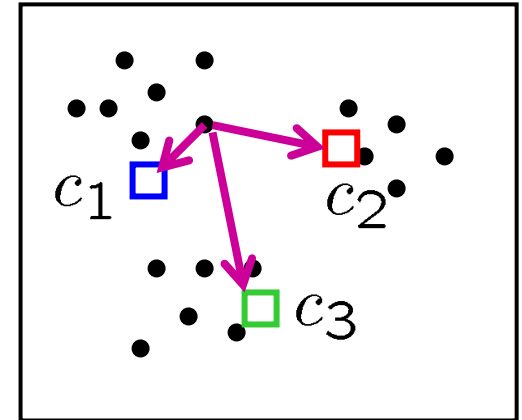
# Break it down into 2 subproblems

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Suppose you are given the cluster centers  $c_i$

Q: how do you assign points to a cluster?

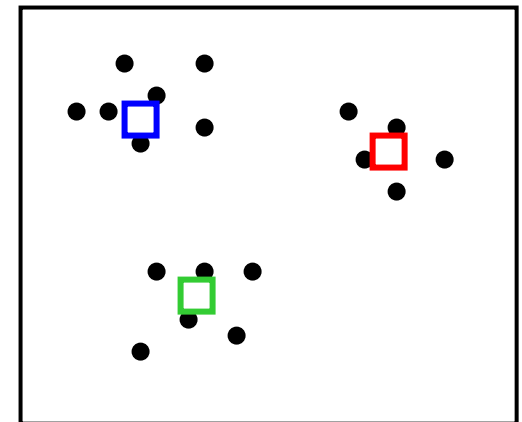
A: for each point  $p$ , choose closest  $c_i$



Suppose you are given the points in each cluster

Q: how to re-compute each cluster's center?

A: choose  $c_i$  to be the mean of all the points in the cluster



# K-means clustering

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## Algorithm

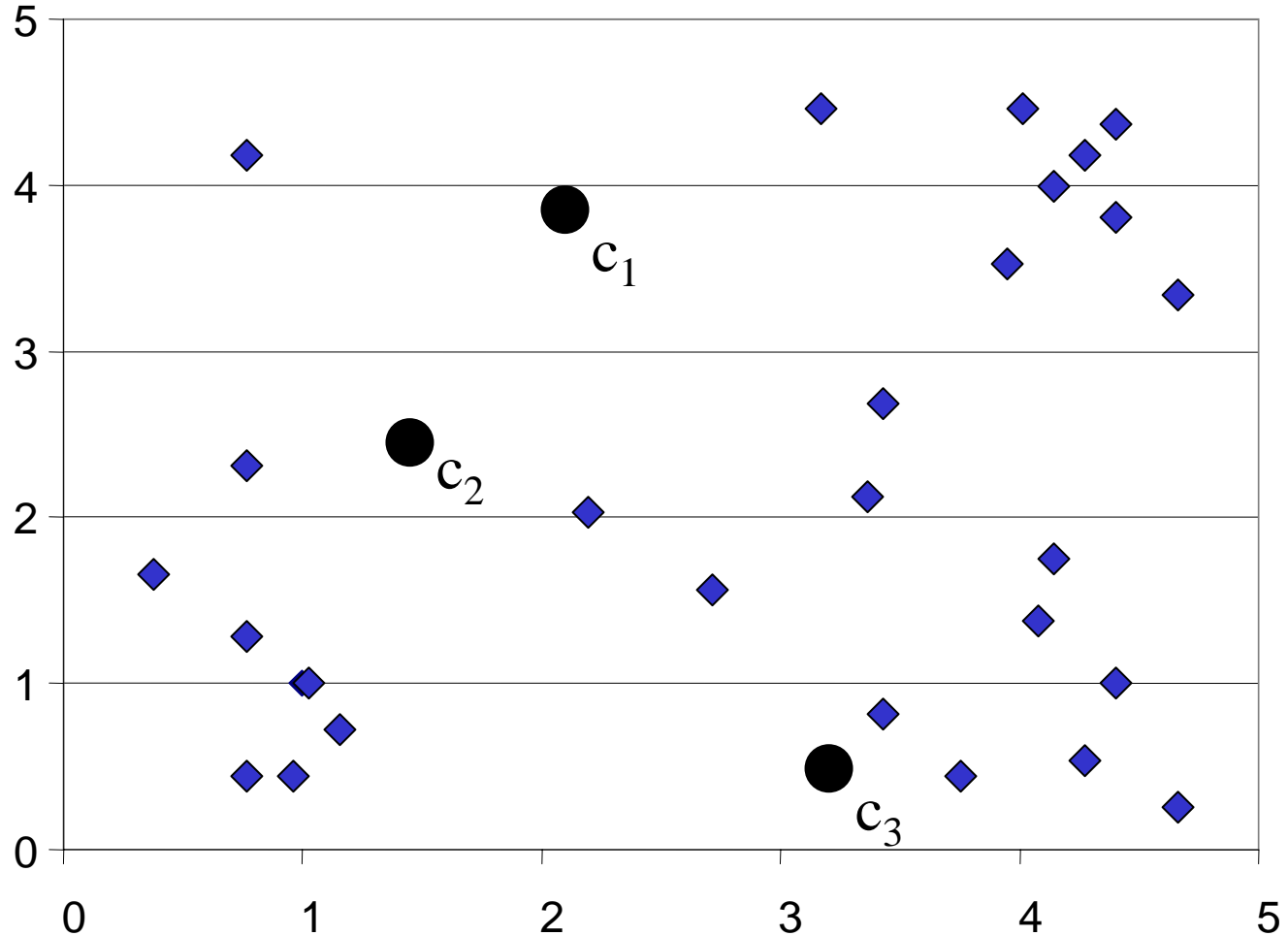
1. Randomly initialize the cluster centers,  $c_1, \dots, c_K$
2. Determine cluster membership
  - For each point  $p$ , find the *closest*  $c_i$ .
  - Put  $p$  into cluster  $i$
3. Re-estimate cluster centers
  - Set  $c_i$  to be the mean of points in cluster  $i$
4. If  $c_i$  have changed, repeat Step 2 else done.

