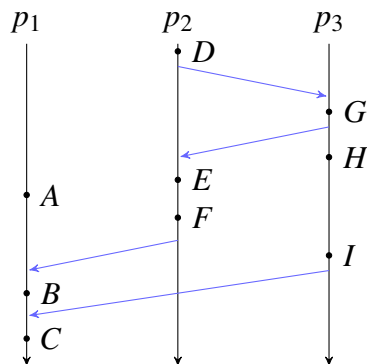


You are to work on the following questions *alone*. Do not discuss these questions with anyone. Typeset your answers and submit as a PDF.

- Suppose we have the following space-time diagram describing an execution of a distributed system (time advances downwards).



- (4 points) For event  $F$ , partition the other events ( $A$ ,  $B$ ,  $C$ ,  $D$ ,  $E$ ,  $G$ ,  $H$ , and  $I$ ) into those that happen before  $F$ , those that happen after  $F$ , and those that are concurrent with  $F$ .
  - (5 points) Assume that each process maintains a logical clock. Each clock starts at 0 and is updated at each labeled event, at each message send, and at each message receive. Give the clock value corresponding to each event. (Hint:  $D$  has timestamp 1 and  $G$  has timestamp 4.)
  - (5 points) Assume instead that each process maintains a vector clock. Give the clock values corresponding to each event. (Hint:  $G$  has timestamp  $\{p_1: 0, p_2: 2, p_3: 2\}$ .)
- In class, we suggested your solution to Lab 2 should obey certain constraints. In a sentence, explain why the constraint is needed; that is, why a violation of the constraint would cause a problem.
    - (4 points) State transfer from primary to backup must include metadata on which requests have received replies, and what the response was.
    - (4 points) The backup must accept a request forwarded by the primary if and only if the request and the backup have the same notion of the current view.
    - (4 points) Even on a read-only request, the primary must wait for the backup to accept the request before the primary can reply to the client.
  - Suppose we have set of servers, clients, and a view server all running a correct version of the primary/backup protocol from Lab 2. In particular, suppose there are exactly two clients, both of which send one command, `Append("foo", "x")`, and then halt. The network is completely asynchronous.
    - For each of the following predicates, indicate whether they could be true of a consistent global state in any possible execution.

- i. (3 points) Two different servers report currently being primary.
  - ii. (3 points) The backup for view  $v$  reports having accepted a request from the primary in view  $v$ , while the primary has not yet entered view  $v$  (or any later view).
  - iii. (3 points) One client has received a reply to its command, while the other has not.
  - iv. (3 points) Both clients report receiving `AppendReply("x")`.
- (b) Now, instead consider a global state gathered by a monitor using the following procedure:
- The monitor node sends a `SNAPSHOT` message to all other nodes.
  - Upon receiving `SNAPSHOT`, each node sends its state to the monitor.
  - After the monitor receives the states of all nodes, it combines them to form a global state of the system.

For each of the following predicates, indicate whether they could be true of a global state gathered in this way.

- i. (3 points) Two different servers report currently being primary.
- ii. (3 points) The backup for view  $v$  reports having accepted a request from the primary in view  $v$ , while the view server has not yet received an acknowledgement for view  $v$ .
- iii. (3 points) One client has received a reply to its command, while the other has not.
- iv. (3 points) Both clients report receiving `AppendReply("x")`.