

You are to work on the following questions *alone*. Typeset your answers and submit as a PDF.

1. (10 points) **Paxos Acceptor States**

Consider a deployment of single-instance Paxos with three acceptors. Decide whether each of the following is a valid state of the three acceptors. If the state is not valid, explain why in one sentence. (Hint: A state is valid if there is some sequence of message deliveries and message drops and node failures that leads to the state, assuming a correct implementation of proposers and acceptors.)

For each part, we give you the highest accepted proposal at all three acceptors ( $A_1, A_2, A_3$ ) at a single instance in time. Each acceptor's highest accepted proposal is either in the form  $(n, v)$  where  $n$  is the proposal number (à la Paxos Made Simple) and  $v$  is a value or  $\perp$  which indicates that the acceptor has not accepted any proposal.

- (a)  $A_1: \perp, A_2: \perp, A_3: \perp$
- (b)  $A_1: (1, x), A_2: (2, y), A_3: \perp$
- (c)  $A_1: (2, x), A_2: (2, y), A_3: \perp$
- (d)  $A_1: (1, x), A_2: (2, y), A_3: (3, z)$
- (e)  $A_1: (1, x), A_2: (2, x), A_3: (3, x)$

2. (10 points) **Acceptor States in a Larger System**

Consider a deployment with five acceptors. Is the following state valid? If it is valid, describe an execution that results in this state. If it is not valid, explain why.

$A_1: (20, x), A_2: \perp, A_3: (22, y), A_4: (20, x), A_5: (18, x)$

3. (10 points) **A Dubious Execution**

Consider another Paxos deployment with acceptors  $A_1, A_2,$  and  $A_3,$  proposers  $P_1, P_2,$  and a distinguished learner  $L.$  According to the Paxos paper, a value is chosen when a majority of acceptors accept a proposal with that value, and only a single value is chosen. How does Paxos ensure that the following sequence of events cannot happen? What actually happens, and which value is ultimately chosen?

- $P_1$  prepares proposal number 1, and gets responses from  $A_1, A_2,$  and  $A_3.$
- $P_1$  sends  $(1, x)$  to  $A_1$  and  $A_3$  and gets responses from both. However,  $P_1$ 's proposal to  $A_2$  was dropped. Because a majority accepted,  $P_1$  informs  $L$  that  $x$  has been chosen.  $P_1$  then crashes.
- $P_2$  prepares proposal number 2, and gets responses from  $A_2$  and  $A_3.$
- $P_2$  sends  $(2, y)$  messages to  $A_2$  and  $A_3$  gets responses from both, so  $P_2$  informs  $L$  that  $y$  has been chosen.

4. (10 points) **Paxos Liveness**

In the absence of a distinguished proposer, it is possible for Paxos to fail to make progress even if no messages are dropped and no nodes fail. Briefly describe how this can happen in a system with two proposers and three acceptors. Be specific about which messages are sent and in what order they are delivered.

5. (10 points) **Alternate Paxos Implementation**

The *Paxos Made Simple* paper has the following definition in page 3.

A value is chosen when a single proposal with that value has been accepted by a majority of the acceptors.

Consider pursuing an alternate implementation based on the following definition.

A value is chosen when proposals with that value have been accepted by a majority of the acceptors.

Would the resulting implementation be correct? Justify your answer in a few sentences either with an informal proof or a scenario where this implementation would violate safety.