Java demo: [http://home.dei.polimi.it/matteucc/Clustering/tutorial\\_html/AppletKM.html](http://home.dei.polimi.it/matteucc/Clustering/tutorial_html/AppletKM.html)

# K-means clustering example

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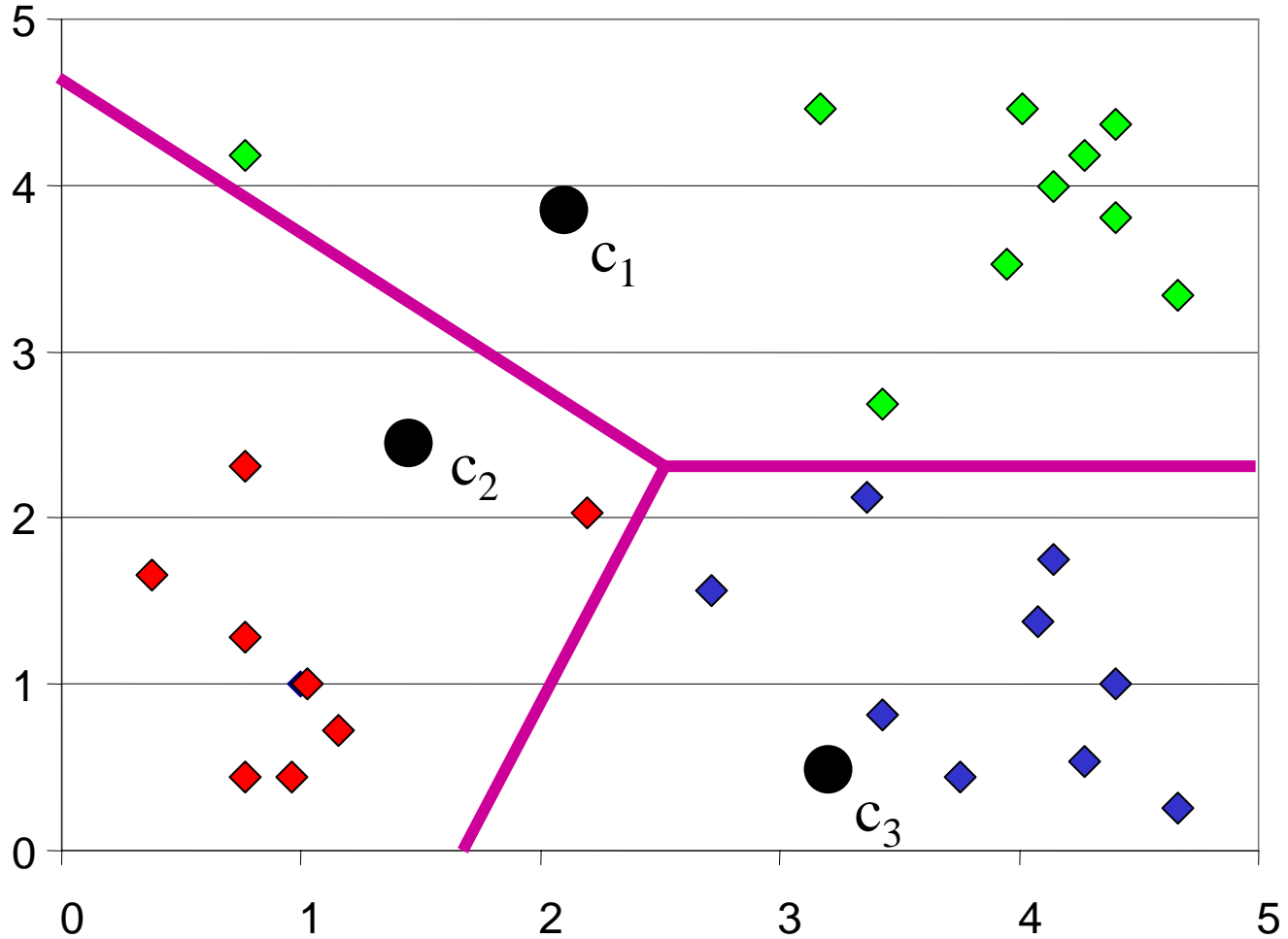
Randomly initialize the cluster centers



# K-means clustering example

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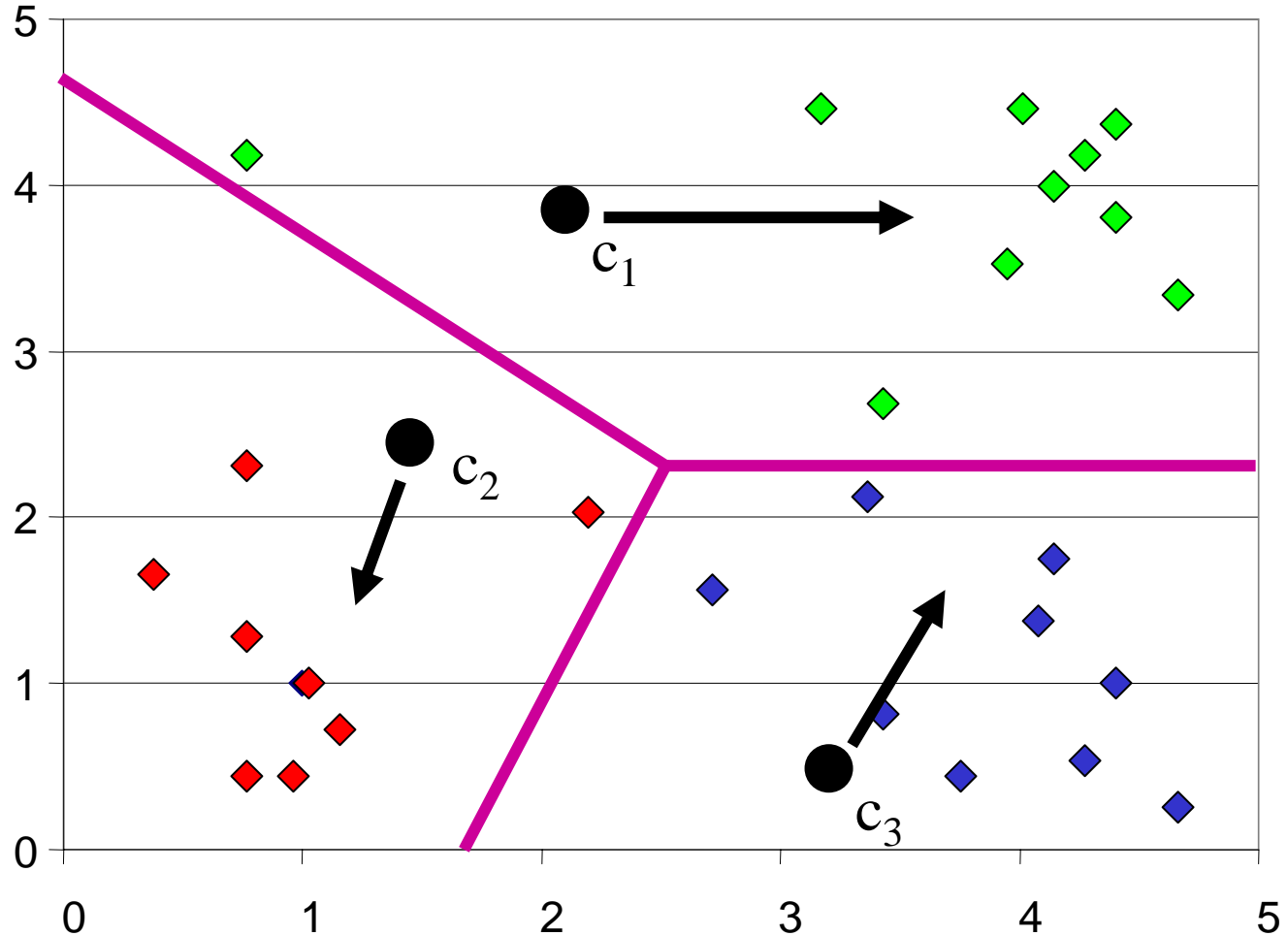
Determine cluster membership



# K-means clustering example

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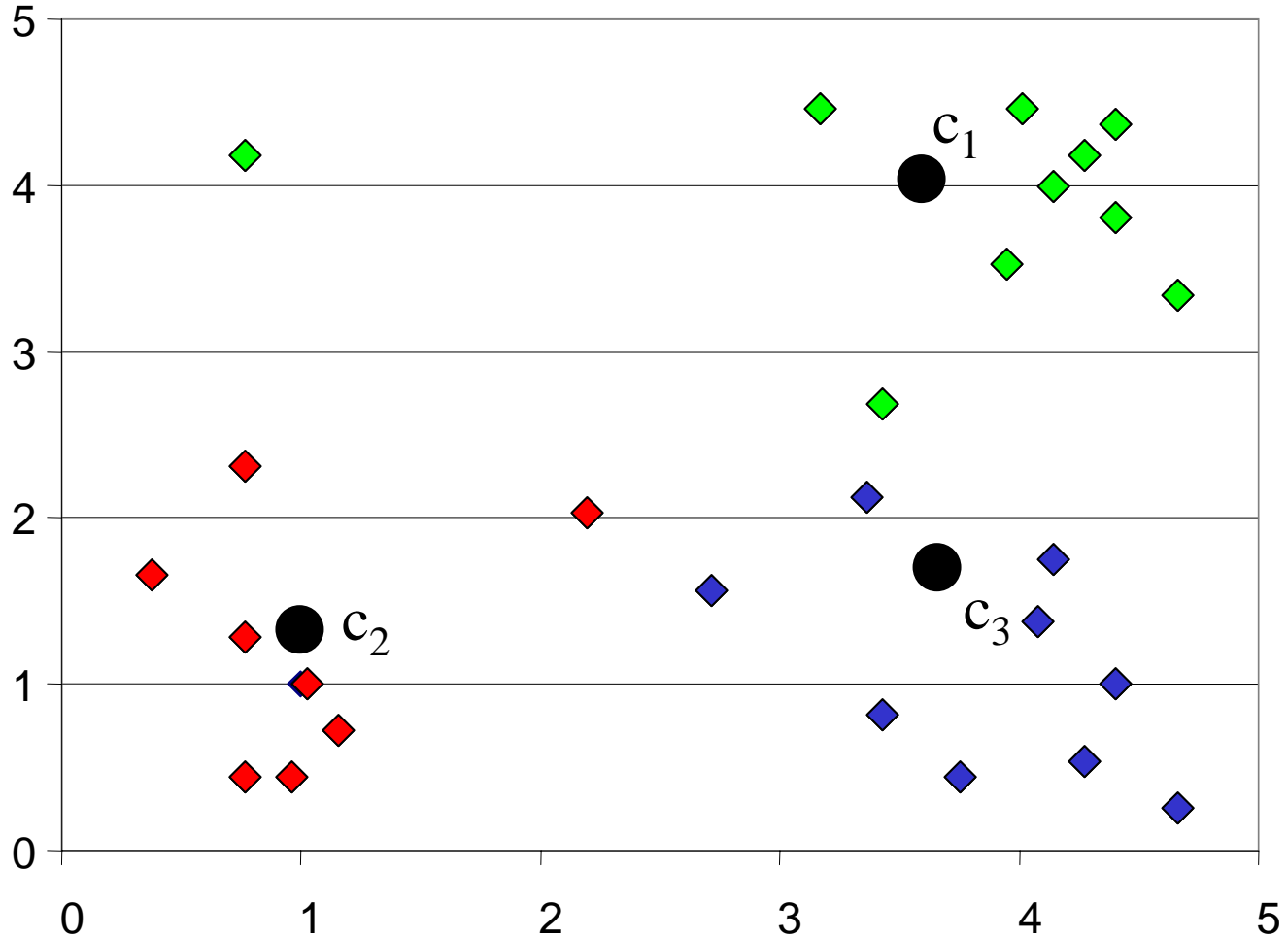
Re-estimate cluster centers



# K-means clustering example

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Result of first iteration

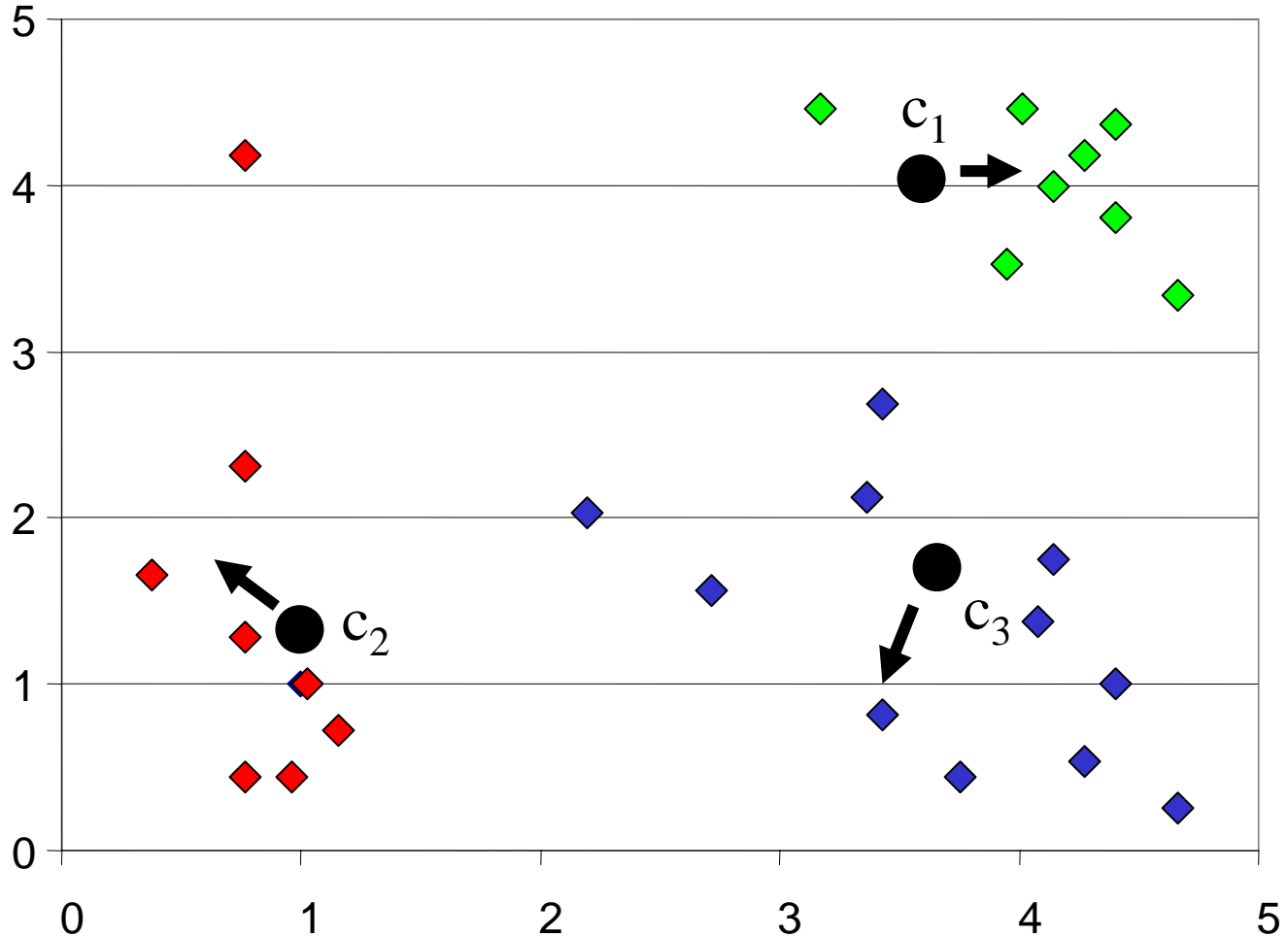




# K-means clustering example

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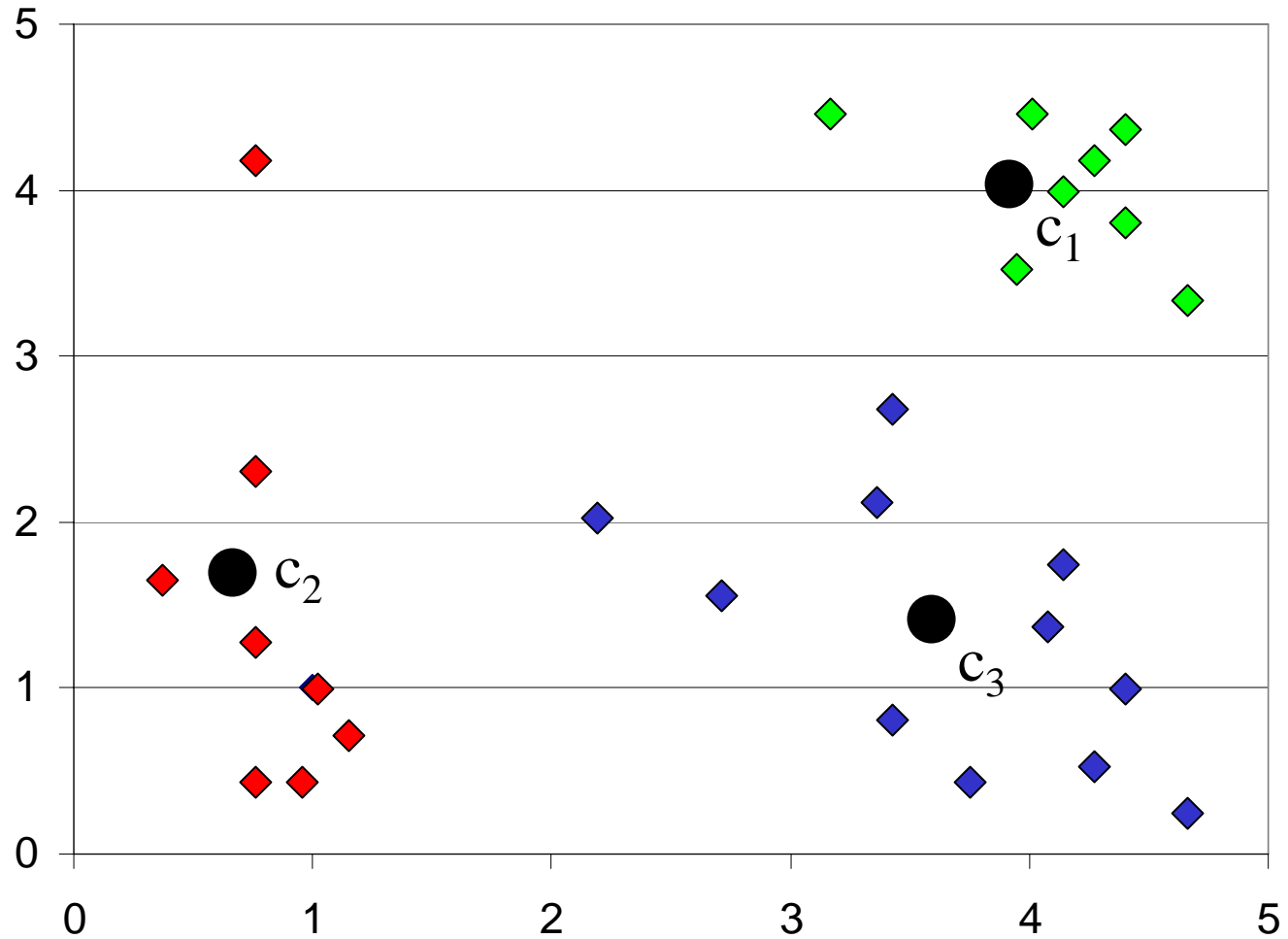
Second iteration



# K-means clustering example

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Result of second iteration



# K-means clustering

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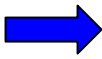
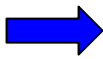
## Properties

- Will always converge to *some* solution
- Can be a “local minimum”
  - does not always find the global minimum of objective function:

$$\sum_{\text{clusters } i} \sum_{\text{points } p \text{ in cluster } i} \|p - c_i\|^2$$

# Aside: K-means is related to the EM algorithm

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- Can formalize K-means as *probability density estimation*
- Model data as a mixture of K Gaussians
- Estimate not only means but also covariances
- Expectation Maximization (EM) Algorithm overview:
  - Initialize K clusters:  $C_1, \dots, C_K$   
 $(\mu_j, \Sigma_j)$  and  $P(C_j)$  for each cluster j
  - Estimate which cluster each data point belongs to  
 $p(C_j | x_i)$   Expectation step
  - Re-estimate cluster parameters  
 $(\mu_j, \Sigma_j), p(C_j)$   Maximization step

# Aside: EM algorithm

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E step: Compute probability of membership in cluster based on output of previous M step ( $p(x_i|C_j) = \text{Gaussian}(\mu_j, \Sigma_j)$ )

$$p(C_j | x_i) = \frac{p(x_i | C_j) \cdot p(C_j)}{p(x_i)} = \frac{p(x_i | C_j) \cdot p(C_j)}{\sum_j p(x_i | C_j) \cdot p(C_j)}$$

M step: Re-estimate cluster parameters based on output of E step

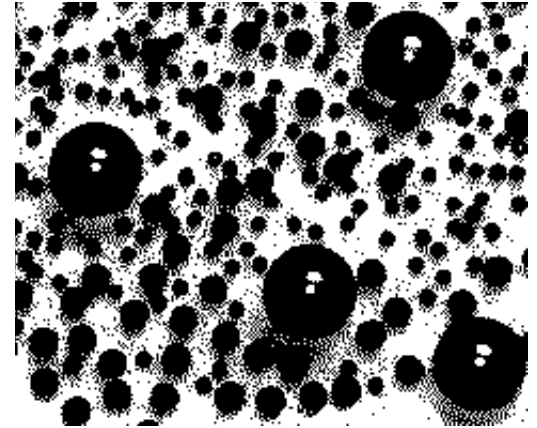
$$\mu_j = \frac{\sum_i p(C_j | x_i) \cdot x_i}{\sum_i p(C_j | x_i)} \quad \Sigma_j = \frac{\sum_i p(C_j | x_i) \cdot (x_i - \mu_j) \cdot (x_i - \mu_j)^T}{\sum_i p(C_j | x_i)} \quad p(C_j) = \frac{\sum_i p(C_j | x_i)}{N}$$

# Cleaning up after segmentation

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Problem:

- Histogram-based segmentation can produce messy regions
  - segments do not have to be connected
  - may contain holes



How can these be fixed?

Use Morphological operators! (covered in Chap. 2)

# Dilation operator: $G = H \oplus F$

Assume:  
binary image

0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	0	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	0	0	0	0	0	0	0
0	0	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

$F[x, y]$

1	1	1
1	1	1
1	1	1

$H[u, v]$

Dilation: Move mask  $H$  over image  $F$ , turning  $F$ 's pixels to 1 if  $F$  and  $H$  both have 1s *anywhere* in the region of overlap

- $G[x, y] = 1$  if  $H[u, v]$  and  $F[x+u-1, y+v-1]$  are both 1 **somewhere**  
0 otherwise
- Written as  $G = H \oplus F$

# Dilation operator: $G = H \oplus F$

Assume:  
binary image

0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	0	0	0	0	0	0	0
0	0	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

$F[x, y]$

1	1	1
1	1	1
1	1	1

$H[u, v]$

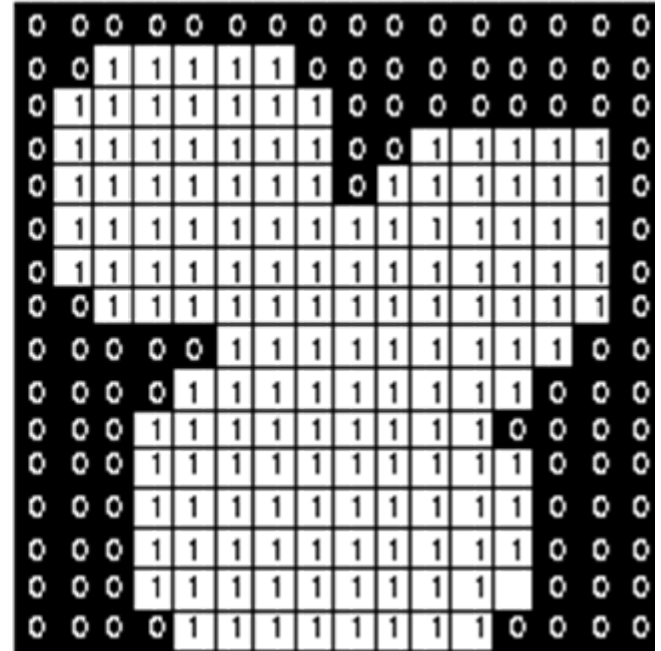
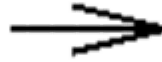
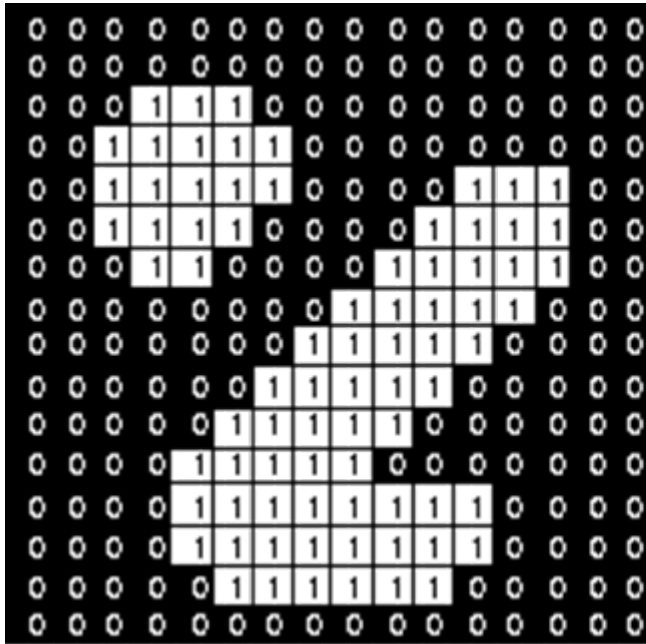
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0 otherwise
- Written as  $G = H \oplus F$



# Dilation example

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Demo: <http://www.cs.bris.ac.uk/~majid/mengine/morph.html>

# Erosion operator: $G = H \ominus F$

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0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	0	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	0	0	0	0	0	0	0
0	0	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

$F[x, y]$

1	1	1
1	1	1
1	1	1

$H[u, v]$

Erosion: Move mask H over image F, turning F's pixels to 1 if F and H both have 1s *everywhere* in the region of overlap

- $G[x, y] = 1$  if  $F[x+u-1, y+v-1]$  is 1 **everywhere** that  $H[u, v]$  is 1  
0 otherwise
- Written  $G = H \ominus F$

# Erosion operator: $G = H \ominus F$

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0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	1	0	1	1	1	0	0
0	0	0	1	1	1	1	1	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

$F[x, y]$

1	1	1
1	1	1
1	1	1

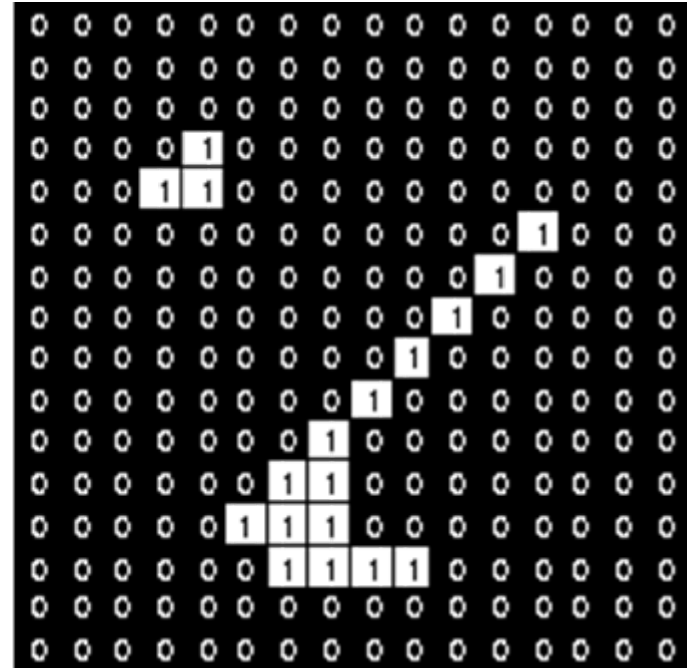
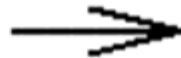
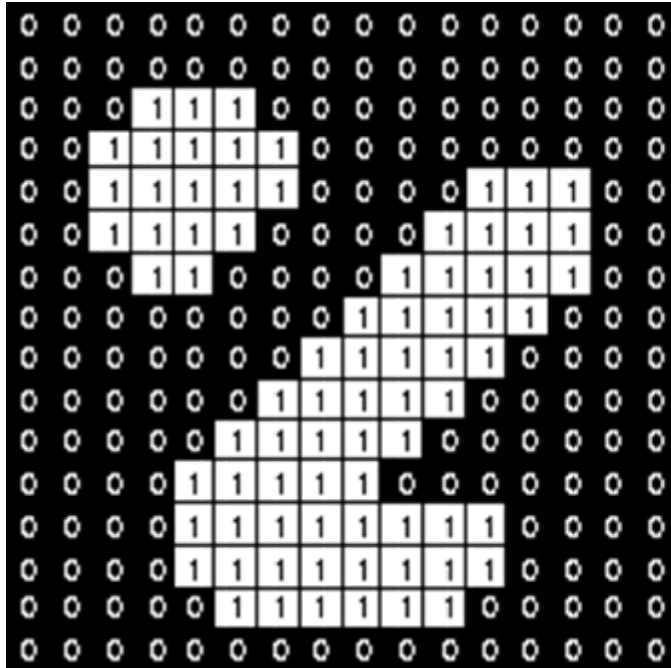
$H[u, v]$

Erosion: Move mask  $H$  over image  $F$ , turning  $F$ 's pixels to 1 if  $F$  and  $H$  both have 1s *everywhere* in the region of overlap

- $G[x, y] = 1$  if  $F[x+u-1, y+v-1]$  is 1 **everywhere** that  $H[u, v]$  is 1  
0 otherwise
- Written  $G = H \ominus F$

# Erosion example

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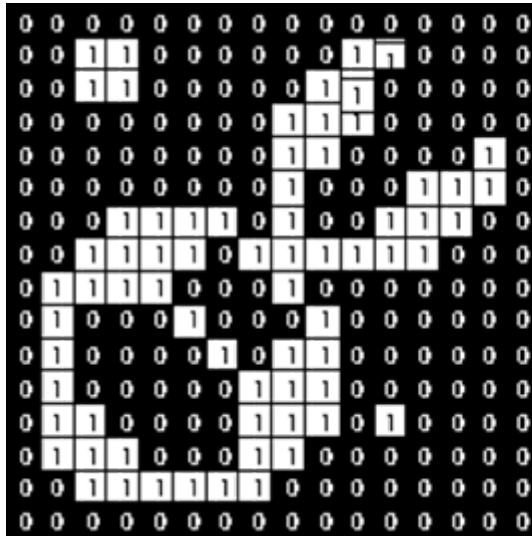
Demo: <http://www.cs.bris.ac.uk/~majid/mengine/morph.html>

# Nested dilations and erosions

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What does this operation do?

$$G = H \ominus (H \oplus F)$$



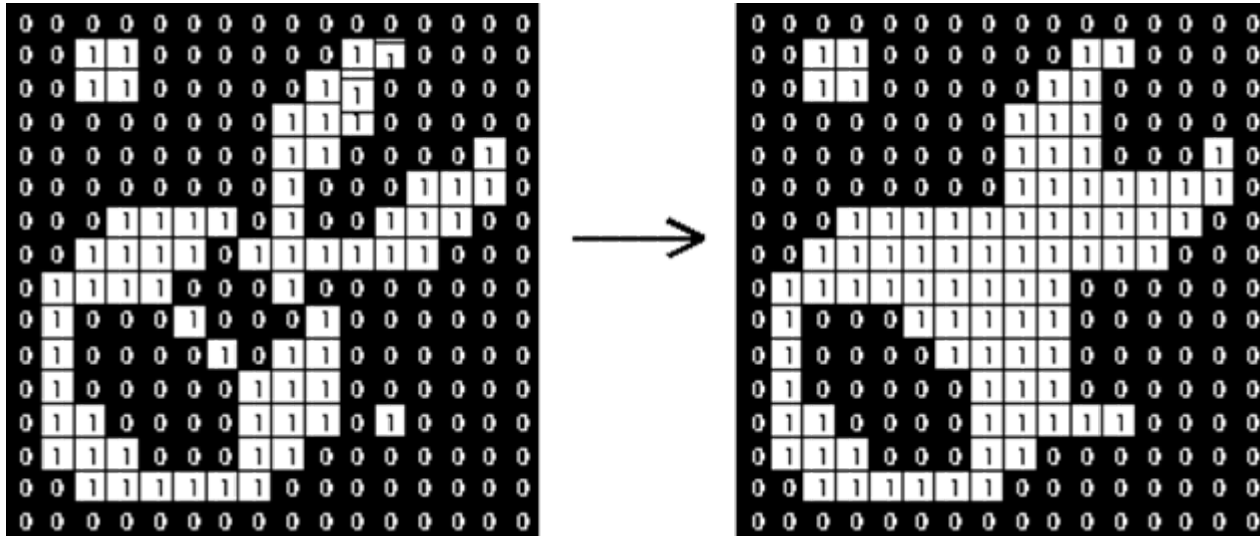
- This is called a **closing** operation

# Nested dilations and erosions

---

What does this operation do?

$$G = H \ominus (H \oplus F)$$



- This is called a **closing** operation

Is this the same thing as the following?

$$G = H \oplus (H \ominus F)$$

# Nested dilations and erosions

---

What does this operation do?

$$G = H \oplus (H \ominus F)$$

- This is called an **opening** operation
- <http://www.dai.ed.ac.uk/HIPR2/open.htm>

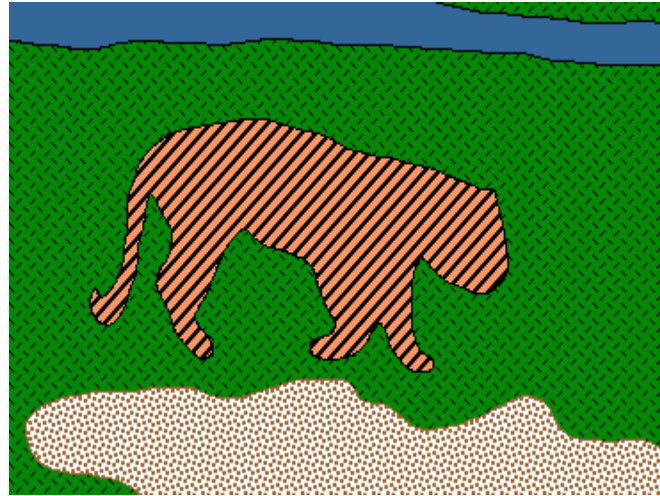
You can clean up binary images (e.g., results of segmentation) by applying combinations of dilations and erosions

Dilations, erosions, opening, and closing operations are known as **morphological operations**

- see <http://www.dai.ed.ac.uk/HIPR2/morops.htm>

# Graph-based segmentation?

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# Images as graphs

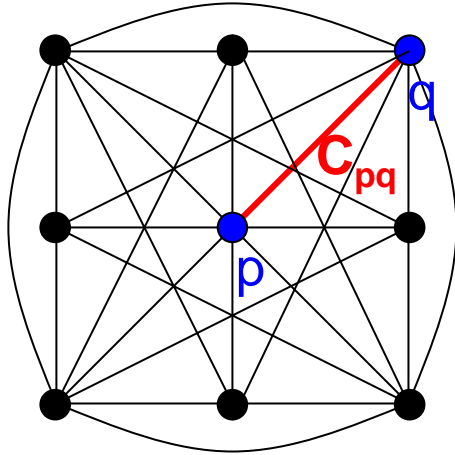
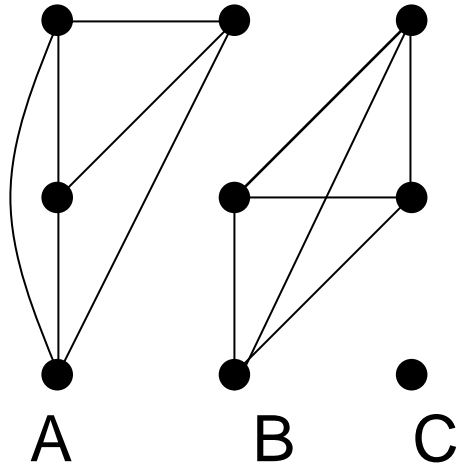


Image = *Fully-connected* graph

- node for every pixel
- link between every pair of pixels,  $p, q$
- cost  $C_{pq}$  for each link
  - $C_{pq}$  measures *similarity*
    - » similarity is *inversely proportional* to difference in color and distance
    - » this is different than the costs for intelligent scissors

# Segmentation by Graph Cuts

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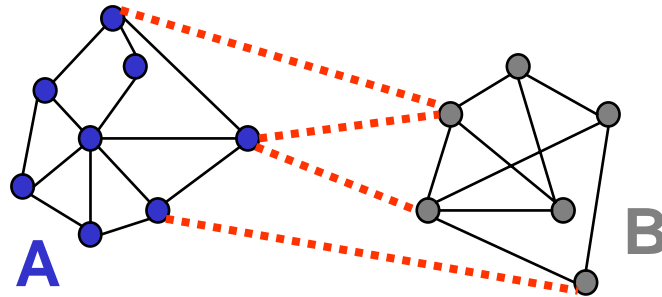


Goal: Break Graph into Segments

- Delete links that cross between segments
- Easiest to break links that have low cost (low similarity)
  - similar pixels should be in the same segments
  - dissimilar pixels should be in different segments

# Cuts in a graph

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## Link Cut

- set of links whose removal makes a graph disconnected
- cost of a cut:

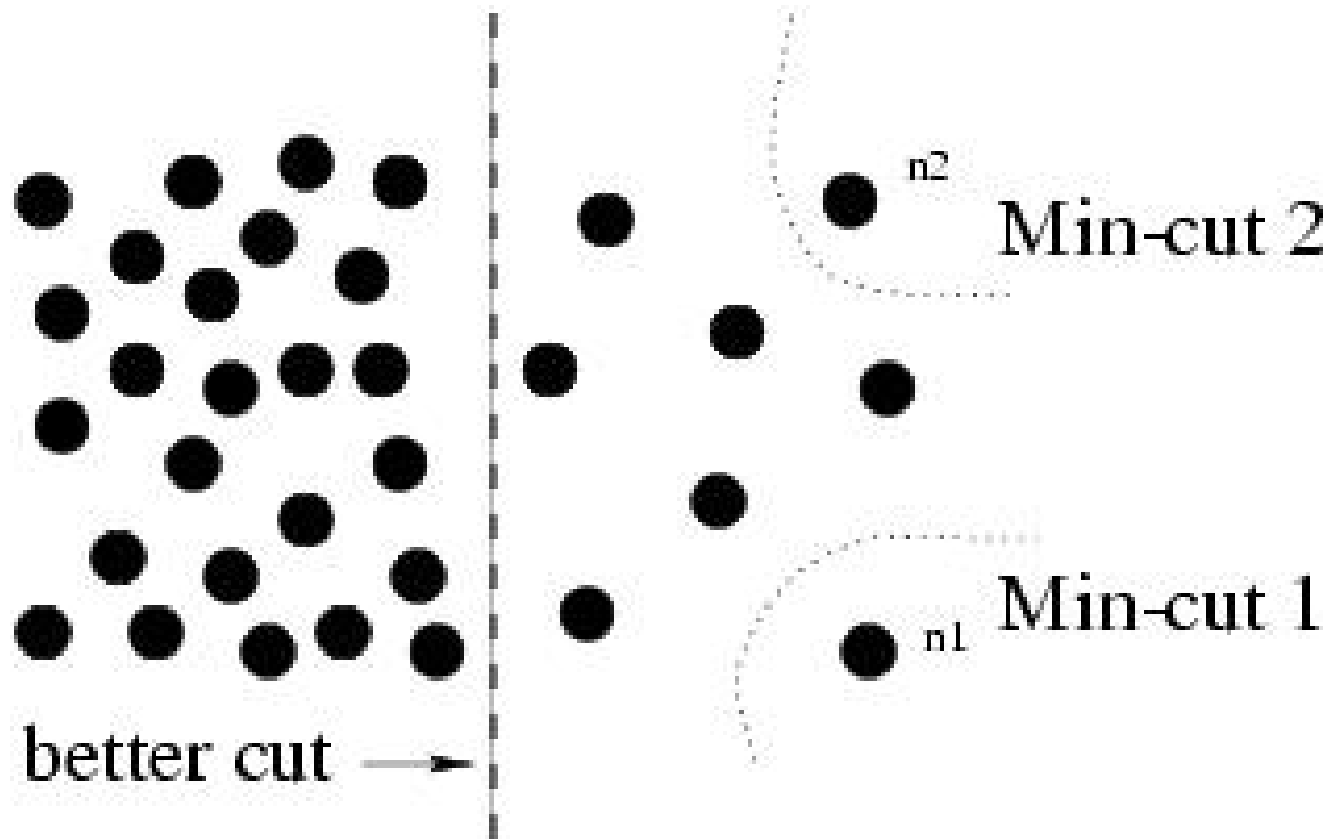
$$cut(A, B) = \sum_{p \in A, q \in B} c_{p,q}$$

## Find minimum cut

- gives you a segmentation
- fast algorithms exist for doing this

# But min cut is not always the best cut...

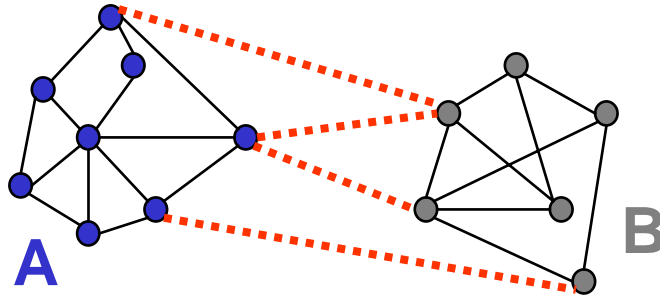
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Cut as defined penalizes large segments  $cut(A, B) = \sum_{p \in A, q \in B} c_{p,q}$

# Normalized cuts

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## Normalized Cut

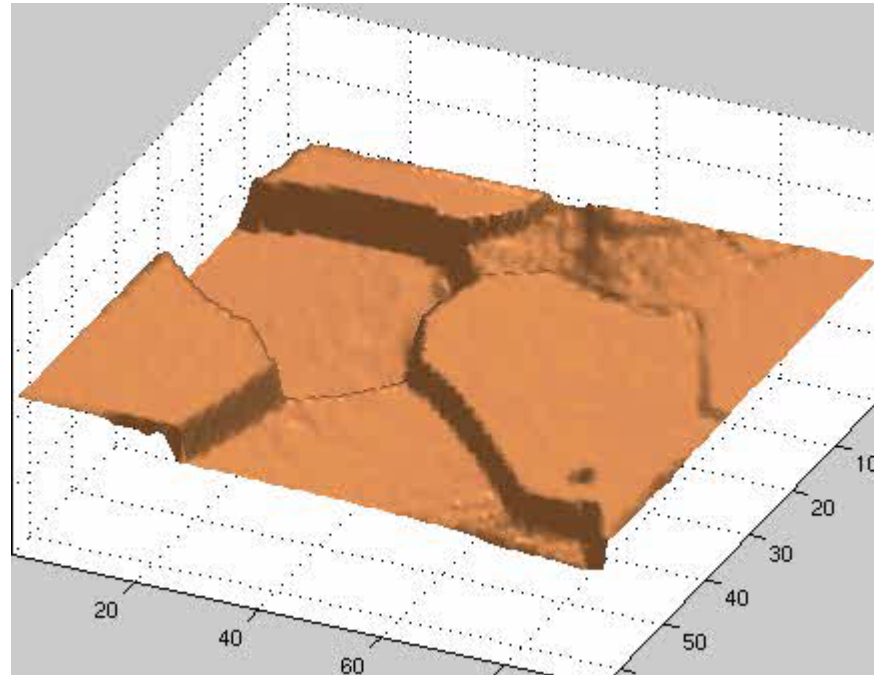
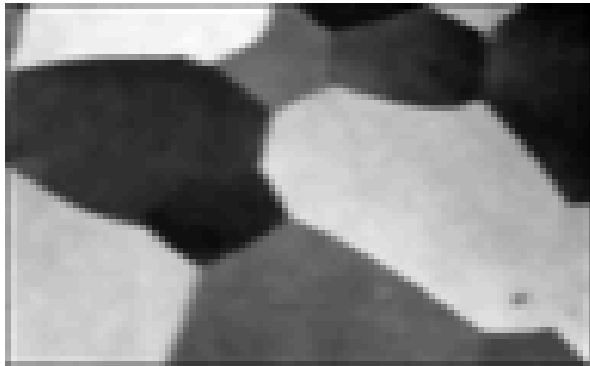
- A regular cut penalizes large segments
  - fix by normalizing for size of segments
- $$cut(A, B) = \sum_{p \in A, q \in B} c_{p,q}$$

$$Ncut(A, B) = \frac{cut(A, B)}{volume(A)} + \frac{cut(A, B)}{volume(B)}$$

- $volume(A)$  = sum of costs of all edges that touch A

# Interpretation as a Dynamical System

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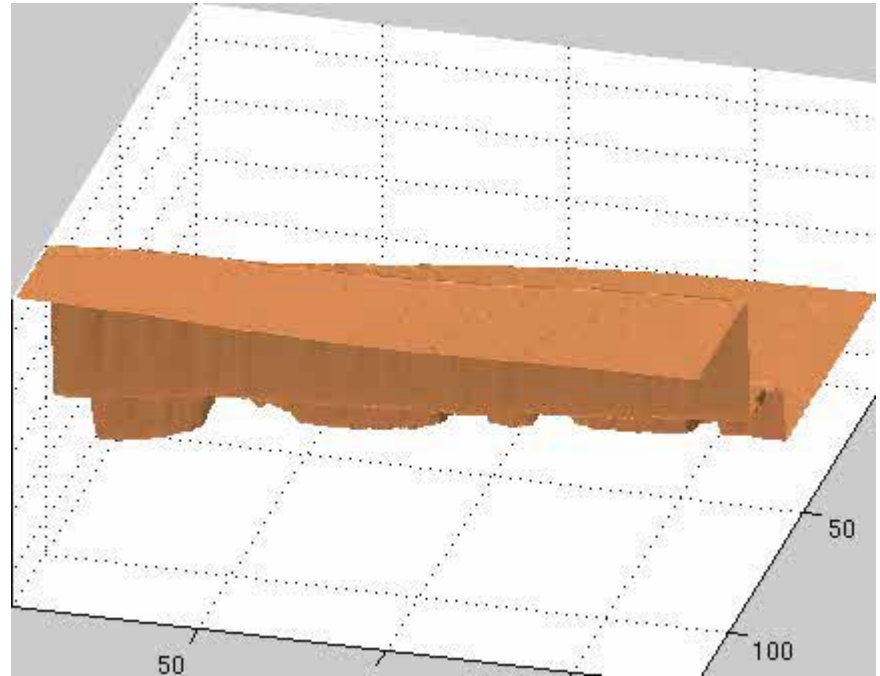


Treat the links as springs and shake the system

- elasticity proportional to cost
- vibration “modes” correspond to segments
  - can compute these by solving an [eigenvector problem](#)
  - for more details, see
    - » J. Shi and J. Malik, [Normalized Cuts and Image Segmentation](#)

# Interpretation as a Dynamical System

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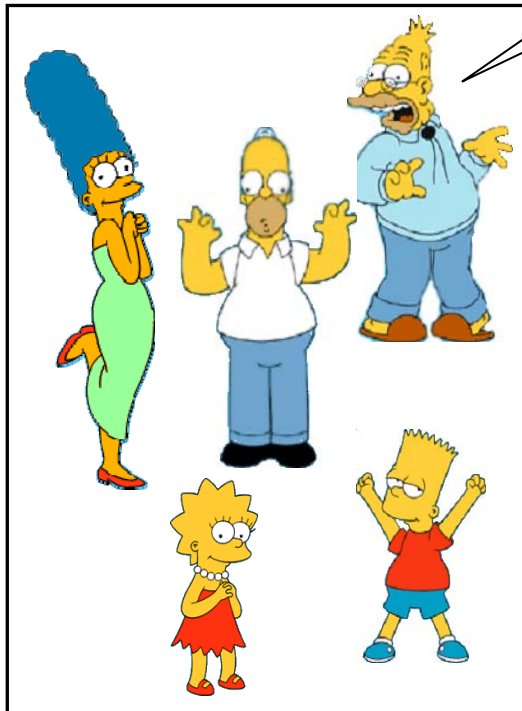


# Next Time: Guest lecture by Prof. Linda Shapiro

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Things to do:

- Work on Project 3
- Read Chap. 8



Cluster 1



Cluster 2